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1 Synthesis of defined oligohyaluronates-decorated liposomes and interaction with lung

2 cancer cells

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12 In this work hyaluronic acid (HA) oligosaccharides with degree of polymerization (DP) 4, 6 13 and 8, obtained by enzymatic depolymerization of HA, were conjugated to a PEGphospholipid moiety. The products (HA-DP4, HA-DP6 and HA-DP8) were used to prepare 14 15 decorated liposomes. The cellular uptake of HA-DP4, HA-DP6 and HA-DP8-decorated fluorescently labelled liposomes was significantly higher (12 to 14-fold) in lung cancer cell 16 17 lines with high CD44 expression than in those with low CD44 expression, suggesting a 18 receptor-mediated entry of HA-conjugated formulations. Competition assays showed that the uptake followed this rank order: HA-DP8>HA-DP6>HA-DP4 liposomes. Moreover, they 19 are capable of a faster interaction with CD44, followed by phagocytosis, than HA 20 liposomes obtained from HA of higher molecular weight (4800 and 14800 Da). HA-DP4, 21 HA-DP6 and HA-DP8-liposomes did not show cytotoxicity or inflammatory effects. Overall, 22 we propose our new HA-DP oligosaccharides as biocompatible and effective tools for a 23 24 potential drug delivery to CD44-positive cells.

25 Keywords: Hyaluronic acid; oligosaccharides; liposomes; HA-CD44 interaction

26 **1. Introduction**

Hyaluronic acid (HA) is a widely distributed extracellular matrix polysaccharide of the
glycosaminoglycan family. It is a polymer of high molecular weight composed of
alternating glucuronic acid (GlcA) and *N*-acetylglucosamine (GlcNAc) units forming a
repeating sequence of the disaccharide β-D-GlcA-(1→3)-β-D-GlcNAc-(1→4). This
biomolecule is involved in the regulation of inflammation, tumor development and healing
processes through its interaction with different proteins (Fuster & Esko, 2005; Toole,
2004).

HA is considered as a key biomarker of specific cancers, because CD44, the main receptor
of HA at the cell surface, is overexpressed on different solid (colon, ovarian, breast, lung)
tumors and leukemias. The binding of HA to CD44 modulates the regulation and the
proliferation of cancer cells. Recently, it has been reported that the repeating sequence of
HA provides multiple binding sites for CD44 binding, inducing CD44 clustering, an event
related to tumor progression and inflammation processes (Yang et al., 2012).

This binding has prompted researchers to use HA-phospholipid conjugates to construct liposomes able to target tumor cells through the CD44 receptor. In previous works, it has been shown that such liposomes can successfully bind to cells, and if they are loaded with anticancer drugs as gemcitabine or doxorubicin derivatives, they can be internalized and delivered efficiently. (Arpicco et al., 2013; Dalla Pozza et al., 2013; Gazzano et al., 2019; Marengo et al., 2019).

Like other polymers, macromolecular HA is not homogeneous: indeed, it is composed of
multiple chains of different length, with an average molecular weight of about 10⁶ Da.
Oligosaccharides of lower molecular weight that can be obtained by chemical or
enzymatic depolymerization, also bind CD44. However, it has been reported that a
mixture of oligosaccharides with a degree of polymerization (DP) 4-20 exhibits proinflammatory effects, while HA polysaccharide exerts opposite effects (Gao, Yang, Mo,
Liu, & He, 2008). This difference has been interpreted in terms of monovalent vs.

53 multivalent interactions. Clustering of CD44 would require multivalent HA-CD44 binding

- occurring with the HA polymer, whereas HA oligosaccharides could only allow monovalent
- interactions, thus preventing the receptor clustering. (Yang et al., 2012)

56 In this paper, we explore the use of small HA oligosaccharides of defined structure and

- 57 purity. Our approach involved the chemical modification of these oligosaccharides (DP4, 6
- and 8) and conjugation to a phospholipid moiety. These conjugates were used to prepare
- 59 liposomes, which present at the surface a multivalent arrangement of these small
- 60 oligosaccharides. After complete characterization of the liposomes, the cellular uptake by
- 61 human lung cancer cells, the cell viability and the inflammatory profile were studied.

62 2. Materials and methods

63 2.1. Materials

Fetal bovine serum (FBS), fluorescein-5-(and-6)-sulfonic acid trisodium salts and culture
medium were from Invitrogen Life Technologies (Carlsbad, CA). Plasticware for cell
cultures was from Falcon (Becton Dickinson, Franklin Lakes, NJ). All the phospholipids
were provided by Avanti Polar-Lipids distributed by Sigma-Chemical Co (St. Louis, MO).
The protein content in cell extracts was assessed with the BCA kit from Sigma Chemical
Co. Unless otherwise specified, all the other reagents were purchased from Sigma
Chemical Co.

71 2.2. Analytical methods

¹H NMR (400 MHz) and ¹³C NMR (101 MHz) spectra were recorded in D₂O. The proton and carbon signal assignments were determined from decoupling experiments. Thin layer chromatography (TLC) was performed on Silica F254 and detection by UV light at 254 nm or by charring with sulfuric acid in ethanol. High-resolution electrospray mass spectra in the positive ion mode were obtained on a Q-TOF Ultima Global hybrid quadrupole/timeof-flight instrument, equipped with a pneumatically assisted electrospray (Z-spray) ion source and an additional sprayer (Lock Spray) for the reference compound. The source and desolvation temperatures were kept at 80 and 150 °C, respectively. Nitrogen was
used as the drying and nebulizing gas at flow rates of 350 and 50 L/h, respectively. The
capillary voltage was 3.5 kV, the cone voltage 100 V and the RF lens1 energy was
optimized for each sample (40 V). Lock mass correction, using appropriate cluster ions of
sodium iodide (Nal)_nNa⁺, was applied for accurate mass measurements. The mass range
was typically 50-2050 Da and spectra were recorded at 2 s/scan in the profile mode at a
resolution of 10000 (FWMH).

86 2.3. Enzymatic hydrolysis of hyaluronic acid

87 Sodium hyaluronate (2 g) was dissolved in 0.1 M sodium acetate buffer (41 mL, pH 4.5) at 88 37 °C and bovine testes hyaluronidase (BTH, 12800 U) was added. After stirring for 2 days 89 at 37 °C, 6000 U of enzyme were added. The addition of enzyme was repeated until TLC (n-butanol/formic acid/water, 2:2:1) showed no further changes (15 days). The solution 90 was heated at 80°C for 5 min, filtered to remove the denatured enzyme, and freeze-dried. 91 The crude product was desalted on Sephadex LH-20 using water as eluent. Analytical 92 anion exchange chromatography (HPAEC) of the oligosaccharide mixture showed the 93 presence of DP4, 6 and 8 as the main products. 94

95 2.4. Purification of oligosaccharides of DP4, DP6 and DP8 of hyaluronic acid

The separation of the oligosaccharides was performed on a HPLC Waters autopurification 96 97 system (Waters, France) equipped with a 1525 binary pump coupled to a 2998 PDA 98 detector (Waters, France), and a SEDEX LT-ELSD LC detector (Sedere, France). The run was 99 performed at room temperature, the compounds were loaded on a TSKgel DEAE 5PW column (10 µm particle size, 200 mm x 50 mm) and the sample injection volume was 700 100 101 μ l (aqueous solutions of compounds at 140 mg/mL). The mobile phase consisted of 1mM 102 ammonium formate (solvent A) and 1M ammonium formate (solvent B). The composition 103 of the mobile phase varied during the run as follows:

104 *Condition prep:* A:B: 0-20 min (100:0 to 85:15 v/v), 20-50 min (85:15 to 62:38 v/v), 50.01105 60 min (0:100 v/v) at a flow rate of 30 mL/min.

106 Data acquisition and processing were performed with MassLynx V4.1 software.

After lyophilization, compounds 1a, 1b and 1c were obtained in pure form in 14%, 16%
and 17% yield, respectively.

109 2.5. Direct azidation of the oligohyaluronans of DP4, 6 and 8

110 Compounds **2a-c** were synthesized using a previously described methodology (Köhling et 111 al., 2019). Briefly, 2-chloro-1,3-dimethylimidazolinium (DMC, 118 mg, 0.7 mmol) was 112 added to a solution of oligohyaluronans (0.07 mmol), N-methylmorpholine (212 mg, 2.1 mmol) and NaN₃ (273 mg, 4.2 mmol) in water at 0 °C. The mixture was stirred at room 113 114 temperature for 30 h and then was evaporated under reduce pressure. The 1azidooligosaccharides 2a and 2b were purified on a Sephadex LH-20 column using 115 116 deionized water to give the pure tetra- and hexasaccharide derivatives in 68% and 65% 117 yield, respectively. The analytical data were in accordance to those previously reported 118 (Köhling et al., 2019, 2016). The octasaccharide derivative was desalted using a Cellulose 119 Ester (CE) dialysis membrane (MWCO: 100-500 Da) to afford 2c (69 mg, 63% yield). ¹H NMR (D₂O, 400 MHz) δ 4.80 (1H), 4.50-4.47 (m, 3H), 4.44-4.40 (m, 4H), 3.94-3.71 (m, 24H), 120 3.63-3.51 (m, 12H), 3.40-3.32 (m, 4H), 2.04 (s, 3H, Ac), 2.03 (2s, 9H, Ac); ¹³C NMR (D₂O, 121 122 101 MHz) δ 174.9 (CO), 103.0, 102.9, 100.7 (C-1), 88.5 (C-1 N₃), 82.9, 82.4, 81.9, 79.9, 77.4, 123 75.7, 75.5, 75.4, 75.3, 75.2, 73.6, 72.6, 72.3, 71.5, 68.4, 68.3, 60.5, 54.3, 54.2, 22.4; ESI-124 HRMS (positive ion): $m/z [M+Na]^+$ calcd for (C₅₆H₈₅N₇O₄₄Na⁺): 1582.4527; found:

125 1582.4531.

126 2.6. General procedure for click reaction

127 DSPE-PEG(2000)-DBCO **3** (10 μ mol) was dissolved in water (473 μ L). An aqueous solution 128 of the sugar residues (10 μ mol in 190 μ L of water) was added, the mixture was stirred at 129 room temperature for 1 h and then was lyophilized. Compound **4a**: ESI-HRMS (neg.): m/z

- 130 [*M*-2H]³⁻ calcd. for (C₁₇₉H₃₁₆N₈O₇₈P³⁻): 1285.6888; found: 1285.6915. Compound **4b**: ESI-
- 131 HRMS (neg.): $m/z [M-H]^{2-}$ calcd. for (C₁₉₃H₃₃₈N₉O₈₉P²⁻): 2118.5969; found: 2118.6045.
- 132 Compound **4c**: ESI-HRMS (neg.): *m/z* [*M*-2H]³⁻ calcd. for (C₂₀₇H₃₅₈N₁₀O₁₀₀P³⁻): 1538.4325;
- 133 found: 1538.4259.
- 134 2.7. Liposomes preparation and characterization
- 135 Liposomes were prepared by the thin lipid film hydration and extrusion method.
- 136 Chloroform solution of 1,2-distearoyl-*sn*-glycero-3-phosphocholine (DSPC), cholesterol
- 137 (CHOL) and 1,2-distearoyl-sn-glycero-phosphoethanolamine-N-[amino(polyethylene
- 138 glycol)-2000] (mPEG2000-DSPE) in a molar ratio 75:20:2 was mixed and evaporated under
- reduce pressure to obtain a thin lipid film. The resulting lipid film was hydrated with a 20
- 140 mM 4-(2-hydroxyethyl)piperazine-1-ethanesulforic acid (HEPES) buffer (pH 7.4) and
- 141 vortexed for 10 min to obtain a suspension of multilamellar liposomes. The resulting
- 142 suspension was then extruded (Extruder, Lipex, Vancouver, Canada) 10 times under
- 143 nitrogen through 200 nm polycarbonate filter at 60°C.
- To prepare decorated liposomes (LipoHA-DP4, LipoHA-DP6, LipoHA-DP8), the same
 method was used. Lipid films were made up of DSPC/CHOL/mPEG2000-DSPE (75:20:2
 molar ratio) and then hydrated using solution of the different HA-DP conjugates 4a, 4b
 and 4c (3 molar ratio) in HEPES buffer.
- 148 Fluorescently labeled liposomes were prepared as described above by adding 10 mM
- solution of fluorescein-5-(and-6)-sulfonic acid trisodium salts in HEPES buffer during the
- 150 hydration of the lipid film. The unentrapped fluorescein was removed by gel filtration
- using Sepharose[®] CL-4B column eluting with HEPES buffer. Liposomes were stored at 4 °C.
- The mean particle size and polydispersity index (PI) of the liposomes were determined at
 25 °C by quasi-elastic light scattering (QELS) using a nanosizer (Nanosizer Nano Z, Malvern
 Inst., Malvern, UK). The selected angle was 173° and the measurement was made after

dilution of the liposomes suspension in MilliQ[®] water. Each measure was performed intriplicate.

- 157 The particle surface charge of liposomes was investigated by zeta potential measurements
- at 25 °C applying the Smoluchowski equation and using the Nanosizer Nano Z.
- 159 Measurements were carried out in triplicate.

160 *2.8. Cell cultures*

- 161 Human epithelial lung cells BEAS-2B, human non-small cell lung cancer cells A549, NCI-
- 162 H1385, NCI-H1975, NCI-H1650, NCI-H228, Calu-3 were purchased from ATCC (Manassas,
- 163 VA). Cells were grown in RPMI-1640 medium, supplemented with 10% v/v FBS and 1%
- 164 penicillin-streptomycin, at 37 °C, 5% CO₂, in a humidified atmosphere.

165 *2.9. Flow cytometry*

- 1×10^6 cells were rinsed and fixed with 2% w/v paraformaldehyde (PFA) for 2 min, washed
- three times with PBS and stained with the anti-CD44 antibody (Abcam, Cambridge, UK) for
- 168 1 h on ice, followed by an AlexaFluor 488-conjugated secondary antibody (Millipore,
- 169 Burlington, MA) for 30 min. 1×10⁵ cells were analyzed with EasyCyte Guava[™] flow
- 170 cytometer (Millipore), equipped with the InCyte software (Millipore). Control experiments
- included incubation with non-immune isotype antibody.

172 2.10. Cellular uptake

173 1×10^5 cells were seeded into a 96-well black plate, let to adhere for 6 h and incubated at174different time points with the fluorescently labeled liposomes as indicated in the Results175section. Cells were washed twice with PBS and rinsed with 300 µl PBS. The intracellular176fluorescence, an index of liposome uptake, was measured using a Synergy HT Microplate177Reader (Bio-Tek Instruments, Winooski, VT), using λ excitation 460 nm and λ emission 530178nm. Cells were then detached with tryspin/EDTA, sonicated and used for the measure of

intracellular protein contents. Results were expressed as fluorescence units (FU)/mgcellular proteins.

181 2.11. Cell viability

1×10⁴ cells were seeded into a 96-well white plate, let to adhere for 6 h and incubated for
72 h with the liposomes as indicated in the Results section. Cell viability was measured by
the ATPlite Luminescence Assay System (PerkinElmer, Waltham, MA), as per
manufacturer's instructions. Results were analyzed by a Synergy HT Microplate Reader.
The luminescence units of the untreated cells were considered 100%; the luminescence
units of the other experimental conditions were expressed as percentage versus
untreated cells.

189 *2.12. Cytokine measurement*

190 1 ml of cell culture supernatant was collected after 24 h treatment and probed with the 191 Human Inflammation Antibody Array – Membrane (Abcam), as per manufacturer's 192 instructions. Results were quantified by densitometry analysis of each dot blot, using 193 Image J software (www.imagej.nih.gov). The dot blot density of untreated cells was 194 considered 1; results of the treatment conditions were expressed as fold change (density 195 of dot blot for each experimental condition/density of dot blot in untreated cells for the 196 same cytokine).

197 2.13. Statistical analysis

All data in the text and figures are provided as means ± SD. The results were analyzed by a
one-way analysis of variance (ANOVA) and Tukey's test. p < 0.05 was considered
significant.

201 3. Results and discussion

202 3.1. Enzymatic treatment of hyaluronate and purification of hyaluronic oligosaccharides

203 Sodium hyaluronate (HA) was incubated with bovine testes hyaluronidase (BTH), an

204 enzyme known for degrading HA to oligosaccharides. As BTH does not accept

205 tetrasaccharides as substrates (Mahoney, Aplin, Calabro, Hascall, & Day, 2001) extensive

206 enzymatic hydrolysis lead to the DP4 as the main product. We managed the reaction

207 conditions in order to obtain a mixture of oligosaccharides.

A preparative HPAEC-ELSD was used to purify the oligosaccharides, using a DEAE-cellulose column and a gradient of aqueous solution of ammonium formate from 1mM to 1M as

eluent. The main compounds of the mixture were eluted at 23.7 (DP4, 1a), 28.6 (DP6, 1b)

and 32.7 min (DP8, **1c**) (Figure S1). After lyophilization, they were obtained in 14%, 16%

and 17% yield, respectively. The analytical data of these oligosaccharides were in

accordance with previous reported data (Blundell, Reed, & Almond, 2006; Tawada et al.,

- 214 2002) (Figures S3-S5 and Table S1).
- 215 3.2. Synthesis of the phospholipo-oligohyaluronates

216 In order to study the impact of the length of the sugar residue in the liposomes, the

217 oligohyaluronans were modified to perform the synthesis of the amphiphilic compounds.

As shown in Scheme 1, a direct azidation of anomeric position was performed with 2-

chloro-1,3-dimethylimidazolinium (DMC), *N*-methylmorpholine and NaN₃ in water at 0 °C.

220 Compounds **2a**, **2b** and **2c** were obtained in 68%, 65% and 63% yield, respectively. ¹³C

221 NMR spectra of compounds **2a**, **2b** and **2c** showed the diagnostic signal at 88.5 ppm

222 corresponding to the C1-N $_3$ (Figure 1).

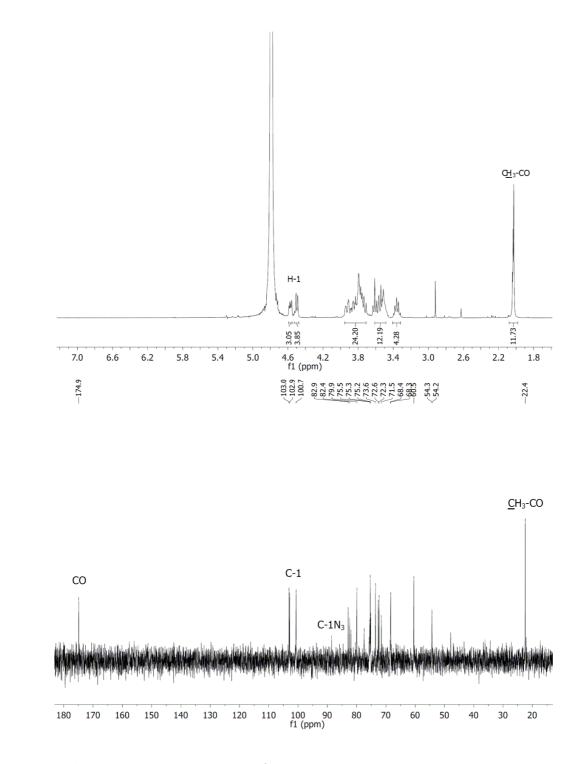


Figure 1. ¹H NMR (400 MHz) (top) and ¹³C NMR (101 MHz) (bottom) spectra of compound
2c recorded in D₂O in a Bruker DRX 400.

226 Compounds **4a**, **4b** and **4c** were obtained by click reaction with DSPE-PEG(2000)-DBCO (**3**).

227 This azadibenzocyclooctyne reacted spontaneously with the corresponding

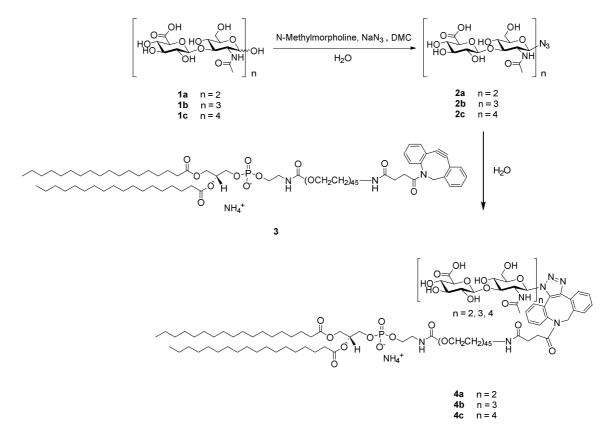
228 azidohyaluronate derivatives in water without addition of any catalyst, leading

quantitatively to phospholipo-oligosaccharides **4a**, **4b** and **4c**. These compounds were

230 characterized by ESI-HRMS. The spectra showed typical Gaussian profiles for the multi

charged ions with m/z values that correspond to the products.

232



233

234 Scheme 1. Synthesis of phospholipo-oligohyaluronates.

235 3.3. Preparation and characterization of liposomes

- 236 Several papers report the preparation of HA decorated liposomes for the effective
- 237 delivery of drug to CD44-expressing cells (Dosio, Arpicco, Stella, & Fattal, 2016); basically,
- two main approaches to insert HA into liposomes have been developed. In the first, HA is

239 linked to the surface of preformed liposomes by covalent conjugation between the carboxylic residues of HA and phospholipid amine groups (Yerushalmi, Arad, & Margalit, 240 241 1994). This method offers the advantage to conjugate HA only on the external surface of 242 the particle but makes difficult the control the density of attachment of HA on the 243 liposomes. In the second method HA oligomers are previously conjugated to a lipid anchor 244 permitting the introduction of the conjugate into the lipid mixture during liposomes 245 preparation in a controlled amount (Arpicco et al., 2013; Eliaz & Szoka, 2001; Marengo et 246 al., 2019; Ruhela, Kivima, & Szoka, 2014).

To the best of our knowledge our compounds are the first examples of conjugates composed of HA oligomers linked to PEG phospholipids. The presence of PEG should improve the targeting ability of the systems decreasing the steric hindrance of the liposomes in the ligand-receptor interaction.

251 The HA-DP4 (4a), HA-DP6 (4b) and HA-DP8 (4c) conjugates were added at a molar ratio of 252 3 during hydration to a lipid film composed of DSPC/CHOL/mPEG2000-DSPE (75:20:2 253 molar ratio). In this way, the phospholipidic chain was completely incorporated into the liposome membrane, while the HA was exposed toward the aqueous phase; for 254 comparison plain liposomes were prepared without adding the conjugates. The 255 physicochemical characteristics of the different formulations are summarized in Table 1. 256 257 Liposomes displayed a dimensional range of about 160 nm and the polydispersity index was low for all the formulations (< 0.18). Liposomes showed a negative Zeta potential 258 259 value that was lower for decorated liposomes compared to plain ones, due to the 260 carboxylic negative residues of conjugates. In particular, the negative charge slightly increased as the conjugate MW increased confirming the presence of glycoconjugates on 261 the surface of the liposomes. 262

263 Table 1

Characteristics of plain and decorated liposomes. Values are the means ± SEM of three
 independent experiments each performed in triplicate.

Phospholipid composition	Mean particle size (nm)	Polydispersivity index	Zeta potential (mV)
PLAIN DSPC/Chol/mPEG-DSPE 75:20:2	163 ± 1.3	0.115	-9.3 ± 0.8
HA-DP4 DSPC/Chol/mPEG-DSPE/4a 75:20:2:3	166 ± 1.5	0.175	-27.1 ± 1.1
HA-DP6 DSPC/Chol/mPEG-DSPE/4b 75:20:2:3	165 ± 1.8	0.166	-32.6 ± 1.9
HA-DP8 DSPC/Chol/mPEG-DSPE/4c 75:20:2:3	166 ± 1.6	0.149	-35.3 ± 2.1
HA-4800 DSPC/Chol/mPEG-DSPE/HA-4800 75:20:2:3	176 ± 2.1	0.121	-19.3 ± 1.6
HA-14800 DSPC/Chol/mPEG-DSPE/HA-1480075:20:2:3	195 ± 2.4	0.175	-20.7 ± 1.4

3.4. Cellular uptake, viability and inflammatory profile

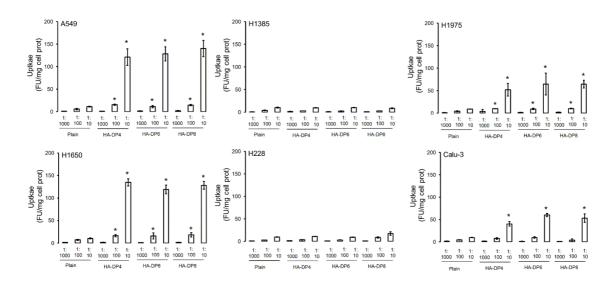
3.4.1 Cellular uptake

We preliminary screened different human non-small cell lung cancer cell lines for their
expression of CD44, the receptor of HA, in comparison with non-transformed epithelial
lung cells BEAS-2B. While CD44 was poorly expressed in BEAS-2B cells, in the cancer cell
lines analyzed we detected cells with high (A549, NCI-H1650), moderate (NCI-H1975, Caluand low CD44 expression (NCI-H1385, NCI-H228) (Figure S2).

With the aim of understanding the significance of oligomer length for receptor binding,
we next evaluated the cellular uptake of the liposomes, by using fluorescently labelled
particles and measuring the intracellular accumulation of the fluorophore. All cell lines
displayed a dose-dependent uptake of the liposome cargo. In line with the different
expression of CD44, the uptake of HA-DP4, HA-DP6 and HA-DP8-decorated liposomes was
significantly higher in A549 and NCI-H1650 cells, and – to a lesser extent – in NCI-H1975
and Calu-3 cell, compared to plain liposomes. No differences in the uptake between

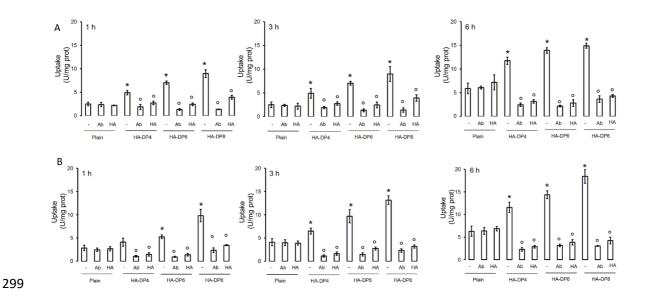
281 decorated and plain liposomes were detected in poorly expressing NCI-H1385 and NCI-H228 cells (Figure 2). This experimental set suggests that the entry of HA-conjugated 282 283 formulations is likely receptor-mediated. Our hypothesis was confirmed by competition assays performed on CD44^{high} A549 and NCI-H1650 cells, incubated at different time 284 points with liposomes in the presence of a saturating amount of anti-CD44 antibody or 285 HA. As expected, the uptake increased over the time; such increase was higher with HA-286 287 decorated liposomes than with plain liposomes. However, the presence of anti-CD44 288 antibody or HA blunted the uptake of HA-decorated liposomes (Figure 3).

289



290

Figure 2. Cellular uptake of fluorescently labeled liposomes. A549, NCI-H1385, NCI-H1975,
NCI-H1650, NCI-H228, Calu-3 cells were incubated 24 h with fluorescently labelled plain
liposomes, HA-DP4-decorated, HA-DP6-decorated, HA-DP8-decorated liposomes, at a final
dilution in the culture medium of 1:10, 1:100, 1:1000. The intracellular content of
fluorescein, considered an index of liposome uptake, was measured
spectrofluorimetrically in triplicates. Data are means ± SD (n = 4). * p < 0.05: HA-
conjugated liposomes vs. corresponding plain liposomes.

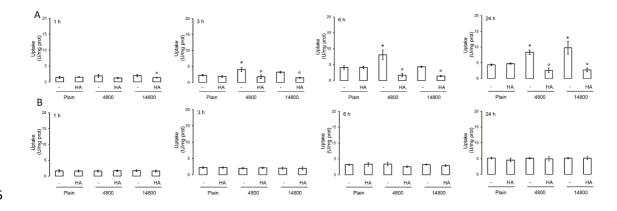


300 Figure 3. Competition assays in cellular uptake of fluorescently labeled liposomes. A549 301 (panel A) and NCI-H1650 (panel B) cells were incubated 1, 3 and 6 h with fluorescently 302 labelled plain liposomes, HA-DP4-decorated, HA-DP6-decorated, HA-DP8-decorated 303 liposomes, at a final dilution in the culture medium of 1:100, in the absence (-) or in the 304 presence of an anti-CD44 antibody (Ab, at a final dilution of 1:10) or HA (100 μ M). The intracellular content of fluorescein, considered an index of liposome uptake, was 305 306 measured spectrofluorimetrically in triplicates. Data are means \pm SD (n = 4). * p < 0.05: conjugated liposomes vs. corresponding plain liposomes; ° p < 0.001: Ab-HA-treated 307 308 samples vs untreated (-) samples.

Interestingly, the amount of liposomes uptake at each time point followed this rank order:
HA-DP8>HA-DP6>HA-DP4 liposomes at 1, 3 and 6 h (Figure 3), suggesting that the HA-DP8
formulations were optimal in inducing a fast receptor binding and triggering a receptormediated endocytosis.

To better compare the kinetics of entry of the liposomes with the structure of the conjugates used for their decoration, we analyzed the time-dependent uptake of liposomes prepared using conjugates previously synthetized in our laboratory (Arpicco et al., 2013) obtained by linking HA with two different molecular weight (4800 and 14800 Da)

317 to an aminated phospholipid by reductive amination. For this purpose, we used the highly CD44-expressing A459 cells and the poorly CD44-expressing NCI-H228 cells. While in the 318 319 latter cell lines, there was always a lower uptake that did not change upon the time nor in 320 presence of an excess of HA, in A549 cells we observed that HA-4800 conjugates were 321 more taken up than HA-14800 conjugates at early time-points (1, 3 and 6 h). The 322 difference was not maintained at 24 h. After 3, 6 and 24 h, the uptake was drastically 323 reduced by HA in A549 cells, confirming that the intracellular delivery was CD44-324 dependent (Figure 4).



325

326 Figure 4. Competition assays in cellular uptake of fluorescently labeled liposomes with 327 high and low molecular weight HA. A549 (panel A) and NCI-H228 (panel B) cells were incubated 1, 3, 6 and 24 h with fluorescently labelled plain liposomes, HA 4800 and HA 328 329 14800 liposomes, at a final dilution in the culture medium of 1:100, in the absence (-) or in 330 the presence of HA (100 μ M). The intracellular content of fluorescein, considered an index of liposome uptake, was measured spectrofluorimetrically in triplicates. Data are means ± 331 SD (n = 4). * p < 0.05: conjugated liposomes vs. corresponding plain liposomes; ° p < 0.05: 332 HA-treated samples vs untreated (-) samples. 333

This trend likely suggests that HA-DP4, 6 and 8 conjugates are capable of a faster

interaction with CD44, followed by phagocytosis, while the entry of the other HA-

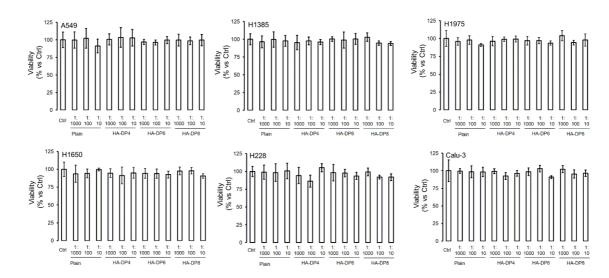
336 conjugated liposomes requires more time. The sterical hindrance that makes the HA/CD44

interaction more complex and/or the need of CD44 clusterization upon the binding of

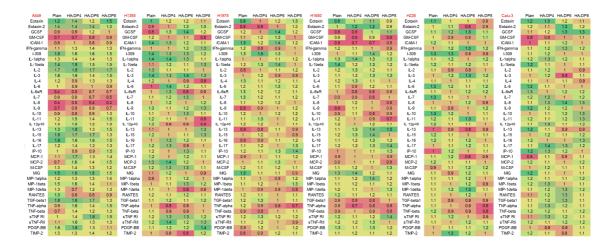
higher molecular weight HA conjugates may explain this difference. The presence of a PEG
chain between the phospholipid and the HA oligomer in our conjugates should also
improve the uptake. Moreover, at the same time point and in the same cell line, *i.e.* in the
presence of the same amount of CD44, the uptake of both 4,800-HA and 14,800-HA
conjugates was lower than the uptake of HA-DP4, the less effective conjugate in cellular
delivery (Figure 2, 3 and 4).

344 3.4.2. Cell viability and inflammatory profile

345 We finally analyzed the biocompatibility of our formulations. After 72 h incubation, either 346 unconjugated or HA-conjugated liposomes did not significantly reduce cell viability, in both CD44^{low} and CD44^{high} cells (Figure 5). In parallel, none of the formulations changed 347 the expression of pro-inflammatory cytokines more than two-fold compared to untreated 348 349 cells (Figure 6). These two results suggest that in our experimental conditions the liposomes are not cytotoxic and do not increase the release of potentially pro-350 inflammatory mediators. DP4-20 have pro-inflammatory properties in biological systems 351 352 (Gao et al., 2008) and this side-effect may strongly limit the potential therapeutic application of HA-conjugates. Our results suggest the safety – in terms of lack of 353 cytotoxicity and inflammatory effects - of HA-DP4, HA-DP6 and HA-DP8-liposomes, 354 opening the perspective of their employment in nanomedicine. 355



- 357 Figure 5. Viability of cells treated with liposomes. A549, NCI-H1385, NCI-H1975, NCI-
- H1650, NCI-H228, Calu-3 cells were incubated 72 h with fresh medium (Ctrl) or with
- 359 medium containing plain liposomes, HA-DP4-decorated, HA-DP6-decorated, HA-DP8-
- decorated liposomes, at a final dilution in the culture medium of 1:10, 1:100, 1:1000. Cell
- 361 viability was measured by a chemiluminescence-based assay in quadruplicates. Data are
- 362 means <u>+</u> SD (n =4).



363

364 Figure 6. Cytokine production from cells treated with liposomes. A549, NCI-H1385, NCI-365 H1975, NCI-H1650, NCI-H228, Calu-3 were incubated 72 h with fresh medium (Ctrl) or with medium containing plain liposomes, HA-DP4-decorated, HA-DP6-decorated, HA-DP8-366 decorated liposomes, at a final dilution of 1:10. 1 ml of the culture medium was subjected 367 368 to the detection of cytokines by antibody arrays. The dot blot density of untreated cells was considered 1; results of the treatment conditions were expressed as fold change 369 370 (density of dot blot for each experimental condition/density of dot blot in untreated cells 371 for the same cytokine), using a heatmap.

- 372 Indeed, exploiting the abundance of CD44 in non-small cell lung cancers (Chen, Zhao,
- 373 Karnad, & Freeman, 2018; Penno et al., 1994), HA decorated liposomes can be used for
- 374 the active targeting of anti-cancer drugs. Resistance to conventional chemotherapeutic
- agents (Chang, 2011) or targeted-therapies used in specific patients subsets with
- 376 oncogenic mutations (Leonetti et al., 2018) is still a challenge in the therapeutic approach

377 of non-small cell lung cancers. The active targeting of tumors using anti-cancer drugs encapsulated in liposomes is more effective that the administration of free drugs against 378 379 drug resistant tumors (Nag & Delehanty, 2019). This approach can improve in particular 380 the efficacy and pharmacokinetic profile of first-line drug in non-small cell lung cancers 381 such as cisplatin (Zhong et al., 2020). After evaluating the technical feasibility and binding 382 of our conjugates, we are next planning to load suitable anti-cancer drugs, deeply 383 characterize the formulations and evaluate their safety and anti-tumor efficacy against 384 CD44-expressing non-small cell lung cancers.

385 4. Conclusions

Novel conjugates between HA oligomers of different DP (4, 6 and 8) and PEGylated
phospholipid were prepared via click chemistry of 1-azido oligohyaluronates and
azadibenzocyclooctyne phospholipid. These conjugates were introduced during the
preparation of liposomes that were characterized in terms of size and zeta potential.

In order to evaluate their targeting *in vitro* studies on lung cancer cell lines with different
expression of CD44 were done, to assess the ability of cellular delivery and the lack of
toxicity or pro-inflammatory effects. This study is a proof of concept of the feasibility and
biocompatibility of HA-conjugates, and opens the way to their future development as
active-targeting agents carrying anti-tumor drugs.

395 Supplementary material

Purification of oligohyaluronates, surface expression of CD44 in lung cells and NMR
spectra and HRMS of compounds **1a**, **1b** and **1c**.

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