

Medial closing-wedge distal femoral varus osteotomy: Symptoms and functional impact in cases of associated patellofemoral osteoarthritis. A two-year follow-up prospective pilot study

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Original Article

MEDIAL CLOSING-WEDGE DISTAL FEMORAL VARUS OSTEOTOMY: SYMPTOMS AND FUNCTIONAL IMPACT IN CASE OF ASSOCIATED PATELLOFEMORAL OSTEOARTHRITIS. A 2 YEARS FOLLOW-UP PROSPECTIVE PILOT STUDY.

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2	MEDIAL CLOSING-WEDGE DISTAL FEMORAL VARUS
3	OSTEOTOMY: SYMPTOMS AND FUNCTIONAL IMPACT IN
4	CASES OF ASSOCIATED PATELLOFEMORAL OSTEOARTHRITIS.
5	A 2 YEARS FOLLOW-UP PROSPECTIVE PILOT STUDY.
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23 Abstract

Purpose. To date, indications for distal femoral varus osteotomy (FVO) in cases of associated patellofemoral osteoarthritis (PFO) have yet to be clarified. The purpose of this prospective study is to assess the short-term symptoms, functional and radiological impact of a medial closing-wedge femoral varus osteotomy on the patellofemoral joint in patients with valgus deformities who are afflicted with lateral tibiofemoral osteoarthritis (LTFO) associated with PFO.

30 *Methods*. 14 patients (15 knees) received a medial closing-wedge femoral varus osteotomy. 31 The functional impact of an FVO on the patellofemoral joint was assessed based on the 32 KOOS-PF (Knee Injury and Osteoarthritis Outcome Score - Patellofemoral Subscale), the 33 Kujala score and the patellofemoral symptoms. Realignment of the patella was measured by 34 the Merchant's patellofemoral congruence angle. The pre- and post-operative symptoms 35 and functional scores were compiled prospectively and compared two years after the 36 surgery.

Results. The Kujala patellofemoral functional scores and the KOOS-PF showed considerable improvement with a differential of +37.5 points ± 20.4 and + 42.7 points ± 19.3 (p<0.01) respectively. The average Merchant's congruence angle went from 8.8° laterally to 3.6° medially, resulting in medialization of the patella, with a significant difference (p <0.01). Based on the specific clinical analysis of the patellar joint, preoperative J-sign was identified in 26.7% of patients (n=4) and was not found during postoperative examination (p=0.1).

44	after surgery (p=0.39). Preoperative pain extension test was identified in 40% of patients
45	(n=6) against 20% during postoperative clinical analysis (p=0.43).

47	Discussion. Although the threshold of significance for patellofemoral symptoms was not
48	reached, the medial closing-wedge femoral varus osteotomy induces a significant
49	medialization of the patella (Merchant's congruence angle) and improves short-term
50	functional results even with co-existing patellofemoral osteoarthritis. Due to the lack of
51	specificity of the patellofemoral scores, patellofemoral osteoarthritis improvement is
52	difficult to determine on it' s own, but does not represent a contraindication to FVO.
53	
54	Keywords. Distal femoral osteotomy; Patellofemoral osteoarthritis; Kujala score; KOOS-PF
55	score
56	Level of evidence: III. Prospective clinical study
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63	1. Introduction
64	
65	A distal femoral varus osteotomy (FVO) is an interesting surgical alternative judging by the
66	improved functional scores with satisfactory average survival rates 10 years after surgery
67	(64% to 89.9%) and 15 years after surgery (45% to 78.9%) in cases of valgus deformities in

people afflicted with LTFO[1]. Tibiofemoral osteoarthritis is associated with an increased 68 incidence of patellofemoral osteoarthritis (57%) in cases of osteoarthritis-related gonalgia 69 70 [2]. Patellofemoral osteoarthritis (PFO) and LTFO each have an important role to play in 71 cases of valgus deformities in people afflicted with gonalgia. To date, indications for FVO in 72 cases of associated PFO have yet to be clarified [1,3]. While some studies are quick to 73 exclude patients afflicted with associated PFO [4,5], others have included them, regardless 74 of the stage of osteoarthritis [6-9]. According to the literature, only two retrospective 75 studies based on low-level evidence address the link between corrective procedures on the 76 distal femur axis and their impact on the patellofemoral joint [6,9]. The main purpose of this 77 prospective study is to assess the short term clinical, functional and radiological impact of a 78 medial closing-wedge femoral varus osteotomy on the patellofemoral joint. We also wanted 79 to look for clinical and radiological factors influencing this functional outcome. Our working 80 hypothesis is that a medial closing-wedge femoral varus osteotomy improves short-term 81 clinical and functional results even with co-existing patellofemoral osteoarthritis in patients 82 with valgus deformities.

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84

2. Materials and methods

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It's a single center prospective study conducted at the Centre Hospitalier Universitaire de
Martinique [Martinique University Hospital Center] between the 1st of November 2016 and
the 1st of November 2018, and an ethical approval has been given by our Institutional
Review Board (N°IRB: 2019/20).

90 **2.1 Inclusion an exclusion criteria.**

91 The enrollment criteria were as follows: 65 years of age or under, slight to severe 92 symptomatic LTFO (stage I to III Ahlbäck) [10] accompanied by valgus deformities (>5° of 93 valgus deformity), associated with slight to severe symptomatic PFO (stage I to III Iwano). 94 The following patients were excluded: those with Ahlbäck stage I upper medial tibiofemoral 95 osteoarthritis, inflammatory arthritis of the knee and/or an MRI-documented injury to the 96 cruciate ligaments (Table 1). The preoperative data concerning BMI, trochlear dysplasia 97 (Dejour classification [11]), congruence angle of Merchant, patellar dysplasia (Wiberg 98 classification [12]), Caton and Deschamps index [13], patellofemoral symptoms are 99 summarized in Table 2.

100 **2.2 Surgical technique**

101 Patients were operated on by two separate senior surgeons who used the same surgical and 102 pre-operative planning technique as that initially described by Wylie et al [5]. During pre-103 operative planification (Figure 1), the postoperative Hip-Knee-Angle Angle (HKA) [14] target 104 was 0° [15]. Having the patient lay flat on his/her back with distal support under the foot, 105 the knee bent at 90° and the lower limb laterally rotated provided satisfactory exposure of 106 the anteromedial distal side of the femur. A 10-cm incision centered on the femoral 107 metaphysis was performed. The vastus medialis was reflected. Two Hohmann spacers were 108 put into place after subperiosteal detachment of the anterior and posterior sides of the 109 femoral metaphysis. In order to provide rotational control if the hinge of the femur ruptures, 110 the femoral cortex was marked in the shaft axis straddling the future wedge in the 111 osteotomy. The width of the wedge to be resected was calculated during the planning phase 112 of the operation (Figure 1). The proximal and distal bone cuts were performed using a 113 pendulum saw by following the guide pins for the distal cut, and using a cutting guide for the

proximal osteotomy line (Figure 2). The closing-wedge osteotomy was performed with great
care so as to avoid a rupture of the lateral hinge. Then, osteosynthesis occurred via an OTISF[®] locked plate (SBM[™], Lourdes, France) (Figure 3). No immobilization was required postoperatively. Resumption of full support was authorized 4 weeks after surgery.

118 **2.3 Study protocol.**

119 Pre and post-operative patellofemoral symptoms have been collected and compared: J-sign, 120 apprehension and pain extension tests. The functional impact of an FVO on the medial 121 patellofemoral joint closure, the main assessment criterion, was assessed based on the 122 KOOS-PF [16] and the Kujala score [17]. The KOOS score [18] was helpful in completing our 123 functional analysis. Realignment of the patella was measured by the Merchant's angle of 124 congruence [19] in relation to a patellofemoral joint flexion of 30° (Figure 4). A pre- and 125 post-operative pangonogram was helpful in assessing the HKA angle, in addition to 126 identifying discrepancies in the length of the lower limbs (Figure 5). The post-operative target HKA angle was 0°. For each patient, patellofemoral symptoms and functional scores 127 128 were compiled pre-operatively, then two years after surgery.

129 **2.4 Statistical analysis.**

130 Clinical parameters were collected pre-operatively and post-operatively during the follow-up 131 examination at 2 years. All data was analyzed using JMP® 11.0.0 (SAS institute Inc.). 132 Quantitative variables were checked for normal distribution by the Shapiro-Wilk test. Pre-133 operative and post-operative data were compared by bilateral Student's paired t-tests. 134 Correlations between functional outcome gains and radiological angle changes were 135 performed using Pearson's correlation coefficients. Statistical significance was considered 136 when p<0.05.

138 **3.** <u>Results</u>

139

140	A total of 14 patients were included in the study, or 15 knees receiving a medial closing-
141	wedge femoral varus osteotomy. Out of these 14 patients, 13 were women. The average
142	enrolment age was 51.4 \pm 8.4 years [min 25; max 61]. The average BMI was 31.7 \pm 5.8. Based
143	on the Ahlbäck classification, 33% of LTFOs were stage I (n=5) compared to 60% in stage II
144	(n=9) and 7% in stage III (n=1). Based on the Iwano classification, 73% of PFOs were stage I
145	(n=11) compared to 20% in stage II (n=3) and 7% in stage III (n=1). Trochlear dysplasia was
146	identified pre-operatively in 33.3% of patients, based on the Dejour classification (n=6) with
147	one case in stage 1A compared to five cases in stage 1B. According to Wiberg, 13 patellae
148	(86.7%) were considered to be dysplastic (stage II or III). 29% of the patients operated on
149	had a history of partial lateral meniscectomy.
150	Based on the specific clinical analysis of the patellar joint, preoperative J-sign was identified
151	in 26.7% of patients (n=4) and was not found during postoperative examination (p=0.1).
152	Preoperative apprehension test was identified in 33.3% of patients (n=5) against 13.3% (n=2)
153	after surgery (p=0.39). Preoperative pain extension test was identified in 40% of patients
154	(n=6) against 20% (n=3) during postoperative clinical analysis (p=0.43).
155	All of the pre- and post-operative variables as well as the how they compare with each other
156	are listed in Table 3.

157 Changes in patellofemoral functional scores were 37.6 ± 19.7 for the Kujala score and $42.4 \pm$ 158 19,3 for the KOOS-PF score respectively. The change in KOOS score was 47.7 ± 19.7 . Thus, a 159 significant difference was observed in all of the functional scores (p<0.01).

160 The change in the HKA angle between the pre- and post-operative pangonogram was -11.1°

161 \pm 3.9°, and 8.5° \pm 1° for the HKS angle with a significant difference for each of them (p<0.01).

162 The change in the medial distal femoral angle was $-10.2^{\circ} \pm 3.1^{\circ}$ (p<0.01). The change in the

163 Q-angle was $-2.6^{\circ} \pm 0.8^{\circ}$ with a significant difference (p<0.01).

164 A significant difference was also observed in Merchant's congruence angle (-8.2° ± 23.6°,

165 p<0.01), and in the length of the limbs between the pre- and post-operative length with a

166 change of -1.02 cm \pm 0.69 cm. No significant difference was observed in the change in

167 patellar height based on the Caton and Deschamps index (0.015 mm ± 0.02, p=0.36).

168 The change in Kujala score is correlated with the pre-operative Merchant angle (p=0.0023,

169 r²=0.53). The greater the patellar lateralization pre-operatively with a significant Merchant

170 angle, the greater the increase in the Kujala score. The change in Kujala score was inversely

171 correlated with the HKA angle (p=0.02, r²=0.35), as well as the change in Merchant angle

172 pre-operatively compared to post-operatively (p=0.009, $r^2=0.42$).

173 The change in KOOS-PF score was correlated with the pre-operative Merchant angle

174 (p=0.026, r²=0.31). It was inversely correlated with the post-operative HKA angle (p=0.005,

175 r²=0.47), and the change in pre- and post-operative HKA angle (p=0.005, r²=0.47).

176 The change in KOOS score is correlated with the pre-operative Merchant angle (p=0.03,

177 r²=0.27). It was inversely correlated with the post-operative distal femoral angle (p=0.04,

178 r²=0.28), and the change in distal femoral angle (p=0.02, r²=0.34).

179 Correlation with a trochlear or a patellar dysplasia have not been measured because of small180 size of subgroups.

181

182 **4.** <u>Discussion</u>

184 As part of this prospective series, after a medial closing-wedge femoral varus osteotomy, the Kujala patellofemoral functional scores and the KOOS-PF showed considerable improvement 185 186 with a differential of +37.5 points ± 20.4 and + 42.7 points ± 19.3 (p<0.01) respectively after 187 two years of radio-clinical follow-up. The medial closing-wedge femoral varus osteotomy 188 improves patellofemoral functional scores in patients with valgus deformities who are 189 afflicted with LTFO associated with PFO. This score validates the starting assumption. 190 According to the literature, only two retrospective studies based on low-level evidence deals 191 with how PFO affects the functional result of the FVO. However, no specific patellofemoral 192 joint functional score was measured in either of these studies. As an example, Wang et al [6] 193 included 30 knees, of which 8 presented with severe PFO. Their functional results were 194 assessed based on the HSS score and showed an improvement of 46 points (p<0.001). 195 Zarrouk et al [9] included 20 patients, of which 9 were afflicted with severe PFO and 196 reported on average a 25-point improvement in IKS score (p<0.001). Our study included 15 197 knees, all of whom suffer from PFO, and showed a significant improvement in overall KOOS 198 score of 47.5 ± 19.7 (p<0.0001). These overall functional score results (KOOS) are close to those observed in the literature. In fact, Jacobi et al [20] showed an average pre-operative 199 200 KOOS score of 31 ± 17 compared to 69 ± 22 post-operatively; in Forkel's study [1,21], this 201 very score rose from 55 pre-operatively to 88.8/100 post-operatively. Overall, the results of 202 the various scores reported in the literature have seen an improvement of 20 to 35 203 points/100, showing a good functional increase in the final follow-up [22]. In all of these 204 studies, the effect of PFO on the functional result was not a main assessment criterion. 205 Modern knee outcome scores used to evaluate knee function do not monitor PF

206 degeneration and related symptoms in the context of knee osteoarthritis according to the 207 Iwano classification [23]. For this reason, in our study, the KOOS-PF score was used as a main 208 assessment criterion. It enabled us to assess changes in PFO after a medial closing-wedge 209 femoral varus osteotomy. This score was used as a main assessment criterion because it is 210 the first score published in the literature to determine the personal recovery outcome 211 measure (PROM) [16]. The KUJALA score provided a parallel measurement of the KOOS-PF 212 because it is the most commonly-used anterior knee pain score in the literature. 213 Furthermore, this score proved to be reliable and valid [24], but has a low specificity in case 214 of global knee osteoarthritis [23].

215 Improved functional scores may be attributed to the pathophysiology of the patellofemoral 216 syndrome caused by the improper progression of the patella during knee mobilization, 217 resulting in excessive compression on the lateral facet of the patella [25,26], which, over 218 time, may lead to the onset or exacerbation of PFO. In this study, by correcting the 219 mechanical axis of the limb, the medial closing-wedge femoral varus osteotomy tends to 220 reduce significantly the Q angle (p<0.01) and the medial distal femoral angle (p<0.01). The 221 biomechanics of the knee suggest that a smaller Q angle associated with a smaller medial 222 distal femoral angle helped to improve the patellofemoral tracking and patellofemoral 223 congruence. To provide the proof, in our study, patellofemoral congruence was analyzed by 224 measuring Merchant's angle of congruence. In a case-control study, Inoue and al [27] 225 measured an average congruence Marchant angle of 5° laterally in patients with subluxation 226 of the patella, and 10° medially in the control subjects. For J-W Wang et al [6], the 227 osteotomy also resulted in an improved Merchant congruence angle in 4 of the 6 patients 228 who initially presented with patellofemoral subluxation. For Zarrouk [9], the FVO helped to

realign the patella in 7 of the 9 cases who were part of the associated patellofemoral osteoarthritis subgroup. In our study, this average angle went from 8.8° laterally to 3.6° medially with a significant difference (p > 0.01). This data confirms that medial closingwedge FVO procedure resulting in medialization of the patella.

233 Concerning patellofemoral symptoms, no significant difference was observed in the change 234 in J-sign, apprehension and pain extension test. But, an expanded cohort patient group would 235 have probably shown a significant difference with this subgroup analysis. In literature, no 236 studies evaluated clinical patellofemoral signs after FVO. The disappearance of the J-sign in 237 all patients suggest nevertheless the improvement of the patellofemoral joint congruence 238 [25].

239 No secondary infection, displacement, non-union or medical complications were found in 240 our series. However, the choice of operating technique for the femoral osteotomy seems to 241 impact the risk of complications [20]. The use of locked plates as opposed to blade plates or 242 staples seems to reduce the incidence of non-union [7]. Furthermore, there is no consensus 243 on the technique to be used, i.e. opening-wedge versus closing-wedge osteotomy [1]. No 244 comparative study helped to determine which technique is better in terms of functional 245 result, correction or consolidation [22]. In our series, a discrepancy in the post-operative length of the lower limbs was observed after a closing-wedge osteotomy. Due to the 246 247 removal of a wedge in a lateral osteotomy, the pre-operative functional length decreased 248 from 86.0 cm \pm 6.2 to 84.9 cm \pm 6,3 (p<0.0001). It is also important to note the difficulty 249 encountered in correcting the post-operative HKA angle with the utmost precision [28]. The 250 percentage of knees achieving the target HKA was only 53%. However, the average post-251 operative HKA angle was satisfactory and ranged between 0° and 3° of varus (2.7° ± 3.0

p<0.0001). A recent review of the literature found that a post-operative HKA angle after a
distal femoral osteotomy ranged between 1.2° to 2° in relation to the valgus angle [1].

254 Limitations of this study mainly concern the inhomogeneous patient group and the small 255 cohort of patients. The enrollment criteria were as follows: 65 years of age or under, slight 256 to severe symptomatic LTFO (stage I to III Ahlbäck) accompanied by valgus deformities (>5°), 257 associated with slight to severe symptomatic PFO (stage I to III Iwano). For example, the 258 Body Mass Index (BMI) was not an exclusion criterion. In French West Indies, prevalence of 259 obesity is a public health problem [29]. Concerning BMI, ISAKOS criteria [30] are 260 recommendations declined in: Ideal or Possible or No good candidate. But ISAKOS guidelines 261 are not contraindications to FVO and are based on a Caucasian population [30]. Arthroplasty 262 also remains problematic in these patients. In addition, in this predominantly female series, 263 correlation with a trochlear or a patellar dysplasia have not been measured because of small 264 size of subgroups. For authors, the main limit encountered is that of the lack of specificity of 265 the patellofemoral scores [23]. For this reason, two functional scores and clinical outcomes 266 were considered useful for analyzing the patellofemoral joint.

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268

269 **5.** <u>Conclusion</u>

Although the threshold of significance for patellofemoral symptoms was not reached, the medial closing-wedge femoral varus osteotomy induces a significant medialization of the patella (Merchant's congruence angle) and improves short-term functional results even with co-existing patellofemoral osteoarthritis. Due to the lack of specificity of the patellofemoral

274	scores, patellofemoral osteoarthritis improvement is difficult to determine on it's own, but
275	does not represent a contraindication to FVO.
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277	Conflict of Interest
278	Authors declare that they have no conflict of interest.
279	
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281	Funding
282	There is no funding source.
283	
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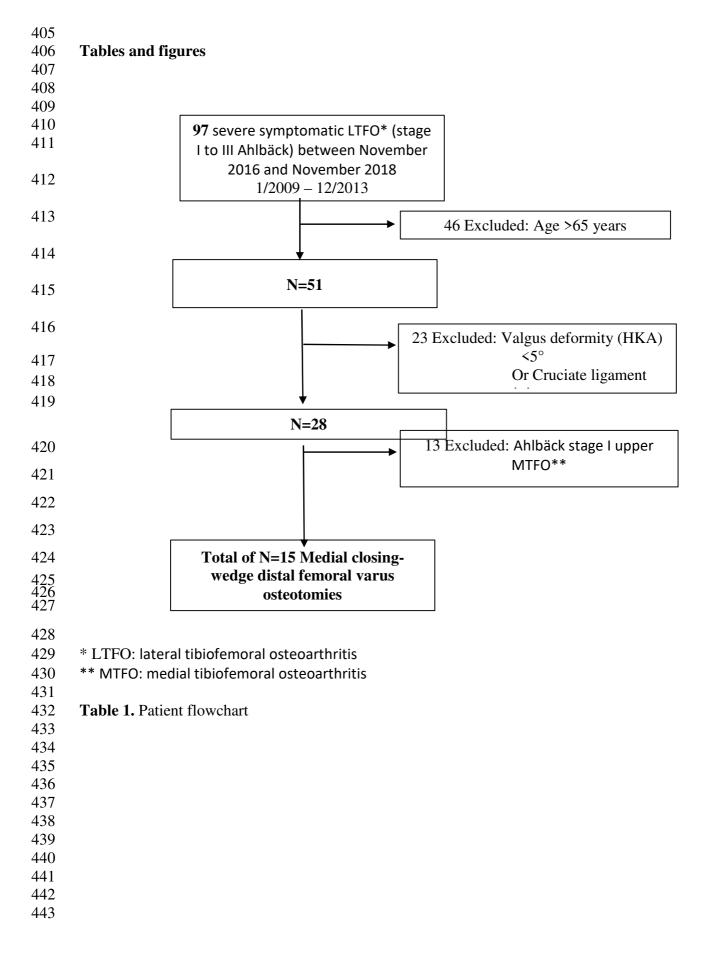
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363	Supracondy	lar femur	osteotomies	around	the knee	. Patient	selection,	planning,
364	operative te	chniques,	stability of fixa	tion, and	bone heali	ng. Orthop	oade. 43:98	8–99.
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	Total study Group (n=15)
Age at surgery, years	
Mean ± SD*	$51,4 \pm 8.4$
Sex	
Male	1
Female	14
BMI**	
Mean ± SD*	31,7 ± 5,8
Preoperative HKA angle, degrees (valgus deformi	
Mean ± SD*	8,4 ± 2,3
External femorotibial osteoarthritis (Ahlback clas	sification)
1	5 (33.3%)
2	9 (60%)
3	1 (6.7%)
Femoropatellar osteoarthritis (Iwano classification	n)
1	11 (73.3%)
2	3 (20%)
3	1 (6.7%)
Patellar Dysplasia (Wrisberg classification)	
None	2 (13.3%)
1	5 (33.3%)
2	5 (33.3%)
3	3 (20%)
Trochlear dysplasia (Dejour Classification)	
None	9 (60%)
1A	1 (6.7%)
	5 (33.3%)

^{*} Standard Deviation ** Body Mass Index

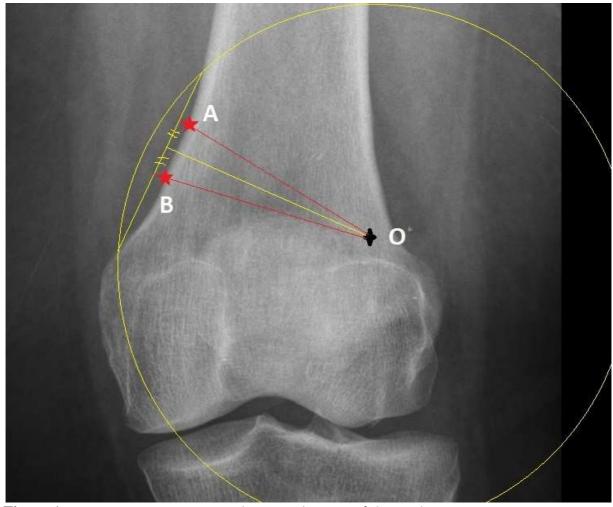
 Table 2. Preoperative data for all patients.

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	Preoperative	Postoperative	р
Kujala score (/100)	37,1 ± 14,3	74,6 ± 12,0	<0,01
KOOS PF score (/100)	20,8 ± 14,2	63,3 ± 17,0	<0,01
KOOS score (/100)	24,2 ± 12,9	71,6 ± 16,4	<0,01
HKA angle (degrees, +=valgus, -=varus)	+8,4 ± 2,3	-2,7 ± 3,0	<0,01
Lower limb length (cm)	86,0 ± 6,2	84,9 ± 6,3	<0,01
Distal femoral angle (degrees)	95,7° ± 2,1°	85,5° ± 3,4°	<0,01
Congruence angle of Merchant (degrees)	+ 8,8° (lateral)	-3,6° (medial)	<0,01
Caton-Deschamps Index	1,1 ± 0,1	1,0 ± 0,1	0,36

463 Table 3. Preoperative and postoperative data were compared by bilateral Student's paired t464 tests.
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MEDIAL CLOSING-WEDGE DISTAL FEMORAL VARUS OSTEOTOMY: SYMPTOMS AND FUNCTIONAL IMPACT IN CASES OF ASSOCIATED PATELLOFEMORAL OSTEOARTHRITIS. A 2 YEARS FOLLOW-UP PROSPECTIVE PILOT STUDY.



- Figure 1. Osteotomy preoperative planning: drawing of the wedge to resect
- Point O: vertex of the resection triangle which is located at 5mm proximally and medially of the junction metaphysis-diaphysis. AO line: proximal resection line. BO line: distal resection line (planning according to Surer [15])



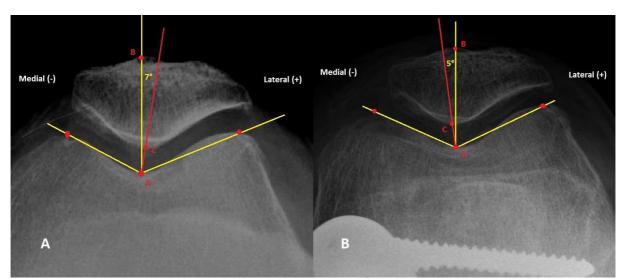
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Figure 2. Intraoperative view (A) after resection of the osteotomy wedge (B). Posteroanterior fluoroscopic image of the knee after resection (C).



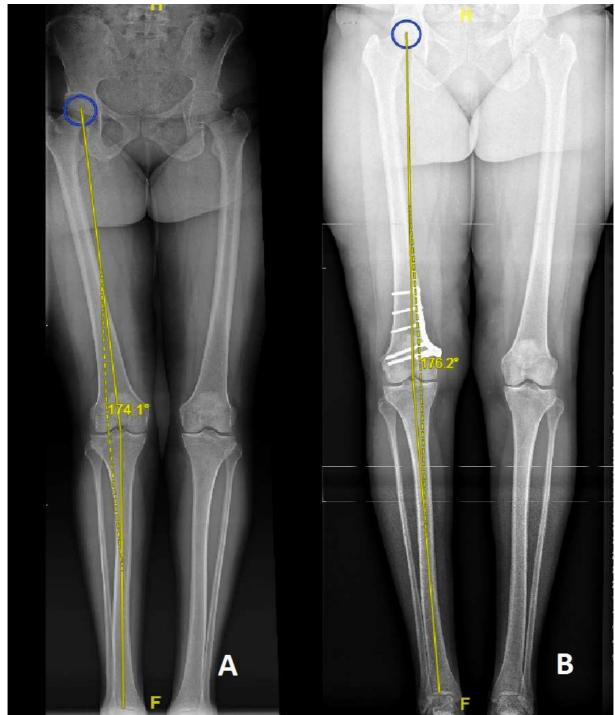
Figure 3. Intraoperative view after closing the osteotomy site (A). Distal femur osteosynthesis by locked plate (B). Final posteroanterior fluoroscopic image after 482 osteosynthesis.

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- Figure 4. Radiological measurement of congruence angle of Merchant. Patellofemoral plain
- 487 axial radiographs show a medialisation of the patella between preoperative (A) and
- 488 postoperative (B) congruence angle.

MEDIAL CLOSING-WEDGE DISTAL FEMORAL VARUS OSTEOTOMY: SYMPTOMS AND FUNCTIONAL IMPACT IN CASES OF ASSOCIATED PATELLOFEMORAL OSTEOARTHRITIS. A 2 YEARS FOLLOW-UP PROSPECTIVE PILOT STUDY.



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- Figure 5. Example of osteotomy correction with a preoperative leg valgus malalignment (A) versus postoperative leg alignment (B).