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# 1           **Microscopically informed upscale approach of** 2           **modelling damage in mortar by considering matrix-** 3           **to-grain interface and grain micro-fracture** 4           **characteristics**

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## 14 15 16   **Abstract**

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18   Multiscale modelling of damage in cement-based materials is mostly done through a  
19   downscale approach and constitutive laws are developed based on quasi-brittleness or  
20   cracking process within these materials. However, the microscopic characteristics of each  
21   phase in these materials need to be considered in order to accurately predict the durability.  
22   This article presents an experimentally-validated multiscale approach to model damage in  
23   mortar which takes into account the microscale fracture properties of the cement paste, the  
24   grain and the interface in-between them. This numerical study, on the one hand, shows that  
25   the failure is significantly dependent on the interface properties between cement paste and  
26   sand grains, which should be modelled as a separate phase with different properties than the  
27   bulk matrix. On the other hand, this study reveals that sand grain failure and grain size effect  
28   are the key phenomena to consider for the precise prediction of damage in the cement-based  
29   materials.

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31   Keywords: micromechanics; grain size effect; mortar; interface, failure; multiscale modelling.  
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## 1. Introduction

Mesoscale simulations are more and more used to represent the heterogeneous nature in micromechanical modelling for concrete [1]–[6]. In cementitious materials like concrete or mortar, the heterogeneous material structure ranges from nanometres to millimetres of length scale. In mesoscale modelling, the mesostructure is often represented as a three-phase system consisting of randomly placed granular inclusions embedded inside a homogenous binding cement paste matrix and an interfacial transition zone (ITZ) between aggregates and the matrix. Subsequently, the cement paste is generally modelled as a homogenous material because the representation of its heterogeneous nature requires downscaling to the micrometric and sub-micrometric scales and is not the object of much attention compared to the concrete. However, damage and durability loss in cementitious materials is often associated with the local properties of the cement paste. In multiscale numerical modelling of cementitious composites, the properties of the different phases are conventionally calibrated through inverse analysis using downscale approach e.g. the properties of cement paste, ITZ and sand grains are calibrated through experiments at the concrete scale.

While this downscale approach has been successfully used [7]–[13], it raises few questions regarding its robustness. In fact, the calibration of the model parameters of each phase requires extensive experimental tests that can be burdensome and time-consuming. Moreover, calibration and experiments have to be repeated every time the mix-design changes. On top of that, lack of any assessment of the properties found at lower scales renders the approach less robust. Fichant et al. [14] proposed a continuum-based damage model for concrete with parameters linked to the experimentally measured properties. However, these parameters need to be calibrated using experimental results, and require recalibration when the concrete composition is no longer the same.

A calibration-free mesoscopic modelling of damage in cement-based materials calls for a microscopically-informed approach; i.e. one that takes into account (i) the complex aspects of the hardening or hydration process in cement paste microstructure; (ii) the matrix-grain interface properties which is not the same as of the bulk matrix; and (iii) grain properties, their particle size distribution and their physical fracture mechanisms. Once determined, these subscale properties can be validated with experimental measurements to as low as the nanoscale [15]–[18]. Eventually, the upscale approach can be applied without resorting to repetitive calibrations at the upper scales. Bernard et al. [8] proposed an upscale approach using properties of cement paste that are determined using numerical simulations at the microscale. However, due to limitations of the model and the lack of properties regarding cement paste's phases, the softening curve of the mortar was not correctly reproduced. Sherzer et al. [5] proposed another upscale modelling method using the Lattice Discrete Particle Model [6] to determine the properties of the cement paste matrix from the microscale. While, the macroscopic behaviour of concrete is correctly reproduced, it is done so by calibrating model parameters.

The main goal of this paper is to present an upscale approach for multiscale damage modelling in mortar specimens that is free of parameter recalibration at the microscale. Also, a discussion is proposed regarding the influence of the properties of ITZ and the inclusions' micro-fracture on the global behaviour of cementitious materials. The cement paste's properties are first determined at the microscopic scale using a hydration model and applying intrinsic properties of hydration products, and then experimentally validated in order to render a calibration-free approach. The properties of hydration products remain the same in case of change in the hydration age or in the mix-design. At the mesoscale, a newly developed random particle generation algorithm is applied to create the mesostructure, which is composed of sand particles, cement paste and ITZ. Full particle size distribution curve of sand

83 is considered. Properties of sand particles not only consist of the elastic properties and failure  
84 strength but the physical failure mechanisms due to their grain size effect. ITZ properties are  
85 determined by considering the boundary effect on the hydration process and the porosity.  
86 Multiscale approach is outlined and the constitutive damage behaviour is presented. Three-  
87 point-bending tests on mortar specimens are simulated and the influencing parameters,  
88 particularly the ITZ properties and the grain size effect, are discussed in detail. A parametric  
89 study is conducted with the aim of identifying the influence of the fracture properties of the  
90 ITZ.

## 91 **2. Damage mesoscale modelling in cementitious materials**

### 92 **2.1 Bridging length scales: from cement paste to mortar**

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95 A concrete mesostructure (with a scale range from  $10^{-3}$  to  $10^{-1}$ m) can be thought of as  
96 a three-phase material that is composed of coarse aggregates embedded in a mortar matrix  
97 with an ITZ, as shown in [Figure 1](#). The mortar matrix (with a scale range from  $10^{-4}$  to  $10^{-3}$ m)  
98 can itself be decomposed further into sand particles, an ITZ and a binding cement paste  
99 matrix. The bulk cement paste matrix (with a scale range from  $10^{-6}$  to  $10^{-4}$ m) is a  
100 heterogeneous composite made of unhydrated particles (issued from clinker) ingrained in a  
101 matrix of different hydrates. To simulate the damage of these materials at each scale, a  
102 mesostructure is generated and then converted into a finite element mesh. A damage law is  
103 attributed to such phases, using parameters that are linked to either physical properties or  
104 upscaled homogenised properties.

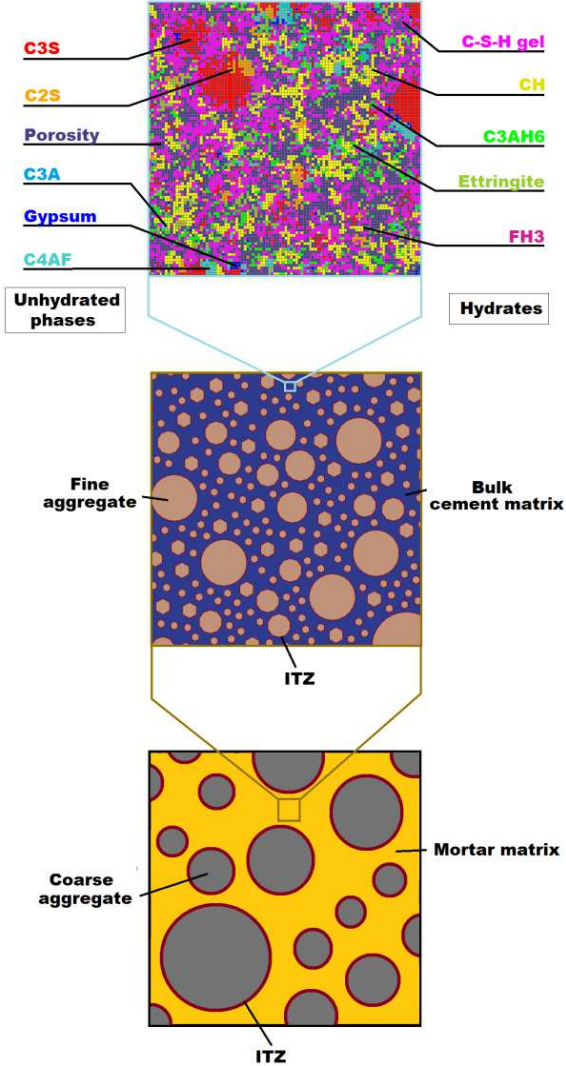
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106 The principles of the upscaling approach can be summarised as:

- 107 - The mix composition is defined (i.e. type of cement, particle size distribution of  
108 clinker and additives, mix proportions, w/c ratio, curing conditions, volume  
109 fractions of fine and coarse aggregates, etc.).
- 110 - The representative elementary volume (REV) of the virtual microstructure of  
111 cement paste is generated through a hydration model. The hydration platform  
112 Virtual Cement and Concrete Testing Laboratory, or VCCTL [\[19\]](#), is used in this  
113 case and converted into a finite element mesh. Simulation of the hydration  
114 processes does not require any calibration.
- 115 - The damage law developed by Fichant et al. [\[14\]](#), [\[20\]](#) is used for damage  
116 modelling for all cement phases. A full description of the model and its application  
117 to cementitious materials is given in Matallah et al. [\[21\]](#).
- 118 - The parameters of the micromechanical damage model for each cement paste  
119 phase (elastic moduli, tensile strength and fracture energy) are linked to the  
120 physical properties of the respective phase. These parameters are considered  
121 intrinsic to the model, and can be used for any cement paste composition.
- 122 - Uniaxial tension and compression simulations of the cement paste's REV are  
123 conducted to obtain the macroscopic stress-strain response and fracture properties.
- 124 - The VCCTL hydration model is used once again to simulate the hydration of  
125 cement paste near a fixed boundary. This exemplifies the evolution of cement  
126 hydration in the ITZ near the fine aggregates of mortar. Its properties are  
127 calculated using analytical homogenisation methods.
- 128 - At the scale of mortar, the mesostructure is generated via the newly developed  
129 zone-of-influence random particle generation method and converted into a FE  
130 mesh in the finite element code Cast3M.
- 131 - Damage model is attributed to each phase of the mortar. The regularisation of the  
132 damage model follows the energetic approach proposed by Matallah et al. [\[21\]](#) and

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the REV length  $h$  is taken as  $200\mu\text{m}$ , which the thickness of the finite element chosen for the mesoscopic simulations. This length also corresponds to the size of the cement paste's Representative Elementary Volume (REV) at the microscale. The mechanical properties are already known either at the microscale (cement paste and ITZ) or from physical properties and failure mechanisms (sand).

- Simulation of damage in mortar at the mesoscale is launched. Stress-strain response, failure properties and cracking characteristics can be obtained.
- In order to proceed to the level of concrete, tensile and compressive tests can be simulated in order to determine the stress-strain curve of mortar, which can be used to determine the properties of the mortar matrix at the scale of concrete.



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**Figure 1.** Schematization of the multiscale modelling of concrete mesostructure.

A damage model [14], [20] is applied to all the phases with model parameters, namely Young's modulus  $E$ , Poisson's ratio  $\nu$ , tensile strength  $f_t$  and fracture energy  $G_f$ , varying from phase to phase. In damage mechanics, the total stress  $\sigma$  is calculated from the effective stress  $\tilde{\sigma}$  using a damage tensor. In the isotropic form of the damage model mentioned above, the relationship can be written as:

$$\sigma = (1 - D_r) \{ \tilde{\sigma} \}_+ + (1 - D_c) \{ \tilde{\sigma} \}_- \tag{1}$$

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where  $D_T$  and  $D_C$  are respectively the isotropic damage variables in tension and in compression. It is assumed that damage grows only due to the tensile opening mode, with the equivalent strain calculated using:

$$\varepsilon_{eq} = \sqrt{\sum \{\varepsilon_{ii}\}_+^2} \quad (2)$$

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where  $\{\bullet\}$  are the Macaulay brackets. Given the following damage evolution law:

$$D_T = 1 - \frac{\kappa_0}{\varepsilon_{eq}} \exp\left[-B_T (\varepsilon_{eq} - \kappa_0)\right] \quad (3)$$

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the damage is linked to the phase properties ( $E$ ,  $\nu$ ,  $f_t$  and  $G_f$ ), that is:

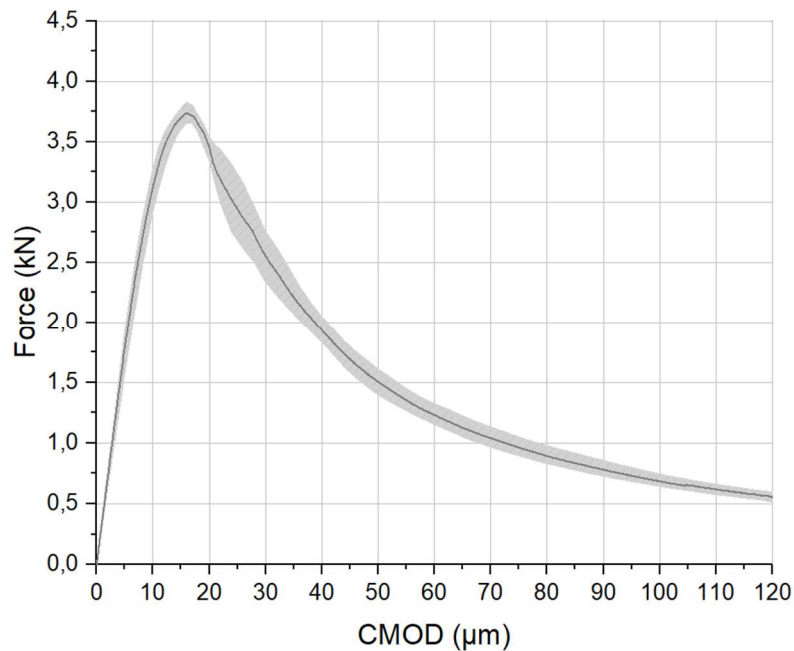
$$\kappa_0 = \frac{f_t}{E} \quad (4)$$

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$$B_T = f_t \left( \frac{G_f}{h} - \frac{f_t^2}{2E} \right)^{-1} \quad (5)$$

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This study is limited to the scale of mortar. However, it can be easily extended to concrete. The composition studied is made of a 0-4 mm size rolled river sand (44.7% of the mortar volume) and a type I Portland cement with a w/c ratio of 0.45. Three notched beams of 7×7×28 cm in size are tested under three-point bending at the age of 28 days, and the Force - Crack Mouth Opening Displacement (F-CMOD) curve is used to compare the experimental measurements with the numerical results. The mix-design is given in [Table 1](#) and the experimental F-CMOD curve is shown in [Figure 2](#).



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**Figure 2.** Experimental F-CMOD curve of mortar (thick line represents average behaviour over three beams).



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**Table 1.** *Mix design of the studied mortar.*

	<i>Sand</i>	<i>CEM I 52.5 N</i>	<i>Effective water</i>	<i>Added water</i>
Mass (kg/m <sup>3</sup> )	1175	668	300	305
Density	2.63	3.12	1	1

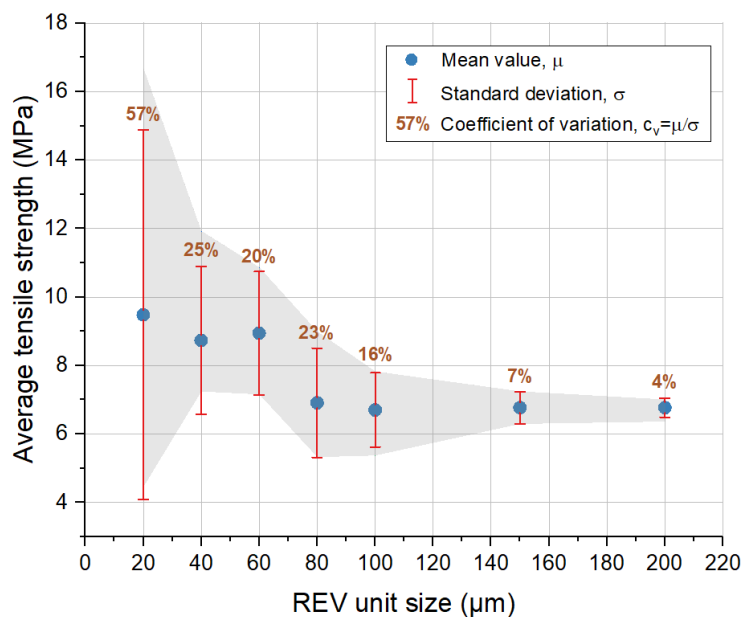
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## 179 2.2. Microscale properties of cement paste

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181 In cementitious materials, cement paste is considered as the matrix that binds the  
 182 aggregate particles. It is composed of hydration products formed by hydration reaction  
 183 between cement materials and water, as well as unhydrated cement particles and residual  
 184 water. Cement paste can be represented at two scales: the molecular scale (with a scale range  
 185 from  $10^{-8}$  to  $10^{-6}$  m) and the microscopic scale (with a scale range from  $10^{-6}$  to  $10^{-4}$  m) [22]–  
 186 [26]. The former can be used to determine the intrinsic properties of the components (i.e.  
 187 hydration products) independently of the type of cement, the hydration degree or the water-to-  
 188 cement (w/c) ratio. The microscopic scale considers an assembly of the components based on  
 189 their volumetric proportions for a given hydration degree, mix ratio and cement type. If the  
 190 intrinsic properties of cement paste components are known, any assembly can be generated  
 191 and modelled. The cement paste assembly should be defined by a REV which should be small  
 192 enough to consider all components explicitly, and big enough to represent the building  
 193 element at the upper scale (laboratory sample). Various sizes for the REV are proposed in the  
 194 literature which vary from merely 50  $\mu\text{m}$  up to 400  $\mu\text{m}$  [10], [27]–[31]. This variation is  
 195 explained by the fact that the REV size depends on the heterogeneities, e.g. clinker particles,  
 196 which decrease in size with hydration. A parametric analysis is carried out by simulating  
 197 tensile tests on multiple microstructures varying with REV size, and plotting the average  
 198 tensile strength, the standard deviation, and the coefficient of variation, as shown in Figure 3.  
 199 It is observed that the coefficient of variation falls below 5% when choosing a size of 200  $\mu\text{m}$ .  
 200 This size is therefore best suited for damage computations of mature cement pastes. The  
 201 choice of this REV size satisfies the condition that it must be at least three to five times the  
 202 size of the biggest inclusion [32].

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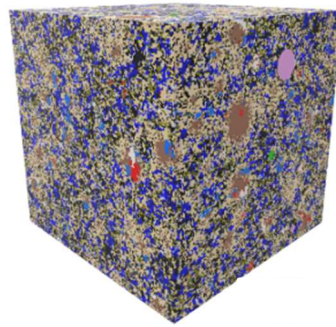
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**Figure 3.** *influence of the REV size on the results of damage computation.*

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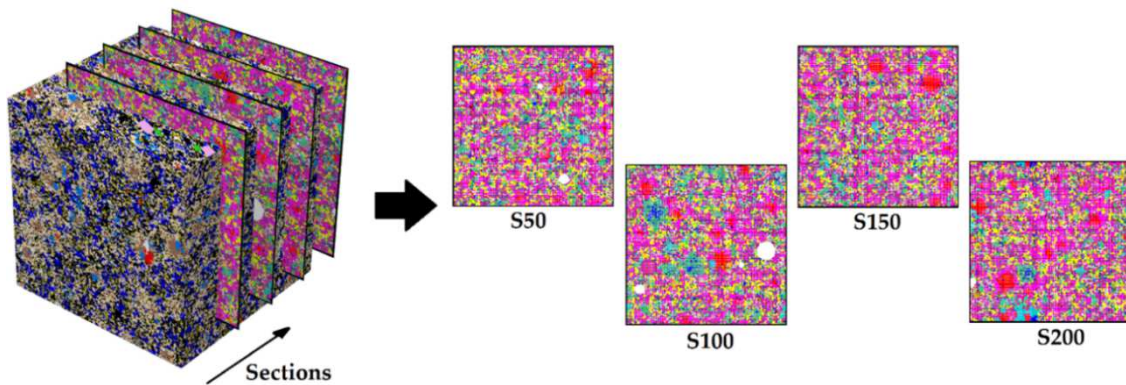
The microstructure of the cement paste was obtained by the hydration software VCCTL [33] and a mesh algorithm [34] was used to import the virtual microstructure in the finite element code Cast3M [35]. The input parameters include the cement composition ( $C_3S=72.2\%$ ,  $C_2S=9.4\%$ ,  $C_3A=9.4\%$ ,  $C_4AF=7.8\%$ ,  $K\bar{S}=1.3\%$ ,  $N\bar{S}=0.3\%$ ), the added gypsum (4.2%), the particle size distribution of cement (obtained by laser diffraction), the w/c ratio (0.45), the curing conditions (20°C, isothermal and sealed) and the cycle-time conversion curve (calculated from the isothermal calorimetry curve). All the input data are the characteristics of the cement and the mix design and do not require any calibration. The microstructure at 28 days is extracted and converted into a FE mesh. Cement phases are explicitly represented inside the microstructure, including calcium-silicate-hydrates (C-S-H) and the capillary porosity. The C-S-H gel is considered as a single homogenised component representing both low density C-S-H, high density C-S-H and gel porosity [36]. Water-filled porosity is also considered as another “component” regardless of the water content in the capillary voids. The resolution of the REV (the size of a voxel) is  $1\mu\text{m}^3$  and the volume of the REV is  $200\times 200\times 200\mu\text{m}^3$ . The obtained 3D microstructure is shown in Figure 4.



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**Figure 4.** 3D virtual cement paste obtained by the VCCTL hydration platform

Uniaxial tensile tests were simulated on cement pastes in order to obtain the homogenised mechanical properties. In the numerical calculation, each step considers a volume with new properties (issue from the last step) and small displacements were considered. So, 2D simulations can be used instead of 3D simulations which were too slow due to the huge number of voxels (equal to 8 million). 2D sections were extracted and simulations were conducted on them. The macroscopic results were averaged over four sections (labelled S50, S100, S150 and S200, as illustrated in Figure 5).



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**Figure 5.** Extraction of sections S50, S100, S150 and S200 from the 3D microstructure (colour code: purple = porosity, red =  $C_3S$ , orange =  $C_2S$ , blue =  $C_3A$ , light blue =  $C_4AF$ , dark blue = sulphate phases, white = inert particles, yellow = portlandite, pink = C-S-H, green = hydrogarnet and AFm, light green = ettringite).



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240 If the properties of the cement paste's phases are known, the multiscale approach of modelling  
241 damage can be carried out without any calibration, since the micromechanical model can be  
242 used for different cement paste compositions and mix designs. The elastic properties used in  
243 the model for each cement phase are obtained from the literature (see [37] for example). On  
244 the other hand, very little literature work can be found on the tensile strength and the fracture  
245 energy of individual cement phases. It is not practically possible to determine these fracture  
246 parameters for all cement phases. In previous studies [29], [38], the tensile strength was  
247 assumed to be proportional to the elastic modulus. However, an inverse analysis scheme is  
248 proposed instead to identify the missing properties used to calculate the model parameters.  
249 For this reason, the following assumptions are made [39], [40]:

250

- 251 i. Each voxel in the finite element mesh corresponds to a unique homogeneous phase,
- 252 ii. All voxels of the same type have the same mechanical properties,
- 253 iii. The behaviour of the cement paste's phases is considered isotropic,
- 254 iv. All phases obey the damage model presented above,
- 255 v. The tensile strength  $f_{t,ph}$  is proportional to the micro-hardness,  $HD_{ph}$ , of the phase, i.e.

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$$HD_{ph} = \alpha_{ft} \times f_{t,ph} \quad (6)$$

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- 258 vi. The fracture energy  $G_{f,ph}$  of each component is proportional to its elastic energy i.e.

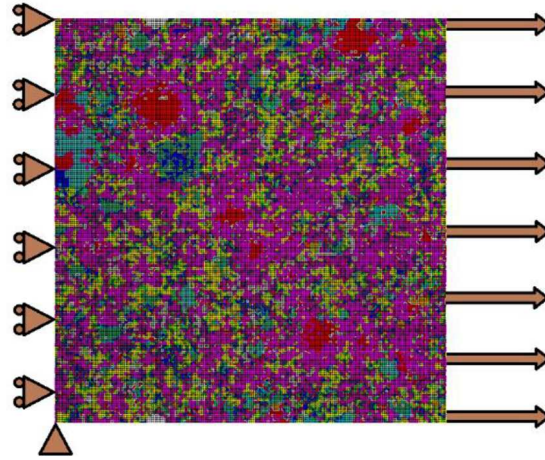
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$$G_{f,ph} = \beta_{Gf} \frac{(1 + \nu)f_t^2}{E} \quad (7)$$

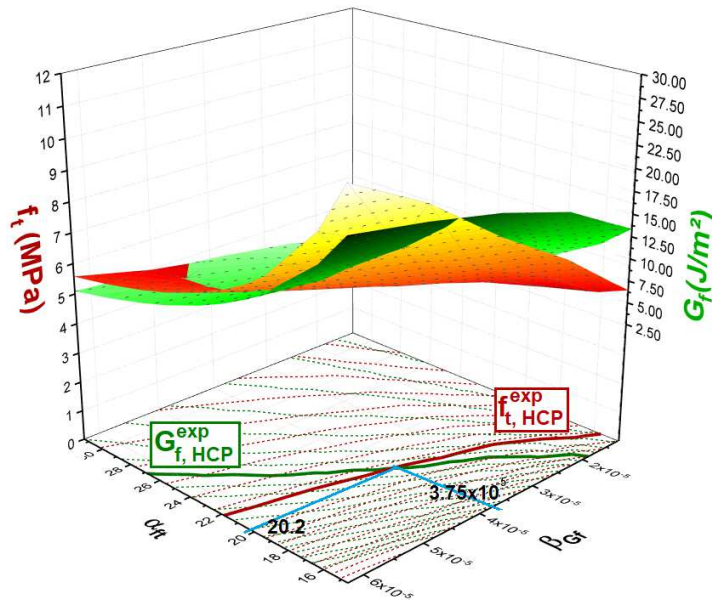
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261 where  $\alpha_{ft}$  and  $\beta_{Gf}$  are two proportionality constants. The first three assumptions  
262 reduce the number of elastic properties needed for the simulations, while the other two reduce  
263 the number of missing parameters that need to be identified. A relationship between the  
264 tensile strength and the hardness is generally attested for ductile metals, with  $\alpha_{ft} = 3$ , while  
265 the value of this coefficient varies from  $\sim 28$  to 200 for composites, granular materials and  
266 ceramics [41]. The problem is therefore reduced to the only two unknown parameters ( $\alpha_{ft}$  and  
267  $\beta_{Gf}$ ). To identify them, a reference cement paste has been used to carry out multiple tests,  
268 such as bending tests on notched specimens ( $40 \times 40 \times 160 \text{ mm}^3$ ), compressive tests on cement  
269 paste cubes ( $40 \times 40 \times 40 \text{ mm}^3$ ) and impulse excitation tests on unnotched beams [42]. This  
270 allows for the determination of the macroscopic properties of the reference cement paste, i.e.  
271  $E_{HCP}$ ,  $\nu_{HCP}$ ,  $f_{t,HCP}$  and  $G_{f,HCP}$ . The use of an optimisation algorithm, such as the Levenberg-  
272 Marquardt method [43], for an inverse analysis is time consuming and cannot guarantee the  
273 uniqueness of the solution. For this reason, an alternative method based DOE, or the design of  
274 experiments method, is chosen. In this case, the parameters  $\alpha_{ft}$  and  $\beta_{Gf}$  are fixed in a realistic  
275 range, [15; 35] and  $[1.25 \times 10^{-5}; 6.25 \times 10^{-5}]$ , respectively. Numerically, the cement paste  
276 composition is introduced in the hydration model and the virtual microstructure generated is  
277 used to perform simulations to determine the numerical properties of the cement paste (Figure  
278 6). The average stress-crack opening displacement curve is calculated from the behaviour of  
279 all the four 2D sections for the values of  $\alpha_{ft}$  and  $\beta_{Gf}$ , in order to determine the corresponding  
280  $f_{t,HCP}$  and  $G_{f,HCP}$ . The cement paste properties  $f_{t,HCP}$  and  $G_{f,HCP}$  are then drawn as a function  
281 of  $\alpha_{ft}$  and  $\beta_{Gf}$ , as illustrated in Figure 7. In the same figure, the isopleths are drawn, and the

282 intersection of the contour lines that correspond to the experimental values for  $f_{t,HCP}$  and  
 283  $G_{f,HCP}$  show a unique solution for  $\alpha_{ft}$  and  $\beta_{Gf}$ .  
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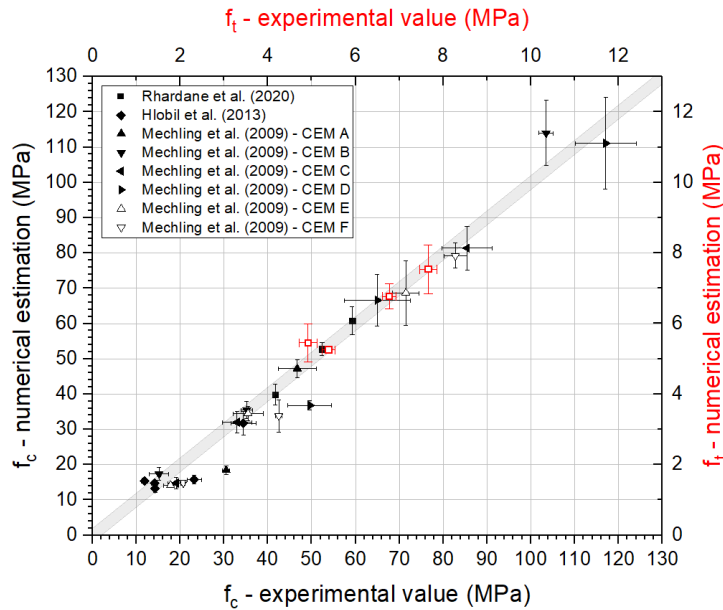
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 286 **Figure 6.** Microstructure of cement paste under tensile loading.  
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 289 **Figure 7.** Identification of the parameters  $\alpha_{ft}$  and  $\beta_{Gf}$  using experimental results.  
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291 Once the parameters ( $\alpha_{ft}$  and  $\beta_{Gf}$ ) are determined, the fracture properties of each  
 292 phase of the cement paste remain the same when the mix design changes, and are treated as  
 293 intrinsic material properties [40]. The properties of the main phases of cement paste are listed  
 294 in Table 2. Using these properties, it is possible to use the same procedure to estimate the  
 295 mechanical properties of other cement pastes. Figure 8 shows a comparison between the  
 296 estimated values and the experimental data, and demonstrate the potential of the  
 297 micromechanical model to predict properties of cement pastes.

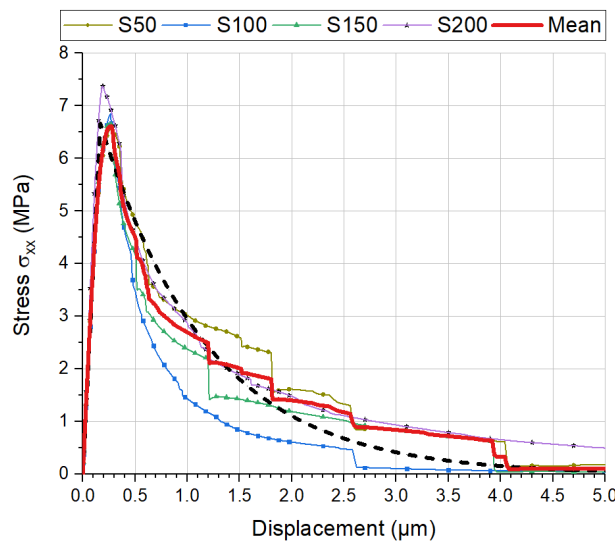
298 Figure 9 shows the results for the cement paste used in this article . It also shows a  
 299 comparison between the simulation curve and the idealised behaviour of an isolated finite  
 300 element of cement paste at the mortar scale with the same mechanical properties. The  
 301 macroscopic properties of the hardened cement paste (HCP) are obtained:  $E_{HCP} = 16$  GPa,  
 302  $\nu_{HCP} = 0.27$ ,  $f_{t,HCP} = 6.77$  MPa and  $G_{f,HCP} = 6.81$  J/m<sup>2</sup>.



303  
304 **Figure 8.** Comparison of numerical results against experimental data for the compressive  
305 and tensile strength of different mix designs (the bars represent 1 S.D.).  
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**Table 2.** Properties of the main cement paste phases.

Phase	$E_{ph}$ (GPa)	$\nu_{ph}$ (-)	Refs.	$HD_{ph}$ (GPa)	Refs.	$f_{t,ph}$ (MPa)	$G_{f,ph}$ (J/m <sup>2</sup> )
C <sub>3</sub> S	137.4	0.30	[15], [28], [44]–[46]	8.7	[15], [46]	430.7	65.8
C <sub>2</sub> S	135.5	0.30	[15], [28], [44]–[46]	8.0	[15]	396.0	56.3
C <sub>3</sub> A	145.2	0.28	[15], [28], [44]–[46]	10.8	[15]	534.6	94.3
C <sub>4</sub> AF	150.8	0.32	[15], [28], [44]–[46]	9.5	[15]	470.3	72.5
Gypsum	44.5	0.33	[28], [44], [45]	0.6	[47]	29.6	0.98
CH	43.5	0.29	[28], [44], [45]	1.5	[17], [48]	73.8	6.1
C-S-H	23.8	0.24	[49]–[51]	1.1	[17], [52]	55.0	5.9
Ettringite	24.1	0.32	[28], [44], [45]	0.8	[47], [53]	39.6	3.2
AFm	43.2	0.29	[28], [44]	5.3	[25]	262.4	77.3

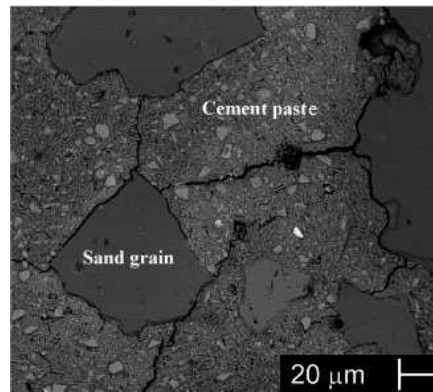


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310 **Figure 9.** The stress-crack opening displacement curve of the cement paste microstructure  
311 (CEM I, w/c = 0.45) using intrinsic micromechanical parameters (dashed line = idealised  
312 behaviour of a finite element representing cement paste at the scale of mortar).

313 **2.3. Interaction between the hardening cement paste and the rigid**  
314 **heterogeneities at mortar scale**

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316 In cementitious materials, it is generally accepted that the interface between rigid inclusions  
317 (sand or aggregate particles) and binding matrix (cement paste) is more porous and possesses  
318 different effective properties than the cement paste itself [54]. This interface has been  
319 classically modelled as a homogeneous layer that envelops the inclusions and several studies  
320 have used this approach to determine the homogenised elastic properties of the mortar  
321 mesostructure [55], [56]. This approach was not considered by [2], [57], [58], who proposed  
322 heterogeneous models by which the elastic properties evolve in the interface according to a  
323 power law. Similarly, [59] proposed a model of the interface consisting of several concentric  
324 layers of the same thickness and whose elastic properties vary according to the distance from  
325 the inclusion. In their study, the authors also proposed a method to homogenise the elastic  
326 properties of the interface and show that its thickness has little influence on the macroscopic  
327 response. On the other hand, it is the fracture properties of the interface which drive the crack  
328 path and significantly affect the overall behaviour of the mortar. This influence is explained  
329 by the weakness of the interface with respect to the cementitious matrix, which causes the  
330 cracks to pass through the inclusion-matrix interface, as shown in Figure 10.

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**Figure 10. Cracking at the mortar scale [60].**

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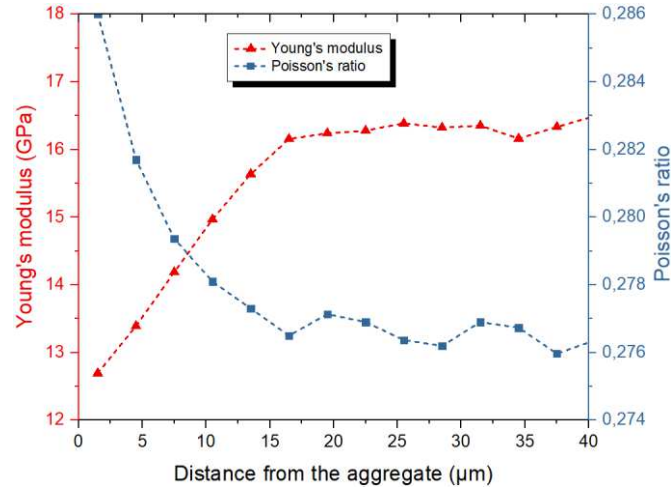
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In this study, the hydration model is used to simulate the evolution of the hydration near the surface of sand particle. Figure 11 illustrates the evolution of the Young's modulus and the Poisson's ratio within the ITZ, calculated using differential effective medium theory, or D-EMT [19]. The thickness of the ITZ is taken as 20 μm, which the distance above which the elastic moduli no longer change. To calculate the homogenised elastic moduli, the ITZ is subdivided into 10 layers of the same thickness and then homogenised as proposed in [59]. The effective elastic moduli are  $E_{ITZ} = 14.81\text{GPa}$  and  $\nu_{ITZ} = 0.279$ . Compared to the bulk cement paste, the difference is explained by the porous nature of the ITZ, leading to a lower Young's modulus and a higher Poisson's ratio. Some authors have observed an important influence of the aggregate shape on the properties of ITZ, particularly the diffusivity and the permeability [61], [62]. However, little to no effect is noticed on the degree of hydration, and little change is witnessed regarding the elastic properties, as long as the sphericity is the particle is close to 1 [61]. The sphericity of the sand used in this study was not measured, but since sand particles in mortar are typically spherical, with sphericity in the range of 0.7-0.95 [63], the exact shape of sand particles was not taken into account. In fact, the integration of complex shapes in the mesostructure generation procedure requires advanced algorithms with additional parameters to calibrate. One of the goals of this study is to provide an upscale methodology that does not depend on additional calibration coefficients at the mesoscale.



353  
354 **Figure 11.** Evolution of the elastic moduli in the ITZ as a function of the distance from the  
355 sand inclusion surface.  
356

## 357 2.4. Grain rupture and particle size effect

358  
359 Granular materials like sand particles, crushed rocks and gravels are crushable  
360 materials and generally have brittle behaviour. The effect of grain size distribution on sand  
361 grain crushing has been identified as one of the micromechanisms governing the stress–strain  
362 behaviour of sand. McDowell et al. [64], [65] assumed that the phenomenon of particle  
363 crushing does not occur spontaneously when a certain stress state is achieved. Instead, it  
364 follows the particle size and the survival probabilities of particles which can be described  
365 using the Weibull function, from which it follows that the particle size distribution evolves  
366 towards a fractal distribution. The effect of particle size on rupture of individual sand and  
367 aggregate particles has been studied experimentally [66]–[70] and numerically [71]. Just like  
368 with any brittle material, the experimental evidence shows that there is a size effect on the  
369 crushing strength, expressed as an inverse relation between particle size and strength.

370 A study by Jaeger [72] proposed an inversely proportional relationship between the  
371 characteristic tensile strength of aggregate particles,  $\sigma_f$ , and the square of the particle size  $d$   
372 ( $\sigma_f \propto d^{-2}$ ). Lee [73] proposed a similar empirical relation, where the power coefficient  $\alpha$   
373 varies depending on the nature of the sand studied:  
374

$$\sigma_f \propto d^\alpha \quad (8)$$

375  
376 Lee [73] reported values of  $\alpha$  equal to -0.357, -0.343 and -0.420 for Leighton Buzzard  
377 sand, oolitic limestone and carboniferous limestone, respectively, using grains from  $d = 1$  to  
378 50 mm. The effect of grain size on its tensile strength can be written from the empirical law in  
379 a probabilistic form using Weibull statistical theory [70]:  
380

$$\sigma_f \propto d^{-n_d/m} \quad (9)$$

381  
382 where  $m$  controls the amplitude of data scatter on crushing strength. Higher  $m$  values  
383 of around 3 to 4 correspond to sound heterogeneous materials such as quartz sands, biotite  
384 and ballast. On the other hand, for feldspars, limestones and carbonates,  $m$  varies between 1  
385 and 3.  $n_d$  is the geometric similarity of the mechanical problem [74]. For soil particles and  
386 rock aggregates under compression and assuming an isotropic homogeneous material, it has



387 been generally assumed that failure occurs under bulk induced tensile stress, which gives  
 388  $n_d=3$  [75]. Ratigan [76] proposed geometric similarities of  $n_d=2$  for tensile failure. However,  
 389 many results have shown that  $n_d \leq 1$  better fits the experimental findings [70], [77].

390 In this study, the effect of grain size on fracture of sand particles has been applied  
 391 according to Weibull statistical theory (Equation (9)) where the diameter of sand particles  
 392 ranges from 1 to 4 mm.  $n_d$  and  $m$  were taken equal to 1 and 2.85 respectively. It is admitted  
 393 that the aggregate size distribution has an influence on the damage of concrete [78]–[80], but  
 394 no study has been found in the literature about the grain size effect on the concrete failure.  
 395

### 396 **3. Numerical results of mortar failure**

#### 397 **3.1. A new random particle generation algorithm**

398  
 399 Multiple random particle generation methods are proposed in the literature. In general,  
 400 particles or inclusions of different shapes and orientations are generated and randomly  
 401 distributed in a given space. A binding matrix is then created in between the inclusions to  
 402 build the virtual composite material. Among these methods, classical algorithms such as the  
 403 widely-used take-and-place method [1], [78], [89]–[92], [81]–[88], the random-extension and  
 404 random-walking algorithms [93]–[95], suffer from a numerical sluggishness due to constant  
 405 overlap-checking between placed particles during the generation procedure. Alternative  
 406 methods such as the divide-and-fill [96]–[99] and the heuristic method [100] avoid the  
 407 overlap-checking, but at the expense of the representativeness of the mesostructure. Such  
 408 problems occur notably when mesostructures are dense and contain an important number of  
 409 particles to be placed.

410 A random particle generation algorithm, designated the zone-of-influence method, is  
 411 proposed for creating a virtual mesostructure of matrix-inclusion type material systems, e.g.  
 412 mortar and concrete. This method avoids recursive loops used for overlap-detection as well as  
 413 complicated rules for placing new particles, thus increasing the effectiveness of the method  
 414 and its speed. The algorithm can be easily accommodated for different microstructural shapes  
 415 (notched beams, cylinders, I and H beams, etc.) and works for both 2D and 3D computations.  
 416 First, particles are subdivided into classes ranging from the biggest to the smallest based on  
 417 their size, and particles within the same class are considered to have the same size. Second,  
 418 the REV size and shape are defined. The steps of the placement procedure are the following:

- 419 1. For each class  $C_i$ , a feasible region  $P_i^{ad}$  is defined inside the REV (Figure 12-2b).  $P_i^{ad}$   
 420 corresponds to the set of points where the particle can be potentially placed without  
 421 worrying about overlap. Since no particles have been placed yet,  $P_i^{ad}$  initially takes account  
 422 only of the wall effect by removing the edges that correspond to the radius  $R_i$  of class  $C_i$ .
- 423 2. The particles are then placed according to their size starting with the particles of class  $C_1$   
 424 (Figure 12-2c). For each new particle with radius  $R^N$  to be placed, a random position is  
 425 chosen from  $P_1^{ad}$  as a definitive position for the particle. The particle is also placed at the  
 426 same position in all feasible regions of lower sizes (the architecture of the algorithm  
 427 ensures that if point  $x$  exists in  $P_\alpha^{ad}$ , it also exists in  $P_{\beta, \beta > \alpha}^{ad}$ ). For each particle in class  $C_i$ , a  
 428 zone of influence  $Z_{inf,i}^N$  is determined according to the equation:

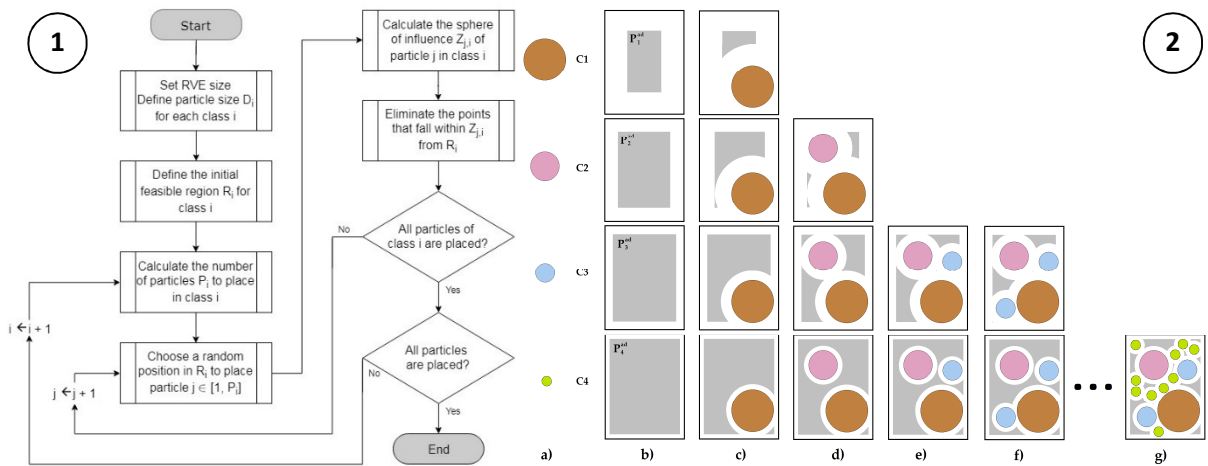
$$429 \quad Z_{inf,i}^N = \{x \in P_i^{ad}, \|x\| < R_i + R^N + G_i^N\} \quad i \in [1, n] \quad (10)$$

430  
 431 This zone corresponds to the set of points that would definitely lead to overlap between  
 432 particles. It is therefore removed from the feasible regions according to size (Figure 12-2c).

- 433 3. Once all the particles in  $C_1$  are placed,  $P_1^{ad}$  is discarded and the algorithm proceeds to the  
 434 placement of the  $C_2$  particles in the same manner. In the illustrative example, only one



435 particle from  $C_1$  was placed and only one from  $C_2$  is to be placed. In this case, a random  
 436 position is chosen from  $P_2^{ad}$  without the need for overlap-checking (Figure 12-2d). Since  
 437 there is only one particle in  $C_2$ , there is no need to calculate and remove the zone of  
 438 influence from  $P_2^{ad}$ . This is because no further  $C_2$  particle needs to be placed and therefore  
 439 no overlap condition needs to be checked with placed  $C_2$  particles (none in this case).  
 440 4.  $P_2^{ad}$  is then discarded and two particles from  $C_3$  are placed (Figure 12-2e/f). Here, the first  
 441  $C_3$  particle must be placed in  $P_3^{ad}$  before including the second particle, otherwise, there is  
 442 no guarantee that the two particles would not overlap.  
 443 5. The procedure continues with the placement of all particles in the last class  $C_4$  by choosing  
 444 random positions from  $P_4^{ad}$  and removing the corresponding zones of influence after each  
 445 particle placed (Figure 12-2g).  
 446 6. Finally, the microstructure is retrieved from the last remaining REV box. As shown in the  
 447 flowchart of the algorithm presented in Figure 12-1, there is no overlap-checking loop,  
 448 which considerably reduces the time of the generation method.  
 449



450  
 451 **Figure 12.** Zone-of-influence method: (1) algorithm for the random particle generation and  
 452 placement, and (2) an illustrative step-by-step example for mesostructure generation.  
 453

454 In order to demonstrate the effectiveness of the new zone-of-influence method (NM)  
 455 against the old take-and-place method (OM), simulations are carried out by varying the filling  
 456 degree and the REV size of the mesostructure. The grading curve is subdivided into 9 classes,  
 457 according to Table 3. Similar conditions are used for both simulations in terms of allocated  
 458 CPUs and memory. Figure 13-1 shows that, up to a filling degree of 40%, the logarithm of the  
 459 elapsed time taken by the old method increases linearly with the filling degree, as attested in  
 460 earlier studies [96]. For higher filling degrees, the elapsed time sharply increases, as the old  
 461 method struggles to find appropriate places for new particles. In contrast, the time taken by  
 462 the new method to generate the same mesostructures increases linearly with the filling degree.  
 463 Using the new method, it is therefore possible to construct a mesostructures with a 55% filling  
 464 degree in 10 seconds, instead of 30 hours taken by the old method. The new method can also  
 465 reach higher filling degrees in a short time, e.g. taking only 11 seconds for a filling degree of  
 466 73.5%.

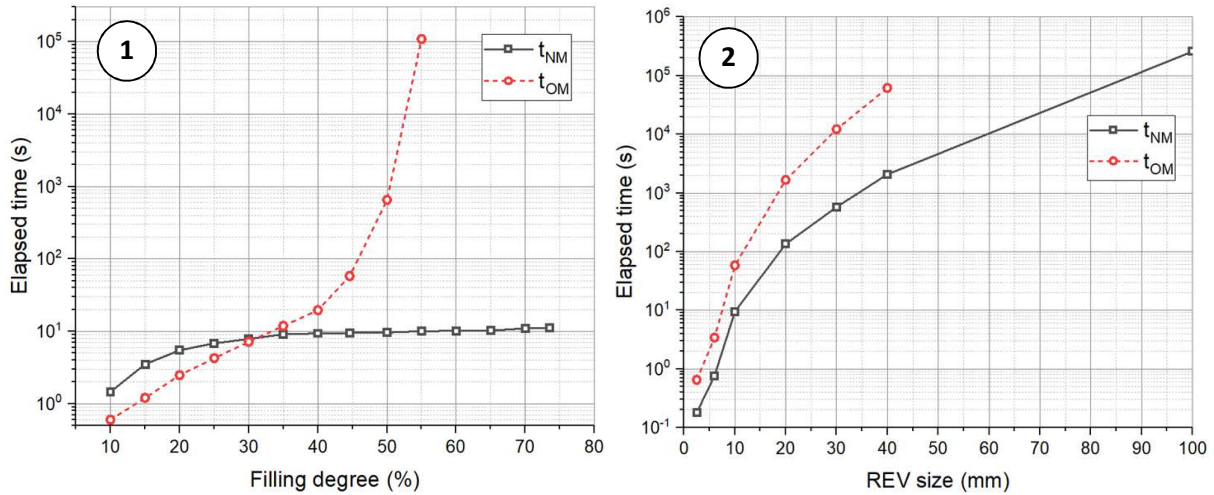
467 On the other hand, Figure 13-2 demonstrates the speed of the new method relative to  
 468 the classical algorithm. The time it takes to construct the mesostructure with an REV size of  
 469 10mm is reduced sixfold by using the new algorithm (~58s with the OM against 9.5s with the  
 470 NM). The performance increases with REV size, as it takes ~17.1 hours to generate an REV  
 471 size of 40mm with the OM, but only ~34 minutes with the NM. It was also possible to reach  
 472 bigger REV sizes with the zone-of-influence algorithm.

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**Table 3.** Grain size distribution of sand.

Class	1	2	3	4	5	6	7	8	9
Diameter (mm)	3.6	3.0	2.4	1.8	1.2	0.8	0.6	0.4	0.2
Volume fraction (%)	3.04	3.8	5.51	8.01	16.11	9.11	11.97	15.76	26.68

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**Figure 13.** Comparison between the zone-of-influence (NM) and take-and-place (OM) methods: (1) as a function of the filling degree with a fixed REV size = 10mm, (2) as a function of REV size, with a fixed filling degree = 45%.

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### 3.2. Mortar beam mesh

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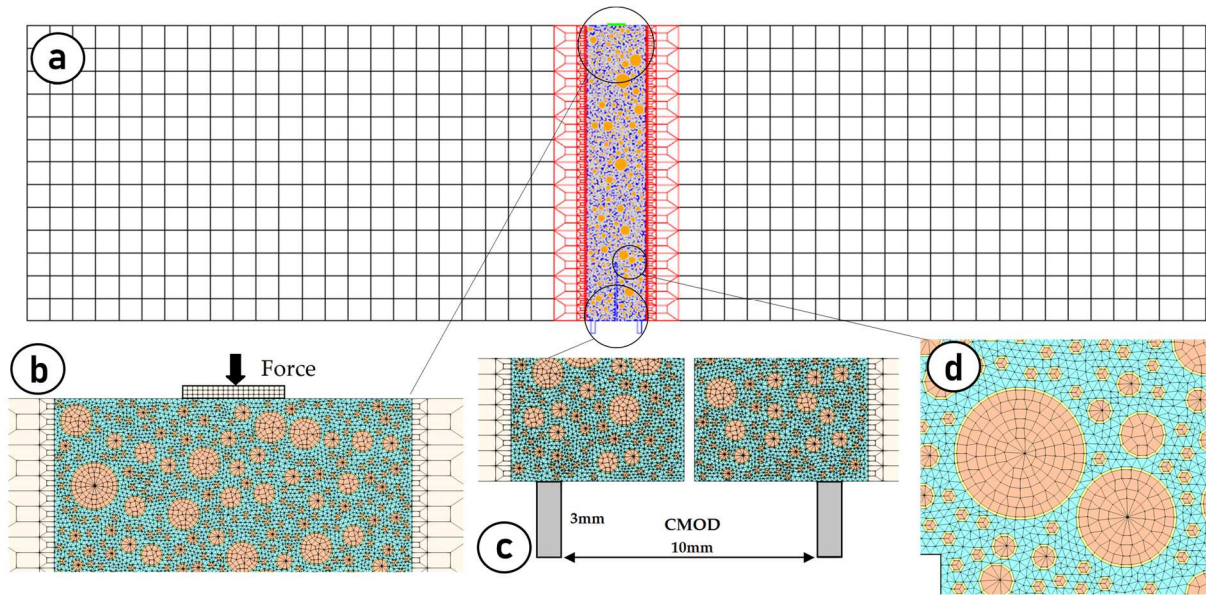
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The mortar specimen presented in section 2.1 was numerically created using finite elements, by decomposing the beam into three parts, as shown in Figure 14a. To reduce computation time, the two lateral parts (one on each side) were macroscopically represented by a homogenous material whose properties are homogenised mortar. Only the central part, where the damage develops, was represented as a three-phase material, with ITZ modelled by a single homogenised layer. Simulations were performed in 2D plane-stress, by considering a thin thickness of 20mm. This assumption is relevant because we have considered small displacements and at each numerical step they are smaller than the thickness, far from the edge, and the damage does not develop in any finite elements of the lateral parts of the beams. In addition to that, the macroscopic and mesoscopic parts are linked by a connection grid, considered as homogenous material [21], as shown in Figure 14b. Finally, two elastic rods are considered at the bottom of the beam, near the notch, in order to measure the CMOD the same way it was measured experimentally, as illustrated in Figure 14c.

For the mesoscopic part, the new random particle generation method was used to generate the inclusions with their interfaces in the cement paste matrix, respecting the overlap conditions and the boundary limits imposed by the walls and the notch (Figure 14d). The inclusions are approximated by polygons whose number of segments is calculated so as to respect as much as possible a length of 200 $\mu$ m for each segment. In addition, a minimum thickness of cement paste is kept in between inclusions. This choice makes it possible to avoid flocculation of the finite elements corresponding to the inclusions, so as not to create a very rigid mesostructure.

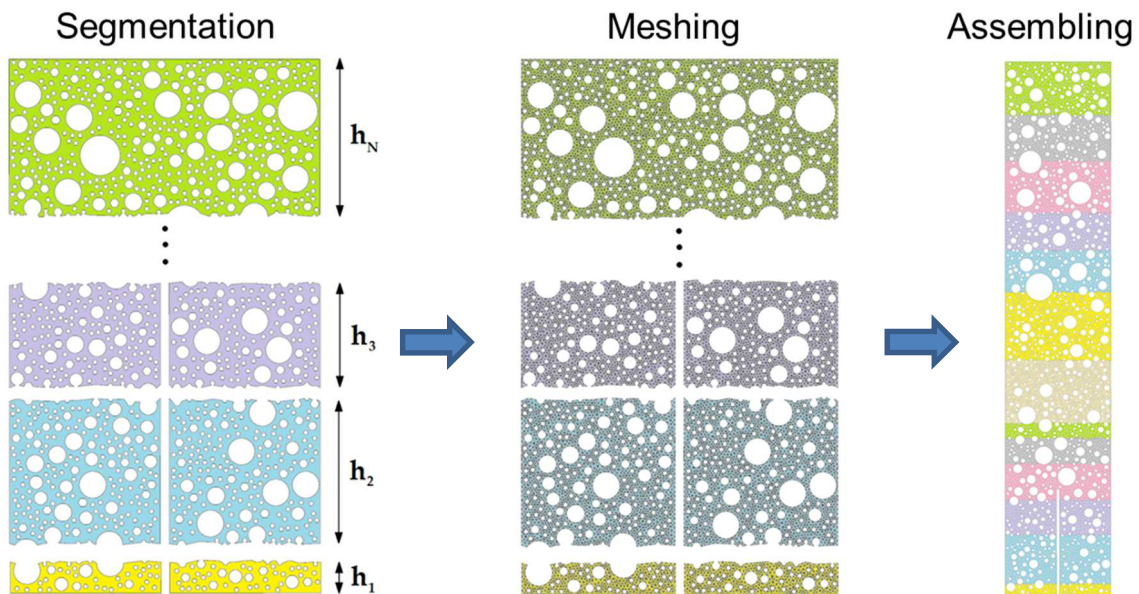
The mesh of the matrix can be created using the 'SURF' operator proposed in Cast3M. However, for complex geometries such as the cement matrix, this process becomes practically

506 impossible. In order to avoid computational difficulties of creating fine meshing, a multi-layer  
 507 contour cutting procedure is used. As illustrated in Figure 15, the cement paste matrix in the  
 508 mesoscopic part is first segmented into smaller parts, with heights  $h_1, h_2 \dots h_N$ . The layers  
 509 are meshed separately and then connected in the final assembly. This procedure makes it possible  
 510 to create the mesh more quickly (only 6 minutes) without causing problems with regard to the  
 511 finite element calculations. The average characteristic size of the elements of the binding  
 512 matrix is about  $160\mu\text{m}$ , which is close to the REV size of cement paste. The initial sand  
 513 elastic properties have been obtained from an inverse analysis of elastic test on a mortar  
 514 specimen:  $E_s = 78\text{GPa}$ ,  $\nu_s = 0.2$ .  
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**Figure 14.** Mesoscopic finite element construction of mortar beam specimen: a) full specimen with dimensions  $70 \times 70 \times 280\text{mm}$ , b) zoom on the top part of beam, c) zoom on the part of the beam near the notch, d) view of inclusions surrounded by ITZ and binding matrix.



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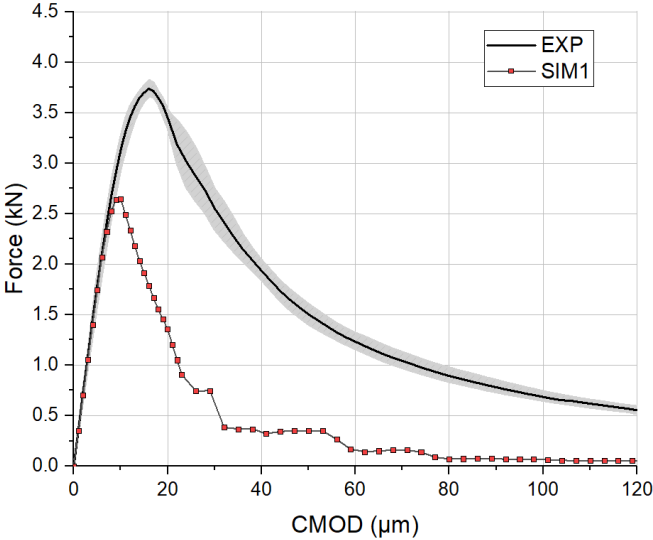
**Figure 15.** Three-step procedure of creating the finite element mesh of the cement paste matrix in the mesoscopic part of the beam.



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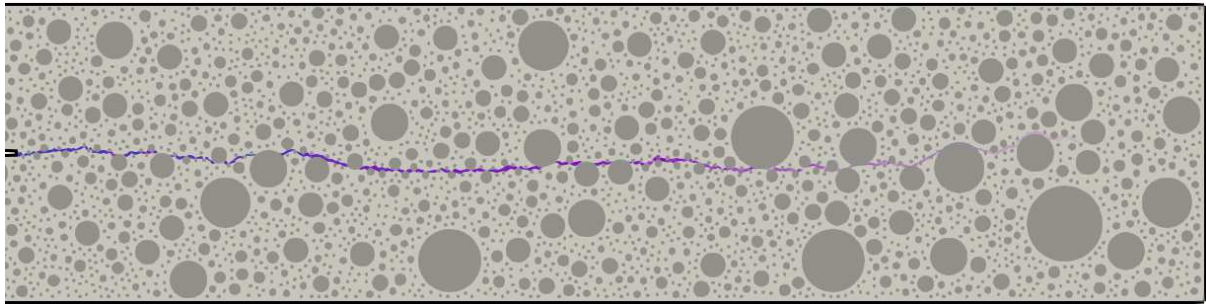
### 3.3. Influence of ITZ properties on the mortar damage behaviour

The first series of the simulations (SIM1) do not take into account the presence of the ITZ. The numerical F-CMOD curve is compared to the experimental curve (Figure 16). This comparison shows that the mortar is less resistant and more brittle than expected. An analysis of the crack propagation, shown in Figure 17, reveals that the main crack develops at the tip of the notch and advances inside the bulk matrix with no contribution from the aggregates (no rupture of sand particles). The brittle behaviour observed numerically is therefore attributed to the brittle nature of the bulk cement matrix. Even if a damage behaviour was affected to the sand particles and incorporating the grain size effect (with a variation of the tensile strength from 8 to 15MPa and a variation of the fracture energy from 60 to 120J/m<sup>2</sup>), no influence was observed on the global response of mortar. These results show the importance of taking into account the ITZ in the simulation of damage in cementitious materials, which was already discussed by many authors [59], [61], [101], [102].



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Figure 16. Force-CMOD curve of the three-point bending test on mortar - absence of ITZ.



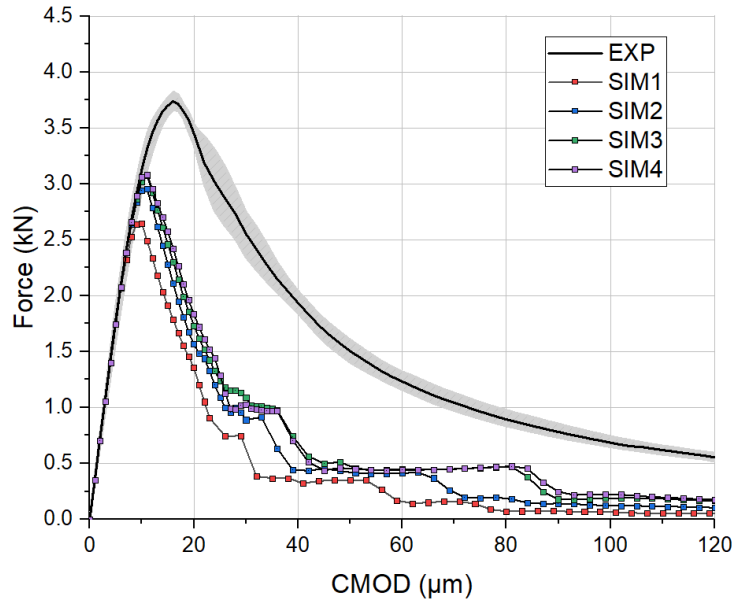
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Figure 17. Crack opening profile in SIM0 simulation - absence of ITZ. (Figure shows only the mesoscopic part).

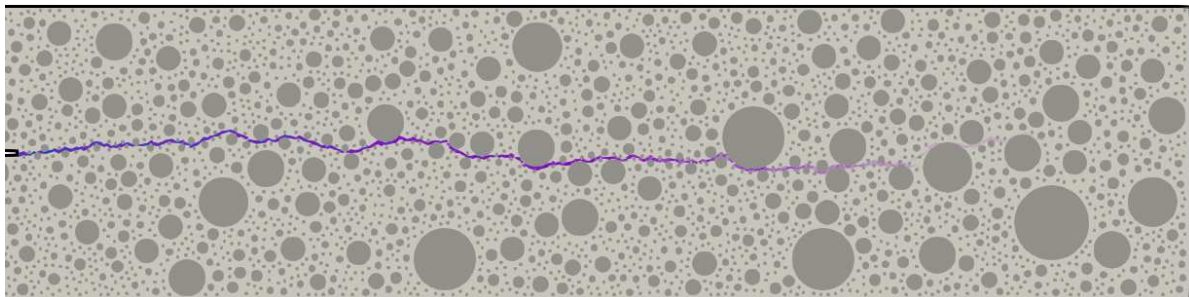
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The second series of the simulations take into account the explicit representation of the ITZ as a separate phase. The provision of the same fracture properties of the bulk cement paste to the ITZ leads to the same behaviour presented earlier. This means that the ITZ with its distinct properties must be the main contributor to the crack arrest mechanisms. A parametric study was therefore conducted to investigate the influence of such properties. First, the tensile strength was fixed at 6.77MPa (the same as the bulk matrix) and the fracture

555 energy was varied between 6.8J/m<sup>2</sup> and 120J/m<sup>2</sup>. The results show that the influence is limited  
 556 and the numerical Force-CMOD curve is still far from the experimental curve (Figure 18).  
 557 The crack profile in Figure 19 shows that the increase is driven by the limited contribution of  
 558 the ITZ's fracture energy when the crack passes along the interface. However, the main crack  
 559 mostly advances through the bulk cement paste without much interaction from the ITZ. This  
 560 is explained by the fact that the damage threshold of the ITZ is bigger than that of the bulk  
 561 paste, since the elastic modulus of the former is smaller due to its porosity (see Equation (4)).  
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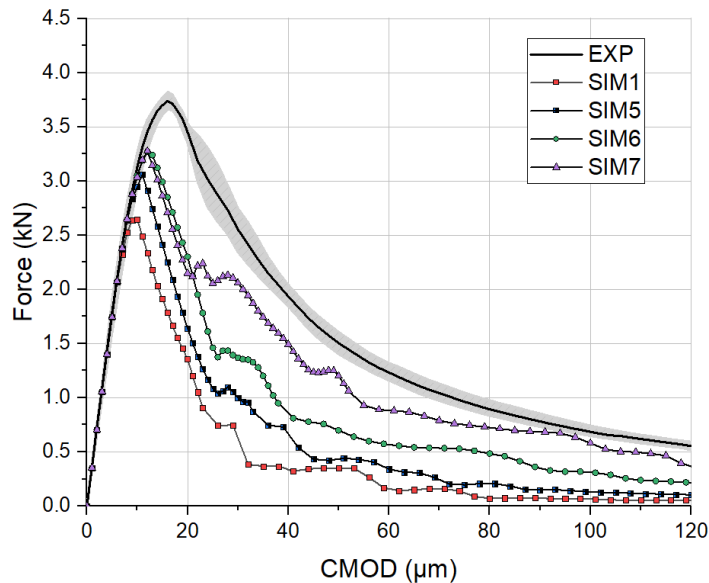


563 **Figure 18.** Influence of the ITZ's fracture energy on the F-CMOD curve ( $f_{t, ITZ}=6.77\text{MPa}$ ).  
 564 SIM2:  $G_{f, ITZ} = 20\text{J/m}^2$ , SIM3:  $G_{f, ITZ} = 50\text{J/m}^2$ , SIM4:  $G_{f, ITZ} = 120\text{J/m}^2$ .  
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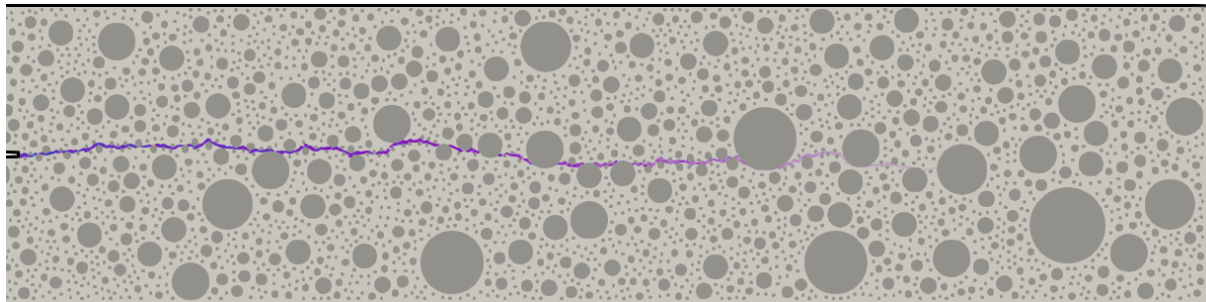


567 **Figure 19.** Crack opening profile in SIM10 simulation – very high fracture energy with the  
 568 same tensile strength for ITZ as for the cement paste.  
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571 For the third series of the simulations, the tensile strength was reduced to 6MPa in  
 572 order to decrease the damage threshold of the ITZ. The fracture energy was again varied  
 573 within the range 20-120 J/m<sup>2</sup>. The resulting F-CMOD curves, shown in Figure 20, show an  
 574 important variation due to the contribution of the fracture energy of the ITZ to the global  
 575 ductility of the material. The curves get closer to the experimental curve as the fracture energy  
 576 increases, but fall short from of the desired behaviour even with high fracture energy for the  
 577 ITZ (120J/m<sup>2</sup>).  
 578



**Figure 20.** Influence of the ITZ's fracture energy on the F-CMOD curve ( $f_{t,ITZ}=6\text{MPa}$ ).  
 SIM5:  $G_{f,ITZ} = 20\text{J/m}^2$ , SIM6:  $G_{f,ITZ} = 50\text{J/m}^2$ , SIM7:  $G_{f,ITZ} = 120\text{J/m}^2$



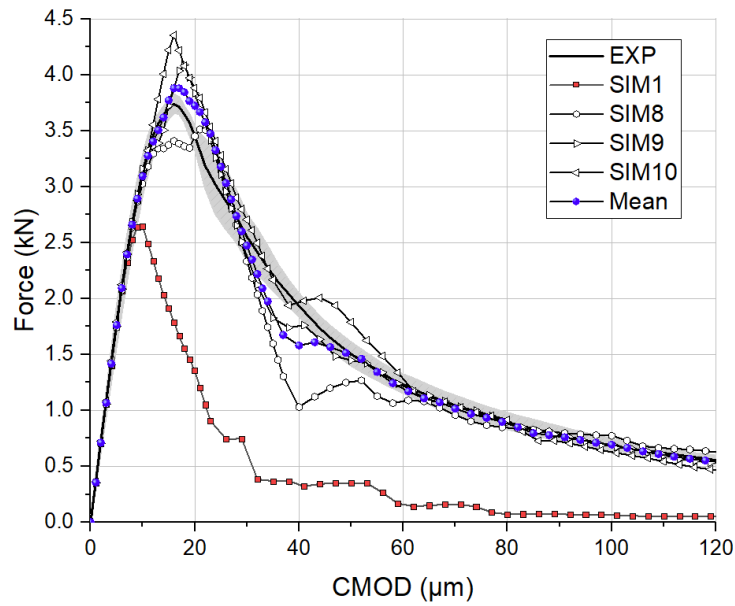
**Figure 21.** Crack opening profile in SIM13 simulation – very high fracture energy with a lower tensile strength for the ITZ than for the bulk cement paste.

### 3.4. Impact of the sand particle size effect on properties of mortar

The presence of a size effect on individual sand and aggregate particles has been studied for the last series. The properties  $f_{t,ITZ} = 6\text{MPa}$  and  $G_{f,ITZ} = 120\text{J/m}^2$  were maintained for the ITZ. The grain size effect law was introduced to the fracture behaviour of the sand particles linking the tensile strength to the particle size ranging from 1 to 4mm, according to Equation (9).

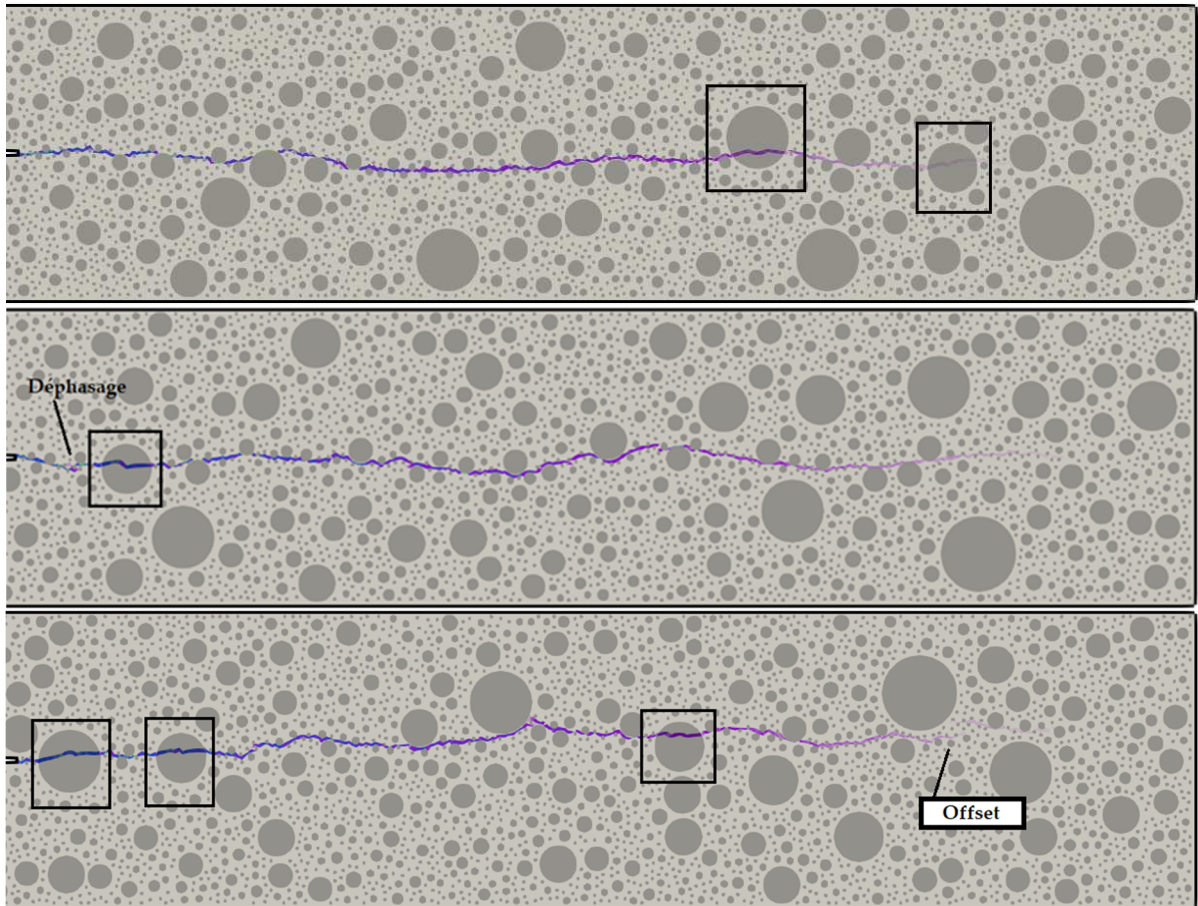
The obtained F-CMOD curve, shown in Figure 22, is in good agreement to the experimental behaviour, due to the contributions from the ITZ's absorption of cracks and the fracture of large sand particles which act as crack arrest mechanisms. A close investigation of the damage and the crack opening profiles (Figure 23) shows that the crack advances from the tip of the notch through the bulk and reaches a rather large sand inclusion. Damage grows inside and the main crack passes through the sand particle. The main crack then travels along the ITZ of smaller inclusions which remain unbroken. Damage grows in the surroundings of the main crack. Occasionally, the crack is arrested due to the presence of a large sand particle or the high fracture energy of the ITZ, provoking the bifurcation of the main crack or forcing its deviation through the bulk cement paste.





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**Figure 22.** *F-CMOD curve after the attribution of a size effect to the grain fracture.*



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**Figure 23.** *Crack opening profile of mortar after the attribution of a size effect to the grain fracture (SIM16, SIM17, SIM18) with three different random particle generation.*

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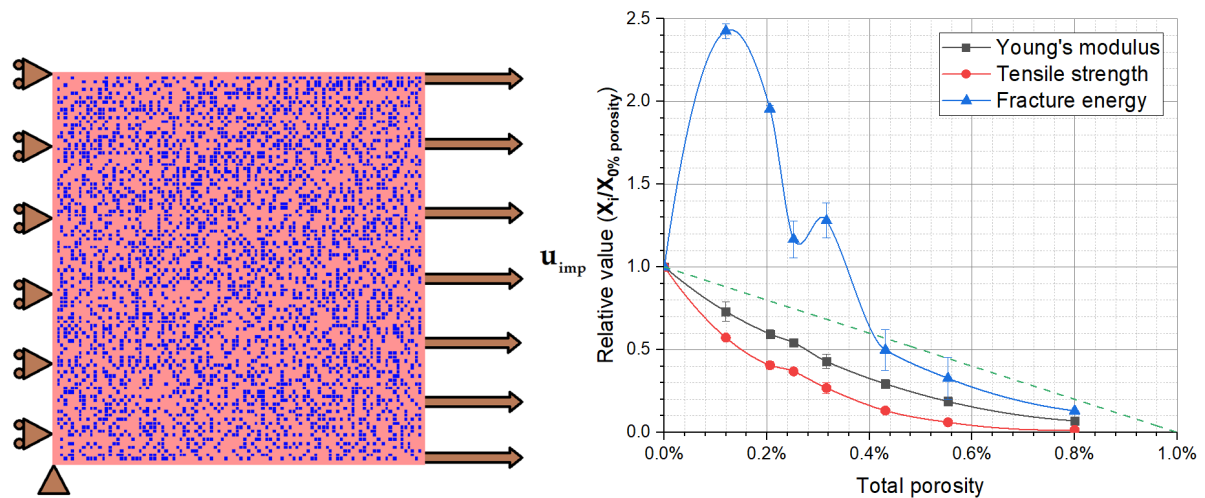
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Despite obtaining a numerical response for mortar that fits the experimental results and a cracking profile that is characteristic of the material, some questions can be raised relative to the high value found for the fracture energy of the ITZ. While it is true that the presence of more porosity leads to arrest mechanisms and therefore a contribution to the total

619 fracture energy, test simulations of a porous material with randomly distributed pores under  
 620 tensile loading show that the total fracture energy can only increase by a small factor (Figure  
 621 24). Therefore, the apparent quasi-brittleness of the mortar is unlikely to be explained by the  
 622 high fracture energy of the ITZ, and more numerical and experimental tests are needed to  
 623 demonstrate how to fully simulate the damage behaviour of quasi-brittle materials (mortar and  
 624 concrete) which are composed of a more brittle matrix (cement paste).

625 This paper does not aim to discuss on the size effect of the structure on the failure of  
 626 concrete but the above results could be considered in a future work to investigate the  
 627 influence of the size effect in grains on the size effect of concrete structure. Indeed, recently  
 628 [79] have showed the influence of the grain-to-structure size ratio on the failure relating to the  
 629 size effect theory of concrete. It was shown that the inclusion has a significant influence. It  
 630 will be interesting to analyse the coupling between these two assumptions.

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Figure 24. Evolution of the homogenised fracture properties of a porous microstructure.

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## 635 4 Conclusions

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637 The purpose of this article was the development of a descriptive model to characterise  
 638 the part of each component on the damage behaviour of mortar. For that, a multi-scale  
 639 approach was suggested starting at the microscopic scale. It was need the determination of the  
 640 damage properties of the bulk cement paste, that of the interface between the last one and the  
 641 sand grains, and that of the sand grains.

642 First of all, the micromechanical properties of cement paste phases were identified and  
 643 fixed regardless of the cement paste composition. This was achieved by generating a virtual  
 644 microstructure of a reference cement paste through a hydration model and implementing it in  
 645 a FE code to simulate the damage behaviour. The homogenised properties of cement paste  
 646 were identified by comparing to experimental data. Also, this method was used to calculate  
 647 the properties of the interface between sand grains and the bulk cement paste at the upper  
 648 scale.

649 Second of all, a three-point bending test conducted on mortar specimen was simulated  
 650 using the homogenised properties from the previous scales. A parametric study was conducted  
 651 with the aim of identifying the influence of the fracture properties of each phase, particularly  
 652 that of the ITZ and the sand particles. The numerical results show that taking into account the  
 653 interfacial zone leads to a constitutive behaviour for mortar that is as brittle as that of the bulk  
 654 cement paste. In addition to that, the simulations also demonstrate the important role of the  
 655 ITZ properties on the global behaviour of mortar and show that the ITZ must have a smaller  
 656 tensile strength and higher fracture energy.

657 The significant result was the highlighting of the size effect of the sand grains on the  
658 damage of mortar. It leads to contribute to the change of behaviour of cementitious materials  
659 from a brittle nature at the microscopic scale to a quasi-brittle nature in the case of mortar or  
660 concrete.

661 These results are important for the analysis of special concrete mixtures in which there  
662 are new materials. For example, the fracture properties of recycled sand grains and recycled  
663 aggregates are often discussed because it is difficult to characterise [13], [103]. Also, the  
664 influence of fibres in reinforced cementitious materials could be studied using the proposed  
665 methodology. In fact, the random particle generation algorithm can be modified in order to  
666 account for inclusions with different shapes and orientations, including elliptical particles.

## 668 5 Nomenclature

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Symbol	Designation	Symbol	Designation
$\{\cdot\}_{\pm}$	Macaulay brackets	$G_{f,ph}$	Fracture energy of the cement phase
$\alpha$	Sand fracture model parameter	$G_{f,s}$	Fracture energy of the sand particles
$\alpha_{ft}$	Hardness-tensile strength proportionality constant	$G_i^N$	Gap between particles of the class $C_i$ when placing new particle with radius $R^N$ (particle generation algorithm)
$\beta_{Gf}$	Elastic energy-fracture energy proportionality constant	$h$	Regulisation length (damage model)
$B_T$	Model parameter controlling the softening behaviour	$HD_{ph}$	Hardness of the cement phase
$C-S-H$	Calcium-silicate-hydrate (cement phase)	$ITZ$	Interfacial transition zone
$C_i$	Sand particle class $i$ with the same size (particle generation algorithm)	$\kappa_0$	Damage threshold
$CMOD$	Crack mouth opening displacement	$m$	Sand fracture model parameter
$d$	Diameter size of sand particle	$\nu$	Poisson's ratio
$D_C$	Damage due to compressive stress	$\nu_{HCP}$	Poisson's ratio of the hardened cement paste
$D_T$	Damage due to tensile stress	$\nu_{ITZ}$	Poisson's ratio of the ITZ
$DOE$	Design of experiments	$\nu_{ph}$	Poisson's ratio of the cement phase
$\epsilon_{eq}$	Equivalent strain	$\nu_s$	Poisson's ratio of the sand particles
$\epsilon_{ii}$	Eigenvalues of the strain tensor	$n_d$	Sand fracture model parameter
$E$	Young's modulus	$NM$	New method (new particle generation algorithm)
$E_{HCP}$	Young's modulus of the hardened cement paste	$OM$	Old method (classical take-and-place algorithm)
$E_{ITZ}$	Young's modulus of the ITZ	$P_i^{ad}$	Points in the feasible region of class $C_i$ (particle generation algorithm)
$E_{ph}$	Young's modulus of the cement phase	$REV$	Representative elementary volume
$E_s$	Young's modulus of the sand particles	$R_i$	Particle radius of the class $C_i$ (particle generation algorithm)
$f_t$	Poisson's ratio	$R^N$	Radius of a new particle to be placed (particle generation algorithm)
$f_{t,HCP}$	Tensile strength of the hardened cement paste	$\sigma$	Total stresses (damage model)
$f_{t,ITZ}$	Tensile strength of the ITZ	$\tilde{\sigma}$	Effective stresses (damage model)
$f_{t,ph}$	Tensile strength of the cement phase	$\sigma_f$	Tensile strength of the sand

$f_{t,s}$	Tensile strength of the sand particles	$t_{NM}$	Time elapsed for the new algorithm of particle generation
$FE$	Finite element	$t_{OM}$	Time elapsed for the old algorithm of particle generation
$G_f$	Fracture energy	$VCCTL$	Virtual Cement and Concrete Testing Laboratory
$G_{f,HCP}$	Fracture energy of the hardened cement paste	$w/c$	Water-to-cement ratio
$G_{f,ITZ}$	Fracture energy of the ITZ	$Z_{inf,i}^N$	Zone of influence corresponding to the class $C_i$ when placing a new particle with radius $R^N$ (particle generation algorithm)

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