

Readmissions of Elder Patients Presenting to Hospital for a Fall (RELIEF): A Systematic Review

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TITLE PAGE

Title: Readmissions of ELder patIEnts presenting to hospital for a Fall (RELIEF): a systematic review.

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Brief summary: Our systematic review of interventions to prevent readmissions of older adults presenting with a fall included six recent studies, with differences in the professionals involved, design, and location; three had positive results.

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1 ABSTRACT

2 Objectives:

Falls are an important issue in older adults as they are frequent, deleterious and often lead to repeated consultations at the Emergency Department (ED) and unplanned hospitalizations. Our principal objective was to provide an inventory of interventions designed to prevent unplanned readmissions or ED visits of older patients presenting to hospital with a fall.

8

9 Design:

Systematic review performed on February 11th 2019, in MEDLINE via PubMed,
EMBASE, Cochrane Central Register of Controlled Trials, and Web of Science,
without date or language restriction. We manually updated this search in August 1st
2019. Study selection, data extraction and risk of bias assessment were conducted
independently by two reviewers.

15

16 Setting and Participants:

We included studies reporting interventions to prevent unplanned readmissions or
ED visits of older patients (aged 65 or over) presenting to hospital because of a fall.

19

20 Results:

We identified 475 unique citations after removing duplicates and included six studies (two observational and four interventional studies). The studies were published between 2012 and 2019; they evaluated heterogeneous interventions that were frequently multifaceted and multidisciplinary. The interventions were shown effective in reducing readmissions or ED revisits compared to control groups in three studies
(relative risk reductions between 30 and 65%), all of which were multifaceted and 2/3
multidisciplinary.

28

29 Conclusions and implications:

With six articles showing inconsistent results, our study highlights the need to adequately design and evaluate interventions to reduce the burden of hospital readmissions among older fallers. Retrieved studies are recent, which underlines that hospital readmissions are a current concern for researchers and public health authorities. [PROSPERO registration number: CRD42019131965].

35 **INTRODUCTION**

Falls are an important issue in older adults as they are frequent and deleterious: 37 30% of people aged over 65 experience a fall every year.¹ Moreover, falls are a risk factor for hospitalization and death. In the United States, falls account for 13.5% of Emergency Department (ED) visits in patients aged 65 and over, and the percentage of ED visits that result in hospital admission increases with age.²

These hospital stays are unplanned, frequent and constitute a heavy burden at both 41 the individual and collective levels.^{3,4} Moreover, hospitalizations increase the 42 occurrence of adverse events in the following weeks and months after discharge, 43 including preventable readmissions. For instance, the readmission rate was 40% in 44 older patients in a recent French study.⁵ Risk factors for early unplanned preventable 45 readmissions include severe disability and markers of frailty, such as poor overall 46 condition, pressure sores and prior hospitalizations.⁶ Although previous research 47 identified and categorized interventions to reduce 30-day readmission,⁷ special 48 attention should be paid to older patients admitted to hospital with a fall and 49 interventions should be implemented to put an end to the vicious circle of falls, 50 hospital admissions and readmissions. 51

52 Our principal objective was to provide an inventory of all interventions or processes 53 designed to prevent unplanned readmissions or ED visits of older patients presenting 54 to hospital with a fall. Our secondary objectives were to assess the effect of these 55 interventions on the recurrence of falls, and to detect any possible harm or 56 unintended effect of these interventions.

57 **METHODS**

58 Design

We performed a systematic review following the recommendations of the Cochrane
Handbook⁷ and reported according to the Preferred Reporting Items for Systematic
Reviews and Meta-analyses (PRISMA) guidelines⁸ (Appendix 1). The study protocol
was registered in the PROSPERO database (CRD42019131965).

63

64 Eligibility criteria

We included original studies reporting any intervention or process to prevent unplanned readmissions in older patients (defined as people aged 65 years or over), admitted to hospital or visiting ED because of a fall. The prevention of unplanned readmission had to be either the primary objective or a secondary objective. We considered both interventional and observational studies.

Studies on older patients with a specific condition (such as stroke, depression, or hip
fracture) were excluded.

72

73 Data sources

We searched MEDLINE via PubMed, EMBASE, Cochrane Central Register of Controlled Trials, and Web of Science. This electronic search was run on February 11th, 2019, without date or language restriction (investigators are fluent in English, French and Spanish, any article in other language would be sent to a professional translator). We then hand-searched additional eligible studies in reference lists and citing articles. We manually updated this search on August 1st for the period from February 2019 to August 2019.

81

82 Search strategy

The search algorithm combined terms related to "older adults", "falls" and "hospital readmission", and was adapted to each database, using specific thesaurus (MeSH terms for MEDLINE, Emtree terms for EMBASE) as well as free text words (Supplemental Table 1).

87

88 Study selection

After removing duplicates, two investigators (CR, PH) independently screened titles and abstracts and then full texts whenever necessary for final eligibility.

Disagreements were resolved by discussion with the help of a third investigator (CF).

93 Data extraction

Data extraction was performed by CR using a standardized form and was
independently checked by PH.

In cases of missing data, the authors were contacted by email to recover the
variables of interest, with a reminder after one week. Data was considered missing
when authors had not answered within two additional weeks.

99

100 We collected the following data items for each included study:

General information: first author, year of publication, journal, country, study
 design (observational or interventional, prospective or retrospective, single-center
 or multicenter), study duration, period of recruitment, inclusion and exclusion
 criteria, and sample size.

2) Baseline population characteristics: number of patients in each group, mean age,
and sex ratio.

3) Description of the intervention including whether it was mono- or multifaceted,
 multidisciplinary or not. An intervention was considered multifaceted when acting
 on different factors, it was considered multidisciplinary when involving different
 healthcare professionals.

4) Primary and secondary outcomes of included studies, sources (e.g. databases or
ad hoc studies) and follow-up schedule.

5) The results for our primary outcomes: the number of patients who experienced at
least one readmission or ED visit; the results for our secondary outcomes: the
recurrence of falls, and any harm or unintended effect of interventions.

116

117 **Risk of bias assessment**

The risk of bias was evaluated for each included study, using the Cochrane Risk of Bias Tool. Selection, performance, detection, attrition and reporting biases were evaluated as recommended. We also evaluated the risk of contamination bias (defined as mixing the experimental and control interventions), as we expected that an effective blinding could be hardly feasible in studies dealing with this type of intervention.

Because of the low number of included studies, we were not able to assess the risk of publication bias.

126

127 **Quality of reporting**

128 The quality of reporting was assessed for each included study, according to the 129 CONSORT statement⁹ for interventional studies and the STROBE statement¹⁰ for

observational studies. Each item was coded as completely reported, incompletelyreported or not reported.

The description of each intervention reported in included studies was assessed
 according to the TIDIER checklist and guidelines.¹¹

134

135 These assessments (risk of bias and quality of reporting) were conducted 136 independently by CR and PH with the help of CF in cases of disagreement.

137

138 Statistical analysis

Agreement between the two investigators for study eligibility was assessed by the Cohen Kappa coefficient, using R version 3.5.1 (The R Foundation for Statistical Computing) (Appendix 2). A Kappa coefficient equal to 0.60-0.74 was considered good, and equal or greater than 0.75 very good.¹² When studies assessed the rate of readmission without any comparison between

groups, a Chi-squared test was performed on raw data after contacting authors.

145 **RESULTS**

146 Study selection and inter-reviewer agreement

The literature search identified 757 records, resulting in 475 unique citations after removing duplicates. After examination of titles and abstracts, then full-text records, and additional hand search, six studies were included, as shown in Figure 1.^{14–19} Agreement for full-text eligibility between the two investigators was very good (Cohen Kappa coefficient 0.75 [95% CI, 0.45-1]).

152

153 General characteristics of included studies

154 The main characteristics of included studies are shown in Supplemental Table 2.

Among the six included studies, two^{15,17} were retrospective observational studies, four^{14,15,17,19} were interventional studies, among which two^{14,16} were quasirandomized, and one¹³ was a matched pair study. Four studies^{14–16,19} were singlecenter studies and two^{14,18} were multicenter.

159 Included studies were recent; they were all published between 2012 and 2019.

160

Regarding the populations, inclusion criteria were quite homogeneous across 161 included studies. Patients enrolled were aged 65 years and over in all included 162 studies, except for Clementz et al. (75 years and over). In five studies, 14-16,18,19 163 patients went to ED or to hospital with a fall. In Harper et al. (2017), the patients who 164 went to ED were included regardless of their reason for admission, they were further 165 divided into patients presenting with a fall or not for analysis.¹⁶ In Clementz et al., 166 inclusion criteria were restricted to older patients presenting with injuries related to a 167 fall and with at least two chronic diseases and taking two or more medications.¹⁴ 168

Exclusion criteria varied between studies. Patients admitted for lower limb fractures were excluded in two studies^{13,17}; those living in long-term care facilities were excluded in two^{16,17}. One study¹⁹ excluded patients with acute confusional state, cognitive impairment, and whose gait, standing balance, mobility or strength were not impaired. Another study¹⁴ excluded patients who required immediate resuscitation or palliative care. Exclusion criteria were not reported in Harper *et al.* (2013).¹⁵

176

177 Quality of reporting and risk of bias

Regarding the quality of reporting (Supplemental Figures 1 and 2), in observational studies,^{15,17} the STROBE items were generally well reported, except the items concerning reasons for non-participation, flow diagram, and the number of participants with missing data. In interventional studies,^{14,15,17,19} the CONSORT items were generally well reported, except the items concerning changes of outcomes after the beginning of the trial, and the item concerning harms or unintended effects.

Risk of bias assessment, as described in Figure 2, showed that included studies presented the same pattern of bias, except for Harper *et al.* in 2017.¹⁷ There was a low risk of detection bias and reporting bias; an unclear risk of attrition bias; and a high risk of performance bias, and selection bias due to inadequate random sequence generation and allocation concealment. Risk of contamination was low.

189

Description of interventions evaluated

Interventions reported were heterogeneous regarding the healthcare professionals
 involved and the type of intervention. Clementz *et al.* only involved pharmacists,¹⁴
 but all other included studies reported multidisciplinary interventions, as reported in

Table 1. Among these multidisciplinary interventions,^{14,16–19} between two and six health professionals were involved; each study implicated different professionals, but physiotherapists and occupational therapists were systematically involved.

All interventions were multi-faceted, and targeted patients; Bond et *al.* also targeted
 caregivers.¹³

199 The following three interventions took place in hospital only (ED or specialized 200 wards):

Clinical pharmacy services in ED (early in-hospital medication reconciliation,
 medication review), and transmission of medical records to the patients'
 physicians to report prescription modifications.¹⁴

A brief scripted patient education in ED, tailored to the future risk of falling, in
 which the key message was fall prevention strategy.¹⁶

An intensive targeted rehabilitation therapy, in a Department of Rehabilitation
 and Geriatrics, with exercise in tailored individual sessions and group
 sessions.¹⁹

The last three interventions were shared between hospital and community services. They consisted of baseline assessment and referrals to home care and other community-based services:

By an ED Care Coordinator (EDCC) identifying patients' healthcare needs
 outside the ED¹³

- By a Care Coordination Team (CCT) also managing fall risk stratification,
 patient education, functional retraining and supply of equipment¹⁵
- By a multi-professional team in Geriatric Assessment Units (GAUs) in
 collaboration with families¹⁷

218

The quality of description of the interventions was generally poor with a median percentage of TIDIER items completely reported of 33.3% (min 25 - max 83.3); the median of incompletely reported items was 25% (min 0 - max 41.7); it was 29.1% for items not reported at all (min 16.7 - max 50). The less reported items referred to modifications during the course of the study and if fidelity was assessed.

224

225 **Readmissions of older patients presenting to hospital with a fall**

Among included studies, only three studies showed a significant reduction of hospital readmissions or ED revisits, as reported in Table 2:

In Clementz *et al.*, the number of patients readmitted 3 months after discharge
 was decreased by 60% in the intervention group compared to control group
 (p=0.006); after 72 hours and 1 month, readmissions were respectively
 decreased by 30% (p=0.049) and 55% (p=0.022) compared to control group;¹⁴

In Harper *et al.* in 2017, the rate of patients revisiting ED within 6-months
 post-discharge in the intervention group was reduced by half compared to
 control group (p=0.033);¹⁶

In Kergoat *et al.*, the number of patients readmitted to hospital was significantly decreased by 65% when they had an informational continuity score ≥50%, compared to those with score <50% (p=0.023) (i.e. patients for whom the intervention was reported to have been performed more than half versus patients for whom the intervention was reported to have be performed less than half).¹⁷

The other interventions did not significantly reduce readmissions to hospital or ED revisits.

243

244 Secondary outcomes

Of the six included studies, two measured the effects of interventions on falls.^{17,19} The recurrence of falls was assessed differently according to the studies: either as the number of patients experiencing at least one fall after index hospitalization or ED visit, or as the number of falls. Reported interventions did not significantly decrease the recurrence of falls (Harper *et al.* (2017) (p=0.34), Trombetti *et al.* (relative risk 0.65, [0.27-1.59], p=0.35)).

Harms or unintended effects were not reported in any included study.

252 **DISCUSSION**

Our systematic review retrieved six recently published studies, reporting interventions to reduce readmissions of older patients presenting to hospital with a fall. Three studies showed significant results for the following interventions: clinical pharmacy services (medical reconciliation, medication review and conveying treatment changes to the patients' physicians),¹⁴ patient education tailored to the future risk of falling,¹⁶ and comprehensive multi-professional management with informational continuity.¹⁷

260

Interventions in older patients presenting to hospital with a fall do not always aim at 261 reducing hospital readmissions. For instance, their primary objective can be the 262 reduction of recurrence of falls or the improvement of gait and balance, as in two of 263 our included studies.^{17,19} It appears that the question of reducing readmissions in 264 older patients is a new research subject, dealing with relatively contemporaneous 265 concerns of health care policies worldwide; this may explain why all included studies 266 have been very recently published. For instance, since 2012, American hospitals 267 face financial penalties of 1% across all Diagnosis Related Groups if they exceed 30-268 day risk-standardized readmission rates for specific conditions/procedures²⁰ and 269 these penalties increased to 3% in 2014. Great Britain has been another example 270 271 since 2011, with the National Health Service's Policy of Nonpayment for Emergency Readmissions, which prevents reimbursement for all emergency readmissions to 272 hospital within 30 days after the initial discharge.²¹ 273

274

Interventions were varied across included studies. This diversity was also found in
effective interventions, and may have created the opportunity to implement a model

of intervention tailored to each setting. Reported interventions took place in various locations (ED, specialized wards, or both hospital and community services), and were multi-faceted, as they targeted various aspects of older adults, and involved various health professionals.

There were other sources of heterogeneity between included studies which may affect the comparability between studies and prevent undertaking meta-analyses: clinical heterogeneity, i.e. variations in patients' characteristics (inclusion and exclusion criteria, mean age) and outcomes; and methodological heterogeneity, i.e. variations in study designs (observational versus interventional studies, single-center versus multi-center studies, and follow-up schedules), as well as the way results were reported (in numbers of patients or numbers of events per person-year).⁷

288

Despite the good overall quality of reporting of the included studies, the poor description of the interventions is an obstacle to ensuring the reproducibility of these interventions.¹¹ Our results are consistent with those of Candy *et al.* who also found a lack of details to replicate interventions.²²

Furthermore, the degree of implementation of intervention was not described, whereas it may influence outcomes, as mentioned by Neyens *et al.* in their systematic review on interventions to prevent falls in older adults.²³ Moreover, Kergoat *et al.* show in their study that intervention significantly reduces readmissions only when informational continuity is well established.¹⁷

Another issue which impacts generalizability is that very few authors reported whether interventions were adapted to the cause of falls (i.e. drug-related or diseaserelated for instance). Only the intervention in Harper *et al.* (2017) was tailored to the

patient's future risk of falling and this intervention was among those significantly
 effective.¹⁶

Despite recommendations by reporting guidelines,⁹ harms and unintended effects of interventions were not reported in any study. This issue is important, as for instance, Wadhera *et al.* brought to light that the American program to reduce readmissions was significantly associated with an increase in 30-day post-discharge mortality after hospitalization for heart failure and pneumonia;²⁴ future studies should systematically mention and explore these outcomes.

309

310 Limitations

There are two limitations related to the study selection. First, our search strategy 311 may have missed some relevant studies. The two articles included after hand-search 312 in reference lists and citing articles^{15,17} were not indexed with all three keywords 313 "older adults", "falls" and "hospital readmission". Second, the outcome "hospital 314 readmissions or ED revisits among older patients presenting to hospital with a fall" 315 was a primary outcome in only two included studies.^{14,15} In the remaining five 316 studies, either hospital readmissions / ED revisits were secondary outcomes, or 317 older fallers were a subgroup of study population. Thus, both electronic search and 318 hand-search may not have retrieved all studies dealing with our subject. Third, high 319 risks of selection and performance biases in some included studies may impair our 320 findings. Fourth, we were unable to pool the results and perform a meta-analysis due 321 to the heterogeneity of interventions. 322

323 CONCLUSIONS AND IMPLICATIONS

Our systematic review of interventions designed to prevent unplanned readmissions or ED visits of older patients presenting with a fall included six studies (2012-2019), of which three had positive results. Interventions differed with regard to the healthcare professionals involved, the design, and the location. However, further research is clearly needed to identify the key parameters necessary to reduce readmissions of older patients presenting with a fall.

330 CONFLICTS OF INTEREST

331 The authors declare no conflicts of interest.

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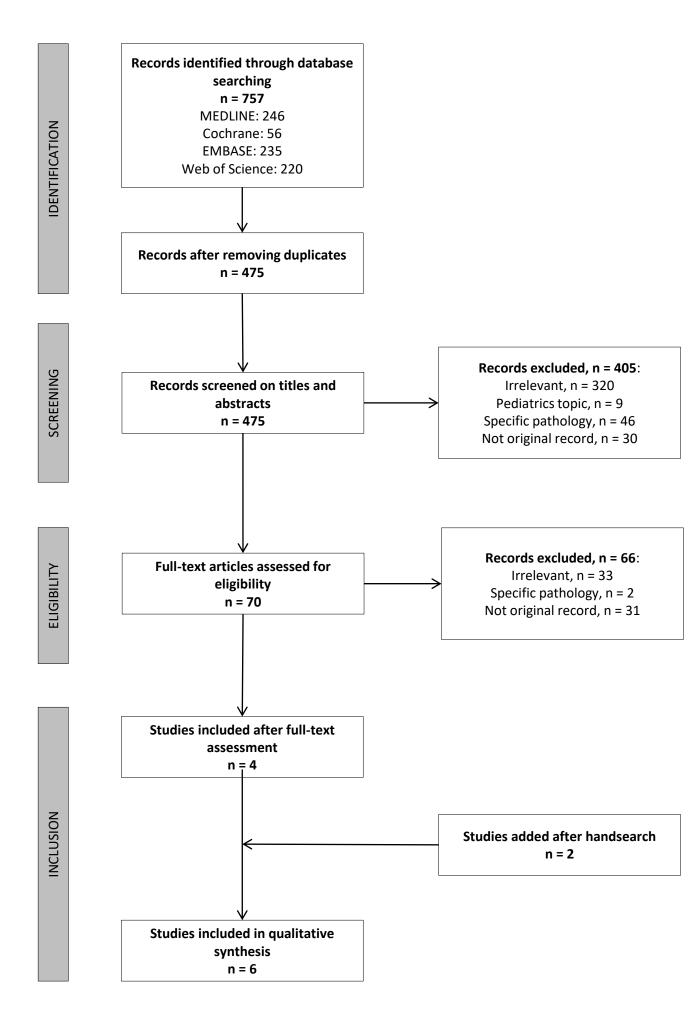
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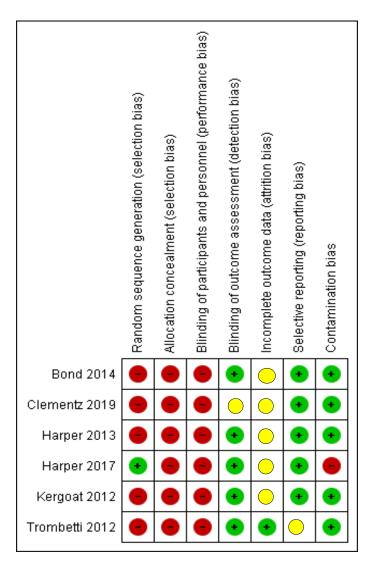
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A red minus represents a high risk of bias; a green plus represents a low risk of bias, and a yellow dot represents an unclear risk of bias.

Table 1 - Summary of the interventions evaluated in included studies

Study	Place of intervention	Professionals involved in the intervention	Intervention			
Bond <i>et al.</i>		 Ergotherapist Physiotherapist Pharmacist Nurse 	 ED Care Coordinator dedicated to: Supporting seniors and their caregivers to return home safely after an ED visit Identifying senior needs outside the ED through conducting an assessment Providing referrals to home care, other community-based services, and clerical support 			
Harper <i>et al.</i> (2013)	- Ergotherapist ED + referrals to - Physiotherapist community services		 Assessment and fall risk stratification Patient education Functional retaining Supply of equipment Referrals to falls clinics or outpatient allied health service 			
Kergoat <i>et al.</i>		 Ergotherapist Physiotherapist Pharmacist Nurse Physician Dietician 	Assessment and interventions addressing physical and psychosocial needs, in collaboration with families and community health and social services			

Table 1 (continued) - Summary of the interventions evaluated in included studies

Study	Place of intervention	Professionals involved in the intervention	Intervention				
Harper <i>et al.</i> (2017)	ED	- Ergotherapist - Physiotherapist	 Baseline assessment for all patients by an ED-based Care Coordination Team (CCT), screening for future risk of falling and measuring functional ability Brief scripted education in ED: To reinforce the importance of fall prevention strategies and seriousness of falls, To identifying hazards at home, appropriate footwear, common fall risk factors and strategies to manage falls 				
Clementz <i>et al.</i>	Geriatric unit in ED	- Pharmacist	 Clinical pharmacy services: Early in-hospital medication reconciliation procedure and medication review Transmission of medical records to the patients' physicians to report prescription modifications 				
Trombetti <i>et al.</i>	Geriatric ward	 Ergotherapist Physiotherapist Nurse Physician Dietician 	 Multifactorial intervention program ("Chutes Et OstéoPoroSe" program, CHEOPS): Multidisciplinary systematic comprehensive assessment, to address potential fall-and-fracture risk factors Intensive targeted rehabilitation therapy with exercise in individual tailored session and group sessions 				

Table 2 – Main results of interest for the systematic review

Study	Number of patients readmitted to hospital in IG (%, 95%CI)	Number of patients readmitted to hospital in CG (%, 95%CI)	Relative risk reduction (%)	p-value	Number of patients revisiting ED in IG (%, 95%CI)	Number of patients revisiting ED in CG (%, 95%Cl)	Relative risk reduction (%)	p-value
Bond <i>et al.</i>	4/44 (9.1, 0.6-17.6)	2/44 (4.5, -1.6-10.7)	No reduction	0.63	6/44 (13.6, 3.5-23.8)	6/44 (13.6, 3.5-23.8)	No reduction	1.000
Harper <i>et al.</i> (2013)	77/1827 (4.2, 3.3-5.1)	46/1519 (3.0, 2.2-3.9)	No reduction	0.07	106/1827 (5.8, 4.7-6.9)	68/1519 (4.5, 3.4-5.5)	No reduction	0.09
Harper <i>et al.</i> (2017)	19/97 (19.6, 11.7-27.5)	21/69 (30.4, 19.6-41.3)*	64,5	0.12†	16/97 (16.5, 9.1-23.9)	21/69 (30.4, 19.6-41.3)*	54,3	0.033†
Trombetti <i>et al.</i>	14/92 (15.2, 7.9-22.6)	7/30 (23.3, 8.2-38.5)	65,3	0.31†	NR	NR	NR	NR

*: Information provided by authors; †: CR newly calculated (not planned by the authors); IG: intervention group; CG: control group; NR: not reported in the study.

Table 2 (continued) - Main results of interest for the systematic review

Study	Number of patients readmitted to hospital in IG (%, 95%CI)	Number of patients readmitted to hospital in CG (%, 95%CI)	Relative risk reduction (%)	p-value	Number of patients revisiting ED in IG (%, 95%Cl)	Number of patients revisiting ED in CG (%, 95%Cl)	Relative risk reduction (%)	p-value
Clementz <i>et al.</i>	<u>3 months</u> 30/125 (24.0, 16.2-31.8)	<u>3 months</u> 51/127 (40.2, 30.9-40.9)	59,7	0.006†		NR	NR	NR
	<u>1 month</u> 18/125 (14.4, 8.0-20.8)	<u>1 month</u> 33/127 (26.0, 17.7-34.3)	55,4	0.022†	NR			
	<u>72 hours</u> 3/125 (2.4, -0.4-5.2)	<u>72 hours</u> 10/127 (7.9, 2.8-13.0)	30,4	0.049†				

*: Information provided by authors; †: CR newly calculated (not planned by the authors); IG: intervention group; CG: control group; NR: not reported in the study.

Table 2 (continued) - Main results of interest for the systematic review

Study	Number of patients readmitted to hospital in IG (%, 95%CI)	Number of patients readmitted to hospital in CG (%, 95%CI)	Relative risk reduction (%)	p-value	Number of patients revisiting ED in IG (%, 95%CI)	Number of patients revisiting ED in CG (%, 95%Cl)	Relative risk reduction (%)	p-value
Kergoat <i>et al.</i>	<u>Comprehensiveness</u> <u>score ≥50%</u> 91/572 (15.9, 2.9-18.9)*	<u>Comprehensiveness</u> <u>score <50%</u> 46/304 (15.1, 11.1-19.2)*	No reduction	0.76†	<u>Comprehensiveness</u> score ≥50% 159/572 (27.8, 24.1-31.5)*	<u>Comprehensiveness</u> <u>score <50%</u> 87/304 (28.6, 23.5-33.7)*	97,2	0.79†
	Informational <u>continuity score</u> ≥50% 33/284 (11.6, 9.5-18.3)*	Informational continuity score <50% 104/592 (17.6, 14.5-20.6)*	65,9	0.023†	Informational continuity score ≥50% 69/284 (11.6, 7.9-15.3)*	Informational <u>continuity score</u> <u><50%</u> 177/592 (17.6, 14.5-20.6)*	65,9	0.21†
	Comprehensiveness + informational continuity scores ≥50% 31/238 (13.0, 8.7-17.3)*	<u>Comprehensiveness</u> <u>+ informational</u> <u>continuity scores</u> <u><50%</u> 106/638 (16.6, 13.7-19.5)*	78,3	0.19†	<u>Comprehensiveness</u> <u>+ informational</u> <u>continuity scores</u> ≥50% 59/238 (24.8, 19.3-30.3)*	<u>Comprehensiveness</u> <u>+ informational</u> <u>continuity scores</u> <u><50%</u> 187/638 (29.3, 25.8-32.8)*	84,6	0.19†
	All items of patient- centered care 33/209 (15.8, 10.8-20.7)*	Not all items of patient-centered care 104/667 (15.6, 12.8-18.3)*	No reduction	0.95†	All items of patient- centered care 57/209 (27.7, 21.2-33.3)*	Not all items of patient-centered care 189/667 (28.3, 4.9-31.8)*	97,9	0.77†

*: Information provided by authors; †: CR newly calculated (not planned by the authors); IG: intervention group; CG: control group; NR: not reported in the study.