

## Association of baseline white blood cell counts with tuberculosis treatment outcome: a prospective multicentered cohort study

Carole Chedid, Eka Kokhreidze, Nestani Tukvadze, Sayera Banu, Mohammad Khaja Mafij Uddin, Samanta Biswas, Graciela Russomando, Chyntia Carolina Díaz Acosta, Rossana Arenas, Paulo Pr. Ranaivomanana, et al.

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### **1** Association of baseline white blood cell counts with tuberculosis treatment

## 2 outcome: a prospective multicentered cohort study.

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#### 41 Abstract

42 Objectives. Tuberculosis (TB) is the leading infectious cause of death in the world. Cheaper
43 and more accessible TB treatment monitoring methods are needed. Here, we evaluated white
44 blood cell (WBC) absolute counts, lymphocyte, and monocyte proportions during TB
45 treatment, and characterized their association with treatment failure.

Methods. This multicentered prospective cohort study was based in Bangladesh, Georgia,
Lebanon, Madagascar, and Paraguay. Adult, non-immunocompromised patients with culture
confirmed pulmonary TB were included and followed up after 2 months of treatment and at the
end of therapy. Blood counts were compared to treatment outcome using descriptive statistics,
logistic regression, and Receiver Operating Characteristic (ROC) analyses.

**Results.** Between December 2017 and August 2020, 198 participants were enrolled and 152 completed treatment, including 28 (18.5%) drug-resistant patients. The rate of cure at the end of treatment was 90.8% (138/152). WBC absolute counts decreased and lymphocyte proportions increased over the course of treatment. In multivariate analyses, baseline high WBC counts and low lymphocyte proportions were associated with positive sputum culture results at the end of treatment (WBC > 11,450 cells/mm<sup>3</sup>: p=0.048; lymphocytes < 16.0%: p=0.039; WBC > 11,450 cells/mm<sup>3</sup> and lymphocytes < 16.0%: p=0.024).</p>

58 Conclusion. High WBC counts and low lymphocyte proportions at baseline are significantly
59 associated with risk of TB treatment failure.

60

61 **Keywords:** tuberculosis; multi-drug resistance; treatment monitoring; white blood cells;

62 lymphopenia; immunomonitoring.

#### 64 Introduction

Tuberculosis (TB) is the leading cause of death by infectious disease in the world, responsible for 1.5 million deaths in 2018 (World Health Organization Geneva 2019a). The treatment of active pulmonary TB lasts at least 6-months and can cause heavy side effects (World Health Organization Geneva 2017, 2019b). As a consequence, treatment adherence is poor, particularly in primary care settings (Woimo et al. 2017).

70 Mycobacterium tuberculosis culture from sputum samples is the gold standard for TB treatment monitoring (Wallis et al. 2016). However, it is time-consuming and requires high level biosafety 71 72 laboratories (Horne et al. 2010). Sputum smear microscopy is quicker for treatment monitoring, but it has limited accuracy (Ngabonziza et al. 2016). Hence, blood-based tests are a promising 73 74 alternative. A variety of blood-based immunoassays, from interferon (IFN)-y release assays to 75 T cell activation tests, are being evaluated for treatment monitoring (Cingolani et al. 2012; Sali et al. 2018). However, in low-resource countries, these tests need to be adapted to limited 76 laboratory equipment (MacLean et al. 2017) and with a simple output (Goletti et al. 2018). 77

78 Hematological abnormalities - such as leukocytosis, neutrophilia, or lymphopenia - during 79 pulmonary TB disease have been described in the literature since the eighties (Morris et al. 1989). Low monocyte proportions have been associated with higher rates of progression 80 towards active TB (Rakotosamimanana et al. 2015). Neutrophilia and lymphopenia have been 81 associated with higher risks of mortality during TB treatment (Lowe et al. 2013). However, 82 83 many these studies were either small case-control studies (Abay et al. 2018), focused on the risk of developing active TB disease (Kurup et al. 2016), or assessed kinetics during treatment 84 and not association with treatment failure (Morris et al. 1989; Rakotosamimanana et al. 2015). 85 86 Most available comprehensive studies were in low-burden, high-healthcare standards areas (Ritchie et al. 2016). 87

Here, we describe a prospective multicentered cohort study conducted in five low- and middle-income countries across four continents: Bangladesh, Georgia, Lebanon, Madagascar, and

90 Paraguay. Four of these countries are on the 2019 WHO high incidence TB country list ( $\geq 20$ 

91 cases per 100,000 population), with the exception of Lebanon (11 cases per 100,000 population)

92 (World Health Organization Geneva 2019a). The target population was adult, HIV-uninfected,

93 drug-susceptible (DS-TB) and drug-resistant (DR-TB) pulmonary TB patients.

94 The primary objective of this study was to monitor the evolution of simple hematological 95 parameters during pulmonary TB treatment in higher TB incidence settings: absolute WBC 96 counts, lymphocyte, and monocyte proportions. These markers of inflammation were chosen 97 because they are routinely monitored in primary care settings. The focus was kept on cellular 98 parameters.

99 A secondary objective was to characterize their association with treatment failure, defined by
100 sputum culture positivity (the gold standard) at the end of treatment, in relation with clinical
101 and sociodemographic factors.

102

#### **103** Materials and methods

#### 104 Study design and sample population

105 Study design

106 This descriptive study was nested within a multicentered prospective cohort study evaluating the prognostic value of blood-based immunological markers for TB treatment monitoring, 107 108 based in five partner institutions from the Mérieux Foundation GABRIEL network (Komurian-109 Pradel et al. 2013). with the approval of national TB programs: the international center for diarrheal diseases and research, Bangladesh (icddr,b) in Dhaka, Bangladesh; the National 110 Center for Tuberculosis and Lung Diseases (NTCLD) in Tbilisi, Georgia; the Laboratoire 111 112 Microbiologie, Santé et Environnement (LMSE, Université Libanaise), in Tripoli, Lebanon; the Institut Pasteur de Madagascar in Antananarivo, Madagascar; and the Instituto de 113 114 Investigationes en Ciencias de la Salud (Universidad Nacional de Asunción; IICS-UNA) in 115 Asunción, Paraguay.

#### 116 *Ethical considerations*

This study was conducted with the approval of the ethical boards of: in Bangladesh, the 117 Research Review Committee and the Ethical Review Committee of icddr,b; in Georgia, the 118 119 Institutional Review Board of the NTCLD (IORG0009467); in Lebanon, the institutional review board of NINI hospital (IRB-F-01); in Madagascar, the Ministry of Public Health and 120 the Ethical Committee for Biomedical Research (reference number: n°099 -121 MSANP/CERBM); in Paraguay, the Research Ethics Committee and the Scientific Committee 122 123 of the IICS-UNA (IRB number: IRB00011984; Federalwide Assurance number: 124 FWA00029097). All recruited patients provided written informed consent.

#### 125 Cohort recruitment, TB diagnosis and patient follow-up

We calculated that a sample size of 156 was required to reach a level of significance of 95% and a power of 80%, assuming an average rate of treatment failure of 10% (World Health Organization Geneva 2019a), a baseline prevalence of lymphopenia (<15% of total WBC) of 30%, and that treatment failure was three times more likely in patients with lymphopenia (Lowe et al. 2013). We aimed to include 200 participants to account for lower prevalence values, rates of failure, or missing data.</p>

Patients were recruited if diagnosed with microbiologically confirmed pulmonary TB (positive culture and/or sputum smear and/or GeneXpert; see "Microbiological diagnosis" section for further detail). Patients with HIV or diabetes mellitus (defined by HBA1C levels > 6.5%; Cobas (Roche) automated analyzer) and children under 15 years were excluded. In downstream analyses, patients under immunocompromising treatment (corticosteroids, calcineurin inhibitors, biologics, or other chemotherapeutic agents), patients with negative cultures at inclusion, and patients who were lost-to-follow-up were excluded.

Patients were followed up: at inclusion (T0), after two months of treatment (T1), at the end of
therapy (T2; 6 months for drug-susceptible (DS-TB) patients, 9 to 24 months for drug-resistant

141 (DR-TB) patients), and two months after the end of therapy when possible (T3). All patients

- 142 were on Directly Observed Treatment, Short Course (DOTS) and received treatment according
- to standard protocols (Aung K.J.M. et al. 2014; World Health Organization Geneva 2017,
- 144 2019b). Detail of therapeutic regimens can be found in Supp. Table 1.
- 145

#### 146 Microbiological diagnosis and definition of treatment outcome

At each visit, at least one sputum sample per patient was collected for culture (solid and/or
liquid media) and smear microscopy (Ziehl-Neelsen and/or Auramine O staining). Molecular
amplification of *M. tuberculosis* DNA was conducted at inclusion (GeneXpert MTB/RIF,
Cepheid, Sunnyvale, CA). Drug susceptibility testing (DST) and diagnosis of multi-drug
resistance were performed according to standard protocols (World Health Organization Geneva
2019b). Microbiological methods and DST protocols are detailed in Supp. Table 2.

**153** Treatment outcomes were defined as follows: failed (positive sputum culture at T2); cured

154 (negative sputum culture at T2 and no evidence of positive culture at T3); relapse or reinfection

155 (culture conversion at T2 but positive culture recorded at T3); completed (patient not lost to

- 156 follow up, but no available culture data at T2 or T3) (World Health Organization (WHO) 2013).
- 157

#### 158 On-site whole blood collection and cell count

At each visit, 10 mL of whole blood were drawn, 1 mL of which was collected in EDTA tubes
used for the present study. Complete blood counts were performed on automated analyzers
(details of manufacturing references per study site are in Supp. Table 2).

162

#### 163 Clinical data collection and standardization

Data collection forms were created with clinicians from partner sites and standardized to ensure
dataset homogeneity. Follow-up sheets were used to track sample handling and time flow from
collection in health centers to receipt in laboratories. All forms were translated into the local

official language upon request from staff. Data were entered into the cloud-based database
system CASTOR (CASTOR Electronic Data Capture, Version 1.4, Netherlands).

169

#### 170 **Descriptive statistical analysis**

171 Data were cleaned and analyzed in R (version 3.6.2). As sample size was small, discrete variables were analyzed using Fisher's Exact test with Bonferroni's post-hoc test (Kim 2017). 172 Normality was assessed using the Shapiro-Wilk Normality Test (test statistics available in 173 174 Supp. Table 3). Normal, continuous variables were analyzed with Student's t-test. Non-normal, 175 continuous variables were analyzed with the Mann-Whitney test, or the Kruskal-Wallis rank 176 sum test with Dunn's Kruskal-Wallis Multiple Comparisons post-hoc test (Dunn 1964). Repeated measures of non-independent continuous variables were analyzed using the Friedman 177 178 rank sum test, with Wilcoxon-Nemenyi-McDonald-Thompson's post-hoc (Paro Pedro et al. 179 2015).

180

#### 181 Logistic regression and Receiver Operating Characteristic (ROC) analyses

For these analyses, the assessed outcome was a recorded positive *M. tuberculosis* culture result 182 at T2. Patients with unavailable culture data at T2 and patients with relapse were excluded from 183 the analyses. The evaluated variables were leukocyte counts, lymphocyte proportions, and 184 monocyte proportions at T0 or T1. If missing data exceeded 10% of the sample size, the variable 185 was not considered. Otherwise, missing data were replaced by the most frequent group 186 187 (categorical variables) or the mean (continuous variables). Highly skewed, non-normal continuous variables were log-transformed prior to analyses. Variables were first evaluated in 188 univariate logistic regression analyses, then multivariate analyses were performed. Adjustment 189 190 variables were selected as follows: sociodemographic variables of known clinical importance (e.g. sex, country of origin), TB risk factors (e.g. smoking), and additional sociodemographic 191 192 variables that were at least moderately associated (p<0.10) with the outcome in univariate analyses (*e.g.* prison). Irrelevant adjustment variables were then removed by backward model
selection. The combination of variables that minimized the Akaike Information Criterion (AIC)
for most tested predictors, while including important adjustment variables, was selected (age,
sex, smoking habit, drug sensitivity, country of origin). For lymphocyte proportions, odds ratios
(OR) were calculated for each increase of 5.0% because the total range of measured values was
5.0–70.0%. Similarly, for absolute WBC counts, OR were calculated for each increase of 1000
cells per mm<sup>3</sup>.

For both ROC analyses and logistic regression, since the sample size was small, model performance metrics (respectively the Area Under the Curve (AUC) and the C-statistic) were corrected for optimism using bootstrap to assess model validity as described elsewhere (Smith et al. 2014).

204

#### 205 **Results**

#### 206 Demographic and clinical characteristics of the cohort.

Between December 2017 and February 2020, 198 eligible patients with culture confirmed
active pulmonary TB were recruited in Dhaka (Bangladesh), Tbilisi (Georgia), Tripoli and
Akkar (Lebanon), Antananarivo (Madagascar), and Asunción (Paraguay). As of July 2020, 152
of them were followed at least until the end of treatment and had available blood count data
(Figure 1). As the T3 timepoint was later than the local TB program visits, the dropout rate was
highest between T2 and T3 (26%).

Among patients followed until T2 at least, 18.4% (28/152) were diagnosed with DR-TB. The

sociodemographic and clinical characteristics of DS-TB and DR-TB patients were comparable

at inclusion (Table 1). The characteristics of patients at inclusion were compared between study

sites (Supp. Table 4.). There was no significant difference in baseline absolute WBC counts

- and lymphocyte proportions between cohorts, but several other parameters differed. Monocyte
- 218 counts were significantly higher in the Lebanon and Madagascar cohorts than in the other sites.

Patients in Bangladesh were younger than those recruited in Georgia and Paraguay. The body
mass index (BMI) at inclusion of patients from Bangladesh and Madagascar was significantly
lower than in the other study sites. Finally, BCG vaccination rates were significantly lower in
the Georgia and Lebanon cohorts.

223

## 224 Microbiological characterization of treatment response over time and assessment of 225 treatment outcome.

226 All enrolled patients were positive for sputum culture at inclusion. Most patients were also positive for sputum smear microscopy (78.2%, 119/152) and/or GeneXpert (98.6%, 150/152). 227 228 Culture positivity rates decreased significantly upon treatment (Table 2). No significant difference was detected between DR-TB and DS-TB patients (data not shown). At the end of 229 treatment, 90.8% (138/152) of patients were cured, and eight had unavailable culture data and 230 231 were classified as having completed treatment with no evidence of cure (5.3%, 8/152). One 232 patient (0.7%, 1/152) was culture negative at T2 but had a positive culture result at T3, which was classified as relapse or reinfection. Treatment failure was observed in five DS-TB patients 233 (3.3%, 5/152; Supp. Table 5 for demographic and microbiological characteristics). 234 Interestingly, 80% (4/5) of treatment failure patients had high sputum smear microscopy grades 235 at baseline (2+ or 3+). At T1, two of them were culture positive and one was microscopy 236 237 positive.

238

# Absolute WBC counts and lymphocyte proportions vary significantly over the course oftherapy.

In all cohorts (n=152), we observed a significant decrease in median absolute WBC counts during treatment (Figure 2.A.), and a significant increase in median lymphocyte proportions (Figure 2.B.). Monocyte proportions were heterogeneous between study sites and increased significantly only in the Bangladesh and Georgia cohorts (Figure 2.C.). At all timepoints, they were significantly higher in the Lebanon and Madagascar cohorts. Neutrophil responses were
assessed in a subset of the cohort (n = 129) and also decreased significantly during treatment
(Supp. Figure 1).

248

Early absolute WBC counts and lymphocyte proportions were significantly different
between successfully and unsuccessfully treated TB patients.

- Absolute WBC counts, lymphocyte and monocyte proportions were then compared between successfully and unsuccessfully treated patients with available culture data at T2 (n = 143; Figure 3). At baseline and after 2 months, unsuccessfully treated patients had significantly higher absolute WBC counts (Figure 3.A.) and significantly lower lymphocyte proportions than successfully treated patients (Figure 3.B.). No significant difference was observed for monocyte proportions (Figure 3.C.).
- As the majority of treatment failure patients had high AFB at baseline (2+ or 3+), we compared WBC absolute counts and lymphocyte proportions according to AFB results. in a subset of all patients presenting with high AFB at baseline (51.7%, 74/143). In this subset, median WBC and lymphocyte counts were similar at baseline, but at T1, WBC counts were higher and lymphocyte proportions were lower in unsuccessfully than in successfully treated patients (Supplementary Figure 2).
- 263

## Low baseline lymphocyte proportions are significantly associated with a positive sputum culture at the end of treatment

Sociodemographic characteristics and blood counts at T0 and T1 were compared between cured (n = 138) and failed (n = 5) patients, and logistic regression was performed (Table 3). In univariate analyses, significant associations with treatment failure were detected for lymphocyte proportions at baseline (OR 0.32, 95% CI 0.10-0.80, p = 0.032) and at 2 months (OR 0.32, 95% CI 0.11-0.68, p = 0.0091). No significant association was detected for absolute WBC counts or monocytes proportions at T0 and T1. In multivariate analyses, low lymphocyte
proportions at T0 remained moderately associated with treatment failure (adjusted OR 0.090,
95% CI 0.0040-0.52, p = 0.042).

ROC analyses were then performed to identify a threshold discriminating successfully and
unsuccessfully treated patients based on baseline WBC counts and lymphocyte proportions
diction (Supp. Table 6). Baseline WBC counts predicted treatment outcome performed with an
AUC of 0.788 (95% CI: 0.664-0.912; optimal cutoff >11,435 cells/mm<sup>3</sup>). Baseline lymphocyte
proportions performed with an AUC of 0.807 (95% CI: 0.671-0.943; optimal cutoff <16% of</li>
total WBC). The AUC of both variables combined into a new parameter performed better (AUC
0.841 95% CI 0.722-0.959).

- Data was then stratified according to the ROC obtained cutoffs. Significant associations were
   observed in univariate analyses for patients absolute WBC > 11,450 cells/mm<sup>3</sup> (OR 8.45, 95%)
- 283 CI 1.21 168, p = 0.031), and for patients with both WBC > 11,450 cells/mm<sup>3</sup> and lymphocytes
- **284** < 16.0% at baseline (OR 877, 95% CI 1.37 69.8, p = 0.019), but not for lymphocytes < 16.0%
- alone (p = 0.061). These variables remained moderately associated in multivariate analyses
- **286** (WBC > 11,450 cells/mm<sup>3</sup>: p = 0.048; lymphocytes < 16.0%: p = 0.039; WBC > 11,450
- **287** cells/mm<sup>3</sup> and lymphocytes < 16.0%: p = 0.024).
- 288

#### 289 Discussion

In this multicentered prospective study, we monitored the evolution of absolute WBC counts,
lymphocyte and monocyte proportions during pulmonary TB treatment, and described their
association with treatment failure in five cohorts (Bangladesh, Georgia, Lebanon, Madagascar,
and Paraguay), four of which were in high-incidence TB countries. We included 152 HIVnegative, culture confirmed pulmonary TB patients, including 28 drug-resistant TB cases.
We showed that during treatment, the lymphocyte proportions increased significantly, whereas

the absolute WBC counts decreased significantly. These trends are indicative of general clinical

improvement in response to treatment, and are consistent with characteristics of successful
therapy. WBC counts are usually higher in TB patients than in healthy individuals, with distinct
lymphocyte rates before and after TB treatment (Morris et al. 1989; Rakotosamimanana et al.
2015). No significant evolution of monocyte proportions during treatment was detected in our
cohort, which is consistent with previous works (Rakotosamimanana et al. 2015).

Five patients had positive culture results at the end of treatment and were classified as treatment 302 303 failure. Two of them had negative smears or cultures at T1, which was likely due to poor sputum 304 quality. The main finding is that at baseline and after two months of treatment, unsuccessfully treated patients had significantly higher WBC counts and lower lymphocyte proportions than 305 306 those successfully treated. In adjusted logistic regression analyses, treatment failure was significantly associated with high WBC counts and low lymphocyte proportions at baseline. 307 This result is important because WBC counts are usually evaluated in TB patients at baseline 308 309 and along the therapy course. They are cheap, quick tests that are used routinely in health care 310 centers worldwide. They require a small volume of blood, their output is easy to interpret, and they can be performed without automated equipment. Therefore, they may be a helpful point-311 312 of-care tool to help clinicians identify which patients might be less responsive to treatment. Larger studies on this topic are needed to assess whether early WBC or lymphocyte counts 313 should be taken into account when considering changes to treatment composition or duration. 314

315 We hypothesize that patients with high baseline WBC counts and low lymphocyte proportions had highly inflammatory clinical patterns. In the context of mycobacterial infection, WBC 316 317 counts are mostly reflective of neutrophil counts as markers of persisting inflammation or failure to clear the bacteria (Srivastava et al. 2014). Sustained inflammation in chronic TB 318 infection has been described as an impairment of the TB-specific immune response, and a 319 320 marker of active disease (Sia and Rengarajan 2019). Previous studies have highlighted a correlation between elevated neutrophils, lymphopenia, and TB disease severity, based on chest 321 X-rays (Naghavi et al. 2017; Panteleev et al. 2017). Therefore, a hypothesis is that in this cohort, 322

patients with high baseline WBC and low lymphocytes also had more severe TB manifestationsand were less likely to achieve cure.

We observed that in the subset of our cohort with high smear microscopy grades (n=74), WBC 325 326 counts and lymphocyte proportions at baseline were not associated to the treatment outcome; however, significant associations were detected at T1. This suggests that in patients who 327 possibly had a higher bacterial burden, cellular inflammatory markers did not stratify risks of 328 failure at baseline, but persistent cellular differences between failed and cured patients were 329 330 noticeable after the intensive phase of treatment. A limitation is that TB disease severity at baseline could not be assessed in our cohort other than with microscopy (through chest X-rays, 331 332 Erythrocyte Sedimentation Rate (ESR) or hemoglobin measurements). Further work is needed to assess the relevance of the association of WBC and lymphocytes with treatment failure in 333 cohorts with better defined disease severity. 334

335 Moreover, a feature of TB infection is a delayed initiation of adaptive response after infection, and lymphocyte anergy during chronic infection (Urdahl et al. 2011). This could indicate that 336 *M. tuberculosis* specific adaptive immunity might be hindered during treatment in the patients 337 with high WBC or low lymphocyte proportions. Alternatively, as blood counts are measured in 338 the periphery, this may suggest that *M. tuberculosis* specific lymphocytes are localized in the 339 lungs for infection clearance: their decreased frequency in peripheral blood would indicate a 340 341 persisting infection. We observed that the majority of unsuccessfully treated patients (80%, 4/5) presented either high WBC counts or low lymphocyte proportions at baseline, and 60% (3/5) 342 343 with both, suggesting that the mechanisms behind a persistently activated innate immune response and an anergic adaptive immune response during anti-TB therapy might be linked. 344 Consequently, whether systemic inflammation hinders TB treatment needs to be further 345 346 investigated.

Our cohort included patients from developing countries, in diverse geographical areas, withvarying sociodemographic backgrounds and living standards. In addition, we have enrolled

349 both DS-TB and DR-TB patients, which implies different clinical presentations of the disease. This design suggests similar trends might be occurring in heterogeneous settings and clinical 350 contexts. However, it creates major limitations and analytical challenges as it hinders the 351 352 control of some patient- and pathogen-dependent factors such as antibiotic regimens, malnutrition levels, untested infections (e.g. parasites), mycobacterial strains, and genetic 353 354 background. Moreover, the sample size was small. To account for these limitations, adjustment 355 with the available patient-dependent factors as well as optimism corrections with a method 356 adapted to small sample sizes (Smith et al. 2014) was performed. However, the clinical interpretation of these results should remain cautious given the heterogeneity and size of the 357 358 cohort. Another limitation was the important dropout rate, especially between T2 and T3 (26%, 40/152) as T3 was not a timepoint that fell within the time frame of national TB programs. As 359 a consequence, it is likely that occurrences of TB relapse could not be recorded in our study. 360 361 In conclusion, this study confirms that WBC counts and lymphocyte proportions are simple biomarkers for TB treatment monitoring. In this cohort, early measurements of these 362 363 parameters were associated with treatment failure; these results are encouraging but need to be

364 confirmed on larger cohorts.

365

#### **366** Author contributions

JH is the principal investigator, and initiated the project together with DG, NT, SBa, GR, NR,
and MH. Data was collected by EK, MU, SBi, CA, PR, CR, PH, and RB. CC performed all
statistical analyses and wrote the manuscript. All authors have contributed to the content and
have given their final approval.

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377	study sites.
378	Conflicts of interest
379	MH, BMI, and RB had logistic support from the National TB program in Lebanon. DG
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381	submitted work. All authors have submitted the ICMJE Form for Disclosure of Potential
382	Conflicts of Interest.
383	
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#### 480 Tables

#### 481 Table 1. Sociodemographic and clinical characteristics of drug-susceptible and drug-

#### 482 resistant patients at inclusion.

N	ALL 152	DS-TB 124	DR-TB 28	p DS-TB vs DR-TB
Patient demographics				
Age (years)	28 (22-37.25)	28 (22-39)	27.5 (19.75-33.25)	0.22
Sex (male)	63.2% (96/152)	64.5% (80/124)	57.1% (16/28)	0.52
Country of origin				
Bangladesh	25% (38/152)	16.9% (21/124)	60.7% (17/28)	>0.001
Georgia	21.7% (33/152)	18.5% (23/124)	35.7% (10/28)	0.072
Lebanon	11.8% (18/152)	14.5% (18/124)	0	0.045
Madagascar	23.7% (36/152)	29% (36/124)	0	>0.001
Paraguay	17.8% (27/152)	21% (26/124)	3.6% (1/28)	0.028
BMI at inclusion	18.8 (17.1-21.4)	18.9 (16.9-21.4)	18.8 (17.5-21.0)	0.87
Sputum smear microscopy at inclusion				
High grade $(2 + or 3 +)$	51.1% (76/149)	50.4% (61/121)	53.6% (15/28)	0.83
<i>Low grade (1+ or scanty)</i>	18.8% (43/149)	29.8% (36/121)	25% (7/28)	0.81
Negative	20.1% (30/149)	19.8% (24/121)	21.4% (6/28)	0.79
WBC absolute count at inclusion (/mm <sup>3</sup> )	9590 (7468-11770)	9500 (7365-11640)	10150 (7725-11850)	0.54
Lymphocytes at inclusion (% of WBC)	18 (14-24)	18 (14-23.2)	17 (13.8-24.5)	0.65
Monocytes at inclusion (% of WBC)	5 (2-7.48)	5 (2-8.23)	4 (3-5.25)	0.35
Number of household contacts	4 (3-6)	4 (3-6)	4 (3.75-6.25)	0.91
BCG vaccination	81.1% (99/122)	80.2% (81/101)	85.7% (18/21)	0.64
Risk factors and comorbiditie				
Smoking	47.7% (72/151)	48% (59/123)	46.4% (13/28)	1
Alcohol abuse	21.9% (33/151)	23.6% (29/123)	14.3% (4/28)	0.55
Injectable drug use	3.3% (5/150)	2.4% (3/123)	7.4% (2/27)	0.36
Jail detention history	10.1% (15/149)	11.6% (14/121)	3.6% (1/28)	0.44
Chronic HCV infection	2.1% (3/146)	2.5% (3/118)	0	1
Other disease <sup>1</sup>	5.5% (7/128)	6.7% (7/105)	0	0.35
History of TB				
Previous TB	18.9% (28/148)	16.4% (20/122)	30.8% (8/26)	0.1
Of which are documented	78.5% (22/28)	70.0% (14/20)	88.9% (8/9)	0.05
Prior exposure to active TB patients	30.9% (46/149)	31.4% (38/121)	28.6% (8/28)	0.22
Previous TB treatment outcome				
Cured and completed	57.1% (12/21)	56.2% (9/16)	60% (3/5)	1
Completed	14.3% (3/21)	12.5% (2/16)	20% (1/5)	1
Treatment failure	14.3% (3/21)	18.8% (3/16)	0	-
Outcome not evaluated or unknown	14.3% (3/21)	12.5% (2/16)	20% (1/5)	1

483 **Footnotes:** DS-TB: drug-susceptible tuberculosis. DR-TB: drug-resistant tuberculosis. BMI:

484 body mass index. IQR: interquartile range. WBC: white blood cells. 1: asthma, hypertension,

485 inflammation. No patients presented with either pregnancy, renal disease, solid tumors or

486 other cancers, HIV infection, chronic pulmonary disease, or chronic HBV infection. Data

487 were given as % (N) or median (IQR).

#### Unavailable **Sputum culture results Positive** Negative **Timepoint T**0 0 0 100% (152/152) **T**1 13.8% (21/152) 70.4% (107/152) 15.8% (24/152) T2 3.3% (5/152) 94.8% (144/152) 1.9% (3/152) T3 0.9% (1/112) 43.8% (49/112) 55.4% (62/112) **All patients DS-TB** DR-TB Treatment outcome Cured and completed<sup>1</sup> 90.8% (138/152) 88.7% (110/124) 100% (28/28) Completed<sup>2</sup> 5.3% (8/152) 6.5% (8/124) 0 Failed<sup>4</sup> 0 3.3% (5/152) 4% (5/124) Relapse or reinfection<sup>5</sup> 0 0.7% (1/152) 0.8% (1/124)

#### 489 Table 2. Microbiological characterization of treatment response and outcome definition.

490

#### **491** Footnotes: T0: baseline. T1: baseline + 2 months. T2: end of treatment. T3: end of treatment + 2

492 months. DS-TB: drug-susceptible tuberculosis. DR-TB: drug-resistant tuberculosis.

**493** 1: positive culture at T2. 2: negative culture at T2 but positive culture at T3. 3: negative culture at T2

494 and no evidence of positive culture at T3. 4: patients not lost to follow-up, but with no available

495 culture data at T2 or T3. Unavailable culture data were caused by contaminations or failure to

496 expectorate sputum. Unavailable sputum smear microscopy results were caused either by technical

497 issues or failure to expectorate enough sputum for both culture and smear.

#### 499 Table 3. Association of selected baseline sociodemographic and clinical factors with treatment outcome.

	Descriptive analysis (n = 143)			Logistic regression - association with treatment failure					
Sociodemographic factors and clinical parameters at	Cured (n = 138)	<b>Failed</b> ( <b>n</b> = 5)	р	Univariate analysis		Multivariate analysis <sup>1</sup>			
baseline and at 2 months				OR (95% CI)	р	aOR	р	AIC	Corrected C-statistic
Age (years)	27.5 (21-37)	31 (29-45)	0.22	1.02 (0.96 - 1.08)	0.38	-	-	-	-
Sex (male)	62.3% (86/138)	100% (5/5)	0.15	-	-	-	-	_	-
Drug resistance	20.3% (28/138)	0	0.58	-	-	-	-	_	-
Smoking habit	45.3% (62/137)	100% (5/5)	0.021	-	-	-	-	_	-
BMI at inclusion	18.8 (17.1-21.4)	16.0 (15.8-20.9)	0.31	0.87 (0.61 - 1.15)	0.39	0.74 (0.46 - 1.05)	0.14	44.68	0.862
Alcohol consumption	21.9% (30/137)	40% (2/5)	0.32	2.38 (0.3 - 14.9)	0.35	0.52 (0.02 - 8.55)	0.66	47.23	0.861
History of prison	8.9% (12/135)	40% (2/5)	0.075	6.94 (0.85 - 46.1)	0.047	1.96 (0.14 - 25.81)	0.59	47.16	0.856
Previous TB episode	19.4% (26/134)	20% (1/5)	1	1.07 (0.05 - 7.59)	0.95	1.72 (0.06 - 29.24)	0.71	47.30	0.860
Absolute WBC count at T0 (cells/mm <sup>3</sup> ) <sup>2</sup>	9500 (7403-11575)	12100 (11470-12300)	0.032	1.26 (0.97-1.64)	0.080	1.4 (0.99-2.23)	0.092	43.87	0.862
Lymphocytes at T0 (% of WBC) <sup>3</sup>	18 (14-25)	14 (10-15)	0.019	0.32 (0.10-0.80)	0.032	0.09 (0.0040-0.52)	0.042	37.85	0.866
Monocytes at T0 (% of WBC)	4.9 (2-7.8)	3 (1-4)	0.12	0.75 (0.49 - 1.03)	0.13	0.21 (0.01 - 0.78)	0.12	40.57	0.861
Absolute WBC count at T1 (cells/mm <sup>3</sup> ) <sup>2</sup>	7385 (5907-9382.5)	11440 (11200-11600)	0.014	1.04 (0.94-1.11)	0.23	4.75 (1.45-55.6)	0.081	35.03	0.860
Lymphocytes at T1 (% of WBC) <sup>3</sup>	25 (20.25-31)	13 (11-20)	0.0079	0.32 (0.11-0.68)	0.0091	0.18 (0.02-0.68)	0.063	39.25	0.864
Monocytes at T1 (% of WBC)	6 (3-8.4)	4 (3-6)	0.41	0.89 (0.66 - 1.16)	0.40	0.9 (0.4 - 1.95)	0.79	47.36	0.866
Baseline WBC > 11,450/ $mm^3$	26.8% (37/138)	80% (4/5)	0.024	11.2 (1.60 - 223.5)	0.031	16.38 (1.52 - 592)	0.048	41.94	0.867
Baseline lymphocytes < 16%	31.9% (44/138)	80% (4/5)	0.044	8.45 (1.21 - 168.0)	0.061	12.08 (1.44 - 264)	0.039	42.06	0.858
Baseline WBC > 11,450/ mm <sup>3</sup> and lymphocytes < 16%	14.5% (20/138)	60% (3/5)	0.029	8.77 (1.37 - 69.9)	0.019	29.85 (2.19 - 1088)	0.024	40.73	0.864

500 Footnotes: Data are given as % (n) or median (interquartile range). Treatment failure was defined as a positive sputum culture result at T2 or T3. BMI: body

501 mass index. OR: odds ratio. aOR: adjusted odds ratio. AIC: Akaike Information Criterion. C-statistic: concordance statistic (corrected for optimism). WBC: white

502 blood cells. T0: baseline. T1: baseline + 2 months. T2: end of treatment. T3: end of treatment + 2 months. 1: adjusted for age, sex, country of origin, drug

503 resistance strain, and smoking habit. 2: OR or aOR given for each increase in 1,000 units. 3: OR or aOR given for each increase in 5 units.

### 504 Figure legends



505

#### 506 Figure 1. Inclusion and data collection process between December 2017 and July 2020.

507 DR: drug resistant. DS: drug-susceptible. LTFU: lost to follow-up. TB: tuberculosis. HIV:

508 human immunodeficiency virus. Treatment for DS-TB patients lasted 6 months. Treatment for

509 DR-TB patients lasted 9 to 24 months.





511 Figure 2. Dynamics of main white blood cell types over the course of TB treatment.

**512 A.** White blood cell (WBC) absolute count. cumm: cubic millimeter of whole blood. **B.** 

513 Lymphocyte percent of WBC. C. Monocyte percent of WBC. Data are given as median +

514 interquartile range. Each dot represents one patient at one timepoint. Grey lines connect data

points from a same patient. T0: baseline. T1: baseline + 2 months. T2: end of treatment. Data

516 were analyzed using Friedman's test, with the Wilcoxon-Nemenyi-McDonald-Thompson test as

a post-hoc correction for pairwise multiple comparisons. \*: p<0.05. \*\*: p<0.01. \*\*\*: p<0.001.







- 522 White blood cell (WBC) absolute counts (A.), lymphocyte percent of WBC (B.), and monocyte
- 523 percent of WBC (C.) were assessed over time in cured (n=138) and treatment failure patients
- 524 (n=5). Data are given as median + interquartile range. T0: baseline. T1: baseline + 2 months. T2:
- end of treatment. T3: end of treatment + 2 months. cumm: cubic millimeter of whole blood.
- 526 Treatment failure was defined as a positive sputum culture result at T2 . Treatment success was
- 527 defined as a negative sputum culture result at T2 and no evidence of positive culture at T3. Data
- 528 were analyzed using the Mann-Whitney U test at each timepoint. \*: p<0.05. \*\*: p<0.01.
- 529