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1 **Influence of defect morphology and position on the fatigue limit of cast Al alloy:**  
2 **3D characterization by X-ray microtomography of natural and artificial defects**

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7

8 **Abstract**

9 The objective of the paper is to evaluate the impact of the morphology and the position of a  
10 casting defect on the fatigue limit of cast Al-Si alloy. Natural defects such as shrinkages reveal  
11 relatively complex morphology so the question is to understand the scale controlling the fatigue  
12 limit: the local one associated to inter dendritic porosity or the macroscopic one associated to the  
13 global geometry of the defect? In order to answer, fatigue tests are conducted on samples  
14 containing a spherical artificial defect of 700  $\mu\text{m}$ . At the tip of the defect, three types of small  
15 defects aiming at representing inter-dendritic porosity are machined by EDM and FIB. Results  
16 show that there is no influence of a small defect at the tip of a big defect, meaning that the local  
17 morphology of the defect seems not to be the governing parameter. In addition, Finite Element  
18 simulations are conducted assuming that the global geometry of the defect could be described by  
19 an Equivalent Inertia Ellipsoid. Results show that this approximation of the defect gives good  
20 results for shrinkages. Finally, in order to understand the role of the position of the defect through  
21 the global volume of the sample, several samples have been analyzed through  $\mu\text{CT}$  before fatigue  
22 tests. Results are analyzed using Finite Element simulations taking into account for local cyclic  
23 plasticity and show that the defect can be considered as internal when the size of the shortest  
24 distance from the defect to the surface is bigger than the size of the defect.

25

## 1 Nomenclature

$(AREA)^{1/2}$	( $\mu\text{m}$ )	Murakami's parameter defining the defect size
$(VOLUME)^{1/3}$	( $\mu\text{m}$ )	Defect size parameter estimated by X-Ray microtomography
$\underline{X}$	(MPa)	Hardening variable
$\underline{\underline{\varepsilon}}^p$	(-)	Plastic strain tensor
$\dot{p}$	( $\text{s}^{-1}$ )	Plastic strain rate
SDAS	( $\mu\text{m}$ )	Secondary Dendrite Arms Spacing
$\sigma_a$	(MPa)	Stress amplitude
$\sigma_D^{\text{te}}$	(MPa)	Fatigue limit obtained with the "step by step" method with an uniaxial tensile test
E	(GPa)	Young's modulus
$\nu$	(-)	Poisson's ratio
$R_{p0.2}$	(MPa)	Yield stress
$R_m$	(MPa)	Ultimate tensile strength
C	(MPa)	Material parameter in kinematic hardening model
$\gamma$	(-)	Material parameter in kinematic hardening model
$N_{\text{failure}}$	cycle	Number of cycles at failure of tested specimen in "step by step" method
$N_{\text{limit}}$	cycle	Number of cycles at which fatigue limit is estimated by "step by step" method
$\sigma_n$	(MPa)	Stress level at which specimen failed in "step by step" method
$\sigma_{n-1}$	(MPa)	Last stress level at which specimen did not fail in "step by step" method
$\bar{I}_E$	( $\text{Kg}\cdot\text{m}^2$ )	Inertia tensor of a natural shrinkage
$\bar{I}_S$	( $\text{Kg}\cdot\text{m}^2$ )	Inertia tensor of an equivalent ellipsoid
$K_t^{pl}$	(-)	Plastic stress concentration factor

2

### 3 1. Introduction

4 Cast materials are impacted by the presence of defects of different nature: shrinkages, gas pores,  
5 inclusions and oxides. A large number of such defects has an effect on the mechanical properties.  
6 A number of authors proved the detrimental effect of surface defects on fatigue strength of cast  
7 aluminum alloys [1–11]. The impact of a defect on fatigue strength can be characterized by its size,  
8 morphology and position. Buffière et al. [12] identified a relationship between the defect size and  
9 the sphericity of a defect, the authors found that gas pores are smaller and with a higher  
10 sphericity ratio compared to shrinkages. Several authors [7,10,13,14] performed studies by means  
11 of finite element simulations on the defect reconstructed after  $\mu$ -CT scan. The main result  
12 indicates that the pore morphology influence the stress distribution. Li et al. [15] identified that  
13 the pore shape has an impact on the factor  $K_g$  (defined as the normalized stress/strain  
14 concentration factor). The authors determined that the stress distribution of a pore could be  
15 approximated using a sphere with the same projected surface on the transversal plane of the  
16 specimen. The difference over the evaluation of the  $K_g$  factor, between the real shape and the  
17 approximated shape, is 10%, showing that the complex morphology can be approximated by a

1 simpler shape, however the definition of the equivalent shape can modify the final result. Tijani et  
2 al. [16,17] proposed an empirical relation in order to simulate the fatigue life of cast aluminum  
3 alloys in presence of defects. The authors highlighted that a good correlation can be obtained if  
4 the effect of defect shape, size and position is taken into account. A recent study of Le et al. [7]  
5 proposed to take into account the effect of the defect morphology by testing two equivalent  
6 shapes (sphere and ellipsoid), their results show that the effect of the defect size is more  
7 pronounced for the complex shape defects compared to equivalent shapes in terms of Dang Van's  
8 criterion. The results show that the slope of the power trend line used to fit the real casting pores  
9 is higher than the slope of the trend line used for spherical pores. These results show that the  
10 effect of the size is more important for casting defects than spherical pores. A similar result has  
11 been obtained by Dezecot et al. [14], these authors pointed out via micro-tomography  
12 observations, that spherical pores are less detrimental than tortuous pores of the same size. The  
13 slope of the trend line used to fit the equivalent oblate ellipsoid is much closer to the one  
14 obtained for natural defects showing that a simplified geometry with a "sharper" morphology  
15 seems better to approximate the real casting morphology in terms of stress distribution.  
16 A study conducted by Serrano-Munoz et al. [11] shows that the defect position plays an important  
17 role. The authors identified that crack growth rate is higher when a crack initiates and propagates  
18 from a surface defect (due to the environment), this effect is different when the crack initiates  
19 from an internal defect, since the propagation phase occurs probably under an inert environment  
20 close to vacuum. A very few studies [11,18–20] are addressing experimental fatigue crack  
21 propagation from internal defect but they mainly demonstrates that surface crack propagates  
22 much faster than internal ones.

23  
24 From this analysis of literature results, it comes that the question of the morphology is important  
25 but none of the paper proposes a clear experimental protocol to demonstrate whether or not we  
26 should use the real 3D morphology or the equivalent global one. The first objective of this paper is  
27 to study the impact of local and global morphology of the defect on the fatigue limit. In addition to  
28 the morphology, position is also very important, a defect can be close to the surface, at the  
29 surface or internal. As it seems from the few experimental results available that surface defect are  
30 much more harmful than internal ones, it is very important to separate internal and surface  
31 defects when analyzing the criticism of defect in a component. This question will be addressed  
32 through the analysis of stress distribution computed around natural defects using Finite Element  
33 based on  $\mu$ CT scan of fatigue samples in order to propose a geometrical criterion to separate  
34 surface and internal defects. In order to consider only one family of defects, the analysis  
35 conducted in this work are mainly focused on shrinkages, porosities and artificial defects that  
36 simulates the shape of a cavity shrinkage (as defined by the ASTM standard [21]). Other defects  
37 such as oxides can be at the origin of the failure as shown in section 3.1 of this study. Therefore,  
38 during the experimental campaign, failure mainly occurred on "porosity type" defects, thus all the  
39 conclusions are based on the results and observations from this experimental database.

40

1 **2. Materials and methods**

2

3 **2.1. Experimental procedures**

4

5 **2.1.1 Material**

6

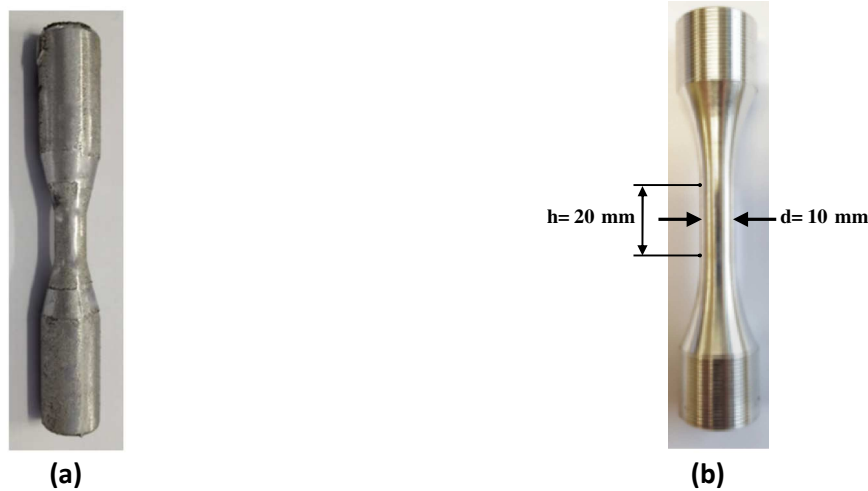
7 In this study, experimental fatigue tests have been carried out on an unmodified (without addition  
8 of Strontium) cast Al-Si-Mg alloy (A357) with a chemical composition reported in Table 1. The alloy  
9 didn't undergo any previous grain refinement operation. Concerning the casting process, a  
10 degassing procedure have been performed just before pouring the molten metal. This operation  
11 provides nitrogen bubbling by using a degassing rotor. Finally the alloy is poured at  $710^{\circ}\text{C} \pm 5^{\circ}\text{C}$   
12 into a siliceous sand mould produced by using polyurethane process.

13 A T6 heat treatment has been performed before testing the specimens: (1) heating to solution  
14  $540^{\circ}\text{C}$  for 10h; (2) quenching in cold water, (3) aging at room temperature for 24h; (4) aging at  
15  $160^{\circ}\text{C}$  for 8h. A monotonic tensile test has been carried out to identify the mechanical static  
16 properties of the as received material (Table 2). The casting has been artificially degraded in order  
17 to introduce natural shrinkages. For this purpose, a customized casting mold has been used to cast  
18 the mechanical specimens. In order to obtain the casting shrinkage in the gauge section of the  
19 specimens, the cooling gradient has been artificially driven by using local chillers.

20 A previous study has been conducted using the same casting setup [22] developed at the CTIF  
21 (Centre Technique des Industries de la Fonderie) laboratory.

22 All the specimens have been subject to a finishing machining process leading to a good final  
23 surface quality ( $R_a=0.67 \mu\text{m}$ ), the specimen size is given in Figure 1.

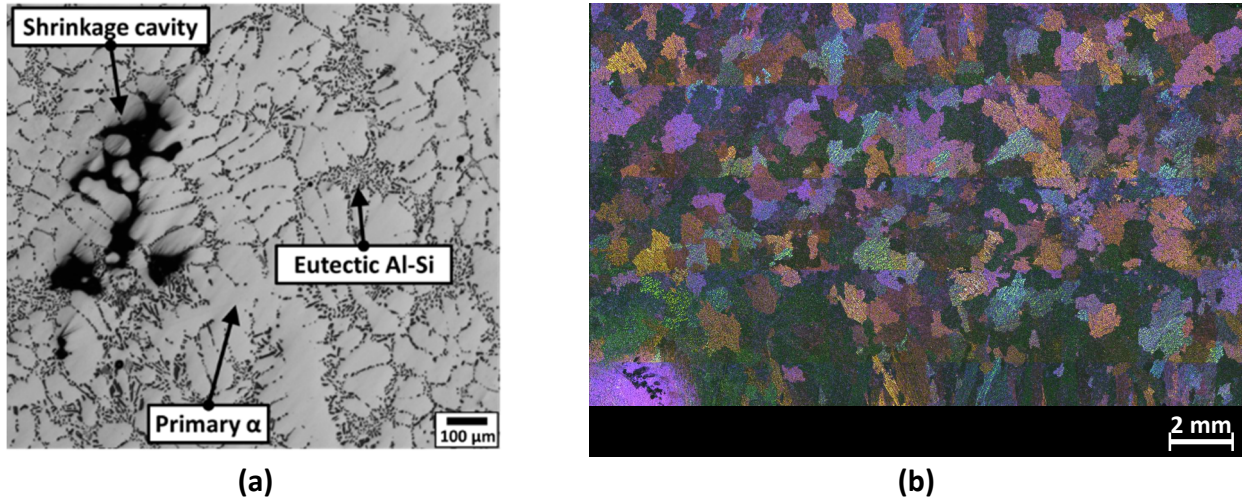
24



25 **Figure 1: Fatigue specimen, (a) As cast geometry, (b) machined sample.**

26 Optical microscopy has been carried out (Figure 2) in order to estimate the Secondary Dendrite  
27 Arm Spacing (SDAS) that is of about  $31 \mu\text{m} \pm 8 \mu\text{m}$ . Grain size has also been measured after a  
28 Barker etching and the resulting size is of about  $631 \mu\text{m} \pm 46 \mu\text{m}$ . A columnar grain structure is  
29 observed near to the specimen surface (before machining), this is principally due to the contact  
30 between the molten metal and the mold. Therefore this zone is machined in order to extract the

1 fatigue specimen (diameter reduction from 13 mm to 10 mm) thus, the final tested granular  
 2 microstructure is completely equiaxial.



3 **Figure 2 : (a) A357-T6 microstructure, (b) A357-T6 microstructure after Barker etching (observed**  
 4 **under polarized light).**

5 **Table 1 : Chemical composition of A357-aluminum alloy.**

Si	Mg	Ti	Fe	Mn	Cu	Ni	Sn	Zn	Pb
7.05	0.57	0.16	0.12	<0.03	<0.015	<0.01	<0.01	<0.01	<0.003

6

7 **Table 2 : Static tensile properties of the A357-T6 aluminum alloy.**

E (GPa)	$\nu$	$R_{p0.2}$ (MPa)	$R_m$ (MPa)
73	0.3	275	335

8

9 **2.1.2. Fatigue setup**

10 All fatigue tests have been performed using a vibrophore Amsler 10 HFP 420 (electromagnetic  
 11 resonance machine), providing a maximum load of 100 kN. The estimation of the fatigue limit has  
 12 been conducted by using the “step by step method” [23,24]. The choice of this methodology has  
 13 been justified by the need to obtain a fatigue limit for each sample. A standard fatigue testing  
 14 using a staircase method [25] is not suitable because each specimen has a natural defect that is  
 15 not repeatable in size, morphology and position, thus it is necessary to have a methodology that is  
 16 capable to give an estimation of the fatigue limit for a given specific defect. The end of the test  
 17 corresponds to a frequency drop of 1 Hz, which corresponds to a fatigue crack depth of 3 mm (the  
 18 specimen gauge section diameter is equal to 10 mm). In order to estimate the fatigue limit, the  
 19 first step is to test the specimen for a given stress  $\sigma$ , if the specimen does not fail and reaches the  
 20 fixed number of cycles (for the current study  $N_{limit} = 2 \cdot 10^6$  cycles) the fatigue test is started again  
 21 on the same specimen by increasing the stress of an arbitrary  $\Delta\sigma$ . The procedure is repeated until  
 22 the detection of a frequency drop of 1 Hz, which defines the end of the test (the failure stress is  
 23 defined as  $\sigma_n$ ). Finally, the fatigue limit is calculated using the Lanning proposition [24] that is

1 shown in equation 1. If the specimen fails at the first step (before reaching the value of  $N_{limit}$ ), the  
2 fatigue limit can be estimated using a Basquin's law (equation 2), if it is possible to estimate the  
3 exponent  $m$  for the known loading condition.

$$\sigma_D = \frac{N_{failure}}{N_{limit}} \cdot (\sigma_n - \sigma_{n-1}) + \sigma_{n-1} \quad (1)$$

4

$$\sigma_D = C \cdot N^m \quad (2)$$

5

6 In the context of this study, the selected value of  $\Delta\sigma$  is 10 MPa. The application of the step by step  
7 method implies that the material is less, or not, sensitive to load history (no influence on the  
8 fatigue limit). For a cast aluminum alloy (A356-T6), very similar to the one of this study, any effect  
9 of the load history has been identified for different type of loads [3]. The same result has been  
10 obtained for others alloys such as titanium alloys [26] or 1045 steel [27]. Nevertheless, it is  
11 important to notice that a small crack can start to grow at the end of a step even if it is not long  
12 enough to activate a frequency drop of 1 Hz.

### 13 **2.1.3. Artificial defect: EDM and FIB**

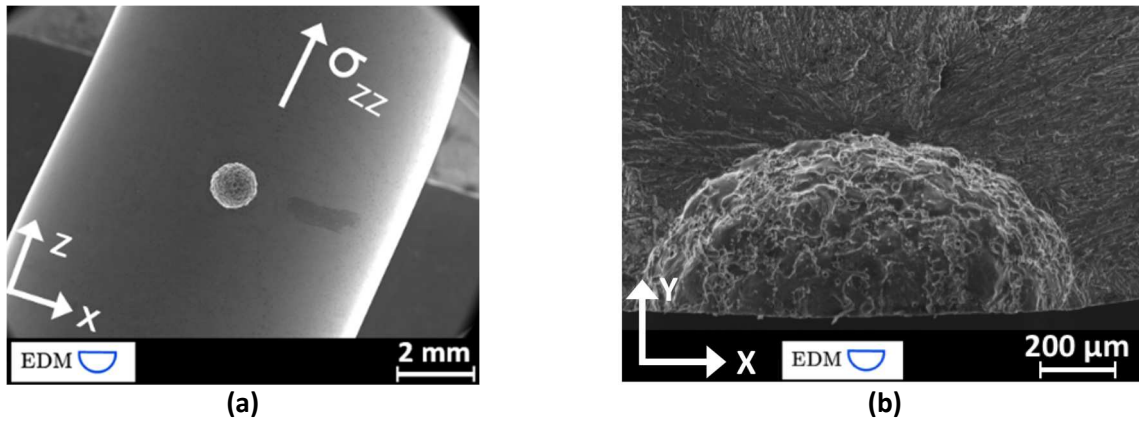
14 To study the effect of defect morphology, the following procedure is proposed in order to have a  
15 controlled geometry at the macroscopic level (the big defect) and also at the local level  
16 representing inter-dendritic porosity (the local small defect). Artificial macroscopic surface  
17 spherical defect has been introduced using the EDM (Electron Discharge Machining) technique and  
18 the local defect morphology has been modified introducing a smaller defect (about 10 times  
19 smaller in diameter) at the tip of the spherical defect using a FIB (Focused Ion Beam) machining.  
20 The study is focused on the local defect morphology, for this purpose the macroscopic defect is a 1  
21 mm diameter, spherical defect, introduced by EDM (a SEM image of the principal defect is given in  
22 Figure 3). Subsequently the local defect morphology have been modified introducing another  
23 smaller defect at the spherical defect tip.

24 Three local configurations have been studied:

- 25 1. Local 100  $\mu\text{m}$  diameter defect obtained by EDM
- 26 2. Local 100  $\mu\text{m}$  diameter defect obtained by FIB
- 27 3. Three local artificial cracks (half-disc shaped) obtained by FIB with a diameter of about 60  $\mu\text{m}$   
28 and a minimal distance between the defects of about 38  $\mu\text{m}$ .

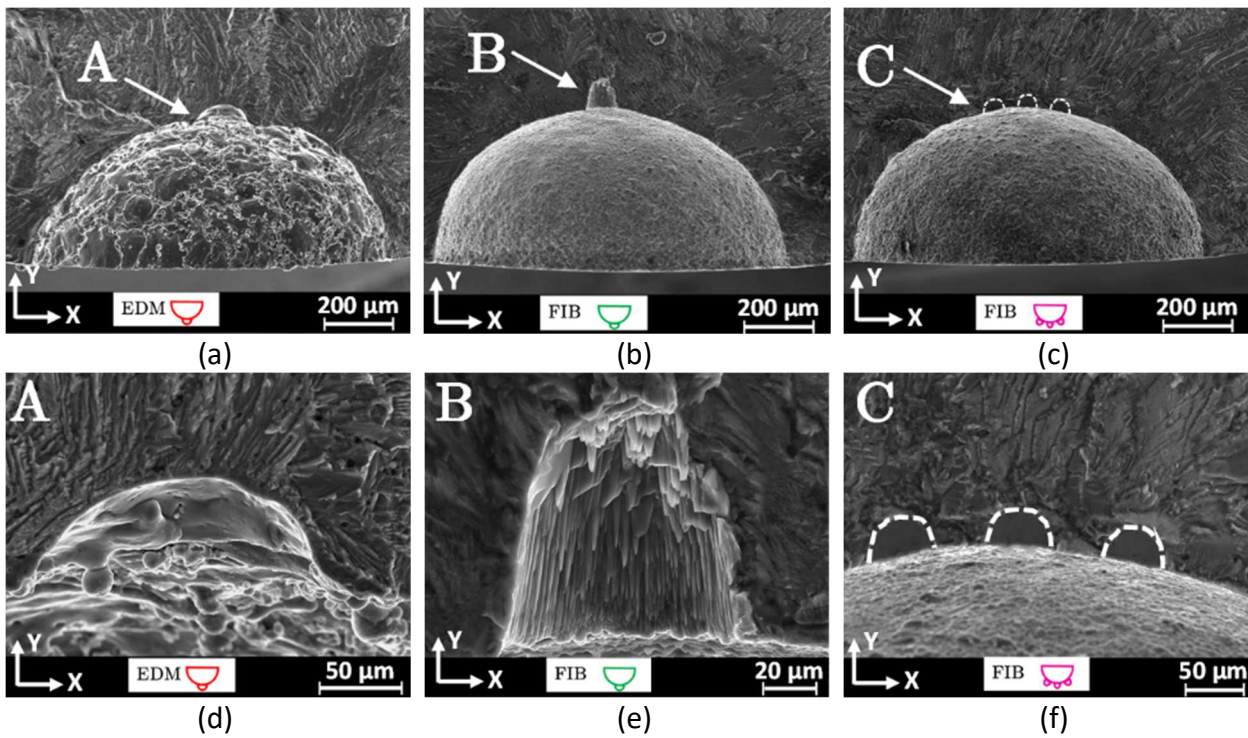
29 The choice of a distance of 38  $\mu\text{m}$  between the crack shaped defects is correlated to the  
30 microstructure of the material and principally to the SDAS (31  $\mu\text{m} \pm 8 \mu\text{m}$ ).

31 Figure 4 shows a SEM fractography of the specimens after failure with the three different local  
32 defect morphologies.



1 **Figure 3: (a) EDM 700  $\mu\text{m}$  defect observed by SEM at the surface of the fatigue sample, (b) SEM**  
 2 **observation of the fracture surface, initiation from the EDM 700  $\mu\text{m}$  defect.**

3



4 **Figure 4: SEM observation of fracture surfaces, (a,d) small EDM defect at the tip of the 700  $\mu\text{m}$**   
 5 **EDM defect, (b,e) small FIB spherical like defect at the tip of the 700  $\mu\text{m}$  EDM defect, (c,f) 3 FIB**  
 6 **crack like defect at the tip of the 700  $\mu\text{m}$  EDM defect.**

7



## 1 2.2. Numerical methods

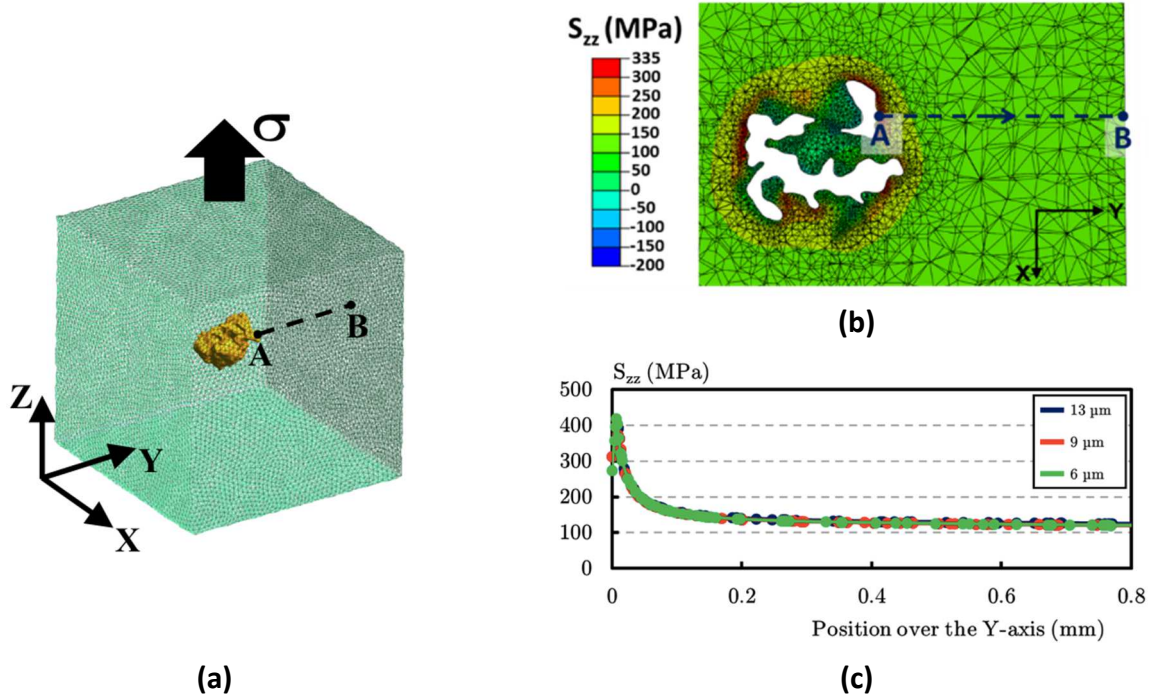
2 The effect of defect morphology and position has also been analyzed by using FE (Finite Elements)  
3 simulations. The real casting defect morphology has been reconstructed after a  $\mu$ -CT scan  
4 performed using a 150kV source giving a final voxel size of about  $7\mu\text{m}$ . The 3D tomographic  
5 images have been processed in order to obtain the complete meshing of the outer surface of the  
6 defect and the surrounding Aluminum matrix. This methodology has been proposed by Dezecot et  
7 al. [14].

8

- 9 1. Grey level threshold of the images in order to obtain a binary 3D image (so called Volume A  
10 that also includes the air around the sample).
- 11 2. Extraction of the outer region to form the Volume B that fits closely the entire outer surface  
12 of the specimen. Pores can then be extracted (Volume C) by a boolean operation between  
13 volumes A and B.
- 14 3. Generation of two 3D surface meshes (linear triangles) using Avizo® commercial software  
15 corresponding to the internal pores surfaces from (Volume C) and the external shape of the  
16 sample (Volume B).
- 17 4. Surfaces merging and volume filling with quadratic tetrahedrons using the Gmsh software [28]
- 18 5. Reduction of the number of elements by 3D remeshing of the mesh generated at step 4. The  
19 size of the elements which are away from the sample and the pores surfaces are increased  
20 using the “distance plugin” of Gmsh to limit the number of degrees of freedom.

21 In order to obtain an accurate description of the stress/strain evolution a sensitivity analysis of the  
22 mesh size of the defect surface has been performed. This analysis have been conducted by  
23 examining the local response into a sub volume (cube shaped volume with a 2 mm side – Figure  
24 5a) including a single defect issued from a specimen observed by  $\mu$ -CT. The cube is loaded using a  
25 uniaxial tensile stress. The 3D element size around the defect is gradually increased starting from  
26 the defect surface (finer mesh) until the outer sub volume face (element size of  $50\mu\text{m}$ ). The model  
27 response is evaluated by observing the evolution of the stress along the loading direction ( $S_{zz}$ ). The  
28 stress evolution (Figure 5c) is observed along the AB path (Figure 5b) starting from the defect  
29 surface (A point) until the outer cube face (B point).

30 Three different mesh sizes of the defect outer surface have been tested ( $6\mu\text{m}$ ,  $9\mu\text{m}$  and  $13\mu\text{m}$ ),  
31 the result of the sensibility analysis is showed in Figure 5c. A similar result has been obtained with  
32 the three tested mesh sizes. The final choice has been to use the intermediate mesh size of  $9\mu\text{m}$  in  
33 order to have more integration points locally around the defect and better describe the  
34 stress/strain distribution.



1 **Figure 5: (a) surface mesh view of the cube model, (b) illustration of  $S_{zz}$  result with the local**  
 2 **mesh, (c)  $S_{zz}$  distribution from point A with different mesh size.**

### 3 **2.2.1 Elastic-plastic material behavior law**

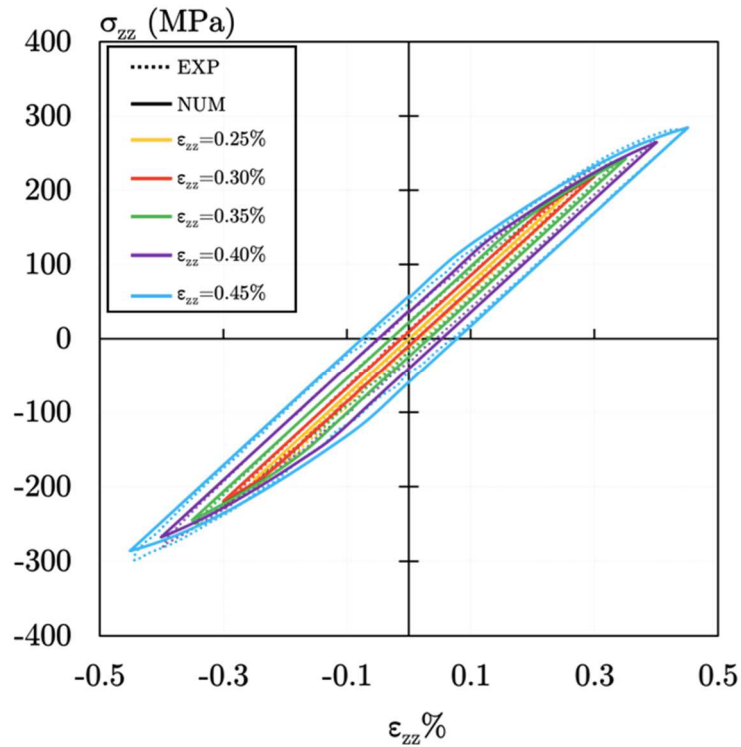
4 The FE simulations are conducted considering the pores extracted from the specimens after  
 5 observations by means of a  $\mu$ -CT scan. The purpose of the FE simulations is to compute local  
 6 stresses around a complex shaped defect. The FE model, as presented in section 2.2, is composed  
 7 by an Aluminum alloy matrix (the material is considered isotropic without taking into account the  
 8 local effect of eutectic and silicon particles). In order to model the aluminum matrix, a strain  
 9 driven cyclic hardening test has been conducted. The stress/strain loops are shown in Figure 6. It  
 10 can be pointed out that the material behavior can be principally described using a non-linear  
 11 kinematic hardening law. In order to simplify the calculation the isotropic hardening has been  
 12 considered negligible, thus a material's law based on the Lemaitre and Chaboche [29] model has  
 13 been identified (equation 3).

$$\underline{\dot{\chi}} = \frac{2}{3} C \cdot \underline{\underline{\dot{\varepsilon}}^p} - \gamma \cdot \underline{\underline{\dot{\chi}}} \cdot \dot{p} \quad (3)$$

14

15  $\underline{\underline{\chi}}$  is the hardening variable,  $\underline{\underline{\varepsilon}}^p$  is the plastic strain tensor, C and  $\gamma$  are material parameters, and  $\dot{p}$  is  
 16 the cumulated plastic strain rate.

17 Material parameters (C et  $\gamma$ ) have been obtained on a stabilized stress/strain loop obtained for the  
 18 4 total strain levels, their value is given in Table 3.



1

2 **Figure 6: Experimental stabilized stress strain loop and comparison with numerical simulations**  
 3 **to validate material parameters.**

4 **Table 3 : Kinematic hardening material parameters identified for the A357-T6 aluminum alloy.**

C (MPa)	$\gamma$
150000	1400

5

6 The correlation between the experimental stress/strain loops (at the stabilized cycle) are  
 7 compared with a numerical simulation result using the Lemaitre and Chaboche model of equation  
 8 3, results are shown in Figure 6. A good agreement between experimental test and numerical  
 9 simulations is obtained, thus the model is retained for the FE element simulations of the stress  
 10 field around a complex shaped defect.

## 1 **2.2.2 FE simulations**

2 The FE simulations have been conducted by considering the same loading conditions used during  
3 the fatigue test and a stress ratio  $R=0.1$ . In order to reduce the calculation time, the FE simulations  
4 have been conducted during 10 loading cycles. A stress analysis at each load cycle has been  
5 conducted and it has been observed that the stress behavior starts to be stabilized without any  
6 other modification of the mean stress, starting from the 10<sup>th</sup> loading cycle. Only the gauge section  
7 of the specimen has been modeled using the same meshing criteria presented in section 2.2.

## 8 **3. Results**

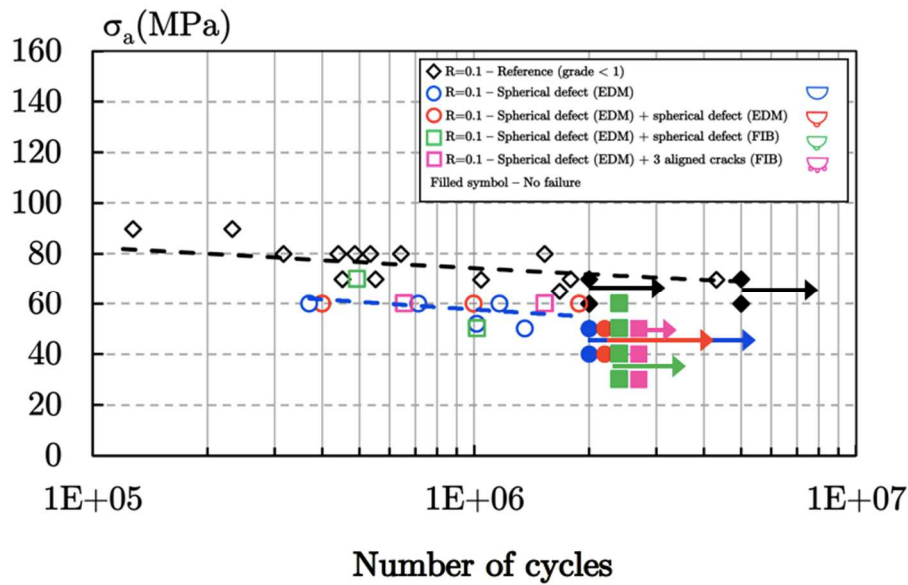
9 Results are presented into two sections. The first one addresses only experimental results by the  
10 means of fatigue tests and SEM observations. The second section uses Finite Element modelling in  
11 order to evaluate the influence of the global morphology and the position of the defect on the  
12 fatigue limit.

### 13 **3.1. Experimental results**

14 This section describes the fatigue test results obtained on specimens with artificial defects as  
15 described in section 2.1.3.

16 Figure 7 shows the S-N fatigue diagram. All the fatigue specimens have been inspected by using X-  
17 Ray nondestructive control following the ASTM E2411 [21] before testing. In order to take into  
18 account only the artificial defect influence, the tested specimen are issued from the same ASTM  
19 class, that has been considered as the reference material (ASTM shrinkage grade < 1) [22].

20 Reference fatigue results (without artificial defects) are given by the black dashed line (Figure 7).  
21 The specimens have been tested using the step by step method presented in section 2.1.2. The  
22 global result shows that the introduction of an artificial defect introduces a fatigue strength  
23 reduction of about 25%. This effect is principally related to the principal spherical defect which  
24 size (expressed as  $AREA^{1/2}$ ) is of about 700 $\mu$ m. A summary of the estimated fatigue limits is given  
25 in Table 4. The local "spherical" EDM defect (Figure 4d) as well as the FIB one (Figure 4e) lead to  
26 the same fatigue limit than the big defect without local modification. It seems therefore that a  
27 local defect representing inter-dendritic porosity does not influence the fatigue limit.  
28 Furthermore, if we machine 3 crack like FIB defects at the tip of the big defect, there is still no  
29 impact on the fatigue limit. These results lead to the conclusion that the local morphology is not  
30 the major parameter compared to the global one. This result is important because it suggests that  
31 we should focus on the "macroscopic" representation of the defect rather than the real local one  
32 when trying to assess the fatigue limit of cast Al-Si alloy.



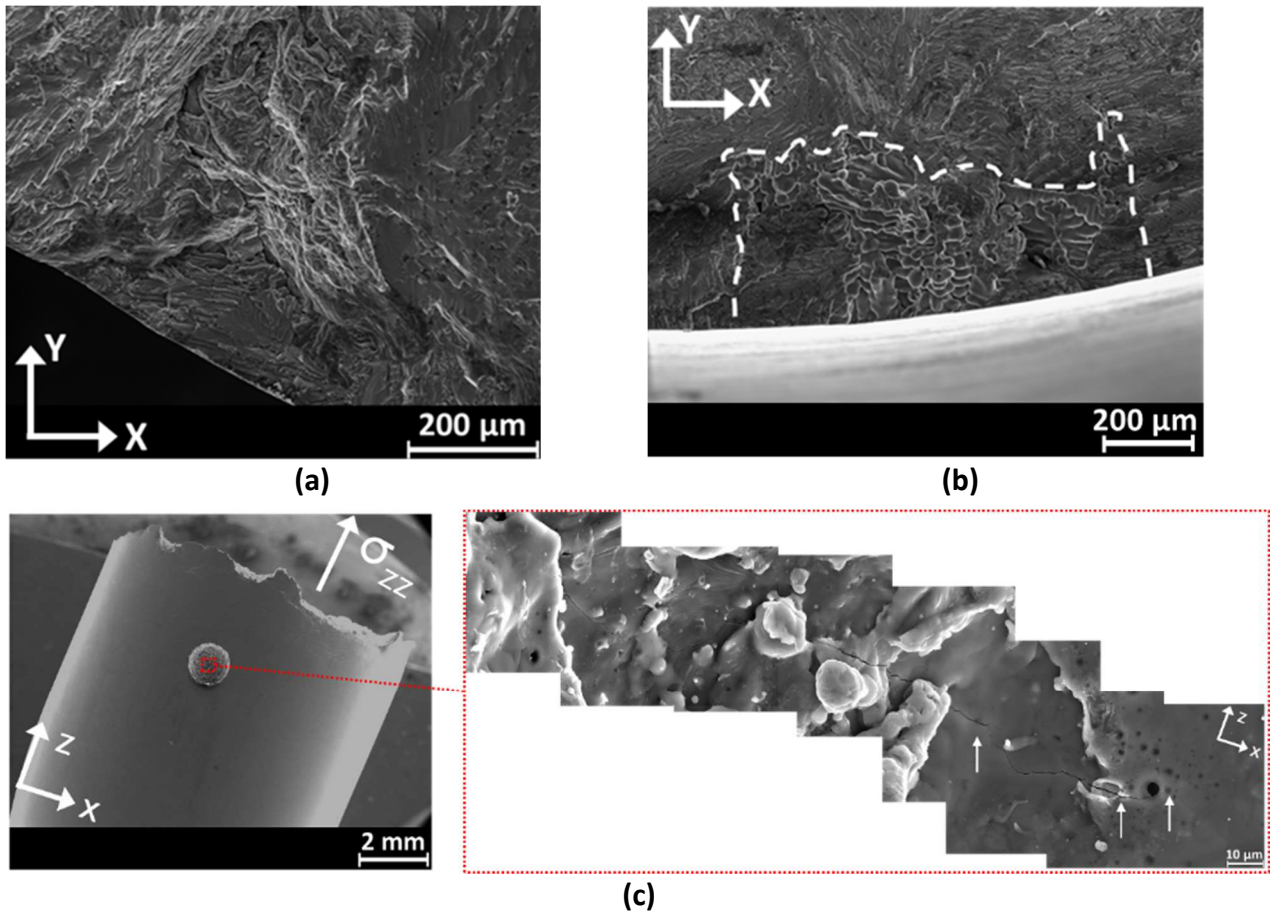
1  
2 **Figure 7: Fatigue test results obtained under tension at R = 0.1.**  
3 **Table 4: Fatigue limit (at  $N=2 \cdot 10^6$  cycles) for each defect type.**

Defect family	R	$\sigma_{D 0.1}^{fa}$ (MPa)
Reference (grade < 1)	0.1	69
Spherical defect (EDM)	0.1	52
Spherical defect (EDM) + spherical defect (EDM)	0.1	53
Spherical defect (EDM) + spherical defect (FIB)	0.1	54
Spherical defect (EDM) + 3 aligned cracks (FIB)	0.1	55

4  
5 During the fatigue test performed on some artificial defect specimens, the critical failure of the  
6 specimen did not occur on the artificial defect but it has been induced by the presence of a natural  
7 defect. Figure 8 shows an example of a SEM fracture surface analysis conducted on two specimens  
8 that failed because of an oxide film (Figure 8a – specimen 25-4) or a natural cavity shrinkage  
9 (Figure 8b – specimen 28-1).

10 A deeper SEM analysis (Figure 8) has been conducted on the specimens showing this behavior  
11 (failure on a natural defect). A fatigue crack has been observed (for specimen 25-4) at the artificial  
12 defect tip indicating that, even though the initiation was longer than the critical natural defect, it  
13 still occurred. Therefore, the criticality of both defects can be considered very similar because they  
14 were both able to initiate a fatigue crack. It is important to notice that all the tested specimens  
15 have been checked by SEM at the tip of the big EDM defect before testing. The EDM and FIB  
16 machining didn't induce any local microcrack at the defect tip. It has been observed that the  
17 natural defect induces the specimen failure even if the size is lower than the artificial one (460 $\mu$ m  
18 for the oxide film; Figure 8a and 588  $\mu$ m for the natural shrinkage – Figure 8b). This observation  
19 could be in contradiction with the first conclusion saying that the global morphology is much more  
20 important than the local one. Nevertheless, we can compare directly the regular sphere (EDM big  
21 defect) and the complex natural defect (588 $\mu$ m shrinkage) because the latest can't be considered

1 equivalent to a sphere. Thus, it is necessary to represent the global geometry of the defect  
2 through an equivalent quantity.



3 **Figure 8: (a) crack initiation site of specimen 25-4 (ASTM grade <1), uniaxial tensile loading,**  
4 **R=0.1,  $\sigma_a = 60$  MPa,  $N=9.96 \cdot 10^5$  cycles, with an artificial surface defect ( $AREA^{1/2}=700\mu\text{m}$  – see**  
5 **Figure 8c) - failure occurred onto an oxide film ( $AREA^{1/2}= 460\mu\text{m}$ ), (b) crack initiation site of**  
6 **specimen 28-1 (ASTM grade <1), uniaxial tensile loading, R=0.1,  $\sigma_a = 60$  MPa,  $N=1.53 \cdot 10^6$  cycles,**  
7 **with an artificial surface defect ( $AREA^{1/2}=654\mu\text{m}$ ) - failure occurred onto a natural shrinkage**  
8 **( $AREA^{1/2}=588\mu\text{m}$ ), (c) internal view of the artificial surface defect of specimen 25-4 showing a**  
9 **small crack of about 200 μm.**

10

### 3.2. FE simulations results

In order to perform complementary analysis of experimental results, FE simulations have been performed on the real shape of a natural defect. The simulation has been conducted by considering only the defect that leads to specimen's failure (observed on the fracture surfaces post-mortem). In order to evaluate the way to produce an equivalent geometry of a complex defect, two equivalent shapes are tested:

- An Equivalent Sphere (ES)
- An Equivalent Inertia Ellipsoid (EIE)

The equivalent sphere (ES) is calculated as a sphere with the same global volume as the natural defect. The EIE orientation in the 3D space follows the same principal inertia axis of the natural defect. In order to calculate the EIE, a MatLab® script has been developed, the main steps of the calculation are summarized below.

The first step is to calculate the inertia matrix ( $\bar{I}_S$ ) of the natural shrinkage from  $\mu$ -CT images. This matrix can be diagonalized into a global coordinate system and simplified as shown by equation 4.

$$\bar{I}_S = \begin{bmatrix} I_{S,1} & 0 & 0 \\ 0 & I_{S,2} & 0 \\ 0 & 0 & I_{S,3} \end{bmatrix} \quad (4)$$

The same conditions can be applied for a generical ellipsoid defined by equation 5.

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1 \quad (5)$$

Thus the global inertia matrix of the ellipsoid can be written by following equation 6.

$$\bar{I}_E = \begin{bmatrix} \frac{m}{5}(b^2+c^2) & 0 & 0 \\ 0 & \frac{m}{5}(a^2+c^2) & 0 \\ 0 & 0 & \frac{m}{5}(a^2+b^2) \end{bmatrix} \quad (6)$$

Where m is the ellipsoid mass and a, b and c are the ellipsoid semi-axis.

1 Imposing the equality of each principal inertia axis (equation 4 and equation 6) it is possible to  
 2 obtain the result of equation 7.

$$I_{s,1} = \frac{m}{5}(b^2+c^2)$$

$$I_{s,2} = \frac{m}{5}(a^2+c^2) \quad (7)$$

$$I_{s,3} = \frac{m}{5}(a^2+b^2)$$

3

4 In this particular case the equivalence is defined only by a geometrical point of view, the mass (m)  
 5 is imposed equal to 1 for both ellipsoid and natural shrinkage. The value of the ellipsoids semi-axis  
 6 (a,b and c) can be calculated by using the condition of the triangular inequality (equation 8).

$$I_{s,1} + I_{s,2} \geq I_{s,3}$$

$$I_{s,2} + I_{s,3} \geq I_{s,1} \quad (8)$$

$$I_{s,3} + I_{s,1} \geq I_{s,2}$$

7 In order to have the same orientation in the 3D space the center of gravity is considered equal for  
 8 both the shrinkage and the ellipsoid.

### 9 3.2.1 Study of defect morphology effect

10 Four different natural defects have been studied, two parameters are used to characterize defect  
 11 size as a unique scalar: the first one is the VOLUME<sup>1/3</sup> that makes no other interpretation than the  
 12 geometry and the second one is the one proposed by Murakami [30] that combine geometry and  
 13 loading. The defect sphericity (this parameter is equal to 1 for a perfect sphere) has also been  
 14 calculated and the results are given in Table 5. The EF model has been defined by considering a  
 15 cylinder with the same size of the specimens gage section (10 mm diameter and 20 mm height).

16 **Table 5 : Size and distance to the free surface for 4 natural defects.**

Specimen	Defect	Sphericity	Size – T (μm) (calculated as VOLUME <sup>1/3</sup> )	Distance from free surface - D (μm)	T/D
30-4	1	0.39	248	15.6	15.90
30-4	2	0.33	263	15.6	16.86
30-4	3	0.12	1214	1256	0.97
30-1	4	0.32	340	53.7	6.33

17

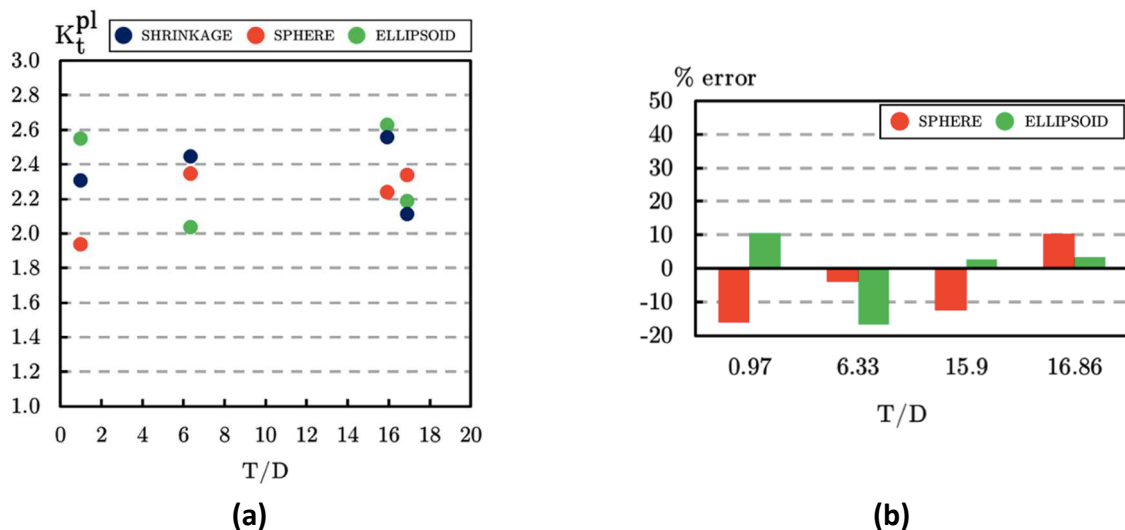
18 In order to take into account the effect of the local stress distribution, a local stress concentration  
 19 factor ( $K_t^{pl}$ ) has been defined. This parameter is calculated as the ratio between the local stress (in  
 20 the loading direction) and applied stress. The local stress is calculated as follows:



- 1 - For real defect geometry the estimation has been done in the zone identified after an SEM
- 2 fractography, as the local crack initiation size.
- 3 - For equivalent geometries (ES and EIE) it has been supposed that the crack initiation will
- 4 occur in the real maximal stressed zone. Thus, the  $K_t^{pl}$  is calculated as the ratio between
- 5 the maximal stress and the applied stress.

6 The defects are situated at a different distance from the specimen's surface. In order to take into  
 7 account only the effect of the defect morphology, a parameter T/D is calculated as the ratio  
 8 between the defect size and the minimal distance between the defect and the outer specimen  
 9 surface.

10 The results of the FE simulations are to be intended as a relative comparison between real defect  
 11 morphology and equivalent defect. This result is obviously influenced by the choice of the model  
 12 used for the material behavior and does not take into account the local effect of microstructure  
 13 and Silicon particles. A diagram showing the evolution of the  $K_t^{pl}$  in function of the parameter T/D  
 14 is given in Figure 9 for the three geometries. From this diagram we can't be so confident on the  
 15 results of the defect close to the surface because this proximity of the surface could modify  
 16 strongly the stress distribution. It can be pointed out from the other computations that the EIE  
 17 seems to give a better estimation of the local  $K_t^{pl}$  with respect to the equivalent sphere.  
 18 Therefore, it is possible to conclude that the EIE could be used as an equivalent representation of  
 19 a natural defect. This representation is easy to compute from  $\mu$ CT images and its main advantage  
 20 is the possibility to keep the "defect orientation over space". This main feature seems necessary  
 21 on a complex component when the geometry and the loadings leads to multiaxial local loadings.  
 22 The ES can be used as a first order estimation but results will be less accurate compared to the EIE.



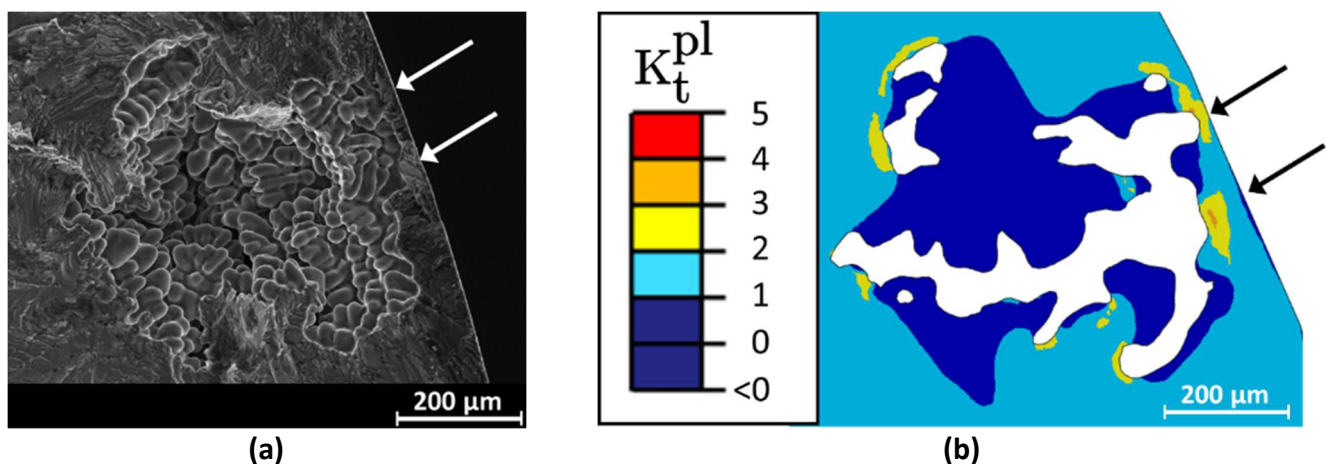
23 **Figure 9: (a) local value at the highest stressed point as a function of the distance of the defect**  
 24 **to the free surface, (b) comparison between the 3D natural model and the approximation to a**  
 25 **sphere or to the equivalent inertia ellipsoid.**

26

### 1 3.2.2. Study of defect position effect

2 As previously proposed, the morphology of a real 3D natural defect can be idealized by an  
3 Equivalent Inertia Ellipsoid with fairly good results as regard to the local stress distribution.  
4 However different studies show that the defect position can be also an important parameter  
5 influencing the fatigue life of metallic materials [10,18,19,31]. Serrano Munoz et. Al [11], for  
6 example, demonstrated that the fatigue crack propagation ratio is completely different when  
7 fatigue crack occurs onto a surface or an internal defect (more or less 10 times slower for internal  
8 defects). This effect is principally due to the external environment exposure of the crack. As  
9 proved in different previous studies, [1,3,11,22,27] the environment under which the defect  
10 initiates and propagates has a notable effect on the fatigue limit of the material that is almost not  
11 sensitive to the effect of defect size. Internal defect will lead to an internal crack that propagates  
12 under an inert environment (close to the vacuum) that slows fatigue crack propagation. The effect  
13 of the environment has been proved with experiments on specimens with surface defects under  
14 vacuum environment [10,11]. Crack propagation ratio are similar to internal defect crack  
15 propagation. Those two propagation mechanisms lead to different fracture surfaces (typical  
16 smooth fracture surface for surface defect – air environment) and pseudo-stage II fracture  
17 surfaces [32] for internal defect (under inert or vacuum environment).

18 The role of defect position with respect to the fatigue life assessment of cast component seems to  
19 be crucial. Up to now, no criteria to define if a defect should be considered as internal or surface  
20 defect is adopted during NDT (Non Destructive Testing). A correlation between experiments and  
21 FE simulations have been conducted in this section in order to propose a criteria to differentiate  
22 an internal from a surface defect and understand the behavior of a subsurface defect. By using  $\mu$ -  
23 CT analysis, the real defect shape of a shrinkage defect has been reconstructed and meshed using  
24 the methodology described in section 3.1. The meshed defect correspond to a defect that leads to  
25 failure a specimen and induced a surface crack propagation (see example in Figure 10).



26 **Figure 10: (a) SEM view of the fracture surface, (b) result of the local stresses around the defect.**

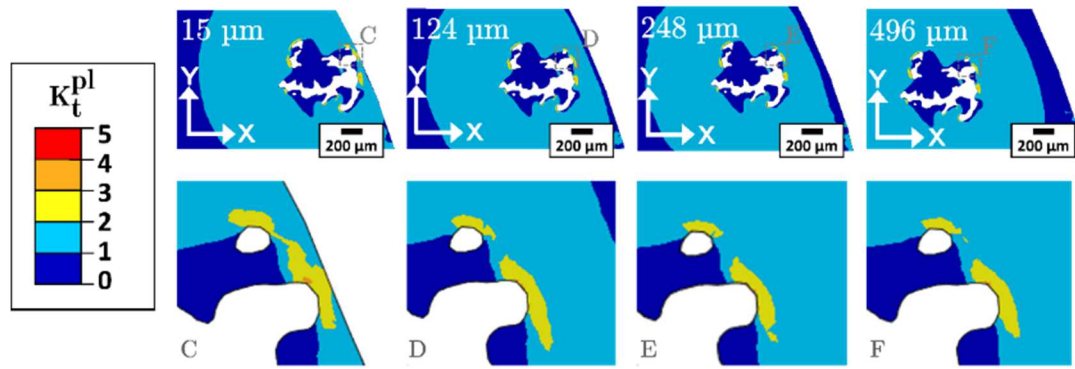
27 The defect characteristics (size, distance from surface and sphericity) corresponds to the defect 1  
28 of Table 5.

1 In order to understand if the defect position can also influence the stress distribution around the  
2 defect, four defect positions from free surface have been meshed:

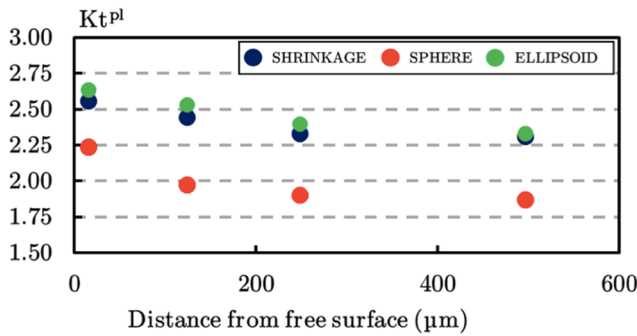
- 3 - Simulation 1: Real defect distance (15 $\mu$ m)
- 4 - Simulation 2: Distance = (defect size) / 2 = 124 $\mu$ m
- 5 - Simulation 3: Distance = defect size = 248 $\mu$ m
- 6 - Simulation 4: Distance = 2 · (defect size) = 496 $\mu$ m

7 The first simulation has been conducted by considering the defect in the real position (about  
8 15 $\mu$ m from free surface). The other simulations have been conducted by considering a minimal  
9 distance from free surface that is related to defect size (expressed as  $VOLUME^{1/3}$ ). As proposed in  
10 section 3.2.1 the evolution of the stress state around the defect has been evaluated by using a  
11 simple scalar parameter defined as  $K_t^{pl}$ . The parameter has been calculated in the crack initiation  
12 zone (observed post-mortem on fracture surfaces). In order to have a relative comparison,  $K_t^{pl}$  has  
13 been evaluated in the same zone also for the four FE simulations. The evolution of the  $K_t^{pl}$  in  
14 function of defect position (expressed as distance from free surface) is given in Figure 11c.

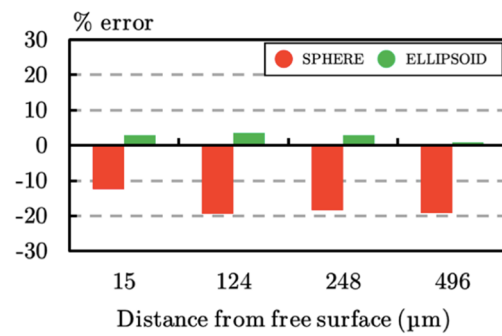
15 In order to be consistent with the previous section, we also compared the evolution of the  $K_t^{pl}$   
16 when the real defect is represented by the sphere or by the equivalent inertia ellipsoid. Results are  
17 presented in Figure 11 for the three representations of the defect and for the four distances to the  
18 free surface.



(a)



(b)



(b)

1 **Figure 11: (a) comparison of local stresses around the defect when the distance to the free**  
 2 **surface vary, (b) comparison between 3D model, sphere and ellipsoid as a function of distance**  
 3 **to the surface, (c) comparison between the 3D natural model and the approximation to a sphere**  
 4 **or to the equivalent inertia ellipsoid.**

5 It can be pointed out that an evolution of the  $K_t^{pl}$  can be observed whatever the representation of  
 6 the defect. The  $K_t^{pl}$  is higher for simulation 1, when defect is in its real position (15  $\mu\text{m}$  from free  
 7 surface). The value of the  $K_t^{pl}$  is decreasing when distance from free surface is increasing.  
 8 However this effect seems to be less pronounced when the distance from free surface is at least  
 9 equal to defect size (248  $\mu\text{m}$ ) and the  $K_t^{pl}$  value seem to saturate when the distance is higher than  
 10 defect size (496  $\mu\text{m}$ ).

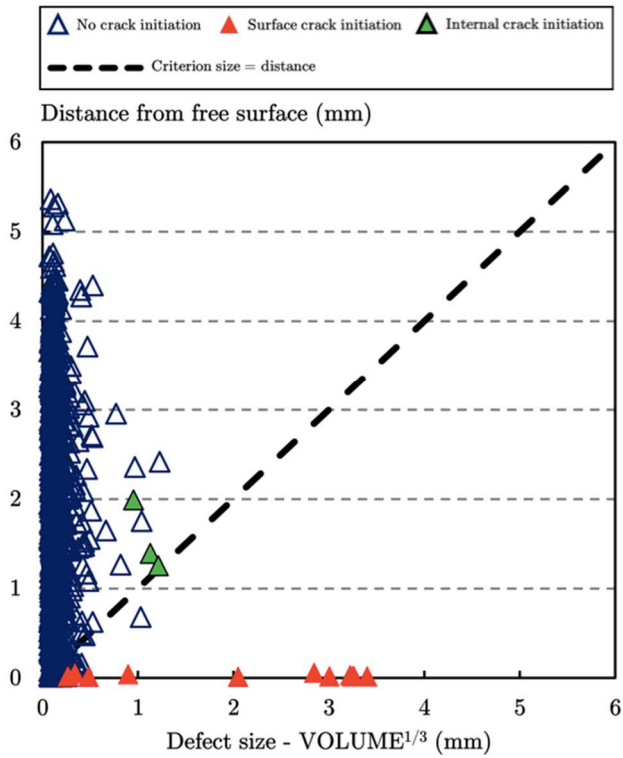
11 Therefore it seems reasonable to propose the following criterion:

12 A defect is considered to be internal when the size of the shortest distance to the free surface is  
 13 bigger than the defect size measured using  $\text{VOLUME}^{1/3}$ .

14 In order to test the criterion on a consistent number of samples, a series of fatigue tests has been  
 15 post-processed. The results are related to fatigue specimens tested into the HCF regime for a  
 16 stress ratio  $R=0.1$ . Those specimens failed because of a surface, subsurface or internal defect and  
 17 the two fatigue crack regimes described at the beginning of section 3.2.2 have been observed on  
 18 the fracture surfaces. All specimens have been analyzed by  $\mu\text{-CT}$  before testing and the defect  
 19 leading to failure has been identified by correlating the SEM fracture surface analysis and the  
 20 reconstructed  $\mu\text{-CT}$  images.

21 A diagram correlating the defect size vs the distance from free surface has been plotted (see  
 22 Figure 12). Defects that lead to a surface crack propagation are identified by red symbol, defects

1 that lead to an internal crack initiation are identified by a green symbol, other defects (not leading  
2 to a fatigue crack) are identified by a blue symbol. The frontier “defect size = defect position” is  
3 represented by a black dashed line. As shown by the diagram, the internal crack initiation always  
4 occurs on defects that are far enough from the external surface. Results agree with the proposed  
5 criterion, internal crack initiation occurs on defects with a position that is at least equal to the  
6 defect size (as proposed in the criterion).  
7



8  
9 **Figure 12: Validation of the definition of an internal crack.**

10

#### 1 **4. Conclusions**

2 Fatigue tests have been conducted in the High Cycle Fatigue range, under tension with a load ratio  
3 of 0.1 on a cast Al-Si alloy in order to evaluate the impact of position and morphology of defects  
4 on the fatigue limit.  $\mu$ CT scans have been performed on the fatigue samples before the test (voxel  
5 size: 7  $\mu$ m) in order to be able to compute cyclic local stresses using a non-linear kinematic  
6 hardening plasticity model. Results obtained can be summarized as follows:

7 - The local morphology of a shrinkage, associated with interdendritic porosity, is not the major  
8 parameter influencing the fatigue limit.

9 - In order to find an equivalent geometrical representation of a real defect, three models are  
10 compared: the 3D real natural defect, an equivalent sphere with the same volume and an  
11 Equivalent Inertia Ellipsoid. From the viewpoint of local stresses, the Equivalent Inertia Ellipsoid is  
12 a better approximation of the defect than a sphere. Furthermore, this geometrical simplification  
13 allows to keep the main orientation of the defect over space, which is very important in a  
14 multiaxial context on real component.

15 - From the analysis of the stress distribution around a defect when the distance of the defect from  
16 the free surface changes, it can be concluded that a defect is considered to be internal when the  
17 size of the shortest distance to the free surface is bigger than the defect size measured using  
18  $VOLUME^{1/3}$ .

19

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25

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