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Mouna Benchekroun, Baptiste Chevallier, Vincent Zalc, Dan Istrate, Dominique Lenne, et al.. Analysis of the Impact of Inter-Beat-Interval Interpolation on real-time HRV Feature Estimation for e-Health Applications. 8ème Colloque en Télésanté et dispositifs biomédicaux(JETSAN 2021), Université Toulouse III - Paul Sabatier [UPS], May 2021, Toulouse, Blagnac, France. hal-03501191

HAL Id: hal-03501191

<https://hal.science/hal-03501191>

Submitted on 23 Dec 2021

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Analysis of the Impact of Inter-Beat-Interval Interpolation on real-time HRV Feature Estimation for e-Health Applications

M. Benchekroun^{1,2}, B. Chevallier^{1,3}, V. Zalc¹, D. Istrate¹, D. Lenne² and N. Vera³

¹ Université de technologie de Compiègne, CNRS, Biomechanics and Bioengineering

² Université de technologie de Compiègne, CNRS, Heudiasyc (Heuristics and Diagnosis of Complex Systems)

³ Core for Tech

Correspondance: mouna.benchekroun02@gmail.com

baptiste.chevallier7@gmail.com

Abstract

Heart rate variability (HRV) has proven to be one of the most promising indicator of many physiological and psychological states. Thanks to great innovations in wearable devices, HRV is now measurable by simple sensors remotely connected via wireless networks to computers or smartphones. However, these sensors aren't as precise as the gold standard Electrocardiographs (ECG) used in hospitals. Errors during the transmission or acquisition may deteriorate signal's quality and considerably affect HRV features. These errors are not acceptable for a precise HRV analysis potentially used for diagnosis. Therefore, in this study, we use four different interpolation methods (Nearest Neighbour - NN, Linear, Shape-preserving piecewise cubic Hermite - Pchip and cubic spline) that help tackle the problem of missing RR values. We then investigate their effect on HRV analysis in order to quantify the estimation error allowing to choose the best interpolation method. The main particularity of this study is the real-time approach to data interpolation and HRV analysis. We observed that some interpolation methods behave differently as missing values' percentage grows. Some being more suitable for RR timeseries with a greater number of missing data. The study also suggests that interpolation may have a greater impact on some HRV features compared to others. Finally, in order to achieve maximum performance, we propose to adapt interpolation method to both missing values' percentage and targeted HRV feature.

Keywords— Heart Rate Variability, HRV analysis, real time, Inter beat intervals, IBI, RR intervals, wearables, e-health.

1 Introduction

With the rise of telemedicine and healthcare wearables, scientists are eager to collect every trackable parameter from the human body throughout

different physiological signals. One widely used signal is the Heart rate variability (HRV), now used as an indicator of different physiological states and pathologies [1]. Its time and frequency domain analysis can give insights into autonomic ner-

vous function. They provide information about the sympathetic-parasympathetic balance and cardiovascular health [2].

HRV measures the variation in the time interval between two consecutive heartbeats, known as inter beat intervals (IBI) or RR intervals. They correspond to the time elapsed between two successive R-waves of the QRS complex, characterizing ventricular depolarization, on an ECG signal.

In an ideal situation, HRV analysis is performed with RR interval time series including only pure sinus beats, normally recorded by a 12 lead ECG. However, RR intervals are now usually measured thanks to wearable ECGs or photoplethysmographs (PPG) as a substitute of the gold standard ECG used in hospitals.

Thanks to such wearables, it is now possible to passively record heart activity continuously, opening the way to easier remote health monitoring during user's daily life.

On the other hand, for a reliable HRV analysis, these RR timeseries should be carefully edited to identify gaps and abnormal heart beats.

In this paper, we investigate the impacts of editing RR intervals, by interpolation, on HRV features. We remove an increasing amount of data from an originally perfect signal. The deleted values are then handled by four interpolation methods (Nearest Neighbour, Linear, Shape-preserving piecewise cubic Hermite and cubic spline). Finally, we quantify the error of HRV feature estimation by each of these approaches. The ultimate goal is to identify a combination of different interpolation methods that yields the lowest error for real time HRV analysis in both time and frequency domains. This could be achieved by choosing the best interpolation approach for each HRV window based on the percentage of missing data in that window.

2 Context

The main downside to HRV assessment through wearables is the data quality that is often cor-

rupted. Errors occur during the acquisition, the transmission or the storage, thus leading to an important data loss and unintended changes to the original HRV signal. Ectopic beats also introduce a bias into HRV features. When they are not caused by a physiological phenomenon such as premature ventricular contractions (PVC) or premature atrial contractions (PAC), they can occur due to a false QRS detection on the ECG signal or a missed beat. Such artifacts represent a significant problem in the interpretation of HRV features making it sometimes even impossible. Therefore, they need to be addressed beforehand for a reliable HRV analysis [3].

Previous studies on the subject suggested different preprocessing methods for RR time series including filtering, deletion and interpolation. Each of these solutions however has its own disadvantages.

The main issue with the deletion approach is the signal depletion since the ectopic beats are removed without being replaced. The remaining RR-intervals are just merged together which increases the abrupt changes in the beat to beat variability and the disruptions in the natural fluctuation [4].

Interpolation on the other hand roughly preserves the overall recording duration and the number of beats, but the beat manipulation does introduce changes that affect HRV analysis. Authors in [5], as well as many others, found for example that interpolation introduces low frequency components (LF) and reduces high-frequency components (HF) power, thus altering frequency domain HRV features.

Paper contribution. The particularity of the present paper is the real-time approach to the deletion simulation and interpolation.

As far as we know, this is the first study to examine the influence of missing data on a real time HRV analysis. We simulate a real time data acquisition with missing values. The missing data is handled by different interpolation methods in real time before HRV analysis. Finally, HRV features

from the reconstructed signal and those from the original RR timeseries are compared.

The main purpose is to identify the best approach for processing the RR timeseries in real time, depending on the percentage of missing data in each HRV window, in order to achieve a real time HRV analysis for real time, continuous health monitoring.

Besides, to the best of our knowledge, this would be one of the first papers to investigate the effect of a very large amount of edited data (up to 70%) on HRV analysis. Recent developments in wearable devices have heightened the need for such studies since wearables produce a huge number of abnormal beats due to motion artifacts and connectivity problems.

3 Methods

3.1 Dataset

The dataset used is from the MIT-BIH Normal Sinus Rhythm RR Interval Database (nsr2db) available on PhysioNet [6].

The database includes beat annotation files for long-term ECG recordings of 54 subjects in normal sinus rhythm (30 men, aged 28.5 to 76, and 24 women, aged 58 to 73). The original ECG recordings were digitized at 128Hz, and the beat annotations were obtained by automated analysis with manual review and correction [6]. In this paper, RR segments including only normal beats between 0.3s and 1.3s were used (45-200bpm).

3.2 Missing values simulation

The objective was to simulate a real time data acquisition for a real time HRV analysis. Each HRV window would have the same percentage of missing values as depicted in figure 1.

HRV window :

In order to compute time domain and frequency domain HRV features, the RR timeseries were split into 5min segments, with a 1min sliding window (4min overlap). The choice of a sliding window is to address the discontinuities observed at the edges of each window. It also means a new set of HRV features is available every minute, bringing us closer to a real-time analysis.

Deletion procedure :

Since the goal is to evaluate the effect of interpolation on HRV features, the missing values were created on the same windows used for HRV analysis. The steps for the deletion procedure are explained in the pseudo code below.

Algorithm 1 RR deletion procedure

- 1: Randomly delete $P\%$ of the data in the first 5min window
 - 2: **for** Each new window i **do**
 - 3: Compute N , total number of values to be deleted $N = \frac{WindowLength \times P}{100}$
 - 4: Determine $N_{overlap}$ number of deleted data in the 4min overlap.
 - 5: Compute the number of values still to be deleted from the sliding window : $N_{sliding} = N - N_{overlap}$
 - 6: Randomly remove $N_{sliding}$ from the last minute of the window
 - 7: **end for**
-

For each new window, the first step is to compute the total number of data that should be deleted in order to reach the deletion percentage. The number of missing values in the 4min overlap, deleted in the previous iteration, is then computed, and serves to determine the number of data to randomly remove from the last minute of the window. The beats were removed away from the window's edges in order to avoid extrapolation problems. Other than this, there was no condition on the number of consecutive beats to be deleted, nor on their positions. The deletion procedure is com-

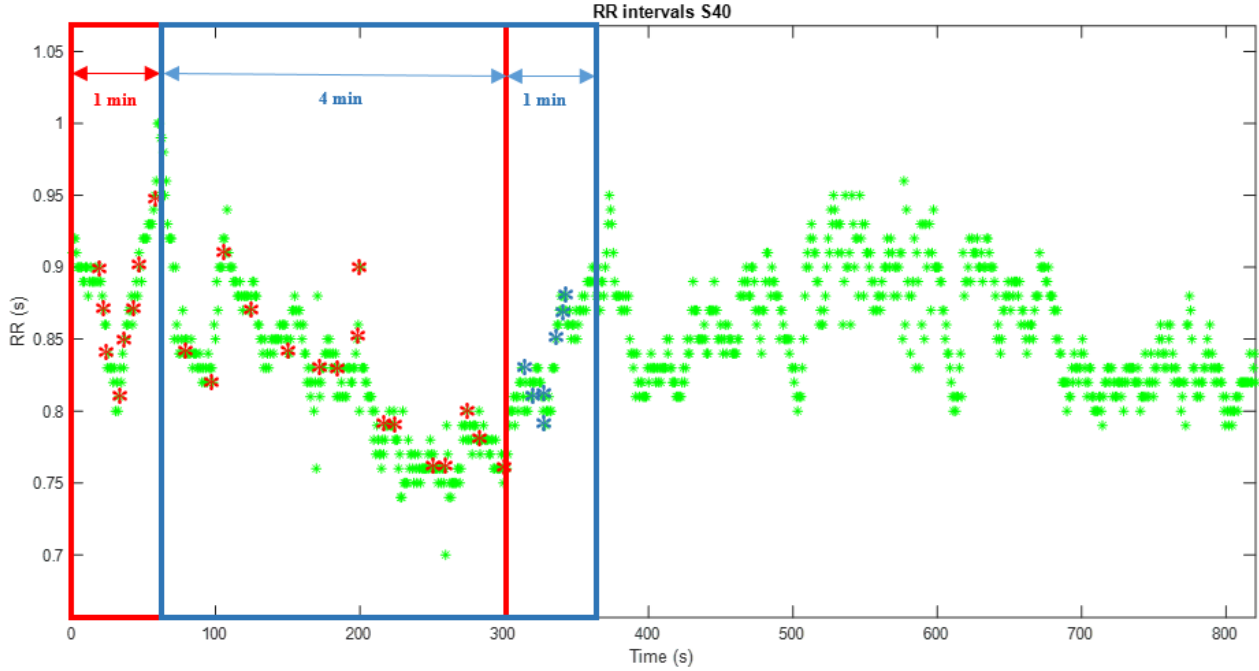


Figure 1: Example of the deletion procedure. The first minute (red arrow) of the i window and the last minute (blue arrow) of the $i + 1$ window have the same percentage of missing data. At each iteration, data is only deleted from the last minute of the window which is the last recorded minute. Both windows have the same deleted data in the 4min overlap segment.

pletely random. It is however obvious that the higher the percentage of deleted data, the larger (and more numerous) the gaps with successive missing beats.

3.3 Interpolation methods

The missing RR intervals deleted in the last step were then filled by four different interpolation methods listed below:

- **Nearest Neighbour (NN):** Zero-order interpolation method that assigns the value of the nearest existing RR interval to the missing beat.
- **Linear:** First order interpolation method. Derives a straight line connecting the adjacent RR intervals and calculates the missing beats based on the line.

- **Shape-preserving piecewise cubic Hermite interpolating polynomial (PCHIP):** A piecewise cubic polynomial determined by the given data and their specified derivatives at the interpolation points [7].

$$P(x_k) = y_k, P(x_{k+1}) = y_{k+1} \quad (1)$$

$$P'(x_k) = d_k, P'(x_{k+1}) = d_{k+1} \quad (2)$$

The main idea is to determine the slopes d_k so that the function values do not overshoot the data values [7]. One of the potential ways to determine d_k , used in this paper, is briefly explained below.

If δ_k and δ_{k-1} have opposite signs or if either of them is zero, then x_k is a discrete local *minimum* or *maximum*, so d_k is set to be equal to zero. In (figure 2a), the

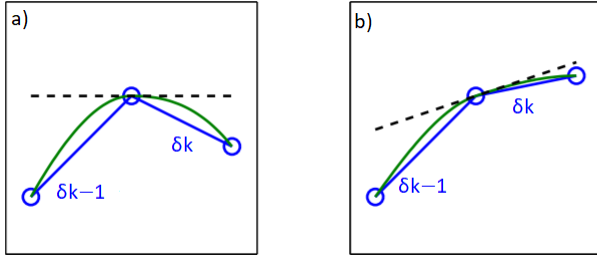


Figure 2: Slopes for PCHIP.

green curved line is the shape-preserving interpolant, formed from two different cubics. The two cubics interpolate the center value and their derivatives are both zero there [7]. On the other hand, if δ_k and δ_{k-1} have the same sign, then d_k is a weighted harmonic mean, with weights determined by the lengths of the two intervals around x_k .

$$\frac{w_1 + w_2}{d_k} = \frac{w_1}{\delta_{k-1}} + \frac{w_2}{\delta_k} \quad (3)$$

where $w_1 = 2h_k + h_{k+1}$, $w_2 = h_k + 2h_{k+1}$. (h_k denotes the length of the k^{th} subinterval: $h_k = x_{k+1} - x_k$).

At the breakpoint, the reciprocal slope of the Hermite interpolant is the weighted average of the reciprocal slopes of the piecewise linear interpolant on either side (figure 2b). The shape-preserving interpolant is formed from the 2 cubics that interpolate the center value and that have slope equal to d_k there [7].

- **Cubic Spline:** One popular third degree interpolation method is the cubic spline interpolation, where data points are estimated by fitting a third degree polynomial. A spline is also a piecewise cubic Hermite that is exceptionally smooth, in the sense that the first and second derivatives of consecutive polynomials are equal and thus continuous, ensuring smoothness of the resulting curve. This avoids the problem of the straight polynomial inter-

polation that tends to induce distortions on the edges of the polynomials [7].

The Pchip and the spline methods both perform piecewise cubic Hermite interpolation. They only differ in how the slopes of the interpolant are computed, thus leading to different behaviors when the underlying data has flat areas or undulations.

After the interpolation step, HRV features were estimated on the reconstructed data and compared to the original HRV set from the original signal. The error was then estimated through the mean absolute error (MAPE) in order to identify the best interpolation approach.

3.4 HRV analysis

As we wanted to show the impact of interpolation on reconstructed signals, we found useful to evaluate the changes on multiple HRV features. We selected those mostly used in literature. They can be separated into two categories, time domain features and frequency domain features.

Time domain :

We have chosen two of the most known indices, SDNN and RMSSD, for the time domain analysis.

Firstly, SDNN stands for Standard Deviation of Normal to Normal beats. Normal to normal means ectopic and other abnormal beats have to be removed beforehand. Variations of SDNN such as Standard deviation of RR intervals (SDRR) are sometimes used. The formula is the same, the only difference is that RR time series- for SDRR include abnormal or false beats.

(In this study, ectopic beats created by interpolation are not filtered before HRV analysis. SDRR will be referred to as SDNN since the formula is the same.)

SDNN is mostly computed over 24H periods, however, researchers have found significantly shorter periods of analysis to be relevant [8]. In our

case we will use 300 seconds (5min) periods. Considered as gold standard in quantification of the cardiac risk [2], reflection of both sympathetic nervous system (SNS) and parasympathetic nervous system (PNS) activity can be measured on SDNN which makes it one of the most useful features of HRV analysis.

$$SDNN = \sqrt{\frac{\sum_{i=1}^N (RR_i - \overline{RR})^2}{N-1}} \quad (4)$$

Where :

$$\overline{RR} = \frac{1}{N} \sum_{i=1}^N (RR_i) \quad (5)$$

RMSSD means root mean square of successive difference between normal heartbeats. Like SDNN it takes only normal IBI as an input. We chose to calculate it on five minute interval as it matches SDNN window and is the conventional minimum recording time. This features reflects more PNS activation than SDNN does.

$$RMSSD = \sqrt{\frac{\sum_{i=1}^{N-1} (RR_i - RR_{i+1})^2}{N-1}} \quad (6)$$

Frequency domain :

Several methods can be used for frequency domain analysis such as Fast Fourier Transform (FFT) auto regressive modeling (AR) or wavelet transform.

No matter which technique is used, the goal of frequency domain analysis is always to separate HRV signal into four components which are Ultra Low Frequency ($\leq 0.003Hz$), Very Low Frequency ($0.003 - 0.04Hz$), Low Frequency ($0.04 - 0.15Hz$), and High Frequency ($0.15 - 0.4Hz$) [2], (respectively ULF, VLF, LF and HF).

Since ULF and VLF generally require long periods of recording not suitable for real-time analysis, they will not be included in this study. Also, their

physiological correlates are still unknown which makes them less relevant for e-health applications.

HF and LF on the other hand can be assessed on 1 to 2 min windows respectively [2]. Their ability to reflect the overall cardiac health and the state of the autonomic nervous system (ANS) has been proven by many studies [9, 1], in different contexts including stress [10] and sleep [11].

3.5 Evaluation metrics

The difference between HRV features from the reconstructed data and those from the original signal was assessed by the mean absolute percentage error (MAPE) (7). The idea behind choosing the (MAPE) is to avoid mutual cancellation of the positive and negative errors. Moreover, since each HRV parameter has a wide range [12], normalization by the actual value allows the comparison of the different series.

$$Mape = \frac{1}{n} \sum_{t=1}^n \left| \frac{A_t - E_t}{A_t} \right| \quad (7)$$

where :

n = number of times the summation iteration happens, which corresponds to the number of HRV windows.

A_t = Actual value, from the original RR time-series.

E_t = Estimated value, from reconstructed signal.

Another interesting parameter to look at is the number of ectopic beats created by the interpolation. As explained before, non physiological beats should be filtered and, eventually, replaced before HRV analysis. The replacement method (ie : interpolation) should not be creating more ectopic beats. We assessed the percentage of abnormal RR intervals ($P_{ectopic}$) in the reconstructed signals as follows :

$$P_{ectopic} = \frac{\text{Number of ectopic beats}}{\text{Signal Length}} \quad (8)$$

4 Results and discussion

In this paper, 24 RR timeseries of 50min duration were analysed for a total of 1104 HRV windows of 5min duration. To investigate the effect of missing data on HRV features, the same percentage of RR-intervals was removed from each window starting from 10% up to 70% of missing values with a 10% step.

The deleted beats were then replaced by four different interpolation methods explained in section 3.3. An example of data interpolation is shown in Figure 3.

The cubic spline interpolation overshoots the data at some points as can be seen in figure 3. This is due to the requirement for equal second order derivatives at every point. By eliminating this condition, it is possible to prevent, or at least reduce, the overshooting as done by the Pchip method.

Time domain features. According to the results in table 1, SDNN seems to be less sensitive to interpolation. It was the least affected with an estimation error not greater than 5% even with a huge number (70%) of missing data. The same conclusion was found by authors in [13].

RMSSD on the other hand is much more sensitive to interpolation. The estimation error increases almost linearly with the percentage of edited data.

Overall, we found the (zero-order) Nearest Neighbour interpolation to be the best approach for SDNN and for RR tachograms with up to 50% of edited data for RMSSD.

Since SDNN is the standard deviation of each RR interval from the mean RR duration, it reflects the LF component in some way whereas the RMSSD correlates with the HF since it uses the difference between successive beats. This may explain why SDNN is much less sensitive to interpolation than RMSSD. In fact NN interpolation acts as a low-pass filter since it produces flat-like shapes [13]. In situations where the heart rate is relatively stable and does not vary abruptly, the NN interpolation

is most likely to preserve the heart rate variability.

When the percentage of missing data exceeds 50% however, it has been found that the best results for RMSSD estimation are achieved without editing the RR tachograms, i.e without replacing the missing data by any of the interpolation methods used in the study.

[14] also concluded that RMSSD does not require any interpolation to obtain reliable estimations, but they found the threshold to be at 30% instead.

Table 2 summarises RMSSD estimation errors by nearest neighbour approach against no interpolation. Not editing RR timeseries yields better RMSSD estimation than editing more than half the data. This however should be verified when the acquisition includes different contexts that may cause the heart rate to vary a lot.

The decrease of the MAPE when the percentage of missing data increases may be due to the lower number of compared windows. When the missing values are not replaced by any interpolation, remaining RR intervals are just merged. The higher the percentage of missing data, the shorter the RR signal and consequently, fewer HRV windows are been compared.

Frequency domain features are clearly much more sensitive to interpolation as can be seen from table 3. Linear and Pchip interpolation perform almost equally and yield the least estimation error for LF, HF and LF/HF . They are thus considered to be the best interpolation methods for frequency domain features.

Generally speaking, physiological variables such as the Autonomic cardiovascular regulation operate at sufficiently low frequencies that nothing would be lost using a linear or a Pchip approach. Unless there is a physiological reason to suppose a non-linear trend, linear seems to assume less than the other methods.

Contrary to the time domain analysis, the cubic spline interpolation gives the worst results with an error almost two times greater than all the other

RR16 - 50% missing data

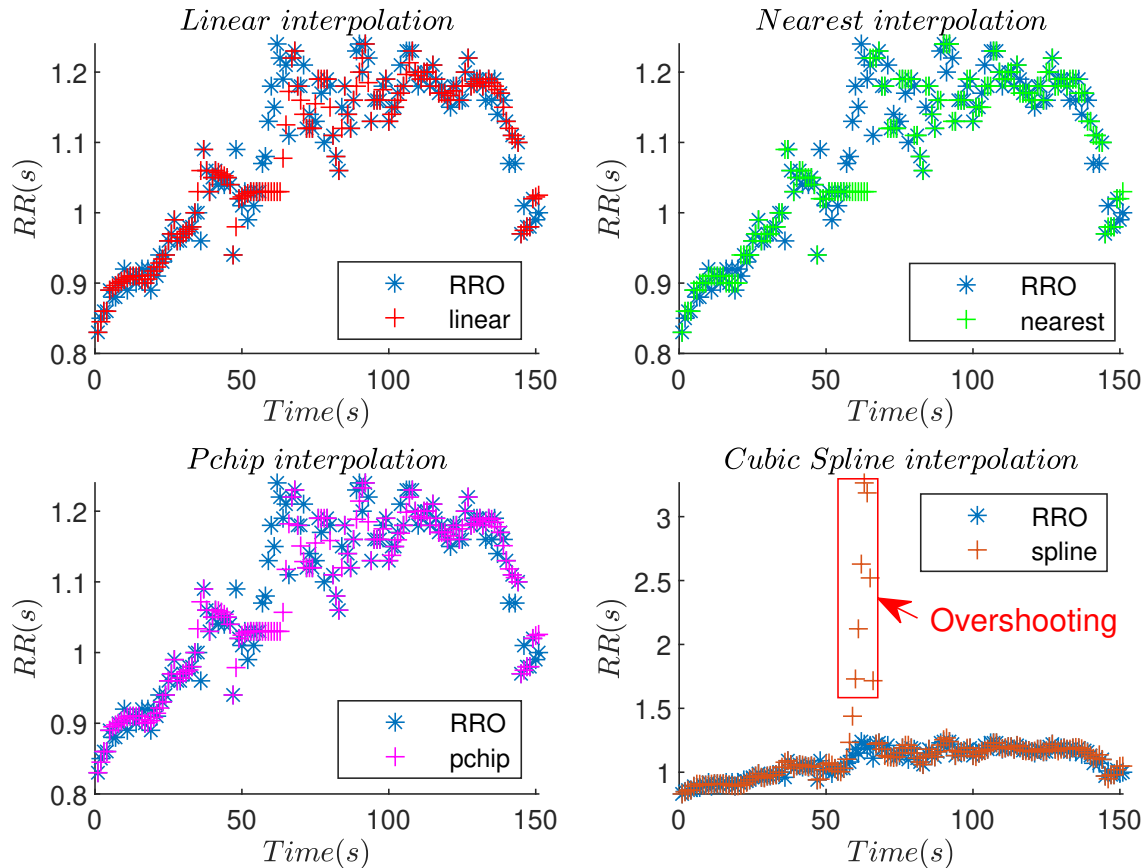


Figure 3: Example of interpolation for 50% missing data. The red arrow indicates the ectopic beats created by the Cubic Spline interpolation

interpolation methods. This can be explained by the fact that cubic splines are prone to severe oscillation and they overshoot at intermediate points. The overshooting introduces many ectopic beats thus increasing the HF components. It has been found in [15] that the presence of only one ectopic beat in a 2 min ECG recording introduces an increase in the HF power of around 10%.

Based on the findings described above, the best preprocessing approach would be a combination of different interpolation methods chosen based on

the HRV feature and the percentage of missing data in each HRV segment. Table 4 summarises the best interpolation approach for each HRV feature on each percentage of edited data.

At exactly 50% of missing beats, NN and no interpolation approach perform equally with regards to RMSSD estimation (Table 2). The latter method outperforms the first one when the percentage crosses the 50% threshold.

Generally speaking, the Pchip interpolation seems to do well in most cases. It preserves the linear trend of the data while adding very light

Mape (%)

Missing %	HRV Features	NN	Linear	Pchip	Spline
10%	RMSSD SDNN % ectopic	3.84 ± 1.15 0.87 ± 0.31 0	6.69 ± 0.96 0.91 ± 0.40 0	6.56 ± 0.99 0.86 ± 0.38 0	5.13 ± 1.03 1.03 ± 0.44 0
20%	RMSSD SDNN % ectopic	6.98 ± 2.88 1.36 ± 0.59 0	12.76 ± 2.59 1.43 ± 0.55 0	12.49 ± 2.65 1.3 ± 0.48 0	9.51 ± 2.38 1.89 ± 1.01 0
30%	RMSSD SDNN % ectopic	10.17 ± 3.94 1.70 ± 0.52 0	19.89 ± 3.23 2.28 ± 1.03 0	19.39 ± 3.29 1.96 ± 0.87 0	14.84 ± 3.17 2.93 ± 1.42 0.5
40%	RMSSD SDNN % ectopic	13.99 ± 4.45 2.08 ± 0.52 0	27.63 ± 3.91 3.18 ± 1.04 0	26.92 ± 4.05 2.56 ± 0.83 0	26.11 ± 26.4 7.42 ± 15.25 0.7

Table 1: Mean absolute percentage error of estimated time domain HRV features from 10 to 40% missing data

Mape (%)

%	HRV_{feat}	NN	No interp
50%	RMSSD	17.3 ± 6.34	17.3 ± 7.52
60%	RMSSD	20.7 ± 7.87	15.44 ± 6.81
70%	RMSSD	25.57 ± 8.25	12.38 ± 6.60

Table 2: Mean absolute percentage error of estimated RMSSD for 50%, 60% and 70% missing data

waves. As explained in [1], the structure generating the RR signal is not only simply linear, but also involves nonlinear contributions. The Pchip interpolation thus seems to better mimic the RR timeseries trend.

5 Conclusion

In time domain, nearest neighbour interpolation gives the best results for up to 50% of edited data. Beyond 50%, the best estimation was achieved when the deleted data was not replaced. It seems better not to use any interpolation for RMSSD beyond this threshold. In the frequency domain however, the lowest errors of HRV feature estimation are obtained using linear or Pchip interpolation.

If only one approach had to be chosen for a good overall estimation, the Pchip would be privileged because it preserves the linear trend and the slightly non linear contributions in the RR timeseries.

Since HRV features are used for preventive health and users' well-being, it is fundamental to know the effect of missing data on these parameters. The findings of this study, namely the best interpolation methods based on the percentage of missing beats could be used for a data-driven decision-making strategy to decide whether reliable

Mape (%)

Missing %	HRV Features	NN	Linear	Pchip	Spline
10%	LF	5.86 ± 2.59	4.69 ± 2.00	4.82 ± 2.26	7.77 ± 5.01
	HF	5.9 ± 2.49	5.07 ± 2.04	5.09 ± 2.10	6.1 ± 2.43
	LF/HF	9.58 ± 3.56	7.45 ± 2.49	7.57 ± 2.7	11.22 ± 5.2
20%	LF	8.46 ± 4.39	7.07 ± 4.39	7.15 ± 3.78	13.45 ± 9.40
	HF	7.53 ± 2.69	6.8 ± 2.82	6.89 ± 2.72	8.94 ± 3.61
	LF/HF	12.64 ± 4.93	10.67 ± 3.87	10.89 ± 3.99	18.70 ± 9.85
30%	LF	11.19 ± 5.74	9.47 ± 4.09	9.61 ± 4.7	20.21 ± 13.44
	HF	11.30 ± 5.48	11.22 ± 5.34	11.35 ± 5.34	14.38 ± 7.51
	LF/HF	16.63 ± 5.93	14.96 ± 4.67	15.12 ± 4.70	27.02 ± 11.67
40%	LF	14.14 ± 6.16	12.50 ± 4.14	12.09 ± 4.65	26.18 ± 19.33
	HF	13.39 ± 5.24	14.36 ± 7.17	13.72 ± 6.63	21.45 ± 21.8
	LF/HF	20.70 ± 6.73	19.32 ± 5.34	18.51 ± 5.20	30.84 ± 15.56
50%	LF	16.55 ± 8.00	16.31 ± 5.02	15.24 ± 5.6	36.43 ± 26.65
	HF	17.1 ± 7.73	18.56 ± 9.17	18.67 ± 9.4	26.99 ± 14.15
	LF/HF	23.95 ± 7.4	24.15 ± 6.08	23.44 ± 6.63	40.51 ± 17.08

Table 3: Mean absolute percentage error of estimated frequency domain HRV features from 10% to 50% missing data

conclusions can be drawn from the signal.

This preprocessing step, including filtering and interpolation, is fundamental before any HRV analysis can be performed. It enables continuous passive monitoring of users' cardiovascular activity in a non-obtrusive way despite a relatively poor data quality.

6 Limits and Perspectives

It is worth bearing in mind that this interpolation approach remains at a mathematical level. Physiological implications and interpretations could further be explored but are outside the scope of this paper. The need and efficacy of interpolation in general should be assessed against the end-goal of HRV analysis. Moreover, in real-life acquisitions, the number of missing data in a time gap is un-

known.

On the other hand, many additional aspects could be investigated in a future work. The first and most important one is to measure the estimation error of the preprocessing approach introduced in section 4, including different interpolation methods based on the percentage on missing data in each window. The effect of interpolation on other HRV features such as the total spectral power, and Non linear features could also be investigated.

Additionally, it would be very interesting to identify an upper limit for missing heart beats, in each HRV window, beyond which any interpolation would be pointless. This upper limit would depend once again on the context and the purpose of HRV analysis in the first place. It would help decide whether an HRV segment can be used for a reliable diagnosis or should be discarded.

Missing %	HRV feat	Best interp
1 st category : 10% -50%	RMSSD SDNN LF HF LF/HF	NN NN / Pchip Lin / Pchip Lin / Pchip Lin / Pchip
2 nd category : 50% -70%	RMSSD SDNN LF HF LF/HF	No interpolation NN NN /Pchip NN / Lin NN / Pchip

Table 4: Best interpolation approach for HRV features based on the percentage of missing data.

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