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1 **Slow dynamics process observed in civil engineering structures to detect**
2 **structural heterogeneities**

3

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15

16 **Abstract**

17

18 Under strong seismic excitation, the resonance frequencies of civil engineering structures
19 rapidly decrease, followed by slow recovery back to their initial values if there is no damage.
20 In this study, we show that as for laboratory trials with rock samples, the properties of the
21 slow recovery characterize the level of heterogeneities, and in this case, the damage rate.
22 First, we validate this concept with laboratory tests applied to continuous beam-like structures
23 in damaged and undamaged states. One recent model is used to fit the observed recoveries,
24 and we show that its parameters (i.e., frequency variation, recovery slope, characteristic
25 times) change with the health of the equivalent structure. In a second step, this concept is
26 applied to two civil engineering structures that experience earthquakes: the first (Factor
27 Building, USA) without observed damage; and the second (Geophysics Institute building,
28 Ecuador) that experienced a fore/ main/ after-shock sequence with apparent damage that was
29 characterised by a permanent drop in resonance frequency. The efficiency of the proposed
30 model is confirmed for monitoring and for the fit of the frequency recovery. We conclude that
31 the recovery process is a clear proxy of the structural state, and that this could be helpful for
32 seismic monitoring of structural health during earthquake sequences.

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35 **Keywords:** slow dynamics, recovery, structural health monitoring, earthquakes.

36

37 **1. Introduction**

38

39 Elastic waves cause local and reversible disturbances of the medium through which they
40 propagate. This propagation and the time dynamics depend on the elastic properties of the
41 medium. For low-amplitude waves in a homogeneous medium, the behaviour of the material
42 during wave propagation is linear and depends on neither the wave intensity nor the wave
43 shape. On the other hand, non-linear behaviour is classically observed for elastic waves in
44 more complex materials (e.g., granular, heterogeneous), such as rock samples, where the
45 material properties depend on the wave amplitudes. Two types of non-linearity have been
46 described in the literature. ‘Classical’ non-linearity is generally explained by the consideration
47 of the higher order terms in Hooke’s law. However, this theory does not explain some of the
48 observed non-linear phenomena, which are generally known as ‘non-classical’ non-linearities.
49 In this case, observations refer to both memory effects and hysteresis effects demonstrated by
50 experiments on rock samples (Guyet et al., 1995; Johnson et al., 1996; Guyet and Johnson,
51 1999). These characterise the dependency of the material response with respect to the stress to
52 which it is subjected, and to its loading history.

53 After strong dynamic stress, the elastic properties of systems initially deteriorate
54 rapidly, which is followed by a period of slow recovery back to the initial values. Johnson and
55 Sutin (2005) use the terms ‘anomalous non-linear fast dynamics’ (ANFD) and ‘slow
56 dynamics’ for these two phases, respectively. There have been many slow dynamics
57 observations on samples: (1) at the laboratory scale (TenCate et al., 2000; Johnson and Sutin,
58 2005), with the testing of different materials, such as an acoustic probe wave device; (2) at the
59 scale of the Earth crust when subjected to earthquakes (Peng and Ben-Zion, 2006; Brenguier
60 et al., 2008; Wu et al., 2009), by measurements of the regional variations of the wave velocity
61 in the crust next to the faults; and (3) on civil engineering structures (Kohler et al., 2005;
62 Clinton et al., 2006; Guéguen et al., 2016; Astorga et al., 2018), by tracking the resonance
63 frequency of buildings during earthquake sequences. In the acoustic and ultrasonic domains
64 (kHz to MHz), slow dynamics in concrete have been investigated in several studies (e.g.,
65 Larose et al., 2013; Shokouhi et al., 2017; Scalerandi et al., 2018). All of these studies reflect
66 the multi-scale invariance of this phenomenon. Both TenCate et al. (2000) and Johnson and
67 Sutin (2005) reported on the non-linear behaviour due to the micro-structure of the materials.
68 They represented this micro-structure as an assembly of grains bound together by contacts
69 and joints. When the material is conditioned by dynamic stress, these contacts and joints can
70 be broken by frictional sliding (i.e., during ANFD), and then they gradually form again at the
71 end of the loading (i.e., during the slow dynamics). These two behavioural phases can be
72 observed and analysed to provide information on the extent of material heterogeneities, and
73 notably the number and size of any cracks present, which is essential information for
74 (although not exclusive to) the definition of the state of health of the material.

75 In civil engineering, the structure behaviour is characterised by the dynamic response,
76 defined at first order by its modal frequency and damping. During earthquakes, deformation
77 can be significant and can temporarily modify the structural dynamic response (Clinton et al.,
78 2006; Guéguen et al., 2016; Astorga et al., 2018, Zhang et al., 2018). The non-linear response
79 of a structure under dynamic stress is reflected in a rapid variation of its elastic properties,
80 which can be characterized by the variation of its resonance frequencies; this can result in the
81 dynamic opening of cracks in the material. If no damage is observed, these variations are
82 temporary. After a sudden disturbance of its modal parameters, the elastic properties of a
83 structure characterized by its modal frequencies slowly recover over time, which includes the
84 closure of opened cracks. The two phases identified by Johnson and Sutin (2005) are thus
85 observed (i.e., ANFD, slow dynamics), as in the laboratory experiments, and they can provide
86 information on the type of heterogeneities present in a structure, and therefore on its structural

87 health during earthquakes sequence.

88 The innovative purpose of this study is to examine the ANFD and slow dynamics
89 behaviours of civil engineering type structures at the laboratory scale, and of actual structures
90 under earthquake loading. For the first time, a detailed analysis of the fast and slow dynamics
91 for different state conditions is done, in relation with the structural health. After describing
92 slow dynamics theory in section 2, an initial analysis is carried out in the laboratory on a
93 continuous beam. This is first undamaged and then damaged following dynamic stress similar
94 to that caused by an earthquake. This beam is associated with a structure that shows
95 characteristics and behaviour equivalent to those of a tall civil engineering structure. The
96 same approaches are then applied to two civil engineering structures with permanent
97 instrumentation that suffered major earthquakes: the Factor Building of the University of
98 California–Los Angeles (FB-UCLA; USA) and the Chino Hills earthquake of 29 July, 2008;
99 and the Institute of Geophysics building of the National Polytechnic University in Quito (IG-
100 EPN; Ecuador) and the Pedernales earthquake on 16 April, 2016. In both cases, the ANFD
101 and slow dynamics are analysed and their sensitivity to damage are examined.

102

103 2. Theory of resonance frequency recovery

104

105 We consider a material characterised by an initial Young's modulus E_0 . It is subject to
106 dynamic conditioning that ends at time t_0 , which we choose as the origin time $t_0=0$. Recovery
107 of the elastic modulus $E(t>t_0)$ after the loading is a function of time t starting after the end of
108 the stress period. The evolution of the modulus over time during the slow dynamics phase is
109 given by:

110

$$111 E(t) = E_0 + \delta E(t) \quad (1).$$

112

113 The part of the elastic modulus not yet recovered, $\delta E(t)$, is proportional to the number
114 of contacts that are still broken at time t . The characteristic time τ of the contact formation
115 follows a kinetic law, the Arrhenius equation, which reflects the creation process of barriers
116 of potential energy U at the origin of the grain contacts (Bocquet et al., 1998; Snieder et al.,
117 2016; Shokouhi et al., 2017); i.e.:

118

$$119 \tau(U) = \tau_0 e^{U/k_B T} \quad (2).$$

120

121 The speed of recovery r of the energy barriers is given by:

122

$$123 r(U) = \omega_0 e^{-U/k_B T} \quad (3),$$

124

125 where τ_0 is a characteristic time that depends on the material and the type of grains, ω_0 is the
126 corresponding pulsation, k_B is the Boltzmann constant, and T is the temperature. If the initial
127 density of the grain surface without contact is $\rho_0(U)$, immediately after the material
128 conditioning the density at time t can be expressed in the form of an exponential decrease
129 (Tencate et al., 2000); i.e.:

130

$$131 \rho_t(U) = \rho_0(U) e^{-r(U)t} \quad (4).$$

132

133 The quantity $\delta E(t)$ of Equation (1), which is proportional to the number of contacts
134 that remain broken, is then equal to:

135

136
$$\delta E(t) = -A \int_{U_1}^{U_2} \rho_t(U) dU \quad (5),$$

137

138 where A is a scale constant and U_1 and U_2 are the lower and upper limits of the potential
 139 energy distribution of the barriers in the material. The difference in the elastic modulus at two
 140 times t_1 and t_2 can therefore be written as:

141

142
$$E(t_2) - E(t_1) = A \int_{U_1}^{U_2} \rho_0(U) (e^{-r(U)t_1} - e^{-r(U)t_2}) dU \quad (6).$$

143

144 As long as the contactless grain surface distribution $\rho_0(U)$ evolves slowly and the
 145 times t_1 and t_2 are smaller than the characteristic time τ (Eq. (2)), the difference in elastic
 146 modulus between t_1 and t_2 can be approximated by (Tencate et al., 2000):

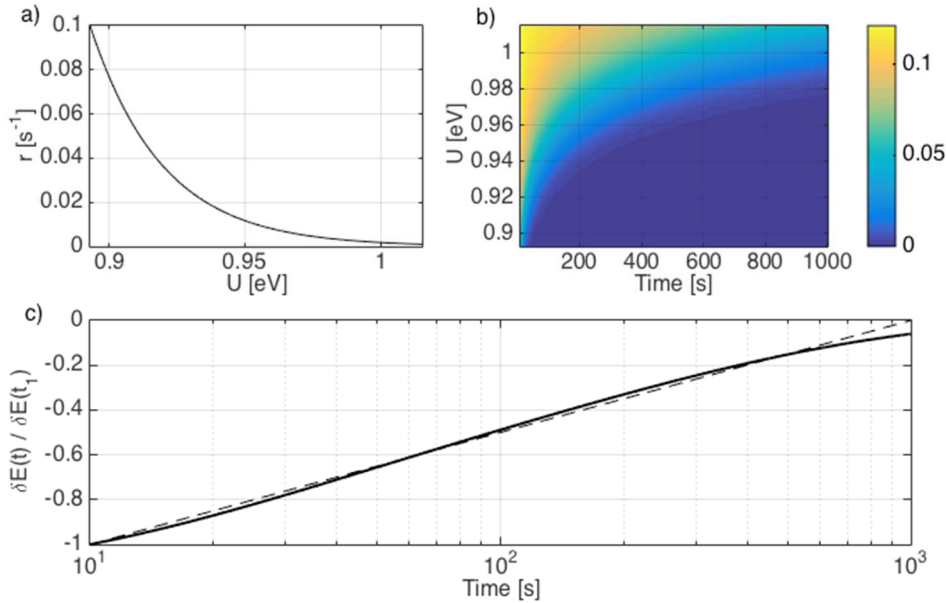
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$$E(t_2) - E(t_1) \approx A \rho_0(U_c) k_B T \int_0^\infty (e^{-t_1 \tau} - e^{-t_2 \tau}) \frac{d\tau}{\tau} \approx A \rho_0(U) k_B T \ln\left(\frac{t_2}{t_1}\right) \quad (7).$$

149

150 This development is based on the established laws of physics (Snieder et al., 2016),
 151 and it offers a good explanation for the time dynamics of the logarithmic recovery of the
 152 elastic properties of a material after a disturbance (Fig. 1). However, these kinematics are
 153 only valid for a limited time period, which excludes the exploration of infinitely short or long
 154 times.

155



156

157 **Figure 1.** (a) Arrhenius kinetics law for the recovery rate of the energy barriers for the
 158 contact of the grains (Eq. (3)). (b) Density of the contact surfaces not yet restored (colour
 159 scale) as a function of time and activation energy. The initial density is defined as uniform
 160 (Eq. (4)). (c) Normalized recovery of the Young's modulus calculated through integration of
 161 the surface density (Eq. (5)) defined discretely in the time–energy space. The dashed line
 162 represents the $\log(t)$ fit function of the recovery slope.

163

164 Snieder et al. (2016) used the basic elements of the aforementioned development by
 165 TenCate et al. (2000) to establish a different kinematics law that respects both the logarithmic
 166 variation of the recovery for intermediary times and also the flattening at short and long times.
 167 Let the time evolution of $E(t)$ of a disturbed system at time $t=0$ be:

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$$E(t) = E_0 + SR(t) \quad (8),$$

where S is a scale constant and $R(t)$ is the function that describes the recovery process, or the slow dynamics. As before, if we assume a superimposition of the processes related to the creation of potential energy barriers U (Eq. (6)) and the corresponding characteristic times τ (Eq. (2)), function $R(t)$ can then be written as:

$$R(t) = \int_{\tau_{min}}^{\tau_{max}} P(\tau)e^{-t/\tau} d\tau \quad (9),$$

where $P(\tau)$ is the density of the state of the relaxation times, and with limits τ_{min} and τ_{max} calculated according to the Arrhenius equation (Eq. (2)):

$$\tau_{min} = \tau_0 e^{U_{min}/k_B T} \quad \text{and} \quad \tau_{max} = \tau_0 e^{U_{max}/k_B T} \quad (10),$$

where U_{min} and U_{max} are the lower and upper limits of the distribution of contact activation energies in the material. If $N(U)$ is the density of the state of the barriers at the origin of the grain contacts, the number of activation mechanisms where the energy is between U and $U+dU$ is $N(U)dU$. The density of the state $P(\tau)$ can therefore be written as:

$$P(\tau) = N(U) \frac{dU}{d\tau} \quad (11).$$

According to the Arrhenius equation (Eq. (2)), we have:

$$\frac{d\tau(U)}{dU} = \frac{\tau_0}{k_B T} e^{U/k_B T} = \frac{\tau}{k_B T} \quad (12).$$

The substitution of Equation (12) into Equation (11) then gives:

$$P(\tau) = \frac{k_B T}{\tau} N(U) \quad (13).$$

If $N(U)$ remains the same between U_{min} and U_{max} , $N(U)$ is constant. If the temperature T is also constant, the density of the state $P(\tau)$ will be proportional to $\frac{1}{\tau}$. Integrating Equation (13) into Equation (8) gives the model that describes the recovery function by Snieder et al. (2016); i.e.:

$$R(t) = \int_{\tau_{min}}^{\tau_{max}} \frac{1}{\tau} e^{-t/\tau} d\tau \quad (14).$$

This equation cannot be solved analytically. However, unlike the function proposed by Tencate et al. (2000) as a time logarithm, this function converges, regardless of the value of $t \geq 0$, and in particular at short times ($R(0) = \ln\left(\frac{\tau_{max}}{\tau_{min}}\right)$; $R(\infty) = 0$). In the present study, we replace the integration variable τ with $x = t/\tau$, and the time derivative of function $R(t)$ is expressed by:

$$\frac{dR(t)}{dt} = \frac{e^{-x_{min}} - e^{-x_{max}}}{t} \quad (15),$$

where $x_{min} = t/\tau_{min}$ and $x_{max} = t/\tau_{max}$. For $\tau_{min} \ll t \ll \tau_{max}$, which leads to $e^{-x_{min}} \approx 0$

214 and $e^{-x_{max}} \approx 1. \frac{dR(t)}{dt}$ is therefore close to $1/t$, which means that $R(t)$ is close to $\ln(t)$ up to an
215 integration constant. The relaxation is thus expressed as a logarithmic time dependence.

216 The recovery processes observed in experimental situations can therefore be
217 characterised by different parameters according to Snieder et al. (2016). Snieder et al. (2016)
218 considered the characteristic times τ_{min} and τ_{max} , which characterise the energy involved in
219 the recovery process of contacts of different sizes. τ_{min} and τ_{max} provide more precise
220 information on the type of heterogeneities in the material, and notably on the crack size. They
221 can be calculated from experimental data by measuring the variation of the elasticity modulus
222 or a proxy of this value, such as the resonance frequency of a building in the present study.
223 Here, this corresponds to a non-linear regression adjustment using Equation (14) when the
224 resonance frequency is recovered after the stress. This adjustment and its interpretation
225 according to Snieder et al. (2016) is limited at short times, unless the frequency variations can
226 be measured precisely during and immediately after the stress, and at long times, if the
227 duration of recording is not long enough to observe the full recovery.

228

229 **3. Data, experiments and data processing**

230

231 The link between slow dynamics and damage was first verified here at the laboratory scale. In
232 this study, laboratory experiments were carried out on continuous beams. These were not
233 designed to evaluate the invariant scale (i.e., laboratory beam to real-case buildings) of the
234 non-linear behaviour and slow dynamics, but to valid the methods before operational
235 application, as previously done by Brossault et al. (2018). Boutin et al. (2005), Perrault et al.,
236 (2013) and Michel and Guéguen (2017) confirmed the analogy to the first order between the
237 response of a tall civil engineering structure and that of a continuous beam. The limestone,
238 beam and experimental set-up used herein (Fig. 2) were described in detail in Brossault et al.
239 (2018). The beam is inserted into the solid limestone base ($30 \times 30 \times 24 \text{ cm}^3$), clamped with
240 epoxy glue, and left free at the top. Its properties are as follows: cross-sectional area, 2×5
241 cm^2 ; height, 100 cm; density, 2.955 g/cm^3 . To measure the horizontal vibrations, two
242 accelerometric sensors are installed (type 4518-003; Brüel and Kjaer), one at the base of the
243 beam, the other at the top. The sensor at the base of the beam is only used to provide an
244 indication of the beam deformation, through calculation of the relative displacement between
245 the top and bottom of the beam during the tests. The data are recorded by a conditioning
246 amplifier (type 2694; Brüel and Kjaer) with an acquisition card (USB-6259; National
247 Instruments).

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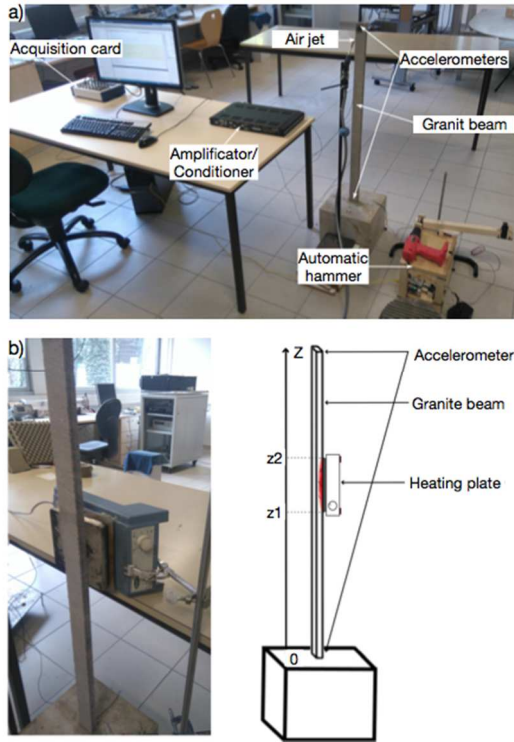


Figure 2. Experimental laboratory device for the granite beam. **(a)** Device for measuring the ambient vibrations generated by the air jet and the automatic hammer. **(b)** Representation of the heating device for damaging the beam, given schematically on the right.

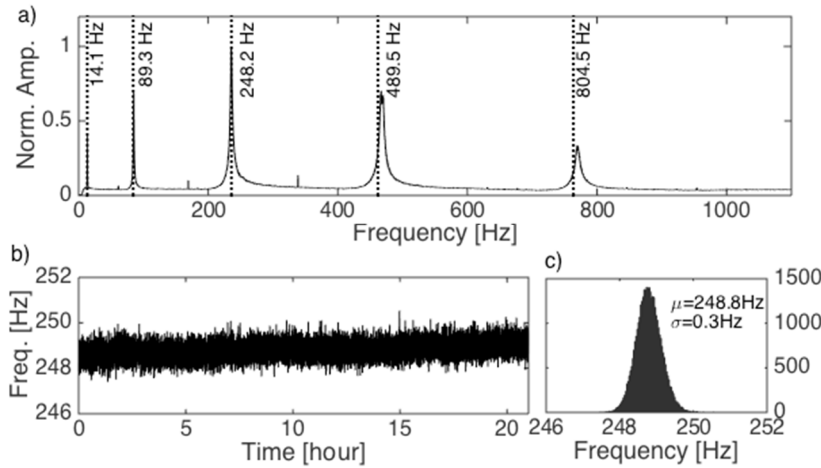
In this study, the beam is subject to continuous stress by an air jet that is applied to the top of the beam. The air jet applies continuous and stationary loading at low amplitude for short times, similar to ambient vibrations recorded in actual structures. Its frequency content is broadband and excites the full range of frequencies considered (1-2,500 Hz). This system was validated by Roux et al. (2014), Guéguen et al. (2014) and Brossault et al. (2018) for continuous measurements of the beam modal parameters. In the laboratory, experimental conditions (i.e., air temperature, humidity, etc.) are constant. Figure 3a illustrates the modal response of the beam obtained herein by Fourier transform of the recording at the top, and is closely comparable to the theoretical frequencies of an analytic model that associates the beam with a free-clamped, Euler-Bernoulli type, bending beam (Brossault et al., 2018).

The resonance frequency variation for the system is monitored over time by the random decrement technique (RDT) (Cole, 1968), which Cole used to construct the impulse response of a system from measured ambient vibrations. Cole (1968) justified this transformation by considering the response of a structure to random loading at time $t+t_0$ as the superimposition of the free response at time t_0 and the forced response to the random loading between t_0 and t . By summing a large number of signal windows with the same initial conditions, the magnitude of the expected random part decreases compared to the magnitude of the impulse response. The result of the summation process is the random decrement signature (*RDS*) expressed thus as:

$$RDS(t) = \frac{1}{N} \sum_{i=1}^N s(ti + \tau) | T_0 \quad (16),$$

where $s(t)$ is the signal, N is the number of windows summed, τ is the duration of the windows, and T_0 is the initial trigger condition (Nasser et al., 2016). When filtered around a mode of the structure, $RDS(t)$ is equivalent to the impulse response of the beam, which then

279 enables extraction of the modal frequency and damping by adjusting an exponential function.
 280 Many studies have provided information on the quality of such an estimate, the processing
 281 parameters (e.g., length of windows to be summed, initial conditions) and the restrictions due
 282 to the summation process, which has confirmed its effectiveness on actual civil engineering
 283 structures (Asmussen, 1997; Mikael et al., 2010; Roux et al., 2014; Nasser et al., 2016). An
 284 operational description of the method was provided in Brossault et al. (2018). Although RDT
 285 was initially proposed for estimation of damping, the quality, effectiveness and precision of
 286 the modal parameter estimation means that we can use it as a time monitoring tool for the
 287 frequencies of the granite beam. In the present study, after filtering around the central
 288 frequency of the mode (i.e., within $\pm 10\%$ of the modal frequency), we consider the recording
 289 lengths of 1000T (T, mode period) and the windows to be summed as 10T (i.e., N = 100
 290 windows), as these processes are considered to offer stable accuracy (Brossault et al., 2018).
 291 Figure 3b, c give examples of the frequency time monitoring (mode 3) over 20 h of
 292 acquisition and its variability over time, respectively.
 293



294 **Figure 3.** Modal responses of the granite beam. **(a)** Fourier transform from a vibration
 295 recording at the top, and comparison (dotted line) with the estimated theoretical modes for the
 296 Euler-Bernoulli type continuous beam. Measured values: $L \times W \times H$, $2 \times 5 \times 100$ cm; density,
 297 2.69 kg/m^3 . Estimated values: Young's modulus, 50 GPa. **(b)** Frequency variation of mode 3
 298 computed over 21 h by the normalized random decrement technique. **(c)** Distribution of the
 299 frequencies for mode 3.
 300

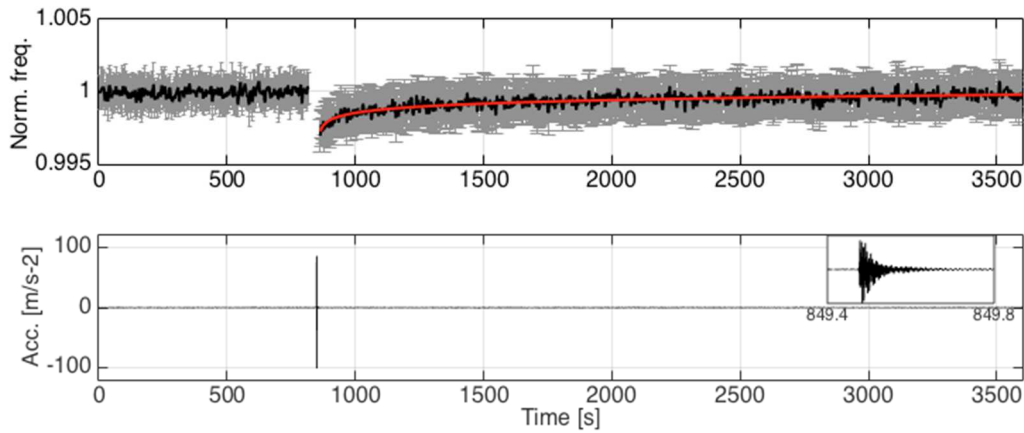
301
 302 The experimental data recorded for the beam subjected to the loading by the air jet are
 303 dominated by low-amplitude acceleration ($\approx 10^{-1} \text{ ms}^{-2}$). To load the beam and trigger the
 304 slow dynamics, as classically observed in an earthquake sequence, dynamic load is applied to
 305 the system in a controlled manner using a programmable automatic hammer that strikes the
 306 supporting granite block (Fig. 2a). This enables stable repetition (i.e., of amplitude, duration)
 307 of the system conditioning. The effect of the conditioning (or strain level) on the slow
 308 dynamic is not analysed in our study. The acceleration generated at the top is $\approx 10^2 \text{ ms}^{-2}$
 309 and lasts for approximately 5 s. The associated deformation calculated between the top and
 310 the bottom of the beam is around 5×10^{-5} ; i.e., the system remains within the elastic domain.
 311 Figure 4 shows mode 3 of the granite beam, calculated as the average of 15 successive
 312 impacts. This shows the stable frequency before the impact; then there is a remarkable drop
 313 during the impact, as highlighted in red, with fitting of the data with a single logarithmic
 314 function of time, as follows:
 315

316
$$F(t) = A \log_{10}(t) + B \quad (17),$$

317

318 where $F(t)$ is the resonance frequency.

319



320

321 **Figure 4.** Variation of the frequency (mode 3) during a dynamic solicitation. The red line is
 322 the average of 15 repeated trials. The error bars (grey) are the uncertainty of the frequency
 323 measurements for the 15 tests. The thick black line is the average. The inset on the right is a
 324 zoom of the acceleration during a shock.

325

326 It is interesting to note here that the standard deviation of the frequency is constant
 327 over time, which indicates the measurement stability for the repetitive hammer strikes at the
 328 laboratory scale. The drop in frequency ($\Delta F/F_0$) is around 3.58×10^{-3} for a standard deviation
 329 of 10^{-3} .

330

331 To evaluate the transitional variations of the resonance frequency and for estimation of
 332 the short relaxation times (τ_{min} Eq. (9)), the RDT method was modified to improve the time
 333 accuracy, by partially removing the windowing effect for instantaneous frequency variation
 334 assessment. The signal stationarity condition justifies the summation of the time windows
 335 with the same initial conditions T_0 , which means that the estimation would be biased if this
 336 condition was not respected. To apply RDT to signal windows that include high amplitudes,
 337 use of a normalised RDT (NRDT) is proposed. This consists of normalising each segment
 338 before the calculation of the segment average. This normalisation can be considered as a
 339 stationarity condition that is imposed artificially on the data, without modifying the amplitude
 340 variations within each segment, as an essential condition for signature calculation, and
 341 particularly its exponential decrease. Two normalisations were tested: using the maximal
 342 amplitude (NRDT1) and the maximal energy (NRDT2) of the time segment.

342

343 Figure 5 shows the fundamental frequency variations during an impact from Figure 4
 344 using these three methods, considering a 100T overlap between successive windows.
 345 Considering windows of 1,000T, the frequency fluctuations are smoothed, whereas for 400T,
 346 there is a significant improvement in the resolution of fluctuations that has a physical
 347 meaning, as discussed in Brossault et al. (2018). Monitoring of the transitional variation of
 348 frequency at the time of impact is improved, thereby improving the identification of the
 349 moment when the recovery begins (i.e., τ_{min}) even if the shift is observed due to the
 350 windowing process used for NRDT. The estimation quality is around $4 \times 10^{-2}\%$, which is well
 351 below the frequency variation measured during impact. The two normalisation methods are
 352 very similar, and only NRDT1 will be used in the rest of the present study.

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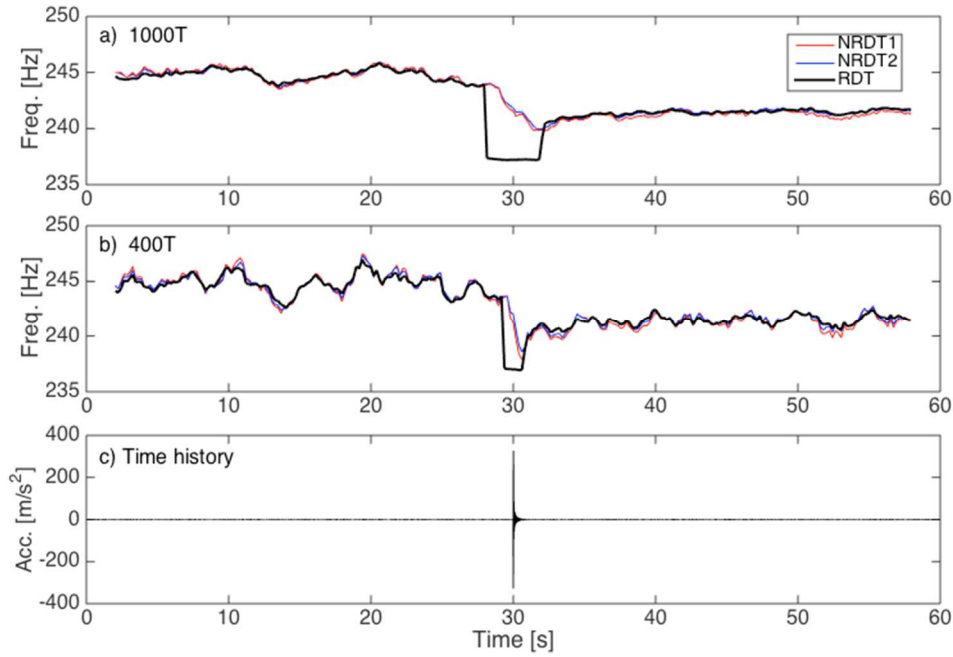
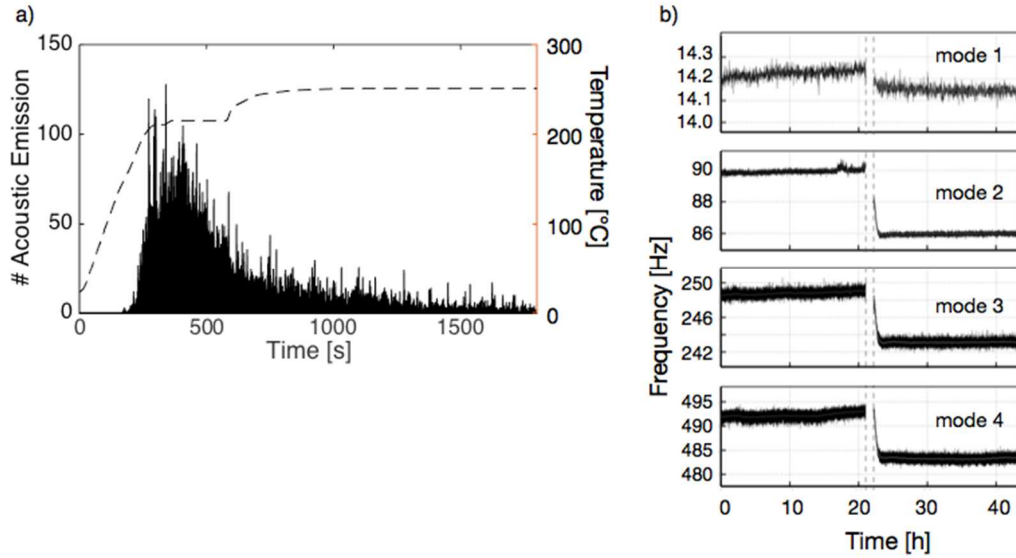


Figure 5. Comparisons of the estimations of the instantaneous frequency variations by the standard RDT method and the NRDT method (cases 1 and 2). **(a)** Window of length 1000T. **(b)** Window of length 400T. **(c)** Time history of the acceleration.

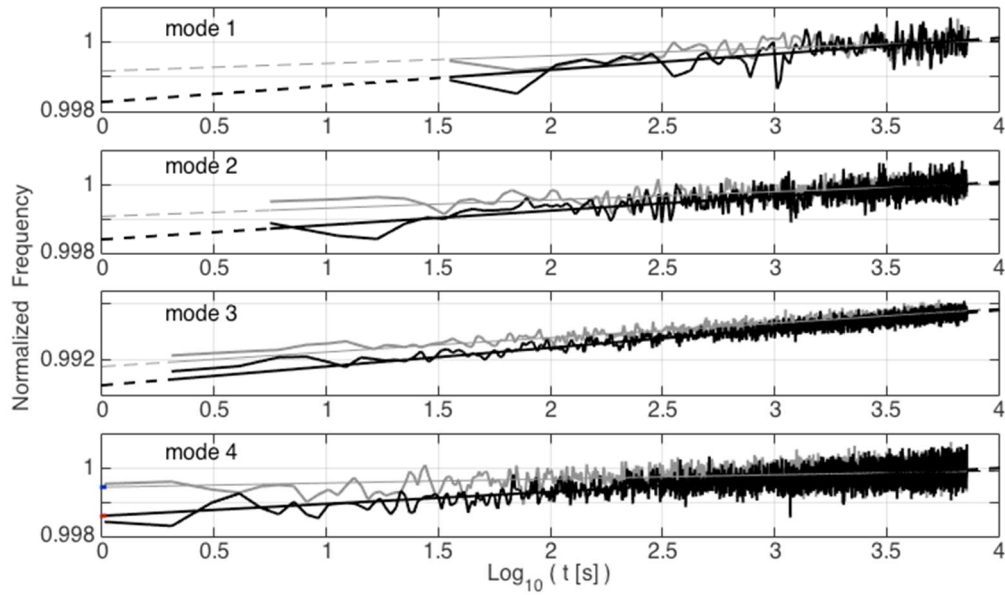
Finally, to define the relevance of the slow dynamics signature to identify damage, the impacts are applied to the beam subjected to the air jet loading before and after application of the damage. Roux et al. (2014) and Guéguen et al. (2014) used moderate local heating of a Plexiglas beam to apply a temporary, localised disturbance. The disturbance proposed herein is also limited in space, but is definitive: a heating plate applied locally (Fig. 2b). This process creates thermal cracks in the granite beam, like in the laboratory tests carried out on granite samples by Chernis and Robertson (1993) and by Takarli and Prince-Agbodjan (2008). The experimental stress represented by the size of the beam and its clamping to the support, as well as the desire to limit the damage to a specific portion of the beam, prevent the use of an oven to heat the sample in a gradual and uniform manner, as was the case in the aforementioned articles. Chernis and Robertson (1993) indicated that the thermal cracking temperature threshold of granite is approximately 80 °C, and that the higher the temperature applied to the granite, the greater the thermal fracturing, and thus the greater the damage characterised by the Young's modulus reduction. Figure 6a shows the effects of heating on the beam, which are characterised by an increase in the acoustic emissions recorded on the beam during the heating, a characteristic of thermal fractures. The consequences to the resonance frequency are shown in Figure 6b. A significant variation is observed after heating: 0.63%, 4.43%, 2.33% and 1.87% for modes 1 to 4, respectively. As shown by Roux et al. (2014), the mode frequency variation is directly dependent on the position of the damage, and the variations between the modes will not be discussed further herein. Only the effectiveness of the damage is relevant to the rest of the present study.



380
 381 **Figure 6.** Illustration of the damage by heating to the beam. **(a)** Variation in temperature
 382 (dashed line) and number of associated acoustic emissions. **(b)** Variation of the modal (1 to 4)
 383 frequencies due to the heating period. Vertical dashed lines indicate heating periods when the
 384 modal analysis is interrupted.
 385

386 4. Analysis of the slow dynamics

387
 388 The results analysed in this study were obtained from two experiments that comprised a series
 389 of 15 impacts that were applied before and after damaging the granite beam. Figure 7 shows
 390 the frequency recovery for the first four modes of the granite beam in these two states.
 391 Frequency monitoring was obtained by averaging the recovery over the period of the 15
 392 impacts. Figure 7 and Table 1 indicate major modifications of the frequency variation time
 393 dynamics after the damage, when all of the modes show a greater frequency drop ($\Delta F/F_0$)
 394 associated with an increase in recovery speed (gradient p) for impacts of similar amplitudes.
 395 The increase in $\Delta F/F_0$ means that once it has been damaged, the system is less resistant, and
 396 reduces its transient stiffness more easily, an observation that was also reported for an actual
 397 building during an earthquake (Astorga et al., 2018). $\Delta F/F_0$ and p of the relaxation
 398 relationship of Equation (17) ($F(t) = p \log_{10}(t) + (1 - \Delta F/F_0)$) show the same relative
 399 increases for the first three modes (and, to a lesser extent, for the fourth mode), which
 400 indicates the strong dependency between these two parameters, and ultimately, an identical
 401 recovery time specific to the beam, regardless of the mode considered. Nevertheless, Lott et
 402 al. (2017, 2018) showed that the strain field controls the non-linear behaviour. Of note,
 403 Tencate et al. (2000) normalised the relaxation coefficient p with the strain values of each
 404 mode, to consider the sensitivity of the mode to the damage according to its localisation.
 405 Indeed, Roux et al. (2014) used the sensitivity of the modal frequencies to the position of
 406 damage for localisation, and the strain value of each mode could be considered for normalised
 407 p values. In the present study, the strain value is not computed (as only one sensor at the top
 408 of the beam was used), although the relation between the strain and the p values of each mode
 409 could be used for localisation, following the concept proposed by Roux et al. (2014), but not
 410 considered in this study.
 411

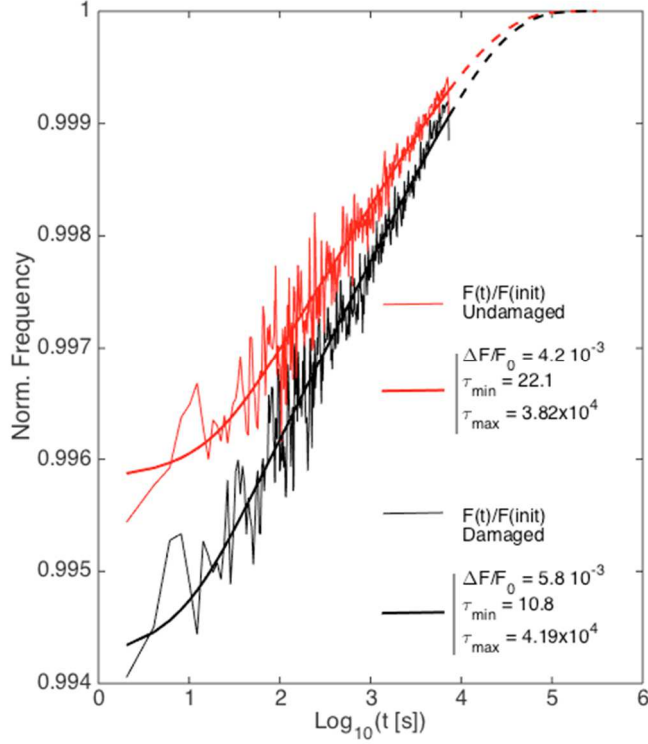


412
 413 **Figure 7.** Recovery of the normalised frequencies for modes 1 to 4 of the granite beam before
 414 (grey) and after (black) the damage. The recovery is the average of 15 successive shocks.
 415 Bold lines: experimental smoothed normalized values of the fundamental frequency;
 416 continuous thin lines: linear model fit (Eq. (17)); dotted lines: extension of the model to short
 417 times.

418
 419 **Table 1.** Values of p and $\Delta F/F_0$ of the recovery relationships of the shape $F(t) =$
 420 $p \log_{10}(t) + (1 - \Delta F/F_0)$ for modes 1 to 4 for an undamaged and a damaged beam. *diff*,
 421 variation of the parameter characterising the slow dynamics.
 422

	Mode 1		Mode 2		Mode 3		Mode 4	
	p	$\Delta F/F_0$	p	$\Delta F/F_0$	p	$\Delta F/F_0$	p	$\Delta F/F_0$
Undamaged	2.23×10^{-4}	8.25×10^{-4}	2.43×10^{-4}	9.21×10^{-4}	1.26×10^{-3}	5.52×10^{-3}	1.21×10^{-4}	5.48×10^{-4}
Damaged	4.67×10^{-4}	1.73×10^{-3}	4.24×10^{-4}	1.60×10^{-3}	1.64×10^{-3}	7.16×10^{-3}	3.53×10^{-4}	1.40×10^{-3}
<i>diff</i> (%)	109	109	74	74	30	30	192	155

423
 424 Figure 8 shows the frequency monitoring for mode 3 of the granite beam, as
 425 determined by the relaxation model proposed by Snieder et al. (2016) and applied to the
 426 experiment results (Eq. (14)). In this example, the frequency monitoring was decimated at the
 427 long time logarithmic scale, to reduce the weight of this part in the error calculation used for
 428 convergence of the non-linear regression. The frequency drop $\Delta F/F_0$ is initially 4.2×10^{-3} and
 429 5.8×10^{-3} before and after the damage, respectively, for the equivalent conditioning. These
 430 values therefore increased by 38.1%, which is the same as the increase observed for this mode
 431 when the linear relationship is adjusted according to the time logarithm (30%; Table 1).
 432



433
 434 **Figure 8.** Recovery of the values of the normalised frequencies for mode 3 of the granite
 435 beam before (grey) and after (black) the damage. The recovery is the average of 15 successive
 436 shocks. Bold lines: experimental smoothed normalised values of the fundamental frequency;
 437 continuous thin lines: model fit (Eq. (14)); dotted lines: extension of the model to long times.
 438

439 Determination of the time constant τ_{max} appears less certain. Indeed, no long-term
 440 curvature characterises τ_{max} in the frequency monitoring in either case, with the beam
 441 recovery being very slow with respect to the time periods between the repeated impacts.
 442 Parameter τ_{min} is determined by the curvature visible for short times; this is 22.1 s for the
 443 undamaged beam, and 10.8 s for the damaged beam (Fig. 8). According to Snieder et al.
 444 (2016) and the development described in section 1, τ_{min} depends on the smallest
 445 characteristic size cracks. Reduction of this constant therefore implies the creation of cracks
 446 that are smaller than the heterogeneities initially present in the beam, which is one of the
 447 processes that results from damage by heating.
 448

449 Adjustment of the experiment data of the relaxation relationship proposed by Snieder
 450 et al. (2016) provides additional information. The time constant τ_{min} defines the minimal
 451 activation energy required to close the cracks in the material, and therefore the smallest
 452 characteristic size crack. We can estimate this minimal energy barrier for both states of the
 453 beam using the Arrhenius equation, of Equation (2). The energy barrier U is therefore written
 454 as:

$$455 \quad U = k_B T \log(\tau/\tau_0) \quad (18).$$

456
 457 For high temperatures, TenCate et al. (2000) indicated that $\tau_0 \approx \hbar/k_B T$, where \hbar is
 458 Planck's constant. The numerical application of Equation (18) gives a minimal energy barrier
 459 of 0.829 eV and 0.837 eV for the undamaged and damaged beam, respectively. Thus, there is
 460 a moderate reduction in the minimal energy barrier associated with the damage; i.e., the
 461 smallest crack size results in a large variation in τ_{min} . This observation is all the more critical

462 because determining τ_{min} is difficult and depends on the monitoring method used (NRDT in
463 the present case) and the ability to correctly identify the start of the recovery. Longer recovery
464 times are characterised by increasingly small variations, and are polluted by long-term
465 oscillations; e.g., due to temperature fluctuations or successive conditioning.

466 The fitting of the data with a logarithmic function of time is more robust than the non-
467 linear regression of a complex function, where the parameter determination depends on the
468 first and last measured frequencies. However, the generalised relaxation law in Equation (14)
469 (Snieder et al., 2016) results from the superimposition of characteristic times between τ_{min}
470 and τ_{max} directly proportional to the size (i.e., characteristic time) of cracks. For application
471 to the civil engineering structures, only the Snieder et al. (2016) model will be considered
472 herein.

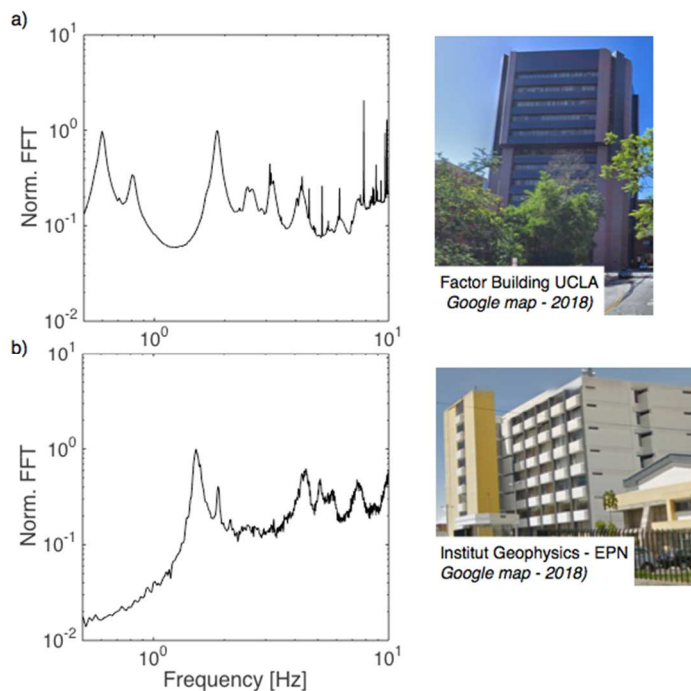
473

474 **5. Application of slow dynamics to actual civil engineering structures**

475

476 The first building considered here is FB-UCLA on the campus of UCLA, which was built in
477 the 1970s. A full description of the structure, the modelling, and the first experimental data
478 were provided in Kohler et al. (2005), Nayeri et al. (2008) and Skolnik et al. (2006). This
479 building has 17 stories, two of which are below ground level. It has a steel structure with
480 brick facing and concrete foundations. Its ground footprint is rectangular, with the long side
481 as the north-south direction. The initial network of 72 accelerometers that was installed in
482 1994 was upgraded in 2003 by the US Geological Survey, to improve the sensor sensitivity
483 and enable acquisition of ambient vibrations. The present study is focussed on the monitoring
484 of its fundamental mode frequency in the north-south direction, as identified by Kohler et al.
485 (2005) and already monitored using RDT by Guéguen et al. (2016). This mode corresponds to
486 the peak shown on Figure 9 at ~ 0.6 Hz. The continuous records sampled at 100 Hz that are
487 used in this study come from the station at the top in the south-east corner (station GE,
488 component HNN). The data were downloaded from the IRIS datacentre website
489 (<http://www.iris.edu>).

490

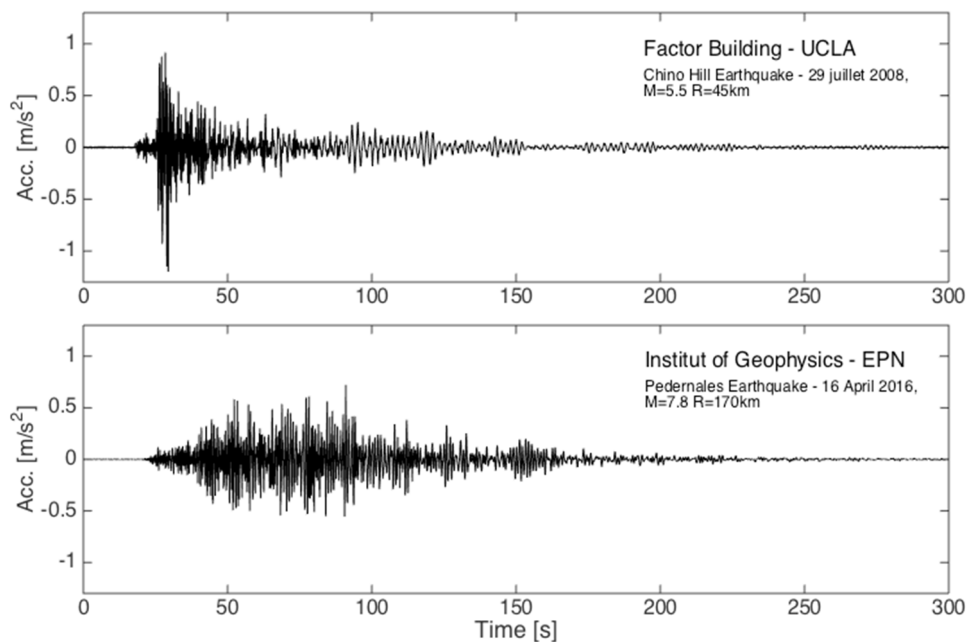


491

492 **Figure 9.** Buildings tested in the present study, and their responses computed as the Fourier
493 transforms of the ambient vibration recording at the top. (a) Factor building (FB-UCLA). (b)
494 Institute of Geophysics (IG-EPN).
495

496 The second building used for this study is IG-EPN in Quito, Ecuador, in the National
497 Polytechnic University campus. This was built in 1976 before the first earthquake engineering
498 construction code was introduced in Ecuador. It has eight stories of the same height, each of
499 which comprises a slab supported by reinforced concrete columns. Since 2011, the structure
500 has been permanently equipped with a triaxial accelerometer (GURALP-5TD) positioned at
501 the top. The acceleration is continuously recorded at 100 Hz; this structure shows a resonance
502 frequency of ~ 1.5 Hz (Fig. 9).

503 For FB-UCLA, the earthquake used is the Chino Hills earthquake of 29 July, 2008, of
504 magnitude 5.5, the epicentre of which was 45 km from Los Angeles. The signal recorded at
505 the top of the structure (Fig. 10) shows an acceleration peak at $1.2 \text{ m}\cdot\text{s}^{-2}$, and the deformation
506 calculated on the basis of the acceleration indicates a maximal of $\sim 5 \times 10^{-4}$. For IG-EPN, the
507 7.8 magnitude Pedernales earthquake on 16 April, 2016, was used, which had an epicentre
508 170 km from Quito (Fig. 10). The acceleration recorded at the top of IG-EPN during the
509 earthquake shows an acceleration peak of $0.72 \text{ m}\cdot\text{s}^{-2}$, with the maximal calculated
510 deformation of 7×10^{-4} . In both cases, the deformation is below the standard threshold of
511 damage appearance, which is assumed to be $\sim 3 \times 10^{-3}$.
512

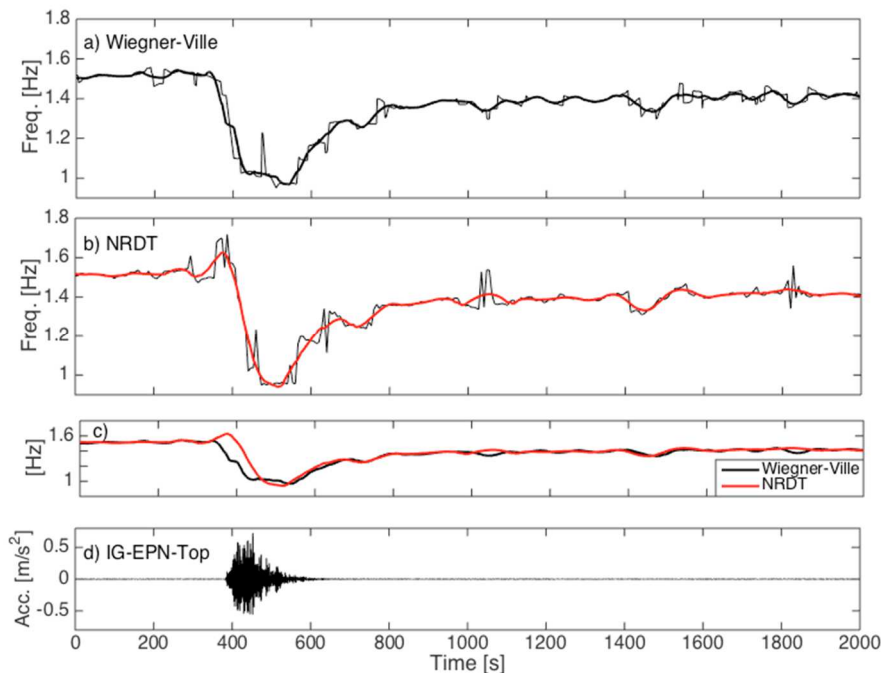


513 **Figure 10.** Time history of the acceleration recorded at the top of the buildings for the
514 earthquakes used for the frequency recovery analysis.
515
516

517 The time resolution of NRDT depends on the specific period of the mode of the
518 structure, which is around 1 s for each of these two structures. We used NRDT with the 100T
519 signal duration, after checking the validity of this choice in preliminary tests. The recovery
520 between two successive signal segments is 90%, to reduce the time interval of the monitoring
521 and to enable optimal τ_{min} evaluation. We also chose to validate this by applying a more
522 conventional time–frequency distribution, of the Cohen class. We therefore applied the
523 Wiegner–Ville method that was tested by Michel and Guéguen (2010) on earthquake data
524 recorded for these buildings. The principle is to distribute the signal energy in the time–

525 frequency space. The energy at a point in the time–frequency space is not calculated in a time
 526 window, but between $-\infty$ and $+\infty$. As the signals are finite in time, the distribution actually
 527 calculated in practice is therefore the smoothed pseudo Wigner–Ville distribution. This
 528 corresponds to the Wigner–Ville distribution for which the energy values of time–frequency
 529 pairs are calculated in windows limited in time and in frequency. The frequency range of this
 530 distribution is large, which makes it difficult to measure small frequency variations. To
 531 counter this difficulty, the reassignment method was applied, as described in Michel and
 532 Guéguen (2010). The local energy of the distribution is reassigned to the centre of gravity of
 533 the domain around each time–frequency point. Michel and Guéguen (2010) indicated that this
 534 reassigned pseudo-distribution is particularly suitable for the measurement of sudden
 535 variations in frequency, as for the present case. The value used for the frequency at each time
 536 t is then determined by picking the maximum value of the reassigned pseudo Wigner–Ville
 537 distribution at that time. Hereafter, we use the term Wigner–Ville distribution (WV) to
 538 indicate the reassigned pseudo Wigner–Ville distribution.

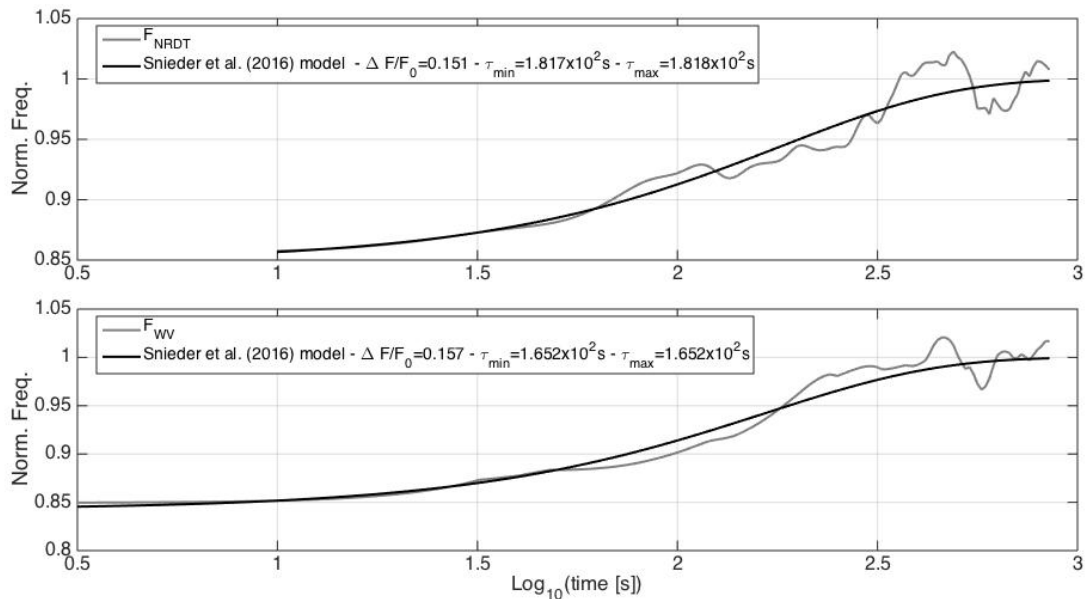
539 Figure 11 shows an example of the monitoring of the fundamental frequency of IG-
 540 EPN during the 2016 earthquake, as calculated by NRDT and WV, which characterises the
 541 ANFD and slow dynamics. A Savitzky-Golay (Orfanidis, 1995) type of smoothing method is
 542 applied to the frequency variations on which the recovery analysis is carried out. The
 543 frequency drops by about 30% during the earthquake. The frequency then recovers partially,
 544 towards a value lower than the frequency measured before the earthquake. This suggests that
 545 the structure of IG-EPN was slightly damaged during the dynamic loading. The recovery
 546 immediately after the loading is similar with both of the monitoring methods, although
 547 differences remain for the short times, which affect the τ_{min} estimate.



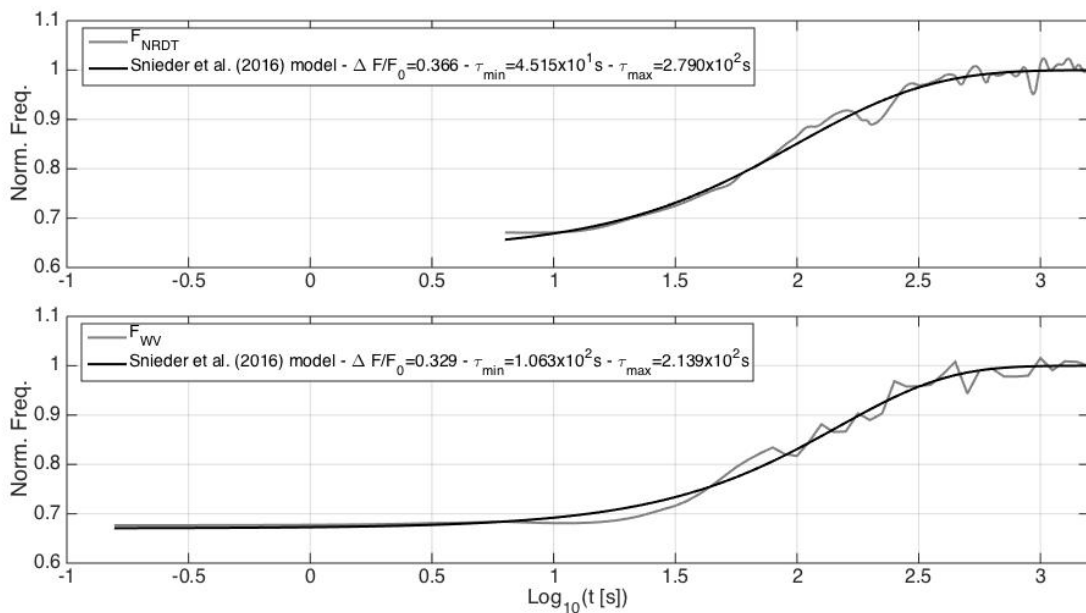
548 **Figure 11.** Monitoring of the resonance frequency at IG-EPN for the Pedernales earthquake.
 549 (a) WV and (b) NRDT. Thick lines, smoothed variations using a Savitzky-Golay fit function.
 550 (c) Smoothed function for NRDT and WV. (d) Time history of acceleration recorded at the
 551 top of IG-EPN.
 552
 553

554 The Snieder et al. (2016) model for characterising relaxation is shown as a function of
 555 the time logarithm in Figure 12 and Figure 13 for FB-UCLA and IG-EPN, respectively. The
 556 frequencies are normalised by their values at long times, as a convergence value of 1 is

557 required.



558
559 **Figure 12.** Recovery of the normalised frequency of the fundamental mode for FB-UCLA
560 after the Chino Hills earthquake, using NRDT (upper panel) and WV (lower panel). Thick
561 black line, Snieder et al. (2016) fit model; grey line, experimental smoothed normalised
562 values of the fundamental frequency.
563



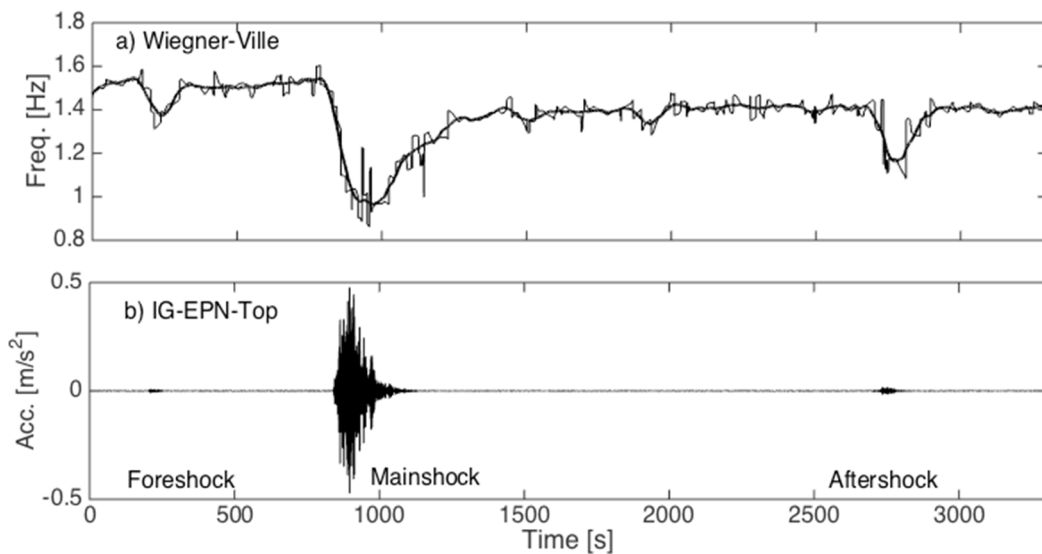
564
565 **Figure 13.** As for Figure 12 for IG-EPN for the Pedernales earthquake.
566

567 For FB-UCLA, the results obtained by NRDT and WV are comparable, with a co-
568 seismic drop in frequency of around 0.15. τ_{min} and τ_{max} are very similar, at 182 s and 165 s
569 for NRDT and WV, respectively. The minimum (τ_{min}) and maximum (τ_{max}) characteris-
570 tic times are comparable, which according to the Snieder et al. (2016) model, suggests that the
571 cracks or joints that are opened by the earthquake show a distribution of energy barriers, and
572 therefore a distribution of characteristic sizes that is limited around a central value.

573 For IG-EPN, a few variations are seen, depending on the time–frequency monitoring

574 methods. The co-seismic frequency drop is around 33% to 36%. τ_{min} and τ_{max} are different,
 575 as 45 s to 106 s and 279 s and 214 s using NRDT and WV, respectively. The difference
 576 between the two monitoring methods indicates that in this specific case (Pedernales
 577 earthquake recorded at IG-EPN), the short characteristic times are not as well identified near
 578 the main shock, introducing uncertainties on the real state assessment of the building that
 579 must be considered before operational application. It is also interesting to note that the
 580 Pedernales shock was greater than the earthquake suffered by FB-UCLA, and it resulted in a
 581 permanent frequency variation after the main shock, which indicates increased cracking.

582 The conditioning sequence of IG-EPN also included a foreshock and an aftershock
 583 (Fig. 14a), with these two events generating transient frequency variations (Fig. 14b). Their
 584 amplitudes are smaller than that of the main shock, with the initial pre-loading recovered
 585 relatively quickly. The evolution of τ_{min} and τ_{max} with the damage is confirmed in Figure 15
 586 and Table 2, which show the Snieder et al. (2016) function and the associated parameters
 587 applied to WV for the three events. The non-linearity measured increases as the deformation
 588 measured in the structure increases. $\Delta F/F_0$ is 0.087, 0.190 and 0.366 for the foreshock
 589 (deformation $16980 \cdot 5.3 \times 10^{-6}$), aftershock (1.7×10^{-5}) and main shock (7.6×10^{-4}),
 590 respectively. Furthermore, for the foreshock and aftershock, the two characteristic dimensions
 591 τ_{min} and τ_{max} are identical; i.e., 37 s and 66 s for the foreshock and aftershock, respectively.
 592 As mentioned previously for FB-UCL, this indicates that these moderate stresses only activate
 593 heterogeneities (i.e., cracks in the present case) the size distribution of which is limited
 594 around a main value. In such cases, the structure deformation is such that the existing cracks
 595 are stressed to their maximum, although no new cracks are formed. For the main shock, τ_{min}
 596 and τ_{max} are very different (45 s, 279 s, respectively), indicating the mobilising of new cracks
 597 created by a major deformation, as observed in the laboratory on the granite beam. τ_{max} is so
 598 much larger for the main shock than for the fore and after shocks because of the size of the
 599 cracks activated by this loading. The characteristic times τ_{min} also increase with each
 600 earthquake. According to the interpretation that links these times directly to crack size, the
 601 minimum size of the cracks opened by the successive stresses might increase as the dynamic
 602 loading events are repeated. In other words, the damage estimated after the permanent drop in
 603 frequency observed for the main shock consists, at least in part, in the lengthening of the
 604 crack sizes. Further analysis must be carried out on the accuracy and the values of τ_{min} and
 605 τ_{max} according to the amplitude of the loadings (or conditioning) and the weather condition.
 606

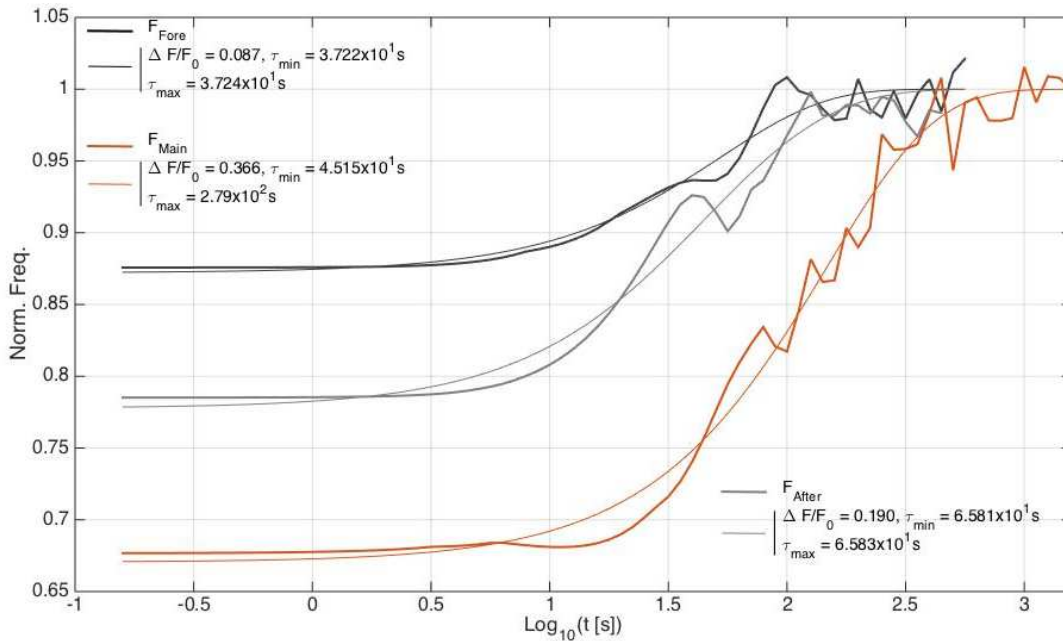


608 **Figure 14.** Fore-shock, main shock and after-shock earthquakes recorded for the IG-EPN
 609 building for the Pedernales sequence. (a) Wiegner-ville function applied to the variation of
 610 the resonance frequency (black) and the Savitzky-Golay smoothing function. (b) Time-history
 611 of acceleration at the top of IG-EPN.

612
 613 **Table 2.** Parameters of the recovery relationships of the fundamental mode frequency of IG-
 614 EPN after the three events, as foreshock, main shock and aftershock, using the Snieder et al.
 615 (2016) model. D_{max} is the maximal drift measured during each event by the relative
 616 displacement between the top and bottom, divided by the height.

Shock	$\left(\frac{\Delta F}{F_0}\right)$	$\tau_{min}(s)$	$\tau_{max}(s)$	D_{max}
Fore	0.087	37.22	37.24	5.3×10^{-6}
Main	0.366	45.15	279.0	7.6×10^{-4}
After	0.190	65.81	65.83	1.7×10^{-5}

617



618 **Figure 15.** Recovery of the normalized frequency of the fundamental mode for IG-EPN for
 619 the fore-shock (black), the main shock (red) and the after-shock (grey) of the Pedernales
 620 sequence. Thick lines, experimental smoothed normalized values of fundamental frequency;
 621 continuous lines, *univ* fit models.

622

623 6. Conclusions

624

625
 626 Analysis of the non-linear phenomena (i.e., ANFD, slow dynamics) observed in the granite
 627 beam before and after damage and in the actual civil engineering structures that suffered
 628 earthquakes confirm the direct relationship between the properties of elastic characteristic
 629 recovery (i.e., resonance frequency) and damage. This relationship between slow dynamics
 630 and the degree of system heterogeneity has already been confirmed at the laboratory scale and
 631 in seismology. **For the first time, a detailed analysis of the slow dynamics applied to civil
 632 engineering structures is shown herein.** It opens the route for monitoring the structural
 633 integrity of civil engineering structures. However, it is conditioned by the adjustment of the
 634 recovery model for system dynamics, and the possibility of precise monitoring of the various

635 elastic properties (or a proxy; i.e., resonance frequency, in the present case).

636 In this study, the two methods used are a modified version of a conventional method
637 (NRDT), and a conventional method (WV). These showed differences for the characterisation
638 of the short and long recovery times. At long times, as the recovery processes can be long
639 (Astorga et al., 2018), it is also preferable to have continuous data, as for IG-EPN.

640 Using a laboratory analogue, i.e., the granite beam, recovery of the frequency fitted by
641 a linear function of the time logarithm depends on the health state (i.e., damaged or
642 undamaged) of the beam. In spite of the difficulties in adjusting the long and short
643 characteristic times, the Snieder et al. (2016) model enabled the definition of a narrow
644 correlation of characteristic times with damage. These data are fully confirmed in the civil
645 engineering buildings, with the definition of the physical properties of the recovery according
646 to the loading or damage, particularly for IG-EPN. Slow dynamics are observed after strong
647 loadings, and for this level of strain (or structural drift), we assume little sensitivity to
648 environmental conditions or conditioning, which suggests the absolute characterising of the
649 damage.

650 We note that the characteristic times offer direct insights into the types of cracks. The
651 designs of the buildings tested in the present study were different: FB-UCLA has a steel
652 structure and IG-EPN has a reinforced concrete structure. The characteristics of the slow
653 dynamics depend on both the deformation caused by the loading and the heterogeneities
654 present in the structure. A drop in frequency was also observed in a unique building in Japan
655 during a long series of seismic loading (Astorga et al., 2018). In that particular case, the
656 frequency drop was conditioned by the deformation and damage that accumulated over time.
657 For IG-EPN, the damage is also characterised by a faster recovery rate in the event of stronger
658 loading. Without knowing their exact dimensions, the difference between τ_{min} and τ_{max}
659 provides information on both the distribution of the energy barriers, and therefore the cracks,
660 and on their evolution according to the level of loading.

661 We have shown that the characteristic times τ_{min} and τ_{max} were very close, both for
662 FB-UCLA and its moderate earthquake (Chino Hills), and for IG-EPN for the foreshock and
663 the aftershock. This indicates a limited distribution around a central value of the sizes of the
664 cracks opened by the seismic event, without the creation of new cracks. In the case of FB-
665 UCLA, which mainly constituted a steel structure, the structural elements are not fractured,
666 and the time constants correspond to the energy barriers associated with the joints between the
667 structural elements. IG-EPN is made of reinforced concrete, which is known to fracture. The
668 proximity of τ_{min} and τ_{max} suggests that only the smallest cracks were opened by the two
669 low amplitude earthquakes. In the case of IG-EPN, the increase in τ_{min} with the deformation
670 caused by the earthquakes also indicates increased cracking caused by the seismic damage.
671 This increase is confirmed by the difference between τ_{min} and τ_{max} observed during the main
672 shock, and similarly between the undamaged and damaged beams. This observation enables
673 us to envisage a data-driven method for monitoring structure integrity in the event of seismic
674 loadings in a sequence. This is all the more important as damage affects the response, and
675 therefore the vulnerability, of structures (Iervolino et al., 2014; Trevelopoulos and Guéguen,
676 2017), with probable consequences on the safety of the local inhabitants in the event of a
677 seismic crisis.

678 The sensitivity and accuracy of τ_{min} and τ_{max} with damage, and according to the
679 conditioning and the weather condition, must be investigated before concluding on a possible
680 operational framework for structural monitoring. The sensitivity of the resonance frequency
681 and of its recovery to weak loadings may control the efficiency of this model for monitoring
682 and must also be considered before operational application. In addition, adjustment of the
683 Snieder et al. (2016) relaxation model via a non-linear regression algorithm is difficult to
684 implement. There are other models, and although these have only been applied in a laboratory

685 setting to date (Shokouhi et al., 2017), if applied to civil engineering structures (Astorga et al.,
686 2019), these could provide more precise information on the nature of the cracks (i.e.,
687 dimension, energy, distribution), including sensitivity to the conditioning and the external
688 forcing such as temperature or humidity.

689
690

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706
707

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