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1 Full-length 5'RACE identifies all major HBV transcripts in HBV-infected

2 hepatocytes and patient serum

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31

32 Authors' contribution

B.S. conceived the HBV full-length 5'RACE assay. B.S. performed 5'RACEs, Western blots, qPCRs, ELISA, lodixanol gradient sedimentations, infections of HepG2-NTCP cells and PHHs, cloning of cDNAs, analyzed and interpreted the data. A.D. performed infections of PHHs, qPCRs and analyzed the data. F.C. helped in performing cell culture experiments. M.R. provided liver resections. FZ provided serum samples from patients. G.N., A.A., L.F., K.C., B.T. and F.Z. interpreted the data and supervised the work. B.S. wrote the manuscript with editing input from all authors.

40

41

43 **Abstract**

Background and aims: Covalently closed circular DNA (cccDNA) is the episomal 44 form of the Hepatitis B virus (HBV) genome that stably resides in the nucleus of 45 46 infected hepatocytes. cccDNA is the template for the transcription of six major viral RNAs, i.e. preC- pg-, preS1/2-, S- and HBx-RNA. All viral transcripts share the same 47 3' end and are all to various degrees subsets of each other. Especially under infection 48 conditions, it has been difficult to study in depth the transcription of the different viral 49 transcripts. We thus wanted to develop a method with which we could easily detect the 50 full spectrum of viral RNAs in any lab. 51

Methods: We set-up the HBV full-length 5'RACE (rapid amplification of cDNA ends)
 method with which we measured and characterized the full spectrum of viral RNAs in
 cell culture and in chronically infected patients.

Results: In addition to canonical HBx transcripts coding for full-length X, we identified 55 shorter HBx transcripts potentially coding for short X proteins. We showed that 56 Interferon β treatment leads to a strong reduction of preC- and pg-RNAs but only has 57 a moderate effect on the other viral transcripts. We found pgRNA, one spliced pgRNA 58 variant and a variety of HBx transcripts associated with viral particles generated by 59 HepAD38 cells. The different HBx RNAs are both capped and uncapped. Lastly, we 60 identified 3 major categories of circulating RNA species in patients with chronic HBV 61 infection: pgRNA, spliced pgRNA variants and HBx. 62

Conclusions: The HBV full-length 5'RACE method should significantly contribute to
 the understanding of HBV transcription during the course of infection and therapy and
 may inform the development of novel therapies aimed at targeting cccDNA.

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67 Lay Summary

Especially under infection conditions, it has been difficult to study in depth the transcription of the different HBV transcripts. This study introduces the HBV full-length 5'RACE method that can be used in any standard lab to discriminate all viral transcripts in cell culture and in the serum of patients.

72

73 Introduction

Hepatitis B remains worldwide a major public health problem despite the availability of 74 prophylactic vaccination and anti-viral treatments [1]. The Hepatitis B virus (HBV) 75 persists as a covalently closed circular DNA (cccDNA) of approximately 3.2 kb that is 76 embedded into the chromatin of hepatocytes as an episomal entity [2]. The amount of 77 cccDNA and its transcriptional activity vary along the natural course of infection and 78 are a main determinant of viral persistence and reactivation. From 4 promoters, 6 major 79 viral RNAs are expressed: preC, pgRNA, preS1, preS2, S and HBx mRNAs (Fig.1A). 80 preC and pgRNA transcripts are characterized by a 100bp redundancy at their 3' ends 81 and consequently contain two epsilon stem loop structures at their 5' and 3' ends 82 83 (Fig.1A). Every viral RNA is translated into proteins that are essential for the viral life cycle. In this regard, the pgRNA is particular in the sense that apart from encoding the 84 two viral proteins C (core) and P (polymerase), it serves as the template for the viral 85 DNA genome. Genomic viral DNA synthesis is mediated by the reverse transcriptase 86 activity of the viral P protein once pgRNA and the P protein are encapsidated into the 87 nucleocapsids formed by the C protein [3,4]. preC RNA codes for the HBe protein that 88 has a potential immunoregulatory function [5]. preS1/2 and S mRNAs encode the 3 89 viral surface proteins L, M and S, respectively, that build up the viral envelope. The 90

shortest of the 6 transcripts is the HBx transcript that gives rise to a 154 amino-acid
long cytoplasmic and nuclear X protein [6–10]. X directly interacts with a multitude of
host proteins explaining its diverse trans-activating effects in host cells [11–14].

The organization of the HBV genome is highly condensed and all transcripts are to 94 various degrees subsets of each other (Fig.1A). Indeed, all HBV transcripts share the 95 same 3' end and, thus, the HBx sequence constitutes the 3' end of every viral transcript 96 (Fig1A). Therefore, most HBV RNAs are indistinguishable by quantitative real time 97 PCR (gPCR). At present, only the larger viral RNAs can be differentiated by Northern 98 blotting during the course of infection. Most probably because of low expression levels, 99 100 HBx transcripts are undetectable by Nothern blotting under infection conditions. Accordingly, not much is known about HBx transcription during viral infection. 101 Moreover, there is a knowledge gap about the viral RNA species, per se, found in cell 102 103 culture, but also in viral particles and in chronically HBV infected patients.

To this end, we set up a strategy called HBV full-length 5'RACE with which we 104 105 characterized all major intra- and extracellular HBV RNAs during viral infection of cultured hepatocytes. With the HBV full-length 5' RACE approach, we followed the 106 expression of the different viral RNAs over the course of infection and additionally 107 studied how they are modulated by various drug treatments. Furthermore, we identified 108 the viral RNAs that are associated with viral particles produced by HepAD38 cells. 109 Finally, we analyzed the full spectrum of circulating HBV RNAs in patients with chronic 110 HBV infection. 111

112

113 Materials and methods

114

115 Cell lines, viral inoculum and infection conditions

For infection purpose, HBV particles were concentrated from the supernatant of 116 HepAD38 (HBV genotype D) cells by filtering and PEG precipitation as described 117 previously [15]. The HepAD38 cell line was a kind gift of Dr C. Seeger (Fox Chase 118 Cancer Center, Philadelphia, USA) [16]. The HepG2-NTCP cell line was a kind gift of 119 Dr S. Urban (Heidelberg University, Germany) [17]. Primary human hepatocytes (PHH) 120 were isolated from surgically removed liver sections, cultured, and infected as 121 described previously [15]. HepG2-NTCP cells and PHHs were infected at a M.O.I. of 122 100 vg/cell in culture media supplemented with 4% PEG (polyethylene glycol 8000). 123

124

125 Serum samples

Serum samples were collected in the Lyon Biobank after written informed consent from
the patients and the protocol was approved by the Lyon institutional Ethic Committees
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129

130 RNA ligase-mediated 5'RACE

RNAs were isolated using a guanidinium thiocyanate-phenol-chloroform extraction 131 protocol (TRI reagent Sigma; [18]). 5'RACE was essentially done as described in the 132 GeneRacer Kit 133 manual (ThermoFisher Scientific) except Tobacco Acid Pyrophosphatase was substituted by RNA 5' Pyrophosphohydrolase (New England 134 Biolabs) and SuperScript reverse transcriptase III by SuperScript reverse transcriptase 135 IV (ThermoFisher Scientific). Reverse transcription reaction was performed using 3' 136 HBV specific Gsp1 primer 5'-GGTGCGCAGACCAATTTATG-3'. For the 5'RACE PCR 137 reaction Platinum[™] SuperFi™ DNA Polymerase (ThermoFisher Scientific), 138 GeneRacer 5' primer and HBV specific nested primer Gsp2 5'-139 GTGCACACGGTCCGGCAGATG-3' were used (Fig.1B). 5'RACE PCR was run in a 140

iTOUCH 1000 BIORAD thermocycler using the following touch down PCR program: 141 Initial denaturation step 98°C 3min > 5x(98°C 10s; 72°C 3min) > 5x(98°C 10s; 70°C 142 3min) > 25x(98°C 10s; 64,4 20s; 72°C 3min) > 72°C 10min. pg and preC specific PCRs 143 were run using primer Gsp2.2 5'-GCTTCCCGATACAGAGCTGAGG-3' in combination 144 with either ACTGAAGGAGTAGAAAAACTTTTTCACCTCTG 145 pg or preC ATGGACTGAAGGAGTAGAAAATAAATTGGTCTGCG specific primer in a iTOUCH 146 1000 BIORAD thermocycler using the following 2-step PCR program: Initial 147 denaturation step $98^{\circ}C$ $3min > 34x(98^{\circ}C \ 10s; 72^{\circ}C \ 30s) > 72^{\circ}C \ 10min$. 148

149

150 Cloning of 5'RACE amplicons

5'RACE amplicons were A-tailed and cloned into the pGEM-T vector (Promega) using
standard procedures. Clones were verified by digestion and sequenced by Sanger
sequencing at Eurofins Genomics.

154

155 Drug treatments of culture hepatocytes

Interferon beta (PBL Assay Science) was used at 500 IU/ml, preS1-myr (Myrcludexlike) peptide (sequence: GTNLSVPNPLGFFPDHQLDPAFRANSNNPDWDFNPN
KDHWPEANKVG, synthesized by GeneScript) was used at 500nM, Tenofovir (Sigma
Aldrich) was used at 100µM. Drug regimens are detailed in the corresponding figures.

161 ELISA for viral antigens

Prior to ELISAs supernatants were 10 times diluted. ELISAs for HBeAg detection in
 cell supernatants were performed according to the manufacturer's protocol using the
 CLIA kit from Autobio Diagnostic.

165

166 **Protein isolation and Western blotting**

Whole cell proteins were isolated by a first lysis with buffer A (20mM Tris-HCL pH7.5; 167 0.5mM EDTA; 0.1% TritonX100; 100mM NaCl; 10% Glycerol; 2mM MgCl2; 10mM 168 mercaptoethanol; 0.5 mM PMSF (phenylmethylsulfonyl fluoride)) for 30 min at 4°C. 169 Subsequently, the lysate was centrifuged for 5 min at 4000rpm using a tabletop 170 centrifuge. Supernatant was transferred to a fresh tube and the pellet was incubated 171 with 5 volumes of buffer B (20mM Tris-HCL pH7.5; 0.5mM EDTA; 0.1% TritonX100; 172 400mM NaCl; 10% Glycerol; 2mM MgCl2; 10mM mercaptoethanol; 0.5 mM PMSF) for 173 30 min on 4°C and then centrifuged for 10 min at 13000 rpm. Both supernatants were 174 175 pooled. 1 OD per condition determined by Bradford assay was diluted in Laemmlibuffer and resolved on an SDS-PAGE gel. 176

For the detection of surface proteins in gradient fractions, 10µl of fractions were directly
diluted in Laemmli buffer and resolved on an SDS-PAGE gel. Proteins were
electroblotted onto a nitrocellulose membrane using the Transblot system (Biorad).
Surface proteins L/M/S were detected by the monoclonal antibody H166 [19], a kind
gift of Abbott Laboratories.

182

183 Iodixanol gradient sedimentation analysis

To analyze viral particles, 200ml of HepAD38 cell supernatant was centrifuged for 5min at 500g to sediment cell debris. This was followed by an additional centrifugation step for 45min at 2000g. Supernatant was laid over a 20% sucrose cushion and centrifuged at 90000g for 2h using a Beckmann 32Ti rotor to pellet viral particles. Pellet was resuspended in 1ml PBS and material was loaded onto a 10 ml 10% to 50% lodixanol gradient in PBS and centrifuged at 175000g for 14h in a Beckmann SW41 rotor. 900µl fractions were collected from the top of the gradient.

191

192 MNase digestion of HepAD38 supernatants

To isolate encapsidated viral RNAs we performed MNAse (Micrococcal Nuclease) 193 digestions of the HepAD38 supernatants. MNase is a DNA and RNA endonuclease 194 that degrades double- and single-stranded DNA and RNA. 200ml of HepAD38 195 supernatant was centrifuged for 5min at 500g to sediment cell debris. This was 196 followed by an additional centrifugation step for 45min at 2000g. The supernatant was 197 laid over a sucrose cushion and centrifuged at 90000g for 2h using a Beckmann 32Ti 198 rotor to pellet viral particles. Viral particles were resuspended in MNase buffer, 2 µg of 199 200 a non-relevant plasmid (pcDNA3 vector + insert) was added to monitor digestion (Suppl.Fig.5). MNase digestion was performed for 25min at 37°C using 30 gel units 201 (New England Biolabs) in a 150µl reaction. Reactions were stopped by Trizol. 202

203

204 Real-time PCRs

205 RNA or DNA was extracted using the MasterPure complete kit (Epicentre) following manufacturer's instructions. Real-time PCRs were performed essentially as described 206 in Lebossé et al. [20]. 3kb RNAs were detected by the primer pair 207 ggagtgtggattcgcactcct (A) and agattgagatcttctgcgac (B) together with the Taqman 208 hybridization probe ([6FAM]-AGGCAGGTCCCCTAGAAGAAGAACTCC-[BHQ1]) [21]. 209 Total RNAs were measured using primers and Taqman probe Pa03453406_s1 210 (ThermoFisher Scientific). Signals were normalized by the housekeeping gene GUSB 211 detected with primers and Tagman probe Hs99999908 m1 (ThermoFisher Scientific). 212 Total DNA was measured using primers and Tagman probe Pa03453406 s1 (Life 213 Technologies). Serial dilutions of a plasmid that contains a HBV monomer 214 (pHBVEcoR1) served as an external quantification standard. The amount of total DNA 215

was normalized to the cell number using primers and Taqman probe Hs00758889_s1

217 (ThermoFisher Scientific) that are specific for the β -globin locus. PCRs were performed

in duplicates. Amplification was carried out on the QuantStudio 7 Flex with the following

PCR conditions: Initial denaturation step 95°C 20s > 40x(95°C 1s; 60°C 20s).

220

221 Accession code for sequencing data

All Sanger sequencing data is available at the European Nucleotide Archive (ENA) at

http://www.ebi.ac.uk/ena/data/view/PRJEB36101 (accession code PRJEB36101).

224

225 **Results**

226

227 Detection of all major viral RNAs by HBV full length 5'RACE

228 In the context of the HBV genome, transcription of 5' positioned genes obscures the measurement of transcripts starting further downstream because all transcripts share 229 the same 3' ends (Fig.1A). Currently, the measurement of viral RNAs relies on 3 230 techniques: Northern blotting, quantitative RT PCR and RNA-next generation 231 sequencing (NGS). Northern blotting allows the resolution of viral RNAs according to 232 their molecular weights on an agarose gel but misses the HBx transcript under infection 233 conditions [22]. Quantitative RT PCR cannot distinguish between the different viral 234 transcripts and hence it is commonly used exclusively for measuring total RNAs vs 3.5 235 kb RNAs. Similarly, standard short reads RNA-NGS approaches cannot distinguish the 236 different viral transcripts as soon as more than one viral transcript are present. To our 237 knowledge, there is only one publication in which the authors tried to discriminate all 238 the different viral transcripts expressed in cell culture and in patients by a strategy 239 called cap analysis of gene expression (CAGE) [23]. However, the major limitation of 240

this study was that only the first 50 nucleotides of the viral transcripts were sequenced
and thus no conclusions could be drawn about viral full-length transcripts and
especially pgRNA splice variants.

Thus, to specifically detect and characterize the different intra- and extracellular HBV 244 RNAs in full length we set up the HBV full-length 5'RACE strategy. The technique 245 follows a standard RNA ligase-mediated 5'RACE protocol including initial 246 dephosphorylation and decapping steps to ensure the amplification of only full-length 247 transcripts with respect to 5' ends. Truncated, uncapped RNA species are eliminated 248 from the subsequent 5'RACE amplification process because they miss the 5' 249 250 phosphate group that is essential for anchor ligation (Fig.1B). After performing the HBV full-length 5'RACE, the different HBV transcripts are resolved on an agarose gel 251 252 according to their molecular weights.

253 The initial experiment was carried out in HepG2-NTCP cells that had been infected for 8 days. As shown in Fig.1C we found all major transcripts including HBx migrating at 254 255 their expected seizes on the gel. Their relative sizes are reduced in length by approximately 338 bp (base pairs) with respect to template viral RNAs because of the 256 use of gene specific 3' primer 2 (Gsp2) for PCR. PCR products are specific to viral 257 RNAs as shown by performing the 5'RACE without the reverse transcription reaction 258 (Suppl.Fig.1A) and by their absence in the non-infected condition (Fig.1C). In addition, 259 PCR products are specific to capped viral RNAs as demonstrated in Suppl.Fig.1C and 260 further below. Of note, the proportional quantities of the different viral RNAs are not 261 directly translated by the 5'RACE PCR reaction. This is because the viral transcripts 262 exhibit an important heterogeneity in their respective lengths and, hence, are subject 263 to different amplification efficiencies during the 5'RACE PCR reaction, i.e., gel band 264

intensities of the 5'RACE PCR product do not provide an absolute information on the
 original proportional quantities of the different viral RNA species.

We then investigated the expression kinetics of the different viral RNA species during 267 the course of infection. In both HepG2-NTCP cells and PHHs we found HBx to be the 268 first transcript detectable by full-length 5'RACE as early as 8h post-infection (Fig.1D). 269 The remaining transcripts are detected later during infection, in HepG2-NTCP cells 270 from 24 hours on and in PHHs after a longer delay (not earlier than 48h, see additional 271 experiment Suppl.Fig.2). The variance in the kinetics of viral RNAs observed for the 272 two experiments shown for PHHs (Fig.1D and Suppl.Fig.2) probably resulted from the 273 274 heterogeneity of cells retrieved from two different donors. However, these results are similar to the data published in Niu et al. where the authors followed viral RNAs in 275 PHHs during the course of infection by an RNA-NGS approach [22]. 276

277

Short versions of HBx transcripts are produced in HepG2-NTCP cells and PHHs 278 279 To confirm their identity, the obtained amplicons were fully characterized by subcloning and Sanger sequencing (Fig.1E and PRJEB36101). Sequencing of the 5'RACE 280 amplicons revealed an important diversity in transcript start sites (TSSs) for the HBx 281 transcripts expressed in HepG2-NTCP cells and PHHs (red bars in Fig.1E). In contrast, 282 all sequenced clones for the preS2 transcripts were identical and share the same 5' 283 end in PHHs and HepG2-NTCP cells (TSS nucleotide (nt) 3159 with respect to 284 reference sequence GenBank U95551.1, blue bars in Fig.1E). The same was true for 285 pgRNA (TSS nt1820) and preC (TSS nt1791). Surprisingly, we were not able to detect 286 spliced pgRNA variants, most likely because of their low proportional copy numbers 287 with respect to the other viral RNA species in HepG2-NTCP cells and PHHs. 288

In HepG2-NTCP cells, HBx transcripts can be subdivided into two categories: i. 289 290 "canonical" transcripts initiating closely upstream (TSSs nt1243 to nt1338) of the first ATG of HBx (light red) coding for the full-length X protein (154 amino acids) and ii. 291 transcripts starting after the first ATG of HBx (dark red) presumably coding for a short 292 version of X potentially using 2 ATGs further downstream as start codons 293 (Met79/Met103). In PHHs, we additionally sequenced a third category of longer HBx 294 transcripts (TSS nt1065 and nt1151, very light red Fig.1E) that we did not detect in 295 HepG2-NTCP cells. The longer transcripts include ATGs from the P protein open 296 reading frame and could potentially code for its RNAseH subdomain. The number of 297 298 sequenced clones positive for shorter and longer HBx transcripts was much smaller as compared to clones harboring canonical HBx (see Fig.1E), indicating that shorter and 299 longer HBx transcripts are most likely less abundant in cells. 300

301

302 Tenofovir treatment primarily increases the pgRNA transcript in PHHs and 303 HepG2-NTCP cells

Subsequently, to further validate our approach, we investigated the effect of treatment 304 interventions on viral transcripts in HBV infected HepG2-NTCP cells and PHHs using 305 the full-length 5'RACE assay. We first exposed the cells to a Myrcludex-like peptide 306 (hereafter Myrcludex) and Tenofovir, two functionally well-characterized drugs 307 interfering with the viral life cycle at different stages. Myrcludex is a viral entry inhibitor 308 peptide binding to the HBV receptor sodium tauro-cholate co-transporting polypeptide 309 (NTCP) and thus efficiently prevents viral infection [17,24]. Tenofovir, on the other 310 hand, is a nucleotide analog (NA) that interferes with DNA chain elongation during the 311 reverse transcription reaction and, accordingly, inhibits viral genome replication [25]. 312 For HepG2-NTCP experiments, cytotoxicity of drug regimens was excluded by visual 313

inspection. For experiments in PHHs, effect of drug regimens on cell viability was 314 measured by the CellTiter-Glo approach (Suppl.Fig.3). As shown in Fig2.A we 315 measured viral RNAs by 5'RACE on 4, 8 and 15 days post infection. Myrcludex 316 treatment was initiated 1 day before infection to saturate NTCP receptors, Tenofovir 317 treatment was started 3 days post infection (see timescale in Fig.2A). After 8 days of 318 infection, conventional gPCRs were performed to monitor their effect on 3kb RNAs (pg-319 and preC-RNA), total RNAs and total DNA (Fig.2B). In the Myrcludex condition, no viral 320 RNAs and DNAs were detectable by gPCRs. Tenofovir treatment, on the other hand, 321 led to a ~1.5 fold increase in 3kb RNAs and total RNAs and to a strong decrease in 322 viral DNAs. 323

Concordantly, full length 5'RACEs showed no specific signal when Myrcludex blocks 324 viral entry (Fig.2A upper gel). The bands visible in the Myrcludex lane 8 days post 325 326 infection are non-specific, as verified by cloning and sequencing (data not shown). However, Tenofovir treatment lead to an increase in 3kb 5'RACE signals indicating 327 more preC/pgRNA as compared to the non-treated control conditions (Fig.2A lower 328 gel). Tenofovir administration had no effect on the S and HBx transcripts, especially 329 from day 8 on. Since the experiment is exclusively controlled by using equal amounts 330 of input RNA for the different conditions, we normalized the quantity of the 3kb band 331 (preC/pgRNA) in each lane by its corresponding HBx band. This procedure produces 332 the 3kb ratio (intensity 3kb band/intensity HBx band) and shows that, for each time-333 point, the 3kb ratios are elevated, i.e. more preC/pgRNAs are found in Tenofovir 334 treated as compared to untreated cells (Fig.2C). Moreover, we observed an increase 335 of the 3kb ratio over time supporting the concept that viral full-length transcripts 336 accumulate with Tenofovir treatment duration in HepG2-NTCP cells. 337

Comparable to the experiments in HepG2-NTCP cells, no 5'RACE signals are detected 338 in PHHs treated with Myrcludex (Fig.3A/B). In PHHs too, Tenofovir treatment causes 339 an exclusive increase in the 3kb band as compared to the other 5'RACE products (i.e. 340 S transcripts and HBx transcripts) over the time of infection (Fig.3A/B/C). Next, in order 341 to elucidate whether the increase in 3kb transcripts reflects an augmentation of pgRNA, 342 preC-RNA or both, we designed primers that are specific for pg- or preC-RNA using 343 full-length 5'RACE cDNAs (for specificity and outline of strategy see Suppl.Fig.4). 344 pg/preC-RNA specific 5'RACE PCRs indicate that the effect of Tenofovir is much more 345 pronounced for pgRNA than for preC RNA on day 8 post infection, an observation that 346 347 is consistent with the mode of action of Tenofovir as a reverse transcription inhibitor (Fig.3A gels pg and preC). To support the results, we quantified HBeAg by ELISA as 348 another read out for preC using the supernatants of treated and untreated cells. 349 350 Consistently, by ELISA we did not detect any effect of Tenofovir on extracellular HBeAg (Fig.3D). Furthermore, we show by Western blotting that cellular S protein levels are 351 not affected by Tenofovir administration (Fig.3E). In summary, these results validated 352 the HBV full length 5'RACE technique and further confirmed that Tenofovir treatment 353 primarily increases pgRNA but has no effect on preC-RNA, S transcripts and HBx RNA. 354 355

Interferon β treatment reduces both pg- and preC-transcripts without affecting
 other viral transcripts in PHHs

Patients with chronic Hepatitis B are treated with Interferon alpha and nucleos(t)ides analogues leading to the suppression of viral replication [25]. In HepG2 or chicken hepatoma cell lines that express viral RNAs from transfected or integrated plasmids, Interferon alpha efficiently down-regulates viral RNA transcription by reducing active chromatin marks like H3K9ac and H3K27ac from HBV and DHBV chromatin [26,27].

We wanted to take advantage of the full-length 5'RACE and investigate how Interferon 363 β affects the different viral RNAs under infection conditions in PHHs. Interferon β is a 364 type I interferon which utilizes the same receptor as IFN alpha for which it has been 365 shown that it inhibits HBV genome replication in hepatocyte culture [28,29]. Interferon 366 β treatment was started on day 3 post infection, 5'RACEs (Fig.4A) and control gPCRs 367 (Fig.4B) were carried out on day 8 post infection. Surprisingly, we found that Interferon 368 β treatment predominantly reduces viral 3kb transcripts i.e. preC- and pgRNA without 369 significantly affecting the other viral transcripts. This prominent effect is observed by 370 full-length 5'RACE and preC/pg-RNA specific 5'RACE PCRs (Fig.4A). 371

To complement the 5'RACE analysis, we show by Western blotting that the S protein levels are only moderately affected in the Interferon condition (Fig.3E). In contrast, extracellular HBeAg is strongly reduced, as determined by ELISA (Fig.3D). We omitted measuring secreted HBsAgs in supernatants by ELISA since it had been shown that Interferons inhibit HBV virion secretion by inducing tetherin expression [30].

377

Viral particles produced in HepAD38 cells contain different HBx transcripts, <u>at</u> least one spliced pgRNA variant and pgRNA

Recently it was shown that viral particles produced in cell culture or circulating in the blood of chronically infected patients may harbor viral RNA species [22,31,32]. To gain more insight into the type of viral RNAs that may be contained in these particles, we performed 5'RACEs with material derived from supernatants of HepAD38 cells (See Fig.5A for purification steps). We focused our work on viral particles produced by the HepAD38 cell line since HepG2-NTCP cells and PHHs in infection assays (Fig.1-Fig.4) were infected with viral inocula generated by the same cell line.

Prior to full-length 5'RACE analysis, the pelleted material was digested with MNAse to 387 388 detect exclusively protected RNAs (see Suppl.Fig.5 for digestion efficacies). As shown in Fig.5B/C in the supernatant of HepAD38 cells, we found pgRNA, one pgRNA spliced 389 variant (known as splice variant 6 (SP6) [33], splice donor site nt2471/nt2472; splice 390 acceptor site nt488/nt499, Fig.5C) and HBx transcripts with different lengths (long, 391 canonical, and short) that are protected from MNAse digestion. For their identification, 392 we characterized all RNAs that were protected from MNAse degradation (Fig.5B) by 393 cloning and Sanger sequencing (Fig.5C and PRJEB36101). Neither S transcripts nor 394 preC-RNA were detected by sequencing. Furthermore, by pgRNA and preC RNA 395 396 specific 5'RACE analysis, we confirmed that protected RNAs did not include preC RNA (Fig.5B). Importantly, the 5'RACE products were specific to viral RNAs since there was 397 no signal in the negative control reactions without RT. 398

To confirm that the MNAse-protected RNAs are contained in viral particles, we performed lodixanol gradient sediment analysis. Overall, 12 fractions were collected from the top out of which fractions 2 to 11 were characterized in detail with respect to viral DNA (Fig.5D), S antigens (HBAgs, Fig.5E) and viral 5'RACE RNA content (Fig.5F). Viral DNA sedimented between fractions 4 and 8 with a sharp peak in fraction 7. All three HBsAgs co-sedimented between fractions 5 and 9 with the strongest intensities found in fractions 6 and 7.

By full-length 5'RACE we could detect the different HBx transcripts similar to the MNAse approach. The majority of HBx transcripts was detected in fractions 7 and 8 co-fractionating with viral DNA and HBAgs. Most probably because of RNA quantity issues, full-length 5'RACE neither detected pgRNA nor the pgRNA splice variant found by the MNAse approach. However, by performing pgRNA specific 5'RACE analysis

using the pgRNA specific primer, pgRNA was detected in gradient fractions 6 to 9 with
the highest abundance in fraction 7 similar to viral DNA.

Taken together, we found pgRNA, one pgRNA derived splice variant (SP6) and different HBx transcripts co-fractionating with HBAgs in gradient fractions where viral DNA is present (Fig.5C). HBx transcripts are of different lengths: long (TSSs nt1065 to nt1198, canonical (TSSs nt1243 to nt1338bp) and short (TSSs nt1418bp to nt1533).

417

418 HBx RNAs in viral particles are both capped and un-capped

Capped RNAs are protected against de-phosphorylation, the first step of the full-419 420 length-5'RACE protocol that is necessary to exclude degraded or un-capped RNAs from the full-length 5'RACE (Fig.1B). The interpretation of our data, i.e. that the 421 different RNAs detected by the 5'RACE in viral particles are indeed capped, relies on 422 423 the efficiency of this de-phosphorylation step. An inefficient de-phosphorylation step could leave un-capped RNAs phosphorylated and thus could produce false positive 424 425 signals during the full-length 5'RACE. However, un-capped/degraded viral RNAs that are generated by the RNAse H activity of the P protein during cDNA synthesis must 426 be present in viral particles, as discussed by Bai et al. [31]. 427

To clarify these issues, we carried out control 5'RACE experiments that specifically 428 detect un-capped RNAs using again MNAse digested material from HepAD38 cells 429 derived supernatants. The un-capped RNA specific 5'RACE protocol lacks the de-430 capping and de-phosphorylation steps from the standard full-length 5'RACE and thus 431 capped RNAs are not ligated to the anchor sequence and consequently are not 432 amplified by the final PCR reaction (Fig.6A). To control de-phosphorylation efficacy, 433 we included or not a de-phosphorylation step in the un-capped RNA specific 5'RACE 434 protocol (compare lane 4 with lane 5 in Fig.6B). Simultaneously, we performed the 435

436 standard full-length 5'RACE (capped RNA specific) on the same material (Fig.6B lane
437 3) and on cellular RNAs derived from PHHs (Fig.6B lane 1).

Collectively, the data in Fig.6B demonstrates the authenticity of the capped RNAs 438 found in viral particles since all uncapped RNAs contained in viral particles are 439 dephosphorylated during the capped RNA specific full-length 5'RACE approach 440 (compare lane 4 with lane 5 in Fig.6B). However, we also confirm that un-capped RNA 441 species up to 800bp exist in the MNAse digested material (Fig.6B lane 5). Sub-cloning 442 and sequencing identified the uncapped RNAs as long, canonical and short HBx 443 transcripts (data not shown). We detected neither pgRNA nor the pgRNA splice variant 444 445 as uncapped RNAs (Fig.6B lane 5).

446

Full length 5'RACE identifies 3 major categories of circulating RNA species in patients with chronic HBV infection

An accurate monitoring of intrahepatic cccDNA levels and activity during patient 449 management is limited by the need for invasive liver biopsy procedures. So far, no 450 single serum parameter has been shown to accurately reflect the transcriptional activity 451 of the cccDNA pool in the liver [34]. Moreover, it is still an open question, which 452 serological viral RNA species exist in the plasma/serum of patients. Indeed, pgRNA 453 has been detected in sera of patients, spliced pgRNA variants and HBx transcripts as 454 well, but there is not much information about whether and how these transcripts co-455 exist [22,32,35–38]. To gain deeper insight into these questions, we characterized the 456 major circulating viral RNA species found in three highly viremic chronically infected 457 patients (Fig.7A) by full-length 5'RACE (Fig.7B). All three patients were HBe-positive 458 and patient #2 had elevated alanine aminotransferase (ALT) levels. Figure 7B shows 459 the different 5'RACE profiles for the three patients and visual inspection revealed a 460

differential pattern between the patients. Importantly, for all patients 5'RACE signals
were specific to RNAs (Fig.7B/-RT).

To characterize the RNA species found in plasma, we sub-cloned and sequenced the 463 5'RACE products of patient 1 and 2 (Fig.7C and PRJEB36101). We did not detect any 464 S and preC transcripts in the plasma samples. For both patients 1 and 2, we found co-465 circulating pgRNA and pgRNA splice variants (known as SP1/2/3/5 [33], see Fig.7C 466 for splice donor/acceptor sites). We again identified an important heterogeneity for the 467 HBx transcripts including long (TSSs nt991-nt1197), canonical (TSSs nt1278-nt1350) 468 and short (TSSs nt1397-nt1558) HBx transcripts similar to the HBx transcripts 469 470 associated with in vitro produced viral particles. Interestingly, the pgRNA splice variants observed in patients #1 and #2 were different (see Fig.7C for alignments of 471 transcripts in individual patients). In summary, HBV RNAs found in plasma samples of 472 473 patients belong to one of the three categories: 1. pgRNA, 2. pgRNA splice variant, 3. HBx transcript (long, canonical and short HBx transcripts). Considering the sequencing 474 475 data together with the patient specific 5'RACE profiles, the results presented here open up the possibility that patients exhibit qualitative and/or quantitative differences in 476 circulating HBV RNAs. 477

478

479 **Conclusions**

We set up the HBV full-length 5'RACE approach to overcome the current limitations for the measurement and discrimination of the different HBV RNAs. Our results show that the HBV full-length 5'RACE detects all major viral RNAs including pgRNA splicing variants and most importantly, HBx. The full-length 5'RACE is a qualitative approach though sensitive enough to demonstrate changes during the course of infection (Fig.1)

and in experimental conditions where cultured hepatocytes were treated with Tenofovir
(Fig.2A/3A) or Interferon β (Fig.4A).

Contrary to preC/pg-RNA and the S transcripts, we found a variety of transcripts for 487 HBx that are characterized by heterogeneous TSSs (Fig.1D). Of special interest are 488 the long and short HBx transcripts, that were found in cells, viral particles produced by 489 cultured hepatocytes but also in plasmas of patients, indicating their biological 490 relevance. Some of the long and short HBx transcripts could potentially code for other 491 proteins than full-length canonical X. Shorter than canonical HBx transcripts and short 492 X proteins have been discussed in the literature [23,39,40]. Short X starting from Met 493 494 103 of the canonical X would lack the DDB1-binding domain of the full-length protein [41] and thus would have lost its co-regulatory activity of the Cul4A E3 ubiquitin ligase 495 complex [12,41,42]. In addition, the C-terminal domain of full-length X is required for 496 497 p53 interaction [13] and consequently, short X could be a protein that predominantly interacts with p53. 498

On the other hand, long HBx transcripts have not yet been described. We could detect 499 them in plasma, viral particles produced by the HepAD38 cell line and in cell extracts 500 from PHHs, but surprisingly not in HepG2-NTCP cells. Long HBx transcripts could code 501 for the RNAseH domain of the P protein since the transcripts include ATGs upstream 502 of HBx. Whether the long transcripts code for RNAseH and which function the RNAseH 503 domain separated from its RT domain has, needs further investigation. Interestingly, it 504 has been shown that the HBV RNAseH domain is a stable protein without the RT 505 domain [43–46], a characteristic that is not true for all the RNAseH domains of other 506 RTs [47,48]. 507

508 Besides coding for proteins, there is also the possibility that the short and long HBx 509 RNAs function as regulatory non-coding RNAs (ncRNAs). Many DNA and RNA viruses

express ncRNAs that target either host or viral factors [49]. Especially long non-coding
RNAs (IncRNAs) are an interesting class of ncRNAs that regulate host or viral gene
expression at the level of chromatin, transcription, post-transcription and translation.
Interestingly, for the Kaposi's sarcoma-associated herpesvirus (KSHV), Japanese
encephalitis virus (JEV), dengue virus, and West Nile Virus (WNV) it has been
shown that IncRNAs antagonize the antiviral Interferon response [50–55].

The capacity of the full-length 5'RACE to discriminate all the major viral transcripts 516 allowed us to show that viral RNAs can be differentially regulated. We demonstrated 517 that Interferon β reduced preC-RNA and pgRNA without affecting the other viral 518 519 transcripts (Fig.4A). In overexpression assays [26,27,56] and mouse model infection systems [57], this differential phenotype could not be differentiated from a general 520 down-regulation of viral transcripts. Moreover, in combination with the qPCR assays 521 522 (Fig.4B), the full length 5'RACE data also indicates that preC- and pgRNAs constitute the majority of viral transcripts in infected PHHs confirming CAGE-NGS results recently 523 524 published by Altinel et al. [23].

It will be important to elucidate how Interferon β induces the specific down-regulation 525 of preC/pg-RNAs. One possible scenario could be that Interferon β treatment induces 526 the specific degradation of the full-length viral transcripts in PHHs. Interestingly, 527 Interferon stimulated gene 20 (ISG20) degrades HBV viral RNAs by binding to the 528 epsilon stem-loop structure [58]. If the targeting/degradation activity of ISG20 in PHHs 529 would be specific for the epsilon structure at the 5' end of an RNA molecule, only full-530 length viral RNAs (preC/pg-RNAs) would be degraded. However, Liu et al. 531 demonstrated by over expression assays that ISG20 degrades viral RNAs irrespective 532 whether the epsilon structure is at the 5' or 3' end of the transcripts. The authors 533 additionally demonstrated that ISG20 does not act alone but requires co-factors for its 534

target specificity. Thus, either another ISG displaying different targeting characteristics is induced upon Interferon β treatment or, the specificity of ISG20 is modulated by different co-factors in PHHs.

Another possibility could be that Interferon ß specifically reduces the activity of the HBV 538 core promoter regulating preC/pg-RNAs expression. It has been shown that the core 539 HBV promoter is negatively regulated by the NF-κβ factor p65 [59,60], another rapidly 540 induced ISG, which could preferentially down-regulate the HBV core promoter once 541 activated by Interferon β . Alternatively, the ISG TRIM22 can suppress HBV core 542 promoter activity in HepG2 cells. TRIM22 is a member of the tripartite motif (TRIM) 543 544 superfamily whose members have been shown to be expressed in response to Interferons to restrict viral activity, especially with respect to retroviruses [61]. 545

We also characterized the full spectrum of viral RNAs associated with viral particles 546 547 and gained a detailed overview of their composition (Fig.5/6). Our results integrate earlier published results, but at the same time provide new insights into the diversity of 548 particle associated viral RNAs. For instance, in addition to pgRNA we also detect 549 spliced pgRNA variants in viral particles. Spliced pgRNA variants have been first 550 described in cell lines and infected livers [62-65] and later have been shown to be 551 incorporated into the nucleocapsids and then reverse transcribed into HBV DNA to 552 generate defective HBV particles [33,66–69]. Interestingly, it also has been shown that 553 pgRNA splice variants can code for additional viral proteins [70,71]. Furthermore, our 554 results substantiate the notion that viral particles contain HBx RNA that may have an 555 important role for the establishment of infection as proposed by Niu et al. [22]. In this 556 regard, we found that different HBx RNAs exist as capped and un-capped molecules 557 in viral particles, an observation that raises the question whether one is the product of 558 the other (Fig.6B). As depicted in Figure 1A, the six viral RNAs are transcribed from 559

four different promoters. After productive initiation of Polymerase II, nascent transcripts 560 561 are co-transcriptionally capped in the nucleus by different capping enzymes. Capping of transcripts promotes translation and protects against RNA degradation by cellular 562 exonucleases. The capped non-canonical HBx RNAs are puzzling with respect to their 563 5'ends since, to our knowledge, promoters that initiate transcription from these 564 positions in the HBV genome have not yet been molecularly characterized. In addition, 565 transcripts that lack the 5' epsilon stem-loop structure should be excluded from viral 566 capsids [72–75]. Therefore, the question arises whether the capped HBx transcripts in 567 viral particles could be produced rather post- than co-transcriptionally. The hypothetical 568 569 post-transcriptional generation of encapsidated HBx RNAs would require 2 major steps: i. an endolytic cut to generate the 5'end of the RNA molecule and ii. the addition 570 of the cap structure. A scenario would be that the RNAse H domain of the P protein 571 572 produces specific endolytic cuts in the pgRNA during reverse transcription in viral particles giving rise to the 5' ends of the different HBx RNAs. Interestingly, the RNAse 573 574 H domain of the avian myeloblastosis virus (AMV) reverse transcriptase e.g. initially cuts every 100-200 nucleotides during reverse transcription. Whereas for HIV-1 and 575 MoMLV, the cleavage frequency centers around 100-120 nucleotides [48,76]. 576 Consequently, it will be important to determine the cutting frequency of the HBV RNAse 577 H domain and whether it correlates with the molecular sizes of the different HBx 578 transcripts (400-800nts). Furthermore, the post-transcriptional generation of capped 579 non-canonical HBx RNAs would also require that the capping of un-capped 5' ends 580 takes place inside viral particles. Since HBV does not code for its own capping 581 enzymes, viral particles would have to contain host-capping enzymes that fulfill this 582 task. Notably, for RNA alphaviruses it has been shown that viral particles that contain 583 non-capped viral RNAs induce innate immune responses in host cells and therefore 584

are less infectious than viral particles carrying capped RNAs [77]. It is intriguing to 585 speculate that HBV might have found a way to cap the different RNAs arising during 586 the maturation of viral particles to hide them from the innate immune system of host 587 cells. Importantly, it is very likely that other pgRNA-derived RNA species are generated 588 during the reverse transcription process in addition to the different HBx RNAs 589 characterized in this study. However, the HBV full-length 5'RACE method is specific to 590 RNA molecules that are intact until nt1810 (Gsp1 annealing point) of the HBV genome 591 (reference sequence GenBank U95551.1). Our approach is blind to RNA species that 592 do not include this distal 3' sequence, i.e. to all RNAs that have been released from 593 594 the pgRNA by two cuts upstream of this reference point during reverse transcription. Whether these RNA species are also capped, similar to the HBx transcripts that were 595 identified in this study, remains to be investigated. 596

597 In recent years, many studies evaluated circulating pgRNA as a biomarker for disease development and treatment efficacy. A good correlation between serological and intra-598 599 hepatic pgRNA levels, as well as between circulating pgRNA and the ratio between intracellular pgRNA and cccDNA have been observed. Therefore, serological pgRNA 600 could represent a non-invasive read out for monitoring intra-hepatic cccDNA 601 transcriptional activity, even during NA treatment [37,78]. To get a deeper 602 understanding of the complexity of the circulating viral RNAs we characterized the 603 majority of serological viral RNAs in three patients using the HBV full-length 5'RACE 604 (Fig.7). Similar to the RNA species associated with viral particles, circulating RNAs 605 belong to one of the three categories: 1. pgRNA, 2. pgRNA splice variant, 3. HBx 606 transcripts (long, canonical and short). Likewise, we detected neither preC- nor S-607 transcripts in the plasmas of patients. Considering the possibility that the different HBx 608 RNAs are post-transcriptionally generated inside viral particles these results support 609

the concept that viral RNAs found in the blood circulation are all derived from packaged 610 611 pgRNAs and protected against degradation by nucleases in the blood of patients. Consequently, all viral RNAs that are not packaged into viral particles, i.e. preC- and 612 S-transcripts, are not detected by the full-length 5'RACE. At this point, it should be 613 emphasized that the capped RNA specific 5'RACE approach only detects RNAs that 614 are non-degraded. It excludes all the partially digested viral RNAs that might be present 615 in the blood of patients, especially of those with high ALT levels. However, with our 616 limited sub-cloning and sequencing approach, we cannot categorically exclude the 617 presence of S- or preC-RNAs in the plasmas of patients. Yet, they might represent 618 619 minor viral RNA species since we readily detected them by the same cloning and sequencing approach in infected cells. 620

At last, the 5'RACE profiles of the different patients exhibit differential patterns of PCR bands. The differential PCR patterns together with the identification of specific pgRNA splice variants in different patients demonstrate that the composition of the viral RNA species can vary among patients. Our observations open new avenues of research to determine the biological and clinical relevance of circulating viral RNAs as novel biomarkers for treatment efficacy and their potential to decrease the pool of intrahepatic cccDNA.

628

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- 860
- 861
- Fig.1. Set-up of the HBV full-length 5'RACE.
- (A) Illustration of the Hepatitis B viral genome with its four promoters (arrows) and viral
 transcripts. preCore RNA (preC), pregenomic RNA (pg), Large surface protein RNA
- (preS1), Middle surface protein RNA (preS1), Small surface protein RNA (S), X protein
- 866 RNA (HBx) and covalently closed circular DNA (cccDNA).
- (B) Overview of the capped RNA specific HBV full-length 5'RACE protocol. In a first 867 step, RNAs are dephosphorylated to assure that degraded and truncated RNAs are 868 excluded from the 5'RACE assay. 5' capped full-length RNAs are protected from the 869 dephosphorylation reaction. Subsequently, the 5' cap structure (^{m7}Gppp) is removed 870 from the full-length RNAs by a de-capping reaction resulting in a free phosphate group 871 (p) at their 5' ends to which an RNA anchor (green box) can be ligated in the following 872 step. Then, the viral RNAs are reverse transcribed using the gene specific primer Gsp1 873 874 (black arrow) integrating the anchor sequence into the cDNA. Finally, the different viral cDNAs are amplified by PCR using the second gene specific primer Gsp2 (black arrow) 875 and an anchor specific primer (green arrow) in the same tube. 876

(C) Transcript-specific 5'RACE amplicons using HepG2-NTCP cells 8 days post
infection (p.i.) as compared to non-infected (n.i.) cells. Arrow indicates HBx. Individual
amplicons are reduced in length by approximately 337 bp with respect to template viral
RNAs because of the usage of gene specific primers. All amplicons were sequenced
by sub-cloning and Sanger sequencing (Suppl. Table 1 and Fig.1E for overview).

(D) HBx is the first viral transcript detected after infection in HepG2-NTCP cells and
PHH primary human hepatocytes (PHHs).

(E) Alignment of sequenced amplicons with respect to HBV genome genotype D (reference sequence GenBank U95551.1). pgRNA and preC RNA in orange. preS1 in dark blue, preS2 in blue and S in light blue. Long HBx transcripts in very light red, canonical HBx transcripts in light red and short HBx transcripts in red. To the right, total number of sequenced clones per transcript category.

889

Fig.2. Tenofovir treatment increases full-length transcripts in HepG2-NTCP cells.

(A) Full-length 5'RACE amplicons using HepG2-NTCP cells after 4, 8 and 15 days of
infection. Myrcludex drug regime was initiated 1 day before infection. Tenofovir
treatment was started 3 days p.i.. No specific signals are detected by 5'RACE in the
Myrcludex condition as verified by sequencing (stars: non-specific signals). Tenofovir
leads to an increase in full-length transcripts as compared to non-treated cells.

(B) Quantitative PCRs measuring 3kb RNAs (preC and pgRNA), total RNAs and total
DNA in treated versus control cells.

(C) 3kb ratio (intensity 3kb band/intensity HBx band/lane) is increased and further
increases over time in the Tenofovir condition as compared to untreated cells. One
representative experiment is shown.

Experiments were performed in triplicates. Student's t-test p-values with respect to no
 treatment: ***<0.001, ****<0.0001

903

Fig.3. Tenofovir treatment primarily augments the pgRNA transcript without
 affecting the other viral transcripts in PHHs.

(A) Full-length 5'RACE and preC/pg RNA-specific 5'RACE amplicons using PHHs after
4 and 8 days of infection. Myrcludex drug regime was initiated 1 day before infection.
Tenofovir treatment was started 3 days p.i.. No specific signals are detected by both
the 5'RACEs in the Myrcludex condition. Tenofovir primarily increases the pgRNA
transcript (see preC/pg RNA-specific 5'RACEs) without affecting the other viral
transcripts (see full-length 5'RACEs).

912 (B) Quantitative PCRs measuring 3kb RNAs (preC and pgRNA), total RNAs and total913 DNA in treated versus control cells.

914 (C) 3kb ratio (intensity 3kb band/intensity HBx band/lane) is increased and further
 915 increases over time in the Tenofovir condition as compared to untreated cells. One
 916 representative experiment is shown.

917 (D) ELISAs against HBeAg with supernatants of PHHs treated with Tenofovir and 918 Interferon β as compared to n.i. and untreated PHHs. Tenofovir treatment does not 919 change HBeAg levels whereas Interferon β strongly reduces HBeAg.

(E) Western blot against surface proteins (HBsAgs) using antibody H166 (Abbott)
recognizing a conserved epitope in the antigenic loop after 8 days of Myrcludex,
Tenofovir or Interferon β treatment. Tenofovir treatment does not affect surface protein
levels. Interferon β treatment moderately affects surface protein levels.

924 Experiments were performed in triplicates. Student's t-test p-values with respect to no

925 treatment: ***<0.001, ****<0.0001

926

Fig.4. Interferon β treatment reduces both pg- and preC-transcripts without
affecting the other viral transcripts in PHHs.

- 929 (A) Full-length 5'RACE and preC/pg RNA-specific 5'RACE amplicons using PHHs after 930 8 days of infection. Interferon β treatment was initiated 3 days p.i.. Interferon β 931 treatment decreases both pg- and preC- transcripts (see preC/pg RNA-specific 932 5'RACEs) without affecting the other viral transcripts, like HBx (see full-length 933 5'RACE).
- (B) Quantitative PCRs measuring 3kb RNAs (preC and pgRNA), total RNAs and totalDNA in treated versus control cells.
- Experiments were performed in triplicates. Student's t-test p-values with respect to no
 treatment: **<0.01, ***<0.001, ****<0.0001
- 938

Fig.5. Viral particles produced in HepAD38 cells contain different HBx
transcripts, <u>at least one spliced pgRNA variant and pgRNA.</u>

941 (A) Schematic diagram of procedures used to obtain viral particles.

(B) Full-length 5'RACE and preC/pg RNA-specific 5'RACE amplicons using HepAD38
supernatant precipitated through sucrose cushion, with/without micrococcal nuclease
(MNAse) digestion, with/without reverse transcriptase (RT). All amplicons were
sequenced by sub-cloning and Sanger sequencing (Suppl. table 2). Different HBx
RNAs (long, normal and short), pgRNA and one pgRNA splice variant were identified.
Neither preC RNA nor S transcripts were detected.

948 (C) Alignment of sequenced amplicons with respect to HBV genome genotype D
949 (reference sequence GenBank U95551.1). To the right, total number of sequenced
950 clones per transcript category.

951 (D) Total viral DNA in gradient fractions of Iodixanol gradient. Viral DNA fractionated
952 between fractions 4 and 8 with a sharp peak in fraction 7.

953 (E) HBsAgs in lodixanol gradient. All three HBsAgs co-sedimented between fractions
954 5 and 9 with the strongest intensities found in fractions 6 and 7 as shown by Western955 blotting.

(F) Full-length 5'RACE detects the majority of long, normal and short HBx transcripts
in gradient fractions 7 and 8 co-fractionating with viral cDNA and S proteins. pgRNAspecific 5'RACE detects pgRNA in gradient fractions 6 to 9 with the highest abundance
in fraction 7 similar to cDNA.

960

961 Fig.6. HBx RNAs in viral particles are both capped and un-capped.

(A) Overview of the un-capped RNA specific HBV full-length 5'RACE protocol. The un-962 963 capped RNA specific 5'RACE protocol lacks the de-capping and de-phosphorylation steps from the standard HBV full-length 5'RACE. In a first step, isolated RNAs are 964 digested by DNAse to remove residual DNA molecules. In a next step, the RNA anchor 965 (green box) is ligated to the free phosphate group of un-capped RNAs. Then, the viral 966 RNAs are reverse transcribed using the gene specific primer Gsp1 (black arrow) 967 integrating the anchor sequence into the cDNA. Finally, the different viral cDNAs are 968 amplified by PCR using the second gene specific primer Gsp2 (black arrow) and an 969 anchor specific primer (green arrow) in the same tube. 970

(B) Capped RNA specific 5'RACE using total RNAs of PHHs (lane 1). Capped and uncapped RNA specific 5'RACEs using MNAse-digested supernatant of HepAD38 cells
(lane 3-5). Presence of different un-capped HBx RNA (amplicons sequenced by subcloning and Sanger sequencing, data not shown) in viral particles (lane 5). The dephosphorylation step of the 5'RACE protocol is very effective (compare lane 4 with

lane 5) demonstrating the authenticity of the capped RNA species identified by the
HBV full-length 5'RACE in viral particles and in cells (lanes 1 and 3). L (1kb ladder
(NEB)).

979

Fig.7. Full length 5'RACE identifies 4 major categories of circulating RNA
 species in plasma and sera of patients.

- 982 (A) Clinical characteristics associated with the blood samples of chronic Hepatitis B983 patients used in this study.
- (B) Full-length 5'RACE amplicons using patient plasmas (patient #1 and #2) and serum
 (patient #3) plus minus RT. All amplicons for patients 1 and 2 were sequenced by subcloning and Sanger sequencing (Suppl. Table 3A/B). Sequences of serological RNAs
 belong to one of the three categories: 1. pgRNA 2. pgRNA splice variant 3. HBx
 transcript (long, normal and short HBx transcripts).
- 989 (C) Alignment of sequenced amplicons in patient #1 and #2 with respect to HBV 990 genome genotype D. To the right, total number of sequenced clones per transcript 991 category.

Figure 1



Α.





В.













Figure 4









D.





Figure 7



Α.





В.













Figure 4









D.





Figure 7



Full length HBV 5'RACE

