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# Utility of 3-Dimensional Transoesophageal Echocardiography for Mitral Annular Sizing in Transcatheter Mitral Valve Replacement Procedures: A Cardiac Computed Tomography Comparative Study

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#### ABSTRACT

**Background**. 3-dimensional transoesophageal echocardiography (3D-TEE) is frequently used as an initial screening tool in the evaluation of patients who are candidates to Transcatheter Mitral Valve Replacement (TMVR). However, little is known about the imaging correlation with the gold-standard computed tomography (CT) imaging. We aimed at testing the quantitative differences between these two modalities and finding the best 3D-TEE parameters for TMVR screening.

**Methods.** We included 57 patients referred to our Heart Valve Clinic for TMVR with **prostheses** specifically designed for the mitral valve. Mitral annulus (MA) analyses were performed using commercially available software in 3D-TEE and CT.

**Results**. 3D-TEE was feasible in 52 patients (91%). Although 3D-TEE measurements were slightly lower than in CT, both measurements of projected MA area and perimeter showed excellent correlation with small differences between the two modalities (r=0.88 and r=0.92 respectively, p<0.0001). Correlations were significant but lower for MA diameters (r=0.68 to 0.72, p<0.0001) and mitro-aortic angle (r=0.53, p=0.0001). ROC curve analyses showed that 3D-TEE had a good ability to predict TMVR screening success defined by constructors based on CT measurements with a range of 12.9 to 15cm<sup>2</sup> for MA area (AUC=0.88-0.91, p<0.0001), 128 to 139mm for MA perimeter (AUC=0.85-0.91, p<0.0001), 35 to 39mm for anteroposterior diameter (AUC=0.79-0.84 p<0.0001) and 37 to 42mm for posteromedial-anterolateral diameter (AUC=0.81-0.89, p<0.0001).

**Conclusion.** 3D-TEE measurements of MA dimensions display strong correlation with CT measurements in patients undergoing TMVR screening process. 3D-TEE should be proposed as a reasonable alternative to CT in this vulnerable population.

Key-words: Transcatheter Mitral Valve Replacement; Mitral Annulus; 3-dimensions;

Multimodality Imaging; Heart Team

#### **ABBREVIATIONS LIST**

3D: Three dimensional AP: Antero-posterior CT: Computed Tomography LVOT: Left Ventricular Outflow Tract MA: Mitral Annulus MR: Mitral Regurgitation PM-AL: Posteromedial-anterolateral TMVR: Transcatheter Mitral Valve Replacement TEE: Transoesophageal Echocardiography

#### **INTRODUCTION**

Recent early clinical reports suggest that Transcatheter Mitral Valve Replacement (TMVR) is a viable therapeutic solution for inoperable or high-risk patients with symptomatic severe mitral regurgitation (MR) (1–3). However, the advancement of TMVR solutions face several challenges including the careful selection of device size to match the individual dimensions and the geometry of the mitral annulus (MA). (4,5)

We have recently shown that despite the availability of several TMVR prosthesis with different dimensions a very high rejection rate still occurs due to anatomy and prosthesis mismatch in size or risk of neo-left ventricular outflow tract (LVOT) obstruction. (6)

Cardiac computed tomography (CT) is currently the imaging modality of choice for MA sizing for TMVR screening (7). Recent studies have suggested that 3-dimensional (3D) transoesophageal echocardiography (TEE) is a promising tool for assessing characteristics of MR and MA using dedicated software (8–10). Pitfalls of CT include radiation exposure, motion artifact (due to arrhythmias or patient factors) and the need for iodinated contrast. Candidates for TMVR often have renal insufficiency (11) and it is thus important to find alternative imaging methods to avoid contrast administration in this frail population. Mak et al. showed that 3D-TEE seems a promising complementary tool to CT for sizing the TMVR (12). However, the best 3D-TEE parameters to size the prosthesis are still unclear.

In the present study, we aimed to 1) compare MA sizing using a novel 3D-TEE method to CT measurements in candidates for TMVR with various prostheses and 2) find the best 3D-TEE parameters for TMVR screening.

#### **METHODS**

#### Study population and design

From November 2016 to May 2019, we prospectively studied all consecutive patients with severe MR referred to our Heart Valve Centre for percutaneous implantation because of highrisk for MR surgery. Inclusion criteria were: age > 18 years with severe (grade III-IV) and symptomatic (New York Heart Association functional class  $\geq$  2) chronic MR. Patients were excluded from TMVR screening if they had severe mitral annulus calcification, left atrial or LV thrombus, prior mitral valve surgery, indications for standard cardiac surgery or suitable anatomy for mitral valve repair using the MitraClip<sup>TM</sup> system (Abbott Structural, Santa Clara, California) according to our Heart Team decision. The local ethics committee approved the protocol and patients gave informed consent

#### Echocardiography

A comprehensive transthoracic (TTE) and transoesophageal echocardiography (TEE) using 2and 3-D imaging was performed in our department according to current guidelines (13–15) using state-of-the-art echocardiographic ultrasound systems (Vivid 9 or Vivid 95, GE Healthcare, Horten, Norway) under local anaesthesia before the procedure. All measurements were obtained by TTE expect MA dimensions.

3D TEE reconstruction for measurement of the MA was performed off-line on a dedicated workstation using a commercially available software (4D Auto MVQ, GE Healthcare - Echopac, version 203, Horten, Norway) (Figure 1). Briefly, a 3D volume of the MA set was acquired guided by a multiplane 2D-TEE view. The mid diastolic frame was selected. An alignment step was performed to ensure standardized and expected views where vertical axis crosses through the center of the mitral valve while the horizontal axis is parallel to the mitral valve. Six landmarks were then placed: two mitral annulus points, two anterior/posterior

points, the coaptation plus the aorta point. A complete 3D reconstruction of the mitral annulus was automatically performed but which can be edited by the operator. Finally, a review stage was performed to check the 3D model in all slices (Biplane, Scallops and Commissures) and several MA dimensions and geometry were determined by the 4D Auto MVQ software.

#### **Cardiac Computed Tomography**

Contrast-enhanced cardiac CT images were also acquired for screening and procedure planning. CT examinations were performed using a multiphase retrospectively electrocardiogram-gated data acquisition. Briefly, mitral annular measurements were made at 60% of the cardiac cycle based on a saddle shape (or projected) orifice contour (16,17) (Figure 2) and mitral annular segmentation was performed using a dedicated software (3mensio, Pie Medical Imaging. Bilthoven, The Netherlands) as previously described (7,18). All CT measurements were performed by the same cardiovascular radiologist with 12 years of experience in cardiac CT and with 3 years' experience using this software. These measurements included MA area, 3D and projected perimeters, antero-posterior and posteromedial-anterolateral diameter and mitro-aortic angle.

#### Screening for TMVR

TMVR screening was performed for several dedicated prostheses (Intrepid<sup>™</sup> [Medtronic, Minneapolis, Minnesota] (outer diameters 43-46-50mm), Tendyne<sup>™</sup> [Abbott Structural, Santa Clara, California] (sizes ranging from 33 to 41) and HighLife<sup>™</sup> [HighLife, Paris, France] (outer diameter 26.5mm). The final suitability decision was given by the manufacturer based on CT measurements of projected MA area, projected MA perimeter, antero-posterior (AP) and posteromedial-anterolateral (PM-AL) diameter, mitro-aortic angle and risk of neo-LVOT obstruction. This screening classified patients as being suitable for TMVR implantation, or not suitable because of too large or too small mitral annulus anatomy.

#### Statistical analysis

Continuous variables were tested for normality with Shapiro test, and were displayed as mean±SD. Continuous variables with no Gaussian distribution were displayed as median (IQR). Categorical variables were displayed as percentages of individuals. Linear regression was used to explore the correlation between 3D-TEE and CT measurements using Pearson correlation coefficient. Comparisons between 2 measurements were performed using a paired t-test. Agreement between these two methods was displayed with plots using Bland-Altman method. (19). All 3D-TEE measurements were performed by an observer (AC) blinded to patient information and CT analysis. Interobserver variability was quantified in 10 patients with a second observer (SA) blinded to all measurements with intraclass correlation coefficient with 95% confidence intervals. Receiver Operating Characteristics (ROC) curve analyses were used to determine the 3D-TTE cut-offs with the higher discriminating power to predict exclusion from TMVR due to too large or too small anatomy. Statistics were performed using MedCalc v16.4 (Olstead, Belgium).

#### RESULTS

Characteristics of patients referred to the Heart Team and eligible for TMVR screening

Among the 57 patients included, 2 patients had a truncated LV view with commissures out of the 3D data set and 3 patients had inadequate image quality due **to** severe pulmonary disease. Patients were elderly (77±9 years), with a sex ratio of nearly 1 and few diabetic patients (21%). Most of the patients (60%) were in NYHA 3 or 4. Almost a half presented with chronic kidney disease and 15% had previous cardiac surgery. These characteristics translates into high EuroScore II and STS predicted risk of mortality, 3.05 [2.00-6.08] and 5.75 [3.67-8.70], respectively. MR mechanism was mainly primary (60%) with a posterior mitral valve leaflet mostly involved (58%). LV function was normal (ejection fraction>60%) in 26 patients (50%) and moderately impaired (ejection fraction between 30% and 60%) in 26 patients (50%). No patient had severe LV dysfunction (ejection fraction <30%). 33 patients (63.5%) had previous and paroxysmal atrial fibrillation (AF). No patient was in AF at the time of the CT of TEE acquisition.

#### **Comparison of 3D-TEE and CT measurements**

Both projected MA area and perimeter measurements were the parameters with the best concordance with CT, showing excellent correlation (r=0.88, p<0.0001 and r=0.92, p<0.0001, respectively) with small differences between the two modalities (-0.05 $\pm$ 0.72, p=0.68 and 1.09 $\pm$ 3.39, p=0.54 respectively by paired t-test) (Table 1, Figures 3). Correlation was significant but lower for MA diameters (r=0.72, p<0.0001 for AP diameter and r=0.68, p<0.0001 for PM-AL diameter) and mitro-aortic angle (r=0.53, p=0.0001).

In Bland-Altman analysis, 3D-TEE measurements were slightly smaller than CT measurements for most measurements with the following differences: mean projected MA area difference = 0.1cm<sup>2</sup> (range, 3.6 to -3.4cm<sup>2</sup>), projected MA perimeter difference = -0.6mm

(range, 13.7 to -15mm), A-P diameter difference = -2mm (range, 6.8 to -10.7mm) and PM-AL diameter difference = -2.8mm (range, 6.7 to -12.4mm) (Figures 3).

Intraclass correlation coefficient for the 10 patients tested was 0.97 (0.79-0.99) for projected MA area, 0.87 (0.50-0.98) for projected MA perimeter, 0.94 (0.55-0.99) for A-P diameter, 0.93 (0.53-0.99) for PM-AL diameter and 0.60 (-1.88-0.94) for mitro-aortic angle. Mitral annulus dimensions by TEE and CT according to MR mechanism are given in Supplemental Table 1.

# Receiver Operating Characteristic Curve Analyses for prediction too large or too small annulus with 3D-TEE

Among the 52 patients screened for TMVR, 34 patients (65%) were refused, 20 (38%) for a too large annulus and 14 (27%) for a too small annulus including 11 with risk of LVOT obstruction. Screening success rate was significantly higher in secondary than in primary MR, respectively 71.4% vs. 19.4%, p=0.0008.

ROC curve analyses showed that 3D-TEE had a good ability to predict too large anatomy as defined by constructors based on CT measurements with a cut-off of  $15 \text{cm}^2$  for projected MA area (area under the curves (AUC) =0.91, p<0.0001), 139mm for projected MA perimeter (AUC=0.91, p<0.0001), 39mm for A-P diameter (AUC=0.84, p<0.0001) and 42mm for PM-AL diameter (AUC=0.89, p<0.0001) (Table 2). In addition, the discrimination ability of 3D-TEE to predict too small annulus defined on CT sizing was also good with a cut-off of 12.9cm<sup>2</sup> for projected MA area (AUC=0.88, p<0.0001), 128mm for projected MA perimeter (AUC=0.85, p<0.0001), 35mm for A-P diameter (AUC=0.79, p=0.003) and 37mm for PM-AL diameter (AUC=0.81, p<0.0001) (Table 3). Importantly, predictions for screening were always consistent between 3D-TEE and CT. ROC curves analyses for predicting too large or too small annulus with 3D-TEE are given in Supplemental Figures 2.

### **Implantation results**

Among the 18 patients with screening success, 2 died before TMVR and 16 underwent TMVR. 14 patients (87.5%) had successful implantation without complication, 1 (6.25%) presented severe paravalvular leak, and 1 (6.25%) moderate intravalvular leak. 1 patient presented a significant LVOT obstruction (max aortic velocity = 3.4m/s) but without clinical signs. All the results of the implantations are given in Supplemental Table 2.

#### DISCUSSION

Exploring 52 eligible patients referred to our Heart Valve Clinic for a TMVR with a variety of dedicated prostheses, we found that 3D-TEE measurements of MA dimensions is 1) feasible in 90% of the cases (52/57), 2) displays good interobserver agreement and correlations with CT measurements and 3) poses very high accuracy to predict TMVR screening success as defined by manufacturer recommendations for CT measurements.

With commercially available software and improvement in 3D technology, 3D-TEE has the unique capacity of showing the MA and the mitral valve from an *en face* perspective with a high temporal and spatial resolution. It is thus probably one of the best methods for visualizing and exploring the anatomy and dynamism of the MA. (20,21)

Usefulness of MA evaluation using 3D-TEE has been reported in patients undergoing surgical (22,23) or percutaneous (24) mitral valve repair, and in ischemic MR (10) or in the presence of mitral annular disjunction (25). Only one prior study showed its usefulness in TMVR sizing for a dedicated prosthesis (12). In line with the latter study, we found an excellent correlation between 3D-TEE and CT for MA area and perimeter measurements (r=0.88 and r=0.92 respectively) and a good correlation for PM-AL diameter (r=0.68), despite different software for TEE MA sizing. The lower correlation for PM-AL diameter may be due to a suboptimal resolution in the lateral part of the coronal plane in 3D-TEE, reducing the ability to distinguish blood from tissue (26). We showed larger MA dimensions (MA area and perimeter=14.8cm<sup>2</sup> and 137.8mm respectively) than Mak et al. (11.4cm<sup>2</sup> and 123mm respectively) or other studies (27,28). This can be explained by the characteristics of our population, i.e. older patient, with a higher proportion of primary and severe MR. Indeed, in line with Mak et al. findings, we found that primary MR were associated with larger MA measurements (Supplemental Table 1). We also found lower screening success rates in

primary MR. These differences in TMVR screening between primary and secondary MR should be explored in further studies.

TEE-derived MA area between 12.9 and 15cm<sup>2</sup> and MA perimeter between 128 and 139mm can predict screening success with specificity satisfying accuracy (Figure 4). We did not included patients with AF at the time of the acquisition. The way to manage AF both for CT and 3D-TEE acquisition and analysis should be explored in further studies.

Almost a half of patients referred for TMVR presented with chronic kidney disease in our centre. Thus, using 3D-TEE measurements of MA as first-line imaging modality appears clinically relevant to avoid iodinated contrast injection mandatory with screening CTs. Even if the final decision will be taken with CT analysis, our study is the first to propose range of 3D-TEE measurements to predict TMVR screening success for the currently available TMVR sizes.

#### Limitations

The software uses a semi-automated segmentation algorithm to initiate a 3D model from where MA measurements are calculated, with an inherent risk of giving inaccurate 3D models. A review step is thus mandatory to confirm the accuracy of the model. Though this step may lead to discrepancies, the interobserver variability was at least good in our study. Moreover, current software allows MA analysis during one moment of the cardiac cycle. Since MA area is highly dynamic, with a 20-30% reduction during LV contraction (29), a dynamic evaluation with a multiphasic annular assessment would probably improve the annular sizing. Although the final suitability decision was given by the manufacturer, the criteria for suitability was the same for the 3 devices. However, this criterion must be confirmed in the future. Even if we present data from the most used prosthesis, as the companies produce different size devices, the cut-offs will change depending on the company

and must be confirmed in further studies. Poor image quality still an issue for 3D-TEE analysis in almost 10% of the screened population. Unfortunately, both TEE or CT did not give us any clues regarding postoperative issues. The high LVOT gradient and the significant MR observed in 3 patients were actually secondary to per-procedure issues and calcifications that are in our mind hardly predicted even a posteriori by pre-op screening. Finally, we report the current largest TVMR population with 3D-TEE, CT and screening for dedicated TMVR prosthesis. However, our results and ranges of 3D-TEE measurements should be tested in larger populations.

#### **Clinical perspectives**

3D-TEE is feasible and useful to measure MA dimensions in patients referred for TMVR, displaying good interobserver agreement and correlations with CT measurements and high accuracy to predict TMVR screening success. It might be proposed as a reasonable alternative to avoid unnecessary CTs in this vulnerable population

#### CONCLUSION

3D-TEE measurements of MA dimensions are feasible in daily practice to predict TMVR screening success with acceptable accuracy and interobserver agreement, and good correlations with CT measurements.

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#### FIGURE LEGENDS

#### Figure 1. Mitral annulus sizing using 3D-TEE MVQ software

**A**, A 3D volume of the mitral annulus set is acquired from a multiplane 2D-TEE view. **B**, Alignment step is mandatory to ensure standardized and expected views where vertical axis crosses through the center of the mitral valve while the horizontal axis is parallel to the mitral valve. **C**, 6 landmarks are then placed (two mitral annulus points, two anterior/posterior points, the coaptation plus the aorta point. **D**, A complete 3D reconstruction of the mitral annulus is finally performed. Once all lines have been checked, area (**E**), perimeter (**F**) or PM-AL diameter (yellow line, **G**) for example are determined by the 4D Auto MVQ software. 3D: Three dimensional; PM-AL: Posteromedial-anterolateral; TEE: Transoesophageal Echocardiography

#### Figure 2. CT mitral annulus measurements

**A**, Mitral annular segmentation is manually performed using a dedicated software (3mension, Pie Medical Imaging. Bilthoven, The Netherlands) by placing 16 points around the annulus. **B**, the software automatically generates the 3D reconstruction of the mitral annulus with its parameters on a short-axis view and **C**, the corresponding aorto-mitral angle on a 3-chamber view

**Figures 3. Bland-Altman plots and correlations for comparison of 3D-TEE and CT mitral annulus measurements.** Comparison of A) MA area, B) MA perimeter, C) A-P diameter and D) PM-AL diameter. P-value by linear regression 3D: Three dimensional; AP: Antero-posterior; MA: Mitral Annulus; PM-AL: Posteromedial-anterolateral; TEE: Transoesophageal Echocardiography

#### Figure 4. Ranges of 3D-TEE measurements for TMVR suitability

AP: Antero-posterior; PM-AL: Posteromedial-anterolateral

Supplemental Figures 1. ROC curves analyses for prediction too large (A) or too small (B) annulus with 3D-TEE

<b>Table 1: Comparison</b>	between	<b>TEE and</b>	<b>CT</b> measurements
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	3D-TEE	СТ	Δ 3D-TEE – CT	Absolute % error	R	p-value
Projected MA area (cm <sup>2</sup> )	$14.8\pm3.7$	$14.8 \pm 3.5$	$-0.05 \pm 0.72$	5.96 (3.64-9.83)	0.88	<0.0001
Projected MA perimeter (mm)	$137.8\pm17.8$	$138.8 \pm 16.3$	$1.09\pm3.39$	2.25 (1.52-4.34)	0.92	<0.0001
A-P diameter (mm)	$39.4\pm5.8$	$41.4\pm6.2$	$2.06 \pm 1.18$	8.28 (5.69-10.0)	0.72	<0.0001
PM-AL diameter (mm)	$41.3\pm6.2$	$44.2\pm5.8$	$2.84 \pm 1.19$	9.09 (6.11-11.06)	0.68	<0.0001
Anterior leaflet length (mm)	$28.2\pm5.3$	$32.3\pm6.4$	$4.12 \pm 1.17$	15.88 (9.75-22.07)	0.28	0.05
Mitro-aortic angle (°)	$117.5\pm15.5$	$115.5\pm26.5$	$-2.07 \pm 4.28$	7.81 (6.08-11.24)	0.53	0.0001

AL: Anterolateral; A-P: AnteroPosterior; MA: Mitral Annulus; PM: Posteromedial.

	AUC	p-value	Cut-off	Sensitivity	Specificity
3D-TEE					
MA area (cm <sup>2</sup> )	0.91	< 0.0001	15	88.9	87.9
MA perimeter (mm)	0.91	< 0.0001	139	83.3	87.9
A-P diameter (mm)	0.84	< 0.0001	39	94.4	60.6
PM-AL diameter (mm)	0.89	< 0.0001	42	83.3	84.9
Anterior leaflet length (mm)	0.67	0.03	26	88.9	39.4
Mitroaortic angle (°)	0.65	0.06	112	66.7	68.8

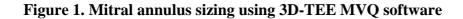
# Table 2: ROC analyses for prediction too large annulus with 3D-TEE

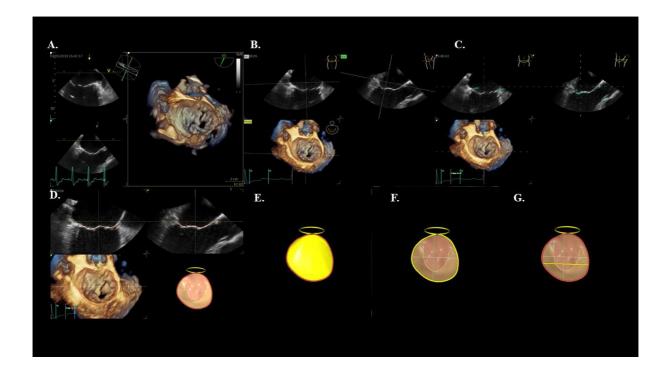
AL: Anterolateral; A-P: AnteroPosterior; MA: Mitral Annulus; PM: Posteromedial

	AUC	p-value	Cut-off	Sensitivity	Specificity
3D-TEE					
MA area (cm <sup>2</sup> )	0.88	< 0.0001	12.9	81.8	82.5
MA perimeter (mm)	0.85	< 0.0001	128	72.7	85
A2-P2 diameter (mm)	0.79	0.003	35	72.7	90
PM-AL diameter (mm)	0.81	< 0.0001	37	72.7	82.5
Anterior leaflet length (mm)	0.74	0.007	24	54.6	85
Mitroaortic angle (°)	0.52	0.79	120	90.9	30.8

# Table 3: ROC analyses for prediction too small annulus with 3D-TEE

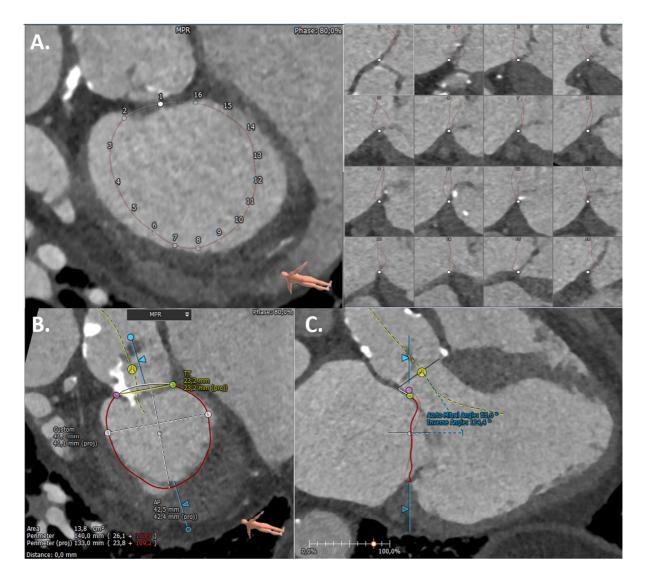
AL: Anterolateral; A-P : AnteroPosterior; MA: Mitral Annulus; PM: Posteromedial





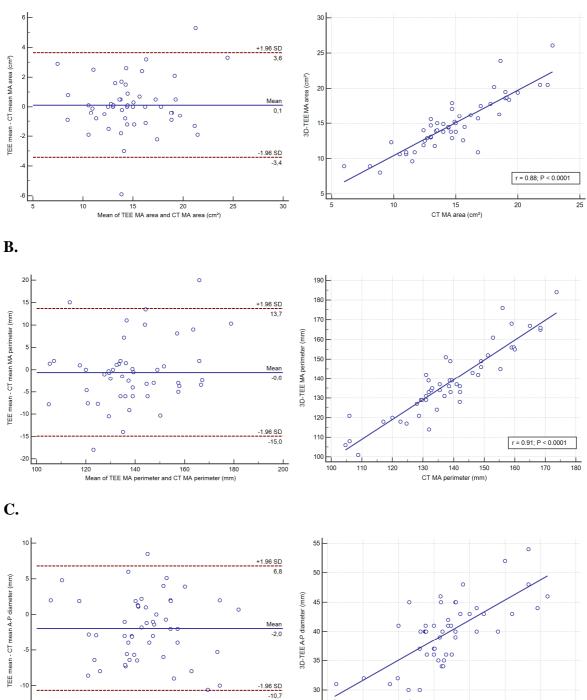
**A**, A 3D volume of the mitral annulus set is acquired from a multiplane 2D-TEE view. **B**, Alignment step is mandatory to ensure standardized and expected views where vertical axis crosses through the center of the mitral valve while the horizontal axis is parallel to the mitral valve. **C**, 6 landmarks are then placed (two mitral annulus points, two anterior/posterior points, the coaptation plus the aorta point. **D**, A complete 3D reconstruction of the mitral annulus is finally performed. Once all lines have been checked, area (**E**), perimeter (**F**) or PM-AL diameter (yellow line, **G**) for example are determined by the 4D Auto MVQ software

Figure 2. CT mitral annulus measurements



**A**, Mitral annular segmentation is manually performed using a dedicated software (3mension, Pie Medical Imaging. Bilthoven, The Netherlands) by placing 16 points around the annulus. **B**, the software automatically generates the 3D reconstruction of the mitral annulus with its parameters on a short-axis view and **C**, the corresponding aorto-mitral angle on a 3-chamber view

Figures 3. Bland-Altman plots and correlations for comparison of 3D-TEE and CT mitral annulus measurements. Comparison of A) MA area, B) MA perimeter, C) A-P diameter, D) PM-AL diameter and E) Mitro-aortic angle. P-value by linear regression



35 40 45 50 Mean of TEE A-P diameter and CT A-P diameter (mm)

₽ 25

С 

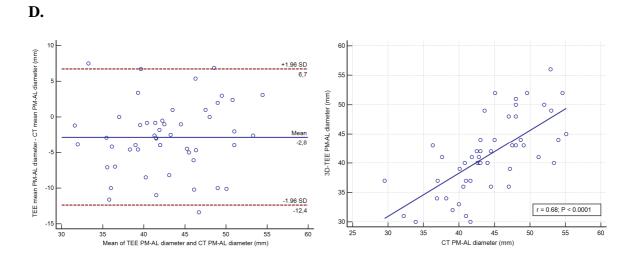
CT A-P dia

ter (mm

-15

A.

r = 0.72; P < 0.0001





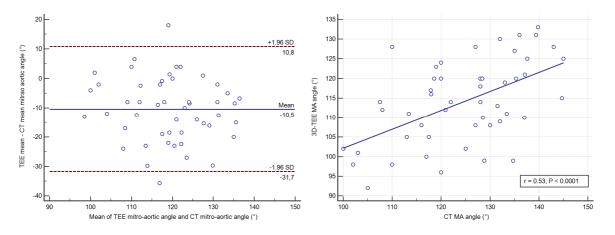


Figure 4. Ranges of 3D-TEE measurements to predict TMVR screening success

