

Indocyanine green in deep infiltrating endometriosis: a preliminary feasibility study to examine vascularization after rectal shaving

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1	Title: Indocyanine Green in Deep Infiltrating Endometriosis (INDIE): a preliminary feasibility		
2	study to examine vascularization after rectal shaving		
3	Running title: Indocyanine Green in Deep Endometriosis		
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- 24 Capsule: Indocyanine Green fluorescent imaging is feasible in endometriosis surgery, and
- 25 may be considered a potential candidate to further enhance patient safety in endometriosis
- bowel surgery.

Abstract

- 28 Objective: To evaluate the feasibility of using Indocyanine Green (ICG) to estimate the
- vascularization of the resected zone during a laparoscopic rectal shaving.
- 30 <u>Design</u>: ICG can highlight blood vascularization when injected intravenously. Actually, there
- 31 is no relevant, objective, intraoperative method to assess the vascularity of the resected
- 32 zone during a laparoscopic rectal shaving for deep infiltrating endometriosis (DIE) to prevent
- fistula. We conducted a registered clinical trial examining the feasibility of the use of ICG to
- 34 evaluate the bowel vascularization after endometriosis rectal shaving (Institutional Review
- 35 Board no 2016-002773-35).
- 36 <u>Setting</u>: Tertiary university hospital.
- Patients: 21 patients underwent laparoscopic surgery for DIE with a rectal shaving.
- 38 <u>Intervention(s):</u> Patients undergoing laparoscopic surgery for DIE received ICG intravenously
- 39 at the end of endometriosis resection.
- 40 Main Outcome Measure(s): The main evaluation criteria was the fluorescence degree in the
- 41 operated rectal area, and in the vaginal suture. We used a visual assessment with a Likert-
- 42 type scale from 0 to 4 (0 = no fluorescence; 4 = very good fluorescence).
- 43 Results: No adverse reaction was recorded. Most of the patients (81%) showed very good
- 44 fluorescence levels at the rectal shaving area. The protocol did not increase the operating
- 45 time. In one patient we changed the surgical strategy making two stitches to bring the rectal

- muscularis closer together, which improved the degree of fluorescence. There was no case
 of digestive fistula.
 Conclusion: ICG fluorescent imaging is feasible in endometriosis surgery, and may be
- considered as a potential candidate to further enhance patient safety in endometriosis
 bowel surgery
- 51 clinical trial registration number: NCT03080558

53 Keywords: Deep Infiltrating Endometriosis; fistula; indocyanine green (ICG); rectal shaving

Introduction

There is no consensus regarding the surgical technique for the treatment of rectovaginal septal endometriosis lesions with rectal muscle infiltration. Three surgical techniques are described: rectal shaving, discoid resection and rectal resection (1). The choice between those three techniques consider the symptomatology of the patient, the size of the lesion, the depth of the rectal involvement but also the risk of complications of this kind of surgery and the morbidity that results from it.

The major complication of this type of surgery is rectal or recto-vaginal digestive fistula. The fistula rate varies with the technique. According to a recent multicenter study by Roman et al. (1) on 1135 patients, it would be 1.3% after shaving, 3.6% after discoid resection and 3.9% after rectal resection.

One of the main mechanism of this type of complication is probably ischemia (2). New techniques for evaluating tissue vascularization are currently under development. Indocyanine green (ICG) is one of the promising techniques in the evaluation of vascularization of tissue. In a recent meta-analysis of 555 patients in the ICG group it has

shown the usefulness of ICG to decrease the rate of anastomotic leakage in rectal colorectal surgery (3), causing surgical modification attributed to the ICG assessment. In gynecological surgery, after deep rectal shaving there is no objective and relevant method to evaluate vascularization of the surgical site. As after resection, ICG could be a method to evaluate the rectal wall perfusion after deep shaving. We published recently our preliminary results (4) describing our method.

We describe here a prospective single center clinical study. The main objective was to evaluate the feasibility of injecting ICG after resection of a recto vaginal endometriosis nodule by laparoscopic rectal shaving, with or without vaginal resection, using a fluorescence scale. This could allow the estimation of the vascularization of these resection areas, and could possibly modify our surgical strategy and thus reduce the risk of fistula.

Material and methods

This is a prospective, single center, feasibility clinical trial (one-armed therapeutic trial, evaluating ICG out of its marketing authorization) conducted between august 2017 and october 2018. The main objective of this study was to evaluate the feasibility of using ICG as a fluorescent marker in order to estimate, through the degree of fluorescence revealed by an infrared light source, the vascularization of the resected zone during the treatment of a rectovaginal nodule by laparoscopic shaving technique. Secondary objectives were; to evaluate the immediate or delayed tolerance of ICG, to estimate the time added by the protocol over the total operating time, to estimate the vascularization at the serosa and rectal mucosa level, to estimate the vaginal vascularization when a vaginal resection is associated, and finally to study the relationship between poor vascularization and the occurrence of postoperative complications, including postoperative fistula.

Inclusion criteria were patients with a deep endometriosis nodule infiltrating the rectovaginal septum, having a surgical indication of rectal shaving by laparoscopy, with or without vaginal resection. The objectives of this study wasn't to describe the characteristics of our population, that's why we didn't describe precisely the TVS, and MRI results for the patients. But all patients had a deep endometriosis nodule, infiltrating the rectovaginal septum, analyzed by both TVS and MRI, done by a expert radiologist, with a bowel involvement at least of the serosa, which are the criteria usually used in clinical practice in our team for the surgical recruitment. All the included patients agreed to participate in the study.

Exclusion criteria were minors, protected adult patients (under guardianship or trusteeship), and patients who refused the protocol. Patients with a known iodine allergy, pregnant or breastfeeding were not included.

The protocol: A rectal shaving was performed as described previously by our team (5, 6): shaving was performed using the "reverse technique" starting by the lateral and the vaginal parts of the nodule and ending with the rectal area. The nodule was dissected all around the rectal involvement so as to provide essential exposure of the rectal part of the nodule for the most difficult part of the procedure. At the end of the surgery, 0.2 mg/kg of ICG were injected (one vial with 25 mg diluted in 10ml of solvent composed of water and glucose) intravenously. The degree of fluorescence of the rectal wall was then assessed, with the use of the Likert-type scale (video 1). If present, the vaginal suture was also evaluated. Then a transrectal evaluation was performed (a 10 mm trocar was introduced in the anus to evaluate the fluorescence in the rectal mucosa). We used a fluorescence scale based on a

Likert scale, with a score from 0 to 4 to evaluate the degree of fluorescence (0= no fluorescence and 4= very good fluorescence) (7) (video 1). In order to improve the objectivity of the results, we have made a double interpretation of the fluorescence. The surgeon gave a score during the operation, and then another surgeon gave another score from the recovered videos.

The gas and blue test methods were also used to verify the rectal zone of dissection but for verify vascularization, there were unsufficient tools.

The main evaluation criteria was the degree of fluorescence of the shaving area, in the serosa and rectal mucosa, and in the vaginal suture if a vaginal resection was associated.

The secondary evaluation criteria were: side effects related to ICG injection, estimation of vascularization of the shaving area and possibly of the vaginal scar depending on the degree of fluorescence, and occurrence of postoperative complications: infectious, hematoma or fistula. Time limit for bowel activity, indication for re-hospitalization or repeat surgery, and duration of hospitalization were registered as well. Duration of the protocol (time between injection and fluorescence visualization), total dose of ICG used (a repeat injection of 0.2mg/kg was possible when needed) were also collected.

<u>Study approval:</u> The study was approved by the French Ethic committee "Comite de Protection des Personnes Sud-Est VI, France", 2016-002773-35. The protocol was registered in Clinicaltrials.gov (NCT03080558).

Statistical method

Considering this study as pilot aiming to evaluate the feasibility, the sample size justification was determined sequentially, after analyses every each 5 included patients. More precisely, the sample size estimation was fixed according to Fleming's multi-stage design, which includes only one group and between 1 and 5 multi-stages in order to provide filtering steps leading to a go/no go decision. According to the recruitment capacity and feasibility, it was decided to consider 3 steps, with the following assumptions: lower boundary of 50% (maximum inefficacy) and an upper boundary of 80% (minimum efficacy defined as fluorescence levels very good). The type I error and statistical power were fixed, respectively to 5% and 90%. The following decision rules were determined according to Fleming's sample size calculation: with 10 patients included at the end of the first stage, treatment was considered not efficient if 4 or less patients reported a fluorescence levels very good, and efficient if 10 or more fluorescence levels very good were observed. In the event of 5 to 9 responses being observed, 10 additional patients would be needed. At the second step, treatment was considered not efficient if 12 (/20) or less patients reported a fluorescence levels very good, and efficient if 16 (/20) or more fluorescence levels very good were observed. In the event of 13 to 15 responses being observed, 10 additional patients would be needed, for a definitive conclusions at 21 patients (/30). With 17 fluorescence levels very good for 21 patients (two patients were included at the same time), the study could be stopped according to Fleming's calculation.

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The statistical analysis was performed using Stata software (version 13, StataCorp, College Station). As this study can be seen exploratory, the statistical analyses were principally descriptive. The continuous data were expressed as mean ± standard deviation (SD) or as median [interquartile range] according to the statistical distribution. Assumption of

normality was assessed with the Shapiro-Wilk test. No other inferential statistical test was performed

Results

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A total of 23 were recruited for an endometriosis surgery with a rectal shaving, 21 patients underwent endometriosis rectovaginal node resection with the shaving technique, and 2 patients were finally excluded because underwent a rectal resection at the end (Figure 1, Flow-chart). Patient characteristics are presented in Table 1. All had preoperative imaging with ultra-sound evaluation, and were additionally evaluated by MRI. For 81% of patients, the muscularis was involved. At the end of the surgery, the time lag between ICG injection to visual detection was 60 seconds (45 to 60). The fluorescence degree of the rectal serosa was: II, III, IV in 1 (4.8%), 3 (14.3%), and 17 (81.0%) patients respectively. The values upon second reading were equal. Fluorescence degree of rectal mucosa was III in 1 (4.8%) patient, IV in 12 (57.1%), and not evaluated in 8 (38.1%). On second reading all 13 patients were evaluated as IV (100%). A total of 15 patients (71,4%) had vaginal resection. In the presence of a palpable nodule during vaginal examination, preferably a full-thickness excision of the vaginal wall was performed. The vaginal defect was sutured most of the time by laparoscopy, or by vaginal approach, depending on the defect localization. The vaginal fluorescence degree on the first reading was 0, I, II, III, IV in 3 (14.3%), 2 (9.5%), 3 (14.3%), 2 (9.5%) and 4 (19.0%) patients respectively (in one patient the assessment was not done); on the second reading 0, 4 (19.0%), 3 (14.3%), 5 (23.8%) and 1 (4,8%) patients respectively (**supplemental figure 1**). The total dose of ICG injected was 12 mg [10 to 15] with no related adverse reactions. Operation time was 240 min [180 to 254] and the time added by the protocol over the total operating time was evaluated at 5 min.

Bowel activity recovery occurred on post-operative day one in 16 (84.2%) patients.

Minor complications were post-operative fever (3), lab test with inflammation (3), vaginal disunion (7), hematoma (3), vaginal suture abscess (2). 7 patients were readmitted within 30 days: 6 with fever and 1 with active bleeding. One patient had a surgical reoperation a few weeks after the initial surgery, for abnormal vaginal bleeding, with hemorrhagic shock. The patient was reoperated for vaginal bleeding, near to the vaginal suture. A pelvic CT scan was realized, and showed also the presence of an aneurysm of the uterine artery, near the vaginal suture. The aneurysm was radiology embolized, and the post treatment course was after uneventfull.

The follow-up duration was at least 3 months for all patients. No patient had a post-

The follow-up duration was at least 3 months for all patients. No patient had a post-operative fistula. One patient, with rectal serosa fluorescence intra-operatively evaluated as I, had a surgical strategy modification: the surgeon added two stitches, to approximate the serosa and muscularis layer of the rectal wall, resulting in improved fluorescence (degree IV after the suture) (figure 2). Before this suture, the surgeon did the Blue test, and the gas test. Both were negative, and only the ICG fluorescence allowed us to identify the serosa defect.

Discussion

ICG is a molecule that fluoresces in contact with infrared light. During an intravenous injection, in a few seconds, it can easily highlight blood vascularization. Historically used for the study of cardiac output, the detection of choroidal vascularization and the measurement of liver function, its use has been recently developed in digestive surgery to estimate the vascularization of colorectal anastomoses (4).

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Rectovaginal node excision for deep infiltrating endometriosis is a complex surgical procedure. The recommended surgical technique is still controversial in the literature. A recent review comparing rectal shaving, disc excision and bowel resection found a higher rate of complications in bowel resection; mainly rectovaginal fistulas, anastomotic leakage, delayed hemorrhage, and long term bladder catheterization (8). Specifically the rates for rectovaginal fistula for rectovaginal node shaving, disc excision and bowel resection were up to 2.3%, 11.6% and 18.1%, respectively. These higher rates as compared to a previously mentioned study (1) with fistula rates of 1.3%, 3.6% and 3.9% respectively, are attributed mainly to the lower level of rectal endometriosis involvement correlated with higher complication rates. Additionally, with the demonstrated feasibility of rectovaginal shaving technique in the vast majority of cases, better functional outcomes and equal rates of recurrence, the authors concluded that rectovaginal shaving should be the first-line choice of surgical treatment, keeping the alternative techniques for the situations in which the results are unsatisfactory. Anyway, a randomized controlled trial is the only way to get good answers on complication rates. However, to this date there is no intraoperative tool to objectively assess the surgical result of endometriosis bowel surgery. In our center, we try as much as possible to perform a deep rectal shaving, because of low rates of post-operative complications. The patients are always informed before surgery about the risks of digestive resection, or even transient colostomy. Intraoperative estimation of the rectal residual vascularization after shaving is difficult, while this seems to be a good indicator of the risk of postoperative fistula (2). We have reported (4) ICG (pilot study) to intraoperatively evaluate the vascular perfusion of the bowel after rectal shaving. Our series supports the evidence in the literature for a high safety profile of ICG use, with no related adverse reactions 230 registered. The high level evidence that in colorectal surgery ICG use reduces rates of 231 anastomotic leaks (2, 3, 9), with intraoperative assessment causing change of surgical plan in 232 8-13% of patients, supports its use to evaluate bowel perfusion intraoperatively. 233 Fistula is a rare complication of rectovaginal node shaving as mentioned above, and 234 presumably, fistula formation pathogenesis is of vascular impairment origin. ICG use for 235 perfusion assessment has the potential to increase the diagnosis of impaired vascularization, 236 and so to reduce fistula rates. This study has shown ICG as a feasible tool for intraoperative 237 objective evaluation of bowel perfusion after rectovaginal node shaving. For all the patients 238 included, , we also tried gas method or blue test method to verify the rectal zone of 239 dissection, as we always do in this shaving technique at the end of the dissection. In our 240 point of view, the gas and blue test methods are effective tools to identify microperforation 241 and real perforation, but not really for unsufficient vascularization, so those two methods 242 have different objectives, and are more complementary than comparable. With the IV 243 injection of ICG, the detection of fluorescence from injection was rapid and convenient 244 (average 60 seconds), and the protocol did not interfere severely with the operation time 245 (adding 5 minutes on average). Other strengths of our study is that it is prospective, reducing 246 selection bias. We have also demonstrated the feasibility of rectal mucosa perfusion 247 assessment technique, which is done with proctoscopy in the colorectal surgery studies 248 assessing anastomosis sites. The subjective evaluation of the fluorescence is a limitation in 249 our study. We tried to manage that by having 2 independent evaluations that showed good 250 correlation (correlation coefficient at 0,82 (p<0.001) which is good and relevant). 251 In the digestive surgery literature, subjective fluorescence analysis has been used as well,

and proved to be of clinical benefit. However, even if the evaluation remains really

subjective, there is good correlations between evaluation and clinical events. Future studies might incorporate objective evaluation systems. One promising tool is discrimination between arterial and venous bowel ischemia by computer-assisted analysis of the fluorescent signal (intensity and time to maximum fluorescence) (7). Our small sample size is a limitation, with no power to show rare fistula events. However, ICG has led to modify the surgical plan in our series in one case (placing a protective suture on the serosa) showing a potential surgical impact. The benefits of the technique are not already proven, but this study made us think about our surgical habits.

Recently, there is a rise in reports of IV injection of ICG use in endometriosis surgery, exercising different strategies. In this study we used ICG to evaluate the perfusion of the rectal area after the rectovaginal node shaving procedure, aiming to reduce fistula rate. Another report of ICG use was in a case with recto-sigmoid resection of endometriosis: ICG was injected IV prior to the resection, perfusion assessment helped selecting the transecting line, and after resection ICG was used to assess the anastomosis site (11). A pilot study using ICG to detect occult endometriosis lesions was published. The authors showed the possibility to mark the hyper vascularization of the chronic inflamed lesions (12). In their study of 27 patients, a total of 100 lesions were identified and resected in white light, followed by 16 new lesions (histologically confirmed for endometriosis) in near infrared light. In another series of 5 patients undergoing shaving procedure (13), ICG marked the rectovaginal node. In one patient after the node removal, the posterior vaginal fornix still appeared fluorescent even though no lesion was palpated and was removed, potentially helping achieve a more complete excision of endometriosis (histologic confirmation was not

mentioned). All of those reports lead to the interest of using ICG in endometriosis surgery,and larger studies are called for better evidence.

One of the weaknesses of our study is the important rate of post-operative vaginal disunion. The possible explanation of the high frequency of the vaginal disunion is that most of the time the objectives of the vaginal suture is hemostatic, so induce necessarily low vascularization. Most of the time, during the post-operative period those vaginal disunion are not really symptomatic, and not major complications. Furthermore, for an experimental studie during lots of cases we also analyzed the vascularization directly through the vagina after the suture, and conversely to the pelvic analyses the fluorescence was mostly really satisfying, so we don't really know which size of the suture can be predictive of the healing

quality, and these reports lead to the interest of further studies on this subject.

Conclusion

Endometriosis bowel surgery complications are at the frontier of endometriosis treatment dilemmas. We cannot conclude from this study that rectovaginal fistula rates are reduced with ICG use after RectoVaginal Nodule shaving, but we propose that with a rare-event complication as rectovaginal fistula, a specific technique is needed to decrease this rate. Given the evidence known in colorectal surgery studies as mentioned, and the report of this study on the specific use of ICG for bowel evaluation in endometriosis surgery, ICG should be considered a potential candidate to further enhance patient safety in endometriosis bowel surgery.

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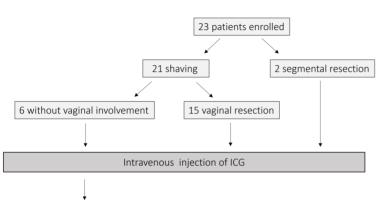
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301	rigures captions:
362	Video 1: ICG fluorescence: Time lag between injection to visual detection and the degree of
363	fluorescence with the use of the Likert-type scale
364	Figure 1: Flow-chart
365	Table 1: Patients characteristics
366	Supplemental figure 1: Illustration of fluorescence at the rectal mucosa (left) and of the
367	vaginal scar (right), A: rectal mucosa (white light); B: rectal mucosa (ICG); C: vaginal scar
368	(white light); D: vaginal scar (ICG)
369	Figure 2: Patient with modification of the surgical procedure
370	A: Before suture (white light). Rectal serosa fragility; B: Before suture (ICG). Rectal serosa
371	fluorescence intra-operatively evaluated as I; C: After suture (white light). Rectal shaving
372	zone after the add of the two stiches; D: After suture (ICG). Improved fluorescence (degree
373	IV)
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table 1: patients characteristics	n=21
Age (years) (Mean ± SD)	35±6.7
BMI (median. range)	25 [22.7-30.8]
Smoking n (%)	7 (33.3)
Previous surgery n (%)	
Cesarean section	3 (14.2)
Appendicectomy	6 (28.6)
Ectopic pregnancy	1 (4.8)
Laparoscopy	6 (28.6)
Caucasian n (%)	20 (95.2)
Associated endometriosis distribution n (%)	
Ovarian endometrioma	14 (66.7)
Bladder	2 (9.5)
Adenomyosis	8 (38.1)
Preoperative imaging n (%)	
Pelvic ultrasound	21 (100)
X-ray Scan	1 (4.8)
MRI	21 (100)
rectal infiltration (%)	
serosa	100
muscularis	100
submucosa	81.0
mucosa	0.0
lesions involved (%)	
uterosacral ligaments	71.4
rectum	81.0
torus	57.1
rectosigmoid junction	23.8
vagina pouch	57.1
pouch of Douglas	33.3
dimensions of rectovaginal nodule (cm)	
height	2.02±0.7
thickness	1.8±0.9
Time between ICG injection and visual detection sec (median. range)	60 [45-60]
Fluorescence degree of the rectal serosa n (%)	
II	1 (4.8)
III	3 (14.3)
IV	17 (81.0)
Fluorescence degree of the rectal mucosa n (%)	
III	1 (4.8)
IV	12 (57.1)
not evaluated	8 (38.1)
Fluorescence degree of the vaginal suture (15 patients) n (%)	
0	3 (20.0)
1	2 (13.3)
2	3 (20.0)
3	2 (13.3)
4	4 (26.7)

Mean dose of ICG injected (mg) (median. range)	12 [10;15]
Operative time (min) (median. range)	240 [180;254]
Duration of protocole (min) (median. range)	5 [5;5]
Transit recovery J1 n (%)	16 (84.2%)
Minor complications n (%)	
post-operative fever	3 (14.3)
biologival inflammatory syndrome	3 (14.3)
vaginal disunion	6 (42.9)
hematoma	3 (14.3)
vaginal suture abscess	2 (9.5)
rehospitalization	7
- fever	6 (28.6)
- bleeding	1 (4.8)
surgical reoperation	1 (4.8)
fistula	0



 $1\ \text{patient}$ with modification of the surgical procedure due to Intravenous ICG: Suture of the serosa

