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## Fetal sheep cerebral electrical activity: a new technique to record EEG

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## Highlights:

- Description of a new method for in utero fetal sheep EEG recording.
- The EEG technique is simpler and less invasive than the standard technique
- Fetal EEG had reliable visual and quantitative characteristics compared to ECOG
- In utero EEG recording can be used to study cerebral response to perinatal anoxia

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#### Abstract

Background: Sheep models are commonly used to study fetal cortical activity, including response to hypoxia. The standard technique consists of recording electrocorticogram (ECOG) in utero using electrodes placed on the dura mater.

New Method: We propose a new method for recording the electroencephalogram (EEG) of fetal sheep, using electrodes placed above the skull bone and fixed to the cranial periosteum.

Results: Twelve animals were instrumented with this new technique. The EEG signal recorded in utero was of sufficient quality for visual and quantitative analysis of the fetal cortical activity.

Comparison with Existing Method: This new method is less invasive than the standard method commonly used to record cerebral activity in fetal sheep, because it avoids drilling the skull by hand. The EEG signal recorded in utero had visual and quantitative characteristics comparable to ECOG.

Conclusions: We present a new method of EEG recording that appears to be an acceptable alternative to the standard ECOG recording method. Fetal sheep EEG can be used to better understand the physiological mechanisms involved in the cerebral response to hypoxia.


Key words: EEG technique; fetal sheep; perinatal hypoxia

## Abbreviations

| CFM | Cerebral Function Monitor |
| :--- | :--- |
| ECG | Electrocardiogram |
| ECOG | Electrocorticogram |
| EEG | Electroencephalogram |
| FSI | Fetal Stress Index |
| HIE | Hypoxic-ischemic encephalopathy |
| HRV | Heart Rate Variability |
| Max Amp | Maximal Amplitude Index |
| Min Amp | Minimal Amplitude Index |
| 95\% SEF | Spectral Edge Frequency 95\% |
| UCO | Umbilical Cord Occlusion |

## 1. Introduction:

First recordings of in utero fetal sheep cortical activity were reported in the early 1970s (1-4). Brain maturation in the fetal sheep is faster than fetal human brain but follows the same model with comparable patterns of electrocortical activity (5-8). Access to cerebral activity in utero is of particular interest for studying the normal evolution of fetal electrocortical activity during gestation or the response to events such as perinatal anoxia. Since then, the standard technique is the recording of an electrocorticogram (ECOG) using electrodes placed directly on the dura mater of the fetal sheep (9-11): after a laparotomy with exposure of the fetal head, a midline scalp incision is made, the periosteum is removed from the calvarium and stainless steel electrodes screws are inserted through burr-holes into the bone, their ends rested on the dura mater. A mold is formed from dental acrylic cement over the electrodes providing both mechanical stability and insulation from the scalp and the amniotic fluid. However, this technique requires drilling the skull by hand for electrode placement, which is quite invasive and can, in our experience, result in complications such as extradural hematomas and even fetal loss.

We propose herewith a new technique of in utero electroencephalogram (EEG) recording using electrodes fixed above the cranial bone, which avoids drilling burr-holes.

## 2. Methods:

### 2.1. Ethics

The anesthesia, surgery and experimental protocols followed the recommendations of the National Institutes of Health guide for the care and use of Laboratory animals (NIH Publications No. 8023, revised 1978) and the ARRIVE guidelines. The study was approved by the local Animal Experimentation Ethics Committee (Approval No. 2016121312148878).

### 2.2.Surgical procedure

Pregnant ewes (breed 'Ile de France', coming from Tours'INRA, Orfrasière animal physiology experimental Unit, Val de Loire Center) were housed at least 7 days prior to intervention in metabolic cages with unlimited access to water, hay and a 400 g portion of granules was administered twice a day. A 24-hour fast was imposed before the operation. Near term fetal sheep (124+/-1 days gestational age, term: 145 days) were surgically instrumented to record cortical activity in utero, during and after hypoxic insults.

Surgery was performed under general anesthesia: premedication with Xylazine 0.3 $\mathrm{ml} / \mathrm{kg}$ (Sedaxylan® 20 $\mathrm{mg} / \mathrm{ml}$, Dechra, Netherlands), ventilation with halogen gas before intubation and mechanical ventilation, sedation maintained by inhaled Isoflurane during the operation (Isoflurane, Aerrane ${ }^{\circledR}$, Baxter, Maurepas, France). Maternal analgesia was provided by an intramuscular injection of Buprenorphine $0.6 \mathrm{ml} / 10 \mathrm{~kg}$ (Bupaq${ }^{\circledR}$, Virbac, France) at the beginning of the operation. Intramuscular antibiotic prophylaxis of 1mL/10kg Amoxicillin (Clamoxyl LA® $150 \mathrm{mg} / \mathrm{ml}$, Zoetis, France) was administered intra-operatively to the mother.

We followed our usual protocol (12-15): the right anterior forelimb of the fetus was delivered through maternal midline laparotomy and hysterotomy under surgical asepsis conditions. Axilla skin incision was made after local anesthesia, provided by subcutaneous injection of 1 mL lidocaine hydrochloride $10 \mathrm{mg} / \mathrm{mL}$ (Xylocaine® Astrazeneca, Reuilmalmaison, France), combined with fetal analgesia by intramuscular injection of 0.3 mL Buprenorphine (Bupaq®, Virbac, France). 4Fr diameter catheter (Arrow, USA) was inserted into the right axillary artery until reaching the fetal aorta for hemodynamic parameters recording and fetal blood sampling. The same procedure was repeated on the left. Four precordial electrodes (MYWIRE 10, MAQUET, Germany) were placed in axillary intercostal muscles for ECG signal recording.

An occluder (OC VO-16HD - DOCXS Biomedical Products - Ukiah, California) was placed around the umbilical cord of the fetus to induce cord compression by injection of saline.

For EEG electrodes placement, the fetal head was exposed through the hysterotomy. Two pairs of pre-prepared needle electrodes (MYWIRE 10, MAQUET, Germany Figure 2B) were set up on both sides over the frontal and parietal cortex, 10 mm lateral to the sagittal suture, and about 5 mm anterior and 10 mm posterior respectively to the fronto-parietal suture (Figure 1). A reference electrode and a ground electrode were placed over the occiput. Skin incision of 5 mm was made for each electrode, ensuring good control of hemostasis at the level of the scalp. The electrode was placed so that the bared portion was under the periosteum, in contact with the bone thus completely isolated from amniotic fluid (Figure 2). The scalp was then sutured, the electrode was tied around the suture and fixed.

Placental circulation was maintained intact and the fetus was placed back into the uterus. An additional 5Fr diameter catheter (Arrow, USA) was placed into the amniotic
cavity for measuring baseline pressure. Uterus and abdominal wall were closed after instillation of 500 mg of amoxicillin-clavulanic acid (Amoxicillin-clavulanic acid SANDOZ®, Sandoz, Levallois-Perret, France) into the amniotic fluid. The occluder, EEG and ECG wires, fetal and intra-amniotic catheters connected to extenders, were externalized on the right lateral flank of the sheep by subcutaneous tunneling.

After the operation, the sheep were allowed to eat and drink. The permeability of the fetal catheters was maintained by daily injection of $10 \mathrm{IU} / \mathrm{mL}$ isotonic heparinized saline (Heparin CHOAY®5000UI, Sanofi-aventis France, Paris). Postoperative analgesia was provided by a maternal intramuscular injection of $0.3 \mathrm{~mL} / 10 \mathrm{~kg}$ Buprenorphine (Bupaq${ }^{\circledR}$, Virbac, France) 24 and 48 hours postoperatively.

### 2.3. Experimental procedure

After three days of post-operative rest, animals were subjected to a labor-like acidosis using repetitive umbilical cord occlusion (UCO) with increasing severity as described by Prout and al (16). Total UCO was induced by injecting an isotonic solution into the occluder (the required volume was calculated during surgery). After a one-hour stability control period, three phases of successively mild, moderate and severe repetitive UCOs were performed for one hour each. One-minute UCOs were repeated every 5 minutes during the phase A (mild UCOs), every 3 minutes during the phase B (moderate UCOs) and every 2 minutes during the phase C (severe UCOs). The protocol was interrupted if the arterial pH obtained reached 6.90 or less.

After the occlusion phases, fetuses were monitored continuously for a 6-hour recuperation phase and were allowed to recover for 48 hours. After the experiment, animals were sacrificed by an intravenous injection of a veterinary anesthetic: $6 \mathrm{ml} / 50 \mathrm{~kg}$ of

T61® (Embutramide 20g, Mebezonium iodide 5g, Tetracaine hydrochloride 0.5 g ,
Dimethylformamide 60 ml ).


Fig. 1 - Electrode placement on the head of the fetal lamb
A. Fetal head exposed through the hysterotomy during the surgical procedure (anterior up, posterior down on this picture)
B. Front view of a fetal sheep skull. Placement of EEG electrodes.


Fig. 2 - Positioning of the EEG electrodes
A. Pattern of pose
B. Step by step illustration of the technique on post-mortem fetal sheep skull.

The needle electrode is placed underneath the periosteum (1) so that the barred portion of the wire is directly in contact with the bone (2), then tied with a knot (3).

### 2.4. EEG recording and data analysis

EEG was continuously recorded during the one-hour stability phase, the occlusion phase, up to 6 hours (H6) post-occlusion during the recovery phase and one hour at 24 hours (H24) and 48 hours (H48). The four channels (BRE1, 2, 3, 4) were monopolar recorded against a reference electrode using Micromed EEG System®. The signal was filtered with high-pass and low-pass filters at 0.5 and 70 Hz respectively and digitalized at a sampling rate of 256 Hz .

Recordings were analysed offline on the System Plus Evolution Software ${ }^{\circledR}$ unit (Micromed SAS, Macon, France) at specific time points. Each record was visually analysed by a neurophysiologist using a bipolar montage. Quantitative analysis was performed using the program "EEG Analyser" (Micromed®) and the EEG parameters were calculated every two seconds over the analysed periods. The amplitude was measured by the minimal and maximal Amplitude Index (min and max Amp), defined as the minimal and maximal peak of amplitude ( $\mu \mathrm{V}$ ), measured using CFM after filtering the signal at 220 Hz . The Spectral Edge Frequency was defined as the frequency (Hz) below which 95\% of the power in EEG exists ( $95 \%$ SEF).

## 3. Results:

Twelve animals were instrumented with the EEG technique. Two manipulations were unsuccessful (rupture of the umbilical cord occluder and a fetal death during the protocol). The time required to fix the electrodes was not measured precisely for all manipulations but estimated at about 5 minutes per electrode, i.e. a total of 15 to 30 minutes' maximum for 6 electrodes depending on the number of operators (1 or 2 ).

The recorded EEG signal was of sufficient quality for visual and quantitative analysis. The signal artefacts were intermittent and consisted mainly of large waves related to movements of the ewe or the fetal lamb but did not interfere with the EEG visual analysis (Figure 3).

Considering the qualitative visual analysis, the EEG signal was continuous and labile in stability phase. Two kinds of electrical activities could be visually distinguished: high-voltage slow activity and low-voltage fast activity (Figure 4A and B). Concurrently with UCOs, we could visually observe a decrease in the signal amplitude, frequently followed by large amplitude artefacts (Figure 4C). At the beginning of the recovery phase, the signal amplitude was generally depressed with slower frequencies. During the six hours of recovering, we observed a progressive enrichment of the signal with reappearance of the lability. Spikes and periods of rhythmic activity were observed in some tracings during this phase, which could correspond to seizures (Figure 4D). After the maternal sacrifice, the amplitude of the EEG signal was decreasing to an inactive tracing in less than 20 minutes (7.50 min in average $\pm 5.32$ [1.2-19.0]) (Figure 4E).

The EEG quantitative measures are presented in Table 1 and Figure 5 but are not subject to statistical analysis as this is not the objective of this paper.


Fig. 3 - Example of EEG tracing with signal artefact
Transverse (black) and longitudinal (blue) bipolar montage with Brel to 4 corresponding to the EEG electrodes shown in Figure 1B).

The artefact (framed in red) was recorded during the stability period and was concomitant with ewe movements. It is representative of intermittent artefacts we usually recorded with a large amplitude averaging $250 \mu \mathrm{~V}$ here and a slow frequency (peak frequency at 1 Hz ).


Fig. 4 - Examples of EEG tracings at the different phases of the protocol
Transverse (black) and longitudinal (blue) bipolar montage with Brel to 4 corresponding to the EEG electrodes shown in Figure 1B). ECG in red.
A. Stability phase ( 20 sec epoch): low-voltage fast activity with maximum signal amplitude around $20 \mu \mathrm{~V}$, frequency $10-13 \mathrm{~Hz}$.
B. Stability phase ( 20 sec epoch): high voltage slow activity with maximum signal amplitude around $60 \mu \mathrm{~V}$, frequency $2-5 \mathrm{~Hz}$.
C. Moderate umbilical cord occlusion (1 minute of occlusion every 3 minutes; the beginning and the end of the occlusion (occ) are marked by the blue bars): diminution of the signal amplitude; artefacts at the beginning and the end of the umbilical cord occlusion ( 90 sec epoch).
D. Recovery phase / H2 post-occlusions (20 sec epoch): rhythmic activity, recorded on all derivations.
E. Inactive tracing ( 20 sec epoch): 7 minutes after the maternal sacrifice.

## Table 1 - Fetal EEG measurements

|  | Baseline | Mild UCO |  | Moderate UCO |  | Severe UCO |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Phase | Per-UCO | Phase | Per-UCO | Phase | Per-UCO |
| Min Amp ( $\mu \mathrm{v}$ ) | $3.5 \pm 1.6$ | $2.7 \pm 1.4$ | $3.4 \pm 2.8$ | $2.0 \pm 1.2$ | $4.2 \pm 10.3$ | $1.7 \pm 1.3$ | $1.4 \pm 1.6$ |
| Max Amp ( $\mu \mathrm{v})$ | $79 \pm 17$ | $78 \pm 16$ | $76 \pm 20$ | $78 \pm 15$ | $77 \pm 20$ | $80 \pm 12$ | $76 \pm 21$ |
| $95 \%$ SEF (Hz) | $8.7 \pm 3.8$ | $7.6 \pm 2.8$ | $7.9 \pm 3.3$ | $7.2 \pm 3.0$ | $7.5 \pm 3.6$ | $6.3 \pm 2.1$ | $6.0 \pm 2.8$ |

Values are means $\pm$ standard error. $N=10 . U C O=$ umbilical cord occlusion; Min Amp $=$ minimal amplitude; Max Amp = maximal amplitude; $95 \%$ SEF $=95 \%$ Spectral Edge Frequency.


Fig. 5 - Example of quantitative EEG measurements in stability phase
$95 \%$ SEF = Spectral Edge Frequency $95 \%$ (Hz); Max = maximal amplitude over $20 \sec (\mu \mathrm{~V})$; Min $=$ minimal amplitude over $20 \sec (\mu \mathrm{~V}) ; t=$ time (mm:ss)

## 4. Discussion:

Fetal sheep preparation requires substantial cost and infrastructure thus models have to be reproducible with low mortality and morbidity rates in order to reduce the number of animals needed (18). We propose an in utero EEG recording technique in the fetal sheep that avoids drilling the skull by hand for electrode placement. This allows a quite simple and less invasive procedure. The learning curve of this technique is short, making it easy and quick to implement in a team which does not include neurosurgeons.

The EEG signal recorded using this technique appears to be of sufficient quality for visual and quantitative analysis of fetal sheep cortical activity. Recording the signal using electrodes placed above the skull bone is likely to produce more signal artefacts than when the electrodes are fixed in the bone. Nevertheless, the recorded artefacts were intermittent and easily visually detectable, which did not interfere with the signal visual analysis.

When comparing to the raw ECOG tracings presented in previous studies (Figure 6), the obtained EEG signal was continuous and labile in stability phase, as described at this gestational age in the fetal lamb (1,3,5,19). Visually, it was possible to distinguish the two types of activity described the literature as associated with the sleep-wake stages in the fetal lamb (3-5,20-23) but to be certain, further analysis with recording of eyes movements, EMG and respiration movements during these activities would be required, which could be the subject of future studies.

Our quantitative EEG measures in stability phase were comparable with ECOG data at the same gestational age in previous studies (10,24-26). The EEG signal amplitude appeared to be somewhat lower, which was expected since the cortical activity is recorded above the bone. Experimental data obtained during the occlusion phases were not compared with previous studies as the occlusion protocols differ between studies.

To our knowledge only one team succeeded in recording fetal sheep brain activity without drilling holes in the skull, using a modified double spiral fetal heart rate electrode placed directly on the scalp (27). Their findings confirm that ECOG activity acquired from supra-dural electrodes and EEG activity acquired from scalp electrodes are similar despite a reduced amplitude and more technical artefacts in the latter. Our technique using periosteum to secure EEG electrodes seems to be a good compromise between a more invasive technique and a more artefacted signal. Although, the scalp receives a rich blood supply and the placement of electrodes requires great hemostasis, as the occurrence of subcutaneous hematoma can attenuate the signal.

This study therefore proposes the EEG technique as an acceptable alternative to ECOG. However, the comparison with the standard technique is limited because we did not record ECOG tracings at the same time and the duration of the procedure was not measured exactly.

In utero recording of fetal cortical activity is of particular interest to study the physiological mechanisms involved in the cerebral response to perinatal hypoxia and predict hypoxic-ischemic encephalopathy (HIE). Indeed, an estimated $30 \%$ of cases of neonatal encephalopathy in developed countries are still associated with evidence of intrapartum hypoxic-ischemia (28) and HIE is responsible for significant neonatal mortality and morbidity (29-31). However, reliable biomarkers of perinatal asphyxia are still missing : fetal heart rate monitoring during labor is commonly used to evaluate the fetal well-being but lack at predicting HIE (32). Severe fetal metabolic acidosis during labor, measured by scalp or cord pH and lactate, is one of the most sensitive signs of fetal anoxia. Arterial pH below 7.00 at birth is associated with abnormal outcome but the positive predictive value for HIE is low (33). Lactate has also been shown to be a poor
predictor of perinatal brain injury (34). As early post-natal EEG is known to be highly predictive of clinical prognosis (35), the identification of relevant prenatal EEG markers in the fetal lamb could potentially be used in humans to predict the onset of HIE, e.g. through the use of per partum scalp electrodes, which however is not yet available in clinical practice.

Furthermore, our team has recently developed a new index of fetal surveillance during labor (Fetal Stress Index, FSI), using an original method of Heart Rate Variability (HRV) analysis. Previous experimental studies showed that FSI was specific for the parasympathetic tone and correlated to pH and lactate in labour-like acidosis models (1214). Frasch et al. showed a synchronization of cardiac and cerebral activity prior to the onset of severe acidosis in fetal sheep (27), it could be therefore interesting to correlate FSI with cerebral activity markers.


Fig. 6 - Comparison of tracings: EEG technique vs ECOG technique
A. Example of EEG tracing recorded in utero in a fetal sheep using the EEG technique (gestational age 128 days, stability phase).
B. ECOG tracing presented in "EEG, ECG, and acid-base observations during acute fetal hypoxia", Mann 1970 (gestational age 130 days, stability phase) (1)

## 5. Conclusion

We propose a method of in utero EEG recording in the fetal sheep using electrodes fixed above the cranial bone. This method is less invasive and the recorded EEG signal is of sufficient quality with visual and quantitative characteristics comparable to ECOG. This appears to be an acceptable alternative to the standard ECOG recording method and can be used for future studies.

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Declarations of interest: none.

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## Graphical Abstract



New technique for in utero EEG recording in fetal sheep


