

# Deep cutaneous fungal infections in solid-organ transplant recipients

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1	Deep cutaneous fungal infections in solid-organ transplant recipients		
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52 AG, CL and SB conceived and designed the study.

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- 62 Keywords: deep cutaneous fungal infection, phaeohyphomycosis, solid organ transplant
- 63 recipients, antifungal treatment

### 64 **ABSTRACT**

65 Background: Deep cutaneous fungal infections (DCFIs) are varied in immunosuppressed

- 66 patients with few data for such infections in solid-organ transplant recipients (s-OTRs).
- 67 **Objective**: To determine DCFIs diagnostic characteristics and outcome with treatments in s-

68 OTRs.

- 69 Methods: A 20-year retrospective observational study in France was conducted in 8 primary
- 70 dermatological dedicated centers for s-OTRs diagnosed with DCFIs. Relevant clinical data on

71 transplantation, fungal species, treatments and outcome were analyzed.

- 72 **Results:** 46 s-OTRs developed DCFIs (median delay: 13 months after transplantation) with
- 73 predominant phaeohyphomycoses (46%). Distribution of nodular lesions on limbs and
- 74 granulomatous findings on histopathology were helpful diagnostic clues. Treatments received
- 75 were systemic antifungal therapies (48%), combined with surgery (28%), surgery alone (15%)
- and modulation of immunosuppression (61%) leading to complete response in 63% of s-

77 OTRs.

78 Limitation: Limits due to observational study

Conclusion: Phaeohyphomycoses are the most common DCFIs in s-OTRs. Multidisciplinary
teams are helpful for optimal diagnosis and management.

81

# 83 **Capsule Summary**

- A variety of deep cutaneous fungal infections (DCFIs) occur in solid-organ transplant
- 85 recipients but phaeohyphomycoses are the most common.
- DCFIs should be managed by a multidisciplinary team and may require modulation of
- 87 immunosuppression, systemic antifungal treatment, and/or surgery to obtain complete
- 88 response.

### 90 INTRODUCTION

91 Deep cutaneous fungal infections (DCFIs) are varied and more frequent in

92 immunocompromised patients, particularly in adult solid-organ transplant recipients (s-

93 OTRs).<sup>1, 2</sup> Few series have reported DCFIs in adult s-OTR patients <sup>3-5</sup>. Epidemiological and

94 clinical data, fungal and transplantation parameters as well as therapeutic management are

95 poorly described. Due to the increasing population of s-OTR that are at risk for fungal

96 infections, it is important to better characterize these infections in order to reduce delays in

97 diagnosis and initiate treatment to limit potential fungal dissemination, that would be

98 associated with increased mortality.<sup>5</sup> This retrospective observational multicenter study was

99 conducted in France to determine DCFIs diagnostic characteristics and outcome with

100 treatments in s-OTRs.

101

102

### 103 PATIENTS AND METHODS

Epidemiological, clinical, fungal and transplantation parameters were retrospectively
collected in solid-OTR diagnosed with DCFI in centers belonging to Skin and Organ
Transplantation Group of the French Society of Dermatology (GPGO for Groupe Peau et
Greffe d'Organe).

108 Solid-OTR adult cases were included if they had a proven diagnosis of invasive fungal

109 infection as defined by EORTC-Mycoses Study Group.<sup>6</sup> DCFI was determined as a dermal

110 fungal infection, including systemic fungal infection with cutaneous involvement, in

111 opposition to superficial fungal infection, excluded from the series. DCFI was considered

112 primary, if any extracutaneous organ was involved at diagnosis. S-OTRs cases were selected

113 if they had cutaneous lesions available for samples investigations (pathological and/or fungal

analysis). Diagnosis was assessed by pathological criterion or direct cytopathological criteria

115 (molds or hyphae) associated with either tissue infiltration or filamentous molds in culture. 116 Patients gave their informed consent in accordance with Helsinki ethical statement. 117 We collected data including epidemiological (sex, date of diagnosis, geographic origin), 118 clinical (description of cutaneous lesions, number of lesions, trauma before DCFI, duration 119 between transplantation and onset of the DCFI) and transplantation characteristics (age at 120 transplantation, types of organ transplants, immunosuppressive (IS) drugs and their adverse 121 events including malignancies and infections). DCFI diagnosis was based on pathological 122 analysis (i.e. Hematoxylin Eosin Saffron (HES) and periodic acid-Schiff (PAS) and/or 123 Gomori-Grocott methenamine silver stains) and fungal identification (culture and/or PCR 124 methods). Cases with undetermined DCFI fungal identification were not included. Fungal 125 species were identified with standard microbiological methods in each participating center. A 126 minority of fungal species identification was assessed by PCR method (data not shown) as 127 many samples appeared in a period without this diagnosis method. S-OTRs patients with 128 DCFI were treated according to local practices depending on therapeutic options in each 129 center. Specific treatments for DCFIs were classified as medical treatment, including systemic 130 or local antifungal treatment, surgery, or combined treatments. Efficacy of treatments for 131 DCFI was evaluated at each visit by a global assessment of clinical, microbiologic and 132 radiographic responses (when available), and classified as complete (CR) or partial (PR) 133 response, progression under treatment (Pro), resistance (R) or death (D), as previously published.<sup>7</sup> CR was the resolution of all attributable signs, symptoms, and radiographic 134 135 abnormalities (if ever) present at baseline with fungal eradication; PR was their improvement 136 greater than 50% (reduction of number or size for unique lesion); Pro was defined as increase 137 of size or number of lesions. R was defined as the inefficacy of treatment to reduce cutaneous 138 lesion.

- 139 According to the current taxonomy, homogeneous fungal categories relevant for clinicians
- 140 and mycologists were defined.<sup>8,9</sup> Six fungal opportunistic categories were *a posteriori* settled
- 141 with a centralized mycological analysis: phaeohyphomycoses (alternarioses, coelomycetes),
- 142 hyalohyphomycoses, scytalidium infections, cryptococcoses-histoplasmoses, mycetomas and
- 143 miscellaneous DCFIs. <sup>10-12</sup>
- 144

### 145 STATISTICAL METHODS

- 146 Categorical variables were summarized as counts and percentages and quantitative variables
- 147 as medians and interquartile ranges where appropriate.
- 148 Comparisons on qualitative variables (type of transplant, type and number of
- 149 immunosuppressive drugs, clinical distribution of lesions and treatment responses according
- 150 to fungal pathogens) were performed with Fisher's Exact test.
- 151

### 153 **RESULTS**

### 154 **Demographic and transplantation data**

155 Forty-six patients diagnosed from 1998 to 2016 from 8 centers were included in the study.

- 156 The median age at diagnosis was 56.5 years (16-72 years) with a male to female sex ratio of
- 157 2.54. Forty-eight percent of patients originated from Europe. Characteristics of solid-OTR
- 158 with DCFIs are reported in **Table 1.** Median and mean delays between transplantation and
- diagnosis of DCFI were respectively 13.4 and 28 months (2-144 months). Patients were
- 160 transplanted at a mean age of 53.5 years (16-72 years). Most frequent transplanted organs
- 161 were renal (n=31, 67%) and heart (n=5, 11%). IS drug regimens at diagnosis of DCFI were
- triple (n=30), double (n=13), quadruple (n=1), or single (n=1). IS drug regimens included
- 163 prednisone (n=43), calcineurin inhibitors (CNI) (n=41, including 7 patients with tacrolimus),
- 164 mycophenolate mofetil (MMF) (n=31), cyclosporine (CsA) (n=3), azathioprine (n=2), and
- 165 everolimus (n=1).
- 166

### 167 Clinical characteristics

- 168 Local trauma on exposed skin areas preceding infection occurred in 7 patients (15%).
- 169 DCFIs were primary and not spread from a systemic infection in 41 cases (89 %). The mean
- 170 delay between occurrence of cutaneous lesions and DCFI diagnosis was 4 months. Cutaneous
- 171 lesions predominated statistically on lower limbs (n=24, 52%) in most DCFI categories
- 172 (P=0.006). 54% of patients had one or two lesions (Figure 1). Lesions were polymorphic:
- 173 nodules and/or papules (n=26, 56%), abscess (n=7, 15%), cellulitis/ panniculitis or
- 174 inflammatory plaques (n=6, 13%), ulcerated or necrotic lesions (n=4, 9%), or other (blisters

175 (n=1), proliferative lesion (n=1), not specified (n=1)).

176

### 178 Histopathology findings

Pathological cutaneous analysis was available for 40 patients. Pathological features showed
multinucleated giant cells granulomas (n=20, 50%), suppurative granulomas (n=13, 32.5%) or
dermal fibrosis (n=4, 10%) (Figure 2A). The analysis was non-specific for 3 cases. Specific
histochemical staining with either Periodic acid-Schiff or Grocott methenamine silver was
positive in 24 cases (60%), and both were positive in 19 cases (47.5%). Fungal filaments were
detected in 18 cases (45%) and hyphae and yeast in 4 cases.

185

### 186 Fungal species identification

187

188 Following the recent taxonomic literature, six different DCFI categories related to fungal

189 species identifications were observed (**Table 2**). Phaeohyphomycoses (n=21, 46%) (e-Table

190 1) were the most frequently diagnosed DCFI including 16 cases of alternariosis (35%)

191 (Figure 1B, 1C, 1D). Hyalohyphomycoses were diagnosed in 8 cases (17%) including 4

192 patients with aspergillosis. Six patients had cryptococcosis, 2 had histoplasmosis.

193 Scytalidioses were diagnosed in 4 cases (9%) (Figure 2E, 2F). Only 2 cases of *Madurella spp* 

194 mycetomas, one case of candidiasis and one case of *Pityrosporum spp* were observed. Further

analyses to investigate the proportion of DCFI categories according to the type of organ

196 transplanted, type of IS drug regimens (CNI versus MMF), and number of IS drugs (≤2 versus

197  $\geq$ 3) did not show significant differences between DCFI categories for these analyses (P=0.42,

198 P=0.86, P= 0.60, respectively).

199

### 200 Therapeutic management

201 Treatments included systemic antifungal drugs alone (n=22; 48%), surgery alone (n=7; 15%),

and surgery combined with systemic antifungal drugs (n=13; 28%). (e-Table 2). One patient

203 died within two days of diagnosis without specific treatment. Most patients (n= 35; 76%)

204 received systemic antifungal drugs as monotherapy: voriconazole (n=12; 26%), liposomal-205 amphotericin B (n=7; 15%), itraconazole (n=1), posaconazole (n=3; 6.5%), flucytosine, (n=4; 206 9%), fluconazole (n=4; 9%), terbinafine hydrochloride (n=1), caspofungin (n=1). Median 207 duration of treatment was 2.5 months (0.5 to 33 months). 208 Immunosuppressive drug regimens were modified for 28 patients (61%) (e-Table 2). In 9 209 patients, one (n=7) or two IS drugs (n=2) were withdrawn including 8 cases for MMF drug. In 210 19 patients, tapering of one (n=12) or two (n=7) IS drugs was performed. Three patients 211 underwent switch of their IS drugs (2 switches from MMF to azathioprine, 1 switch from

azathioprine to MMF).

213

### 214 **Outcome and evolution**

215 During a median follow-up period of 45 months, 29 patients had complete remission, 7 had

216 progression, 3 were resistant to treatment, and 2 died before evaluation (7 and 11 days after

217 DCFI diagnosis). In addition, 5 patients were lost to follow-up (e-Table 2).

218 Among patients with complete remission (n=29), 6 had relapses and required additional

219 systemic antifungal treatments to reach complete remission.

220 Among patients with progression (n=7), 4 had subsequent complete remission after systemic

antifungal treatment initiation, 2 died of DCFI-unrelated causes at 1.5 and 3 months after the

diagnosis, and the DCFI was still present for 1 patient at the end of the study.

Among patients resistant to treatment (n=3), 1 required surgery and additional systemic

- antifungal treatment to reach complete remission.
- 225 During follow-up, 14 patients (30%) died of DCFI-unrelated causes. Among 8 patients, two
- 226 had acute organ rejections (heart/ liver), two had pneumocystis pneumonia, one had bacterial
- septic shock, one had pulmonary embolism, one had HTLV-1 lymphoma progression, and

- another had advanced epidermoid carcinoma of the head. The remaining 6 patients died of
- 229 undetermined causes.
- 230
- 231

### 232 **DISCUSSION**

233 This multicenter observational study has highlighted a large diversity of DCFIs in solid-OTR 234 in France. Primary DCFI in s-OTRs occurred mostly in the first two years after 235 transplantation, which is in line with a previous series of 22 s-OTRs patients in Italy, the single and last series reported in Europe.<sup>13</sup> In this case-control multicentric cohort 236 237 retrospective study, risk factors reported for DCFI were the first 2 years period after 238 transplantation, being a renal transplant recipient, being transplanted after the age of 50 years. 239 In our observational study, without available s-OTRs controls, these risks were not 240 determined. However, DCFIs appeared also in a majority of renal transplant patients (above 241 70%), at a mean age of transplantation of 53.5 years. Phaeohyphomycoses in our series were 242 the most frequent DCFIs representing a prevalence of 46%. This high prevalence has been previously reported in a series of 30 solid-OTR patients in USA.<sup>14</sup> Among these 243 244 phaeohyphomycoses, a 76% rate of Alternaria spp was observed. This high proportion of this 245 pigmented fungus in DCFIs might be linked to its common detection in the soil environment 246 and its ability to penetrate skin by local trauma circumstance described in some immunocompromised patients.<sup>3,4</sup> Looking at proportions across the six categories of DCFI 247 248 identified, there was no statistical association with the type of organ transplanted, type of IS 249 drug regimens, number of IS drugs prescribed. The mean delay between onset of cutaneous 250 lesions and DCFI diagnosis was 4 months. This guite long delay may be explained by the 251 heterogeneous clinical presentations of DCFIs observed and the lack of expertise of general 252 practitioners for dermatological issues for S-OTRs patients. However, solitary or multiple 253 nodular lesions on limbs and particularly on lower limbs, on exposed body parts, associated 254 with granulomatous pathological pattern are very suggestive of DCFIs, especially if the fungal specific staining is positive in skin sample after biopsy.<sup>15</sup> Alternatively, DCFIs should be 255 256 suspected in cases of resistance of cutaneous lesions to initial antibiotics prescription, and

257 thus require multiple investigations for microbiological analyses including fungal culture 258 from skin biopsy. However, some cases might exhibit these suggestive elements for DCFIs 259 but lack despite investigations for a definite fungal identification. In these difficult cases, any 260 consensus is reported but one might repeat fungal investigations and be prone to initiate 261 treatment for DCFI after elimination of other non-infectious diagnosis. 262 One of the main goals of treatment of DCFIs in solid-OTR is pretending to prevent a life-263 threatening fungal dissemination. In our study, no disseminated fungal infection after primary 264 DCFI diagnosis nor direct DCFI-related death occurred. If this mortality has been reported for up to 4-10 % of patients<sup>5</sup> for localized DCFIs, we believe that new high potency systemic 265 266 antifungal treatments have modified this outcome. Indeed, systemic antifungal treatment 267 alone or combined with surgery was used in 76% of patients and led to complete response in 268 57% of cases. Among 89% of patients with follow-up, 63% had complete response with 269 treatments with no significant differences according to DCFI categories. The reasons for 270 failure of initial treatment are multiple and difficult to assess regarding for example 271 insufficient tapering of IS drug regimen, inappropriate dose of specific systemic anti-fungal 272 therapy, bad compliance of the patient. Moreover, 30% of patients in our cohort had died in 273 the first 3 years after DCFI diagnosis. This may be explained in part by the high level of 274 immunosuppression that the occurrence of DCFIs reveal and by other associated infections, 275 organ transplant rejections and other vascular or cancer causes in these patients. Considering 276 immunological treatment, modulation of immunosuppression was associated to specific 277 antifungal treatment in 61% of patients. It was mostly based on IS drugs tapering or 278 withdrawing of mycophenolate mofetil in addition with the necessity to adapt systemic 279 antifungal interaction especially voriconazole and posaconazole with CNI as previously reported in phaeohyphomycoses. <sup>16</sup> This IS modulation when feasible is considered very 280 281 important for the DCFI outcome by analogy to management of systemic invasive fungal

282 infection. <sup>17</sup> To both validate IS modulation and optimize individualized antifungal

treatments, a specific management in good collaboration with organ transplant specialists and

- the multidisciplinary network was required.
- 285

### 286 CONCLUSION

- 287 This observational study has highlighted the large diversity of DCFIs observed in organ
- transplant recipients with the highest prevalence of phaeohyphomycoses. Nodular lesions on
- lower limbs and granulomatous findings on histopathology were helpful diagnostic clues. An
- appropriate therapeutic management by a multidisciplinary team of dermatologists, transplant
- 291 specialists, pathologists and mycologists, based on specific mostly systemic antifungal
- treatment, surgery and IS modulation, is required to obtain complete DCFI remission.

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295 patients and donors.

# 297 Abbreviation and acronym list:

- 298 DCFI: deep cutaneous fungal infection; s-OTRs: solid organ transplant recipient; HES:
- 299 Hematoxylin Eosin Saffron; PAS: periodic acid–Schiff; IS: immunosuppressive drugs; CNI:
- 300 calcineurin inhibitor; MMF: mycophenolate mofetil; BCC: basal cell carcinoma; SCC:
- 301 squamous cell carcinoma

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# **Table 1: Characteristics of solid-OTR with DCFIs**

Characteristics of solid-OTR patients (N=46)	N (%)
Age at diagnosis median (range) years	56.5 (16 - 72)
Sex: male / female	33 (72) / 13 (28)
Geographic origins	-
Europe	22 (47.8)
Sub-Saharan Africa	8 (17.4)
North Africa	6 (13)
Overseas French territories	4 (8.7)
Asia	1 (2.2)
NA	5 (11)
Transplanted organs	-
Renal	31 (67.54)
Heart	5 (11)
Liver	3 (6.5)
Renal-liver	3 (6.5)
Lung	2 (4.3)
Heart-lung	2 (4.3)
History of local trauma	7 (15.2)
Number of cutaneous lesions	-
1	24 (52)
2	1 (2)
$\geq$ 3	21 (45.6)
Extent of the infection at diagnosis	-
Primary cutaneous	41 (89.1)
Systemic	5 (10.9)
Localization of cutaneous lesions	-
Lower limbs	24 (52.1)
Upper limbs	14 (30.4)
Diffuse	7 (15.2)
Trunk	1 (2.2)
Types of lesions	-
Nodule and/or papule	26 (56.5)
Abscesses	7 (15.2)
Cellulitis/ panniculitis / inflammatory plaques	6 (13)
Ulcerated and/or necrotic lesions	4 (8.7)
Blisters	1 (2.1)
Proliferative lesion	1 (2.1)
NA	1 (2.1)

354 NA for not available; DCFIs for deep cutaneous fungal infections; Solid-OTR for organ transplant recipients

# 357 Table 2: Fungal species identifications from DCFIs

Fungal species	N (%)
Phaeohyphomycoses	
Alternarioses (Alternaria sp, A. infectoria, A. alternata)	(45.7)
Exophiala spp (Exophiala janselmei, E. lecanii-corni)	16
Medicopsis romeroi	(34.8)
Pleurostoma richardsiae	2 (4.3)
Pheoacremonium parasiticum	1 (2.2)
	1 (2.2)
	1 (2.2)
Hyalohyphomycoses	8 (17.5)
Aspergilloses (Aspergillus fumigatus, Aspergillus flavus, Aspergillus sp)	4 (8.7)
Scedosporium apiospermum	2 (4.3)
Phialemonium dimorphosporum	1 (2.2)
Paecilomyces sp.	1 (2.2)
Cryptococcoses /histoplasmoses	8 (17.5)
Cryptococcoses	6 (13)
Histoplasmoses	2 (4.3)
Scytalidioses	4 (8.7)
Mycetoma	2 (4.3)
Miscellaneous DCFIs not clustered	

DCFIs for deep cutaneous fungal infections

- 360 Figures of legends
- **Figure 1: Representative cases of DCFIs in solid-OTR**

363 Figure 2: Pathological and mycological analyses of alternariosis and scytalidiosis cases

# Figure 1. Representative cases of DCFIs in solid-OTR



A, B and C: cutaneous lesions due to Alternaria sp; D: Scytalidium sp; E: Scytalidium
 *dimidiatum*; F: Madurella pseudomycetomatis; G and H: Histoplasma capsulatum



396 Figure 2. Pathological and mycological analyses of alternariosis and scytalidiosis cases

## 407 Alternariosis pathological and mycological analyses (A, B, C, D):

- 408 A: multinucleated giant cells granuloma (HES) with a close-up square (X 200 magnification)
- 409 **B:** Hyphae and yeast in the dermis (specific histochemical stain with Periodic acid-Schiff)
- 410 C: Hyphae and yeast (indicated by red arrows) in the dermis (specific histochemical stains
- 411 with Grocott methenamine silver)
- 412 **D:** Microscopic visualization of dictyospores of *Alternaria alternata* upon culture (X 400
- 413 magnification).
- 414
- 415 Neoscytalidium pathological analysis with HES (E, F):
- 416 E: Fungal filaments (yellow arrow)
- 417 **F:** Pathological features showed multinucleated giant cells granuloma
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- 419
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Skin pathology with dermal multinucleated giant cell granuloma (close-up) Specific fungal stain (Peri

Specific fungal stain (Periodic acid-schiff) for hyphae and yeast (red arrows)

DCFI confirmed: multidisciplinary therapeutic management

Fungal culture identification for *Alternaria alternata*  Modulation of immunosuppressive drug regimen

Systemic antifungal treatment

Surgery alone or combined