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Lamination of pharmaceutical tablets: classification and influence of process parameters

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Abstract

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Lamination is a common industrial problem during the production of pharmaceutical tablets. It corresponds to a failure of the tablet in one or several planes parallel to the surface and passing through the tablet band. But different kinds of lamination exist, and a classification of the different cases is proposed in this work. Type 1 corresponds to a multiple fracture caused by air entrapment. Type 2 occurs because of the shear stresses developing when the tablet goes out of the die. Type 3, which is limited to convex tablets, is due to a tensile stress developing at the center of the tablet at the end of the unloading that further propagates toward the band. One case of each type was studied experimentally in order to test three solutions classically used at the industrial level: slowing down the press, using a precompression and using a tapered die. Results shows that, in coherence with the proposed mechanisms, lamination type 1 can be mitigated by slowing down the press or using a precompression. For type 2, only the tapered die solution stopped lamination. None of the solutions completely solved

- lamination type 3. Nevertheless, the use of a tapered die decreased the severity of the problem avoiding the propagation of the crack until the surface.
- 27 **Keywords**: lamination, tablet, compression, precompression, tapered die.

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1. Introduction

Tablet defects are a major concern during the manufacturing of pharmaceutical tablets. Sticking, capping and lamination are among the defects the most commonly and the most often described in the literature. Capping and lamination both refers to a problem of tablet failure after the ejection of the tablet from the die, but they, in fact, correspond to different phenomena. Capping refers to the separation of the surface of the tablet and is especially observed on convex tablets even if it can also sometimes happen on flat tablets. Lamination refers to a failure of the tablets in planes normal to the compaction direction passing through the band of the tablet¹. Capping and lamination correspond thus to different failure patterns which comes from the fact that their causes and mechanisms are different. The mechanism for capping can be found in the literature^{2–4}. As it corresponds to a phenomena different from lamination, it will not be considered in the present work that will focus on the case of lamination. In fact, even lamination does not correspond to a single phenomenon. There are different kinds of lamination that correspond to different mechanisms. This was long recognized in the pharmaceutical literature. In 1960, Long published two important articles, one about the evolution of die wall pressure during compaction⁵ and one about problems of air entrapment during compaction⁶. In both articles, laminated tablets are shown but their failures are attributed to different causes. In the case of wax tablets⁶, cracks are attributed to the presence of air in the compact and at the end of this article authors made this conclusion: "The cracks observed in wax compacts (...) are very similar in appearance to those produced by residual radial pressures in the die, but the conditions required for the production of cracks of the latter type are much less restrictive". This means that even if the patterns look similar, their origin is different. And of course, if the origin of lamination is different, it can be foreseen that the different kinds of lamination might not be solved using the same technical solution.

In order to solve lamination problems, it is thus important to understand correctly the phenomena and to be able to distinguish between the different kinds of lamination. Nevertheless, there is, to our knowledge, no published article that, based on the existing literature, tries to classify the different kinds of lamination and to understand how they could be solved. The aim of the present work is thus, first to present a classification of the different lamination phenomena and to describe, based on the literature, the mechanisms that promote each case. Then, taking an experimental case study of each kind of lamination, the influence of various process parameters (compaction speed, precompression and use of tapered die) will be studied in order to confirm the mechanisms involved and to help to propose useful strategy to solve lamination problems at the industrial level.

2. Lamination classification

According to a survey of the literature and to the authors experience, there are at least 3 different cases of lamination occurring during the production of pharmaceutical tablets. They will be called lamination type 1, 2 and 3 in the following text. It is worth noting that other kinds of lamination might exist that will not be described in the present text.

Lamination type 1 corresponds to the case where lamination is due to air entrapment as described by Long et al. for the compression of wax⁶. In the cited article, it is said that this phenomenon occurs for high speed compression in the case of materials that are very compressible, i.e. that can be compressed very close to their true density. This is for example the case for materials characterized by a very ductile behavior under pressure. In this case, air can be entrapped in the tablets and the increase of air pressure can lead to a breakage of the tablet during unloading. This phenomenon was also described more recently using microcrystalline cellulose^{7,8}. One of the distinctive characteristics of this kind of lamination is that it seems that the pattern of failure is relatively random, i.e. failure does not always occur at the same place in the band ^{7,8}. Lamination type 2 was also described by Long and is due to the die wall pressure and to the shear stresses occurring when the tablet goes out the die⁵. In fact, when the tablet goes out of the die, due to the elastic recovery and to the residual die wall pressure, shear stresses develop at the edge of the die as shown more recently by numerical simulation⁹. In this case, the shear stresses can promote the development of cracks that will then propagate from the exterior to the interior. As it can be seen on the numerical simulations presented by Garner et al.9, the shear stresses are very concentrated on the band. So, as in the case of capping which is also due to a concentrated stress, lamination type 2 should more affect products that present a great sensitivity to stress concentration². It is interesting to note that this phenomenon seems to be more severe without lubrication 5,10. It seems also that the pattern of failure is reproducible from one tablet to the other.

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Finally, lamination type 3 affects specifically biconvex tablets and correspond to a single failure plane in the middle of the band¹¹. It is due to the development of tensile stresses at the center of the tablet at the end of the unloading. This means that the failure starts at the center of tablets and then propagates towards the exterior¹¹. It is favored by a small band thickness. Due to the mechanism, this kind of failure is also favored by a high residual die wall pressure. Finally, as for any kind of failure, crack propagation will be favored in the case of products presenting a brittle fracture behavior.

As the three types of lamination arise from different mechanisms, it can be foreseen that they will not be always influenced by the same process parameters. All the three types of lamination are favored by a high compaction pressure but, for example, it was found that a high tablet thickness increases lamination tendency for type 18 but decreases lamination tendency for type 311. Among the classical technological solution that are often adopted in case of lamination occurrence at the industrial level, three were studied in the present work. The first is to slow down the press, i.e. studying the influence of press speed on the defect occurrence. The second is precompression and the third is the use of a tapered die. Upper punch penetration level is another factor which is often used to solve lamination. Nevertheless, due some limitations of the compaction simulator used, in was not possible to change this parameter without affecting the kinematic of the rest of the compaction cycle. This parameter was thus not studied in the present work.

In the following text, three examples of lamination situations, each representing one of the 3 types presented above, were studied, and the influence of the three classical solutions (speed, precompression and tapered die) on each case of lamination was assessed.

3. Materials and method

3.1. Powders

Three different formulations were considered in the present work, each one representing one type of lamination. Their choice was based on previous studies and on in house knowledge ^{8,11}. The products used were Microcristalline cellulose "MCC" (Vivapur 12, JRS Pharma, Rosenberg, Germany), Anhydrous calcium phosphate "ACP" (Anhydrous Emcompress, JRS Pharma, Rosenberg, Germany) and Tricalcium phosphate "TCP" (Tricafos 500, Chemische Fabrik Budenheim, Budenheim, Germany). Each product was mixed with 2% (w/w) of Magnesium stearate (LIGAMED MF-2-V, Peter Greven France, Rueil Malmaison, France). This percentage, which is relatively high especially for MCC, was chosen for the sake of the demonstration. In the case of MCC, it makes it possible to emphasize the lamination defects as shown elsewhere⁸. For ACP and TCP, this percentage is needed to avoid sticking problems. Formulation blending was performed for 5 minutes at 49 rpm in a Turbula mixer (Type T2C, Willy A. Bachofen AG, Muttenz, Switzerland).

3.2. Tablet manufacturing

All tablets were manufactured on a compaction simulator Styl'One Evolution (Medelpharm, Beynost, France). This device is a single station instrumented tableting machine. It is equipped with force sensors (strain gauges) on both punches and on the die wall, and the displacements of the punches are monitored using incremental

sensors. It is equipped with one engine for each punch. The compaction cycle was set to simulate a Korsh XL 100 tableting press. Three speeds were simulated:1 rpm, 10 rpm and 60 rpm. At these speeds, the maximum punch velocities during compaction were respectively 1.5 mm/s, 14 mm/s and 80 mm/s.

3.2.1. Lamination experiments

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and 160 MPa were used.

First, process parameters were chosen for each product to obtain lamination. The manufacturing parameters were adapted for each product for the sake of the demonstration, i.e. parameters were chosen to obtain laminated tablets. MCC and TCP tablets were manufactured using 11.28mm round flat punches and ACP tablets using 11.28R11 round concave punches (i.e. punch diameter of 11.28 mm and radius of curvature 11 mm). Two dies were used (ACM, Avilly-Saint-Léonard, France). A straight die and a tapered die with taper depth of 4.76mm and a total enlargement of 0.08mm (i.e. a taper angle around 0.3°). Filling height was 10 mm for MCC, 9.2mm for TCP and 8 mm for ACP. Manufacturing pressure was set to 230 MPa for MCC, 100 MPa for TCP and 200 MPa for ACP. All compaction experiments were performed using a symmetrical compression with a tablet center positioned at 6mm inside the die (this position ensures the symmetrical compression on the machine used) Precompression was added for some experiments as explained below. For each formulation, different levels of precompression were tested. Precompression pressure was set to 20, 35, 80, 112 and 130 MPa for MCC. For TCP precompression pressures at 20, 35, 50 and 80 MPa were tried. For ACP precompression levels at 15, 30, 60, 115 For each condition, 10 tablets were made and scrutinized to assess lamination.

3.2.2. Characterization experiments

These experiments were performed to determine the tabletability profiles for all the products. For this purpose, 11.28 mm flat round punches were used. A straight die was used for MCC and ACP and the tapered die previously described was used for TCP, as no intact tablets could be obtained using a straight die. Tabletability was measured, using, as in the lamination experiments, the cycle of a Korsch XL-100 at three compaction speed: 1rpm, 10 rpm and 60. The filling heights were the same as in part 3.2.1. For each product and each speed, tablets were manufactured at 6 pressure points between 40 and 260 MPa. Three tablets were manufactured for each pressure point.

3.3. Mechanical tests

The diametral compression test was used in two cases. First, it was used to detect lamination type 3 in the cases where the tablet was not visually laminated. It was previously seen that this methodology was well suited to detect internal lamination cracks by comparing the results with images obtained using X-ray micro CT on the tablet before the breaking test¹¹. Second it was used to break the tablets made to build the tabletability profiles.

The diametral compression test was performed using a TA.HDplus texture analyzer (Stable microsystems, Surrey, United Kingdom). Compacts were compressed between two flat surfaces at a constant speed of 0.1 mm.s⁻¹ with an acquisition frequency of 500 Hz.

For the tabletability profiles, the tensile strength, σ_r , was calculated for each test using the following equation¹²:

$$\sigma_r = \frac{2F}{\pi Dh}$$

where F is the breaking force, D the diameter of the tablet and h its thickness.

4. Results and discussion

4.1. Lamination behavior of the formulations

At a compaction speed of 60 rpm, without precompression and in a straight die, all the products studied presented lamination after the ejection. A photograph of a laminated tablet for each product is presented in Figure 1.

The failure pattern for MCC is similar to the one presented in a previous publication⁸. It corresponds to a multi-breakage with a random pattern from one tablet to another and can be classified as type 1⁸.

The pattern obtained for ACP was already described elsewhere ¹¹ and corresponds to a breakage into two halves in the middle of the band that we classified as type 3.

TCP tablets presented a very reproducible failure pattern with a thin layer detaching from the bottom of the tablet at the end of the ejection. The reproducibility of the pattern and the fact that it was near one end of the tablet seemed to indicate that it corresponded to a type 2. Nevertheless, as the failure occurs in the band but near the edge of the tablet, this defect could be confused with capping. In order to ensure our identification of this defect as lamination type 2, some tests with a lower lubrication were

performed. Indeed, as mentioned above, the cases found in the literature are usually obtained on unlubricated tablets ^{5,10}, which seems to mean that a lower lubrication should increase the defect. A first try was performed using TCP with only 0.5% of Magnesium stearate. Figure 2 shows the comparison between the failure pattern observed with 0.5% and 2% lubricant in the powder. The patterns look similar, i.e. a layer is detached at the bottom of the tablet, but in the case of 0.5% of lubricant, this layer is thicker and the defect cannot be confused with capping anymore. This confirms that the defects observed was indeed lamination, and, as said above, the reproducibility of the pattern points towards a type 2. Note also that this pattern is very similar to the one presented by Anuar et al. for unlubricated paracetamol tablets compressed at moderate pressure ¹⁰. It is important to remark that in the cited article, the detached layer is near the top of the tablet but the tablet was ejected downwards, i.e. with the bottom part exiting first. So the broken layer is situated near the face that last exits the die, like in the present case.

A further try was made without any lubricant. It led to completely destroyed tablets after ejection as presented in Figure 3. Cracks are occurring in multiples directions so the failure pattern is difficult to describe precisely. Nevertheless, among the factures, several laminations level can be seen, as expected from the literature ^{5,10}, which confirms, again, that for this product, a lamination type 2 is observed.

4.2. Influence of the process parameters

As described above, three solutions were tested to solve the lamination problem: reducing the tableting speed, adding a precompression and using a tapered die. The results obtained are presented in Table 1. The number in each cell of the table

corresponds to the percentage of laminated tablets among the 10 tablets produced for each condition.

The first parameter studied was the compaction speed. As said above, in a straight die at 60 rpm, 100% of the tablets were laminated for the three products. The compaction speed was first reduced to 10 rpm. As reported in Table 1, at this speed, all the tablets of TCP and ACP were still laminated. On the contrary, MCC tablets showed no sign of lamination. To further test the effect of speed on the lamination of ACP and TCP, the press was then slowed down to 1 rpm. Again, all the tablets showed defects. Nevertheless, if for ACP the lamination pattern was exactly the same, in the case of TCP, the defects looked slightly different as shown in Figure 4. At 1 rpm, the lower part of the tablet did not separate completely, like at higher speed, but only a part of it was detached and it was necessary to pull it a little bit to detached it.

In a second step, effect of precompression was studied at 60rpm with a straight die. In the case of MCC, using a precompression made it possible to suppress completely the problem. For the main compression pressure used, 230MPa, levels of precompression between 35 and 115 MPa made it possible to obtain intact tablets. On the contrary with precompression pressures at 20 and 130MPa, the tablets were laminated. There is thus a minimum and a maximum level of precompression between which precompression is effective to suppress this type of lamination. For ACP and TCP, various levels of precompression were also tried as described above, but in each case, 100% of the tablets were still laminated, whatever the precompression pressure.

Finally, the effect of a tapered die was also studied at 60 rpm. For MCC, under the conditions used, no effect was found, all the tablets being laminated after the ejection.

On the contrary, for TCP, the use of a tapered die made it possible to suppress completely the problem as no sign of lamination was detected on the produced tablets. Finally, the case of ACP gave another situation. Directly out of the die, the tablets showed no external sign of lamination as mentioned in Table 1. The ten tablets were then submitted to the diametral compression test to verify if signs of lamination could be found inside the tablet as described elsewhere ¹¹. All the tablets showed, after diametral compression large signs of internal defects as shown in Figure 5. This means that the use of a tapered die didn't suppress completely the lamination, as the cracks were seen after the diametral compression test, but it renders the problem less severe, meaning that the cracks, which are created at the center, did not fully propagate until the tablet band.

4.3. Tabletability profiles

To allow for a better interpretation of the lamination phenomena, tabletability profiles were determined, for each product, at 1rpm, 10 rpm and 60 rpm. As seen above, in the case of TCP, no intact tablet could be obtained if a straight die was used. In order to have an idea of the behavior of this product, it was thus decided to use a tapered die. Nevertheless, a straight die was used for MCC and ACP. The results are presented in figure 6.

As expected, MCC makes it possible to obtain strong tablets at low speed. It also showed signs of strain rate sensitivity as weaker tablets were obtained, at the same pressure, when the press speed increased. This is especially visible between 60 rpm and 10 rpm. It can also be noticed that, at 60 rpm, no tablets are presented above 125 MPa. The reason is that, above this pressure, all tablets were laminated using this

compaction speed. Finally, it can be seen that the level of pressure chosen for the lamination study, 230 MPa, correspond to a case where the tensile strength seems to reach a limit, i.e. it is closed to what is sometimes called "over-compression" in the literature.

TCP also makes it possible to obtained very strong tablets (e.g. the tensile strength of 2 MPa which is often recommended is already overpassed at a compaction pressure of 100 MPa). No sign of strain rate sensitivity could be seen for this product. Contrary to the case of MCC, the pressure chosen for the lamination study, 100 MPa, is far from a tensile strength plateau. At 100 MPa, the product cannot be considered as overcompressed.

Finally, among the three products presented, ACP gives the weakest tablet at one compaction pressure. It is interesting to note that some strain rate sensitivity can be found for this products despite its known brittle nature. As for TCP, for the pressure used in the lamination study (200 MPa), the tensile strength has not reached a plateau and the product is not over-compressed.

4.4. Discussion

The different technical solutions commonly used to solve tablets lamination had some effects as presented in Table 1. Nevertheless, the effect was dependent on the type of lamination. It would thus now be interesting to discuss the reasons why some of the solutions might have an effect and why they made it possible to mitigate or not the different kinds of lamination.

Let's first consider the influence of tableting speed. Considering the literature, two main effects can be foreseen. First, increasing the tableting speed will leave less time for air to escape during the compression so it will favored the increase of air pressure during compression and as such it should influence the occurrence of lamination type 1 6,7,13. So it is logical to find that the lamination of MCC, i.e. lamination type 1, which is due to air entrapment can be solved by slowing down the press. The second effect is that increasing the compaction speed generally lowers the tabletability, i.e. the tensile strength obtained for a given compaction pressure ¹⁴. Results presented above showed that both MCC and ACP presented some strain rate sensitivity (Figure 6). This effect might thus participate also in the resolution of lamination Type 1 and could also influence, in our case, lamination type 3. Nevertheless, even if an influence of speed on lamination type 3 cannot be completely ruled out, the results presented above showed that, even at very low speed, tablets still presented defects. Slowing down the press seems thus not to be an option to avoid lamination 3 in the present case. As no impact of the speed on the tensile strength was found in the case of TCP, this could explain the lack of efficiency of speed reduction in our case for lamination type 2. Nevertheless, in the case of TCP, it was observed, at very low speed, that the defects pattern was slightly different. It is difficult to give a definitive explanation for this phenomena. Nevertheless, one reason could come from the friction. As said above, it is well known that lamination type 2 is influenced by the friction between the tablet and the die, i.e. the phenomena seems worth when the frictions are high ^{5,10}. More precisely, the results obtained above for various lubrication levels indicate that decreasing the friction moves the location of the defect toward the lower face of the tablet. It is also known that the friction coefficient increases with the sliding speed¹⁵. So decreasing the speed, because

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it lowers the friction coefficient, moves the initiation of lamination toward the tablet edge.

A crack which initiate near the tablet edge would lead to a very thin layer which is likely to break due to the granular structure of the tablet. This could explain why only

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incomplete layers are detached.

The role of precompression has been debated in the literature. On the one hand it seems to have a desaeration role, i.e. it reduces the amount of air in the tablet during the main compression step ^{16–19}. On the other hand, it increases the time under pressure and as such it could allow for stronger bonds in the tablet ^{18–20}. It is a mean that is often used in case of tablet defects, i.e. lamination and capping. There is no definitive proof in the literature about the way precompression could solve defect problems, but in the case of capping, it was shown that the increase of the time under pressure was, at least in certain cases, not the reason for problem solving 21. In the present case, precompression only made it possible to suppress lamination in the case of lamination type 1, i.e. MCC. In this case, it was found that between a minimum and a maximum pressure, the use of precompression made it possible to obtain intact tablet. The results for MCC is coherent with the proposed mechanism, i.e. air entrapment. Precompression makes it possible to release air from the formulation. A minimum precompression pressure is needed. This means that, to be effective, a threshold quantity of air must go out of the tablet during precompression. If the pressure during the precompression is too high, porosity will be closed during precompression, so air will not escape and lamination might occur during precompression. This explains the existence of a maximum pressure value. This is confirmed by the tabletability results presented in figure 6. As said above, for pressures higher than 125 MPa, no intact tablets were obtained for MCC at 60 rpm. The precompression pressure 130MPa is thus in the range where lamination starts without precompression. It is logical to find the upper limit of precompression in this range. Concerning the two other cases of lamination, there is no proof in the literature that precompression changes the structure of the tablet (e.g. density distribution) or the stresses applied to it (e.g. residual die-wall pressure). So considering the mechanism described above, it is not surprising that precompression had no impact on lamination type 2 and 3.

Finally, the effect of tapered die, as presented in the literature, seems to rely on two main effects. The first one is that the larger gap between the punch and the die on the upper part of the die makes it easier for air to escape¹³. The second one has to do with the release of the die wall pressure during unloading. Because the slope of the taper is small, the release of the die wall pressure is much more gradual (or slower) than in the case of a straight die. The shear stresses at the edge of the die are then smaller and it was shown that this made it possible to limit defects seen on the tablet band ⁹. Results presented in Table 1, indicate that the tapered die had no effect on the lamination of MCC. This means that, even if the impact of the tapered die on air escape cannot be ruled out, the effect obtained in our case was marginal and did not allow for a mitigation of lamination. On the contrary, for TCP, the use of a tapered die suppressed completely lamination. This is very logical if we consider that TCP corresponds to a lamination type 2. In this case, lamination is due to a shear stresse acting on the tablet band when the tablet goes out of the die. But these shear stresses are due to the die wall pressure and to the elastic recovery of the tablet. The tapered die makes it possible to smoothly

release the elastic strains and the die wall pressure and, as a consequence, it lowers the shear stresses that can promote lamination during ejection ⁹.

Finally, the effect of a tapered die on ACP gives an interesting insight on this case of lamination. As described above, this defect comes from tensile stresses at the center of the tablet that develop at the end of the unloading and that propagate from the center towards the surface of the band 11. Considering this mechanism, it is thus not expected to have significant effect of the tapered die on the occurrence of this defect as it does not change the unloading part of the cycle (note that the whole band is below the taper during unloading in the conditions used in this study). Nevertheless, the results showed that, contrary to the case of the straight die, the tablets were not laminated out of the die. After diametral compression, the tablets showed nevertheless large lamination defects, meaning that the use of tapered die did not eliminate the initiation of the defects but that it more likely played a role on the growth of the defects toward the surface. This seems to indicate that the ejection process and especially the friction occurring during ejection play a role in the propagation of the central defect toward the surface. As a tapered die lowers the die wall pressure during ejection it also lowers the friction forces, reducing, by this way, the growth of the central crack of the tablet. So in the case of lamination type 3, tapered die did not suppress the lamination cracks but it limited their propagation

5. Conclusion

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At least three different kinds of lamination of pharmaceutical tablets can be described. Each type corresponds to a different mechanism and as such is influenced differently by the process parameters.

On the one hand, in the case of lamination type 1, which is due to air entrapment, slowing down the press and using a precompression were shown to be efficient means to mitigate the problem. On the other hand, these two solutions had no effect on lamination type 2, which is promoted by shear stresses developing upon the ejection of the tablet from the die. Nevertheless, we found it possible to suppress lamination type 2 by using a tapered die, solution that had no visible influence on the case of lamination type 1 studied in this work. Finally, none of the three proposed solutions had a definitive effect on lamination type 3. Only the tapered die was found to lower slightly the severity of the defects without suppressing them completely. In the case of this defects, solution can come from changes in the tablet geometry in order to increase the band thickness as already presented elsewhere¹¹.

These results show that, at the industrial level, when lamination problems occur, it is of primary importance to correctly identify the type of lamination during the root cause analysis in order to use the adapted technical solution for troubleshooting.

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Legend to figures

- Figure 1: Photographs of laminated tablets: MCC(left), TCP (center) and ACP (right)
- Figure 2: Comparison of the fracture patterns of TCP with different lubrication levels:
- 451 0.5% (left) and 2% (right)
- Figure 3: Example of tablet obtained for unlubricated TCP
- Figure 4: Tablets obtained for TCP at 1 rpm
- Figure 5: Photograph of the interior of a tablet of ACP after the diametral compression
- 455 test

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456 Figure 6: Tabletability profiles (a) MCC; (b) TCP and (c) ACP.

Legend to tables

- Table 1: results of the observation of lamination for the different conditions used. The
- number in one cell indicates the percentage of laminated tablets among the 10 tablets
- 460 produced for these parameters.











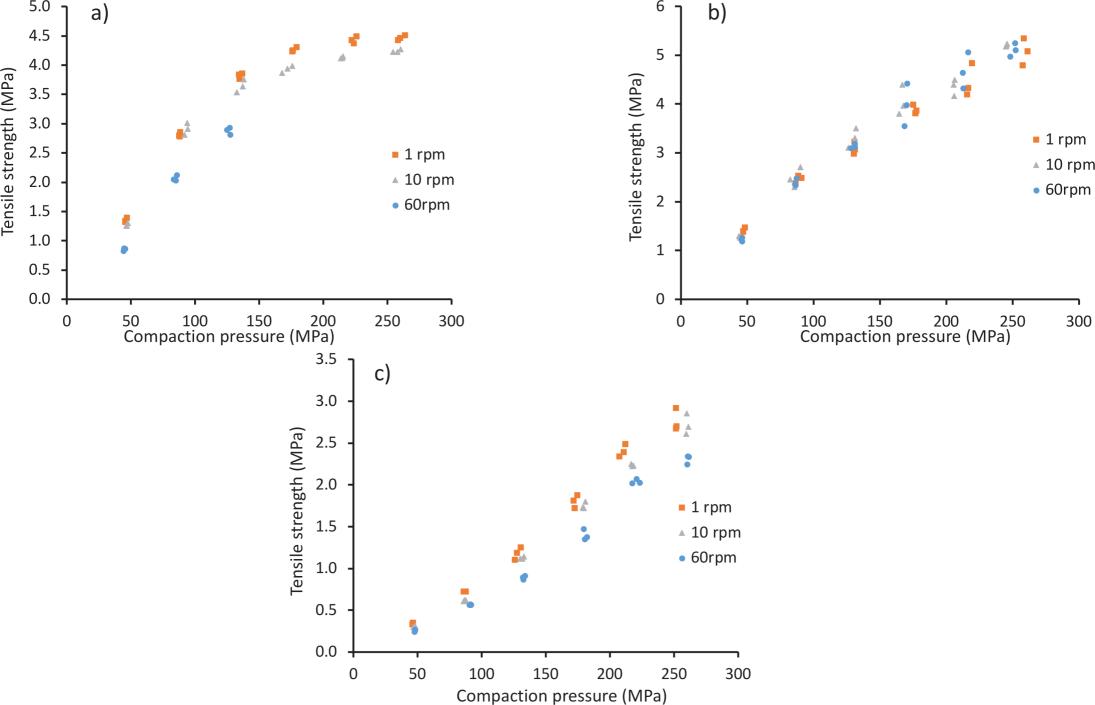


Table 1: results of the observation of lamination for the different conditions used. The number in one cell indicates the percentage of laminated tablets among the 10 tablets produced for these parameters.

		1rpm	10rpm	60 rpm		
Product	Lamination type	Straight die	Straight die	Straight_die	Tapered die	effect of precompression
MCC	1	x	0%	100%	100%	yes
TCP	2	100%*	100%	100%	0%	no
ACP	3	100%	100%	100%	0%**	no

^{*}defects on all the tablets but the lower face of the tablet was not completely detached. ** no lamination out of the die, but strong internal lamination after diametral compression.