

Colloidal stability of capped silver nanoparticles in natural organic matter-containing electrolyte solutions

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25	28 pages, 6 figures, and a Supporting Information (SI) section are included in the current manuscript.

26 Abstract

Due to their increased production and commercial applications, capped silver nanoparticles 27 (AgNPs) have inevitably found their way into aquatic ecosystems. The mobility (fate/transport), 28 bioavailability, reactivity, and toxicity of capped AgNPs are highly influenced by their colloidal 29 stability. This study investigated the aggregation kinetics and interfacial interactions of tannic 30 31 acid (TA)-coated or silica-coated AgNPs in natural organic matter (NOM)-containing electrolyte 32 solutions by time-resolved dynamic light scattering and atomic force microscopy. Three wellcharacterized NOM fractions of different characteristics were selected. In Na⁺-solutions, the 33 polymeric TA induced more stability to AgNPs than the hard silica coating. Although all NOM 34 fractions weakly interacted with TA even at high Na⁺ concentrations, these organics adsorbed on 35 the silica-coated AgNPs; thus, inducing stability. Humic fractions provided higher colloidal 36 stability due to stronger electrostatic/steric interactions. Ca²⁺ increased the aggregation kinetics 37 of both capped nanoparticles in the absence and presence of NOM. However, the aggregation 38 39 kinetics of TA-coated AgNPs in humic NOM-containing solution were higher than those of nonhumic due to a higher content of deprotonated carboxyl groups and cation bridging mechanisms. 40 The knowledge compiled in this study would assist in understanding and predicting the fate and 41 42 transport of capped nanoparticles in natural aquatic systems of different compositions.

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Keywords: capped silver nanoparticles; natural organic matter; colloidal stability; aggregation;
dynamic light scattering.

46 **1.** Introduction

The production of engineered silver nanoparticles (AgNPs) in the industry has rapidly increased 47 due to their broad commercial applications (e.g., cosmetics, paints, food industry, medical fields, 48 and electronics) [1-3]. As a result, AgNPs have inevitably found their way into aquatic 49 ecosystems [4, 5]. The toxic impact of AgNPs would cause adverse effects on microbial 50 communities, aquatic organisms, and human health through possible chemical or physical 51 transformation reactions (i.e., sulfidation, dissolution, aggregation); thus, leading to changes in 52 crucial ecosystem processes [6, 7]. Remarkably, the bioavailability, reactivity, mobility, and 53 toxicity of manufactured AgNPs are highly influenced by their colloidal stability. 54

Colloidal stability in an aqueous solution is defined as the ability of particles to resist 55 aggregation over a specific time and is influenced by solution conditions (e.g., pH, ionic 56 57 strength-IS, electrolyte composition, and presence of natural organic matter-NOM) and type of capping agents [8-10]. These capping agents (i.e., typically synthesized with different organic 58 surface coatings) are usually negatively charged species or long and hydrophilic polymers that 59 prevent aggregation of AgNPs by providing additional electrostatic, steric, or electrosteric 60 repulsive forces [11, 12]. Polyvinylpyrrolidone (PVP), polyethylene glycol (PEG), silica, tannic 61 acid (TA), and citrate are commonly used capping agents due to their high chemical stability, 62 63 low toxicity, and high solubility in polar solvents [12-18]. However, these capping agents would interact with other water constituents (e.g., NOM, electrolytes), leading to changes in the 64 physicochemical properties of AgNPs surface (i.e., functionalities, surface charge, 65 hydrophobicity) [19, 20]. 66

NOM and electrolytes are ubiquitous constituents in aquatic ecosystems that influence the fateand transport of AgNPs [9, 21]. Previous studies have investigated the interactions between

NOM, electrolytes, and capped AgNPs using varied techniques, e.g., Time-Resolved Dynamic 69 Light Scattering (TR-DLS), Flow Field-Flow Fractionation, or Quartz Crystal Microbalance. 70 Briefly, bare AgNPs readily aggregate under low NaCl and CaCl₂ concentrations (i.e., critical 71 coagulation concentration-CCC: 40 and 2 mM, respectively), indicating their low stability in 72 solution [22]. Although capping agents prevent AgNPs from aggregating through steric 73 hindrance or additional electrostatic repulsion, the presence of high electrolyte concentrations or 74 75 divalent cations can lead to colloidal instability by charge screening or cation bridging, 76 respectively [13, 23, 24]. For instance, the CCC of PVP-coated and citrate-coated AgNPs in CaCl₂ solution were lower than that in NaCl solutions at similar IS [20, 24]. Other studies have 77 78 also observed enhanced aggregation of capped AgNPs in divalent cation solutions [12, 19]. However, NOM interactions with AgNPs would increase colloidal stability. PVP-coated and 79 citrate-coated AgNPs were stabler in humic acid (HA)-containing NaCl solutions, while Ca²⁺ 80 81 cations induced enhance aggregation [20, 24]. HA in solution hindered the deposition of these capped AgNPs on silica surfaces, confirming their mobility in NOM solutions under 82 83 environmentally relevant conditions [13].

Remarkably, due to the: a) increased production of capped AgNPs, and b) their environmental 84 impacts on natural water systems, there are at present concerted efforts to synthesize non-toxic 85 "green" AgNPs. Tannic acid (TA, highly biocompatible compound) is being increasingly used as 86 a stabilizing agent [15, 18]. TA is a weak acid and polyphenolic compound (hydrolyzed tannin) 87 of antimutagenic, anticancer, and antioxidant properties [25, 26]. Similarly, silica (i.e., a 88 ubiquitous mineral on earth) is also widely used as a capping agent for AgNPs in electronics, 89 optics, and biochemistry fields [16, 17]. Unlike their counterparts (e.g., citrate-, PVP-, and PEG-90 coated AgNPs), the colloidal stability of TA-coated and silica-coated AgNPs in NOM-containing 91

92 electrolyte solutions has not been studied. This new knowledge would assist in understanding93 and predicting their fate and transport in natural aquatic systems.

This study investigated the interfacial interactions and aggregation behavior of TA-coated and 94 silica-coated AgNPs in the presence of NOM and under different solution conditions. TA and 95 silica capping agents were selected due to their increased production and commercial 96 applications, and greener approach. Three well-characterized NOM isolates of different 97 properties were selected: two river humic fractions from Gartempe River (France) and Colorado 98 River (USA), and a hydrophilic isolate from Ribou River (France). Specifically, i) the 99 interactions between NOM and the polymeric surface of TA-coated AgNPs or the hard surface of 100 101 silica-coated AgNPs (i.e., hard vs. polymeric coatings are compared for the first time), and ii) the 102 influence of solution conditions (type and concentration of cations) were investigated. TR-DLS 103 technique was used to investigate aggregation kinetics of the capped AgNPs in NOM-containing 104 electrolyte solutions. Because NOM changes the surface properties of the capping agents upon adsorption, Atomic Force Microscopy (AFM) in contact mode was used to study the 105 106 contributions of van der Waals, electrostatics, and steric mechanisms of every NOM isolate during interfacial interactions. These experimental conditions were carefully selected to mimic 107 real environmental scenarios. The knowledge compiled would assist in identifying research 108 109 directions to understand and predict the fate and transport of capped nanoparticles in natural aquatic systems of different compositions. 110

111 **2.** Materials and Methods

112 2.1. Nanoparticles samples, reagents, and solution chemistries

Electrolyte solutions for NOM stock preparation, AFM, and TR-DLS experiments were prepared with ultrapure water (resistivity of 18 M Ω ·cm, Millipore, USA) and analytical grade agents (i.e., 115 NaCl, CaCl₂, NaOH, FeCl₃, NaNO₃), and subsequently filtered through a 0.22 µm membrane. The iron oxide solution used for the layer-by-layer coating procedure of AFM colloidal probes 116 117 was prepared by increasing the pH of a 10 mM FeCl₃ solution to 7 by small additions of NaOH, 118 as described elsewhere [27, 28]. Spherical silica-coated AgNPs (TEM silver core diameter: 70±10 nm, and silica shell thickness: 20±5 nm) and spherical TA-coated AgNPs (TEM diameter: 119 100±9.4 nm) were obtained from NanoXact (Nano-Composix, USA). Both capped nanoparticles 120 stocks were subjected to a dialysis-filtration process in a 1 mM NaNO₃ solution to remove 121 colloidal TA and dissolved silica species, using an Amicon cell (Millipore, USA). After this 122 purification process, the final concentration of both stocks of nanoparticles was approximately 123 20 ppm. Additionally, the quality of the stocks of nanoparticles was monitored for the duration 124 of this investigation by hydrodynamic diameter and electrophoretic mobility measurements. 125

126 2.2. Origin, characteristics, and NOM stock preparation

The NOM isolates selected for this investigation were obtained from three different surface 127 waters: a) Gartempe-HPO: a hydrophobic (HPO) NOM fraction extracted from a black water of 128 high humic content (i.e., high specific ultraviolet absorbance-SUVA) from Gartempe River, 129 France, b) Colorado-HPO: an HPO isolate collected from Colorado River, USA (low humic 130 content water), and c) Ribou-HPI: a biopolymer/hydrophilic (HPI) fraction from Ribou River, 131 France (non-humic, low SUVA water). These three fractions were isolated following the 132 comprehensive protocol described elsewhere [29], and have been rigorously characterized and 133 described in previous investigations and summarized in the SI section [20, 27, 30, 31]. The NOM 134 stock solutions were prepared by dissolving a NOM fraction in 1 mM NaCl solution, stirred 135 overnight, and filtered through a 0.45 µm membrane. The monodispersion of the dissolved NOM 136

137 was evidenced by TR-DLS (Figure S1). The final concentration of the NOM stock was138 approximately 100 mg C/L.

139 2.3. Electrophoretic Mobility (EPM) of the Natural Organic Matter isolates

140 The EPM of NOM samples was measured using a ZS ZEN3600 Zetasizer (Malvern, UK) and following the protocol described elsewhere [32]. Briefly, silica particles (1.6 µm in diameter, 141 142 Polysciences, Warrington, PA) were coated with Gartempe-HPO, Colorado-HPO, or Ribou-HPI by the layer-by-layer method and using positively-charged iron oxide as an intermediate layer 143 [27]. 1 mL clear disposable zeta cells (DTS1060C, Malvern, UK) were used to measure the EPM 144 of NOM-coated silica particles under: 1, 3, 10, 30, and 100 mM NaCl solutions, and 0.33, 1, 145 146 3.33, 10, and 33.3 mM CaCl₂ solutions at ambient pH. These solution conditions are respectively 147 equivalent in terms of Ionic Strength. A minimum of three measurements was collected for every solution condition. EPM values were converted to zeta potential by the Smoluchowski equation 148 using Dispersion Technology Software (v5.10, Malvern, UK). The data sets obtained were 149 pooled and the average results, including standard deviation, were presented in this study. 150

151 2.4. Interaction forces between NOM fractions and mica surface

AFM was used to study the dominant interacting mechanisms (i.e., electrostatic, van der Waals, 152 or steric interactions) between NOM and mica surface as a function of solution conditions. Mica 153 was selected as a model surface due to its high electronegativity (i.e., highly negatively-charged 154 surface). Based on Coulomb's law, the electrostatic repulsive forces between NOM isolates and 155 156 mica surface (i.e., forces proportional to the product of their charges) would be clearly detected in the force vs. distance profiles generated by the AFM at different ionic strengths (IS) [27]. 157 Steric repulsive forces would also be detected and discriminated against long-ranged exponential 158 electrostatic forces [33]. These results would assist in: i) identifying and measuring the 159 160 electrostatic and steric repulsive forces generated by each NOM fraction as a function of their physicochemical characteristics and solution condition, and thus, ii) understand the interactionsbetween NOM and capped AgNPs.

A Dimension FastScan AFM (Bruker, USA) with an Icon Head was used to measure interaction 163 forces during the approaching regime. A $\sim 20 \ \mu m$ silica sphere glued on a silicon nitride 164 cantilever with a manufacturer-reported spring constant of 0.24 N/m (SNL, Bruker, USA) was 165 used as an AFM colloidal probe and will be termed as AFM probe for the rest of this 166 investigation. These sensitive AFM probes of low spring constant/stiffness were selected to 167 record small forces originated from considerably small deflections of the cantilever during 168 interactions with soft materials (e.g., organic matter structures). The calibration of the AFM 169 170 probes was conducted as follows. Briefly, the deflection sensitivity of the AFM probes was measured in air conditions and using freshly-cleaved mica as a control substrate. The spring 171 constant (k) of the cantilevers was determined by the Thermal Tuning method, where deflection 172 (V) was converted to force (nN) in accordance with Hooke's law [34]. No significant deviation 173 174 from the specification of the manufacturer was observed. The NOM coating of the AFM probes was conducted following the layer-by-layer protocol and using positively-charged iron oxide as 175 176 an intermediate layer, as previously described [27]. As a control experiment, the spring constant of the NOM-coated AFM probes was measured and compared to those of the silica colloidal 177 probes, where no significant difference was observed. These results indicate no impact of the 178 NOM coating on the nanomechanical properties of the cantilevers. A minimum of 10 force 179 profiles were recorded for every NOM sample at 1, 10, and 100 mM NaCl-containing solutions. 180 The forces recorded were normalized by the radius of the colloidal probe (F/R), presented in 181 units of mN/m, and modeled by Classic and Extended Derjaguin-Landau-Verwey-Overbeek 182 (DLVO) theory (section 2.5). Force profiles were not performed in CaCl₂-containing solutions 183

because the DLVO theory does not account for specific interactions and complexation between Ca^{2+} cations and functional groups.

186 2.5. Modeling of interacting forces between organics and surfaces: Classic and Extended Derjaguin 187 Landau-Verwey-Overbeek (DLVO) theory.

During approaching regime, interaction force vs. distance curve profiles were modelled 188 following: a) Decay length, b) Classic DLVO, and c) Extended DLVO theory. Briefly, 189 experimental Decay length follows an exponential decay described by the equation: F =190 $F_o exp(-\kappa d)$, where F is the interaction force, F_o is a pre-exponential constant physically 191 described as the force at contact, κ^{-1} is the interaction force decay length, and d is the separation 192 distance [34]. Approaching curves were individually analyzed to extract $F_{\rm o}$ and $\kappa^{\text{-1}}$ at every 193 solution condition. Additionally, Classic DLVO theory is described as the sum of van der Waals 194 195 (vdW) and electrostatic double-layer interactions (i.e., considering a sphere and an infinite planar 196 surface) as: $F_{DLVO} = F_{vdW} + F_e$; where A₁₂₃ is the Hamaker constant, r is the radius of the colloidal AFM probe, d is the separation distance between the AFM colloidal probe and mica 197 surface, ψ_t and ψ_s are the surface potentials of the NOM-coated AFM colloidal probe and model 198 mica surface, respectively, κ is the inverse Debye length, k is the Boltzmann constant, e is the 199 electron charge, T is the absolute temperature, z is the valence, ε is the dielectric constant of the 200 (2)solution, and ε_o is the dielectric permittivity of vacuum (Eq. 1-2) [35]. 201

202
$$F_{vdW} = \frac{A_{132}}{6} \left[-\frac{r}{d^2} - \frac{r}{(d+2r)^2} + \frac{2r}{d(d+2r)} \right]$$
(3)

203
$$F_{e} = 4\pi\varepsilon\varepsilon_{o}(\kappa r)\left(\frac{kT}{ze}\right)^{2}\psi_{t}\psi_{s}\left[\frac{exp(-\kappa rd)}{1+exp(-\kappa rd)} - \frac{(\psi_{t}-\psi_{s})^{2}}{2\psi_{t}\psi_{s}}*\frac{exp(-2\kappa rd)}{1-exp(-2\kappa rd)}\right]$$
204
$$F_{XDLVO}=F_{DLVO} + F_{o}\exp\left(-\frac{h}{\lambda}\right)$$
(2)

Besides vdW and electrostatic forces, an extended DLVO model considered the contribution of steric repulsive forces as previously described (Eq. 3) [36]. λ is a characteristic Decay length of possible steric nature. All the experimental Force-Distance profiles were modeled using nonlinear regression by OriginPro v.2018, providing a physical description of the influence of electrostatics, vdW, and steric repulsive forces during NOM interactions with surfaces.

210 2.6. Aggregation Kinetics of capped AgNPs in NOM-Containing Electrolyte Solutions

The stability of TA-coated and silica-coated AgNPs was studied by the TR-DLS technique in 211 NOM-containing electrolyte solutions using a ZS ZEN3600 Zetasizer (Malvern, UK) and low 212 volume plastic disposable cuvettes (ZEN112, Malvern, UK). The intensity-weighted 213 hydrodynamic diameter (Dh) of capped AgNPs was calculated by second-order cumulant 214 analysis using Dispersion Technology Software (v5.10, 2008, Malvern, UK) as previously 215 described [37]. The initial hydrodynamic diameter (D_{ho}) of capped AgNPs was measured by 216 diluting the stock suspensions in a 1 mM NaCl solution to a final concentration of 1 ppm and to a 217 final volume of 500 μ L and was used as a baseline for the following aggregation kinetics 218 experiments. During TR-DLS experiments, TA-coated or silica-coated AgNPs were added to the 219 220 electrolyte solution to a final concentration of 1 ppm and to a final salt concentration of 1, 3, 10, 30, 50, and 100 mM NaCl, or 0.33, 1, 3.33, 10, 16.6, and 33 mM CaCl₂ (i.e., selected as 221 representative for fresh and brackish water sources), gently shook, and placed in the Zetasizer 222 equipment for immediate measurement. The autocorrelation functions were accumulated for 20 223 s, and the measurements extended over 1 h. Similar to the previous experimental condition, 224 225 capped AgNPs and NOM were simultaneously added to electrolyte solution to a final 226 concentration of 1 ppm and 10 mg C/L, respectively. The latter condition was selected based on the NOM concentration found in their respective aquifers [38, 39]. The experimental aggregation 227 kinetic rate constant (k11) of capped AgNPs in an electrolyte solution or NOM-containing 228

electrolyte solution was calculated during early stages of aggregation as the initial rate of
increase in the nanoparticles hydrodynamic diameter (D_h) as a function of time (t) (i.e., slope),
up to the point at which D_h reaches the relative hydrodynamic diameter of 1.38D_{ho}, as described
elsewhere [37]. The experimental aggregation kinetic rate constant (k₁₁) of capped AgNPs
provided fundamental information of diffusion-limited and reaction-limited regimes (i.e.,
favorable/unfavorable aggregation) as a function of solution conditions.

235 **3. Results and Discussion**

236 3.1. Electrophoretic mobility of NOM fractions



237

Figure 1: EPM of Ribou-HPI, Colorado-HPO, and Gartempe-HPO in a) 1, 3, 10, 30, and 100
 mM NaCl and b) 0.33, 1, 3.33, 10, and 33.3 mM CaCl₂ solutions

The EPM of the three organic fractions were negative throughout the entire NaCl and CaCl₂ concentration range tested (Figure 1). In NaCl solutions, the EPM of the three isolates became less negatively-charged with increasing ionic strength (Figure 1a). Nevertheless, the magnitude of the negative charge of these isolates followed the order of Gartempe HPO > Colorado HPO > Ribou HPI. These differences in EPM would be fundamental during interactions with the capped AgNPs and ions in solution and can be explained by the physicochemical characteristics of the NOM fractions, summarized as follows (Table S1-S2) [20, 27, 30, 31]. Briefly, Gartempe-HPO

(i.e., hydrophobic acids) was found rich in carboxylic acids (i.e., deprotonated at neutral pH 247 conditions due to their low pKa [40], and main contributor to the charge of the NOM) and 248 aromatic ring structures, while signals of carbohydrates and proteins were almost absent in ¹³C-249 NMR and FT-IR spectra. Also, this isolate presented a predominance of fulvic acid structures, 250 typical of surface water humic substances. Conversely, Ribou HPI (i.e., hydrophilic colloids) 251 showed a weak presence of aromatic ring structures and carboxyl groups (i.e., explaining its low 252 253 EPM). Interestingly, a high organic nitrogen content, a large presence of proteins, polysaccharides, and aminosugars were observed. Carbohydrates (i.e., aliphatic structures and 254 alcoholic functional groups) constituted a major fraction in this isolate (analysis is provided in 255 256 the SI section). Alcoholic groups would not contribute to the charge of the molecule due to its 257 high pKa [40]; however, they play a role during acid-base interactions. Additionally, through 258 contact angle measurements and the calculation of interfacial free energy of interaction with water (ΔG_{121}), previous studies have reported the higher hydrophilicity of -OH than of -COOH 259 260 groups [41, 42]. These characteristics conferred Ribou-HPI of a strong hydrophilic character. Colorado-HPO also showed a predominance of fulvic acid structures, however, derived from 261 262 terpenoids. Although significant, the phenolic, aromatic, and carboxyl group contents were lower than that of Gartempe-HPO. Remarkably, this fraction showed abundant polysaccharides 263 moieties and high alcoholic group content [30, 31]. Similarly, the negative charge of the three 264 organic fractions decreased with increasing CaCl₂ concentration in solution (Figure 1b) and also 265 followed the order of Gartempe HPO > Colorado HPO > Ribou HPI. However, the magnitude of 266 the negative charge of the organics in CaCl₂ solutions was lower than those in NaCl solutions 267 throughout the whole range of ionic strength. Previous studies have observed similar trends [20, 268 269 32, 43]. Ca²⁺ would exert an influence on the surface properties of the organics, possibly through cation complexation with deprotonated carboxyl groups [44]. This latter observation would
indicate the importance of the characteristics of these organic fractions during interactions with
other surfaces and colloids in solution (e.g., capped AgNPs). The abovementioned characteristics
(e.g., aromaticity, aliphaticity, carbohydrate/protein content, carboxyl, alcoholic, methyl
functional groups) defined the hydrophobic and hydrophilic nature of the NOM fractions; and
thus, would exert an impact during interactions with ions and the capping agents of AgNPs.

276 3.2. Interfacial interactions between NOM and mica surface



277

Figure 2: Representative force vs. distance curves during approaching regime between the mica
surface and a) Gartempe HPO or b) Colorado HPO-coated colloidal probe. The forces were
normalized by the radius of the colloidal probe (F/R) and presented in units of mN/m.

NOM changes the surface properties of the capping agents upon adsorption and, thus, influences the interfacial interactions of the capped nanoparticles with other components of aquatic systems (e.g., colloids, ions). Therefore, AFM in contact mode was used to study the contributions of van der Waals, electrostatics, and steric mechanisms of every NOM isolate during interfacial interactions. The force vs. distance curves during approaching regime between the mica surface and the three organic isolates showed different profiles. Briefly, during Gartempe HPO approaching to the mica surface, long-ranged repulsive forces were initially recorded at an

interaction distance of approximately 30 nm in 1 mM NaCl-containing solution (Figure 2a). 288 These repulsive forces increased with decreasing interaction distance, following an exponential 289 profile until a linear response of the cantilever (i.e., constant compliance region) and overcoming 290 attractive vdW forces. The magnitude of these repulsive forces decreased with increasing NaCl 291 concentration in solution due to the: a) charge screening and b) compression of polymeric 292 structures of the organic fraction, thus, resulting in decreased inter/intramolecular repulsive 293 294 forces as previously suggested [45]. Regardless of the ionic strength of the solution, no jump-295 into-contact effect was observed between Gartempe HPO and the mica surface in all the force curves recorded. A jump-into-contact occurs when the spring constant of the cantilever is 296 297 exceeded by the gradient of the attractive forces between the surface and colloidal probe during approaching regime [46]. An exponential decay fit could not successfully describe the profile of 298 299 the approaching curves (Figure 2a); thus, indicating additional interactive mechanisms between Gartempe HPO and mica besides electrostatics. Nevertheless, the force profile was described by 300 a bi-exponential decay fit (Figure 2a), indicating two regions of different interacting 301 302 mechanisms. Additional details regarding decay and Debye length calculations are provided in the SI section. Previous studies have observed similar trends in the force vs. distance 303 approaching curves between NOM-coated surfaces [33, 47]. Two decay lengths were extracted, 304 305 where the first decay length would correspond to long-ranged interactions of electrostatic nature, while the second decay length would be assigned to repulsive steric forces caused by the 306 compression of the polymeric structures of Gartempe HPO. Specifically, the magnitude of the 307 308 decay length of the first region was highly dependent on the solution chemistry and was close to the theoretical Debye length (e.g., 8.87, 4.39, and 2.02 nm vs. 9.84, 3.11, and 0.98 nm for 1, 10, 309 and 100 mM NaCl, respectively) [35]. This latter observation is consistent with the 310

physicochemical characteristics of this organic fraction and its high EPM (Figure 1). Conversely,
the decay length of the second region showed lower values and less sensitive to solution
conditions (e.g., 1.68, 1.51, and 0.89 nm for 1, 10, and 100 mM NaCl, respectively).

Similarly, the force vs. distance curves between Colorado HPO and the mica surface also showed 314 increasing repulsive forces with decreasing interaction distance following a pseudo-exponential 315 decay, possibly of electrostatic and steric nature (Figure 2b). Nevertheless, jump-into-contact 316 317 events were recorded following the long-ranged repulsive forces, suggesting another type of 318 dominant interacting mechanism at short distances. Jump-into-contact events were observed in every force-curve irrespective of ionic strength in solution. The gradient of the attractive forces 319 320 inducing jump-into-contact would be caused by a combination of low electrostatic repulsive forces, attractive vdW forces, and acid-base interactions (i.e., hydrogen bonds between hydroxyl 321 groups present in Colorado HPO and electronegative elements in the mica surface), as previously 322 323 suggested [45, 48]. Specifically, Colorado-HPO showed polysaccharides moieties and alcoholic group content (i.e., absent in Gartempe-HPO); thus, contributing to its affinity towards mica. The 324 325 magnitude of the decay length corresponding to the long-range electrostatic repulsive forces was also dependent on the solution chemistry and close to the theoretical Debye length (e.g., 8.21 and 326 2.78 for 1 and 10 mM NaCl, respectively). Moreover, the XDLVO model assisted in elucidating 327 328 the contribution of the short-range forces of possible steric nature (Eq. 3). The characteristic Decay length (λ) extracted at 1 mM NaCl (i.e., 3.77 nm) was higher than that of Gartempe HPO 329 (Figure 2b), suggesting steric repulsive forces as an additional mechanism interacting between 330 Colorado NOM and the mica surface. Additional details regarding XDLVO calculations are 331 provided in the SI section. On the other hand, jump-into-contact events have been recognized as 332 unstable regimes that prevent the accurate measurement of attractive forces and induce 333

misinterpretations regarding zero-distances [34]; thus, difficulting the modeling and physical
description of attractive short-range forces. Thus, the current study did not further study this
phenomenon.

Conversely, the force vs. distance curves between Ribou HPI and mica surface showed no 337 repulsive forces even at low ionic strength (1 mM NaCl), where the screening of charges is not 338 significant (Figure S2). This low electrostatic repulsion (i.e., low electrostatic repulsive forces 339 340 below the detection limit of the AFM) would be explained by the physicochemical 341 characteristics of this fraction and also clearly evidenced by its low EPM (Figure 1). Specifically, this fraction is characterized by the weakest presence of carboxyl groups among the three NOM 342 343 selected. Nonetheless, polysaccharides, aminosugars, aliphatic structures, and alcoholic functional groups constituted a major fraction contributing to the hydrophilic character of Ribou 344 HPI (analysis is provided in the SI section), and thus, to the high affinity to the super hydrophilic 345 346 mica surface. As a consequence, jump-into-contact events were recorded at all solution conditions, possibly caused by a combination of attractive vdW forces and strong acid-base 347 interactions between the dominant alcoholic groups in the structure of Ribou HPI and 348 electronegative elements in the mica surface [48]. 349

In summary, the combination of high electrostatic charge, steric forces induced by its polymeric nature, and its high aromatic/phenolic carbon content would cause the high repulsion and lack of jump-into contact events between Gartempe-HPO and the highly electronegative and hydrophilic mica. On the other hand, the lower charge, aromatic carbon, and phenolic content, in addition to abundant polysaccharide moieties and alcoholic groups, would induce short-range attractive forces of acid-base nature that caused jump-into-contact events between Colorado-HPO and 356 mica. Conversely, the hydrophilic nature and considerably low charge of Ribou HPI would 357 induce jump-into contact events and the lowest repulsion forces to mica (i.e., high affinity).



358

Figure 3: a) Repulsive electrostatic forces between NOM isolates and mica surface in NaCl
solutions of different ionic strengths. b) Zeta potential of NOM isolates vs. repulsive electrostatic
forces between NOM isolates and mica surface in a 1 mM NaCl solution. The forces were
normalized by the radius of the colloidal probe (F/R) and presented in units of mN/m.

The force at contact (F_o) was extracted from the fitting of the electrostatic component (i.e., long-363 range interactions) of the approaching curve of Gartempe HPO, Colorado HPO, and Ribou HPI, 364 as previously described [33, 47], and plotted against the NaCl concentration (Figure 3a). This 365 366 force at contact (F_o) would represent the approximate maximum electrostatic repulsive force recorded between the NOM-coated AFM probe and the mica surface before contact. Due to well-367 known uncertainties (e.g., heterogeneity in the thickness of the NOM-coating layer, the 368 molecular weight of the NOM structures, molecular arrangements within the coating layer), 369 determining the exact point of contact has been previously reported as challenging [49]. Due to 370 its extremely low magnitude, the Fo value of Ribou HPI at 1 mM NaCl was set as zero nN (i.e., 371 no repulsive force was detected prior jump-into-contact events). The magnitudes of Fo for the 372 373 three organic fractions followed the order of: Gartempe HPO>Colorado HPO>Ribou HPI (i.e., consistent with their respective physicochemical characteristics) and sharply decreased with 374

375 increasing ionic strength in solution, indicating charge screening (Figure 3a). Additionally, a 376 strong correlation between the EPM and Fo values of the three organics was observed (Figure 3b); thus, indicating the importance of the physicochemical characteristics of these organics 377 during long-range electrostatic interactions. Electrostatic forces play a key role in the energy 378 barriers between surfaces during interactions in solution [35]. Therefore, understanding the 379 electrostatic and steric forces generated by the selected NOM fractions would assist in predicting 380 381 the interfacial interactions between NOM and capped AgNPs in electrolyte solutions, ultimately 382 leading to colloidal stability or aggregation.

383 3.3. Aggregation kinetics of tannic acid-coated and silica-coated AgNPs in NOM-containing
 384 electrolyte solutions



385

Figure 4: Aggregation kinetic of: a) Silica-coated and TA-coated AgNPs in 1 mM NaCl, and b)
 silica-coated AgNPs in 10 mM NaCl, 3.33 mM CaCl₂, and 33.3 mM CaCl₂.

388	The hydrodynamic diameter (D _h) of both silica-coated and TA-coated AgNPs was measured for
389	60 min in a 1 mM NaCl solution to determine their stability in solution and initial hydrodynamic
390	diameters (Dho) for further aggregation kinetics experiments (Figure 4a). Both types of
391	nanoparticles showed high stability and a D_{ho} of 118.9±2.5 nm and 97.3±2.5 nm for silica-coated
392	and TA-coated AgNPs, respectively. TA is a molecule (isoelectric point<2 and ~1.7 kDa)

commonly used for the stabilization of silver and gold nanoparticles in solution. In contrast, silica (isoelectric point~3) is a versatile coating option for nanomedicine, biodiagnostic, photothermal applications, and color. Also, silica preserves the optical properties and provides good salt stability to silver and gold nanoparticles. At environmentally relevant pH, both silica and TA acquire a negative charge, which would be fundamental during interactions with organics in solution.





Figure 5: Aggregation kinetics of silica-coated AgNPs in a) NaCl and b) CaCl₂ solutions, in the
 absence and presence (10 mg C/L) of NOM

In the presence of increased NaCl concentration, the silica-coated AgNPs showed decreasing 402 stability in solution due to simple charge screening (Figure S4). The aggregation rate (k11) of 403 silica-coated AgNPs increased from 0.05 to 0.30, 0.83, 1.72, and 3.43 nm/min for 10, 20, 30, 50 404 and 100 mM NaCl-containing solutions, respectively (Figure 4b and 5a, Table S3). No clear 405 406 critical coagulation concentration (CCC) was observed at high ionic strength (IS). CCC is 407 defined at the limit concentration between reaction-limited and diffusion-limited regimes [37]. On the other hand, the aggregation rates of silica-coated AgNPs in CaCl₂-containing solutions 408 were slightly higher than those in NaCl, indicating the influence of cation bridging (i.e., specific 409 interactions) on the destabilization of these nanoparticles (Figure 4b and 5b). The EPM of silica-410

coated AgNPs in CaCl₂ was significantly lower than those in NaCl solution in the whole IS 411 tested; thus, confirming the latter observation. Specifically, at 1.66 mM CaCl₂ (5 mM IS) the k₁₁ 412 was 0.08 nm/min and increased to 0.60, 1.50, 2.67, 3.52, and 3.07 nm/min at 3.33, 6.66, 10, 413 16.66, and 33.3 mM CaCl₂ (10, 20, 30, 50, and 100 mM IS), respectively (Table S3). Thus, CCC 414 was recorded at approximately 13.3 mM CaCl₂ (40 mM IS). Previous studies have observed bare 415 AgNPs to readily aggregate at low salt concentrations in solution (i.e., CCC<10 mM NaCl, and 416 417 CCC<1 mM CaCl₂); thus, mainly attributed to the low electrostatic stabilization of the bared Ag 418 surface [22, 50]. Therefore, the silica coating provided colloidal electrostatic stabilization to the AgNPs. 419

420 Remarkably, in the presence of NOM-containing NaCl solution, the silica-coated AgNPs showed enhanced stabilization. Nevertheless, the level of stabilization (k₁₁) highly depended on the 421 422 characteristics of the NOM. Briefly, the aggregation of silica-coated AgNPs in the presence of 423 Gartempe HPO was solely detected at 100 mM NaCl with a k₁₁ of 0.03 nm/min, which was two order of magnitude lower than the corresponding k_{11} (3.43 nm/min) in the absence of NOM 424 425 (Figure 5a). Colorado HPO also proved efficient in stabilizing silica-coated AgNPs at high ionic strength; however, their aggregation rates were approximately 1.5 orders of magnitude lower 426 than those in the absence of NOM (Figure 5a). Additionally, no CCC was observed. On the other 427 428 hand, the presence of the hydrophilic fraction (Ribou HPI) also induced stability to the silica-429 coated AgNPs, resulting in a 5-fold decrease of k₁₁ compared to those in the absence of NOM (Figure 5a). These results clearly indicate the adsorption of the organic fractions on the silica 430 capping (i.e., change in surface properties) and subsequent stabilization of the nanoparticles 431 through electrostatic and steric mechanisms. Interestingly, the level of stabilization of 432 nanoparticles in solution followed the same trend as observed during the AFM-EPM experiments 433

(Figures 2 and 3), where Gartempe HPO and Ribou HPI showed the highest and lowest repulsive 434 forces, respectively. Specifically, Gartempe HPO adsorbed on silica-coated AgNPs would 435 generate the highest energy barriers against successful collisions (i.e., and subsequent 436 aggregation) through a combination of electrostatic and steric repulsive forces. These energy 437 barriers would decrease with increasing NaCl concentration in solution due to simple charge 438 screening (Na⁺) and compression of polymeric structures. Conversely, among the three NOM 439 440 selected, Ribou HPI showed the lowest and highest presence of carboxyl and alcoholic 441 functional, respectively. Based on these characteristics, low electrostatic repulsive forces (i.e., inducing low energy barriers) and attractive acid-base interactions (occurring at short separation 442 443 distances) would play a key role during the aggregation between silica-coated AgNPs in Ribou HPI-containing solutions. In brief, the most hydrophobic NOM fraction (Gartempe-HPO) 444 provided the highest stability to silica-coated AgNPs in NaCl solutions based on electrosteric 445 446 mechanisms. Remarkably, this stability decreased with increasing hydrophilicity of the NOM fraction due to lower electrostatic repulsion and attractive acid-base interactions occurring at 447 448 short separation distances.

Remarkably, Ca2+ cations radically impacted the stability of silica-coated AgNPs in NOM-449 containing solutions (Figure 5b and S3b). The aggregation behavior was also highly dependent 450 on the characteristics of the organic fractions. Briefly, aggregation of silica-coated AgNPs in 451 Gartempe HPO-containing solutions was initially observed at 10 mM CaCl₂ (k₁₁: 0.05 nm/min) 452 and increased with increasing $CaCl_2$ concentration (k₁₁: 0.37 nm/min at 33.3 mM $CaCl_2$); 453 however, no CCC was observed. Similarly, the aggregation of silica-coated AgNPs in Colorado 454 HPO-containing solutions was initially recorded at low divalent cation concentrations (3.3 mM 455 CaCl₂) and showed a 7-fold increase in aggregation rates compared to those in NaCl. 456

Interestingly, at low CaCl₂ (i.e., 1.66, 3.33, and 10 mM CaCl₂), the aggregation rates of silica-457 coated AgNPs in Ribou HPI-containing solutions were similar to those in the absence of NOM. 458 Nevertheless, at higher CaCl₂ concentrations (i.e., 16.6 and 33.3 mM CaCl₂), the presence of 459 Ribou HPI induced enhanced aggregation in silica-coated AgNPs (Figure 5b). These results 460 clearly indicated cation bridging as a dominant interaction mechanism between the deprotonated 461 carboxyl groups in the organics adsorbed on the silica-coated AgNPs, and between organics and 462 silica-coated AgNPs; thus, inducing aggregation. In a previous study, AFM was used to measure 463 the adhesion forces between citrate-coated AgNPs and NOM in NaCl vs. CaCl₂-containing 464 solutions, providing evidence of cation bridging mechanism [20]. Although it was not possible to 465 466 confirm the full or partial NOM coating on the silica surface, the adsorption of these organic fractions changed the surface properties of the silica-coated AgNPs and was key for the stability 467 468 and aggregation behavior of these nanoparticles in solution.



469

470 Figure 6: Aggregation kinetics of TA-coated AgNPs in a) NaCl and b) CaCl₂ solutions, in the
471 absence and presence (10 mg C/L) of NOM

472 A low aggregation of TA-coated AgNPs (k_{11} : 0.02 nm/min) was observed in 10 mM NaCl. The 473 aggregation rates increased with increasing NaCl in the solution until CCC was observed at 474 approximately 50 mM NaCl (k_{11} : 0.20 nm/min) (Figure 6a and S3b). Remarkably the

aggregation rates of TA-coated AgNPs were approximately one order of magnitude lower than 475 those of silica-coated AgNPs under the same Na⁺ concentration. The surface characteristics of 476 both types of nanoparticles played a crucial role in their aggregation behavior. Although the 477 EPM of both capped AgNPs were similar at low IS, the EPM of silica-coated AgNPs decreased 478 more with increasing IS than that of TA-coated AgNPs. This latter result indicates that ions exert 479 a higher impact on the surface of silica-coated AgNPs. Also, the silica coating is a hard and 480 481 smooth surface following classic DLVO interaction forces [35]. On the other hand, TA is an 482 organic molecule providing additional steric repulsive mechanisms due to its polymeric nature [33, 47]. However, in CaCl₂ solutions of low concentrations (e.g., 3.33 to 10 mM CaCl₂), the 483 484 aggregation rates of TA-coated AgNPs were slightly one order of magnitude higher than those in NaCl (Figure 6b and S3b). Also, a CCC was recorded at approximately 6.66 mM CaCl₂ (i.e., 20 485 486 mM ionic strength). These results indicate the impact of divalent cations through cation bridging 487 between deprotonated carboxyl groups in the TA structure; thus, inducing enhanced aggregation and overcoming the steric mechanism provided by the polymeric structure of TA.[44] 488

489 Unlike silica-coated AgNPs, the presence of organics in solution did not radically change the aggregation behavior of TA-coated AgNPs in NaCl-containing solutions (Figure 6a). 490 Specifically, the aggregation rates of TA-coated AgNPs slightly decreased in Gartempe HPO, 491 Colorado HPO, or Ribou HPI, and the CCC in these three conditions was still recorded at 492 approximately 50 mM NaCl. This latter observation indicates the low interactions between TA-493 coated AgNPs and NOM in Na⁺-containing solutions. Nevertheless, Ca²⁺ cations in solution 494 induced enhanced aggregation through cation bridging between deprotonated carboxyl groups on 495 the structure of both organic fractions and TA-coated AgNPs (Figure 6b and S3b). The changes 496 recorded in the aggregation rates were correlated to the characteristics of the organics in solution. 497

The magnitude of k_{11} across the tested CaCl₂ range followed the order of: Gartempe HPO > 498 Colorado HPO > Ribou HPI. Based on their structures, the two HPO fractions (i.e., highly acidic 499 in nature) present more carboxyl groups than the Ribou HPI fraction (i.e., where aliphatic 500 structures and alcoholic groups are dominant in its structure). Additionally, the presence of 501 carboxyl groups in the structure of organics has been commonly correlated to their EPM or zeta 502 potential [20, 27]. Therefore, the high aggregation rates of TA-coated AgNPs in Gartempe HPO-503 504 containing solutions, exceeding those of Colorado HPO and Ribou HPI, would be caused by a 505 higher content of deprotonated carboxyl group interacting through Ca²⁺ cations inner-sphere complexation with TA and Gartempe HPO molecules themselves [44]. Besides, no CCC was 506 507 observed in the aggregation behavior of TA-coated AgNPs for the three organics tested, indicating reaction-limited aggregation even at higher CaCl₂ concentrations. These results clearly 508 indicate the electrostatic and steric stabilization of AgNPs by the TA coating and the strong 509 interactions between NOM and TA in Ca²⁺-containing solutions, which ultimately impact the 510 stability of the coated-nanoparticles. 511

512 **4.** Conclusions

The results presented in the current study introduce profound environmental implications. Silica 513 and tannic acid are widely-used capping agents providing different surface properties to AgNPs 514 515 as a function of their industrial target. Nevertheless, the release of these coated nanoparticles in the environment poses multiple threads to diverse aquatic ecosystems and human health. The 516 experimental conditions in the current study tested the homoaggregation of capped nanoparticles 517 under different solution chemistries and the presence of NOM. In natural aquifers, the 518 aggregation of capped nanoparticles would be induced by colloids through heteroaggregation. 519 Nevertheless, understanding the interactions between the capping agents and ubiquitous 520

components of the environment (e.g., NOM of different properties, cations, IS) is also crucial for 521 understanding and predicting the fate and transport of capped-AgNPs in natural aquatic systems. 522 The current results show that the physicochemical characteristics of the capping agents play a 523 crucial role in the interfacial interactions with NOM and ions in solution. The hard and smooth 524 surface of silica provided some stability in solution to the AgNPs (i.e., following classic DLVO 525 mechanisms). However, this silica surface also showed strong interactions with both humic and 526 527 non-humic organics in Na⁺-containing solutions, ultimately deriving in NOM adsorption onto 528 silica (i.e., change in surface properties), and enhanced stability. Humic NOMs provided more stability to the silica-coated AgNPs than its non-humic counterpart, mainly due to stronger 529 530 electro-steric repulsive forces. Conversely, the polymeric TA provided higher stability to the AgNPs than silica coating and also showed weak interactions with NOM in the presence of Na⁺ 531 in solution. Ca2+ induced the aggregation of TA-coated and silica-coated AgNPs in NOM-532 533 containing solutions through specific interactions (i.e., cation bridging). However, humic NOM induced faster aggregation of both types of nanoparticles in Ca²⁺-containing solutions due to its 534 higher content of deprotonated carboxyl groups (i.e., cation bridging). These results indicate that: 535 i) tannic acid as a capping agent, and ii) the adsorption of NOM onto the silica coating induce 536 high stability in AgNPs. Due to the ubiquitous and dominant presence of humics in natural 537 538 waters, the latter scenario would be highly possible. Remarkably, the aggregation rates of capped AgNPs would be correlated to the NOM concentration in the aquifers. In aquifers of high ionic 539 strength and hardness (e.g., estuaries), the aggregation of these capped AgNPs would be 540 expected, and their transport would be limited. Contrariwise, in freshwater (i.e., low ionic 541 strength and presence of NOM), these capped nanoparticles would travel long distances. 542 Nevertheless, different species would be preferentially affected by stable or aggregated AgNPs. 543

- 544 For instance, planktonic organisms in suspension would be more exposed to colloidally stable
- 545 AgNPs, while benthic organisms living in sediments would be exposed to aggregated/sedimented
- 546 AgNPs. The latter scenario is also fundamental during the risk assessment of AgNPs in natural
- 547 aquatic systems.

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