

Water-Silanol Interactions on the Amorphous Silica Surface: a dispersion-corrected DFT Investigation

Youssef Berro^{a,b}, Michael Badawi^{a,}, Fouad El Haj Hassan^b, Mounir Kassir^b,
and Frederik Tielens^{c,*}*

^a Laboratoire de Physique et Chimie Théoriques LPCT – UMR CNRS 7019, Université de Lorraine, Vandœuvre-lès-Nancy, France.

^b Plateforme de Recherche et d'Analyse en Sciences de l'Environnement PRASE, Université Libanaise, Hadath, Liban.

^c General Chemistry (ALGC), Vrije Universiteit Brussel (Free University Brussels-VUB), Pleinlaan 2, 1050 Brussel, Belgium.

*corresponding authors: michael.badawi@univ-lorraine.fr; frederik.tielens@vub.be

1 **ABSTRACT**

2 Interpreting the interaction between the amorphous silica surface and water is a key step to
3 understand its physicochemical properties. However, due to the flexibility of the structure and
4 the distribution of types and geometries of the silanols one can obtain a broad range of
5 interaction energies, as was already shown in former studies. This time we were able to
6 investigate the distribution of silanols in relation with the calculated interaction energies, and
7 thus designate those different silanols sites. Different dispersion-correction PBE-D (PBE + D2,
8 D3, D3-BJ, TS, TS-HI, MBD, and FI-MBD) and meta-GGA SCAN methods has been used to
9 quantify the interactions between water and defined silanols sites of the surface. All the methods
10 give similar interaction energies, showing equivalent performances of SCAN and PBE-D
11 methods to describe weak interactions in our system. Following various routes, we identified a
12 protocol of calculation in order to compute the interaction energies more accurately, taking into
13 consideration the van der Waals (vdW) forces. Once different silanols are correctly described
14 within the calculation level, it is clear that the geometry and environment determine its
15 chemistry. Furthermore, the possible deformation of the silica surface affected by the water
16 interaction is studied. The quantification of the interaction energies is important in order to
17 correctly scale the results and confront with the experiments. With this information in mind, one
18 can think about synthesis techniques that modify the silanols distribution of the silica surface in a
19 way to tune its hydrophobicity and acidity.

20

21 **Keywords**

22 dispersion-corrected DFT; amorphous silica; silanol defects; water interaction; SCAN

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1 **1. INTRODUCTION**

2 Being one of the most famous materials used in adsorption and catalysis applications due to
3 its structural properties [1–6], the amorphous silica (silicon dioxide) has been investigated using
4 density functional theory (DFT) calculations during the last decade [7–10]. The physico-
5 chemical properties of the amorphous silica are ruled by the presence of silanol groups [11,12],
6 which are characteristic defects in the bulk and at the surface or interface of the finite particles.
7 Due to the intrinsic properties of the amorphous structure of silica, different types of silanol
8 groups can be distinguished which geometrical parameters are spread over a distribution function
9 depending of the origin and synthesis protocol followed. This statistical feature makes it difficult
10 to assign one property to one specific type of silanol group. The prediction of macroscopic or
11 global properties such as hydrophobicity, or acidity is also challenged by this statistical
12 distribution of silanol groups, because one type of silanol groups can show opposite properties
13 depending on the local environment and surface concentration [13–15]. In order to understand
14 the evolution of the chemistry within one type of silanol group over the property range (mainly
15 ruled by the local geometry), the silanol group interactions should be accurately described. It is
16 the combination of the accurately described properties of the silanol groups over a statistical
17 distribution that might enable the overall description of the investigated amorphous silica.

18 The construction of models is in the case of amorphous silica the most delicate milestone
19 [16–21]. In recent years, some of us specialized in combining the amorphicity, size and
20 periodicity in one model, with the aim to perform DFT calculations on amorphous silica [14,21–
21 32], and in particular to investigate its hydration and acidity [25,29,31]. The chemistry of the
22 silanol groups at the amorphous silica surface is dependent on: a) the coverage, b) the type of
23 silanol (isolated, vicinal, geminal, and in nests), and c) the inter-molecular interactions between

1 the silanol groups (mainly H-bonds) [14,33,34]. The accurate description of H-bonds in quantum
2 chemistry is not new and present a recurrent problem [35,36]. In 2006, van der Wijst *et al.*[37]
3 compared the performance of different popular density functionals (B3LYP, BLYP, BP86,
4 mPW, OPBE, PBE, PW91) for describing the geometry and stability of hydrogen bonds in DNA
5 base pairs. They showed that results obtained from BP86 and PW91 were consistent with ab
6 initio and experimental results, while B3LYP functional underestimates the strengths and
7 overestimate the distances of hydrogen bonds. **In contrast, the B3LYP functional overestimates**
8 **the strength of hydrogen bonds in the case of clusters of methanol when using insufficiently**
9 **complete basis set [38], meaning that the capability of the DFT methods to determine the**
10 **strength of H-bonds can be assessed with the used basis sets.** Some years later, Grimme et al.
11 [39] evaluated the performance of 17 dispersion corrected density functional methods on the new
12 S66 and S66x8 benchmark sets for non-covalent interactions showing that double-hybrid
13 functionals are the most robust and accurate methods.

14 Nowadays, within the periodic DFT framework [40], different methods are able to tackle this
15 problem ranging from adapted functional to empiric London-dispersion corrections [41–43].
16 Indeed, the last decade has witnessed tremendous effort in the development of various correction
17 methods to account for the missing London dispersion interactions in conventional Kohn-Sham
18 DFT calculations [44]. Two types of approaches are mainly used. One can use specific non-local
19 correlation functionals that approximately account for dispersion interactions, as originally
20 developed by Dion et al. [45] and improved by other groups [46,47]. However, due to the
21 relatively high cost of calculation, we decided to focus in the present work on the second
22 approach that considers the additive correction schemes to include dispersion interactions [48].
23 According to this approach, different methods have been developed during the few precedent

1 years, among them the D2 [44,49,50], D3 [51], D3-BJ [52], TS [53,54], TS-HI [55,56], MBD
2 [57–59], and FI-MBD [60] methods that will be described in the computational details section.
3 The performances of these dispersion-corrected methods will be compared with the recently
4 developed meta-GGA SCAN functional, which should correctly describe hydrogen bonds [61].
5 This method, at almost GGA cost, matches or improves on the accuracy of expensive hybrid
6 functional when used to predict geometries and energies of diversely bonded molecules and
7 materials (including covalent, ionic, metallic, hydrogen, and van der Waals bonds) [61].
8 In the present paper, we study the interaction between the amorphous silica and water, as a probe
9 for the silanol chemistry, using the DFT-D toolbox in order to describe as accurate as possible
10 the different types of silanol groups (isolated, vicinal, geminal, and in nests) independently. This
11 will help to the understanding of the complex amorphous silica-water interface investigated since
12 a decade [24,25].

13

14 **2. COMPUTATIONAL DETAILS**

15 **2.1 Calculation settings**

16 The Vienna Ab initio Simulation Package (VASP) software has been employed to perform
17 DFT periodic calculations [62,63]. Perdew Burke Ernzerhof (PBE) [64] semi-local exchange-
18 correlation functional is chosen, and electronic wave functions are expanded into plane waves
19 with a cut-off energy of 450 eV. Electron-ion interactions have been described using the
20 projected-augmented-wave (PAW) method [65]. Kohn-Sham equations are solved self-
21 consistently until an energy difference of 10^{-6} eV is reached [66]. Atomic positions relaxation
22 has been done until all forces become smaller than 0.01 eV/Å per atom. The Γ -point is used in
23 the Brillouin-zone integration.

1 London dispersion interactions have been taken into account using several correction
2 additive schemes to the PBE calculations. All these corrective methods provide an E_{disp} term to
3 be added to the Kohn Sham energy and are available now in the VASP package [50,54–
4 56,59,60]. These methods include pairwise additive correction schemes of Grimme [44,49–52] in
5 which the C_6 coefficients are available in literature (semi-empirical D2 [49] or corrected
6 depending on the coordination number D3 [51]). In the D3-BJ version, the damping function has
7 been improved [52]. Tkatchenko and Scheffler (TS) have developed another approach based on
8 the determination of C_6 on the fly, depending on the chemical environment [53,54]. The
9 Tkatchenko-Scheffler scheme with iterative Hirshfeld partitioning, TS/Hi, is later developed and
10 implemented in VASP by Bučko and co-workers [55,56] and proved to describe more accurately
11 dispersion interactions in both covalent and ionic systems [43,67]. The more sophisticated non-
12 additive many-body variants of the TS scheme based on the adiabatic connection fluctuation-
13 dissipation (ACFD) theorem [57,58], without (MBD) [59] and with (MBD-FI) [60] taking
14 account of the ionicity effect, are discovered recently. The meta-GGA SCAN (Strongly
15 Constrained and Appropriately Normed) functional obeys all 17 known exact constraints that a
16 meta-GGA can and it is nearly exact for a set of appropriate norms including rare-gas atoms and
17 non-bonded interactions, which make it more accurate to describe weak interactions [61,68].

18 **2.2 Structural model**

19 The amorphous silica model structure developed and studied by Tielens and his co-workers
20 [21], which present a silanol density of 5.8 OH/nm² has been employed. The surface unit cell
21 parameters are $a = 12.77 \text{ \AA}$, $b = 17.64 \text{ \AA}$, $c = 25.17 \text{ \AA}$ (including 15 \AA of vacuum) and it is
22 composed of 27 Si, 67 O, and 26 H atoms. **Figure 1** shows, from different views, the structure of
23 the amorphous silica model and the types of studied silanols within. Silanol sites present on the

- 1 amorphous silica surface were described based on their type and the surrounding environment.
- 2 Six sites were studied, (i) the isolated site, (ii) the geminal site, (iii) the vicinal site, (iv) the gem-
- 3 vic site, (v) the nest-1 site, and (vi) the nest-2 site.

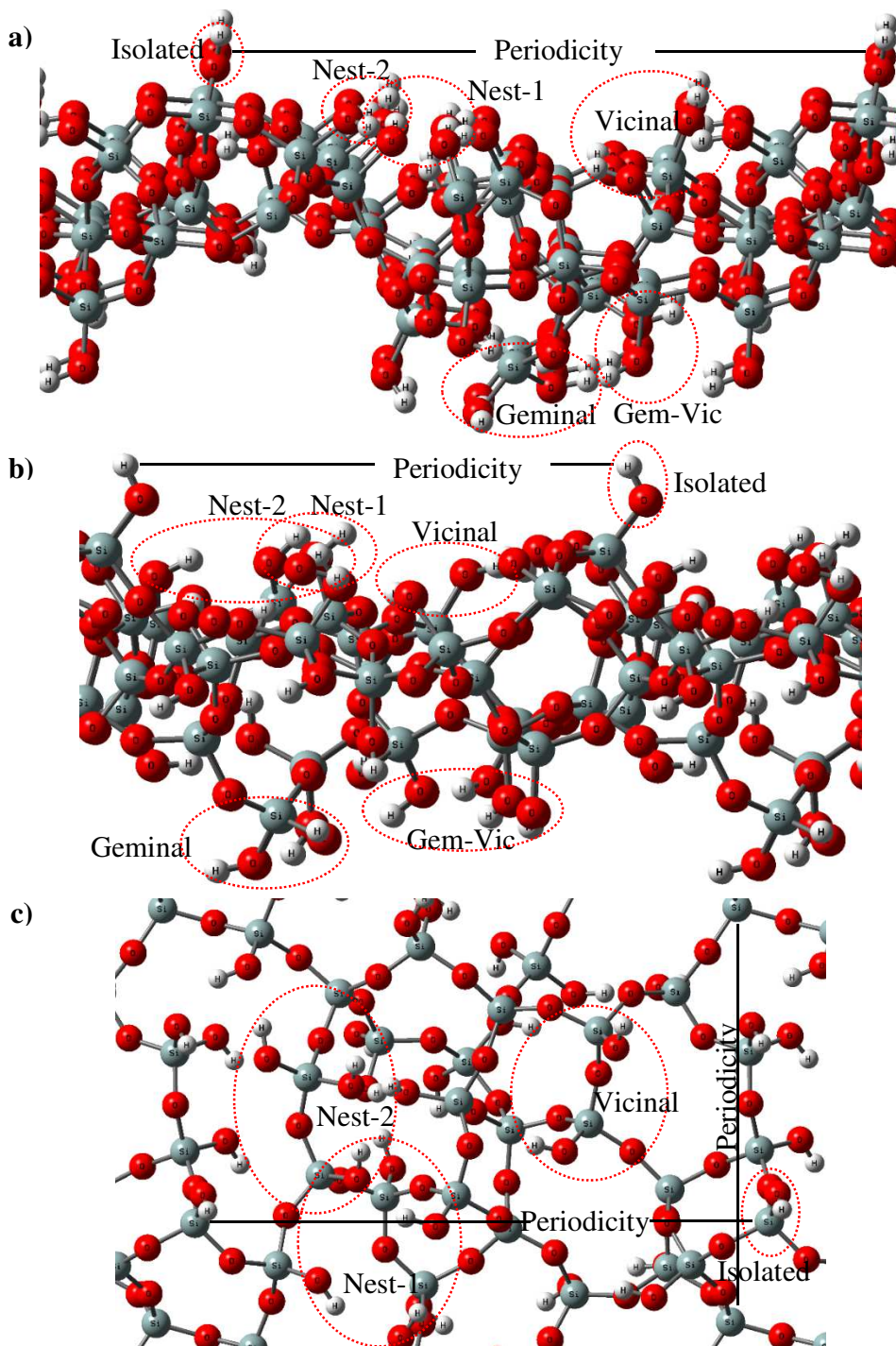


Figure 1. Different silanol sites of the amorphous silica model from various views: a) side view-1, b) side view-2, and c) top view (oxygen atom sphere in red, silica in grey, hydrogen in white).

1 **2.3 Adsorption model**

2 **Figure 2** shows the various methods and starting geometries used to perform the
 3 calculations. Starting from a first initial geometry (“fromGeom0”), we relaxed the geometry of
 4 all systems (silica surface, water molecule, silica and water) using PBE functional or different
 5 dispersion-corrected PBE methods (PBE + D2, D3, D3-BJ, TS, TS-HI, MBD, FI-MBD). Using
 6 the new geometry obtained after the relaxation using the PBE method (“fromPBE”) we
 7 performed single point dispersion-corrected PBE, dispersion-corrected PBE and meta-GGA
 8 SCAN geometry relaxations. As well, using the geometry obtained after the relaxation using
 9 PBE+D2 (“fromD2”), we performed dispersion-corrected PBE single point and geometry
 10 relaxation calculations.

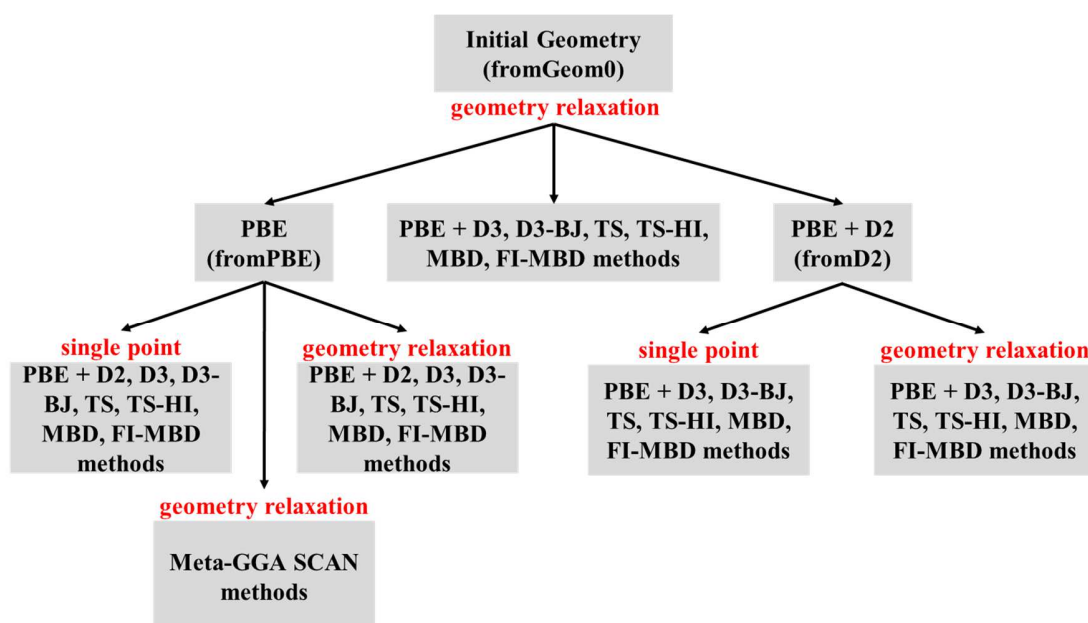


Figure 2. Scheme showing the various methods (GGA PBE, dispersion-corrected PBE, and meta-GGA SCAN) and starting geometries used to perform single point and relaxation calculations.

1 For each method, the interaction energy between one type of silanol and water molecule has
2 been determined through several sets of DFT calculations that allow to obtain the energy of pure
3 silica surface E_{Sil} , the energy of isolated water molecule in the gaseous phase E_{water} , and the
4 energy of the system (water molecule on silica surface) $E_{\text{Sil-water}}$. This energy, E_{int} , having a
5 positive value corresponding to an exothermic process is determined as follows:

$$6 \quad E_{\text{int}} = E_{\text{Sil}} + E_{\text{water}} - E_{\text{Sil-water}} \quad (1)$$

7 In the case of dispersion-corrected PBE methods, the interaction energy can be described as the
8 sum of the PBE (E_{PBE}) and dispersion (E_{disp}) energies.

$$9 \quad E_{\text{int}} = E_{\text{PBE}} + E_{\text{disp}} \quad (2)$$

10 **3. RESULTS AND DISCUSSIONS**

11 **3.1 Protocol of relaxation**

12 The interaction energies, between water and silanols, and their relative PBE and dispersion
13 contributions have been evaluated, they are computed using DFT+D calculations over each site
14 of the amorphous surface using different dispersion-correction methods. The integration of the
15 vdW forces effect using those correction methods is made via three different routes (**Figure 2**).
16 The first route is called “fromGeom0”, in which the non-corrected PBE, the corrected D2, D3,
17 D3-BJ, TS, TS-HI, MBD, and FI-MBD methods were applied directly for a randomly placed
18 water molecule over the selected site. The second route is called “fromPBE”, in which firstly a
19 geometry relaxation of the system for a randomly placed water molecule on the chosen site is
20 done using PBE calculations, and then the dispersion-correction methods are added to perform

1 new corrected relaxation on the PBE pre-relaxed configuration. The third route is called
2 “fromD2”, which is similar to the second route but with the relaxation using various correction
3 methods (D3, D3-BJ, TS, TS-HI, MBD, FI-MBD) of the PBE+D2 pre-relaxed geometry. As
4 well, single point dispersion-corrected PBE calculations were performed on those two pre-
5 relaxed configuration (“fromPBE” and “fromD2”). All those calculations aim to define a
6 protocol of calculation in order to include the effect of the dispersion forces using the most
7 accurate method keeping also in mind the calculation time. For the isolated, vicinal, geminal, and
8 nest-1 silanols, a full relaxation calculation always lead to the same minima for a given level of
9 theory, whatever the starting geometry, *see Tables S1 to S4 in Supplementary Material*.
10 However, the interaction energies over the Gem-Vic (**Table 1**) and the nest-2 (**Table 2**) sites are
11 affected by the calculation procedure. The accuracy of the calculation procedure is assessed by
12 comparing the total interaction energies following various routes, and by comparing the PBE
13 contribution part of the interaction energy for dispersion-corrected calculation, with the non-
14 corrected PBE interaction energy. These two criteria can give us an information about the
15 reliability of the obtained results following the various method and routes in order to define a
16 protocol of calculation that can be used to other similar systems.

Table 1. Water interaction energies (PBE and dispersion contribution) over the gem-vic site of the amorphous surface obtained by single point and geometry relaxation calculations using various procedure routes (different starting geometries and correction methods).

E_{int} ($E_{\text{PBE}}/E_{\text{disp}}$) (kJ/mol)	PBE	PBE +D2	PBE +D3	PBE+D3- BJ	PBE +TS	PBE+TS- HI	PBE +MBD	PBE+FI- MBD
fromGeom0 <i>relaxation</i>	58	64 (46/18)	69 (57/12)	70 (57/13)	62 (49/13)	59 (50/9)	62 (48/14)	59 (49/10)
fromPBE <i>single point</i>	*	72 (57/15)	69 (58/11)	71 (59/12)	81 (67/14)	83 (71/12)	93 (71/22)	91 (71/20)
fromPBE <i>relaxation</i>	*	72 (58/14)	69 (58/11)	71 (58/13)	70 (58/12)	67 (57/10)	72 (57/15)	69 (58/11)
fromD2 <i>single point</i>	*	*	60 (47/13)	61 (47/14)	61 (47/14)	56 (46/10)	61 (46/15)	59 (46/13)
fromD2 <i>relaxation</i>	*	*	61 (48/13)	61 (47/14)	61 (48/13)	57 (47/10)	62 (47/15)	60 (47/13)

Table 2. Water interaction energies (PBE and dispersion contribution) over the nest-2 site of the amorphous surface obtained by single point and geometry relaxation calculations using various procedure routes (different starting geometries and correction methods).

E_{int} ($E_{\text{PBE}}/E_{\text{disp}}$) (kJ/mol)	PBE	PBE +D2	PBE +D3	PBE+ D3-BJ	PBE +TS	PBE+TS -HI	PBE +MBD	PBE+FI -MBD
fromGeom0 <i>relaxation</i>	74	61 (49/12)	93 (77/16)	95 (77/18)	96 (77/19)	88 (76/12)	90 (74/16)	93 (76/17)
fromPBE <i>single point</i>	*	97 (76/21)	88 (77/11)	88 (79/9)	99 (87/12)	106 (92/14)	116 (91/25)	115 (92/23)
fromPBE <i>relaxation</i>	*	97 (77/20)	93 (76/17)	94 (75/19)	96 (74/22)	88 (76/12)	95 (75/20)	93 (76/17)
fromD2 <i>single point</i>	*	*	60 (49/11)	59 (49/10)	61 (49/12)	56 (50/6)	60 (49/11)	58 (49/9)
fromD2 <i>relaxation</i>	*	*	61 (52/9)	60 (50/10)	95 (77/18)	88 (78/10)	60 (50/10)	58 (50/8)

1 **Table 1** and **Table 2** shows that the best route to follow, in the search for the optimal
2 calculation procedure, is to perform firstly a non-corrected PBE calculation to relax the geometry
3 of a randomly placed water molecule over the site of the amorphous silica surface. Then relaxing
4 again the “fromPBE” configuration using DFT+D calculation by adding one of the dispersion-
5 correction methods. Using this procedure, we can always attain the most stable state of the
6 system, **as we obtain the minimal total energy of the system which is inversely proportional to**
7 **the total interaction energy (as the total energy of silica surface and water are not highly affected**
8 **by the method or route used)**. In fact, when relaxing directly the “fromGeom0” using DFT+D,
9 one can underestimate the PBE contribution (e.g. in **Table 1**, when using PBE + D2, TS, TS-HI,
10 MBD, and FI-MBD to relax “fromGeom0” system). Therefore, we performed single point and
11 relaxation dispersion-corrected calculations on the pre-relaxed non-corrected configuration
12 (“fromPBE”) and on the pre-relaxed D2-corrected configuration (“fromD2”) aiming to attain a
13 better accuracy with less computational costs. However, single point calculations of the pre-
14 relaxed “fromPBE” configuration does not allow reaching the best ground state energy of the
15 system, which implicate a misestimating of the PBE part (e.g. in **Table 1**, when performing
16 single point calculations for the “fromPBE” configuration using the PBE + TS, TS-HI, MBD,
17 and FI-MBD methods). When performing geometry relaxation calculations on the pre-relaxed
18 “fromD2” configuration using more sophisticated dispersion-correction methods, the structure
19 can be trapped in the local energetic minimum state found using the D2 method (e.g. in **Table 2**,
20 when using PBE + D3, D3-BJ, MBD, and FI-MBD to relax “fromD2” system). An additional
21 evidence of this observation is that **performing** single point calculations **using those vdW**
22 **methods (D3, D3-BJ, MBD, FI-MBD) of “fromD2” configuration** give the same interaction
23 energies as the full relaxations. This was not the case for “fromPBE” full relaxations, **meaning**

1 that the system always get out from the local minima (e.g. in **Table 1**, single point and full
2 relaxation of “fromPBE” configuration using PBE+MBD method).

3 It is noticeable that by using the pre-relaxed “fromPBE” system, all dispersion-correction
4 methods (from the simple D2 to the more sophisticated FI-MBD) proved to possess the ability to
5 estimate the interaction energy within 5%, which can be considered as the standard error of the
6 DFT calculation itself. However, the D2 method tends to give higher interaction energies than
7 other methods and the TS-HI method tends to underestimate those energies. Finally, from an
8 accuracy/cost point of view, applying D2 on a pre-relaxed “fromPBE” system can be sufficient
9 to calculate the interaction energy of the water molecule on the selected site of the amorphous
10 silica surface. To summarize, relax the pre-relaxed “fromPBE” configuration appears as the best
11 option to reach the best local minima of the potential energy surface and describe more
12 accurately the interaction between water and the different silanols types.

13 **3.2 Analysis of the water interaction over different sites of the amorphous silica**

14 The interaction (electrostatic PBE and dispersion contribution) energies of water on selected
15 sites of the silica surface computed by relaxing the pre-relaxed “fromPBE” system using various
16 dispersion-correction PBE methods (PBE + D2, D3, D3-BJ, TS, TS-HI, MBD, FI-MBD) or
17 using the meta-GGA SCAN method, as well as the percentage contribution of the vdW forces on
18 the interaction energies, are investigated and presented in **Table 3**. When comparing the results
19 of the full relaxation using the PBE (GGA) and meta-GGA SCAN methods, we can confirm that
20 the SCAN method take into consideration the vdW forces as interaction energies obtained are
21 larger than those found by PBE calculations (except for the isolated site). Moreover, the meta-
22 GGA SCAN gives interaction energy values very similar to those obtained by dispersion-

1 corrected PBE methods. This indicates that the meta-GGA SCAN method describes as well
 2 accurately the vdW forces.

Table 3. Water interaction (PBE and dispersion contributions) over selected sites of the silica surface using various dispersion-correction PBE methods and the meta-GGA SCAN method.

Site		isolated	geminal	vicinal	Gem-Vic	nest-1	nest-2
E_{int} (kJ/mol)	PBE	34	29	45	58	56	74
E_{int} ($E_{\text{PBE}}/E_{\text{disp}}$) (kJ/mol)	PBE+D2	41(36/5)	35(28/7)	60(44/16)	72(58/14)	68(59/9)	97(77/20)
	PBE+D3	42(35/7)	34(29/5)	59(44/15)	69(58/11)	64(57/7)	93(76/17)
	PBE+D3-BJ	42(34/8)	33(29/4)	58(44/14)	71(58/13)	64(58/6)	94(75/19)
	PBE+TS	41(35/6)	33(28/5)	60(44/16)	70(58/12)	65(57/8)	96(74/22)
	PBE+TS-HI	40(35/5)	32(29/3)	54(44/10)	67(57/10)	64(55/9)	88(76/12)
	PBE+MBD	42(34/8)	34(28/6)	58(42/16)	72(57/15)	64(57/7)	95(75/20)
	PBE+FI-MBD	41(36/5)	32(29/3)	56(44/12)	69(58/11)	63(58/5)	93(76/17)
E_{int} (kJ/mol)	meta-GGA SCAN	31	43	55	70	71	95
$E_{\text{disp}}/E_{\text{PBE}}$ (%)	PBE+D2	12	20	27	19	13	21
	PBE+D3	17	15	25	16	11	18
	PBE+D3-BJ	19	12	24	18	9	20
	PBE+TS	15	15	27	17	12	23
	PBE+TS-HI	13	9	19	15	14	14
	PBE+MBD	19	18	28	21	11	21
	PBE+FI-MBD	12	9	21	16	8	18

3 In order to study the interaction types and distances, the FI-MBD corrected-DFT geometry
 4 relaxation of the pre-relaxed “fromPBE” system is considered. The initial and final (after
 5 relaxation) position of water molecule interacting with the silanols of the amorphous surface
 6 defines each selected site, those sites and the water-silanol interaction (expressed in the O-H

1 bond distances e.g.) types are described in **Table 4**. They are presented in **Figure 3** where the O-
 2 H bond distances are revealed. The water-silanol interactions are defined as acceptor or donor
 3 H-bonds depending on the site on the silica surface, the number and distances of those H-bonds
 4 are interpreted on each site. The H-bond is defined as acceptor when the oxygen atom of the
 5 water molecule interacts with a hydrogen atom of the silanol group, while it is defined as donor
 6 when the hydrogen atom of water molecule interacts with an oxygen atom of the silanol group of
 7 the silica surface. Each site on the silica surface is characterized by a number of H-bonds
 8 between water and different types of silanol groups. The length of those H-bonds varies between
 9 1.60 and 2.20 Å depending on the number of H-bonds and the surrounding silanols.

Table 4. Water-silanol interaction (O-H bonds) types over defined sites of the silica surface.

Sites	isolated	geminal	vicinal	Gem-Vic	nest-1	nest-2
Initial position of water molecule	isolated	geminal	vicinal	geminal	nest	isolated
Final position of water molecule (after relaxation)	isolated	geminal	vicinal	vicinal	nest	nest
H-bonds	one	2	2	2	4	3
acceptor	one isolated	one geminal	one vicinal	one vicinal	three vicinal	one vicinal & one geminal
donor	-	one geminal	one vicinal	one vicinal	one vicinal	one vicinal

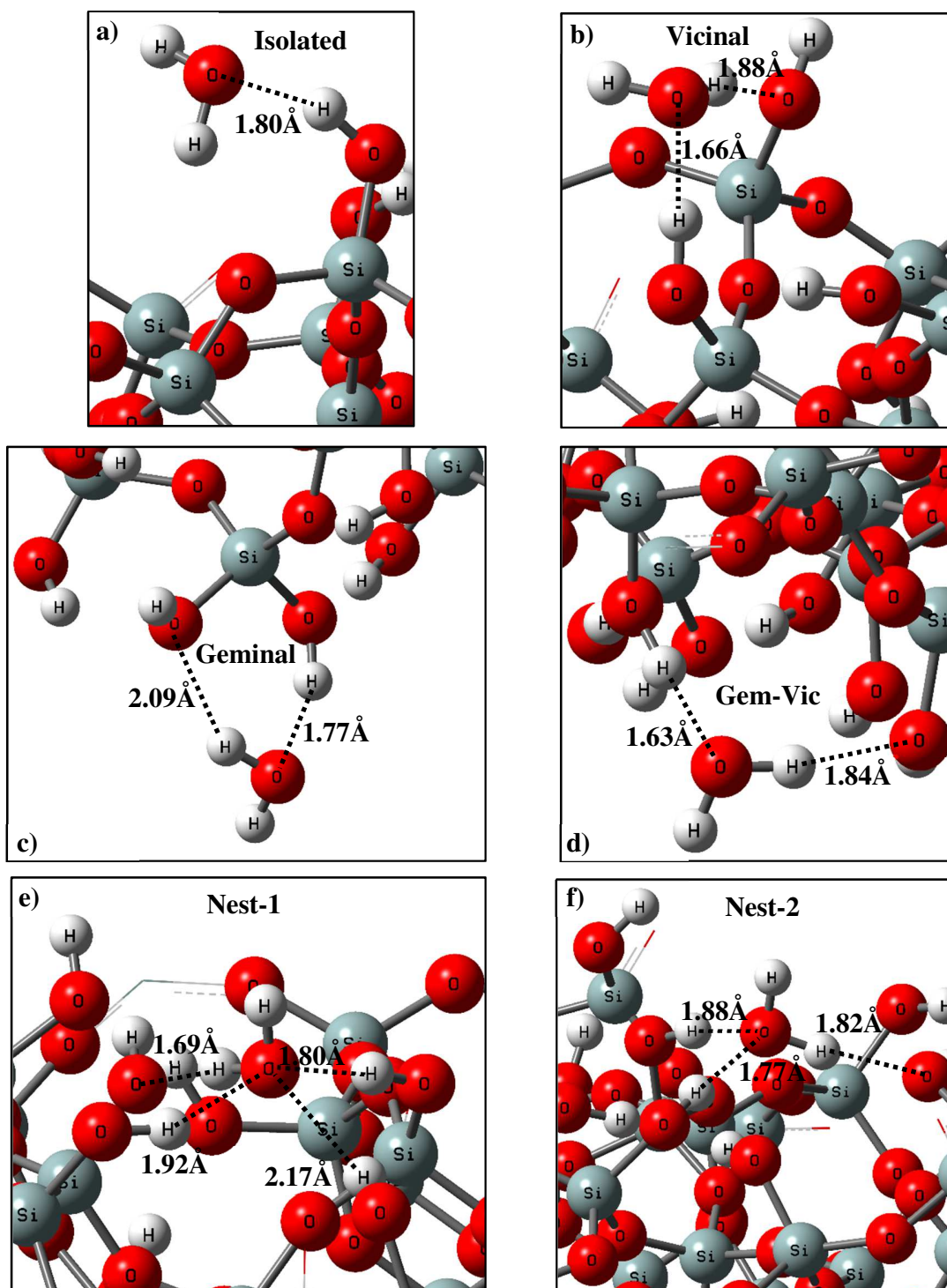


Figure 3. Water-silanols interaction (O-H bonds) types and distances on various sites of the amorphous silica: a) isolated, b) vicinal, c) geminal, d) Gem-Vic, e) nest-1, and f) nest-2.

1 A graphical comparison of the interaction energies computed over the selected sites, using
 2 various dispersion-correction methods, is displayed in **Figure 4**. The comparison of the
 3 interaction energies over the selected sites of the silica surface shows that water is more likely to
 4 adsorb on vicinal silanols than on geminal ones. Water interaction energy for vicinal sites is
 5 higher than for geminal sites. This statement is also confirmed on the Gem-Vic site where the
 6 water molecule desorbs from its initial position close to the geminal silanol and adsorbs on a
 7 neighbor vicinal silanol. This behavior is expected to be related to the effect of steric hindering
 8 which affect the formation of H-bonds.

9 Moreover, it is remarkable that the nest-2 site with three combined geminal-vicinal H-bond
 10 interactions shows higher water interaction energy than the nest-1 site with four vicinal H-bond
 11 interactions. Among all studied sites, the nest-2 with combined vicinal-geminal H-bond
 12 interactions shows the highest interaction energy, about 95 kJ/mol, compared to other sites.

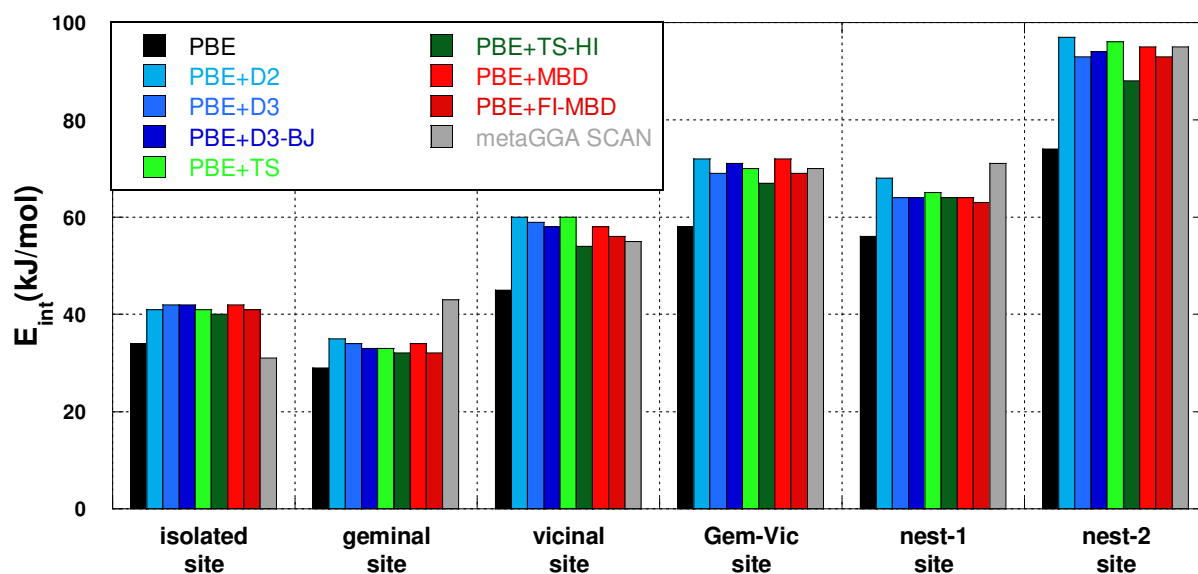


Figure 4. Water interaction energies over selected sites of the silica surface using various dispersion-correction methods.

1 The contribution of the vdW forces on the interaction energy assessed through various
 2 dispersion-correction methods are compared in **Figure 5** over various sites of the silica surface.
 3 This contribution proved to be important over all studied sites, to reach up to 25% of the
 4 interaction energy on the vicinal site. It is clearly seen that on the nest-1 site with four H-bond
 5 interactions, the vdW contribution is the lowest comparing to other sites (around 10% depending
 6 on the dispersion-correction method). Since the pure electrostatic contributions to the E_{int} is well
 7 described in pure DFT (PBE) formalism, and that H-bonds have a strong electrostatic character;
 8 one can observe from **Figure 5** that the more H-bonds formed, the higher is the electrostatic
 9 contribution and the smaller is the vdW contribution. Therefore, the E_{int} on hydrated silica is
 10 indeed electrostatically stabilized while dry/calcined silica surfaces are stabilized through vdW
 11 interactions.

12 The TS-HI method shows the lowest dispersion energy contribution to the interaction energy
 13 on most sites, which confirms the underestimation of the interaction energy using this method, as
 14 discussed previously.

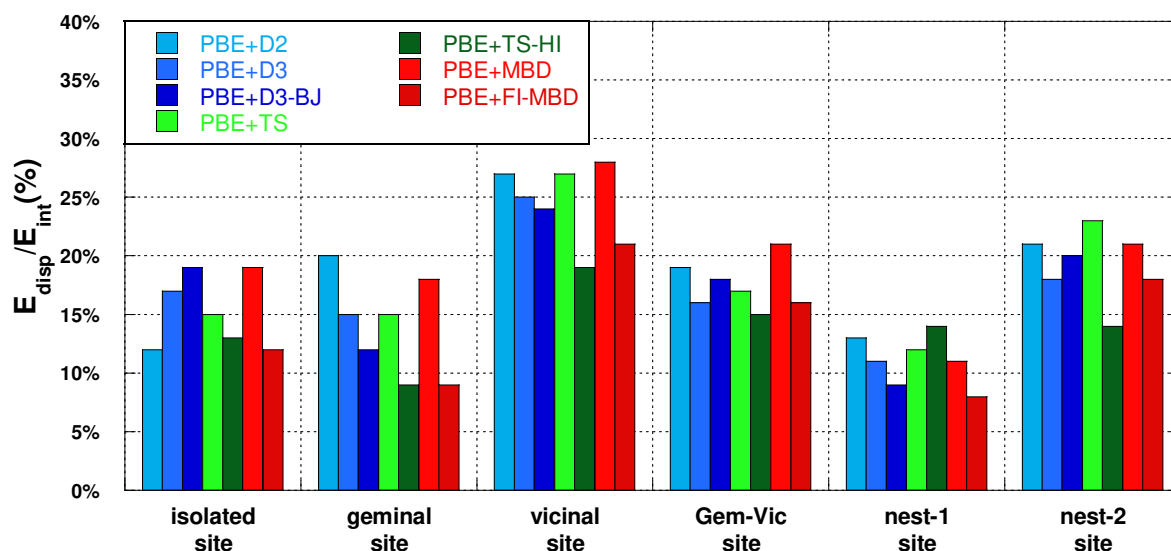


Figure 5. Percentage contribution of the vdW forces on the interaction energies over the selected sites of the silica surface using different dispersion-correction methods.

1 3.3 The effect of water-silanol interactions on the deformation of the silica surface

2 **Table 5** enables to interpret more properly the water interaction energetics on the silica
 3 surface, from which we can conclude that the FI-MBD dispersion correction method is the most
 4 accurate to relax the pre-relaxed “fromPBE” system. The interaction energy can be affected by
 5 two main phenomena, the deformation of the silica surface due to the interaction with the water
 6 molecule and the adsorption of the water molecule on the surface. This allows us to decompose
 7 the interaction energy in two parts (deformation and adsorption energies, *see equation 3*) in order
 8 to interpret the effect of water-silanol interactions on the deformation of the silica surface.

$$9 E_{\text{int}} = E_{\text{ads}} + E_{\text{deform}} \quad (3)$$

10 The surface deformation E_{deform} is therefore dependent on the silanol type and the number of
 11 H-bonds formed on each site. It can be evaluated as follows:

$$12 E_{\text{deform}} = E_{\text{Sil.slabs, clean}} + E_{\text{Sil.slabs-water}} \quad (4)$$

13 Where $E_{\text{Sil.slabs, clean}}$ the energy of the clean relaxed silica slab, and $E_{\text{Sil.slabs-water}}$ the energy of
 14 the relaxed hydrated silica slab without the water molecules.

Table 5. Water-silanol interactions effect on the deformation of the silica surface (FI-MBD-corrected relaxation of the pre-relaxed “fromPBE” configuration).

Sites		isolated	geminal	vicinal	Gem-Vic	nest-1	nest-2
Energies (kJ/mol)	Interaction E_{int}	41	32	56	69	63	93
	Adsorption E_{ads}	46	48	69	78	109	113
	Surface deformation E_{deform}	-5	-16	-13	-9	-46	-20

15 Silica is known to host water molecules and stabilizes according to its surrounding environment
 16 due to its structure flexibility. Indeed, the deformation of the surface until restructuration plays

1 an important role in the physico-chemical properties of silica [14]. The degree of hydration
2 modulates the surface via the H-bonds formed with the surface silanols. From the surface
3 deformation energies (See **Table 5**) one can observe a trend of relation between the type and
4 number of H-bonds formed and the deformation energy. The largest effect is found for the nest-1
5 site (-46 kJ/mol), and the smallest effect is found for the isolated silanol involved in only one H-
6 bond. Yet, this reconstruction energy is moderate compared to other systems such as furan or
7 thiophene over MoS₂ (around -200 kJ/mol) [69,70].

8 **3.4 Comparison with crystalline silica surfaces**

9 **Historically, amorphous silica models have been elaborated from cuts of crystal structure and**
10 **contain segments resembling the (100) [71] and (111) β -cristobalite cuts [72], as admitted by**
11 **Simonetti and coworkers [73,74] when studying the importance of H-bonds interactions during**
12 **the adsorption of 5-fluorouracil on silica surface. Thus, it would be important to compare the**
13 **water interaction over the studied amorphous surface with those over various crystalline**
14 **structures.** Three layers of (111), (101) and (001) β -cristobalite surfaces having silanol densities
15 of 4.29, 5.24, and 7.42 OH/nm², respectively, are constructed by cutting the bulk geometry of
16 SiO₂ parallel to the (111), (101) and (001) crystallographic planes [75,76]. The two bottom
17 layers are frozen in the geometry of the bulk and the top layer is set free to relax. All silanol
18 groups present at the (111) surface are isolated, those at the (101) surface are vicinal, while those
19 at the (001) surface are geminal. **Figure 6** shows the water interaction configuration on the three
20 β -cristobalite silica surfaces and the H-bonds distances.

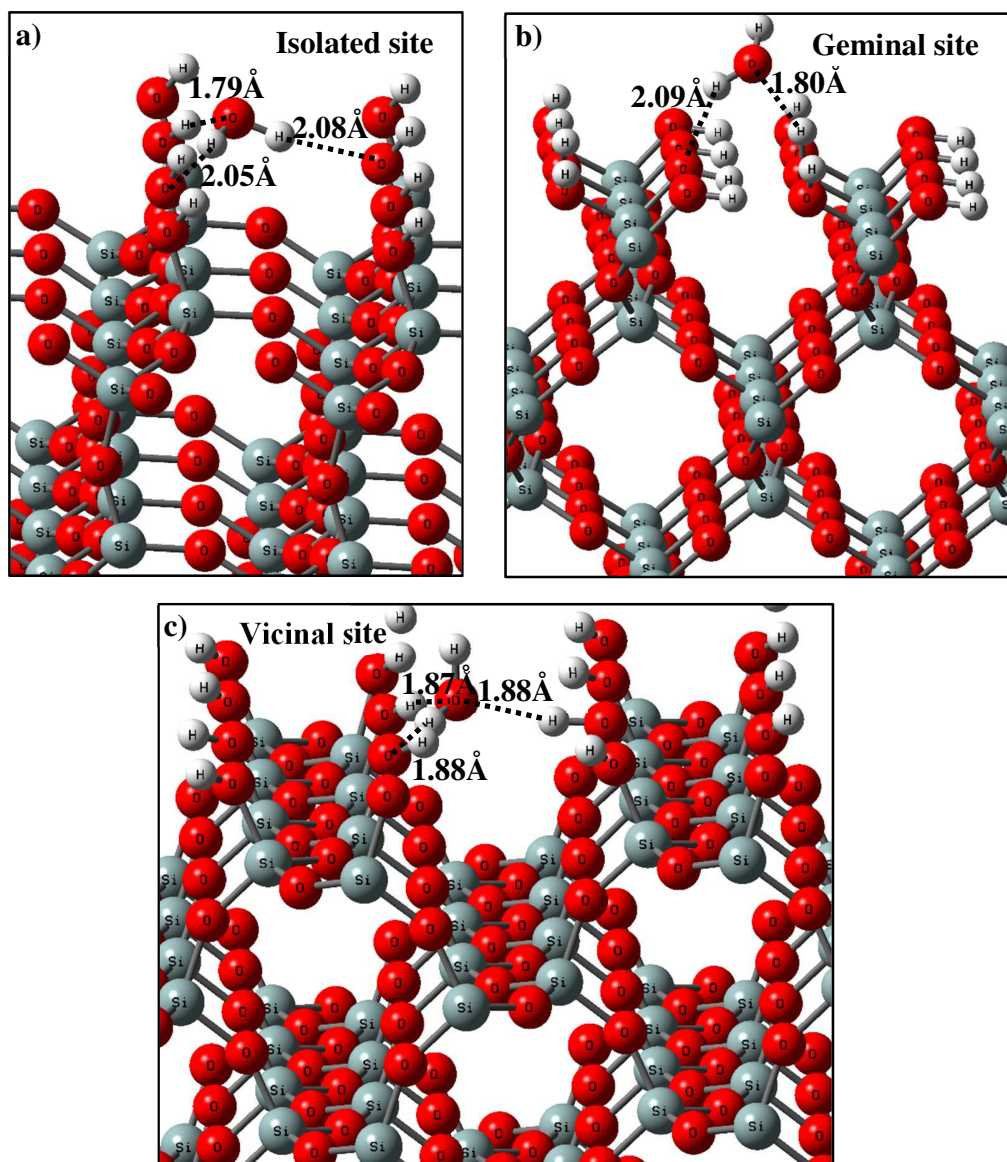


Figure 6. Water interaction and H-bonds distances on the β -cristobalite silica: a) (111), b) (001), and c) (101) surfaces.

1 A comparison of water interaction (PBE and dispersion contribution) energies on the vicinal
 2 isolated, and geminal sites of the (101), (111), and (001) β -cristobalite surfaces, respectively,
 3 versus “look-alike” sites of the amorphous surface is presented in **Table 6**, and illustrated
 4 graphically in **Figure 7**. The interaction energy values of water on amorphous surface admitted
 5 for this comparison are those found using FI-MBD-corrected PBE relaxation of “fromPBE”

1 configuration, as well the same method was used to interpret the water interaction with the
 2 crystalline surfaces. Results show that water-silanol interaction on various sites of crystalline
 3 surfaces is more exothermic than on “look-alike” sites of the amorphous surface. This can be
 4 clearly seen from the vicinal site which shows the highest water interaction energy, while the
 5 geminal site shows the lowest on both amorphous and β -cristobalite surfaces. This observation
 6 can be very interesting to select an appropriate silica support for separation processes involving
 7 water, or for catalytic applications such as hydrodeoxygenation processes in order to decrease the
 8 inhibiting effect of water on the adsorption of oxygenated aromatics [77,78].

Table 6. Comparison of water interaction energies on similar sites of the amorphous and crystalline surfaces (relaxation of “fromPBE” configuration using FI-MBD-corrected PBE calculations).

Silica surface	Site	E_{int} (kJ/mol)	E_{PBE} (kJ/mol)	E_{disp} (kJ/mol)
(101) β-cristobalite	vicinal	82	70	12
Amorphous silica	vicinal	56	44	12
(111) β-cristobalite	isolated	74	61	13
Amorphous silica	isolated	41	36	5
(001) β-cristobalite	geminal	69	56	13
Amorphous silica	geminal	32	29	3

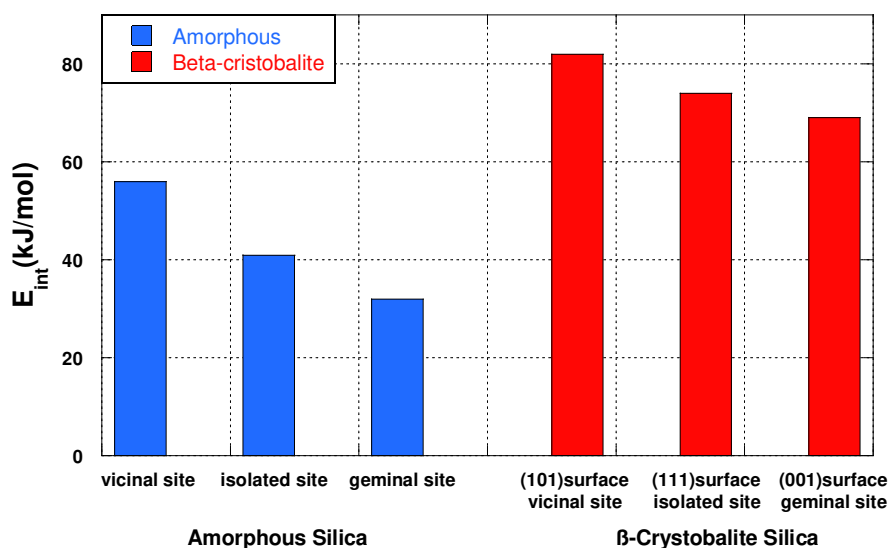


Figure 7. Comparison between water interaction energies on similar sites of β -cristobalite (111, 101, and 001) vs. amorphous surfaces (relaxation of “fromPBE” configuration using FI-MBD-corrected PBE calculations).

1 **Figure 7** is of course only a snapshot of the complete picture in which the complete set of silanol
 2 groups should be considered in the comparison. A distribution of the silanol geometries per type
 3 can unfortunately not be considered due to the geometrical limitations of the model.
 4 Nevertheless, the silanols used in this study are chosen to be representative, as is supported by
 5 the previous studies undertaken with the same model [21].

6 **4. CONCLUSIONS**

7 Silica-based materials have attracted tremendous interest these recent years in many applications.
 8 However, little is known regarding the role of each specific type of surface silanol group. Thus, a
 9 molecular description of these silanols and their interaction with water is needed for further
 10 improvement of silica formulations. Therefore, DFT calculations (single point and full
 11 relaxation) have been performed using different dispersion-correction PBE (PBE + D2, D3, D3-

1 BJ, TS, TS-HI, MBD, and FI-MBD) and meta-GGA SCAN methods to quantify the water
2 interaction energies with the silica surface in order to distinguish the silanols sites, which allow
3 to tune its properties.

4 We identified a protocol of calculation, where firstly the system is relaxed using non-corrected
5 PBE method, and then the dispersion-correction relaxations are performed on the pre-relaxed
6 system, in order to reach the correct minima of the potential energy surface. Comparing those
7 correction methods, we found that all of them could be considered reliable when following the
8 supposed protocol of calculation, with a preference for the FI-MBD method for geometrical
9 optimization. Moreover, they all provide very close results, as well does the meta-GGA SCAN
10 method, which strengthen our computed results. However, the TS-HI method provides smaller
11 dispersion energies and thus interaction energies compared to the other dispersion-corrected
12 methods.

13 We were able to identify the various silanol sites; each of them is characterized by a specific
14 water interaction behavior (number and types of H-bonds) depending on its silanols coverage,
15 types and surrounding environment. This is crucial for understanding the effect of each site on
16 the silica properties and allows suggesting new synthesis methods to control those properties.

17 Furthermore, the possible deformation of the surface due to the water interaction with the silanol
18 nest proved to be important which need an additional interpretation of the defects generated from
19 applying new synthesis methods. Finally, the comparison of similar sites of amorphous and
20 crystalline surfaces highlighted the fact that the studied amorphous surface is less hydrophilic,
21 which may be promising for catalytic [32,79] or separation [80,81] applications where water can
22 inhibit the adsorption of molecules of interest.

1 In summary, we discussed the singularity of selected silanol sites of the amorphous surface when
2 interacting with water molecule, the necessity and reliability of dispersion correction methods in
3 DFT calculations, and the effect of water interaction on the silica surface deformation. This study
4 motivates the development of new synthesis of amorphous silica enabling to control the physico-
5 chemical properties of the surface.

6

7 **Supplementary Material**

8 See Supplementary Material for additional tables S1, S2, S3 and S4 presenting the water-silanols
9 interaction energies (in addition to the PBE and dispersion contributions) over the isolated,
10 geminal, vicinal, and nest-1 sites respectively obtained by single point and geometry relaxation
11 calculations using various dispersion-corrected DFT methods and starting configurations.

12

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23

1 **Conflicts of interest**

2 There are no conflicts of interest to declare.

1

2 **Data availability**

3 The data that support the findings of this study are available from the corresponding author upon
4 request.

5

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