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1 **A 14q Distal Chromoanagenesis elucidated by whole genome**
2 **sequencing.**

3

4 Flavie Ader ^{a*}, Solveig Heide ^a, Pauline Marzin^a, Alexandra Afenjar ^b, Flavie Diguët ^{c,e},
5 Sandra Chantot Bastaraud ^a, Pierre-Antoine Rollat-Farnier ^{c,d}, Damien Sanlaville ^{c,e},
6 Marie-France Portnoï ^a, Jean-Pierre Siffroi,^a Caroline Schluth-Bolard ^{c,e}

7 ^a Sorbonne Université, Physiopathologie des Maladies Génétiques d'Expression
8 Pédiatrique, F-75012 Paris, France.

9 ^b Unité de neuropédiatrie et pathologie du développement, GHU Paris Est - Hôpital
10 d'Enfants Armand-Trousseau

11 ^c Service de Génétique, Laboratoire de Cytogénétique Constitutionnelle, Hospices
12 Civils de Lyon, Bron, France

13 ^d Cellule bioinformatique de la plateforme NGS, Hospices Civils de Lyon, Bron,
14 France

15 ^e GENDEV Team, Neurosciences Research Center of Lyon, INSERM U1028; CNRS
16 UMR5292; UCBL1, 69677 BRON, France

17

18 * **Corresponding author:** flavie.ader@aphp.fr

19

20 **ABSTRACT**

21 Chromoanagenesis represents an extreme form of genomic rearrangements involving
22 multiple breaks occurring on a single or multiple chromosomes. It has been recently
23 described in both acquired and rare constitutional genetic disorders. Constitutional
24 chromoanagenesis events could lead to abnormal phenotypes including
25 developmental delay and congenital anomalies, and have also been implicated in
26 some specific syndromic disorders. We report on a girl presenting with growth
27 retardation, hypotonia, microcephaly, dysmorphic features, coloboma, and hypoplastic
28 corpus callosum. Karyotype showed a *de novo* structurally abnormal chromosome
29 14q31qter region. Molecular characterization using SNP-array revealed a complex
30 unbalanced rearrangement in 14q31.1-q32.2, on the paternal chromosome 14,
31 including thirteen interstitial deletions ranging from 33kb to 1.56Mb in size, with a total
32 of 4.1 Mb in size, thus suggesting that a single event like chromoanagenesis occurred.
33 To our knowledge, this is **one of** the first case of 14q distal deletion due to a germline
34 chromoanagenesis. Genome sequencing allowed the characterization of 50
35 breakpoints, leading to interruption of 10 genes including *YY1* which fit with the
36 patient's phenotype. This precise genotyping of breaking junction allowed better
37 definition of genotype-phenotype correlations.

38

39

40 **KEY WORDS**

41 chromoanagenesis; constitutionnal; intellectual disability; microcephaly; 14q deletion;
42 coloboma; hypoplastic corpus callosum; genome sequencing

43

44 Introduction

45 Constitutional complex chromosome rearrangements (CCRs) are rare structural
46 anomalies characterized by three or more breakpoints, involving at least **a**
47 chromosome ¹. They are usually identified by standard karyotyping and further
48 characterized by array-based analysis. Recently, highly complex changes termed
49 chromoanagenesis, arising from shattering mechanisms, were observed in cancer
50 genomes, and have also been described in rare patients with developmental disorders.
51 Genomic imbalances included deletions, duplications and /or triplications,
52 translocations and inversions, concentrated on a single or few chromosomes ^{2, 3, 4, 5}.
53 Depending of the mechanism of chromoanagenesis, several terms are used,
54 chromotripsy referred to a mitotic event with chromosome shattering and non-
55 homologous end joining repair; and chromoanasythesis referred to a local defective
56 DNA replication with microhomology-mediated template switching that produces local
57 rearrangements with altered gene copy numbers. The presence of chromoanagenesis
58 in healthy individuals affecting reproduction has also recently been reported ^{6, 7}.

59 Here, we describe a complex 14q distal deletion consistent with a constitutional
60 chromoanagenesis process, in a girl with developmental delay and congenital
61 anomalies. Our patient shows clinical findings described in patients with 14q terminal
62 deletion syndrome (developmental delay, hypotonia, growth retardation, microcephaly,
63 a characteristic facial appearance and a variety of ocular anomalies, including retinal
64 pigmentary changes, microphthalmia and coloboma) ⁸, especially ocular and brain
65 malformations. The complexity of this case of chromoanagenesis, as well as the
66 possibility to identify new genotype-phenotype relationships, has been elucidated by
67 whole genome sequencing.

68

69 Clinical Report

70 The proband, an 8 month-old-girl, is the third child of healthy unrelated 42 years
71 old mother and 38 years old father. The family history was negative for birth defects or
72 developmental delay. The pregnancy had been complicated by maternal hypertension
73 and intrauterine growth retardation (IUGR). Third trimester ultrasound examination
74 revealed hypoplasia of the corpus callosum and reduction of the biparietal diameter.
75 Cytogenetic analysis from amniotic cells performed in another institution showed an
76 apparent normal 46,XX karyotype. She was born at 33+2 weeks of gestation after a
77 caesarian section. Birth weight was 2050 g (< 3rd centile), birth length 43 cm (< 3rd
78 centile) and head circumference 32 cm (3rd centile). After birth, she was hospitalized
79 for one month because of hypotonia, feeding difficulties and growth retardation.
80 Subsequently, hypertonic movements of the limbs were noted. At the age of 8 months,
81 the neurological examination revealed axial hypotonia and peripheral hypertonia. She
82 was unable to hold her head and to sit without support. She was not able to vocalize.
83 She had a height of 60 cm (-3SD), a weight of 5100 g (-3SD), a microcephaly with a
84 head circumference of 39 cm (-3SD) and a large anterior fontanel. Facial dysmorphic
85 features included a broad and flat nasal bridge, epicanthus, a short nose, a broad
86 philtrum, a small mouth with thin upper lip, and small and low-set ears. An
87 electroencephalogram (EEG) gave normal results. Brain Magnetic resonance imaging
88 (MRI) confirmed the corpus callosum hypoplasia. Ophthalmologic examination
89 revealed bilateral chorioretinal coloboma. Echocardiography and renal ultrasound
90 examination gave normal results. The patient died after a severe rhabdomyolysis event
91 associated with multiorgan failure at the age of 2 years.

92 **Investigations and Results**

93 Cytogenetic analysis was performed again in our laboratory on GTG an RHG-
94 banded metaphases from lymphocytes at a resolution of approximately 500 bands

95 according to the standard cytogenetic protocol (Fig. 1.A). FISH analyses using WCP
96 14 Probe (Metasystem, Altlussheim, Germany), tel 14q probe (Vysis, Illinois, United
97 States) and bacterial artificial chromosome (BAC) probes targeting the rearranged 14q
98 region, were realized according to standard procedures (Fig.1.B,C,D). Single
99 nucleotide polymorphism (SNP) microarray analysis was performed using a Human
100 OmniExpress24, which contains 713,599 markers including 395,094 SNPs (Illumina,
101 San Diego, United States), according to the manufacturer's protocol. Results were
102 analyzed with Illumina GenomeStudio software. SNP profiles were analyzed by
103 comparing the Log R ratio, i.e., $\ln(\text{sample copy number} / \text{reference copy number})$, and
104 the B allele frequency (BAF) (Fig 1.E,F). Data analysis was based on information from
105 the UCSC Genome Browser (NCBI37 hg19).

106 G-banded chromosomes analysis revealed an abnormal female karyotype with
107 structural changes spanning the terminal region of the long arm of one chromosome
108 14, in all the cells examined (Fig.1). Parental karyotypes were normal. Methylation
109 specific PCR of the differentially methylated region at 14q32 showed normal biparental
110 inheritance and no abnormality of methylation at the *GTL2/DKL1* region, confirming
111 that the patient does not have chromosome 14 uniparental disomy (UPD). SNP array
112 analysis revealed thirteen interstitial deletions of chromosome 14, between bands
113 14q31.1 and 14q32.2 (chr14: 83088298_100452660), ranged from 33 kb to 1.56 Mb
114 in size, with a total of 4.1 Mb in size (Fig.1, supplemental data 1). These deletions were
115 separated by normal copy number segments. The distal breakpoint was located at
116 740kb from the imprinted gene cluster. FISH analysis using BACs RP11-300J18
117 (14q31.3: 88484949_88502663) (Fig.1), RP11-543C4 (14q32.2:
118 100070893_100092077) confirmed the deletions. The BAC RP11-433J8 (14q32.2:
119 97059105_97061097) probe was not deleted. SNP-array analysis of deletions intervals

120 in the parents and patient revealed that the rearrangement derived from the paternal
121 chromosome 14 (supplemental data 2).

122 For a better understanding of the mechanism of this event, whole genome sequencing
123 (WGS) with break point analysis has been performed. A 350-bp fragments library was
124 prepared following the Illumina TruSeq DNA PCR-free protocol (Illumina, San Diego,
125 California, USA) with 3µg genomic DNA, according to manufacturer instructions. DNA
126 library was sequenced on an Illumina NextSeq 500 as paired-end 101 bp reads using
127 the High Output (300 cycles) NextSeq500 kit, yielding a mean sequencing depth of
128 14.32X. Image analysis and base calling were performed using Illumina Real Time
129 Analysis Pipeline 2 with default parameters. Alignment of the reads against the hg19
130 version of the human genome was done using BWA-MEM v 0.7.10⁹. The reads were
131 sorted using Samtools v 1.3.1¹⁰, and the duplicates removed by PicardTools v 1.138
132 (picard.sourceforge.net). Structural variants (SV) were detected using BreakDancer v
133 1.4.5¹¹ and annotated using an in-house program in order to filter out recurrent
134 variants. Integrative Genomics Viewer v 2.3¹² was used for the SV visualization and
135 validation. Junction sequences were determined using split-read sequences when at
136 least 2 split-reads were available. If not possible, junction fragments were amplified by
137 PCR (junctions n°2, 6, 16, 20, 27, 28, 30, 41 and 46), in the patient and a control with
138 no chromosomal rearrangement, using Taq DNA Core kit 10 (MP Biomedicals, Solon,
139 Ohio), according manufacturer instructions followed by Sanger sequencing. Junctions
140 sequences were then aligned using BLAT tool (UCSC) against hg19 reference in order
141 to obtain the breakpoint at the base-pair level. WGS identified a highly complex
142 rearrangement including 50 breakpoints on chromosome 14, clustered in the
143 14q31.1q32.33 region. In 8 breakpoints (n°2, 6, 16, 20, 27, 28, 41 and 46), we
144 observed insertions of 3 to 9 small fragments (19-177 bp) derived from various

145 chromosomes (1,2,3,4,5,6,7,8,11,12,14,15,16,17 and 22) (Fig.2 and 3, supplemental
146 data 1 and 3), resulting in 35 small inserted fragments. Taken these small insertions
147 into account, this rearrangement resulted in 85 junctions. Twenty-three fragments of
148 chromosome 14 were in an inverted orientation, as well as 18/35 small inserted
149 fragments. We observed 2 to 7 bp microhomology (≥ 2 bp) in 25/85 junctions. There
150 were twenty-one deletions on chromosome 14 (from 723 bp to 1565077 bp)
151 concordant with microarray analysis results (Fig.2). Besides these deletions, the
152 rearrangement disrupted 10 OMIM genes (*FLRT2*, *EML5*, *FOXN3*, *RPS6KA5*,
153 *CCDC88C*, *UNC79*, *SERPINA3*, *CLMN*, *GSKIP*, *YY1*).

154

155 Discussion

156 This case highlights the increasing complexity in genetic analysis and the fact that the
157 different steps complement each other. Although karyotype is not considered any more
158 as the first line laboratory examination in children presenting with developmental
159 defects and/or intellectual disability, many laboratories continue to perform standard
160 chromosome analysis, in parallel with molecular techniques like SNP-Array or next-
161 generation sequencing, since it allows the rapid diagnosis of most balanced
162 chromosomal rearrangements. When associated with an abnormal phenotype, these
163 latter are of importance in the identification of new genes responsible for these
164 pathologies. This strategy, from karyotype to NGS, is still justified since whole genome
165 sequencing is not yet widely available in current diagnosis.

166 In our patient, karyotyping revealed that one chromosome 14 showed an unusual
167 banding pattern on the long arm, thus leading to further investigations by SNP array. It
168 allowed to detect 13 deletions and to confirm the *de novo* occurrence of the event on
169 the paternal chromosome. However, deleted and interrupted genes, as identified by

170 this technique, didn't explain fully the phenotype of the patient suggesting that the
171 situation was more complex than expected and needing more sensitive methods like
172 Next Generation Sequencing (NGS).

173 Therefore, whole genome sequencing (WGS) was conducted and breakpoint cloning
174 identified 50 breakpoints and insertions of fragments involving 15 different
175 chromosomes, thus allowing a better characterization of this rearrangement and new
176 relationships between genes and the patient's phenotype.

177 Usually, chromoanagenesis in the context of congenital disorders involves one
178 or few chromosomes⁵. In our case, NGS revealed dramatically complex chromosomal
179 events since it involved 15 different chromosomes. Regarding to the chromotripsis
180 mechanism, firstly by analyzing ten constitutional CCRs, Kloosterman et al. (2012)
181 concluded that chromosome shattering by multiple DSBs and non-homologous repair
182 may be a common mechanism underlying chromotripsis rearrangements¹³. More
183 recently, Zhang et al.¹⁴ demonstrated that the mechanism for chromotripsis can
184 involve the fragmentation and subsequent reassembly of a single chromatid from a
185 micronucleus, providing an explanation for the restriction of chromothriptic
186 rearrangements to a single chromosome.

349 We showed that the rearrangement had occurred *de novo* on the paternal
350 chromosome 14. This is in agreement with previous reports that demonstrated the
351 occurrence of this kind of events in the germline, as well as a paternal bias for CNV or
352 CCR formation^{17,18}. The deletions, insertions and inversion pattern identified in this
353 case approached the pattern reported by Nazaryan-Petersen et al, and reinforced the
354 probability of a chromotripsis mechanism.¹⁹

355 Owing to data obtained from both SNP-array and WGS in our patient, we were able to
356 establish valuable genotype-phenotype correlations. In the literature, few patients with

357 pure terminal deletions of 14q, with or without ring chromosome formation, and
358 characterized by molecular cytogenetic methods have been reported^{20,21,8}. A recurrent
359 1.11 Mb microdeletion of 14q32.2 including the *DLK1/GTL2* imprinted gene cluster,
360 mediated by expanded TGG repeats, has also been described in two unrelated
361 patients presenting with clinical features compatible with UPD (14)mat²². In our report,
362 the imprinted region on 14q was not involved, as determined by SNP-array and this
363 finding was confirmed by direct analysis of the methylation profile of the *DLK1/GTL2*
364 region (data not shown). Typical clinical features of the 14q terminal deletion syndrome
365 include developmental delay, hypotonia, growth retardation, microcephaly, a
366 characteristic facial appearance and a variety of ocular anomalies, including retinal
367 pigmentary changes, microphthalmia and coloboma⁸. Similar phenotypic features
368 were present in our patient, including chorioretinal coloboma. The structural eye
369 defects, microphthalmia, anophthalmia and coloboma (MAC) have a large genetic
370 component with several loci of genes located in the proximal and central parts of the
371 14q arm⁸. Review of the literature suggests that an additional locus for coloboma may
372 map to the terminal region of 14q32.31^{8,21}. In our case, the deleted regions, although
373 in the vicinity of the MAC spectrum locus, do not overlap with it but could have position
374 effects altering the expression of intact genes near the breakpoints. Alternatively, as
375 suggested by Salter et al. (2016), the possibility of an underlying multigenic mechanism
376 could to be considered. Indeed, our patient was also presenting with a hypoplastic
377 corpus callosum. Agenesis or dysgenesis of the corpus callosum is a heterogeneous
378 condition, for which several different genetic causes are known. Interestingly, in the
379 literature, an abnormal corpus callosum had previously been reported in four patients
380 with a 14q32 deletion, suggesting that a gene involved in corpus callosum
381 development may map to this region²³. **A chromoanagenesis on chr 14 has been**

382 reported in a patient with developmental delay, characterized by the deletion of 2.7 Mb
383 in 14q32.33 and the insertion of 4q32.3 into the long arm of chromosome 14.²⁴
384 Developmental delay fit with our case, but no other phenotypical features were fitting
385 between the two cases.

386 In our patient, the deleted regions contain genes like *EML1*, encoding for Echinoderm
387 microtubule-associated protein-like 1 which is expressed in eye and associated to the
388 photoreceptor²⁵ or *CYP46A1*, encoding for cytochrom oxydase 46A1 which is involved
389 in brain cholesterol metabolism²⁶. Loss of *CYP46A1*, which may play an important role
390 in brain tissue, may be responsible for the neurological disorders observed in patients
391 with 14q deletion²⁷. In the inserted fragments, no argument (content, localization or
392 orientation) was found to explain the patient's phenotype. In addition, breakpoint
393 analysis by genome sequencing revealed the interruption of 10 genes: *EML5*, *FOXN3*,
394 *RPS6KA5*, *c14orf159*, *CCDC88C*, *UNC79*, *SERPINA3*, *CLMN*, *GSKIP*, *YY1*. This
395 latter encodes for a zinc-finger transcription factor involved normal development and
396 malignancy. Deletions of *YY1* have been associated with cognitive impairments,
397 behavioral alterations, intrauterine growth restrictions, feeding problems, and various
398 congenital malformations²⁸. These features could correspond to the phenotype in our
399 patient but with less severe issues. However, although eyes abnormalities (strabism
400 and hypermetropia) have been reported in 3 patients in the cohort of Gabriele-de
401 Vriess syndrome, coloboma has not been associated with this syndrome.

402

403 In conclusion, this report highlights the complementarity of different genomic
404 investigation techniques leading to a better comprehension of the mechanism in CCR
405 events, therefore leading to a better genetic counselling to the families.

406

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408 We thank the technical team of the cytogenetic laboratory for their excellent assistance.

409

410 **Legend**411 **FIGURE 1**412 **G-Banding, FISH and SNP array analyses.**

413 **A:** Representative pair of G-banded chromosome 14. The distal region of the q arm
414 showed an abnormal banding pattern on one chromosome (arrow). **B:** FISH using the
415 Whole Chromosome Painting Probe 14 (wcp14 spectrum green, Metasystem). **C:** FISH
416 using subtelomere 14q probe (spectrum orange, hg19, chr14:107,159,193-
417 107,268,415) and LSI TCR 14q11.2 probe (spectrum aqua) (Vysis), showing signals
418 on both 14 chromosomes. **D:** FISH using BAC RP11-300J18 (14q31.3) (spectrum red,
419 hg19, chromosome14:88,484,949-88,502,663) spanning one deleted region (308 K)
420 and 14qtel probe (spectrum green, hg19, chromosome 14:107,159,193-107,268,415,
421 Vysis) do not show red signal on the der(14q) chromosome (arrow). **E:** SNP-array
422 profile of the terminal region of the long arm of chromosome 14 showing 13 deletions
423 clustered at 14q31.1-q32.2. B allele frequency and logR ratio are shown in the upper
424 panel. Orange bands indicate deleted regions. **F:** Genome studio representation of the
425 rearranged region between 14q31.1 and 14q32.2 bands (chr14: 83,088,298-
426 100,452,660), and the OMIM deleted genes.

427

428 **FIGURE 2**

429 Circos plot issue from the WGS data, showing clustering of the breakpoints on
430 chromosome 14 and the involvement of 15 other chromosomes.

431

432 **FIGURE 3:** Example of **breakpoint** junction number 41 structure. On the left, **schematic**
433 representation of the **recombined** region, with the different chromosomes' regions
434 represented by different colors. **On the right, the details of the junction, the underlined**
435 **nucleotides correspond to homology region.**

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525

Figure 1

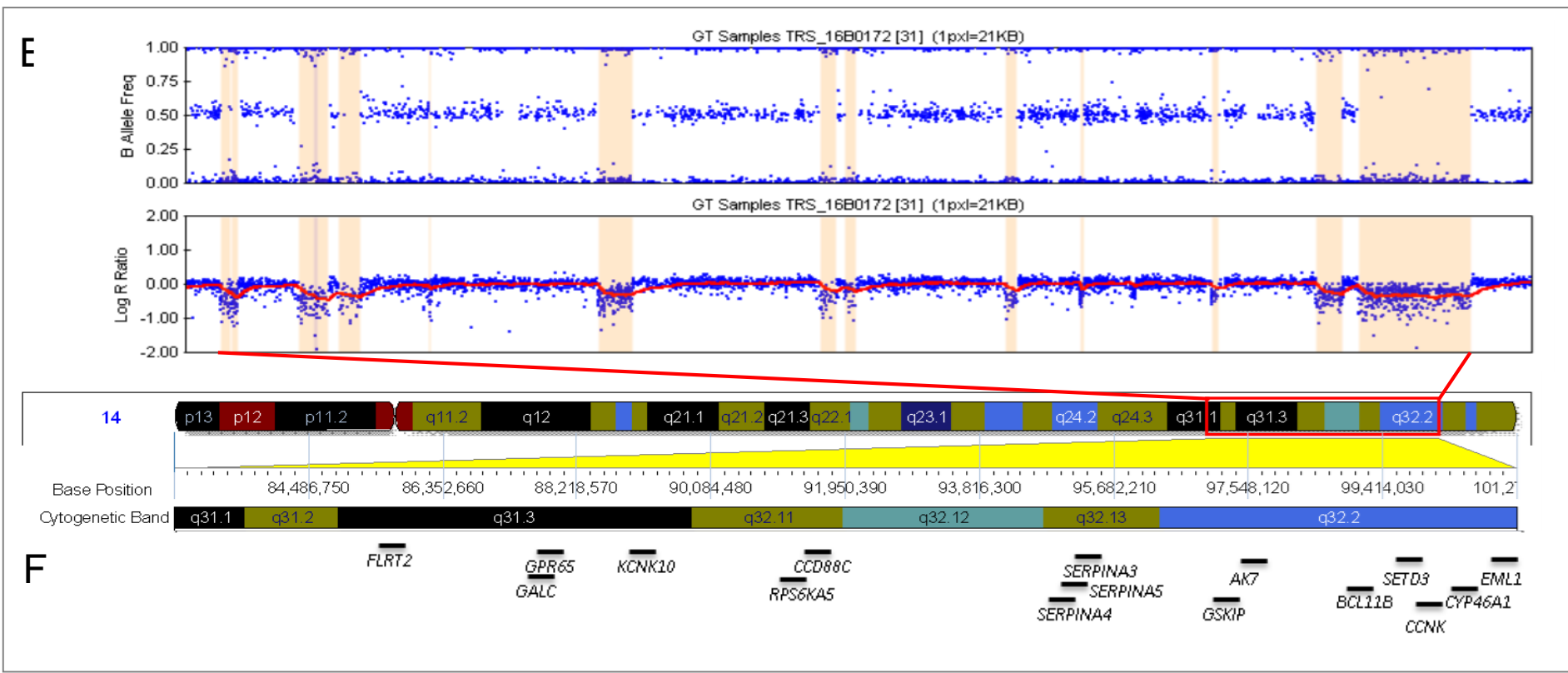
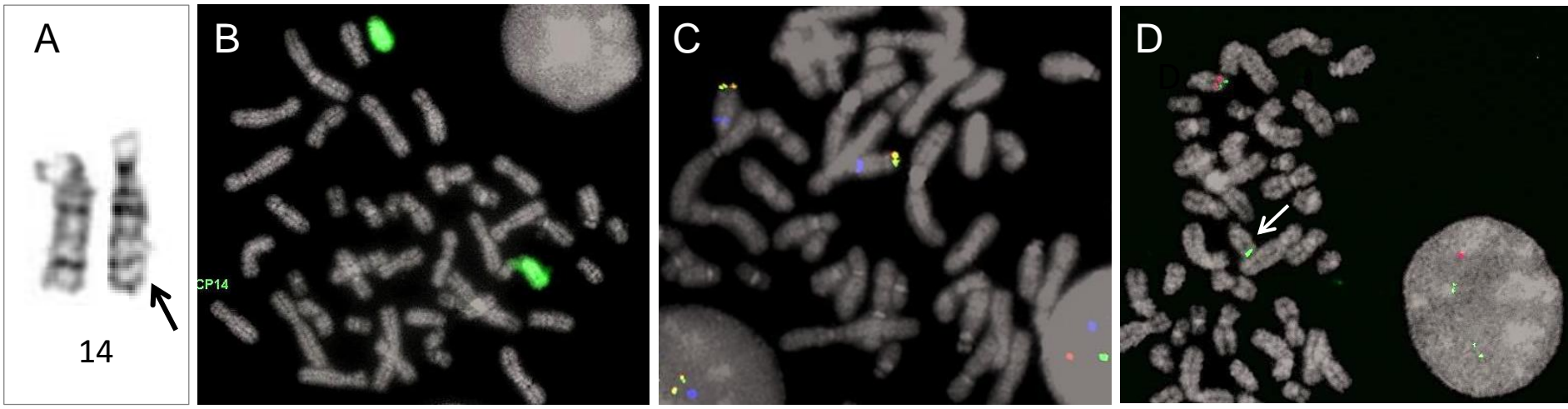


Figure 2

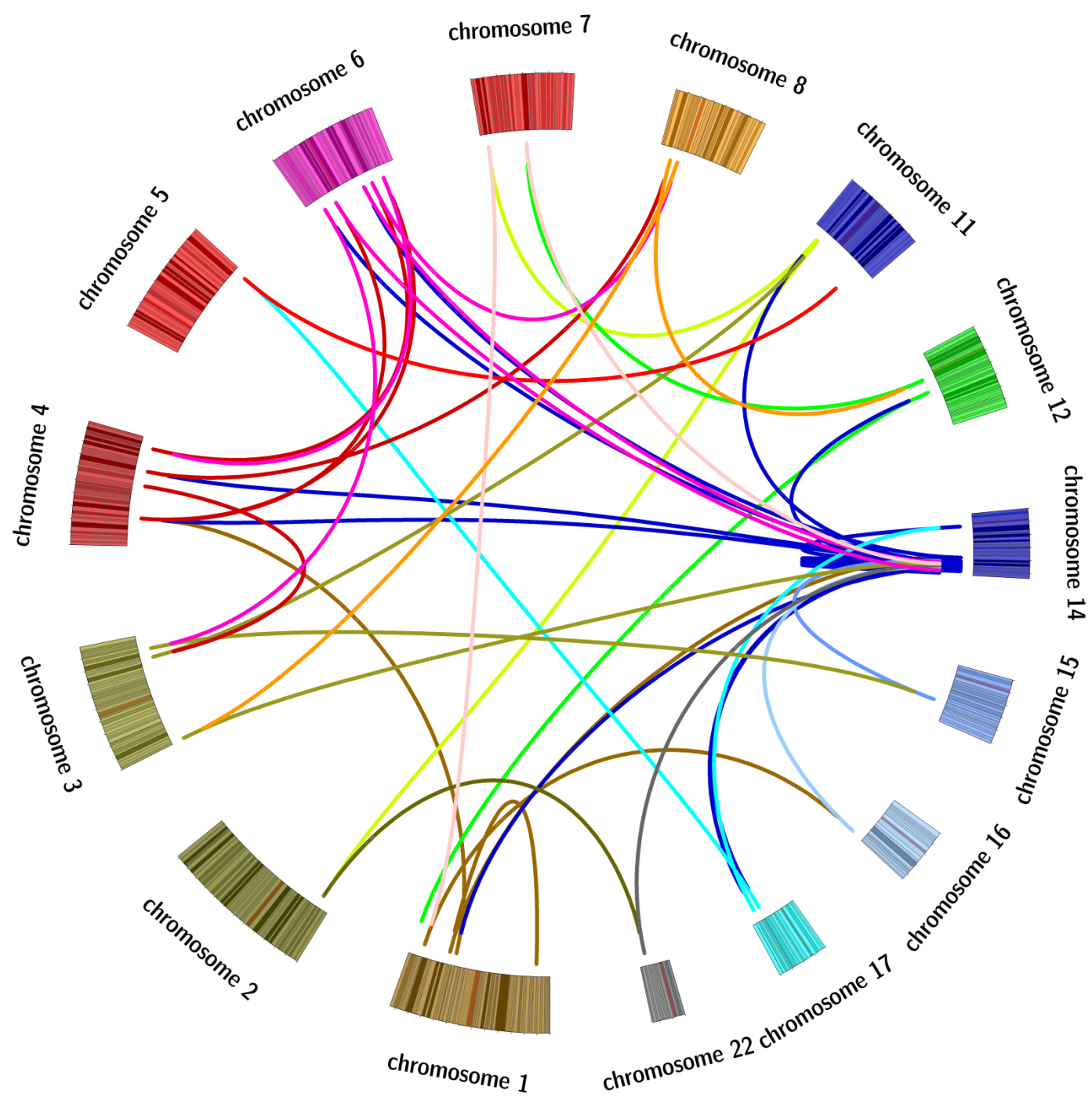


Figure 3

