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1	Visual light photoswitches derived from bindone: toward
2	stabilization of colored photo-isomers.
3	
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5	
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8 Abstract: Condensation of bindone and cinnamaldehydes afforded five cyclic 9 products instead of the expected merocyanines. The structures of all products 10 were confirmed by the X-ray data. In solution, the weakly colored cyclic isomers 11 form deeply colored (orange to red shades) open isomers upon irradiation in the 12 UV and visible range (up to 530 nm). The colored isomers are very stable and 13 undergo thermal discoloration with the half-life times between 13 h and 128 h, 14 depending on the substituent and solvent at room temperature. Heating their 15 solutions above 130°C gives rise to rapid discoloration. High fatigue resistance 16 was demonstrated by repeating the irradiation/heating cycles.

17 Keywords: Photochromism, Merocyanine, Photochemical switching, bindone.

18 **1. Introduction**

During the past decades, photochromic derivatives have been the focus of
numerous research studies owing to the importance of this class of organic
compounds in different fields of materials science [1-3].

According to the definition, photochromism is a reversible transformation

of a chemical species induced in one or both directions by absorption of electromagnetic radiation between two forms, A and B, having different absorption spectra and, correspondingly, a difference of other physical properties [4]. Many classes of organic photochromic molecules are therefore considered as molecular switches, namely, photoswitches [5].

6 Most of the known photochromic molecules undergo photochemical 7 conversions upon action of light of the UV range. However, UV light may damage 8 the polymeric substrates involving photochromic molecules or moieties and its 9 use as a photo-activator for biological and medical applications is very limited. 10 Therefore, the search for molecular photoswitches that can be activated by 11 visible or near infrared light became the focus of numerous researches during 12 the past years [6].

Among the most studied new classes of visible light photoswitches are *o*fluoroazabenzenes [7, 8] and Donor–Acceptor Stenhouse Adducts (DASA) [9] that exhibit *negative* photochromism: irradiation of their deeply colored solutions by visible light gives rise to the formation of the colorless cyclic forms. Discoloration is reversible and the color is restored in dark thermally [10-13].

18 Recently, we described a new photochromic system that exhibits 19 unprecedented behavior: the condensation products of bindone and *p*-20 aminophenyl substituted cinnamaldehydes or *p*-methoxy cinnamaldehyde 21 produced not the expected colored merocyanines, but weakly colored cyclic 22 derivatives **1a-c** [14]. The amino-substituted derivatives **1a,b** exhibit two very 23 broad overlapping weak absorption bands between 300 and 650 nm ($\varepsilon < 800 \text{ M}^{-1}$

1 cm⁻¹), whereas the spectra of methoxy substituted **1c** shows only one broad band 2 about 480 nm. The yellowish solutions of **1** undergo intense coloration upon 3 irradiation by visible light at any wavelength up to 640 nm (Scheme 1) owing to the formation of the conjugated merocyanines **2**. The process is reversible and 4 5 the dark discoloration occurs, depending on the temperature and solvent 6 polarity, within seconds and a few hours. Quantum mechanical calculations 7 showed that the presence of a strong electron donating substituent is 8 substantial, as the longest wavelength absorption band of **1** is the intramolecular 9 charge transfer band from the *p*-aminophenyl electron donating moiety toward 10 the 1,3-indandione accepting moiety.





In this paper we report on the synthesis, structures and photochromic
properties of a series of analogs of 2 without the electron donating substituents.

15 2. Experimental

16 2.1. Equipment and reagents

Bindone, cinnamic aldehyde derivatives and solvents were obtained from
 Sigma- Aldrich and Alfa-Aeser.

¹H and ¹³C NMR spectra were recorded in methylene chloride-d₂ on JEOL
ECS400 spectrometer at 399.78 and 100.53 MHz, respectively. Proton chemical
shifts are given in ppm downfield from tetramethylsilane and coupling constants
(*J*) in Hertz. ¹³C NMR spectrum of solid **4b** was recorded on Bruker BioSpin
spectrometer at 10 kHz. IR spectra were recorded for solid samples on Perkin
Elmer Spectrum 100 spectrometer.

9 Melting points were determined on a Büchi-510 apparatus and were not
10 corrected.

The UV-Vis absorption spectra were recorded with Ocean Optics USB 4000 and JASCO V-660 in 2, 4, 10 and 100 mm quartz cells. Irradiation of solutions was done using a UVP LLC 95000505 lamp (254 nm), LED sources LUMOS 43 (Atlas Photonics) at 300, 315, 330, 350, 405, 450, 505 and 525 nm, Luminus LED PT121 at 460 and 530 nm and lasers: Module Oxxius LBX-405-500-HPE-PP 405 nm, LBX-642-130-CIR-PP 642 nm, CryLas FQSS 266-200 (266 and 532 nm) and FQSS 355-300 (355 nm).

18 2.2. Synthesis

A general procedure: a mixture of bindone **1** (1 mmol) and the corresponding cinnamaldehyde (1.2 mmol) was refluxed in absolute ethanol for 2 - 3 hours. The precipitated in 70 – 80% yields products **5** (**4b** in the case of *p*fluorophenyl cinnamaldehyde) were washed with ethanol, dried on air and crystallized. 1 *2.2.1. 3-Phenylspiro[fluorene-4,2'-indene]-1',3',9(3 H)-trione (5a).*

2 Yield: 78%. Bright scarlet plates from acetic acid, m.p. 230-232°C (dec). IR: 1740, 1707 sh, 1699, 1600 sh, 1590 cm⁻¹. ¹H NMR: 7.92 (d, *J* = 7.6, 1H), 7.75 3 4 (td, *J* = 7.5, 1.2, 1H), 7.69 (td, *J* = 7.5, 1.1, 1H), 7.62 (d, *J* = 7.6, 1H), 7.38 (d, *J* = 7.6, 5 1H), 7.12 (t, l = 7.1, 1H), 7.06 – 6.95 (m, 6H), 6.56 (dd, l = 9.7, 3.4, 1H), 6.17 (d, l = 1.1, 1H), 6.17 (d 6 7.3, 1H), 6.07 (dd, J = 9.6, 2.8, 1H), 4.71 (t, J = 3.1, 1H). ¹³C NMR: 202.10 (CO), 7 196.36 (CO), 193.13 (CO), 149.09, 142.65, 141.63, 141.52, 137.29, 136.53 (CH), 8 136.02 (CH), 133.98, 133.48 (CH), 131.58, 131.38 (CH), 129.42 (2CH), 129.07 9 (CH), 128.36 (2CH), 127.69, 123.49, 122.87 (2CH), 120.61 (CH), 117.51 (CH), 10 62.11, 50.41 (CH). Anal. calcd. for C₂₇H₁₆O₃: C, 83.49%; H, 4.15%. Found: C, 11 83.38%, H, 4.12%.

12

13 *2.2.2.* 3-(4-Fluorophenyl)spiro[fluorene-4,2'-indene]-1',3',9(3 H)-trione (**5b**).

14 Derivative **4b** (20 mg, 49 mmol) was heated under reflux for one hour in 15 10 ml of octane. Insoluble **4b** slowly dissolves and the resulting orange solution 16 was evaporated and the residue crystallized from acetic acid affording **5b** in 80% 17 yield. Red prisms m.p. 236-238°C. IR: 1739, 1714, 1698, 1601, 1591 cm⁻¹. ¹H 18 NMR: 7.94 (d, J = 7.5, 1H), 7.79 (t, J = 7.4, 1H), 7.73 (t, J = 7.4, 1H), 7.66 (d, J = 7.5 19 Hz, 1H), 7.38 (d, J = 7.1, 1H), 7.10 (t, J = 7.4, 1H), 7.04-6.98 (m, 3H), 6.72 (t, J = 8.7, 20 2H), 6.56 (dd, J = 9.7, 3.4, 2H), 6.17 (d, J = 7.3, 2H), 6.03 (dd, J = 9.7, 2.9, 2H), 4.72 (t, J = 3.1, 2H). ¹³C NMR: 202.05 (CO), 196.35 (CO), 193.04 (CO), 162.08 (d, J = 21 22 246.4, CF), 149.04, 142.57, 141.53, 141.42, 136.76 (CH), 136.26 (CH), 133.87, 23 133.51 (CH), 133.24 (d, ⁴/ = 3.2), 131.54, 131.11 (d, ²/ = 12.2, 2CH), 131.09 (CH), 24 129.14 (CH), 123.59 (CH), 122.94 (d, ³J = 3.8, 2CH), 120.63 (CH), 117.67 (CH),

1	115.31 (CH), 115.10 (CH), 62.03, 49.51 (CH). Anal. calcd. for $C_{27}H_{15}FO_3{:}$ C,
2	79.79%; H, 3.72%. Found: C, 79.92%, H, 3.91%.
3	
4	2.2.3. (2Z)-2-[(2E)-3-(4-Fluorophenyl)prop-2-en-1-ylidene]-1,2'-biindene-1',3,3'(2
5	H)- trione (4b).
6	Yield: 79%. Brown needles with metallic luster, m.p. 220-221°C. IR: 1696,
7	1672, 1607, 1587, 1565, 1530, 1509 cm ⁻¹ . ¹ H NMR: 9.08(d, <i>J</i> = 7.8, 1H), 8.70 (dd, <i>J</i>
8	= 15.4, 11.8, 1H), 8.10 (d, J = 11.7, 1H). ¹³ C NMR (solid): 190.18, 187.82, 167.13,
9	163.84, 155.42, 151.96, 147.17, 140.16, 137.71, 133.61, 130.93, 127.04, 124.50,
10	121.97, 119.54, 117.32. Anal. calcd. for C ₂₇ H ₁₅ FO ₃ : C, 79.79%; H, 3.72%. Found: C,
11	79.88%, H, 3.76%.
12	
13	2.2.4. 3-(4-Chlorophenyl)spiro[fluorene-4,2'-indene]-1',3',9(3 H)-trione (5c).
13 14	2.2.4. 3-(4-Chlorophenyl)spiro[fluorene-4,2'-indene]-1',3',9(3 H)-trione (5c). Yield: 77%. Red prisms from ethanol, m.p. 184-185°C. IR: 1738, 1713,
13 14 15	 2.2.4. 3-(4-Chlorophenyl)spiro[fluorene-4,2'-indene]-1',3',9(3 H)-trione (5c). Yield: 77%. Red prisms from ethanol, m.p. 184-185°C. IR: 1738, 1713, 1701, 1600 sh, 1588 cm⁻¹. ¹H NMR: 7.95 (d, J = 7.5, 1H), 7.81 (t, J = 7.4, 1H), 7.75
13 14 15 16	 2.2.4. 3-(4-Chlorophenyl)spiro[fluorene-4,2'-indene]-1',3',9(3 H)-trione (5c). Yield: 77%. Red prisms from ethanol, m.p. 184-185°C. IR: 1738, 1713, 1701, 1600 sh, 1588 cm⁻¹. ¹H NMR: 7.95 (d, J = 7.5, 1H), 7.81 (t, J = 7.4, 1H), 7.75 (t, J = 7.4, 1H), 7.67 (d, J = 7.9, 1H), 7.38 (d, J = 7.1, 1H), 7.10 (t, J = 7.2, 1H), 7.03 -
13 14 15 16 17	 2.2.4. 3-(4-Chlorophenyl)spiro[fluorene-4,2'-indene]-1',3',9(3 H)-trione (5c). Yield: 77%. Red prisms from ethanol, m.p. 184-185°C. IR: 1738, 1713, 1701, 1600 sh, 1588 cm⁻¹. ¹H NMR: 7.95 (d, <i>J</i> = 7.5, 1H), 7.81 (t, <i>J</i> = 7.4, 1H), 7.75 (t, <i>J</i> = 7.4, 1H), 7.67 (d, <i>J</i> = 7.9, 1H), 7.38 (d, <i>J</i> = 7.1, 1H), 7.10 (t, <i>J</i> = 7.2, 1H), 7.03 - 6.95 (m, 5H) 6.56 (dd, <i>J</i> = 9.6, 3.4, 1H), 6.16 (d, <i>J</i> = 7.3, 1H), 6.01 (dd, <i>J</i> = 9.7, 2.9, 1H)
 13 14 15 16 17 18 	 2.2.4. 3-(4-Chlorophenyl)spiro[fluorene-4,2'-indene]-1',3',9(3 H)-trione (5c). Yield: 77%. Red prisms from ethanol, m.p. 184-185°C. IR: 1738, 1713, 1701, 1600 sh, 1588 cm⁻¹. ¹H NMR: 7.95 (d, J = 7.5, 1H), 7.81 (t, J = 7.4, 1H), 7.75 (t, J = 7.4, 1H), 7.67 (d, J = 7.9, 1H), 7.38 (d, J = 7.1, 1H), 7.10 (t, J = 7.2, 1H), 7.03 - 6.95 (m, 5H) 6.56 (dd, J = 9.6, 3.4, 1H), 6.16 (d, J = 7.3, 1H), 6.01 (dd, J = 9.7, 2.9, 1H), 4.71 (t, J = 3.1, 1H). ¹³C NMR: 201.85, 196.23, 192.99, 148.96, 142.51,
 13 14 15 16 17 18 19 	 2.2.4. 3-(4-Chlorophenyl)spiro[fluorene-4,2'-indene]-1',3',9(3 H)-trione (5c). Yield: 77%. Red prisms from ethanol, m.p. 184-185°C. IR: 1738, 1713, 1701, 1600 sh, 1588 cm⁻¹. ¹H NMR: 7.95 (d, J = 7.5, 1H), 7.81 (t, J = 7.4, 1H), 7.75 (t, J = 7.4, 1H), 7.67 (d, J = 7.9, 1H), 7.38 (d, J = 7.1, 1H), 7.10 (t, J = 7.2, 1H), 7.03 - 6.95 (m, 5H) 6.56 (dd, J = 9.6, 3.4, 1H), 6.16 (d, J = 7.3, 1H), 6.01 (dd, J = 9.7, 2.9, 1H), 4.71 (t, J = 3.1, 1H). ¹³C NMR: 201.85, 196.23, 192.99, 148.96, 142.51, 141.49, 141.36, 136.86 (CH), 136.38 (CH), 136.02, 133.82, 133.53 (CH), 133.50,
 13 14 15 16 17 18 19 20 	 2.2.4. 3-(4-Chlorophenyl)spiro[fluorene-4,2'-indene]-1',3',9(3 H)-trione (5c). Yield: 77%. Red prisms from ethanol, m.p. 184-185°C. IR: 1738, 1713, 1701, 1600 sh, 1588 cm⁻¹. ¹H NMR: 7.95 (d, <i>J</i> = 7.5, 1H), 7.81 (t, <i>J</i> = 7.4, 1H), 7.75 (t, <i>J</i> = 7.4, 1H), 7.67 (d, <i>J</i> = 7.9, 1H), 7.38 (d, <i>J</i> = 7.1, 1H), 7.10 (t, <i>J</i> = 7.2, 1H), 7.03 - 6.95 (m, 5H) 6.56 (dd, <i>J</i> = 9.6, 3.4, 1H), 6.16 (d, <i>J</i> = 7.3, 1H), 6.01 (dd, <i>J</i> = 9.7, 2.9, 1H), 4.71 (t, <i>J</i> = 3.1, 1H). ¹³C NMR: 201.85, 196.23, 192.99, 148.96, 142.51, 141.49, 141.36, 136.86 (CH), 136.38 (CH), 136.02, 133.82, 133.53 (CH), 133.50, 131.53, 130.86 (2CH), 130.74 (CH), 129.16 (CH), 128.51 (2CH), 123.65 (CH),
 13 14 15 16 17 18 19 20 21 	 2.2.4. 3-(4-Chlorophenyl)spiro[fluorene-4,2'-indene]-1',3',9(3 H)-trione (5c). Yield: 77%. Red prisms from ethanol, m.p. 184-185°C. IR: 1738, 1713, 1701, 1600 sh, 1588 cm⁻¹. ¹H NMR: 7.95 (d, <i>J</i> = 7.5, 1H), 7.81 (t, <i>J</i> = 7.4, 1H), 7.75 (t, <i>J</i> = 7.4, 1H), 7.67 (d, <i>J</i> = 7.9, 1H), 7.38 (d, <i>J</i> = 7.1, 1H), 7.10 (t, <i>J</i> = 7.2, 1H), 7.03 - 6.95 (m, 5H) 6.56 (dd, <i>J</i> = 9.6, 3.4, 1H), 6.16 (d, <i>J</i> = 7.3, 1H), 6.01 (dd, <i>J</i> = 9.7, 2.9, 1H), 4.71 (t, <i>J</i> = 3.1, 1H). ¹³C NMR: 201.85, 196.23, 192.99, 148.96, 142.51, 141.49, 141.36, 136.86 (CH), 136.38 (CH), 136.02, 133.82, 133.53 (CH), 133.50, 131.53, 130.86 (2CH), 130.74 (CH), 129.16 (CH), 128.51 (2CH), 123.65 (CH), 123.02 (CH), 122.94 (CH), 120.65 (CH) , 117.78 (CH), 61.90, 49.51(CH). Anal.
 13 14 15 16 17 18 19 20 21 22 	 2.2.4. 3-(4-Chlorophenyl)spiro[fluorene-4,2'-indene]-1',3',9(3 H)-trione (5c). Yield: 77%. Red prisms from ethanol, m.p. 184-185°C. IR: 1738, 1713, 1701, 1600 sh, 1588 cm⁻¹. ¹H NMR: 7.95 (d, <i>J</i> = 7.5, 1H), 7.81 (t, <i>J</i> = 7.4, 1H), 7.75 (t, <i>J</i> = 7.4, 1H), 7.67 (d, <i>J</i> = 7.9, 1H), 7.38 (d, <i>J</i> = 7.1, 1H), 7.10 (t, <i>J</i> = 7.2, 1H), 7.03 - 6.95 (m, 5H) 6.56 (dd, <i>J</i> = 9.6, 3.4, 1H), 6.16 (d, <i>J</i> = 7.3, 1H), 6.01 (dd, <i>J</i> = 9.7, 2.9, 1H), 4.71 (t, <i>J</i> = 3.1, 1H). ¹³C NMR: 201.85, 196.23, 192.99, 148.96, 142.51, 141.49, 141.36, 136.86 (CH), 136.38 (CH), 136.02, 133.82, 133.53 (CH), 133.50, 131.53, 130.86 (2CH), 130.74 (CH), 129.16 (CH), 128.51 (2CH), 123.65 (CH), 123.02 (CH), 122.94 (CH), 120.65 (CH) , 117.78 (CH), 61.90, 49.51(CH). Anal. calcd. for C₂₇H₁₅ClO₃: C, 76.69%; H, 3.58%, Cl, 8.38%. Found: C, 76.72%, H,
 13 14 15 16 17 18 19 20 21 22 23 	 2.2.4. 3-(4-Chlorophenyl)spiro[fluorene-4,2'-indene]-1',3',9(3 H)-trione (5c). Yield: 77%. Red prisms from ethanol, m.p. 184-185°C. IR: 1738, 1713, 1701, 1600 sh, 1588 cm⁻¹. ¹H NMR: 7.95 (d, <i>J</i> = 7.5, 1H), 7.81 (t, <i>J</i> = 7.4, 1H), 7.75 (t, <i>J</i> = 7.4, 1H), 7.67 (d, <i>J</i> = 7.9, 1H), 7.38 (d, <i>J</i> = 7.1, 1H), 7.10 (t, <i>J</i> = 7.2, 1H), 7.03 - 6.95 (m, 5H) 6.56 (dd, <i>J</i> = 9.6, 3.4, 1H), 6.16 (d, <i>J</i> = 7.3, 1H), 6.01 (dd, <i>J</i> = 9.7, 2.9, 1H), 4.71 (t, <i>J</i> = 3.1, 1H). ¹³C NMR: 201.85, 196.23, 192.99, 148.96, 142.51, 141.49, 141.36, 136.86 (CH), 136.38 (CH), 136.02, 133.82, 133.53 (CH), 133.50, 131.53, 130.86 (2CH), 130.74 (CH), 129.16 (CH), 128.51 (2CH), 123.65 (CH), 123.02 (CH), 122.94 (CH), 120.65 (CH) , 117.78 (CH), 61.90, 49.51(CH). Anal. calcd. for C₂₇H₁₅ClO₃: C, 76.69%; H, 3.58%, Cl, 8.38%. Found: C, 76.72%, H, 3.66%, Cl, 8.24%.

25 2.2.5. 3-(4-Bromophenyl)spiro[fluorene-4,2'-indene]-1',3',9(3 H)-trione (**5d**).

1 Yield: 72%. Red crystals from ethanol, m.p. 208-210°C, IR: 1742, 1713, 2 1702, 1600 sh, 1589 cm⁻¹. ¹H NMR: 7.95 (ddd, *J* = 7.6, 0.9, 1H), 7.81 (td, *J* = 7.4, 3 1.3, 1H), 7.76 (td, J = 7.4, 1.3, 1H), 7.68 (ddd, J = 7.5, 1.2, 0.8, 1H), 7.38 (ddd, J = 4 7.1, 1.2, 0.6, 1H), 7.17 (d, J = 8.5, 2H), 7.10 (td, J = 7.5, 0.9, 1H), 7.01 (td, J = 7.6, 1.2, 1H), 6.91 (d, *J* = 8.4, 2H), 6.56 (dd, *J* = 9.7, 3.4, 1H), 6.16 (d, *J* = 7.3, 1H), 6.00 5 6 (dd, J = 9.7, 2.9, 1H), 4.69 (t, J = 3.1, 1H). ¹³C NMR: 201.81, 196.22, 192.99, 148.94, 7 142.49, 141.48, 141.35, 136.88 (CH), 136.51, 136.41 (CH), 133.81, 133.53 (CH), 8 131.49 (2CH), 131.18 (2CH), 130.66 (CH), 129.17 (CH), 123.67 (CH), 123.03 9 (CH), 122.94 (CH), 121.67, 120.65 (CH), 117.79 (CH), 61.83, 49.55 (CH). Anal. 10 calcd. for C₂₇H₁₅BrO₃: C, 69.39%; H, 3.24%, Br, 17.10%. Found: C, 69.49%, H, 11 3.33%, Br, 16.93%.

12

13 2.2.6. 2-Methoxy-4-(1',3',9-trioxo-1',3,3',9-tetrahydrospiro[fluorene-4,2'-inden]-314 yl)phenyl acetate (5e).

15 Yield: 75%. Red prisms from ethanol, m.p. 190-192°C. IR: 1759, 1704, 16 1603, 1592, 1513 cm⁻¹. ¹H NMR: 7.94 (d, I = 7.6, 1H), 7.79 (t, I = 7.4, 1H), 7.74 (t, 17 *J* = 7.6, 1H), 7.66 (d, *J* = 7.5, 1H), 7.38 (d, *J* = 7.0, 1H), 7.11 (t, *J* = 7.5, 1H), 7.02 (t, *J* 18 = 7.5, 1H), 6.65 (d, J = 8.0, 1H), 6.61 – 6.55 (m, 3H) 6.19 (d, J = 7.3, 1H), 6.09 (dd, J 19 = 9.7, 2.8, 1H), 4.72 (t, *J* = 3.0, 1H), 3.64 (s, 3H), 2.12 (s, 3H). 20 ¹³C NMR: 202.22, 196.44, 193.05, 168.42, 150.87, 149.08, 142.67, 141.57, 141.50, 21 139.25, 136.81 (CH), 136.19 (CH), 136.14, 133.90, 133.51 (CH), 131.56, 130.95 22 (CH), 129.15 (CH), 123.57 (CH), 122.91 (CH), 122.77 (CH), 122.64 (CH), 121.75

23 (CH), 120.64 (CH), 117.73 (CH), 113.67 (CH), 62.14, 55.90 (CH), 50.37 (CH),

- 24 20.30 (CH). Anal. calcd. for C₃₀H₂₀O₆: C, 75.62%; H, 4.23%;. Found: C, 75.51%, H,
- **25 4.45%**.

2 2.2.7. (2Z)-2-(3,3-Diphenylprop-2-en-1-ylidene)-1,2'-biindene-1',3,3'(2H)-trione
3 (6).

4 Yield 70%. Dark violet needles with green luster from octane/toluene, 5 m.p. 240-241°C. IR: 1687, 1670, 1595, 1583, 1559, 1509 cm⁻¹. ¹H NMR: 9.05 (d, J 6 = 7.9, 1H), 8.66 (d, J = 12.3, 1H), 7.92 – 7.70 (m, 7H), 7.69 (t, J = 6.9, 1H), 7.63 (t, J 7 = 6.9, 1H), 7.50 – 7.32 (m, 9H). ¹³C NMR: 191.35, 190.27, 188.14, 160.68, 158.63, 8 148.23 (CH), 143.38, 141.74, 141.44, 141.00, 138.93, 134.82 (CH), 134.63 (CH), 9 133.46 (CH), 131.71 (2CH), 130.39 (2CH), 130.10 (CH), 129.84, 129.50 (2CH), 10 129.16 (CH), 128.56 (2CH), 128.00 (2CH), 126.10, 123.55 (CH), 122.94 (CH), 11 122.80 (CH), 122.66 (CH). Anal. calcd. for C₃₃H₂₀O₃: C, 85.33%; H, 4.34%. Found: 12 C, 85.48%, H, 4.46%.

13

1

14 2.3. Crystallographic data

15

X-ray crystallography data were collected on a Bruker-Nonius KappaCCD
diffractometer with CCD detector using MoKα radiation, λ = 0.71073 Å. The CIF
files have been deposited at the Cambridge Crystallographic Data Centre: 5a:
CCDC 1551457, 5b: CCDC 1551461, 5c: CCDC 1551459, 5d: CCDC 1551462, 5e:
CCDC 1551460, 6: CCDC 1551458.

21

22 **3. Results and discussion**

23 3.1. Synthesis and structures.

24 The new photochromes were prepared in one step from commercially

1 available bindone (1,2'-biindene-1',3,3'(2H)-trione, 3) and the respective 2 cinnamic aldehydes (Scheme 2). All derivatives, except **4b**, precipitated from the 3 reaction mixture as cyclic isomers **5** according to their ¹H and ¹³C NMR spectra. Derivative **5a** has been prepared at the beginning of 20th century, described as 4 5 merocyanine **4a** [15], and since then, to the best of our knowledge, no derivatives 6 of this type had been mentioned in the literature. In the case of *p*-fluorophenyl 7 cinnamaldehyde, the product of the reaction precipitates as poorly soluble thin 8 brownish shining needles. The IR ($v_{C=0}$ at 1696(s) 1672(as) cm⁻¹ of the 1,3-9 indandione moiety), and ¹³C NMR spectra ($\delta_{C=0}$ = 190.18, 187.82, 167.13 ppm) of 10 solid samples indicated the structure of **4b**. It is stable as the solid but attempts 11 to dissolve it resulted in the relatively slow at room temperature and rapid 12 above 100°C conversion into cyclic isomer 5b. Irradiation of a concentrated 13 solution of **5b** in octane afforded precipitation of **4b** as the same thin brownish 14 needles. It is noteworthy that the isolation of both components of a thermo-15 reversible photochromic system as pure substances is a very rare case.

16 Condensation of bindone with 3,3-diphenylacrylaldehyde in ethanol 17 afforded merocyanine-type derivative **6**. This compound is not photochromic 18 and does not convert into the cyclic form even upon prolonged heating.

The X-ray structures were determined for derivatives 5a - e and 6 (Fig. 1). All structures of 5a - e involve long 3C - 4C bonds (1.58 – 1.59 Å) that are somewhat longer than observed previously [14] in the structures of 1a - c (1.57 - 1.58 Å). These bond length variations do not correlate with the substituents nature within the series and can stem from the differences in the C-H...O intermolecular H-bond patterns. At least four short intermolecular C-H...O

- 1 distances ranging from 3.91 to 3.62 Å can be found in the crystal lattice of each
- 2 derivative.



4 Scheme 2. Synthesis and interconversion of derivatives **4** and **5**.

5



Fig. 1. ORTEP diagrams (50% probability ellipsoids) of 5a – e and 6. Selected
bond length (Å). 5a, C1-C13: 1.589(2); 5b, C1-C21: 1.585(2); 5c, C1-C21:
1.575(4); 5d, C1-C13: 1.581(3); 5e, C1-C21: 1.577(2).

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3.2. Photochromic behavior

8 Upon irradiation cyclic isomers **5** in solution undergo rapid conversion 9 into conjugated open isomers **4**. The respective absorption spectra changes are 10 shown in Fig. 2a on the example of **5a** to **4a** isomerization. Two isosbestic points 11 at 252 and 280 nm are observed. The solutions of **5** are pinkish and on light 12 change the color to deep orange - red (Fig. 2b). Variation of the substituents at

1 the phenyl group has very little effect on the absorption spectra of derivatives **5a** 2 - e, which are practically identical and resemble the spectrum of 1c. Only one broad weak absorption band with the maximum at about 480 nm (600 - 800 M⁻ 3 ¹cm⁻¹) is observed for isomers **5**, in contrast to derivatives **2a,b**, which exhibit 4 5 two low intensity absorption bands centered at about 480 and 600 nm. The 6 absorption spectra the photo-induced open isomers **4** are also very similar (Fig. 7 2b). The spectra of these isomers exhibit four bands with the maxima at about 8 500 (7 200 M⁻¹cm⁻¹), 430 (12 000 M⁻¹cm⁻¹) and 360 (16 000 M⁻¹cm⁻¹). The 9 structures of **4** are corroborated also by the close similarity of their spectra with 10 the spectrum of derivative **6**.

11 The ¹H NMR spectra for solutions of pure derivatives **4** cannot be 12 recorded owing to thermal conversion into **5** during the spectra recording and 13 very low solubility of isolated 4b. Nevertheless, the exceptional stability of 14 isomers 4 allowed to photochemically accumulate them in a sufficient for ¹H 15 NMR spectra concentrations (up to 50% conversion of **5** into **4**). Thus, the signals 16 at 9.08 (d, *J* = 7.8, 1H), 8.70 (dd, *J* = 15.4, 11.8, 1H) and 8.12 (d, *J* = 11.8, 1H), not 17 overlapping with the signals of cyclic isomers 5, observed in the spectra of 18 irradiated samples clearly indicate the existence of **4** as *Z*,*E*-isomers [14]. The 19 similar features exhibit also ¹H NMR spectrum of **6**.



Fig. 2. a) Irradiation of 5a in methylene chloride. b) Absorption spectra of 4
(generated at 530 nm) and 5 in methylene chloride: black – a, blue – b, green – c,
red – d, cyan – e.

5 The absorption bands of photogenerated open isomers **4** and **6** are 6 similar and exhibit slightly positive solvatochromic shifts (Fig. 3).

7 Thermal discoloration rates of 4 follow the first order kinetics, but are considerably slower than those observed previously for derivatives 2. Thus, 8 9 whereas the half-life time of 2a in methylene chloride at 25°C is 9.3 s and of 2b – 10 1.44 s, the half-life times of the open isomers 4 vary between 11.3 h (4a) and 11 17.5 *h* (**4c** and **d**) in this solvent. Discoloration in non-polar solvents occurs even 12 slower: the half-life time of **4a** in *o*-xylene is 65.0 h and of **4c** in octane amounts 13 128.4 *h*. The half-life time of **2c** in methylene chloride is 2.7 *h*. The kinetic data in 14 methylene chloride are summarized in Fig. 4.

The effect of temperature on the rates of thermal discoloration was monitored at 25, 50 and 65°C and presented in Fig. 5. The activation energies ranging from 24.6 to 26 kcal/mol (102.9 – 109 kJ/mol) were estimated from the Arrhenius plot. 1 The observed first order discoloration kinetics is apparently related to 2 the rate-determining isomerization of the Z,E-isomers of 4 (incapable of 3 cyclization) into the *E*,*E* and *E*,*Z*-isomers. The half-life times, indeed, correlate with the electron donating and accepting strength of the substituents at the 4 5 phenyl ring: the increase in the accepting strength and the decrease of the solvent polarity give rise to the enhanced half-life times of 4. The activation 6 7 energies for 2c, 4a and 4e are noticeably higher than found for DASA [12] and 8 most of spiroheterocyclic compounds [16].





11 methylene chloride: **4a** – blue, **6** – red.



2 Fig. 4. Thermal discoloration kinetics of **2c**, **4a-d** in methylene chloride at 25°C.



Fig. 5. Temperature dependence of rate constants of thermal discoloration in
toluene at 25, 50 and 65°C.

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8 The fatigue resistance, as an important characteristic of switching 9 performance, was tested for derivative **5c** in xylene and butylacetate (Fig. 6a, b) 10 by repetition of irradiation at room temperature and heating.

- 11
- 12



Fig. 6. Irradiation of 5c at 530 nm and heating cycles: a) in xylene, 10 min
irradiation, 3 min heating at 130°C; b) in butylacetate, 5 min irradiation, 18 min
heating at 100°C. Absorbance recorded at 500 nm.

6 Derivatives **5** exhibit photochromic behavior also in solid polymer 7 matrices. Thus, thin films prepared by spin-coating solutions of **5**, PMMA or 8 polystyrene in methylene chloride produced well resolved images upon 9 irradiation at 530 nm (Fig. 7). The images are visible during several days at room 10 temperature and their stability increases at lower temperatures or when the 11 residual solvent is removed in vacuum after irradiation.





15 Fig. 7. Thin film of **5a** in PMMA irradiated at 530 nm by a DLP projector.

3 Aiming the structural variations of bindone-derived photoswitches 1 4 reacting with green and red light we prepared derivatives 5 not involving 5 electron donating groups. The new photochromes can easily be prepared from 6 commercially available precursors in one step. In spite of the changes in the 7 electronic structure, evidenced by the respective change in the absorption 8 spectra (the absence of the longest wavelength intramolecular charge transfer 9 band), these derivatives also exhibit photochromic properties. Both UV and 10 visible light up to 530 nm can activate the reversible color change. The photo-11 generated merocyanines 4 are considerably more thermally stable than their 12 analogs **2** and their thermal discoloration occurs during several hours or days. 13 The merocyanine isomer **4b** can be isolated and is stable at least for several 14 months in solid state. The photoswitches retain the photochromic properties 15 also in a polymer matrix. However, not all structure variations lead to the 16 photochromic behavior as demonstrated on the example of a stable merocyanine 17 6.

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