

Concentrates from citrus juice obtained by crossflow microfiltration: Guidance of the process considering carotenoid bioaccessibility

Sophie Di Corcia, Claudie Dhuique-Mayer, Manuel Dornier

▶ To cite this version:

Sophie Di Corcia, Claudie Dhuique-Mayer, Manuel Dornier. Concentrates from citrus juice obtained by crossflow microfiltration: Guidance of the process considering carotenoid bioaccessibility. Innovative Food Science & Emerging Technologies / Innovative Food Science and Emerging Technologies , 2020, 66, pp.102526 -. 10.1016/j.ifset.2020.102526 . hal-03492696

HAL Id: hal-03492696 https://hal.science/hal-03492696v1

Submitted on 24 Oct 2022

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



Distributed under a Creative Commons Attribution - NonCommercial 4.0 International License

Concentrates from citrus juice obtained by crossflow microfiltration: guidance of the process considering carotenoid bioaccessibility

- 3
 4 Sophie di Corcia¹, Claudie Dhuique-Mayer^{1,2}, Manuel Dornier^{1*}
 5
 6 ¹QualiSud, Univ Montpellier, Cirad, Institut Agro, Univ Avignon, Univ La Réunion, Montpellier, France
 7 ² Cirad, UMR QualiSud, F-34398 Montpellier, France
 8 *Corresponding author: manuel.dornier@supagro.fr
 9
- 10 ABSTRACT

This work focused on an eco-friendly process to concentrate carotenoids from a citrus juice 11 12 formulated with clementine and pink grapefruit. It is based on crossflow microfiltration associated 13 with enzymatic liquefaction, diafiltration and pasteurization. The aim was to evaluate the impact of 14 the main operating conditions on the process performance and on the nutritional quality of the final 15 concentrate taking into account the bioaccessibility of β -carotene, β -cryptoxanthin and lycopene. First, the best enzyme/pressure/membrane combination was chosen in order to maximize permeate 16 flux during microfiltration (> 100 kg.h⁻¹.m⁻² at 2.6 bar with tubular inorganic membranes). Second 17 18 thanks to a Plackett-Burman experimental design applied to the whole process, we showed the 19 enzymatic dose was the most impacting parameter on carotenoid bioaccessibility and it decreased it. 20 An optimal dose of enzyme had to be defined in order to obtain a good compromise between the 21 process performance and the nutritional quality of the citrus concentrate.

Keywords: clementine/grapefruit based-product, carotenoid bioaccessibility, membrane process
 performance.

25 Nomenclature

- 26 β_i : bioaccessibility of the carotenoid i (%)
- 27 $\partial J_{p}/\partial MRR$: coefficient of permeate flux instability (kg.h⁻¹.m⁻²)
- 28 BC: β-carotene
- 29 BCX: β-cryptoxanthin
- 30 CMF: crossflow microfiltration
- D[4,3]: particle diameter of which the volume corresponds to the average volume of all particles
 (μm)
- 33 D_{10} : diameter below which 10% vol. of the particles are found (μ m)
- 34 D_{50} : median diameter below which 50% vol. of the particles are found (μ m)
- 35 D_{90} : diameter below which 90% vol. of the particles are found (μ m)
- 36 DMR: diamass ratio (mass of distilled water added during the diafiltration stage divided by mass of
- 37 retentate)
- 38 J_p : permeate flux (kg.h⁻¹.m⁻²)
- 39 LYC: lycopene
- 40 M_{liq}: liquefaction mode (batch or continuous)
- 41 MRR: mass reduction ratio
- 42 σ_{pore} : average pore diameter of the membrane (μ m)
- 43 P₀: pasteurization value (min)
- 44 RAE: retinol activity equivalent (μg/250g)
- 45 RAE*: retinol activity equivalent taking into account carotenoid bioaccessibility (μg/250g)
- 46 RDA: recommended dietary allowances (%)
- 47 SIS: suspended insoluble solids (g.kg⁻¹)
- 48 Span: indicator of the width of the size distribution of the particle diameters (Equation 1)
- 49 TA: titratable acidity (g.kg⁻¹)
- 50 T_{CMF}: temperature of crossflow microfiltration (°C)

- 51 TDM: total dry matter (g.kg⁻¹)
- 52 t_{liq}: enzymatic liquefaction time (min)
- 53 T_{liq} : temperature of enzyme liquefaction (°C)
- 54 TmP: transmembrane pressure (bar)
- 55 TSS: total soluble solids (g.kg⁻¹)
- 56 U: crossflow velocity (m.s⁻¹)

57 **1. Introduction**

Citrus are the most cultivated fruits in the world and many varieties are produced (orange, 58 59 grapefruit, mandarins, tangerines, lemons and limes). A large amount of citrus fruits is consumed 60 worldwide in juice form. The consumption of citrus juices has grown rapidly since the '80s, from a 61 consumption of 3 to 22 L per year and inhabitant in Europe today (AIJN - Market Report 2017). Moreover, 75% of citrus fruit production in Brazil, the top producing country in the world, is 62 63 processed into juice (USDA - World Markets and Trade 2020). Consumers perceive citrus juices, 64 especially orange juice, as a natural source of vitamin C and minerals, but they are also rich in a large 65 variety of phytochemicals such as carotenoids. Carotenoids are liposoluble pigments synthesized 66 mainly by plants and stored in the chromoplasts of plant cells in a solid-crystalline state or in a liquid-67 crystalline form and/or a lipid-dissolved form (R. M. Schweiggert, Mezger, Schimpf, Steingass, & Carle, 2012). Chromoplast morphology also varies (globular, crystalline, membranous, fibrillary and 68 69 tubular) depending on the fruit, and between different tissues of the same fruit (R. Schweiggert & 70 Carle, 2017; Zhang et al., 2019). In addition to giving an attractive color to citrus juice, carotenoids 71 have many health benefits: antioxidant, antidiabetic, anti-inflammatory and anti-cancer (Bungau et 72 al., 2019; Lee, Hu, Park, & Lee, 2019; Sun, Tao, Huang, Ye, & Sun, 2019). Several studies have also 73 shown that the consumption of carotenoid-rich food is linked to a reduced risk of developing certain 74 chronic diseases such as cardiovascular disease, cataracts or cancer (Lee et al., 2019; Rodriguez-75 Concepcion et al., 2018; Carla M Stinco et al., 2019). However, the most relevant role is the pro-76 vitamin A activity attributed to carotenoids containing at least one unsubstituted β -ionone ring such 77 as α -carotene, β -carotene and β -cryptoxanthin and can be converted into vitamin A by the specific 78 enzyme β -carotene oxygenase. Vitamin A plays a key function in vision, embryonic development, 79 reproduction and cellular growth and differentiation (Kopec & Failla 2018). Although carotenoids are 80 present in significant amounts in citrus juices, their health effects are limited by their bioavailability 81 including their bioaccessibility. Carotenoid bioaccessibility, which is one of the main factors governing bioavailability, corresponds to the proportion of carotenoids released from the food 82

83 matrix and transferred into mixed micelles that can be then absorbed by the human intestinal cells 84 (Poulaert, Borel, Caporiccio, Gunata, & Dhuique-Mayer, 2012). Therefore, carotenoids must be 85 released from the chromoplasts in order to reach the lipid phase and be incorporated into the mixed 86 micelles and be available for intestinal absorption. In fact, bioavailability depends on several factors 87 among which food matrix and its technological processing are the more important. Some studies 88 have shown that the release of carotenoids from their food matrix was strongly influenced by the physical shape of the chromoplasts (R. Schweiggert & Carle, 2017; R. M. Schweiggert et al., 2012; 89 90 Zhang et al., 2019). Better liberation and bioaccessibility of carotenoids from non-crystalline 91 chromoplasts was reported by Schweiggert, Mezger et al. 2012. On the other hand, mechanical and 92 thermal treatments applied to foods can modify their nutritional quality and increase carotenoid 93 bioaccessibility (Barba, Saraiva, Cravotto, & Lorenzo, 2019; Cilla et al., 2012; Knockaert, Lemmens, 94 Van Buggenhout, Hendrickx, & Van Loey, 2012; Kopec & Failla, 2018). These treatments destroyed 95 cell structure, from the cell wall to the membrane of organelles such as chromoplast membranes 96 promoting carotenoid release. Thermal treatments, widely used in food industry for stabilization and 97 preservation, conduce to cell disorganization mainly through depolymerization and solubilization of 98 pectic polysaccharides (Lemmens, Van Buggenhout, Oey, Van Loey, & Hendrickx, 2009) that has led 99 to better understand the effects on carotenoid bioaccessibility. Other studies showed that cooking 100 and grinding can increase lycopene bioaccessibility (J. Aschoff et al., 2015; J. K. Aschoff et al., 2015; 101 Carla M. Stinco et al., 2012; Carla M Stinco et al., 2019). The impact of the process has also been 102 studied on citrus juices: the carotenoid bioaccessibility in pasteurized juices is higher than in fresh 103 juices (J. K. Aschoff et al., 2015; Carla M. Stinco et al., 2012). Moreover, for humans, the 104 bioavailability of β -carotene and β -cryptoxanthin is higher for pasteurized orange juice than for fresh 105 juice (J. Aschoff et al., 2015).

106 Crossflow microfiltration (CMF), an eco-friendly membrane process, allows the clarification and 107 stabilization of fruit juices (Dornier, Belleville, & Vaillant, 2018; Maktouf et al., 2014; Mierczynska-108 Vasilev & Smith, 2015) as well as the concentration of hydrophobic compounds without heating (Chaparro et al., 2016; de Abreu et al., 2013; Polidori, Dhuique-Mayer, & Dornier, 2018). Indeed,
hydrophobic compounds, which are associated with insoluble solids, are generally retained by the
membrane. Thus, it is possible to increase carotenoid content in retentate up to 10 fold by using this
physical separation process to obtain a carotenoid-rich concentrate without heating or using organic
solvent.

114 However, this process results in a concentrate with physical characteristics that are considerably 115 different from those of juice and so could affect carotenoid bioaccessibility. In addition, viscosity and pectin content increase with increasing carotenoid content during CMF. L. Gence, Servent, 116 117 Poucheret, Hiol, and Dhuique-Mayer (2018) have already compared the effect of CMF on the 118 carotenoid bioaccessibility between concentrates made with fresh and pasteurized juices and they 119 showed that bioaccessibility was strongly correlated to the pectin content and structure. The aim of 120 this study was to go further and to focus on a more complete process including the CMF step and 121 several other unit operations (enzymatic liquefaction, diafiltration and pasteurization). Within this 122 context, the work expected to evaluate the impact of the main operating conditions on process 123 performance and on nutritional quality of the final concentrate through carotenoid bioaccessibility in 124 order to optimize the process. This one, including various steps, was originally guided by carotenoid 125 bioaccessibility for the production of citrus concentrates. Another main goal was to understand how 126 different processing steps could affect carotenoid bioaccessibility.

127

129 **2. Materials and methods**

130

131 2.1. Materials

132 Flash-pasteurized clementine (Citrus clementina Hort. ex Tan.) and pink grapefruit (Citrus paradisi 133 Macf.) juices were purchased in a local supermarket (Saint-Clément de Rivière, France). Packaged in 134 aseptic carton packs, these industrial 100% pure juices, i.e. without any additives in accordance with 135 current regulations, were stored at 4 °C for two weeks before processing (no significant change in 136 carotenoid profile highlighted during storage). A formulation obtained from 60/40 (v/v) C. clementina 137 / C. paradisi juices was chosen in order to obtain a product containing at a time the 3 carotenoids β -138 cryptoxanthin, β -carotene and lycopene each with an interesting concentration on a nutritional point 139 of view. Three different lots of juice were purchased with volumes ranging from 10 kg to 50 kg.

140

141 2.2. Processing

142 All the unit operations constituting the process are detailed in Figure 1. Citrus juices underwent 143 several operations, the main one being crossflow microfiltration (CMF). First, an enzymatic pre-144 liquefaction of the juices was carried out in order to decrease viscosity and limit membrane fouling 145 during CMF. Widely used in the juice industry, this step guarantees good performance of the process 146 (F. Vaillant et al., 1999). After concentration of carotenoids by microfiltration, a diafiltration step 147 allowed the purification of the carotenoids by removing soluble solids, mainly sugars and organic 148 acids. A final pasteurization step was added to stabilize the product eliminating the microorganisms 149 retained in the concentrate.

150

151 2.2.1. Enzymatic liquefaction152

153 Two commercial enzyme mixtures, Ultrazym AFP and Pectinex Ultra SP from Novozymes (Denmark), commonly used for filtration and clarification of fruit juices (Sandri, Fontana et al. 2011, Bajpai 2012), 154 155 were compared. They consist of a mixture of enzymes (mainly pectinases associated with secondary 156 activities such as hemicellulases) that allow the hydrolysis of the structural polysaccharides of cell 157 walls especially pectic compounds. Activity spectra of these two mixtures are different with 158 pectinesterase as preponderant activity for Pectinex and polygalacturonase for Ultrazym (Macedo, 159 Robrigues, Pinto, & de Brito, 2015). Citrus concentrates were treated with enzymes (50 - 300 μ L kg⁻¹) 160 either prior to microfiltration (batch) or during microfiltration (continuous) (M_{lig}), at a temperature T_{liq} of 30 or 50°C. In the batch mode, all the juice was treated with enzyme prior to microfiltration for 161 162 45-90 min while in the continuous mode the system was continuously fed by juice and enzyme (for 163 every 100 g of permeate discharged, feeding by 100 g of juice with enzyme).

164

165 **2.2.2.** Concentration by crossflow microfiltration and purification by diafiltration

166 The crossflow microfiltration device (TIA, Bollène, France), described by Polidori et al. (2018), was constituted of a 3 L feed tank, connected to 4 housings each containing a single-tubular ceramic 167 168 membrane mounted in series (Table 1). The crossflow circulation of the suspension was ensured by a 169 positive displacement pump with an eccentric rotor (model PCM, Moineau, Levallois-Perret, France). 170 The temperature of the juice in the pilot unit (T_{CMF}) was regulated by a jacketed heat exchanger 171 connected to a cryostat (model F34, Julabo GmbH, Seelbach, Germany). The transmembrane 172 pressure (TmP), controlled by a backpressure valve, was measured using manometers located at the inlet and the outlet of the circulation loop. The crossflow velocity (U) in the loop could vary from 2 to 173 5 m.s⁻¹ thanks to a frequency converter connected to the pump. Depending on the purpose of the 174 175 filtration test, the trial could be conducted at a constant mass reduction ratio MRR of 1 (total 176 recycling of permeate and retentate, i.e, without concentration) or increasing MRR (removing permeate and compensating with raw juice in the feed, i.e., with concentration). In that case, the 177

permeate flux J_p was monitored as a function of MRR. In order to facilitate comparisons between the trials, the average permeate flux between MRR 3 and 4.4 was chosen. A permeate flux instability coefficient $\partial J_p / \partial MRR$ was defined as the slope of J_p as a function of MRR. The lower the coefficient, the more stable the permeate flux.

A diafiltration step can follow concentration in order to purify retentate using distilled water as a solvent. After concentration up to MMR 4.4, diafiltration was conducted with a constant volume compensating the mass of extracted permeate by an equal mass of distilled water added to the feed tank. The diamass ratio (DMR) was defined as the ratio between the total mass of distilled water added to the tank and the mass of circulating retentate. In our trials, diafiltration was stopped when the DMR reached approximately 1.0.

188

189 2.2.3. Pasteurization

According to Gates (2012) and the standard practices in the industry, citrus concentrates were pasteurized choosing a pasteurization value P_0 of 100 min as a target (reference temperature 70°C and z-value 10°C). The concentrate was distributed in several 15 mL glass tubes and put in a water bath at 80°C. Thanks to a thermal probe placed into one tube, the temperature of the concentrate was measured every minute and the P_0 was calculated according to the standard Ball procedure. When the targeted P_0 was reached (after 14 min), the tubes were quickly immersed in an ice-cold water bath to cool down and stop the treatment.

197

199 **2.3. Analyses**

200

201 2.3.1. Physico-chemical parameters

202 *pH and titratable acidity*

The pH of citrus juices and concentrates and their titratable acidity were measured using a Titroline titrator (Schott Schweiz AG, St. Gallen, Switzerland). The pH was measured with a pH probe, previously calibrated with buffer solutions of pH 4 and 7. The titratable acidity was measured by titration with 0.1 mol.L⁻¹ NaOH up to pH 8.3 and expressed as citric acid content per kg of juice or concentrate.

208

209 Soluble and insoluble fractions

210 Juices and concentrates were analyzed for total soluble solids (TSS) using a refractometer at 25°C 211 (Pocket PAL-1, 0-53% Brix, ATAGO, Tokyo), total dry matter (TDM) (2.0 g of concentrate dried in an 212 oven at 70°C under vacuum at 100 mPa for 24 h) and suspended insoluble solids (SIS). For SIS, 5.0 g 213 of concentrate were weighed in previously weighed 15 mL falcon tubes. The samples were then centrifuged at 1900 x g, for 20 min, at room temperature (centrifuge 5810 R, Eppendorf, Hamburg, 214 215 Germany). After centrifugation, the supernatant was removed in order to retain only the insoluble 216 particles in the pellet. Then, the pellet was washed twice in succession with water: it was re-217 suspended in 10 mL of distilled water, vortexed and centrifuged for 20 min. The supernatant was 218 removed and the last pellet was dried in an oven at 70°C for about 24 h. The mass ratio between the 219 dry base and the initial quantity thus represents the SIS content.

220

221 Rheological properties

222 Flow rheological measurements were carried out on juices and concentrates with a Physica imposed stress rheometer (model MCR301, Anton Paar Gmbh, Graz, Austria), equipped with a duvet 223 224 geometry measurement module (double concentric cylinder, ref. DG27 / T2000 / SS) and 225 accompanied by Rheoplus software for data acquisition. The temperature was regulated at 25°C 226 using a Peltier effect system connected to a refrigerant (Viscotherm VT2, Anton Paar Gmbh, Graz, Austria). The limit apparent viscosity for a shear rate of 1000 s⁻¹ was chosen to compare 227 228 concentrates. Indeed, this value is close to that evaluated on the membrane surface in the CMF 229 system (Dahdouh et al., 2015).

230

231 Particle size and distribution

232 Measurements of juice particle size were made with a Laser diffraction granulometer (Mastersizer 233 3000, Malvern Instruments Limited, Worcestershire, UK). Values of 1.73 and 1.33 were used 234 respectively as refractive indices for particles and the liquid phase of the suspension, the particle 235 absorption index being 0.1. (Corredig, Kerr, & Wicker, 2001; Dahdouh, Delalonde, Ricci, Ruiz, & 236 Wisnewski, 2018). The samples were diluted, introduced into the feed tank with an obscuration value 237 of about 30% for juices and 15% for concentrates and then agitated at 1500 rpm. In these 238 experimental conditions, the particle size distribution was assumed not to be affected. For each 239 measurement, the particle size distribution was obtained in volume. The mean volume diameter 240 D[4,3], which indicates the particle diameter of which the volume corresponds to the average 241 volume of all the particles in the sample (called the DeBrouckere mean), was deducted from the 242 measured distribution. The span, that characterizes the width of the distribution of particle sizes in 243 the suspension was also calculated (Equation 1).

244

Equation 1

 $Span = \frac{D_{90} - D_{10}}{D_{50}}$

with D_{50} the median diameter, D_{10} and D_{90} the diameters below which 10% and 90% of the particles are found.

247

The turbidity was measured using a turbidimeter (model LP 2000, Hanna instruments, Szeged, Hungary) which measures the intensity of the light (890 nm) scattered by the suspension, the angle between the detector and the light source being 90°. Before each measurement, the turbidimeter was calibrated using two standard formazin solutions at 0 and 10 NTU. Measurements were made on concentrates diluted 30 folds in distilled water in order to respect the accuracy range of the turbidimeter and results were expressed taking into account the dilution factor.

255

256 2.3.2. Carotenoid analysis

257

258 2.3.2.1. Carotenoid content

259 Extraction

260 Carotenoid extraction, carried out according to the method described by Gies, Descalzo, Servent, and 261 Dhuique-Mayer (2019), has been optimized and applied to citrus juices and concentrates. Two g of 262 juice or 0.5 g of concentrate mixed with 0.5 mL distilled water were homogenized in a glass tube (20 263 mL). Two mL ethanol with 1% pyrogallol were added and then the mixture was vortexed (homogenized). The tube was then placed in a water-bath at 70°C for 2-3 min, protected from light. 264 Two mL of KOH 12 mol.L⁻¹ was added and the mixture was vortexed and set in a water bath at 70°C 265 266 for 30 min. After cooling in an ice bath, 2 mL of distilled water was added to help the phase shift. The 267 extraction was carried out twice with 5 mL of hexane. The hexane phase was pooled and evaporated under nitrogen in a water bath at 37°C. The dry extracts were dissolved in 500 μ L of CH₂Cl₂ and 500 268

269 μ L of methyl tert-butyl ether (MTBE)/methanol mixture (4:1, v/v) in an amber vial before injection in 270 HPLC.

271 HPLC analysis

272 HPLC carotenoid analyses were performed according to a previous study (Poulaert et al. 2012) with 273 an Agilent 1100 system equipped with a diode array detector and autosampler. The column used was a C30 YMC column (250 x 4.6 mm; 5 µm, YMC Europe GMBH, Germany). The flow rate was 1 mL.min⁻ 274 275 ¹, the analysis temperature 25°C and the injection volume 20 μ L. The mobile phases were water 276 (eluent A), methanol (eluent B) and MTBE (eluent C) that follow the gradient described by Gleize, Steib, André, and Reboul (2012). The absorbance was measured at 450 nm to identify β -carotene 277 278 (BC), β -cryptoxanthin (BCX) and 470 nm for lycopene (LYC). Chromatographic data and UV-Visible 279 spectra (Agilent ChemStation Plus software) allowed the different carotenoids to be identified. 280 Quantification of carotenoids was achieved using external calibration curves with 5 concentration levels from 2 to 15 mg.L⁻¹ for the BC standard, from 10 to 40 mg.L⁻¹ for BCX and from 7 to 50 mg.L⁻¹ 281 282 for LYC.

283

284 2.3.2.2. Carotenoid bioaccessibility

285

286 In vitro digestion

287 The in vitro digestion model used in our study was initially developed by Reboul et al. (2006) 288 especially for carotenoids. It had been validated against human studies and was considered to be a 289 reliable model for carotenoid behavior during in vitro digestion (Etcheverry, Grusak, & Fleige, 2012). 290 This model was adapted for citrus juices according to Dhuique-Mayer et al. (2007). Briefly, 30.0 g of 291 juice or 5.0 g of concentrate were mixed with 32 mL of a 0.9% NaCl solution. The mixture was incubated in a stirring water bath at 37°C for 10 min, and protected from light. To simulate the 292 gastric digestion phase, the pH was adjusted to 4.0 with 1 mol.L⁻¹ NaOH, then 2 mL of pepsin was 293 294 added before incubating the mixture at 37°C for 30 min. To simulate the duodenal phase, the pH of

the gastric mixture was raised to 6.0 by adding 20 mL of 0.45 mol.L⁻¹ sodium bicarbonate. Then, 9 mL 295 of a solution containing porcine pancreatin (2 mg.mL⁻¹) and porcine bile extract (12 mg.mL⁻¹) in 100 296 mmol.L⁻¹ trisodium citrate were added, as well as 4 mL for juice or 2 mL for concentrate of porcine 297 298 bile extract (0.1 g.mL⁻¹) and 1 mL of cholesterol esterase (10 mg at 32 Unit.mL⁻¹ in 6 mL of distilled 299 water). Samples were subsequently incubated in a shaking water bath at 37°C for 30 min to finish the digestion process. Micelles were separated by centrifugation at 48 000 x g for 4 h at 10°C using an 300 301 Avanti JE rotor JA-20 (Beckman-coulter, USA), and the aqueous fraction was collected and filtered 302 through a 0.20 μm filter (Whatman, U.K.). Aliquots were stored at -20 °C until analysis.

303

304 Carotenoids from Micellar phase analysis

Carotenoid extraction from digested samples was performed as previously described by Dhuique-Mayer et al. (2018). An aliquot of 10 mL of the micellar phase from a digested sample was extracted 307 3 times with 10 mL of hexane and 5 mL of ethanol containing 100 μ L of β -apo-8'-carotenal (at 24.4 mg.L⁻¹) as an internal standard. The collected hexanic phases were dried with anhydrous sodium sulphate. The pooled hexane extracts were evaporated and dissolved in 250 μ L of CH₂Cl₂/250 μ L of the MTBE/methanol (4:1, v/v) before HPLC analysis according to the analytic conditions described below for carotenoid analysis.

Bioaccessibility of a carotenoid i, noted β_i, was calculated according to Equation 2 and expressed in
 percentage (%).

Equation 2

$$315 \qquad \beta_i = 100 \frac{m_i}{m_{i0}}$$

316 m_i: amount of carotenoid i in the micellar phase (mg)

317 m_{i0}: amount of carotenoid i in the initial sample of citrus juice or concentrate (mg)

318

319 2.3.3. Vitamin A activity equivalent calculation

Vitamin A activity equivalent was expressed in terms of retinol activity equivalents RAE (Equation 3). The RAE value was widely used for food. Another vitamin A activity equivalent RAE*, taking into account carotenoid bioaccessibility, was defined using Equation 4. Results were referred to 250 g of product corresponding to an average glass of beverage. Moreover, the percentage of Recommended Dietary Allowances (RDA) provided by 250 g of juice or concentrate could be deduced considering that 800 µg of RAE is recommended for an adult (> 18 years old) per day (Medicine, 2001) (National Institutes of Health, Office of Dietary Supplements, 2020).

327

$$RAE = \frac{[BC] \times 250}{12} + \frac{[BCX] \times 250}{24}$$

$$RAE^* = \frac{[BC] \times 250}{12} \times \frac{\beta_{BC}}{100} + \frac{[BCX] \times 250}{24} \times \frac{\beta_{BCX}}{100}$$

Equation 4

Equation 3

329

328

331 [BCX]: β-cryptoxanthine content (mg.kg⁻¹)

332 β_i : Bioaccessibility of carotenoid i (%)

333

334 2.4. Experimental approach

335 The chosen experimental strategy was organized in 3 successive phases:

- the first phase focused on the CMF operation. It aimed to choose the 3 main operating parameters,

337 i.e., membrane, transmembrane pressure (TmP) and enzyme mixture. It was based mainly on the

338 permeate flux without considering carotenoid bioaccessibility in order to reduce the screening and

restrict the field of the study. So, it was assumed that the membrane and transmembrane pressure

340 do not alter the characteristics of the suspension and therefore the carotenoid bioaccessibility. This

341 assumption considered the retentions of the main compounds of juices are close to each other for the 4 tested membranes, i.e. retentions are only little affected by fouling, even if it can be a little 342 different from one membrane to another (Dornier et al., 2018; Hofs, Ogier, Vries, Beerendonk, & 343 344 Cornelissen, 2011). The enzyme mixture effect was restricted in this part because it was not possible 345 to modulate the activity profile and this was not the core of the work. The used process combined an enzymatic treatment in batch mode with the comparison of both pectinolytic mixtures (300 µL.kg⁻¹, 346 45 min, 30°C) and crossflow microfiltration at MRR 1 (1.8 - 3.5 bar, 1 m.s⁻¹, 30°C). Thus, for the four 347 348 membranes, with different TmP and enzymatic treatment, the performance of the operation was 349 assessed measuring permeate flux, J_p, as well as the physico-chemical characteristics of juice in order 350 to select the best membrane/TmP/enzyme combination.

351 - the second phase considered the complete process. It aimed to identify the other operating 352 parameters that most influence carotenoid bioaccessibility, permeate flux and physico-chemical 353 properties of citrus concentrates thanks to a fractional factorial experimental design of Plackett-354 Burman (Goupy, 2006; Vanaja & Shobha Rani, 2007). The process combined i) an enzymatic liquefaction with the preselected enzyme mixture at 300 µL kg⁻¹ ii) a crossflow microfiltration 355 356 operation using the preselected membrane/TmP combination with or without diafiltration, iii) a 357 possible additional step of pasteurization. Seven operating parameters were modulated: enzymatic liquefaction conditions (dose of enzyme, temperature, implementation mode), CMF conditions 358 359 (crossflow velocity, temperature), and with or without diafiltration and pasteurization alone or in 360 combination (Table 2). Statistical analyses were performed from means and standard deviations 361 using XLSTAT software 2016. Statistical significance was tested using one-way analysis of variance with a post-hoc Fisher's test. A P value < 0.05 was considered statically significant. From a Plackett-362 363 Burman experimental design, the effects of each factor were calculated by multiple linear regression 364 using MS-Excel between the lowest and the highest level [-1, 1]. Relative effects were obtained 365 dividing them by the mean value (expressed in %).

The last phase aimed to investigate further the process focusing on the most influent operating
 conditions and considering simultaneously carotenoid bioaccessibility and process performance.

368

369 3. Results and discussion

370 **3.0. Initial citrus juice characterization**

371 As a reminder, an initial standardized citrus juice was formulated by mixing clementine and 372 grapefruit juices with a ratio of 60/40% in order to nutritionally optimize the carotenoid profile. So, 373 raw citrus juice was characterized to later assess the effect of processing on the concentrates. 374 Physico-chemical analysis, carotenoid content and bioaccessibility of citrus juices were assessed 375 (Table 3). Juice from lot A was used for the first phase, lot B was used for the second and third phase 376 and the last juice from lot C was only used for the third phase. Note that with regard to the physico-377 chemical and structural parameters, juices from the different lots were very similar whereas their 378 carotenoid content and bioaccessibility differed significantly. The impact of lot appeared greater for 379 carotenoid content and bioaccessibility which were more affected by a possible difference in fruit 380 maturity before processing or by several slight variations in processing between lots.

381

382 Physico-chemical and structural parameters

383

The pH of citrus juices was acid which facilitates their preservation. A fruit juice is a suspension, i.e. a solid-liquid dispersion. It is a heterogeneous and unstable mixture due to the existence of two phases: the dispersing phase, water with TSS (soluble fraction) and the dispersed phase with SIS (colloidal, supra-colloidal and particulate fractions). The dispersing phase occupies almost all the juice and is mainly composed of carbohydrates and organic acids (Dahdouh, Delalonde, Ricci, Rouquie, & Wisniewski, 2016). Note that TSS was close to that of grapefruit or orange juice (95 and 390 100 g.kg⁻¹ respectively). The average ratio TSS/SIS, which is an essential characteristic for carotenoid 391 purification by CMF, was about 33 (Servent, Abreu, Dhuique-Mayer, Belleville, Dornier, 2020). The SIS 392 corresponded to the pulp in juices and represented the particulate fraction with particle size over 393 100 μm. By the way, the mean volume diameter of citrus juice was high (about 900 μm). Regarding 394 the viscosity, juices behaved as rheofluidifiers with a low viscosity equal to 2-3 mPa.s, which is very 395 close to that of pure water.

396

397 Carotenoid analysis

398 Carotenoid content and bioaccessibility were very different for the three carotenoids. 399 Citrus juices contained three main carotenoids where lycopene provided by grapefruit had the highest concentration (in average for lots B and C, 5.55 mg.kg⁻¹) followed by the two provitamin A 400 carotenoids: β -cryptoxanthin (2.85 mg.kg⁻¹) and β -carotene (1.29 mg.kg⁻¹) mainly provided by 401 402 clementine (Table 3). The addition of grapefruit juice to clementine juice resulted in a balanced 403 carotenoid composition by increasing β -carotene and providing lycopene (Poulaert et al., 2012). On 404 the other hand, carotenoid bioaccessibility varied between the three carotenoids in the following 405 order: BCX > BC > LYC. Carotenoid bioaccessibility strongly depends on carotenoid type (carotene or 406 xanthophyll) and this order is in agreement with literature, whatever the food source. Indeed, 407 xanthophylls (BCX), which are less hydrophobic than carotenes, are located at the surface of lipid 408 droplets which means they are released more easily from lipid droplets and therefore, more easily 409 incorporated into mixed micelles (Tyssandier, Lyan, & Borel, 2001). Furthermore, although lycopene 410 content was the highest, its bioaccessibility was the lowest (< 1%). The low bioaccessibility of lycopene could be due to the morphology of chromoplasts. Indeed, a recent study has shown that 411 412 chromoplasts with crystalline morphology accumulated in red-fleshed grapefruits with an extremely 413 high accumulation of lycopene (96%) (Zhang et al., 2019). Furthermore, fruits with high carotenoid content in crystalline chromoplasts had lower bioaccessibility than the others which accumulated 414

415 high content of carotenoid in globular chromoplasts (R. M. Schweiggert et al., 2012). Regarding the 416 RAE, the consumption of 250 g of juice provided an average of 55 μ g of vitamin A equivalent which corresponds to about 8% of the Recommended Dietary Allowances (RDA). These values were very 417 418 similar to those obtained with homemade orange juice where RAE was about 43 µg for a glass of 250 419 g, i.e. 5.4% of RDA (Carla M. Stinco et al., 2012) but were considerably less than carrot juice which 420 provides 1690 µg per 250g (211.3% of RDA). Taking into account the bioaccessibility, the RAE* of 421 citrus juice was drastically divided by approximately 5 which corresponds to only 1.8% of the RDA. 422 Finally, RAE overestimates the contribution of vitamin A. It is relevant to take into account 423 carotenoid bioaccessibility to more accurately assess the provitamin A equivalent provided by food.

424

425 **3.1. Selection of enzyme, transmembrane pressure and membrane combination**

426

427 **3.1.1.** Influence of enzymatic treatment on permeate flux and structural characteristics of juices

428

429 Permeate flux

430 Stabilized permeate flux obtained during crossflow microfiltration of the untreated citrus juice and 431 the juice previously treated with both commercial enzymes, Ultrazym and Pectinex, are presented in 432 Table 4. In all the tested cases, enzymatic liquefaction enhanced the permeate flux. Considering that 433 repeatability of permeate flux measurements using this type of well-controlled pilot equipment is 434 between 5 and 10%, enzyme treatment allowed to increase J_p for the membranes PALL, ORELIS and TAMI significantly (J_p multiplied by 1.17 to 1.54). Except for the ORELIS membrane, the results 435 indicated there was no significant difference in permeate flux between both enzymes. Many studies 436 437 showed that pectinolytic enzymes such as Ultrazym or Pectinex, thanks to soluble pectin 438 depolymerization and damage to the cell wall polysaccharides, have long been used to decrease viscosity, to limit the fouling power of fruit juices and therefore, to increase filtration performance 439

(Ushikubo, Watanabe, & Viotto, 2007; F. Vaillant et al., 1999; Yu & Lencki, 2004). In addition, 440 441 Chaparro, Dhuique-Mayer et al. (2016) explained the permeate flux rise by disorganizing the gel-like 442 structure that can be formed at the surface of the membrane, facilitating mass transfers through the 443 porous media. The ST-GOBAIN membrane clearly differed from the others because the flux was not 444 significantly modified after enzyme treatment with this membrane. This variation in behaviour can 445 be linked to a different fouling mechanism of this membrane because, on the one hand, of its larger 446 average pore diameter more favourable to internal fouling (0.6 µm instead of 0.2 µm) and, on the 447 other hand, of the very different nature of the membrane material (silicon carbide instead of metal 448 oxides) which probably did not lead to the same interactions with the product.

449

450 Physico-chemical and structural parameters

451 Neither enzymatic liquefaction (whatever mixture is used) nor crossflow microfiltration (30°C, 2.6
452 bar, MRR 1, 5 m.s⁻¹) modified pH, TSS, AT, TDM, SIS or turbidity (**Table S1**).

453 Particle size spectra comparison (Figure S1) showed enzymatic liquefaction drastically decreased the 454 particle volume diameter of citrus juices: D[4,3] was reduced from 6 up to 9 fold (Figure 2). Indeed, 455 the particulate fraction (> 100 μ m) was degraded and replaced by the supra-colloidal fraction (< 100 456 μ m). Enzymatic liquefaction with Ultrazym had a stronger effect than with Pectinex on particle size 457 distribution: particle size was 4 fold lower with Ultrazym than with Pectinex. Without using enzymes, it could be observed that the high shear rates during crossflow microfiltration considerably 458 459 decreased particle size of untreated citrus juice as also shown by Dahdouh et al. (2016) but not as 460 much as enzymatic liquefaction. It was also noted that particle size in the juices that have undergone 461 microfiltration in addition to an enzymatic treatment was similar to those that have undergone only 462 the enzymatic treatment.

Regarding particle size dispersion, the span was low for the raw juice mainly characterized by a single
 peak of large particles (> 1000 μm), and increased slightly for microfiltered juice that gave bimodal

distribution (two main populations at around 100 and 900 μm). For juices treated with enzymes,
either microfiltered or not, the span was higher than untreated juices, characterized by no peak and
particle sizes ranging from 5 to 900 μm.

468 Enzymatic liquefaction decreased viscosity by 30% but no significant difference between the two 469 enzymes, Ultrazym and Pectinex, was observed (Figure 3). Similar results were found by Vaillant, 470 Millan, O'Brien et al. in passion fruit juice (F. Vaillant et al., 1999). This phenomenon is well known 471 (Kuddus, 2018) and is related to the actions of pectinolytic enzymes on the soluble pectin fraction 472 and also on the pectic compounds entrapped in the cell walls that make up the bulk of suspended 473 insoluble solids. Through hydrolytic cleavage (polygalacturonase activity), non-hydrolytic breakdown 474 (pectin-lyase activity) or deesterification (pectinesterase activity), these enzymes contribute to 475 decrease molar mass of pectins and chemical interactions between biopolymers chains (mainly H-476 bonds) what leads to a viscosity drop (Jayani, Saxena, & Gupta, 2005).

On the other hand, crossflow microfiltration did not modify either the viscosity of the untreated juice or the viscosity of the juices previously treated with enzymes. Due to the constant MRR (equal to 1), the rheological properties of juice were not much affected by microfiltration. Note that only particle size was modified by microfiltration, suggesting that there was no correlation between particle size distribution and viscosity. Moreover, for a constant MRR, the effect of enzymatic treatment on viscosity was greater than that of microfiltration.

Thanks to the degradation of the cell wall polysaccharides, together with that of the soluble pectins, enzymatic treatments considerably modified the physical characteristics of the suspension. Particle size and viscosity of juice were decreased that was, in our case, favorable for mass transfers through the membrane and was conducive to higher permeate fluxes (Ushikubo et al., 2007; F. Vaillant et al., 1999; Yu & Lencki, 2004). Thus, using pectinolytic enzymes is an interesting way to improve process performance.

490 3.1.2. Effect of TMP on permeate flux and structural characteristics of juices pre-liquefied with491 enzymes

492

493 Permeate flux

494

495 The comparison of permeate flux for different transmembrane pressures and membranes was made 496 in a pressure range between 0.8 and 4.3 bar (Figure 4). As provided by Darcy's law, at constant MRR, 497 permeate flux increased by increasing the transmembrane pressure that corresponds to the driven 498 force for mass transfers through the porous medium. Nevertheless, permeate flux was not 499 proportional to TmP and, a plateau was reached when TmP increased. So an optimal pressure over 500 which it is not interesting to filtrate the product could be defined (around 3 bar in our case). These 501 results, that could be explained by the increasing hydraulic resistance of the fouled membrane with 502 pressure, are very usual and are in accordance with many studies such as Cisse et al. 2011 (Cisse, 503 Vaillant, Soro, Reynes, & Dornier, 2011) who also have specified that the transmembrane pressure 504 effect varied according to the MRR. The TAMI membrane gave the highest permeate flux under any 505 TmP, followed by ST-GOBAIN and PALL which had similar permeate flux trends and then the ORELIS 506 membrane. These differences between the membrane behavior were surely due to their different 507 structural characteristics (thickness, porosity, tortuosity, pore diameter distribution, surface state) 508 and to the different materials that could be more or less favorable to physico-chemical interactions 509 with juice components. Surprisingly, the ST GOBAIN membrane was not the most effective even if it 510 showed a much higher water permeability than the others and a larger average pore diameter. As 511 often shown in many cases in microfiltration (Cheryan, 1998), this difference could be related to its 512 higher average pore diameter that promotes the internal part of membrane fouling (through pore 513 constriction and/or blocking) and so create a higher hydraulic resistance of the system.

514 From TmP of 3 bar, permeate flux were higher than 100 kg.h⁻¹.m⁻², for all the membranes, that was 515 an encouraging value for industrial application of the process. TAMI membrane showed promise

from an energy point of view because it was able to filtrate at a lower transmembrane pressure whileguaranteeing a higher permeate flux.

518

519 Physico-chemical and structural parameters

As might be expected, transmembrane pressure did not cause any modifications of juice characteristics. Shear stress variations induced by passing through the pressure regulating valve was probably negligible compared to the shear stress generated by high fluid velocity into the circulation loop.

524

525 Finally, TAMI membrane was selected guaranteeing the best permeate flux at low transmembrane 526 pressure. By the way, an average transmembrane pressure of around 2.5 bar was chosen to ensure 527 high filtration performance while maintaining an acceptable energetic cost for the process.

528 Due to the similar effects of the two enzymes on performance filtration, the choice of enzymatic 529 treatment was oriented towards Ultrazym. It further reduced the particle size of juice and low 530 particle size appeared to induce better permeate flux.

Having found this enzyme/membrane/transmembrane pressure combination, it is possible to go further with the process and optimize it in order to obtain concentrates with the best carotenoid bioaccessibility and therefore with the highest nutritional qualities.

534

535 **3.2. Selection of the most influential factors on carotenoid bioaccessibility**

536

537 **3.2.1.** Process performance and concentrate characteristics

In this part of the study, crossflow microfiltration was carried out concentrating the product in theretentate loop up to a MRR of 4.4.

540

541 Permeate flux

Results showed permeate flux varied from 15 to 120 kg.h⁻¹.m⁻². It was logically the lowest for the C8 542 concentrate that was the least treated concentrate (no enzymatic liquefaction, no diafiltration, low 543 544 crossflow velocity and filtration temperature) contrary to the C4 concentrate (Table 5). Regarding the 545 stability of permeate flux, the C8 concentrate appeared to be the one with the most stable permeate 546 flux, followed by C1 and C2. The higher stability of permeate flux observed with the concentrate C8, 547 could be related to the softer operating conditions used (low temperature and crossflow velocity, no 548 enzyme treatment) and to the low permeate flux that could favor a more progressive fouling of the 549 membrane during the concentration. On the contrary, C3, C5, C6 were characterized by a high 550 coefficient of instability $\partial Jp/\partial MRR$. No correlation between permeate flux and stability was 551 observed. Of course, the higher and more stable the permeate flux the better the process for 552 industrial application (cf. some examples Figure S2).

553

554 Physico-chemical and structural parameters

555

556 Several parameters were evaluated in juice and in the 8 concentrates at MRR 4.3 (Table 5). Firstly, 557 insoluble solids were completely retained by the membrane and increased about 4-fold in 558 concentrates compared to the juice, that is logically close to the MRR attained. Turbidity values were 559 also multiplied by 4 in concentrates, which was in line with a study by Vaillant et al. 2008, which 560 showed that in certain cases, turbidity appears to be a good SIS substitute (Fabrice Vaillant, Pérez, 561 Acosta, & Dornier, 2008). To purify the micronutrients, a step of diafiltration was added following 562 crossflow microfiltration for four concentrates (C2, C4, C5, C6). As expected, declines of TSS and so dry matter (DM) were observed during the diafiltration because the membrane did not retain solutessuch as sugars and organic acids.

Particle size distribution and viscosity of the 8 concentrates varied and two groups could be distinguished: C1, C4, C6, C7 had a mean volume diameter D[4,3], between 59 and 98 µm and a limit viscosity of 3 mPa.s, whereas the D[4,3] of the concentrates C2, C3, C5 and C8 was between 178 and 246 µm with a limit viscosity of 13.5 mPa.s. The difference between these two groups was mainly explained by the enzymatic treatment which led to a decrease in particle size and viscosity.

570

571 Carotenoid analysis

572

573 Nutritional quality was assessed for the 8 concentrates, in two dimensions: carotenoid content and 574 carotenoid bioaccessibility (Figure 5). For an MRR equal to about 4, crossflow microfiltration mainly 575 and logically increased carotenoid content 4 fold, even if a larger dispersion of lycopene content was 576 observed between concentrates. This dispersion could be due to processing. Indeed, the application 577 of high temperatures, the exposure to light or oxygen could induce the decrease of total lycopene or 578 its isomerization into isomers such as 5 cis, 9 cis, 13 cis and 15 cis (Cooperstone, Francis, & Schwartz, 579 2016; Urbanoviciene, Bobinaite, Bobinas, & Viskelis, 2017). The high sensitivity to lycopene isomerization could explain dispersion (Honest, Zhang, & Zhang, 2011; Petry & Mercadante, 2017) 580 581 where all *cis*-isomers appearing from processing contributed to the variation observed in lycopene 582 quantification. Indeed, the percentage of cis-isomers from lycopene varied from 1.7% (C8 583 concentrate) to 9.9% (C1 concentrate) for the eight concentrates. The lowest value was obtained for 584 C8 that was the concentrate treated with the mildest conditions (no enzyme treatment, low 585 temperature, low crossflow velocity and no pasteurization). Furthermore, the process induced 586 carotenoid bioaccessibility changes in the concentrates depending on the combination of operating parameters. Indeed, bioaccessibility varied from 15 to 27% for BC, from 20 to 30% for BCX and from 587 588 0.6 to 1.5% for LYC. These changes were greater for β -cryptoxanthin and β -carotene bioaccessibility than lycopene bioaccessibility. We can notice that the very low lycopene bioaccessibility for all concentrates did not allow to quantify bioaccessible cis-isomers. So, for lycopene, the cisisomerization did not affect the bioaccessibility. In fact, the C5 concentrate differed from the others by having the highest BCX and BC bioaccessibility. However, the conditions for obtaining the best carotenoid bioaccessibility were not the same as those that gave the best filtration performance (high and stable J_p). So a compromise will have to be found between carotenoid bioaccessibility and filtration performance.

596

597 Vitamin A activity

598 RAE and RAE* were calculated to assess vitamin A delivered by juice and the 8 concentrates.

599 Of course, crossflow microfiltration induced an increase of RAE by 4 because of the carotenoid 600 content which was multiplied by 4 by CMF. A portion of 250 g of concentrate provided from 30 to 36 601 % of RDA, depending on the concentrate. Taking into account the bioaccessibility, RAE* values of 602 concentrates were divided by up to 4.5, as for juices, and provided from 6 to 10 % of RDA. For 603 concentrates, it should be noted that the dispersion of the RAE* was greater than the RAE, which 604 may be explained by a more variable process effect on bioaccessibility than on the carotenoid 605 content alone between concentrates. In fact, although taking into account the fact that 606 bioaccessibility decreased vitamin A intake, microfiltration compensated this decrease and even 607 increased it. Indeed, 250 g of juice provided 1.8 % RDA, whereas after microfiltration, 250 g of 608 concentrate provided up to 10% of RDA (for C5 concentrate). Note that the RAE* was probably 609 underestimated because it was calculated from RAE which was theoretically defined by IOM and 610 already takes into account the bioefficacy of carotenoids (West, Eilander, & van Lieshout, 2002).

611

612 **3.2.2.** Effects of the operating conditions on permeate flux and concentrate quality

Permeate flux and stability

615 The three most influential parameters on permeate flux have positive effects on it. The importance 616 of their effects was in descending order enzyme dose (84%), filtration temperature T_{CMF} (50%) and 617 crossflow velocity U (45%). These 3 parameters alone explained almost 90% of the cumulated effect 618 of all operating parameters thanks to a decrease in viscosity and membrane fouling. The other 619 parameters, liquefaction temperature and mode (T_{liq} and M_{liq}), as well as diafiltration, did not have a 620 significant effect on permeate flux (2.6%, 2.1% and 1.6% respectively). This last observation is not in 621 accordance with literature where usually the diafiltration step increases J_p slightly. Indeed, feeding 622 with water instead of juice decreases the viscosity and contributes to enhancing permeate flux 623 according to Darcy's law (Basso, Gonçalves, Grimaldi, & Viotto, 2009; Polidori et al., 2018). In this 624 work, diafiltration had no effect on permeate flux probably because the diavolume ratio of 1.0 was 625 too low and the diafiltration was carried out at the end of the microfiltration, when the MRR of 4.4 626 was already attained.

The three most influential parameters on permeate flux stability were little different from the three most influential parameters on permeate flux. There were in descending order the liquefaction temperature T_{liq} (112.9%), the crossflow velocity U (40.7%) and filtration temperature T_{CMF} (24.0%). Liquefaction temperature accounted for almost 50% of the cumulated effect of all the operating parameters. In addition, contrary to the permeate flux, crossflow velocity (U) and filtration temperature (T_{CMF}) had a negative effect on stability and thus decreased it. Enzymatic treatment had no effect on permeate flux stability (4.6%), whereas it drastically increased permeate flux.

634

635 Concentrate characteristics

Pareto charts were used to highlight the relative importance of the seven operating parameter effects on nutritional and structural qualities of concentrates (**Figures 6 and 7**). These charts were made up of two axes: the abscissa axis was the causes (operating parameters) and the ordinate axis was the effect on the response studied (carotenoid bioaccessibility and structural parameters). The orange curve corresponded to cumulated percentage. Significance threshold could not be determined statistically because of the cumbersome experimental procedure which did not allow to repeat each trials 3 times. Nevertheless, we considered effects below 10% insignificant because of the same order of magnitude as the repeatability.

Enzyme treatment parameters (dose, temperature T_{liq} and mode M_{liq}) were the most influential 644 645 operating parameters on pro-vitamin A carotenoid bioaccessibility (BC and BCX) (Figure 6). T_{liq} and 646 M_{lia} had a positive effect whereas the dose had a negative effect on pro-vitamin A carotenoid 647 bioaccessibility. It was quite different for lycopene bioaccessibility, which was mainly influenced by 648 enzyme dose, filtration temperature (T_{CMF}) and crossflow velocity (U) where dose and T_{CMF} had a negative effect whereas crossflow velocity had a positive effect (Figure 6). The operating conditions 649 650 related to the microfiltration, T_{CMF} and U, had a greater impact on the lycopene bioaccessibility than 651 pro-vitamin A carotenoids. This could be due to the greater sensitivity of lycopene to isomerization and oxidation during food processing (Cooperstone et al., 2016; Urbanoviciene et al., 2017). Note 652 653 that contrary to our results, recent studies on tomato showed that thermal processing can improve 654 the lycopene bioaccessibility (Colle, Lemmens, Van Buggenhout, Van Loey, & Hendrickx, 2010; 655 Knockaert, Pulissery, et al., 2012). The high shear rate caused by high crossflow velocity during 656 crossflow microfiltration contributed to cell breakdown and therefore to the more effective release 657 of crystalloid lycopene from chromoplasts and lycopene bioaccessibility (R. M. Schweiggert et al., 658 2012).

Enzyme dose remained the common cause of decreasing in carotenoid bioaccessibility. The enzymatic treatment led to the modification of pectin concentration and structural properties which could promote its interaction with bile salts. Indeed, Cervantes-Paz et al. (2017) explained the possible interaction of low pectin concentration with bile salts can modulate carotenoid bioaccessibility knowing that bile salts are key components of lipid digestion and micelle formation (Cervantes-Paz et al., 2016). For the three carotenoids, pasteurization did not affect carotenoid bioaccessibility which is in accordance with Sentandreu et al. (2020). Even if it appeared significant

for β -carotene, the effect of diafiltration on carotenoid bioaccessibility stayed quiet low. The ability of carotenoids to be incorporated into the micelles depended little or not at all on the major solute fraction. So the step of diafiltration could be interesting to reduce sugar content and therefore to produce healthier concentrates.

670

Enzyme dose was the largest cause of decrease of D[4,3] and viscosity which account for 60% and 80% respectively of all operating parameters (**Figure 7**). The diafiltration step also contributed to decreasing particle size and viscosity with an effect of over 20%. None of the other parameters had any effect on the structural characteristics studied.

675 Finally, enzyme dose was clearly the most influential parameter on assessed values resulting in a 676 decrease of carotenoid bioaccessibility, particle size and viscosity and in an increase of permeate flux. 677 On the other hand, no correlation between particle size (D[4,3]), viscosity (η) and bioaccessibility was 678 highlighted, confirming results mentioned by Gence. L et al. (Laura Gence, Servent, Poucheret, Hiol, 679 & Dhuique-Mayer, 2016). These observations were contradictory to literature on citrus juices which 680 indicates that the particle size are strongly linked to carotenoid bioaccessibility (Carla M. Stinco et al., 681 2012). However, concentrates with high J_p were characterized by low viscosity and low particle size. 682 So, a compromise of enzyme dose would be found to ensure the best carotenoid bioaccessibility 683 while maintaining an acceptable performance for the process. Moreover, the other parameters 684 would be selected in order to give the best carotenoid bioaccessibility (enzyme liquefaction in batch mode at 50°C, T_{CMF} = 30°C, U = 5 m.s⁻¹, with diafiltration and no pasteurization). 685

686

687 **3.3. Focus on the most influential factor**

The last phase aimed to complete the study of the impact of enzyme dose in order to investigate if it is possible to ensure good carotenoid bioaccessibility while maintaining acceptable process performance. For that, a concentrate was produced at MMR 4.4 fixing the best combination of operating conditions for carotenoid bioaccessibility (based on the results obtained from the Plackett-Burman experimental design in the previous phase) and decreasing Ultrazym dose to 50 μ L.kg⁻¹. So two trials were carried out with the third lot of juice (lot C) using the production of a concentrate without enzymes as a reference (**Table 6**).

A pre-liquefaction with a dose of 50 μ L.kg⁻¹ of Ultrazym doubled permeate flux. Thanks to this enzyme treatment, J_p remained above 80 g.h⁻¹.m⁻² up to a MRR of 4.4. This low dose of enzyme was sufficient to ensure high process performance. Furthermore, the permeate flux was very stable for the enzyme treated concentrate compared to the untreated one.

The differences of TSS, SIS and DM between the two concentrates were significant (p < 0.05) but very slight. The enzymatic treatment with 50 μ L.kg⁻¹ had the same effect on the viscosity as with 300 μ L.kg⁻¹: the viscosity was divided by 3 until it reached a value below 3 mPa.s. Regarding particle size, 50 μ L.kg⁻¹ of Ultrazym modified neither the D[4,3] nor the size dispersion (Span) in the concentrate contrary to the treatment with 300 μ L.kg⁻¹.

In this case, carotenoid bioaccessibility was not affected by enzymatic treatment which differs from previous results that showed enzymatic treatment with 300 μ L.kg⁻¹ of Ultrazym was the main cause of the decrease in bioaccessibility of β -carotene, β -cryptoxanthin and lycopene. With 50 μ L.kg⁻¹ of Ultrazym, carotenoid bioaccessibility was preserved and therefore, the RAE* also.

The bioaccessibility ratio between initial juices and concentrates, calculated from the 2 main bioaccessible carotenoids (BC and BCX), could be used to compare the last concentrate (with enzyme Table 6) with the C5 concentrate which gave the best bioaccessibilities in the previous part (Table 5). By calculating this ratio, we showed the average bioaccessibility was increased by about 30% in both cases. So the effect of the process on the bioaccessibility of the 2 main carotenoids could be considered as similar.

Finally, the final concentrate treated with 50 μL.kg⁻¹ of enzymes gave high permeate flux with good
 carotenoid bioaccessibility. So this enzymatic treatment resulted in an interesting compromise

between process performance and nutritional quality. Further investigations should be considered to
refine the optimization of the enzyme dose and also to provide insights for a better understanding of
the phenomena involved.

719

720 **4. Conclusion**

721

722 In this study, a new process based on crossflow microfiltration was investigated by selecting the 723 operating conditions giving the best nutritional quality of the final concentrate evaluated through its 724 carotenoid potential while guaranteeing a high process performance. Among all the operating 725 conditions, the enzyme dose appeared to play a major role on both permeate flux and carotenoid 726 bioaccessibility in the studied process. Several perspectives could be considered to try to better 727 understand these results such as examining in more detail the impact of pectin structure on 728 carotenoid micellarization or what happens at the cellular level after enzymatic treatment. Other 729 enzymes could also be investigated to compare their effects on cellular structure and to explain the 730 variation of carotenoid bioaccessibility.

Results showed that bioaccessible carotenoid content could be multiplied by 4.5 to 4.8 in the concentrate in comparison with Citrus juice using CMF up to MRR 4.4. Crossflow microfiltration could be easily carried out up to a higher MRR in that case (high permeate flux and no drastic drop vs. MRR) and so the bioaccessible carotenoid content should be easily increased leading to higher nutritional potential of concentrate. In addition, the coupling of microfiltration with other mechanical processes that could favor cell destructuring/destroying and thus carotenoid release from chromoplasts such as homogenization, would be another avenue of research.

738

739 Acknowledgements

- 740 This work was carried out with the financial support of the Centre for International Cooperation in
- 741 Agronomic Research for development (CIRAD) and the Doctoral School GAIA (Montpellier, France).

743 Declaration of interests

744 The authors of this work declare no conflict of interest.

745

747 References

- Aschoff, J., Rolke, C., Breusing, N., Bosy-Westphal, A., Högel, J., Carle, R., & Schweiggert, R. (2015).
 Bioavailability of β-cryptoxanthin is greater from pasteurized orange juice than from fresh
 oranges a randomized cross-over study. *Molecular nutrition & food research, 59*.
 doi:10.1002/mnfr.201500327
- Aschoff, J. K., Kaufmann, S., Kalkan, O., Neidhart, S., Carle, R., & Schweiggert, R. M. (2015). In Vitro
 Bioaccessibility of Carotenoids, Flavonoids, and Vitamin C from Differently Processed
 Oranges and Orange Juices [Citrus sinensis (L.) Osbeck]. Journal of Agricultural and Food
 Chemistry, 63(2), 578-587. doi:10.1021/jf505297t
- Barba, F. J., Saraiva, J. M. A., Cravotto, G., & Lorenzo, J. M. (2019). Innovative Thermal and Non Thermal Processing, Bioaccessibility and Bioavailability of Nutrients and Bioactive
 Compounds: Woodhead Publishing.
- Basso, R. C., Gonçalves, L. A. G., Grimaldi, R., & Viotto, L. A. (2009). Degumming and production of
 soy lecithin, and the cleaning of a ceramic membrane used in the ultrafiltration and
 diafiltration of crude soybean oil. *Journal of Membrane Science, 330*(1), 127-134.
 doi:https://doi.org/10.1016/j.memsci.2008.12.052
- Bungau, S., Abdel-Daim, M. M., Tit, D. M., Ghanem, E., Sato, S., Maruyama-Inoue, M., . . .
 Kadonosono, K. (2019). Health benefits of polyphenols and carotenoids in age-related eye
 diseases. Oxidative medicine and cellular longevity, 2019.
- Cervantes-Paz, B., de Jesús Ornelas-Paz, J., Pérez-Martínez, J. D., Reyes-Hernández, J., Zamudio Flores, P. B., Rios-Velasco, C., . . . Ruiz-Cruz, S. (2016). Effect of pectin concentration and
 properties on digestive events involved on micellarization of free and esterified carotenoids.
 Food Hydrocolloids, 60, 580-588.
- Cervantes-Paz, B., Ornelas-Paz, J. d. J., Ruiz-Cruz, S., Rios-Velasco, C., Ibarra-Junquera, V., Yahia, E. M.,
 & Gardea-Béjar, A. A. (2017). Effects of pectin on lipid digestion and possible implications for
 carotenoid bioavailability during pre-absorptive stages: A review. *Food Research International, 99*, 917-927. doi:https://doi.org/10.1016/j.foodres.2017.02.012
- Chaparro, L., Dhuique-Mayer, C., Castillo, S., Vaillant, F., Servent, A., & Dornier, M. (2016).
 Concentration and purification of lycopene from watermelon juice by integrated microfiltration-based processes. *Innovative Food Science & Emerging Technologies, 37*, 153-160. doi:10.1016/j.ifset.2016.08.001
- 778 Cheryan, M. (1998). Ultrafiltration and microfiltration handbook, Technomic Pub. *Co., Lancaster, PA*.
- Cilla, A., Alegría, A., de Ancos, B. a., Sánchez-Moreno, C. n., Cano, M. P., Plaza, L., . . . Barberá, R.
 (2012). Bioaccessibility of tocopherols, carotenoids, and ascorbic acid from milk-and soy based fruit beverages: influence of food matrix and processing. *Journal of Agricultural and Food Chemistry*, *60*(29), 7282-7290.
- Cisse, M., Vaillant, F., Soro, D., Reynes, M., & Dornier, M. (2011). Crossflow microfiltration for the
 cold stabilization of roselle (Hibiscus sabdariffa L.) extract. *Journal of Food Engineering*,
 106(1), 20-27.
- Colle, I., Lemmens, L., Van Buggenhout, S., Van Loey, A., & Hendrickx, M. (2010). Effect of thermal
 processing on the degradation, isomerization, and bioaccessibility of lycopene in tomato
 pulp. *Journal of Food Science*, *75*(9), C753-C759.
- Cooperstone, J. L., Francis, D. M., & Schwartz, S. J. (2016). Thermal processing differentially affects
 lycopene and other carotenoids in cis-lycopene containing, tangerine tomatoes. *Food Chemistry, 210*, 466-472. doi:https://doi.org/10.1016/j.foodchem.2016.04.078
- Corredig, M., Kerr, W., & Wicker, L. (2001). Particle size distribution of orange juice cloud after
 addition of sensitized pectin. *Journal of Agricultural and Food Chemistry*, 49(5), 2523-2526.
- Dahdouh, L., Delalonde, M., Ricci, J., Rouquie, C., & Wisniewski, C. (2016). Effect of high shear rates
 on physico-chemical characteristics, rheological behavior and fouling propensity of orange
 juices during cross-flow microfiltration.
- Dahdouh, L., Delalonde, M., Ricci, J., Ruiz, E., & Wisnewski, C. (2018). Influence of high shear rate on
 particles size, rheological behavior and fouling propensity of fruit juices during crossflow

- microfiltration: Case of orange juice. *Innovative Food Science & Emerging Technologies, 48*,
 304-312. doi:https://doi.org/10.1016/j.ifset.2018.07.006
- Bahdouh, L., Wisniewski, C., Ricci, J., Kapitan-Gnimdu, A., Dornier, M., & Delalonde, M. (2015).
 Development of an original lab-scale filtration strategy for the prediction of microfiltration
 performance: Application to orange juice clarification. *Separation and Purification Technology*, *156*, 42-50. doi:https://doi.org/10.1016/j.seppur.2015.10.010
- de Abreu, F. P., Dornier, M., Dionisio, A. P., Carail, M., Caris-Veyrat, C., & Dhuique-Mayer, C. (2013).
 Cashew apple (Anacardium occidentale L.) extract from by-product of juice processing: a
 focus on carotenoids. *Food Chem, 138*(1), 25-31. doi:10.1016/j.foodchem.2012.10.028
- Bob Dhuique-Mayer, C., Borel, P., Reboul, E., Caporiccio, B., Besancon, P., & Amiot, M. J. (2007). β Cryptoxanthin from Citrus juices: Assessment of bioaccessibility using an in vitro
 digestion/Caco-2 cell culture model. *The British journal of nutrition, 97*, 883-890.
 doi:10.1017/S0007114507670822
- Bornier, M., Belleville, M.-P., & Vaillant, F. (2018). Membrane Technologies for Fruit Juice Processing.
 In A. Rosenthal, R. Deliza, J. Welti-Chanes, & G. V. Barbosa-Cánovas (Eds.), *Fruit Preservation: Novel and Conventional Technologies* (pp. 211-248). New York, NY: Springer New York.
- Etcheverry, P., Grusak, M. A., & Fleige, L. E. (2012). Application of in vitro bioaccessibility and
 bioavailability methods for calcium, carotenoids, folate, iron, magnesium, polyphenols, zinc,
 and vitamins B6, B12, D, and E. *Frontiers in physiology*, *3*, 317.
- Gates, K. W. (2012). Essentials of Thermal Processing by Gary S. Tucker and Susan Featherstone. *Journal of Aquatic Food Product Technology, 21*(4), 393-400.
 doi:10.1080/10498850.2012.692660
- Gence, L., Servent, A., Poucheret, P., Hiol, A., & Dhuique-Mayer, C. (2016). *Citrus juices vs concentrates obtained by innovative membrane technology: Bioaccessibility of pro-vitamin A carotenoids*.
- Gence, L., Servent, A., Poucheret, P., Hiol, A., & Dhuique-Mayer, C. (2018). Pectin structure and particle size modify carotenoid bioaccessibility and uptake by Caco-2 cells in citrus juices vs.
 concentrates. *Food Funct*, 9(6), 3523-3531. doi:10.1039/c8fo00111a
- Gies, M., Descalzo, A. M., Servent, A., & Dhuique-Mayer, C. (2019). Incorporation and stability of
 carotenoids in a functional fermented maize yogurt-like product containing phytosterols.
 Lwt, 111, 105-110.
- Gleize, B., Steib, M., André, M., & Reboul, E. (2012). Simple and fast HPLC method for simultaneous
 determination of retinol, tocopherols, coenzyme Q10 and carotenoids in complex samples.
 Food Chemistry, 134(4), 2560-2564. doi:https://doi.org/10.1016/j.foodchem.2012.04.043
- 833 Goupy, J. (2006). *Plans d'expériences*: Ed. Techniques Ingénieur.
- Hofs, B., Ogier, J., Vries, D., Beerendonk, E. F., & Cornelissen, E. R. (2011). Comparison of ceramic and
 polymeric membrane permeability and fouling using surface water. *Separation and Purification Technology*, *79*(3), 365-374.
- Honest, K. N., Zhang, H. W., & Zhang, L. (2011). Lycopene: Isomerization Effects on Bioavailability and
 Bioactivity Properties. *Food Reviews International*, 27(3), 248-258.
 doi:10.1080/87559129.2011.563392
- Jayani, R. S., Saxena, S., & Gupta, R. (2005). Microbial pectinolytic enzymes: a review. *Process Biochemistry*, 40(9), 2931-2944.
- Knockaert, G., Lemmens, L., Van Buggenhout, S., Hendrickx, M., & Van Loey, A. (2012). Changes in β carotene bioaccessibility and concentration during processing of carrot puree. *Food Chemistry*, 133(1), 60-67. doi:https://doi.org/10.1016/j.foodchem.2011.12.066
- Knockaert, G., Pulissery, S. K., Colle, I., Van Buggenhout, S., Hendrickx, M., & Van Loey, A. (2012).
 Lycopene degradation, isomerization and in vitro bioaccessibility in high pressure homogenized tomato puree containing oil: Effect of additional thermal and high pressure processing. *Food Chemistry*, 135(3), 1290-1297.

- Kopec, R. E., & Failla, M. L. (2018). Recent advances in the bioaccessibility and bioavailability of
 carotenoids and effects of other dietary lipophiles. *Journal of Food Composition and Analysis*,
 68, 16-30. doi:https://doi.org/10.1016/j.jfca.2017.06.008
- Kuddus, M. (2018). *Enzymes in food biotechnology: production, applications, and future prospects:* Academic Press.
- Lee, Y., Hu, S., Park, Y.-K., & Lee, J.-Y. (2019). Health Benefits of Carotenoids: A Role of Carotenoids in
 the Prevention of Non-Alcoholic Fatty Liver Disease. *Preventive nutrition and food science*,
 24(2), 103.
- Lemmens, L., Van Buggenhout, S., Oey, I., Van Loey, A., & Hendrickx, M. (2009). Towards a better
 understanding of the relationship between the β-carotene in vitro bio-accessibility and
 pectin structural changes: a case study on carrots. *Food Research International*, 42(9), 1323 1330.
- Macedo, M., Robrigues, R. D. P., Pinto, G. A. S., & de Brito, E. S. (2015). Influence of pectinolyttic and
 cellulotyc enzyme complexes on cashew bagasse maceration in order to obtain carotenoids.
 Journal of Food Science and Technology, *52*(6), 3689-3693. doi:10.1007/s13197-014-1411-x
- Maktouf, S., Neifar, M., Drira, S. J., Baklouti, S., Fendri, M., & Châabouni, S. E. (2014). Lemon juice
 clarification using fungal pectinolytic enzymes coupled to membrane ultrafiltration. *Food and Bioproducts Processing*, *92*(1), 14-19.
- Medicine, I. o. (2001). Dietary Reference Intakes for Vitamin A, Vitamin K, Arsenic, Boron, Chromium,
 Copper, Iodine, Iron, Manganese, Molybdenum, Nickel, Silicon, Vanadium, and Zinc.
 Washington, DC: The National Academies Press.
- Mierczynska-Vasilev, A., & Smith, P. (2015). Current state of knowledge and challenges in wine
 clarification. Australian journal of grape and wine research, 21, 615-626.
- Petry, F. C., & Mercadante, A. Z. (2017). Impact of in vitro digestion phases on the stability and
 bioaccessibility of carotenoids and their esters in mandarin pulps. *Food & Function, 8*(11),
 3951-3963. doi:10.1039/C7FO01075C
- Polidori, J., Dhuique-Mayer, C., & Dornier, M. (2018). Crossflow microfiltration coupled with
 diafiltration to concentrate and purify carotenoids and flavonoids from citrus juices. *Innovative Food Science & Emerging Technologies, 45,* 320-329.
 doi:https://doi.org/10.1016/j.ifset.2017.11.015
- Poulaert, M., Borel, P., Caporiccio, B., Gunata, Z., & Dhuique-Mayer, C. (2012). Grapefruit Juices
 Impair the Bioaccessibility of β-Carotene from Orange-Fleshed Sweet Potato but Not Its
 Intestinal Uptake by Caco-2 Cells. *Journal of Agricultural and Food Chemistry, 60*(2), 685-691.
 doi:10.1021/jf204004c
- Rodriguez-Concepcion, M., Avalos, J., Bonet, M. L., Boronat, A., Gomez-Gomez, L., Hornero-Mendez,
 D., . . . Zhu, C. (2018). A global perspective on carotenoids: Metabolism, biotechnology, and
 benefits for nutrition and health. *Progress in Lipid Research, 70*, 62-93.
 doi:https://doi.org/10.1016/j.plipres.2018.04.004
- Schweiggert, R., & Carle, R. (2017). Carotenoid deposition in plant and animal foods and its impact on
 bioavailability. *Critical Reviews in Food Science and Nutrition*, 57(9), 1807-1830.
- Schweiggert, R. M., Mezger, D., Schimpf, F., Steingass, C. B., & Carle, R. (2012). Influence of
 chromoplast morphology on carotenoid bioaccessibility of carrot, mango, papaya, and
 tomato. *Food Chemistry*, 135(4), 2736-2742.
- 892 Sentandreu, E., Stinco, C. M., Vicario, I. M., Mapelli-Brahm, P., Navarro, J. L., & Meléndez-Martínez, 893 A. J. (2020). High-pressure homogenization as compared to pasteurization as a sustainable 894 approach to obtain mandarin juices with improved bioaccessibility of carotenoids and 895 flavonoids. Journal of Cleaner Production, 262, 121325. 896 doi:https://doi.org/10.1016/j.jclepro.2020.121325
- Servent, A., Abreu, F. A. P., Dhuique-Mayer, C., Belleville, M. P., & Dornier, M. (2020). Concentration
 and purification by crossflow microfiltration with diafiltration of carotenoids from a by product of cashew apple juice processing. Innovative Food Science and Emerging
 Technologies, 66, 102519.

- Stinco, C. M., Fernández-Vázquez, R., Escudero-Gilete, M. L., Heredia, F. J., Meléndez-Martínez, A. J.,
 & Vicario, I. M. (2012). Effect of Orange Juice's Processing on the Color, Particle Size, and
 Bioaccessibility of Carotenoids. *Journal of Agricultural and Food Chemistry, 60*(6), 1447-1455.
 doi:10.1021/jf2043949
- Stinco, C. M., Pumilia, G., Giuffrida, D., Dugo, G., Meléndez-Martínez, A. J., & Vicario, I. M. (2019).
 Bioaccessibility of carotenoids, vitamin A and α-tocopherol, from commercial milk-fruit juice
 beverages: contribution to the recommended daily intake. *Journal of Food Composition and Analysis, 78,* 24-32.
- 909 Sun, Y., Tao, W., Huang, H., Ye, X., & Sun, P. (2019). Flavonoids, phenolic acids, carotenoids and 910 antioxidant activity of fresh eating citrus fruits, using the coupled in vitro digestion and 911 HepG2 human intestinal cells model. Food Chemistry, 279, 321-327. 912 doi:https://doi.org/10.1016/j.foodchem.2018.12.019
- 913 Tyssandier, V., Lyan, B., & Borel, P. (2001). Main factors governing the transfer of carotenoids from
 914 emulsion lipid droplets to micelles. *Biochimica et Biophysica Acta (BBA) Molecular and Cell*915 *Biology of Lipids, 1533*(3), 285-292. doi:https://doi.org/10.1016/S1388-1981(01)00163-9
- 916 Urbanoviciene, D., Bobinaite, R., Bobinas, C., & Viskelis, P. (2017). Stability and isomerisation of
 917 *lycopene in oil-based model system during accelerated shelf-life testing.* Paper presented at
 918 the 11th Baltic Conference on Food Science and Technology" Food science and technology in
 919 a changing world" FOODBALT 2017, Jelgava, Latvia, 27-28 April 2017.
- Ushikubo, F. Y., Watanabe, A. P., & Viotto, L. A. (2007). Microfiltration of umbu (Spondias tuberosa
 Arr. Cam.) juice. *Journal of Membrane Science, 288*(1-2), 61-66.
- Vaillant, F., Millan, P., O'Brien, G., Dornier, M., Decloux, M., & Reynes, M. (1999). Crossflow
 microfiltration of passion fruit juice after partial enzymatic liquefaction. *Journal of Food Engineering*, 42(4), 215-224. doi:https://doi.org/10.1016/S0260-8774(99)00124-7
- Vaillant, F., Pérez, A. M., Acosta, O., & Dornier, M. (2008). Turbidity of pulpy fruit juice: A key factor
 for predicting cross-flow microfiltration performance. *Journal of Membrane Science, 325*(1),
 404-412.
- Vanaja, K., & Shobha Rani, R. (2007). Design of experiments: concept and applications of Plackett
 Burman design. *Clinical research and regulatory affairs, 24*(1), 1-23.
- West, C. E., Eilander, A., & van Lieshout, M. (2002). Consequences of revised estimates of carotenoid
 bioefficacy for dietary control of vitamin A deficiency in developing countries. *The Journal of nutrition, 132*(9), 2920S-2926S.
- Yu, J., & Lencki, R. (2004). Effect of enzyme treatments on the fouling behavior of apple juice during
 microfiltration. *Journal of Food Engineering*, 63(4), 413-423.
- Zhang, Y., Liu, Y., Liu, F., Zheng, X., Xie, Z., Ye, J., . . . Zeng, Y. (2019). Investigation of chromoplast
 ultrastructure and tissue-specific accumulation of carotenoids in citrus flesh. *Scientia Horticulturae*, 256, 108547. doi:https://doi.org/10.1016/j.scienta.2019.108547
- 938
- 939 Figure captions
- 940 **Figure 1.** Process flow diagram of the experimental setup (see abbreviation list for meanings).
- 941 **Figure 2.** Effect of crossflow microfiltration ($T_{CMF} = 30^{\circ}C$, U = 5 m.s⁻¹, TmP = 2.6 bar, obtained at MRR =
- 942 1 with the 4 membranes mounted in series) and enzymatic treatment (300 μ L.kg⁻¹, t_{liq} = 90 min, T_{liq} =
- 30° C, M_{liq} = batch) on the mean volume diameter D[4,3] and span of particles in the products: raw
- 944 Juice (Lot A), enzyme treated juices with Ultrazym (Juice-Ult) and Pectinex (Juice-Pec), retentates of

945 microfiltration without enzyme (Juice CMF), with enzyme treatments (Juice-Ult CMF and Juice-Pec
946 CMF). Values with the same letters were not significantly different at p < 0.05.

Figure 3. Effect of crossflow microfiltration ($T_{CMF} = 30^{\circ}C$, $U = 5 \text{ m.s}^{-1}$, TmP = 2.6 bar, obtained at MRR = 1 with the 4 membranes mounted in series) and enzymatic treatment (300 µL.kg⁻¹, t_{liq} = 90 min, T_{liq} = 30°C, M_{liq} = batch) on limit apparent viscosity for a shear rate of 1000 s⁻¹ of the products: raw Juice (Lot A), enzyme treated juices with Ultrazym (Juice-Ult) and Pectinex (Juice-Pec), retentates of microfiltration without enzyme (Juice CMF), with enzyme treatments (Juice-Ult CMF and Juice-Pec CMF). Values with the same letters were not significantly different at p < 0.05.

Figure 4. Effect of transmembrane pressure (TmP) on permeate flux (J_p) during crossflow microfiltration of the juice ($T_{CMF} = 30^{\circ}$ C, U = 5 m.s⁻¹, MRR = 1) after enzymatic treatment (Pectinex 300 μ L.kg⁻¹, $t_{liq} = 90$ min, $T_{liq} = 30^{\circ}$ C, $M_{liq} =$ batch) using the four chosen membranes (Lot A).

Figure 5. Carotenoid content and bioaccessibility of the eight concentrates (Lot B) obtained according
to the combination of different parameters. C# is the concentrate corresponding to the essay #
according to the Plackett-Burman design (Mean and standard deviation from 3 repetitions).

Figure 6. Relative effect of operating parameters on carotenoid bioaccessibility (BC: β-carotene, BCX:
β-cryptoxanthin, LYC: lycopene) of concentrates (Lot B). Dose: enzyme dose, Mliq: liquefaction mode,
Tliq: liquefaction temperature, TCMF: crossflow microfiltration temperature, U: crossflow velocity,
Diaf: diafiltration, Past: pasteurization.

Figure 7. Relative effects of operating parameters on particle size distribution D[4,3] and viscosity of
 concentrates (Lot B). Dose: enzyme dose, Mliq: liquefaction mode, Tliq: liquefaction temperature,
 TCMF: crossflow microfiltration temperature, U: crossflow velocity, Diaf: diafiltration, Past:
 pasteurization.

967 Supplementary files

Table S1. pH, TA, TSS, SIS, TDM, turbidity in the juice (Lot A), Juice CMF the retentate of microfiltration ($T_{CMF} = 30^{\circ}$ C, U = 5 m.s⁻¹, TmP = 2.6 bar, obtained at MRR = 1 with the 4 membranes mounted in series), Juice-Ult and Juice-Pec the enzyme treated juices with Ultrazym and Pectinex (300 µL.kg⁻¹, t_{liq} = 90 min, T_{liq} = 30°C, M_{liq} = batch), Juice-Ult and Juice-Pec the enzyme treated juices with Ultrazym and Pectinex microfiltered

Figure S1. Particle size distribution in the Juice (Lot A), Juice CMF the retentate of microfiltration ($T_{CMF} = 30^{\circ}C$, U = 5 m.s⁻¹, TmP = 2.6 bar, obtained at MRR = 1 with the 4 membranes mounted in series), Juice-Ult and Juice-Pec the enzyme treated juices with Ultrazym and Pectinex (300 μ L.kg⁻¹, t_{liq} = 90 min, $T_{lig} = 30^{\circ}C$, $M_{lig} = batch$).

977 Figure S2. Evolution of permeate flux according the MRR for three concentrates as examples C4, C5978 and C8.



1001 Figure 1. Process flow diagram of the experimental setup (see abbreviation list for meaning).





Figure 2. Effect of crossflow microfiltration ($T_{CMF} = 30^{\circ}C$, $U = 5 \text{ m.s}^{-1}$, TmP = 2.6 bar, obtained at MRR = 1 with the 4 membranes mounted in series) and enzymatic treatment ($300 \mu L.kg^{-1}$, $t_{liq} = 90 \text{ min}$, $T_{liq} = 30^{\circ}C$, $M_{liq} = \text{ batch}$) on the mean volume diameter D[4,3] and span of particles in the products: raw Juice (Lot A), enzyme treated juices with Ultrazym (Juice-Ult) and Pectinex (Juice-Pec), retentates of microfiltration without enzyme (Juice CMF), with enzyme treatments (Juice-Ult CMF and Juice-Pec CMF). Values with the same letters were not significantly different at p < 0.05.



Figure 3. Effect of crossflow microfiltration ($T_{CMF} = 30^{\circ}C$, $U = 5 \text{ m.s}^{-1}$, TmP = 2.6 bar, obtained at MRR 1012 = 1 with the 4 membranes mounted in series) and enzymatic treatment ($300 \mu L.kg^{-1}$, $t_{liq} = 90 \text{ min}$, $T_{liq} =$ 1013 $30^{\circ}C$, $M_{liq} = \text{batch}$) on limit apparent viscosity for a shear rate of 1000 s^{-1} of the products: raw Juice 1014 (Lot A), enzyme treated juices with Ultrazym (Juice-Ult) and Pectinex (Juice-Pec), retentates of 1015 microfiltration without enzyme (Juice CMF), with enzyme treatments (Juice-Ult CMF and Juice-Pec 1016 CMF). Values with the same letters were not significantly different at p < 0.05.

- 1016 CA 1017
- 1018
- 1019



Figure 4. Effect of transmembrane pressure (TmP) on permeate flux (J_p) during crossflow microfiltration of the juice (T_{CMF} = 30°C, U = 5 m.s⁻¹, MRR = 1) after enzymatic treatment (Pectinex 300 μ L.kg⁻¹, t_{liq} = 90 min, T_{liq} = 30°C, M_{liq} = batch) using the four chosen membranes (Lot A).

1020

1025



Figure 5. Carotenoid content and bioaccessibility of the eight concentrates (Lot B) obtained according
 to the combination of different parameters. C# is the concentrate corresponding to the essay #
 according to the Plackett-Burman design.









Figure 6. Relative effect of operating parameters on carotenoid bioaccessibility (BC: β-carotene, BCX:
 β-cryptoxanthin, LYC: lycopene) of concentrates (Lot B). Dose: enzyme dose, Mliq: liquefaction mode,
 Tliq: liquefaction temperature, TCMF: crossflow microfiltration temperature, U: crossflow velocity,
 Diaf: diafiltration, Past: pasteurization.







Figure 7. Relative effects of operating parameters on particle size distribution D[4,3] and viscosity of
 concentrates (Lot B). Dose: enzyme dose, Mliq: liquefaction mode, Tliq: liquefaction temperature,
 TCMF: crossflow microfiltration temperature, U: crossflow velocity, Diaf: diafiltration, Past:
 pasteurization.

Table 1. Main characteristics of the 4 tubular membranes chosen for crossflow microfiltration

Manufacturer	Pall-Exekia	Orelis	Tami Industries	Saint-Gobain
	(Bazet, France)	(Salindres, France)	(Nyons, France)	(Cavaillon, France)
Material of active	Alumina	Zirconia	Titanium oxide	Silicon carbide
layer	(Al ₂ O ₃)	(ZiO ₂)	(TiO ₂)	(SiC)
Average pore size	0.2	0.2	0.2	0.6
(μm)				
Internal diameter	7	7	7	6
(mm)				
Filtration area (cm ²)	55	55	55	43
Length (m)	0.25	0.25	0.25	0.25
Pristine membrane	1500 -1800	≈ 2000	2500 - 3500	≈ 5000
water permeability				
(kg.h ⁻¹ .m ⁻² .bar ⁻¹) ¹				
Average stabilized	374 (102)	304 (62)	484 (132)	950 (257)
water permeability				
(kg.h ⁻¹ .m ⁻² .bar ⁻¹) ²				

¹ from the suppliers, at 25 °C.

² mean and standard deviation measured after at least 6 cleaning cycles, with tap water at 30°C, at TmP ranging from 2.5 bar to 3.4 bar and at U = 5 m.s⁻¹. Values used to verify cleaning efficiency after each trial.

	Concentrates	C1	C2	C3	C4	C5	C6	С7	С8
Operating parameters	Enzyme dose (μL.kg ⁻¹)	300	0	0	300	0	300	300	0
	Liquefaction Mode M _{liq}	Batch	Batch	Continuous	Continuous	Batch	Continuous	Batch	Continuous
	Liquefaction temperature T _{liq} (°C)	30	30	50	30	50	50	50	30
	Filtration temperature T _{CMF} (°C)	30	50	50	50	30	30	50	30
	Crossflow velocity U (m.s ⁻¹)	5	2	5	5	5	2	2	2
	Diafiltration	No	Yes	No	Yes	Yes	Yes	No	No
	Pasteurization	Yes	Yes	Yes	No	No	Yes	No	No

Table 2. Plackett-Burman experimental design used to identify the most influent operating parameters (Tami membrane, TmP = 2.6 bar, Ultrazym used as enzyme)

)

Table 3. Physico-chemical, structural characteristics and carotenoid content and bioaccessibility of initial citrus juice (mean and standard deviation from n = 3).

		Lot A	Lot B	Lot C
		3.51	3.45	3.49
рН		(0.15)	(0.02)	(0.08)
		8.6	10.4	10.8
TA (g.kg⁻¹)		(0.2)	(0.5)	(0.6)
		00.0	100.0	111.2
TSS		99.8	(0.1)	(1.1)
(g.kg⁻¹)		(- /	(-)	· · /
SIS		3.2	3.1	3.1
(g.kg ⁻¹)		(0.2)	(0.2)	(0.3)
TDM		100.9	110.9	105.0
(σ kσ ⁻¹)		(2.0)	(1.0)	(0.1)
18/18/	1	2 43	3 24	2 96
Limit viscosity (10	00 s ⁻)	(0.13)	(0.24)	(0.09)
(mPa.s)				
D[4,3]		896 (60)	912	922
(µm)		(00)	(17)	(21)
		3.18	3.12	3.16
Span		(0.53)	(0.47)	(0.27)
Т		2169	3840	ND
		(147)	(165)	
(110)			1 41	1 17 (0 02)
	DC	ND	(0.04)	1.17 (0.03)
	BC			
Carotenoid Content	DOV	ND	3.19	2.52
(mg.kg ⁻¹)	всх	ND	(0.35)	(0.02)
			5 03	6.07
	LYC	ND	(0.16)	(0.10)
				12.92
	BC	ND	18.12	(0.43)
Carotenoid			26.41	22.70
Bioaccessibility B	BCX	ND	(0.50)	(0.71)
(%)	Ben		, ,	, , ,
(/-)		ND	0.51	0.75
	LYC		(0.02)	(0.13)
		ND	62.6	50.6
RAE (µg/ 250g of	juice)		(4.5)	(0.8)
ΒΔΕ* (μσ/ 250g of	iuice)		14.1	9.1
ιτης (με/ 2008 ΟΙ	juicej		(1.4)	(0.4)
RDA (%)		ND	7.8 (0.6)	6.3 (0.1)
*Not determined.		1	(0.0)	

Table 4. Effect of enzymatic treatment (300 μ L.kg⁻¹, t_{liq} = 90 min, T_{liq} = 30°C, M_{liq} = batch) on the stabilized permeate flux J_p during the microfiltration (T_{CMF} = 30°C, MRR = 1) of citrus juice (Lot A) for different membrane / transmembrane pressure combinations.

J_{p} (kg.h ⁻¹ .m ⁻²)	PALL	ORELIS	TAMI	SAINT-GOBAIN
	(3.5 bar)	(2.9 bar)	(2.3 bar)	(1.8 bar)
Raw juice	109.7	105.8	155.2	122.7
Treated with	165.7	113.6	185.8	130.2
Ultrazym				
Treated with	168.7	124.5	193.3	128.8
Pectinex				

Table 5. Average permeate flux (J_p) , physico-chemical and structural characteristics and carotenoid 1074

1075 analysis of the 8 concentrates obtained according to the combination of different parameters (lot B).

1076

C# is the concentrate corresponding to the Plackett-Burman design (Table 2) using the Tami 1077 membrane, TmP = 2.6 bar and Ultrazym as enzyme (Mean and standard deviation from 3).

		C1	C2	C3	C4	C5	C 6	C7	C8
$J_p (3 < MRR < 4.4)$ (kg h ⁻¹ m ⁻²)		76.1	28.1	55.6	120.8	38.3	48.2	92.1	15.3
Flux insta	bility	1.6	2.4	9.6	4.5	8.0	7.6	5.6	0.2
∂Jp/∂MRR (3 <	FRM < 4.4)								
(kg.h⁻¹.n	n⁻²)	2	h		b	h	4		
TSS		126.0 [°]	51.7	119.3ັ	49.3 ⁰	51.3	59.7 ^u	125.0 [°]	122.0 [°]
(g.kg ⁻¹)	(2.6)	(0.6)	(0.6)	(1.2)	(1.5)	(0.6)	(1)	(2)
SIS		12.2 ^{ef}	14.7 ^a	13.7 ^b	12.9 ^{cd}	13.1 ^c	12.8 ^{cd}	12.0 [†]	12.6 ^{de}
(g.kg⁻¹)	(0.1)	(0.4)	(0.5)	(0.1)	(0.2)	(0.0)	(0.5)	(0.2)
TDM		115.4 ^{bc}	62.1 ^d	117.3 ^b	51.4 ^f	60.0 ^d	53.9 ^e	114.9 ^c	120.6 ^a
(g.kg ⁻¹)	(0.6)	(0.4)	(2.2)	(1.4)	(1.7)	(1.0)	(1.0)	(1.0)
Limit viscosity	(1000 s ⁻¹)	3.66 ^a	11.93 ^b	14.67 ^c	2.42 ^d	12.13 ^e	2.81 ^d	3.54 ^f	14.57 ^c
(mPa.s	5)	(0.03)	(0.21)	(0.06)	(0.05)	(0.15)	(0.08)	(0.03)	(0.25)
		98 ^a	178 ^b	238 ^c	68 ^d	196 ^e	62 ^d	59 ^d	246 ^c
D[4,3] (µ	וm)	(4)	(6)	(21)	(2)	(10)	(1)	(4)	(26)
		6 2 2	2 01	A 10	ΟΕΛ	2 24	10.09	E 76	A A O
Span		(0.58)	(0.24)	4.18	8.54 (0.65)	2.24	(0.28)	5.76 (0.65)	4.48 (0.56)
Turbidi	t.v	16267 ^a	10110 ^b	15570 ^C	13730 ^d	15850 ^{ac}	14280 ^d	16020 ^{ac}	16003 ^{ae}
(NTU))	(90)	(385)	(576)	(337)	(311)	(171)	(259)	(200)
	/	50) Ε 24 ^a	5 70 ^{ab}			6.12 ^b			с эг ^b
	BC	(0.13)	(0.12)	(0.73)	(0.51)	(0.09)	(0.21)	(0.11)	5.35 (0.15)
Carotenoid Content	ВСХ	12.37 ^c	12.76 ^{bc}	13.48 ^{bc}	13.64 ^b	15.13 ^a	13.69 ^b	13.32 ^{bc}	12.76 ^{bc}
		(0.04)	(1.19)	(1.21)	(1.14)	(0.67)	(0.40)	(0.26)	(1.19)
(1118.156)	LYC	18.68 ^d	23.94 ^a	23.32 ^{abc}	23.81 ^{ab}	22.98 ^{abc}	21.72 ^{bc}	21.16 ^c	21.73 ^{bc}
		(0.37)	(1.47)	(2.39)	(0.74)	(0.93)	(1.26)	(0.45)	(1.16)
		17.71 ^{cd}	20.84 ^b	20.28 ^{bc}	14.83 ^d	26.64 ^a	20.33 ^{bc}	19.55 ^{bcd}	15.95 ^{cd}
Carotenoid	BC	(2.10)	(3.05)	(3.62)	(1.85)	(1.93)	(4.00)	(1.88)	(2.46)
Bioaccessibility	ВСХ	25.57 ^{bc}	28.43 ^b	27.46 ^b	19.87 ^d	30.20 ^a	24.42 ^{bc}	25.96 ^b	22.97 ^{cd}
βι		(1.76)	(1.88)	(2.36)	(2.38)	(0.39)	(2.46)	(2.46)	(2.66)
(%)		0.78 ^c	0.91 ^b	0.97 ^b	0.60 ^c	1.53 ^a	0.77 ^c	0.60 ^c	1.09 ^b
	LYC	(0.07)	(0.12)	(0.14)	(0.17)	(0.01)	(0.14)	(0.05)	(0.17)
		238.0	253.5	256.0	261.5	285.1	259.5	253.8	244.4
RAE (µg/ 250g of concentrate)		(3.1)	(14.9)	(27.8)	(22.5)	(25.7)	(8.5)	(5.0)	(15.5)
RAE* (µg/ 250g of concentrate)		52.3	62.9	62.0	45.9	81.5	58.6	58.5	48.3
		(5.2)	(10.2)	(14.1)	(9.5)	(10.2)	(10.1)	(6.7)	(9.6)
RDA (%	6)	29.8	31.7	32.0	32.7	35.6	32.4	31.7	30.6
		(0.4)	(1.9)	(3.5)	(2.8)	(3.2)	(1.1)	(0.6)	(1.9)
KDA* (9	%)	6.5 (0.7)	7.9 (1.2)	/.8 (1.9)	5./ (1 2)	10.2	/.3 (1.2)	/.3 (0.8)	6.U (1.2)
		(0.7)	(1.3)	(1.8)	(1.2)	(1.3)	(1.3)	(0.8)	(1.2)

Table 6. Impact of a low enzyme dose on average permeate flux (J_p) and characteristics of concentrates obtained in the most favorable conditions for carotenoid bioaccessibility (enzyme liquefaction in batch mode at 50°C, $T_{CMF} = 30$ °C, U = 5.m.s⁻¹, with diafiltration and no pasteurization) (Lot C) (Mean and standard deviation from 3 repetitions).

		Concentrate without enzyme (reference)	Concentrate after Ultrazym liquefaction at 50 µL.kg ⁻¹		
$J_{p} (3 < FRM < 4.4)$ (kg.h ⁻¹ .m ⁻²)		40.5	81.4		
Flux instability ∂J _p /∂MRR (3 < FRM < 4.4) (kg.h ⁻¹ .m ⁻²)		6.2	1.2		
T: (g.k	SS :g ⁻¹)	50.3 [°] (0.6)	53.3 ^b (0.6)		
S (g.k	IS ·g ⁻¹)	10.9 ^a (0.1)	10.7 ^a (0.3)		
TD (g.k	9M :g ⁻¹)	57.5 [°] (0.1)	58.3 ^b (0.1)		
Limit viscos (mF	ity (1000 s ⁻¹) Pa.s)	9.26 ^a (0.08)	2.64 ^b (0.08)		
D[4,3] (μm)		233 ^a (17)	230 ^a (11)		
Sp	an	3.41 (0.43)	3.51 (0.32)		
	BC	3.87 ^a (0.11)	4.00 ^a (0.21)		
Carotenoid Content (mg.kg ⁻¹)	всх	9.07 ^a (0.13)	8.96 ^ª (0.37)		
	LYC	20.41 ^a (0.83)	21.78 ^a (1.00)		
	BC	15.79 ^a (1.47)	16.60 ^a (0.45)		
Carotenoid Bioaccessibility B (%)	ВСХ	27.02 ^a (3.18)	29.43 ^a (2.26)		
	LYC	0.94 ^a (0.13)	0.89 ^a (0.05)		
RAE (µg/ 250g	of concentrate)	175.1 (3.7)	176.7 (8.2)		
RAE* (μg/ 250g	of concentrate)	38.3 (4.9)	41.3 (4.3)		
RDA RDA	* (%)	21.9	22.1		
	· · · · ·	4.8	5.2		