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1	Degradation and deactivation of a plasmid-encoded extracellular
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3	to UV254 and radicals
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18 Abstract

This study investigated the degradation and deactivation of an extracellular ampicillin resistance 19 gene (amp^R) encoded in plasmid pUC19 during exposure to UV₂₅₄, •OH (generated by 20 UV_{290}/H_2O_2), and combined exposure to UV_{254} and $\cdot OH$ (SO₄ \cdot) using UV_{254}/H_2O_2 and 21 $UV_{254}/S_2O_8^{2-}$. The degradation rates of amp^R measured by quantitative polymerase chain 22 reaction increased with increasing target amplicon length (192-851 bps). The rate constants for 23 the degradation of pUC19 (2686 bps) were calculated as $0.26 \text{ cm}^2/\text{mJ}$ for UV₂₅₄ and $1.5 \times 10^{11} \text{ M}^-$ 24 ¹s⁻¹ for •OH, based on the degradation rates of amp^{R} amplicons and assuming an equal 25 sensitivity of DNA damage across the entire plasmid. DNA repair-proficient Escherichia coli (E. 26 27 coli) AB1157 strain (wild-type) and its repair-deficient mutants including AB1886 (uvrA⁻), AB2463 (recA⁻), AB2480 (uvrA⁻, recA⁻), and DH5a (recA⁻, endA⁻) were applied as recipient 28 cells in gene transformation assays. Results suggested that the elimination efficiency of 29 transforming activity during UV_{254} and •OH exposure was dependent on the type of DNA repair 30 genes in recipient E. coli strains. Losses of transforming activity were slower than the 31 degradation of pUC19 by a factor of up to ~5 (for *E. coli* DH5 α), highlighting the importance of 32 DNA repair in recipient cell. The degradation rates of amp^{R} amplicons were much larger (by a 33 factor of ~4) in UV_{254}/H_2O_2 and $UV_{254}/S_2O_8^{2-}$ than UV_{254} direct photolysis, indicating the 34 significant contribution of •OH and SO4^{•-} to the gene degradation. Not only UV₂₅₄ and SO4^{•-}, 35 but also •OH contributed to the degradation of amp^{R} during UV₂₅₄/S₂O₈²⁻, which was attributed 36 to the conversion of $SO_4^{\bullet-}$ to $\bullet OH$ and a 10-fold larger reactivity of $\bullet OH$ towards amp^R as 37 38 compared to SO₄^{•-}. However, the enhanced gene degradation by radicals did not lead to a faster elimination of gene transforming activity during UV₂₅₄/H₂O₂ and UV₂₅₄/S₂O₈²⁻, suggesting that 39 UV₂₅₄- and radical-induced DNA damage were not additive in their contributions to losses of 40 gene transforming activity. Wastewater effluent organic matter (EfOM) accelerated the 41 degradation of amp^R during UV₂₅₄ irradiation by means of reactive species production through 42

43 indirect photolysis reactions, whereas EfOM mainly acted as a radical scavenger during
44 UV₂₅₄/H₂O₂ and UV₂₅₄/S₂O₈²⁻ treatments.

45 Keywords

- 46 Antibiotic resistant bacteria, antibiotic resistance genes, gene transformation, UV, hydroxyl
- 47 radical, sulfate radical

48 Abbreviations

49	amp^R	Ampicillin Resistance Gene
50	AOPs	Advanced Oxidation Processes
51	Amp	Amplicon
52	ARB	Antibiotic Resistant Bacteria
53	ARG(s)	Antibiotic Resistance Gene(s)
54	bp(s)	Nucleotide Base Pair(s)
55	DOM	Dissolved Organic Matter
56	e-ARG	Extracellular Antibiotic Resistance Genes
57	E. coli	Escherichia coli
58	EfOM	Effluent Organic Matter
59	pCBA	para-Chlorobenzoic Acid
60	qPCR	Quantitative Polymerase Chain Reaction

61 **1. Introduction**

Antibiotic resistance is a natural phenomenon. However, anthropogenic activities (e.g., 62 overuse and disposal of antibiotics) are providing constant selection pressure on antibiotic 63 resistant bacteria (ARB) (Vikesland, Pruden et al. 2017). Antibiotic resistance can be developed 64 and disseminated within the bacterial population by genetic mutation, cell division, and the 65 transfer of antibiotic resistance genes (ARGs) (Davies and Davies 2010). ARGs are 66 contaminants of concern in natural and engineered aquatic systems, as ARG transfer can be 67 linked with the spread of antibiotic resistance to human pathogens (Dodd 2012, Pruden 2014). 68 Mobile genetic elements carrying ARGs (e.g., plasmids, integrons, and transposons) can be 69 disseminated to and expressed by recipient cells through horizontal gene transfer (HGT), 70 including conjugation (mediated by cell to cell contact), transduction (bacteriophage mediated), 71 72 and transformation (mediated by extracellular DNA) (Lorenz and Wackernagel 1994) Of these, transformation can be understood to represent the most basic mode of HGT, as it does not 73 require the presence of live donor cells or bacteriophages (Lorenz and Wackernagel 1994). 74 75 Consequently, quantification of the frequency with which an ARG in extracellular DNA can be transported into and expressed by a recipient cell through transformation (hereafter referred to as 76 transforming activity) can provide a conservative measure of the ability of an ARG to undergo 77 78 HGT. Transforming activity encompasses (a) efficiency of extracellular DNA transport into a recipient cell, (b) any repair of that DNA by the recipient cell in the event the DNA is damaged, 79 and (c) heritable incorporation and expression of the DNA's genetic information by recipient 80 cells, and is therefore a function of both the recipient cell's ability to import, repair, and express 81 a given ARG and the quality and quantity of the extracellular DNA containing the ARG. 82 83 Extracellular DNA can be released into the environment though secretion by live cells and lysis of dead cells. While unstable to nucleases likely to be present in many aquatic matrixes, it can 84

persist in the environment through adsorption onto soil and sediments (Lorenz and Wackernagel
1994, Mao, Luo et al. 2014, Nagler, Insam et al. 2018).

Although conventional disinfection processes during water treatment (e.g., chlorine and UV) 87 efficiently inactivate ARB, intracellular ARGs are more difficult to degrade (McKinney and 88 Pruden 2012, Yoon, Chung et al. 2017, He, Zhou et al. 2019) and can be released into water 89 following the death of ARB cells (Zheng, Su et al. 2017). Sub-inhibitory concentrations of 90 disinfectants (e.g., chlorine and monochloramine) were even reported to promote the horizontal 91 transfer of ARGs by increasing the permeability of cell membrane and altering the expression of 92 conjugation-related genes (Guo, Yuan et al. 2015, Zhang, Gu et al. 2017). The proportion of 93 94 extracellular DNA carrying ARGs was found to increase after various biological and chemical processes in wastewater treatment, revealing the potential risk of antibiotic resistance 95 dissemination in discharged effluent and receiving environments (Liu, Qu et al. 2018, Zhang, Li 96 97 et al. 2018). Previous studies have reported the enrichment of ARGs in surface water due to the discharged effluents from upstream wastewater plants (Cacace, Fatta-Kassinos et al. 2019, Wu, 98 99 Su et al. 2019, Osińska, Korzeniewska et al. 2020). High diversity of ARGs in reclaimed 100 wastewater (e.g., agriculture irrigation, potable reuse) may pose risks of antibiotic resistance dissemination to environmental bacteria and even human pathogens (Christou, Agüera et al. 101 2017, Hong, Julian et al. 2018). 102

103 UV-based advanced oxidation processes (UV-AOPs) are increasingly applied for the removal 104 of refractory contaminants during water treatment. The elimination of contaminants in UV-AOP 105 treatment is achieved by dual pathways, namely direct UV photolysis (depending on the UV 106 absorbances and quantum yields of target compounds) and oxidation by powerful radical species 107 (Stefan 2018). The activation of hydrogen peroxide (UV/H₂O₂) and peroxydisulfate (UV/S₂O₈^{2–}) 108 by UV light produces hydroxyl radical (•OH) and sulfate radical (SO₄•[–]), respectively, which 109 can degrade a wide range of contaminants, as these two radicals react with many compounds at or near diffusion-controlled reaction rates (Buxton, Greenstock et al. 1988, Neta, Huie et al.111 1988).

UV/H₂O₂ and UV/S₂O₈²⁻ have also been reported to efficiently inactivate ARB cells 112 (Michael-Kordatou, Iacovou et al. 2015, Ferro, Guarino et al. 2016, Giannakis, Le et al. 2018). 113 However, detailed investigation into the reactivity of •OH with intracellular ARGs in these 114 studies was limited due to the significant consumption of •OH by constituents of the water 115 matrix and cell membranes before reaching the cell interiors (Ferro, Guarino et al. 2017, Yoon, 116 Chung et al. 2017, Zhang, Hu et al. 2019). Recent studies have reported that the reactivity of 117 •OH with extracellular chromosomal ARGs is highly dependent on the length of the monitored 118 119 ARG targets (He, Zhou et al. 2019). More studies are needed to assess whether the observed reactivities are generally applicable to other types of DNA such as plasmid-encoded ARGs. To 120 the best of the authors' knowledge, little is known on the fate of ARGs during SO₄^{•-}-based 121 122 treatment processes.

Quantitative polymerase chain reaction (qPCR) has been widely applied to quantify 123 124 structurally-intact ARGs or to measure their degradation rates in water and wastewater treatment 125 (McKinney and Pruden 2012, Luby, Ibekwe et al. 2016, Hiller, Hübner et al. 2019). It should be noted that qPCR methods usually record the degradation of a small fraction of a target ARG's 126 sequence length (with amplicon sizes typically <1000 bps), and not the entirety of a gene 127 (McKinney and Pruden 2012, Chang, Juhrend et al. 2017, Yoon, Dodd et al. 2018). In addition, 128 the efficiency of DNA replication (particularly of damaged DNA) in qPCR methods can be 129 different from that observed in bacterial cells subjected to transformation with the same DNA. 130 131 Furthermore, the uptake and repair of DNA by recipient cells can play important roles in modulating gene transforming activity (Chang, Juhrend et al. 2017, Yoon, Dodd et al. 2018). 132 While the correlation between the degradation of target ARG amplicons (measured by qPCR) 133

and the elimination of ARG transforming activity was reasonably well explained forchromosomal ARGs (He, Zhou et al. 2019), it is still less clear for plasmid-borne ARGs.

Exposure of DNA to UV and •OH is known to induce different types of DNA damage (von 136 137 Sonntag 2006). UV-C generates cyclobutane-pyrimidine dimers (CPDs) as the predominant DNA lesions, while •OH can induce DNA damage ranging from base oxidation to phosphate-138 sugar backbone breakages (Görner 1994, Sinha and Häder 2002, von Sonntag 2006). It is 139 currently not completely clear how the various type(s) of DNA damage resulting from UV, •OH, 140 and SO4.- are related to the deactivation of ARGs; for example, whether DNA lesions such as 141 CPDs (formed by direct UV irradiation) have the same effect on DNA transforming activity as 142 phosphate-sugar backbone breakage by •OH (generated by H_2O_2 or $S_2O_8^{2-}$ photolysis). 143 Furthermore, in UV-AOPs, DNA lesions can be generated by both direct UV irradiation and 144 radicals simultaneously. 145

146 The aim of this study was to investigate the fate of plasmid-encoded e-ARGs during the common UV at 254 nm water disinfection process (UV₂₅₄) and its related AOPs. Plasmid 147 (pUC19) encoding an ampicillin resistance gene (amp^R) was purified from *Escherichia coli* (E. 148 149 *coli*) host cells and exposed to UV₂₅₄, to UV₂₅₄ in combination with •OH or SO₄•- (by using UV_{254}/H_2O_2 and $UV_{254}/S_2O_8^{2-}$), or to •OH only (by combining UV at >290 nm with H_2O_2) (i.e., 150 $UV_{>290}/H_2O_2$). $UV_{>290}/H_2O_2$ treatment was chosen to study the effect of •OH only exposure 151 because irradiation of H₂O₂ by UV>₂₉₀ can generate •OH, while neither UV>₂₉₀ irradiation nor 152 H_2O_2 directly affect *amp^R* under these experimental conditions (detailed in Section 3.1). The 153 treated plasmid samples were analysed by qPCR, gel electrophoresis, and ARG transformation 154 assay. DNA repair-proficient E. coli AB1157 (wild-type), as well as repair-deficient E. coli 155 156 mutants including AB1886 (uvrA⁻), AB2463 (recA⁻), AB2480 (uvrA⁻, recA⁻), and DH5a 157 (recA⁻, endA⁻) were employed as recipient cells in the ARG transformation assay. Competition kinetics methods using radical probe compounds were applied to measure the second-order rate 158

159 constants of •OH and $SO_4^{\bullet-}$ with amp^R segments. Experiments were also conducted in the 160 presence of wastewater effluent organic matter (EfOM) to study the effect of the dissolved 161 organic matter (DOM) on the removal of e-ARGs.

162 **2. Materials and Methods**

163 **2.1 Chemical Reagents**

All chemicals were in analytical grade or higher and used as received without further 164 purification. Ampicillin sodium salt (#A0166), agar powder (#A1296), sodium chloride 165 (≥99.5%), para-chlorobenzoic acid (99%), and nitrobenzene (≥99%) were purchased from 166 Sigma-Aldrich. Tryptone (#1612) and yeast extract (#1702) were supplied from Laboratorios 167 CONDA. Hydrogen peroxide 30% (Thermo Fisher Scientific) and sodium peroxydisulfate 168 (\geq 98%, Sigma-Aldrich) were used to prepare the stock solutions of H₂O₂ and S₂O₈²⁻, 169 respectively. All solutions were prepared in Milli-Q water (18 MQ \cdot cm, Millipore). EfOM was 170 previously extracted from the discharged effluent of a wastewater treatment plant in Jeddah, 171 Saudi-Arabia, with XAD resins (Zheng, Khan et al. 2014). Solutions of EfOM were prepared by 172 dissolving the hydrophobic and transphilic fractions (2:1 by mass) of EfOM in phosphate buffer. 173

174 **2.2 Plasmid Preparation**

Plasmid pUC19 was extracted from E. coli DH5a. pUC19 (2686 bp) is a commercially 175 available E. coli vector carrying an ampicillin resistance gene (amp^R , 861 bp). One hundred μL 176 of E. coli DH5a mid-exponential growth phase culture in LB broth medium with 50 mg/L of 177 ampicillin was transferred into 150 mL of LB broth medium containing 50 mg/L of ampicillin 178 and incubated overnight (200 rpm, 37°C). Plasmids were extracted from this overnight stock 179 180 solution using an AccuPrep Plasmid Mini Extraction kit (Bioneer 2016). The concentration of recovered plasmid DNA was measured on a NanoDrop ND-2000 Spectrophotometer 181 (NanoDrop Products, Wilmington, USA). 182

183 2.3 UV Experiments

UV₂₅₄-based experiments were carried out with a UV quasi-collimated beam device equipped 184 with a low-pressure mercury lamp emitting UV light primarily at 254 nm (Sankyo Denki Ltd., 185 Tokyo, Japan). The UV light was collimated onto the experimental solution, which was 186 contained in a petri dish placed on a magnetic stirrer. The average UV intensity (0.3 mW/cm²) 187 was determined with atrazine chemical actinometry (Lee, Gerrity et al. 2016). Experimental 188 solutions were prepared in autoclaved 2 mM phosphate buffer at pH 7. The initial concentration 189 of plasmid DNA used in experiments was 0.3 or 1 µg/mL. The applied UV fluences ranged from 190 $0-180 \text{ mJ/cm}^2$. The initial concentrations of H₂O₂ and S₂O₈²⁻ were 0.5 mM for UV₂₅₄/H₂O₂ and 191 $UV_{254}/S_2O_8^{2-}$, respectively. UV_{290}/H_2O_2 experiments were conducted using a Model 66924 arc 192 lamp source fitted with a 450-W O₃-free Xe arc lamp and focusing collimator, and equipped 193 with an atmospheric attenuation filter and dichroic mirror to restrict lamp output to near-UV 194 195 wavelengths (290 nm < λ < 400 nm) (Newport-Oriel Model 66924; Stratford, CT). Relatively high H₂O₂ concentrations (i.e., 10 mM) were applied for UV>290/H₂O₂, in order to increase the 196 197 yield of •OH due to the low absorbance of UV light by H₂O₂ in this wavelength region. Samples were withdrawn from the irradiated solutions in each experimental setup at predetermined time 198 intervals for DNA and probe compound analyses. Residual H₂O₂ and S₂O₈²⁻ were quenched 199 200 with excess bovine catalase and sodium thiosulfate, respectively. Samples for DNA analysis (500 µL) were stored at -20°C and analysed within 24 h. Control experiments indicated that 201 H_2O_2 and $S_2O_8^{2-}$ did not affect qPCR analyses within these experimental conditions (Figure S1). 202

203 **2.4 Radical probe compounds**

204 *para*-chlorobenzoic acid (*p*CBA) was used as •OH probe during UV₂₅₄/H₂O₂ and 205 UV_{>290}/H₂O₂ experiments. Both *p*CBA and nitrobenzene were applied during UV₂₅₄/S₂O₈²⁻. 206 Nitrobenzene can be used as a selective •OH probe compound ($k_{\bullet OH}$ =3.9×10⁹ M⁻¹ s⁻¹) (Buxton,

Greenstock et al. 1988) due to its low reactivity to $SO_4^{\bullet-}$ ($k_{SO_4^{\bullet-}} < 10^6 \text{ M}^{-1} \text{ s}^{-1}$) (Neta, Madhavan et 207 al. 1977), whereas pCBA reacts with both radicals (i.e., $k_{SO_4^{-}}=3.6\times10^8$ M⁻¹ s⁻¹ and $k_{\bullet OH}=5\times10^9$ 208 M⁻¹ s⁻¹) (Neta, Madhavan et al. 1977, Buxton, Greenstock et al. 1988). pCBA and nitrobenzene 209 210 were analysed on a high-performance liquid chromatograph (HPLC, Dionex Ultimate 3000, Thermo Scientific) equipped with an XDB-C18 column (5 μ m, 4.6 \times 150 mm, Agilent). The 211 mobile phase comprised acetonitrile and 10 mM phosphoric acid (40:60, v/v). The two probe 212 compounds were analysed at their maximum absorbance wavelengths (i.e., 240 and 270 nm for 213 *p*CBA and nitrobenzene, respectively). 214

215 **2.5 qPCR Analysis**

216 Gene degradation was measured by qPCR using a CFX96 real-time PCR detection system (Bio-Rad, Hercules, CA, USA). Four different amplicons (i.e., 192, 400, 603, and 851 bp) were 217 monitored, which covered varying fractions of amp^{R} as shown in Figure S2. The longest size of 218 amp^{R} segment targeted in qPCR was 851 bp instead of the whole amp^{R} gene (861 bp), due to the 219 low amplification efficiency of the 861 bp amplicon in the qPCR method. The reaction mixture 220 221 (20 µL) consisted of 1 µL of each primer, 1 µL of sample, 10 µL of EvaGreen® supermix, and 7 µL of autoclaved DNase free water. The qPCR protocol included one cycle at 95°C for 2 min, 222 30 cycles at 95°C for 5 s, an annealing step at 55°C for 60 s, and an elongation at 72°C for 20 s, 223 followed by a melt curve analysis from 65°C to 95°C. Each sample was analysed in triplicate. 224 More information on qPCR analysis, the DNA sequence of amp^{R} , and the monitored qPCR 225 target amplicons is described elsewhere (Yoon, Dodd et al. 2018). 226

227 2.6 Gel Electrophoresis Analysis

pUC19 plasmid (1 μg/mL) treated by UV₂₅₄ and •OH (UV_{>290}/H₂O₂) was analysed by gel
electrophoresis to investigate the structural change of plasmid DNA. Linearized pUC19 was also
prepared for a reference by incubating the plasmid with type II restriction enzyme *EcoRI* (NEB,

USA) at 37 °C for 1 h, followed by enzyme inactivation at 65°C for 20 min. Plasmid samples from UV_{254} , •OH ($UV_{>290}/H_2O_2$), and enzyme treatments, as well as a 1 kb DNA ladder (Enzynomics, Korea) were loaded on 0.8% agarose gels at 4 V cm⁻¹ for 35 min. The bands were visualized by ethidium bromide staining. Gel images were captured on a UV transilluminator (Universal mutation detection system, UVP, LLC, USA).

236 **2.7 Gene Transformation Assays**

Non-ampicillin resistant E.coli K12 bacteria strains DH5a (recA⁻, endA⁻), AB1157 (wild-237 238 type), AB1886 (uvrA⁻), AB2463 (recA⁻), and AB2480 (uvrA⁻, recA⁻) were used as recipient cells for gene transformation assays. DH5a strain was commercially available from ATCC; 239 240 others were provided by CGSC (Coli Genetic Stock Center at Yale University). Details on transformation assays were described by Yoon, Dodd et al. (2018). In brief, competent cells 241 were prepared by chemical treatment of non-resistant E. coli K12 strains using calcium chloride 242 and glycerol (Shanehbandi, Saei et al. 2013) and preserved at -80°C until use. Fifty µL of 243 treated plasmid sample was mixed with 100 µL of thawed competent cells. After incubating in 244 ice for 30 min, the mixture was quickly transferred onto a digital test tube heater (45°C) for 45 s 245 246 and then placed back in ice for 2 min. After the heat shock, the samples were mixed with 900 µL of LB broth and cultured for 45 min (200 rpm, 37°C). Finally, the incubated samples were 247 serially diluted with LB broth and plated onto two types of LB agar plates (i.e., with and without 248 inclusion of 50 mg/L of ampicillin). After 24 h of incubation at 37°C, the number of ARB 249 colonies (transformants) detected on selective agar plates (with ampicillin) were compared with 250 the total recipient cells growing on nonselective agar plates (without ampicillin). The gene 251 252 transformation efficiency was calculated as follows:

253 Transformation efficiency =
$$\frac{Transformant \ cells_{selective \ plate} \ (CFU/mL)}{Total \ recipient \ cells_{nonselective \ plate} \ (CFU/mL)}$$

The typical concentrations of total recipient cells, as measured by culturing on nonselective agar 254 plates (without ampicillin), were approximately 3×10^8 and 2×10^8 CFU/mL for *E. coli* DH5 α and 255 E. coli AB strains, respectively. Control experiments conducted by directly plating the recipient 256 cells (without heat shock) on nonselective plates showed <10% variation in the final colony 257 counts of recipient cells as compared to the conditions incorporating heat shock (Figure S3), and 258 <50% variation in colony counts between strains. These results indicated that the type of 259 260 bacterial strain used, or stresses to which the strains were subjected during transformation assays (e.g., heat shock), did not significantly impact the fitness or growth rates of recipient cells on 261 262 nonselective plates. Calibration curves prepared with known concentrations of plasmid DNA indicated that the number of transformants linearly increased with increasing plasmid DNA 263 concentration from 10^{-5} – 10^{-1} µg/mL (Figure S4). The transformation efficiency of amp^{R} 264 calculated for *E. coli* K12 strains was in the range of $10^{-4} - 10^{-8}$, which was comparable with 265 previous studies (Chang, Juhrend et al. 2017, Yoon, Dodd et al. 2018). 266

267 **2.8 Statistical analysis**

Statistical analysis was conducted using SigmaPlot 12.0 and GraphPad Prism 7.0. The fluence-based rate constants for gene degradation and transformation efficiency loss under various experimental conditions were compared by multiple linear regression analysis. The null hypothesis was that the first-order rate constants were identical, with p=0.05 as the threshold significance level.

273 **3. Results and Discussions**

274 3.1 e-ARG degradation during separate exposure to UV254 and •OH



Figure 1. Degradation of amp^R segments during the treatment of pUC19 (1 µg/mL) with (a) UV₂₅₄ and (b) •OH (UV_{>290}/H₂O₂) at pH 7 (2 mM phosphate buffer). 10 mM of H₂O₂ and 1 µM of *p*CBA were added in UV_{>290}/H₂O₂ experiments. Error bars represent the standard deviations of triplicate experiments. Lines are the linear regressions of the experimental data.

 UV_{254} exposure (Figure 1a). The solution containing pUC19 was irradiated with UV₂₅₄ light 280 $(0-130 \text{ mJ/cm}^2)$. The degradation of amp^R segments (192, 400, 603, and 851 bp) measured by 281 qPCR followed first-order kinetics with respect to UV fluence ($r^2 > 0.99$) (Figure 1a). The 192 bp 282 283 amp^{R} segment was degraded by about 1-log at 110 mJ/cm², whereas 4-log reduction was already achieved for the 851 bp segment, which was close to the overall size of amp^{R} (861 bp). The 284 resulting fluence-based rate constants derived from the slopes of linear curves, $k_{UV,Amp} = 2.303$ 285 × slope, are summarized in Table 1. The $k_{UV,Amp}$ of amp^R segments increased with amplicon 286 size, which was consistent with the increasing number of potential reaction sites with increasing 287 number of nucleotide bps. The $k_{UV,Amp}$ values obtained from this study were comparable to 288 previously-reported values for the same amp^R segments (Yoon, Dodd et al. 2018). The 289 $k_{UV,plasmid}$ of pUC19 plasmid could be estimated by extrapolating the $k_{UV,Amp}$ of each 290

segment to the entire plasmid (e.g., $k_{UV,plasmid} = k_{UV,192bp} \times \frac{2686 \, bp}{192 \, bp}$), based on an assumption that the UV reactivity per base pair is the same across the entire plasmid. The $k_{UV,plasmid}$ values estimated from the 192, 400, 603, and 851 bp amplicons were $2.9(\pm 0.06) \times 10^{-1}$, $2.3(\pm 0.04) \times 10^{-1}$, $2.6(\pm 0.05) \times 10^{-1}$, and $2.7(\pm 0.06) \times 10^{-1}$ cm²/mJ, respectively, yielding an average $k_{UV,plasmid}$ value of $2.6(\pm 0.05) \times 10^{-1}$ cm²/mJ (Table 1).

A theoretical approach was recently employed to estimate the photoreactivity of DNA (i.e., formation rate of CPDs and 6,4-photoproducts) based on the molar absorption coefficients, quantum yields, and the number of 5'-bipyrimidine-3' doublets of a given DNA (Yoon, Dodd et al. 2018, He, Zhou et al. 2019). By applying this approach, the overall DNA lesion formation rate on pUC19 upon UV₂₅₄ exposure, $k_{all \ lesions}$, was calculated as $2.1 \times 10^{-1} \text{ cm}^2/\text{mJ}$ (Text S1), which was close to the average $k_{UV,plasmid}$ (2.6×10⁻¹ cm²/mJ) predicted from qPCR results as mentioned above.

Table 1. Apparent rate constants for the degradation of amp^R segments and pUC19 plasmid, and the elimination of gene transforming activity during UV₂₅₄ and •OH only treatments ^a

amp^R segments		pUC19 plasmid ^b		Loss of gene transformation	
#base	k_{Amp}	k _{plasmid}	average	Recipient	$k_{transformation}$
pairs	•	•		cells	,
(bps)					
		UV254	(cm^2/mJ)		
192	2.0(±0.05)×10 ⁻²	2.9(±0.06)×10 ⁻¹		DH5a	6.5(±0.21)×10 ⁻²
400	$3.4(\pm 0.07) \times 10^{-2}$	2.3(±0.04)×10 ⁻¹	$26(10.05)\times 10^{-1}$	AB1157	1.0(±0.03)×10 ⁻¹
603	5.8(±0.11)×10 ⁻²	2.6(±0.05)×10 ⁻¹	$2.0(\pm 0.03) \times 10$	AB1886	$2.4(\pm 0.06) \times 10^{-1}$
851	8.9(±0.16)×10 ⁻²	2.7(±0.06)×10 ⁻¹	-	AB2463	1.6(±0.06)×10 ⁻¹
		•OH (UV>290	$_{0}/H_{2}O_{2}) (M^{-1} s^{-1})$		
192	$8.1(\pm 0.69) \times 10^9$	$1.2(\pm 0.10) \times 10^{11}$		DH5a	$2.7(\pm 0.05) \times 10^{10}$
400	2.2(±0.07)×10 ¹⁰	$1.5(\pm 0.05) \times 10^{11}$	$1.5(+0.07)\times 10^{11}$	AB1157	$4.1(\pm 0.14) \times 10^{10}$
603	$3.5(\pm 0.10) \times 10^{10}$	$1.6(\pm 0.04) \times 10^{11}$	$1.3(\pm 0.07)\times 10$	AB1886	$6.5(\pm 0.18) \times 10^{10}$
851	5.6(±0.27)×10 ¹⁰	$1.8(\pm 0.08) \times 10^{11}$	_	AB2463	8.2(±0.23)×10 ¹⁰
^a Experi	imental conditions:	pUC19: 1 µg/mL	, 2 mM phosphate	buffer at pH	7, fluence range
for UV	₂₅₄ : 0–130 mJ/cm ² ,	10 mM of H ₂ O ₂ a	and 1 µM of <i>p</i> CBA	were added	l in UV> $_{290}/H_2O_2$.
The app	parent rate constant	values were report	ed in the form of M	Iean ± Stand	ard Error.
^b The $k_{plasmid}$ values of pUC19 plasmid were estimated based on the k_{Amp} of amp^{R}				e k_{Amp} of amp^R	

segments obtained from qPCR analyses (see Section 3.1 for details).

305

•OH exposure (Figure 1b). Control experiments have confirmed that amp^{R} segments were 306 stable under UV>290 irradiation alone (Figure S5), which was attributed to the low UV 307 absorbance of plasmid DNA above 290 nm. Moreover, 10 mM (340 mg/L) of H₂O₂ had 308 309 negligible effect on amp^R segment (Figure S1). Thus, UV>290/H₂O₂ was applied to investigate the influence of •OH on the degradation of e-ARG. The •OH exposure, $\int [•OH] dt$, in the 310 $UV_{>290}/H_2O_2$ system was obtained by following the degradation of pCBA, which was 311 additionally added in the experimental solution. No UV>290 direct photolysis of pCBA was 312 observed in the absence of H₂O₂ (Figure S6). Thus, the •OH exposure was calculated from 313 $\int [OH] dt = -\frac{ln \frac{|pCBA|}{|pCBA|_0}}{k \cdot OH \, pCBA}$ (Elovitz and von Gunten 1999), where the $k \cdot OH, pCBA$ is the second-314 order rate constant of •OH with pCBA (5×10^9 M⁻¹ s⁻¹) (Buxton, Greenstock et al. 1988). The 315 logarithmic-scale degradation of amp^{R} segments exhibited linear correlations with •OH exposure 316 during UV>290/H2O2 (Figure 1b). The resulting bimolecular rate constants derived from the 317 slopes of linear curves, $k \cdot_{OH,Amp} = 2.303 \times \text{slope}$, are summarized in Table 1. 318

The $k \cdot_{OH,Amp}$ of amp^{R} segments increased with amplicon size, ranging from $8.1 \times 10^{9} \text{ M}^{-1} \text{ s}^{-1}$ 319 for the 192 bp amplicon to $5.6 \times 10^{10} \text{ M}^{-1} \text{ s}^{-1}$ for the 851 bp amplicon (Table 1). A good linear 320 relationship (r²=0.996) was observed between the $k \cdot_{OH,Amp}$ and the base pair number of amp^{R} 321 segments (Figure S7), which was consistent with previous findings that •OH non-selectively 322 323 reacts with all nucleotides in a DNA sequence (both AT and GC contents) (He, Zhou et al. 2019). The $k_{\cdot OH, plasmid}$ of the entire plasmid was predicted to be 1.8×10^{11} M⁻¹ s⁻¹ by applying 324 the base pair number of pUC19 (2686 bps) into the regression equation of this linear curve 325 (Figure S7). Alternatively, similar to the $k_{UV,plasmid}$ as mentioned above, the $k \cdot _{OH,plasmid}$ of 326

pUC19 was also estimated by normalizing the $k \cdot_{OH,Amp}$ of each segment (qPCR analyses) to the entire plasmid and calculating the average value as $1.5(\pm 0.07) \times 10^{11} \text{ M}^{-1} \text{ s}^{-1}$ (Table 1).

The $k \cdot_{OH,Amp}$ values for the plasmid-encoded amp^R segments in this study were lower by a 329 factor of ~3 than those reported for the chromosome-encoded blt genes with similar amplicon 330 length (He, Zhou et al. 2019). To see whether the reactivity difference is due to the 331 conformational difference between the supercoiled-form plasmid and linear-form genomic DNA, 332 333 the theoretical diffusion-controlled rate constant for the reaction of •OH with supercoiled pUC19 was calculated based on a method proposed by He, Zhou et al. (2019). The theoretical 334 maximum k_{0H} value was predicted as 3.84×10^{11} M⁻¹ s⁻¹ for pUC19, which yields a bp-specific 335 k_{OH} value of $1.43 \times 10^8 \,\mathrm{M_{AT+GC}^{-1}}$ s⁻¹ when normalized to the length (in AT+GC bps) of pUC19 336 (2686 bps) (Text S2). For comparison, the theoretical maximum k_{0H} value was $7.97 \times 10^{12} \,\mathrm{M}^{-1}$ 337 s⁻¹ for a hypothetical 50-kbp linear-form segment of genomic DNA, yielding a bp-specific $k_{\bullet OH}$ 338 value of $1.59 \times 10^8 M_{AT+GC}$ ⁻¹ s⁻¹ (He, Zhou et al. 2019). This indicates that the conformational 339 difference between the plasmid (supercoiled) and genomic (linear) DNA (the bp-specific k_{0H} 340 differed only by a factor of 1.1) does not explain the observed •OH reactivity difference. The 341 maximum k_{OH} values for the amp^{R} amplicons can also be calculated by multiplying the bp-342 specific $k \cdot_{OH}$ (1.43×10⁸ M_{AT+GC}⁻¹ s⁻¹) with the length of each amplicon, and ranged from 343 2.57×10^{10} M⁻¹ s⁻¹ for 192 bp to 1.14×10^{11} M⁻¹ s⁻¹ for 851 bp (Text S2). Thus, the experimental 344 $k_{OH,Amp}$ for pUC19 were lower by a factor of 2.5 than the theoretical maximum 345 $k \cdot_{OH,Amp}$ values. This difference is acceptable considering all possible experimental errors as 346 347 well as the hypothesis applied in the theoretical calculation.

Structural degradation of plasmid (Figure 2). Figure 2a shows the electrophoresis gel of pUC19 exposed to UV_{254} . The intact pUC19 before the UV_{254} exposure showed a band located lower than the linearized pUC19 by *EcoRI*. Plasmid DNA generally exists in a supercoiled form, which is smaller than its linear form and migrates faster in electrophoresis gel. The UV₂₅₄ irradiation (0–130 mJ/cm²) did not change the position of the band, suggesting that the pUC19 kept its supercoiled form without significant conformational change (e.g., strand breakage). It is well docume ted that the ge e damage by UV₂₅₄ is mai y caused by the formatio of DNA lesio s such as CPDs a d 6,4-photoproducts (pyrimidi e adducts) (Si ha a d Häder 2002, Cadet a d Douki 2018), which are ge erally of detectable by gel electrophoresis a alysis (Yoo , Dodd et al. 2018).

The reaction pathways of •OH with DNA include addition to nucleobases - producing adduct 358 radicals - and hydrogen-abstraction from 2-deoxyribose (sugar moiety) (von Sonntag 2006, 359 360 Dizdaroglu and Jaruga 2012), resulting in to only oxidized bases and abasic sites, but also strad breaks (Cadet, Delatour et al. 1999, vod Sod tag 2006). The double-strad breaks are 361 expected to be maily respoisible for the conformational change from supercoiled to linear 362 363 plasmid form. Gel electrophoresis a lalysis showed that the pUC19 plasmid ba d gradually moved from the supercoiled to li \Box ar form positio \Box with i \Box creasi \Box g •OH exposure (Figure 2b), 364 reveali \Box g the formatio \Box of double-stra \Box d breaks i \Box pUC19. 365



Figure 2. DNA electrophoresis gel of pUC19 treated by (a) UV_{254} and (b) •OH ($UV_{>290}/H_2O_2$). First column shows the standard DNA ladders. Last column shows the linearized pUC19 after treating with *EcoRI* restriction digestion.

370 3.2 e-ARG deactivation (loss of transforming activity) during separate exposure to UV254



372



Figure 3. Elimination of gene transforming activity during the treatment of pUC19 (1 μ g/mL) with (a) UV₂₅₄ and (b) •OH (UV_{>290}/H₂O₂) at pH 7 (2 mM phosphate buffer). 10 mM of H₂O₂ and 1 μ M of *p*CBA were added in UV_{>290}/H₂O₂ experiments. The parentheses in legend show the deficient DNA repair genes in recipient cells. Error bars represent the standard deviations of triplicate experiments. Lines are the linear regressions of the experimental data.

378 Bacterial cells have developed the ability of repairing DNA lesions induced by UV irradiation and oxidant stress (e.g., radicals) using several enzyme systems (Teebor, Boorstein et 379 380 al. 1988, Sinha and Häder 2002). The biological deactivation of pUC19 upon separate treatment with UV₂₅₄ and •OH was studied by analysing its transformation of non-resistant recipient cells 381 having different DNA repair abilities. The decrease of transforming activity of pUC19 followed 382 383 first-order kinetics with respect to UV fluence or •OH exposure, and was variable depending on the recipient cells used (Figure 3). The most rapid decrease was observed for AB2480 (uvrA⁻, 384 385 recA⁻) during each separate treatment with UV₂₅₄ and •OH. No transformant was detectable from AB2480 (more than 4-log decrease) when the UV fluence was above 5 mJ/cm², or when 386

the •OH exposure was higher than 5.5×10^{-11} M·s. Thus, the fluence-based rate constant for UV ($k_{UV,transformation}$) and second-order rate constant for •OH ($k \cdot _{OH,transformation}$) for the transforming activity loss for AB2480 were not calculated in this case due to the limited data points.

During UV₂₅₄ exposure (Figure 3a), the loss of transforming activity was slower in AB1886 391 (uvrA⁻, 0.24 cm²/mJ) than AB2480, followed by AB2463 (recA⁻, 0.16 cm²/mJ), AB1157 (wild-392 type, 0.10 cm²/mJ), and DH5 α (recA⁻, endA⁻, 0.07 cm²/mJ), in which the values in parentheses 393 show $k_{UV,transformation}$ (Table 1). The variation in the transformation efficiency of plasmids 394 395 carrying the same DNA damage indicated that the UV-induced damage can be repaired by recipient cells to different extents, depending on their repair gene proficiencies (or deficiencies). 396 The uvrABC proteins involve the nucleotide excision repair of a variety of DNA lesions 397 including the UV-induced CPDs and 6,4-photoproducts. This process is initiated by the 398 interaction of *uvrA* protein with DNA to recognize the damaged sites (Kisker, Kuper et al. 399 400 2013). The recA protein is essential for the post-replication repair of double-strand breaks and single-strand gaps (e.g., T-T dimers) by homologous recombination (Smith and Wang 1989, 401 402 Shinohara and Ogawa 1995). These roles of uvrA and recA proteins well explained the trend 403 observed from the transformation rates of E. coli strains. AB2480 was most sensitive to UVinduced DNA damage on pUC19 due to lack of both uvrA and recA genes. The uvrA deficient 404 AB1886 was the second most sensitive, followed by the recA deficient AB2463, indicating that 405 406 uvrA played a more important role than recA for the repair of UV-induced DNA damage. The wild-type AB1157 was less sensitive than the other three E. coli mutant strains owing to its 407 408 DNA repair capability by *uvrA* and *recA*. Interestingly, despite its deficiency in *recA*, the DH5 α strain exhibited even lower sensitivity to UV-induced DNA damage compared to wild-type 409 AB1157. This was possibly attributable to the lack of a functional *endA* gene in DH5 α . The 410 endA gene is associated with the formation of extracellular DNase I, which can hydrolyze 411

412 exogenous plasmid DNA, consequently reducing its uptake and transformation (Shou, Kang et 413 al. 2019). In line with this, the DH5 α showed ~10-fold higher transformation efficiency than the 414 other *E. coli* strains proficient in *endA* when all were transformed with equivalent concentrations 415 of undamaged pUC19 (Figure S4). This *endA* deficiency might therefore render *E. coli* DH5 α 416 inherently more active for DNA damage repair than the other strains, despite its lack of *recA*.

During •OH exposure (Figure 3b), the rate of transforming activity loss was the highest for 417 AB2480 followed by AB2463 (recA⁻, 8.2×10¹⁰ M⁻¹ s⁻¹), AB1886 (uvrA⁻, 6.5×10¹⁰ M⁻¹ s⁻¹), 418 AB1157 (wild-type, $4.1 \times 10^{10} \text{ M}^{-1} \text{ s}^{-1}$), and DH5 α (recA⁻, endA⁻, 2.9 $\times 10^{10} \text{ M}^{-1} \text{ s}^{-1}$) in which the 419 values in parentheses show $k_{OH,transformation}$ (Table 1). The recA deficient AB2463 showed 420 higher sensitivity to •OH-induced DNA damage than the uvrA deficient AB1886, which was 421 opposite compared to the case for UV_{254} (Figure 3a). This suggests that *recA* is more efficient 422 for repairing •OH-induced DNA damage, as homologous recombination is based on exchange of 423 424 (damaged) nucleotide sequences (Alberts, Johnson et al. 2002) and capable of repairing not only base oxidation but also double-strand breaks. In contrast, uvrA is based on nucleotide excision 425 repair and more specific to the repair of UV-induced DNA lesions (base modification such as 426 CPDs), but not efficient for double-strand breaks (Alberts, Johnson et al. 2002). 427



Figure 4. Apparent rate constants for the degradation of amp^R segments, pUC19 plasmid, and the elimination of gene transforming activity during exposure to (a, b) UV₂₅₄ and (c, d) •OH (UV>290/H₂O₂). Specific kinetic parameters are summarized in Table 1 and error bars represent the standard errors of apparent rate constants. Rate constants for the loss of transforming activity in AB2480 were not calculated due to the limited data points.

The apparent rate constants for the degradation of amp^{R} segments, pUC19 plasmid, and the 434 loss of gene transforming activity are compared in Figure 4. For both UV₂₅₄ direct photolysis 435 and •OH oxidation, the estimated degradation rates of the entire plasmid (based on qPCR 436 analyses) overestimated the rates of pUC19 deactivation (loss of transforming activity) observed 437 for all repair-proficient E. coli recipient strains, which can be explained by the repair of UV₂₅₄-438 and •OH-induced damage by repair-proficient recipient cells. Our results were in agreement 439 with previous findings on the UV254 treated pWH1266 plasmid and its transformation of 440 Acinetobacter baylyi (Chang, Juhrend et al. 2017). However, the rate of gene deactivation 441 during UV₂₅₄ direct photolysis measured using uvrA deficient AB1886 as the recipient strain 442 was close to the estimated degradation rate of pUC19 and the formation rate of DNA lesions 443

(Figure 4a, b). The minimum rates of gene deactivation measured by double mutant AB2480 ($uvrA^-$, $recA^-$) were estimated based on the limited data points shown in Figure 3, which gave 0.91 cm²/mJ and 1.7×10^{11} M⁻¹ s⁻¹ for UV₂₅₄ and •OH exposure, respectively. These values were significantly larger than the gene deactivation rates measured by any of the repair proficient strains. Furthermore, the degradation rate of the entire plasmid underestimated the loss of pUC19 transforming activity measured by AB2480 ($uvrA^-$, $recA^-$) during UV₂₅₄ direct photolysis and •OH oxidation.





Figure 5. Degradation of *amp*^{*R*} segments and elimination of gene transforming activity (*E.coli* DH5α as recipient cells) with increasing UV fluence (0–180 mJ/cm²) during treatment of pUC19 (0.3 µg/mL) with (a) UV₂₅₄, (b) UV₂₅₄/H₂O₂ (0.5 mM), and (c) UV₂₅₄/S₂O₈^{2–} (0.5 mM) at pH 7 (2 mM phosphate buffer). *p*CBA (1 µM) was added in UV₂₅₄/H₂O₂ experiments for the quantification of •OH, and both *p*CBA and nitrobenzene (each 1 µM) were added in UV/S₂O₈^{2–} experiments for the quantification of •OH and SO₄•[–]. Error bars represent the standard deviations of triplicate experiments. Lines represent the linear regressions of experimental data.

The degradation of amp^R and the elimination of gene transforming activity (E. coli DH5 α as 460 recipient cells) were tested during combined exposure to UV_{254} and radicals (•OH and $SO_4^{\bullet-}$), in 461 comparison to UV_{254} direct photolysis. The degradation of amp^R amplicons followed first-order 462 kinetics with respect to UV fluence ($r^2 > 0.99$) during UV₂₅₄, UV₂₅₄/H₂O₂, and UV₂₅₄/S₂O₈²⁻ 463 treatments (Figure 5). A lower initial concentration of pUC19 (0.3 µg/mL) and a higher fluence 464 range (0-180 mJ/cm²) were applied in these tests than in the experiments described in the 465 preceding sections. However, the degradation rate constants of amp^{R} amplicons upon UV₂₅₄ 466 direct photolysis (i.e., 2.4×10⁻²-8.2×10⁻² cm²/mJ) (Table S1) were in good agreement with 467 those obtained during the treatment of 1 μ g/mL of pUC19 by 0-130 mJ/cm² of UV₂₅₄ (i.e., 468 $2.0 \times 10^{-2} - 8.9 \times 10^{-2}$ cm²/mJ, Table 1). The k_{UV_{254}/H_2O_2} of amp^R amplicons during UV₂₅₄/H₂O₂ 469 were in the range of $1.0 \times 10^{-1} - 3.0 \times 10^{-1}$ cm²/mJ, which were larger than the $k_{UV_{254}}$ by a factor of 470 ~4 (Table S1). Therefore, the contribution of UV254 direct photolysis to the overall gene 471 degradation during UV₂₅₄/H₂O₂ was only about 25% ($k_{UV_{254}}/k_{UV_{254}/H_2O_2} \times 100\%$), revealing 472 the significant role of •OH exposure (75%). 473

The k_{UV_{254}/H_2O_2} for the elimination of gene transforming activity was $(5.1\pm0.2)\times10^{-2}$ cm²/mJ, which was larger than the $k_{UV_{254}}$ by a factor of only 1.1 ($k_{UV_{254}/H_2O_2}/k_{UV_{254}} = 1.1$, Table S1). Thus, even though the •OH exposure significantly accelerated gene degradation (by a factor of 4), its contribution to the elimination of gene transforming activity was not as efficient as expected.



Figure 6. (a) Degradation of amp^{R} segment (851 bp) and (b) elimination of gene tra sforming 480 activity (E. coli DH5 α as recipie t cells) as a fu ctio of •OH exposure duri g UV/H₂O₂ 481 treatmets at different UV (254 \square m and >290 \square m) and H₂O₂ (0.3 mM, 0.5 mM, and 10 mM) 482 colditiols. The gene transforming activity was not measured in the study of Yoon et al. 2017 483 484 for treating pUC4k with UV_{254}/H_2O_2 (0.3 mM). The values for the data sets designated by (*) represent contributions from •OH exposure only (estimated by subtracting UV₂₅₄ contributions 485 from overall measurements of qPCR signal or transforming activity loss). Error bars represent 486 the standard deviations of triplicate experiments. Lines represent the linear regressions of 487 experimental data. 488

Figure 6 summarizes the results from this study and the literature on the effect of •OH 489 exposure on degradation of the 851 bp amp^{R} segment and loss of gene transforming activity 490 (measured using E. coli DH5 α as recipient strain). Plasmid pUC4k or pUC19 carrying amp^R 491 was treated with UV/H₂O₂ at different conditions of UV wavelength and initial H₂O₂ 492 493 concentrations, i.e., UV₂₅₄/H₂O₂ (0.3 mM or 0.5 mM) and UV_{>290}/H₂O₂ (10 mM). The •OHinduced degradation or deactivation were calculated by subtracting the effect of UV₂₅₄ direct 494 photolysis from the overall results of corresponding UV₂₅₄/H₂O₂. Although the statistical 495 analysis showed a significant variation (p=0.0149) in the slopes of linear curves in Figure 6a, 496

the $k \cdot _{OH.851 bp}$ values during UV₂₅₄/H₂O₂ treatment differed only by factors of 0.8–1.5 from that 497 during •OH only process ($UV_{>290}/H_2O_2$). This suggested that UV_{254} -induced gene damage on the 498 499 plasmid (e.g., CPDs and pyrimidine adducts) did not cause significant impact on the reactivity of •OH with nucleobase and sugar moieties during UV₂₅₄/H₂O₂. However, the elimination of 500 501 gene transforming activity (measured using *E. coli* DH5α as recipient strain) varied significantly 502 in the different treatments. The loss of gene transforming activity was ~5 times slower in 503 UV254/H2O2 processes (UV254 and •OH co-exist) as compared to that obtained from $UV_{>290}/H_2O_2$ (•OH only). This indicates that the elimination of gene transforming activity 504 505 caused by UV₂₅₄ direct photolysis and •OH oxidation were not additive during UV₂₅₄/H₂O₂. One possible explanation could be that some DNA damage repair pathways such as nucleotide 506 excision repair by uvrABC also repaired •OH-induced lesions (e.g., base oxidation) located near 507 the UV-induced lesions, leading to much less extensive loss of transforming activity than would 508 be expected from simple summation of the losses in transforming activity observed for UV₂₅₄ 509 510 and •OH exposure separately.

The degradation rates of amp^{R} amplicons $(1.0 \times 10^{-1} - 3.2 \times 10^{-1} \text{ cm}^{2}/\text{mJ})$ during UV₂₅₄/S₂O₈²⁻ 511 were also ~4-fold larger than that obtained during UV₂₅₄ (Table S1). SO₄^{•-} is the primary radical 512 generated during UV₂₅₄ photolysis of $S_2O_8^{2-}$. However, $SO_4^{\bullet-}$ can further react with H₂O, OH⁻, 513 and Cl⁻ (sometimes present as an impurity) in aqueous solution to produce •OH (Lutze, Kerlin 514 et al. 2015). Using the degradation kinetics of radical probe compounds (nitrobenzene and 515 pCBA) (Figures S7 and S8), the steady-state concentration of •OH during $UV_{254}/S_2O_8^{2-}$ was 516 determined to be 6.4×10^{-13} M, which was approximately two orders of magnitude smaller than 517 that of $SO_4^{\bullet-}$ (1.8×10⁻¹¹ M) under experimental conditions used here (Text S3). This was 518 consistent with the theoretically estimated values considering all the possible reactions related to 519 •OH formation in $UV_{254}/S_2O_8^{2-}$ system (Text S4). Despite the much lower concentration, the 520 contribution of •OH to the overall gene damage cannot be ignored, as the amp^{R} segments 521

appeared to be much more reactive to •OH than SO4^{•-} (see discussions below). Thus, the 522 percentages of gene degradation attributable to UV₂₅₄ direct photolysis, SO₄^{•-}, and •OH during 523 $UV_{254}/S_2O_8^{2-}$ treatment could be estimated as ~23%, ~33%, and ~44%, respectively, in which 524 the relative contributions of each pathway to the overall gene damage were calculated based on 525 the observed rate constants for degradation of amp^R amplicons during UV₂₅₄ and 526 $UV_{254}/S_2O_8^{2-}$ treatments, the steady-state concentrations of •OH and $SO_4^{\bullet-}$ in $UV_{254}/S_2O_8^{2-}$ 527 experiments, and the second-order rate constants for reactions of 'OH and $SO_4^{\bullet-}$ with the *amp*^R 528 amplicons (Text S5). 529

The second-order rate constants of $SO_4^{\bullet-}$ with amp^R segments were determined to be in the 530 range of (1.07-1.96)×10⁹ M⁻¹ s⁻¹ (Text S5 and Table S2), which were about an order of 531 magnitude lower than the •OH rate constants (Table 1). SO4•⁻ preferentially adds to the electron-532 rich sites of the nucleobases (e.g., C₅ of pyrimidines) to generate radical adducts, which decay to 533 534 nucleobase radicals as intermediates, followed by hydroxylation and oxidation in aqueous solution (von Sonntag 2006). However, SO₄^{•-} is larger than •OH and negatively charged. 535 536 Therefore, steric hindrance and electrostatic repulsion might decrease the reactivity of SO4. toward negatively charged DNA molecules in comparison to •OH. 537

Similar to UV_{254}/H_2O_2 processes, the elimination rate of pUC19 transforming activity during UV₂₅₄/S₂O₈²⁻ treatment was also only slightly larger than that in UV₂₅₄ direct photolysis ($k_{UV_{254}/S_2O_8^2-}/k_{UV_{254}} = 1.1$, Table S1), even while the observed amplicon *degradation* rates were ~4-fold faster for UV₂₅₄/S₂O₈²⁻ compared to UV₂₅₄ direct photolysis. This further supports the above findings that co-exposure to UV₂₅₄ and radicals during UV₂₅₄-AOPs did not lead to faster transforming activity loss than the separate exposures to UV₂₅₄ and radicals, due to the facile repair of UV₂₅₄ and/or radical-induced DNA damage in host cells.

545 **3.5** Effect of wastewater effluent organic matter on the degradation of amp^R during UV₂₅₄-

AOPs



Figure 7. Degradation of *amp*^{*R*} segments in the presence of wastewater effluent organic matter (5.4 mg C/L) during the treatment of pUC19 (0.31 μ g/mL) with (a) UV₂₅₄, (b) UV₂₅₄/H₂O₂ (0.5 mM), and (c) UV₂₅₄/S₂O₈²⁻ (0.5 mM) at pH 7 (2 mM phosphate buffer). *p*CBA (1 μ M) was added in UV₂₅₄/H₂O₂ experiments for the quantification of •OH, and both *p*CBA and nitrobenzene (each 1 μ M) were added in UV/S₂O₈²⁻ experiments for the quantification of •OH and SO₄•-. Error bars represent the standard deviations of triplicate experiments. Lines represent the linear regressions of experimental data.

The efficiencies of amp^R degradation during UV₂₅₄, UV₂₅₄/H₂O₂, and UV₂₅₄/S₂O₈²⁻ experiments were investigated in the presence of EfOM (5.4 mg C/L). The UV 254 nm absorbance of EfOM solution (a₂₅₄) was 0.1 cm⁻¹. The path length in the petri dish (L) was 1.6 cm. Thus, the water factor, WF=(1-10^{-a254·L})/(a₂₅₄·L·ln10) (Bolton and Linden 2003), was calculated to be 0.84. The average UV intensity in the solution containing EfOM was then corrected from 0.30 mW/cm² to 0.25 mW/cm² using the calculated water factor (Lee, Gerrity et al. 2016). The *amp^R* segments were gradually degraded with increasing UV fluence following

first-order kinetics (Figure 7). Interestingly, the $k_{UV,Amp}$ of each segment during UV₂₅₄ 562 irradiation in the presence of EfOM was approximately 1.2 times higher than the corresponding 563 564 conditions without EfOM (p < 0.0001) (Table S1). Chromophoric dissolved organic matter (DOM) is known to generate reactive species upon UV irradiation, such as triplet excited states 565 of DOM (³DOM*), singlet oxygen, and •OH, which have been proven to contribute to the 566 567 oxidation of organic pollutants (Chin, Miller et al. 2004, Lester, Sharpless et al. 2013, Batista, Teixeira et al. 2016, Rosario-Ortiz and Canonica 2016). Bacteriophage MS2 was found to be 568 inactivated by ³DOM* and singlet oxygen generated from sunlight irradiation of wastewater and 569 570 river water DOM isolates (Kohn, Grandbois et al. 2007, Kohn and Nelson 2007, Rosado-Lausell, Wang et al. 2013). The reactive oxygen species (e.g., singlet oxygen, superoxide, H_2O_2) 571 generated during photooxidations involving ³DOM* were proposed to inactivate bacteria by 572 damaging DNA, proteins, and cell membranes (Song, Mohseni et al. 2016, Nelson, Boehm et al. 573 2018). Our findings suggest that through its photosensitizing properties, DOM can indirectly 574 575 contribute to the photodegradation of e-ARGs. Similar results were reported recently on the enhanced photo-degradation of pBR322 plasmid-encoded tet A and blaTEM-1 genes in DOM 576 solution (Zhang, Li et al. 2019). This degradation pathway might play an especially important 577 578 role during solar disinfection processes, due to the low UV absorbance characteristics of DNA at high wavelengths (and consequent minimal direct UV photolysis under such conditions). 579

In contrast, EfOM mainly acted as radical scavenger during UV_{254}/H_2O_2 and $UV_{254}/S_2O_8^{2-1}$ experiments. The k_{UV_{254}/H_2O_2} and $k_{UV_{254}/S_2O_8^{2-1}}$ of amp^R segments in the presence of EfOM were each decreased by a factor of ~3 compared to the conditions without EfOM (Table S1). The degradation kinetics of *p*CBA and nitrobenzene (Figure S10) also confirmed the much lower levels of radicals in the presence of EfOM (e.g., $[SO_4^{\bullet-1}]_{ss}$: 9.5×10⁻¹³ M with EfOM *vs.* 1.8×10⁻¹¹ M without EfOM). Overall, the degradation efficiency of amp^R in the presence of EfOM

- 586 increased only marginally (within a factor of 1.4) during UV_{254}/H_2O_2 and $UV_{254}/S_2O_8^{2-}$,
- 587 compared to UV_{254} alone, due to the significant scavenging of radicals by EfOM.

588 4. Conclusions

The degradation of *amp^R* segments and the loss of gene transforming activity followed first-order kinetics with respect to UV fluence and •OH exposure during UV₂₅₄ direct photolysis and •OH oxidation (UV>₂₉₀/H₂O₂). The degradation rate constants of *amp^R* segments increased with amplicon size, which corresponded to the increase in nucleotide bps (and hence, reaction sites) with increased amplicon length. Gel electrophoresis results indicated that UV₂₅₄ (0–130 mJ/cm²) did not change the supercoiled conformation of pUC19 plasmid, whereas •OH exposure (0–2.7×10⁻¹⁰ M·s) led to strand-breaks.

The loss of gene transforming activity varied depending on the type of recipient cells.
 Double mutant AB2480, which was deficient in repair genes *uvrA* and *recA*, was the most
 sensitive to DNA damage induced by UV₂₅₄ direct photolysis and •OH oxidation. The
 predicted degradation rates for the entire pUC19 plasmid overestimated the loss in
 transforming activity of pUC19 for all recipient cells other than AB2480, apparently due to
 the repair of DNA damage by repair-proficient strains.

The second-order rate constants of •OH with *amp^R* segments were determined to be in the range of 8.1×10⁹ – 5.6×10¹⁰ (M⁻¹ s⁻¹) and showed a strong linear relationship with the base pair number of *amp^R* segments, suggesting that •OH reacts with all nucleobases of plasmid DNA non-selectively. The rate constants of SO₄•- with *amp^R* segments were an order of magnitude lower than the corresponding •OH rate constants; thus, the contribution of the trace amount of •OH to the overall gene damage cannot be ignored during UV₂₅₄/S₂O₈²⁻
 treatment.

Although •OH and SO4^{•-} significantly accelerated (~75%) the degradation of *amp^R* amplicons measured by qPCR during UV₂₅₄/H₂O₂ and UV₂₅₄/S₂O₈²⁻ experiments, this only marginally increased the gene deactivation rate (~11%), as gene damage caused by UV₂₅₄
 and radical co-exposure appeared to be repaired more efficiently by recipient cells than the

gene damage from the separate UV₂₅₄ and radical exposures. Thus, the elimination 613 efficiency of the ARGs' transforming activities was similar for UV₂₅₄ vs UV₂₅₄-AOPs at the 614 same UV fluence, and the extent of elimination was mainly determined by the level of UV 615 fluence. Because the transforming activity of the amp^{R} gene could be lowered by only ~1-616 log at a typical UV disinfection fluence for water treatment (e.g., 40 mJ/cm²) but increased 617 to more than 4-logs at an elevated UV fluence for UV-AOPs (e.g., 500 mJ/cm²), the use of 618 UV-AOPs may still prove beneficial for ARG degradation and deactivation, with the 619 accompanying benefit of improved degradation of trace organic contaminants. 620

• Reactive species formed from the excitation of chromophoric organic matter appear to contribute to accelerated degradation of amp^R during UV₂₅₄ irradiation in the presence of EfOM (compared to direct photolysis by UV₂₅₄ alone), whereas EfOM mainly acted as a radical scavenger in UV₂₅₄/H₂O₂ and UV₂₅₄/S₂O₈²⁻ experiments.

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Graphical Abstract

