

# Advances in $\beta$ -cell replacement therapy for the treatment of type 1 diabetes

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Marie-Christine Vantyghem, Eelco J P de Koning, François Pattou, Michael R Rickels. Advances in  $\beta$ -cell replacement therapy for the treatment of type 1 diabetes. The Lancet, 2019, 394, pp.1274 - 1285. 10.1016/S0140-6736(19)31334-0. hal-03488564

# HAL Id: hal-03488564 https://hal.science/hal-03488564

Submitted on 20 Jul2022

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1 2	ADVANCES IN BETA CELL REPLACEMENT THERAPY FOR THE TREATMENT OF TYPE 1 DIABETES
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31	Word count: Main text: 4138 - Abstract: 150
32	
	Material: 3 figures 2 tables, no supplemental material
33 34 35 36 37 38	Key words: islet transplantation, stem cell therapy, type 1 diabetes, $\beta$ -cell replacement

### 39 Abstract

40

41 The primary goal of treatment for type 1 diabetes is to control glycemia with insulin therapy in order to reduce 42 disease complications. For some patients, technological approaches to insulin delivery are inadequate, and 43 allogeneic islet transplantation is a safe alternative for those who have experienced severe hypoglycemia 44 complicated by hypoglycemia unawareness or glycemic lability, or who already receive immunosuppression for 45 a kidney transplant. Since 2000, intrahepatic islet transplantation has proven long-term efficacy in alleviating the 46 burden of labile diabetes and preventing long-term diabetes-related complications, whether or not a prior kidney 47 graft is present. Age, body mass index, renal and cardiopulmonary status help to choose between pancreas or 48 islet transplantation. Access is presently limited by the number of deceased donors and the necessity of 49 immunosuppression. Future approaches may include alternative sources of islets (xenogeneic tissue, human stem 50 cells), extra-hepatic sites of implantation (omental, sucutaneous, intramuscular), and immune tolerance or 51 encapsulation.

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#### 53 Search strategy and selection criteria 54

Data for this review were identified by searching MEDLINE, PubMed, Pubmed clinical trials, and references from relevant articles using the search terms "islet transplantation", "clinical" and "type 1 diabetes", as well as " $\beta$ -cell", "stem cell", "xenotransplantation", "immune tolerance". Articles published between 1990 and 2019 were included. We mostly selected publications from the past five years but did not exclude commonly referenced and highly regarded older publications. We also searched the reference lists of articles identified by this search and selected those we judged relevant. Review articles are cited to provide readers with more details. Pancreas transplantation and islet auto-transplantation were excluded from this review.

63 64

#### 66 MAIN TEXT

67 The primary goal of treatment for type 1 diabetes (T1D) is to maintain blood glucose close to the normal range 68 in order to reduce disease-associated complications. Many patients can achieve this goal with intensive insulin therapy, but at the price of frequent treatment-associated hypoglycaemia episodes.<sup>1,2,3,4</sup> In contrast,  $\beta$ -cell 69 70 replacement therapy aims to maintain normoglycemia by restoring endogenous and regulated islet secretion of 71 insulin, and also of other islet hormones (Figure 1). The proof-of-concept of this more physiological approach 72 was provided 50 years ago by pancreas transplantation. In patients with T1D, the transplantation of a pancreas, 73 obtained from non-diabetic donors, can normalize blood glucose. Normoglycemia is then generally sustained as 74 long as alloimmune and autoimmune responses can be prevented by immunosuppression. Pancreas 75 76 transplantation has been associated with improvement in diabetic micro- and macroangiopathy, quality of life, and likely, patient survival.<sup>5</sup> However, pancreas transplantation carries a significant risk for surgical 77 78 complications, most of them related to the exocrine tissue. A less invasive alternative is islet transplantation (IT), whereby the isolation of islets allows for ectopic transplantation of insulin and glucagon secreting cells, which 79 represent only a few milliliters of tissue volume. The efficacy of allogeneic intrahepatic IT to treat diabetes was 80 first shown in patients following pancreatectomy or with T1D.<sup>6,7</sup> The promise of applying IT as an alternative for 81 treating T1D was confirmed in 2000, with the consistent achievement of one-year insulin-independence in seven 82 patients.<sup>8</sup> Since then, more than one thousand T1D patients have been treated with IT alone or after kidney 83 transplantation for severe hypoglycaemia or poorly controlled glycaemia, as reported to the Collaborative Islet 84 Transplant Registry (CITR).<sup>9</sup> This worldwide experience has confirmed the overall favourable safety profile of 85 IT.<sup>10,11,12</sup> The improvement in metabolic outcomes has been confirmed in a randomized clinical trial vs. 86 optimized insulin therapy<sup>13</sup> and now approach those of pancreas transplantation.<sup>14</sup>

The present review will focus on the current state-of-the-art of allogeneic IT, on its indications and limitations,
and finally on the various perspectives to overcome the presently limited numbers of islets and avoid life-long
immunosuppression.

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#### 91 What is islet transplantation?

Allogeneic IT aims at restoring appropriate insulin, glucagon, and other islet hormone secretion through the
 engraftment of pancreatic islets of Langerhans in recipients with insulin-deficient diabetes, most often in patients
 with T1D.

95 Currently, islets are isolated from a deceased donor pancreas, and then infused via the portal vein for delivery to 96 the liver under immunosuppression. The achievement of insulin-independence may require the infusion of two to 97 three islet preparations (goal at least 9,000 islets/kg recipient body weight), although a few centers have reported 98 high rates of insulin-independence with islets transplanted from a single donor pancreas provided at least 6,000

99 islets/kg were transplanted.<sup>15,16</sup>

IT requires a close collaboration between national regulatory authorities, organ procurement organizations, tissue
 typing laboratories, islet isolation facilities, transplant surgery, endocrinology, and interventional radiology
 departments.

103

#### 104 Islet isolation

Pancreatic islets are isolated from pancreases of deceased (brain-dead or non-heart beating) donors procured at the time of anonymous multi-organ donation. In rare cases, islets have been isolated following partial pancreatectomy from a living-related donor.<sup>17</sup> Because of high surgical and metabolic risks, this technique is not done routinely. Indeed over 40% of initially healthy living-related donors will develop glucose intolerance following partial pancreatectomy, especially if they gain weight.<sup>18</sup>

Islets are isolated according to a standardized technique based on enzymatic and mechanical digestion followed by density gradient purification.<sup>19</sup> The resulting product is usually released for transplant if quantitatively the number of islets obtained is more than 200,000, with favourable qualitative assessment of viability, purity, tissue volume, and pathogens. However, the number of islets required may vary by center release criteria, patient size, and/or whether it is a first or subsequent infusion.

115

Islet isolation is performed by a limited number of institutions worldwide (mainly in North America, Europe and Australia). Taking into account the necessary 24-hour availability of islet isolation teams and complex coordination, a low number of highly-specialized facilities seem more appropriate than several smaller units. Indeed, pancreas procurement and transport should limit cold ischemia time, and islet facilities can ship islets to regional centers for transplantation.

#### 121 Who will benefit from islet transplantation?

122 Allogeneic ITA is an established therapeutic alternative for patients with T1D who have experienced severe hypoglycemia complicated by impaired hypoglycemia awareness or excessive glycemic lability, as defined by 123 124 current practice recommendations and consensus reports (Figure 2).<sup>20,21</sup> The main causes of hypoglycaemia and 125 glycemic lability (such as malabsorption, adrenal insufficiency, alcohol abuse...) should be ruled out, and technological approaches should be attempted before IT (Figure 1).<sup>20</sup> Once a decision for transplantation has 126 127 been made, the choice between islet and pancreas transplantation should be discussed according to age, body 128 mass index, renal and cardiopulmonary status (Figure 2). ITA is usually proposed in cases of insulin-deficient 129 diabetes without clinically relevant kidney insufficiency, but complicated with glycemic lability and 130 hypoglycemia unawareness. The risk of immunosuppression is justified, by the four percent waiting-list 131 mortality rate of life-threatening hypoglycaemia.<sup>22</sup>

When kidney insufficiency is present, combined pancreas-kidney transplantation remains the reference treatment in the patients without cardiovascular morbidity. In patients with severe comorbidities, IT after (or simultaneously to) kidney transplantation may be proposed even if diabetes is not unstable since the patient already receives immunosuppression. IAK can be proposed in case of living or deceased donor kidney transplantation, or after loss of a pancreas graft after combined pancreas-kidney transplantation or pancreas-afterkidney transplantation. Pancreas transplantation alone or pancreas-after-kidney transplantation can also be considered, in younger patients without cardiovascular morbidity.<sup>23</sup>

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#### 140 How does islet transplantation unfold?

#### 141 Timetable and constraints for the patient

As for all transplant interventions, IT first requires a pre-transplantation patient evaluation to assess the benefitrisk ratio and ensure the patient is well-informed and provides consent. This is followed by transplant listing, the eventual islet transplant, and repeated visits to ensure safety and efficacy monitoring.

- The evaluation includes an assessment for hypoglycaemia unawareness and glycemic lability, diabetes-related complications, the presence of kidney or liver disease, malignancies, and chronic infection. Losing excess body weight, with a reduction of insulin requirement (in some studies, a cut-off value of < 60 units/day is used), discontinuation of smoking, and lowering the level of glycosylated haemoglobin (HbA<sub>1c</sub>) below 9%, are required to ensure efficacy of the IT and minimize the risk for deterioration of retinopathic or neuropathic complications
- 150 upon the normalization of glycemic control post-transplant.

## 151 Practical modalities of transplantation

When an ABO compatible and cross-match negative islet preparation of sufficient quantity is available, a waitlisted patient is called for image-guided surgical transmesenteric, or percutaneous transhepatic, islet infusion in to the main portal circulation, with heparinization, and under general or local anaesthesia, respectively. <sup>8,24</sup> Islets might be transplanted freshly or after culture. Islet culture enables planning the transplantation within a 12-72hour time period, after the induction of immunosuppression. Islet culture may result in a modest decrease in islet numbers, but likely enhances the quality and may reduce the immunogenicity of the preparation.

Patency of the main portal branch veins is assessed by monitoring of portal pressure during and Doppler ultrasound after each infusion of islets. One to two days after the islet infusion, intravenous insulin instituted during the transplant procedure is transitioned back to a subcutaneous route before tapering the insulin dosage based on maintaining blood glucose values in the normal range. The immunosuppression regimen is adjusted accordingly.

#### 163 Immunosuppressant treatment

- 164 Immunosuppression includes an induction phase at each islet infusion, and then a maintenance phase during the 165 entire islet graft lifespan. Glucocorticoids are usually excluded.
- The first clinically successful protocol for IT, the so-called Edmonton protocol, includes an anti-interleukin-2
   receptor antibody (a T-cell activation inhibitor) before each islet infusion combined with sirolimus (an mTOR
   inhibitor) and low-dose tacrolimus (a calcineurin-inhibitor). <sup>8</sup>
- Variants of this protocol have been published since 2000, including 1) other induction agents such as T-cell depleting agents (antilymphocyte globulin, alemtuzumab, or teplizumab) or lymphocyte tracking inhibitors such as efalizumab;  $^{9,12,15,25-28}$  2) other combinations of maintenance therapy (mycophenolate, everolimus, ciclosporin, azathioprine, bela- or abatacept); 3) and the addition of steroids, or steroid-sparing anti-inflammatory agents that inhibit TNF-α (etanercept, infliximab), or interleukin-1β (anakinra, deoxyspergualine).  $^{9,15,29}$
- Currently, the Edmonton protocol is often replaced by an immunosuppressive regimen that includes antithymocyte globulin with the first dose premedicated with one mg/kg methylprednisolone in order to mitigate any side effects from cytokines released from the lysed T cells.<sup>11</sup> Subsequent islet infusions are then supported with an anti-interleukin-2 receptor antibody, with all infusions including an etanercept course. Maintenance therapy combines tacrolimus with either sirolimus or mycophenolate. There is currently no randomized trial to
- assess the superiority of one protocol over the other.

#### **180** Transplantation monitoring

181 The aim of monitoring is to detect rejection and the recurrence of autoimmunity using a minimal 182 immunosuppressive regimen, to screen for complications related to diabetes or immunosuppression, especially 183 opportunistic infections and neoplasia, and to assess the efficacy of the islet graft for restoring metabolic 184 balance. Consistent post-transplant monitoring is essential with weekly, then monthly visits in the first period 185 after transplantation, and regular visits or contacts thereafter. In contrast with a pancreas graft, islets are not 186 accessible for biopsy. Therefore, rejection is indicated mainly by deterioration in metabolic control that is 187 associated with a fall in C-peptide level. Treatment of acute rejection remains difficult even if steroids and rituximab have been attempted.<sup>30,31</sup>. Rejection can be difficult to distinguish from recurrent autoimmunity 188 189 although monitoring of donor specific alloantibodies (DSA) and islet specific autoantibodies may be helpful.<sup>32</sup> 190 T-cell auto and alloreactivity assays are not readily available in the clinic setting.<sup>33</sup>

191

#### 192 What can be expected from islet transplantation?

#### **193 Patient survival**

The five-year patient survival rate in CITR is close to 100%. <sup>9,10</sup> It tends to be higher in islet transplantation alone (ITA) as compared to islet-after-kidney (IAK) transplantation, but no significant difference has been demonstrated until ten years although IAK patients generally have more severe preexisting diabetes-related complications.<sup>14</sup> In comparison, the mortality rate from hypoglycaemic events of wait-listed patients is almost four percent.<sup>22</sup>

#### 199 Insulin-independence

Five-year insulin-independence rates may be as high as 60% (Table 1).  $^{9,14,34\cdot38}$ . A quarter of patients can remain insulin-independent with HbA1c levels  $\leq 6.5\%$ , for at least ten years, with either ITA or IAK.<sup>14</sup>

#### 202 Metabolic control and hypoglycaemia

Over years, the main goal of islet transplantation has evolved from achieving insulin independence to abrogation of hypoglycaemia and restoration of impaired hypoglycaemia awareness. Even if insulin independence can be reached, there is a progressive decline of graft function over time, which nevertheless allows long-term satisfactory metabolic control.

207 In CITR, the 5-year persistence of graft function is around 60%-70% when T-cell depletion, TNF- $\alpha$  inhibition, 208 mTOR inhibitor, and /or sufficient islet mass are used and 40% in the rest of the IT population (Table 1).<sup>9</sup> Ten-209 year graft function can reach 75% in intention-to-treat, while maintaining a significant reduction of time spent in 210 hypoglycemia.<sup>14,35</sup> Indeed, this decrease of severe hypoglycaemia and time spent in hypoglycaemia is the earliest 211 and most sustained benefit observed after IT, directly and inversely correlated to the C-peptide level, in parallel 212 to the restoration of counter-regulatory hormone responses, even if not complete .9,14,39-41 Several non-213 randomized studies have also shown better metabolic results with IT compared with multiple daily injections of 214 insulin,<sup>42,43</sup> subcutaneous or intraperitoneal insulin pumps without glucose sensors,<sup>24,35,44</sup> and pancreas transplantation (Table 2).<sup>45-47</sup> A randomized study has confirmed these results at six months.<sup>13</sup> A similar study 215 216 with a long-term follow-up and comparison to sensor-aumented insulin pump therapy is ongoing 217 (ClinicalTrials.gov identifier NCT03067324).

#### 218 Diabetes complications

219 Microangiopathy

220 An important long-term outcome of clinical IT is the prevention or delay of diabetes-related complications. The 221 Diabetes Control and Complications Trial showed that a degree of sustained residual  $\beta$ -cell function in patients 222 with T1D on a basal-bolus insulin regimen was not only associated with fewer hypoglycemic episodes but also 223 with reduced risk of microvascular complications.<sup>1</sup> These observations led to the rationale that a certain degree 224 of endogenous insulin secretion, whether from eutopic or transplanted  $\beta$ -cells would have beneficial effects on 225 the occurrence or progression of diabetes-related complication, also in the presence of optimised insulin therapy. 226 With regard to the risk of nephropathy, the long-term beneficial effects of improved glycemia outweighs the

with regard to the risk of hephropathy, the long-term beheficial effects of improved glycenna outweighs the potential nephrotoxic effects of calcineurin inhibitors.<sup>14</sup> In a study comparing patients on optimised insulin therapy that were on the waiting list for an ITA with patients that had received ITA, the islet recipients experienced less reduction of glomerular filtration rate over time.<sup>48</sup> In IAK, several studies have shown that there was no change or even an improvement in kidney transplant function<sup>14,35</sup> and no detriment to kidney function has been observed long-term after loss of islet function.<sup>49</sup> Most of the adverse effects on kidney function appear to be transient and related to the initiation of calcineurin inhibitors. Close monitoring is therefore necessary to minimize the potential of adverse kidney events.

Compared with patients on optimised insulin therapy, patients after ITA have a reduced risk for progression of retinopathy.<sup>36,37</sup> Nevertheless, in patients with high HbA<sub>1c</sub> levels before IT, rapid improvement in glycemic control poses a risk for early vitreal haemorrhage after IT.

Several studies have shown stabilisation or improvement of diabetic peripheral sensory neuropathy.<sup>50</sup>
 *Macroangiopathy:* In cross-sectional studies comparing patients with T1D with a functioning kidney and islet
 graft to patients with islet graft loss, or to kidney-only transplantation with optimised insulin therapy, the overall

- survival and cardiovascular mortality rates were significantly better in patients with a functional IT over seven
- years post-transplantation.<sup>51</sup> ITA is associated with a stabilization of macroangiopathy in the CITR registry, or even an improvement of different cardiovascular risk markers such as carotid intima-media thickness and
- 243 coronary calcifications as compared with pre-transplantation.<sup>9,52</sup> A ten-year retrospective study has shown the
- importance of systematic screening of silent ischemic cardiopathy, especially in over-5-year IAK patients.<sup>14</sup>

#### 245 Quality of life (QoL)

- IT is associated with long-term improvement in QoL, especially after ITA, largely due to the resolution of hypoglycaemic events. Chronic diabetic complications, immunosuppression-related side effects and nonachievement or loss of insulin-independence may attenuate this otherwise overall positive impact on QoL.<sup>53</sup>Of note are reports of successful pregnancies after IT.<sup>54</sup>
- Therefore, in selected T1D patients, IT is associated with long-term improvement in metabolic control with less hypoglycaemia and stabilized, improved or delayed chronic microvascular complications of diabetes than that obtained with intensive insulin therapy.
- 253

## 254

#### 255 Risks

The main risks of IT are related to the procedure, the immunosuppressive agents and exposure to additional HLA
 antigens.<sup>55, 56</sup>

- 258 The procedural risk of percutaneous transhepatic portal vein catheterisation followed by islet infusion includes 259 the occurrence of bleeding and portal vein thrombosis. While peritransplant heparin is used to reduce the risk of 260 thrombosis and increase islet survival, it increases the risk of hepatic bleeding. This risk is estimated to be less 261 than ten percent of procedures and includes perihepatic hematomas and intraabdominal or rarely intrapleural 262 hemorrhages, that may require blood transfusion or surgical interventions. The use of different types of hemostatic plugs in the catheter tracts have reduced the bleeding risk over time.<sup>57</sup> Some centers perform 263 264 laparoscopic or minisurgical procedures using mesenterial veins to infuse the islets in the portal system.<sup>21</sup> Portal 265 vein thrombosis is a rare complication in current practice, although branch vein clot occurs with an incidence 266 below five percent, possibly related to an increasing cumulative tissue volume. When portal branch vein 267 thrombosis is detected during routine follow-up soon after islet transplantation, longer-term anticoagulation is 268 indicated. Long-term ultrasound follow-up of IT patients can reveal patchy hepatic steatosis that is likely related 269 to locally high insulin concentrations.
- One of the main barriers to IT is the requirement of immunosuppressive agents for the life of the graft. The main risks of immunosuppression are uncommon, but include potentially severe infections and malignancies, including *Epstein-Barr virus* (EBV)-induced lymphoproliferative disorders. The use of T-cell depleting agents leading to more pronounced immunosuppression may increase this risk. Prophylaxis against *cytomegalovirus* disease is commonly used, as is cotrimoxazole for the prevention of *pneumocystis*. Preventive measures against malignancies include the avoidance of sun exposure and adherence to routine (especially skin) cancer screening. Individual immunosuppressive drugs can each cause uncommon severe side effects.
- The occurrence of DSA bears a theorical risk of sensitization, and may be a barrier for future transplantation Nevertheless, the risk of sensitization after multiple grafts does not appear to be greater than that of a single graft (ten percent of cases), bearing in mind that alloreactivity with respect to successive mismatch seems to subside with their repetition.<sup>58</sup> Also the maintenance of immunosuppression protects against sensitization and it seems important to withdraw immunosuppression gradually in cases of islet graft failure unless it is still indicated to support a functioning kidney graft.

#### 284 **Prognostic Factors**

- Prolonged insulin-independence after IT has now been demonstrated in numerous reports. However, like in all other allogeneic transplantations, graft function often declines with time, resulting in the progressive deterioration of glucose control and reintroduction of oral antidiabetic agents or small doses of insulin. Numerous factors can, in theory, impact the outcomes of IT, including those related to the recipient baseline characteristics, but also to the donors, the techniques used for preparing and administering the islets, as well as to immunosuppression, rejection and recurrent auto-immunity.
- In CITR, <sup>9</sup> the five-year outcomes of IT appear similar when performed alone or after a kidney graft, and better results are observed when the age of the recipient is above 35 years, with female gender, lower baseline insulin requirement and HbA<sub>1c</sub> level, and in the absence of pre-existing islet cell autoantibodies.
- Among the graft characteristics, a higher number of transplanted islets, often in two or three separate infusions, as well as a short period of islet culture prior to transplantation appear favourable.
- 296 Long-term IT outcomes appear also related to the type of immunosuppression administered, with regimens 297 combining induction therapy with T-cell depletion and/or TNF- $\alpha$  inhibitors and maintenance therapy with 298 mTOR inhibitor and/or calcineurin inhibitors being the most favourable. In recipients combining all these 299 favourable factors, the five-year rates of graft survival and insulin-independence were 69% and 47%,

respectively, and approaching the rates reported after solitary pancreas transplantation.<sup>23</sup>
 In T1D recipients, IT triggers immune reactions which are both innate and specific, autoimmune and alloimmune, as well as humoral or cellular.<sup>57</sup> DSA are frequently detected in patients with poor graft survival, and have been associated with HLA-DR mismatches and pre-existing panel reactive antibodies.<sup>59</sup> On the other hand, de novo DSA in IT recipients may not directly impact graft survival.<sup>60</sup>

Apart from their number, it seems obvious that qualitative characteristics of the transplanted islets also matter, and various *in vitro* <sup>61</sup> or *in vivo* <sup>62</sup> assays have been proposed to estimate islet function. None of these tests is, however, available at point of care, and their correlation with clinical outcomes remain to be established. While islet purification is required to decrease the risk of portal vein thrombosis after IT, the persistence of limited amounts of non-endocrine cells, <sup>36</sup> and/or presence of trapped islets<sup>9</sup> are associated with better fiveyear metabolic outcomes.

Finally, the initial graft function, which can be estimated with various biological proxies or by the initial decrease of insulin requirement, appears highly predictive of later metabolic outcomes.<sup>9</sup> Likewise, optimal primary graft function, as documented by a near normal beta-score (a composite index based on C-peptide, fasting glucose, HbA1c and insulin requirements) one month after the last islet infusion, is an excellent predictor of long-term graft survival and insulin-independence.<sup>63</sup>

Approximately less than ten percent of IT recipients experience procedure-related complications, which, even when fully reversible, have a clear negative impact on later metabolic outcomes.<sup>9,64</sup> Collectively, these results suggest that, when allogeneic and autoimmune reactions can be efficiently prevented with immunosuppression, the main determinant of long-term IT outcomes is the overall functional mass of islets that initially survived the transplantation procedure.<sup>14</sup>

#### 322 Current limitations and future directions

#### 323 Alternative islet sources

321

324 While allogeneic IT is an established therapeutic alternative to insulin therapy, access is presently limited by the 325 availability of deceased donor pancreata for islet isolation and the accessibility of regional isolation facilities to 326 transplantation programs. The development of an islet source that makes on-demand, limitless manufacturing of 327 cell products possible, would significantly expand access to  $\beta$ -cell replacement therapy (Figure 3). Nevertheless, 328 it must still overcome significant safety concerns and inefficiencies in production. Porcine islets are the most 329 advanced xenogeneic source of islets for transplantation,<sup>65</sup> being available from established pathogen-free herds 330 and physiologically maintaining a similar blood glucose concentration as in humans. However, transplantation of 331 xenogeneic tissue presents a greater immunologic barrier than allogeneic tissue, with risk for hyperacute 332 rejection requiring more intensive immunosuppression. Also it introduces the potential for transmission of 333 zoonotic infections such as porcine endogenous retroviruses. Novel genome editing approaches are underway in 334 attempt to engineer porcine islets with lower immunogenicity and retroviral burden that promise to enhance 335 potential efficacy and safety of this cell source.66

336 The differentiation of human stem cells to insulin-producing islets provides another potentially unlimited cell source for IT.<sup>67</sup> Human embryonic stem (ES) cells can be differentiated in vitro to a pancreatic 337 338 endoderm progenitor stage, which following transplantation in mouse models, further differentiates in vivo into 339 insulin-producing islet tissue capable of reversing diabetes.<sup>68,69</sup> However, off-target differentiation of pancreatic 340 ductal structures has been observed with longer-term follow-up of transplanted mice.<sup>70</sup> Therefore, the uncertain 341 potential for neoplastic transformation requires transplantation in sites where the cells can be readily monitored and retrieved if necessary. Human ES cells can be further differentiated in vitro to a pancreatic islet cell stage 342 343 characterized by the capacity for glucose-dependent insulin secretion before transplantation that may also 344 reverse diabetes in mouse models.<sup>71,72</sup> More research is required to determine whether further in vitro 345 differentiation can lower neoplastic potential and risk for developing non-islet tissue structures with ES cell 346 products, as well as to ensure the reproducibility and efficiency of this approach. Human ES cell-derived islets, 347 like deceased donor islets, are allogeneic and require immunoprotection. Stem cell-derived islets have also been 348 differentiated from patients with T1D using inducible pluripotent stem cells<sup>73</sup> and somatic cell nuclear transfer<sup>74</sup> 349 that may abrogate alloimmunity. However, autoimmune recurrence is an obstacle faced by any human tissue 350 source.

#### **351** Alternative implantation sites

352 The liver remains the most efficient site for islet engraftment as has been established for allogeneic IT, and is 353 successful in pre-clinical large animal models of porcine IT. For such mature islet cell products, access for 354 monitoring cellular identity is not necessary, but an alternative site capable of monitoring immune responses is 355 desirable. The only case of successful islet engraftment outside of the liver in a patient with T1D involved an 356 omental pocket created with a bioartificial thrombin scaffold, <sup>75</sup> which may be useful for patients with 357 contraindications to intraportal islet delivery. Nevertheless, the omental site is no more accessible for biopsy 358 monitoring or retrieval as may be required for stem cell-derived islets. Cases of intramuscular transplantation 359 using the brachioradialis muscle for the forearm have documented islet survival for autologous, <sup>76</sup> but not allogeneic, <sup>77</sup> islets. The development of bioscaffolds capable of inhibiting islet cell death from hypoxia and
 facilitating rapid re-vascularization for oxygen delivery will be necessary to support more efficient islet survival
 in either an intramuscular or a subcutaneous site. Creation of a subcutaneous "device-less" space has been
 successful in mouse models using deceased donor islets<sup>78</sup> and human ES cell-derived pancreatic endoderm cells
 (PEC), <sup>70</sup> and requires further testing of diabetes reversal in large animal models.

#### 365 Alternative to immunosuppression

366 The requirement for chronic immunosuppression has limited the current indication for IT to mainly those adult 367 patients who have experienced a severe hypoglycemia event complicated by hypoglycemia unawareness that represent less than ten percent of the population with T1D.<sup>79</sup> The incorporation of antibodies blocking 368 369 costimulatory leukocyte functional antigen-1 (efalizumab) or CD28 on T lymphocytes (belatacept) has allowed 370 for the elimination of calcineurin-inhibitors that negates concern for immunosuppression-related 371 nephrotoxicity.<sup>80</sup> The long-term maintenance of insulin-independence with minimal, and, in one case no 372 maintenance immunosuppression, supports the possibility of one day achieving operational islet graft tolerance. 373 Until the induction of immunologic tolerance to allo- and autoantigens can be consistently established for 374 cellular therapy to treat T1D, immunoisolation of islet cell products is being pursued with encapsulation devices. 375 Recent results of human ES cell-derived PEC placed subcutaneously in a cell-impermeable device in patients 376 with T1D indicated PEC survival was significantly limited by a fibrotic foreign-body response.<sup>81</sup> 377 Immunoisolation via a macroencapsulation has to date only maintained human islet survival in a patient with 378 T1D when incorporating a refillable oxygen chamber within the device.<sup>82</sup> 379

#### 380 Conclusion

381 IT has proven its long-term efficacy over the last 20 years, both in alleviating the immediate burden of labile 382 diabetes and in preventing long-term diabetes-related complications, whether or not a prior kidney graft is 383 present. Allogeneic IT is currently a safe therapeutic alternative for patients with T1D who have experienced a 384 severe hypoglycemia event complicated by reduced hypoglycemia awareness or excessive glycemic lability. 385 Access is presently limited by the availability of deceased donor pancreata for islet isolation and the necessity of 386 immunosuppression. Future approaches may include alternative sources of islets, extra-hepatic sites of 387 implantation, and immunotolerance or immunoisolation, but these approaches still require more advanced phase 388 clinical testing.

389

#### 390 Contribution of each author

- 391 The four authors have equally contributed
- 392

#### **393 Declaration of interest**

- 394 The authors have no competing interest, regarding this manuscript.
- 395

#### 396 Acknowledgement

- 397 No specific financial support was provided for the writing of this manuscript.
- **398** Nevertheless M.R.R. is supported in part by Public Health Services Research Grant R01 DK091331.

399 MCV and FP are or have been supported by the French Ministry of Health, PHRC (Programme hospitalier de

400 recherch clinique), the European Community (Fond Européen de Développement Régional), Conseil Régional

401 *du Nord-Pas-de-Calais* (IFR 114, *Institut de Médecine Prédictive et de Recherche Thérapeutique*), Programme

402 d'investissements d'avenir Labex European Genomic Institute for Diabetes ANR-10-LABX-46), the Société 403 Française d'Endocrinologie, the Association de Recherche pour le Diabète, Santelys and the Agence de la

404 Biomédecine.

#### 406 Figure Legends

#### 407 Figure 1: Innovating treatments for type 1 diabetes

- 408 The three innovating approaches for treatment of type 1 diabetes:409 a. technological improvement of insulin delivery progressive
  - a. technological improvement of insulin delivery progressively evolving towards a closed loop system,
- 410 b.  $\beta$ -cell replacement therapy with islet transplantation being presented here, or with pancreas transplantation,
- 412 c. preservation and regeneration or of residual native  $\beta$ -cells.
- 413 *italic: approaches which are still future directions*414

#### 415 Figure 2: Indications for allogeneic islet β-cell replacement therapy in type 1 diabetes

Pancreas transplantation alone or pancreas-after-kidney transplantation may be indicated in younger patients without cardiovascular morbidity. A threshold for C-peptide < 0.3ng/mL (100 pmol/L) is often considered but it may vary according to kidney function and the technique of measurement. In some centers, insulin requirements < 60 units /day is used as cut-off point.</p>

BMI: body mass index; eGFR: estimated glomerular filtration rate; LDK : living donor kidney transplantation
 SPK: simultaneous pancreas-kidney transplantation; PAK: pancreas-after-kidney transplantation

#### 423 Figure 3: Current and future approaches to β-cell replacement therapy in type 1 diabetes (T1D)

424 Green, the current clinical approach uses allogeneic islets isolated from a deceased donor as the β-cell source,
 425 delivery via portal vein infusion for intrahepatic engraftment, and standard T lymphocyte directed induction and
 426 maintenance immunosuppression.

427 Orange, a case of successful transplantation of allogeneic islets into an omental pouch created with a thrombin 428 bio-scaffold has been reported, <sup>75</sup> and a case of successful intrahepatic transplantation of allogeneic islets has 429 documental operational islet graft tolerance following withdrawal of maintenance immunosuppression provide 430 proof-of-concept for the possibility of extra-hepatic and immunosuppression-free islet transplantation in humans. 431 Purple, pre-clinical approaches without as of yet document success in reversing diabetes in human T1D.

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#### Table 1: Overview summary of one and five-year metabolic outcomes after islet transplantation since 2004

Twenty-two studies were included in this updated review when graft function, insulin independence or the composite criteria of good metabolic balance with HbA1c < 7% (53 mmol/mol) associated with suppression of severe hypoglycemia events (SHEs), with at least 1-year and up to 5-year follow-up were clearly reported</li>
Outcomes are described by median, IQR, Min, and Max rates. The number of studies and patients is mentioned in the analysis.

651 - Fifteen studies reported data from ITA recipients alone: Hering BJ. et al. (PMID 14961992); Hering BJ. et al.<sup>15</sup>; 652 Ryan E. et al. (PMID 15983207) (including a subgroup analysis on patients who completed the islet transplant procedure); Froud T. et al. (PMID 15996257) (including a subgroup analysis on patients who 653 654 completed the islet transplant procedure; five out of fourteen patients were retransplanted); Shapiro AMJ. et al.<sup>56</sup> 655 (In this study, insulin independence was associated with HbA1c < 6.5%); Huurman V. et al. (PMID 18560516); Bellin MD. et al. (PMID 18808408); Turgeon NA. et al. <sup>25</sup>; Matsumoto S. et al. (PMID 21396171) (one out of three patients from the group Anakinra was retransplanted); Maffi P. et al. <sup>45</sup> (18/33 pre-transplant treatment with 656 657 658 sirolimus, this study included a subgroup analysis of patients who achieved insulin independence (19/33) with Kaplan-Meier estimates); O'Connell PJ. et al. <sup>12</sup>; Rickels MR. et al. <sup>16</sup>; Qi M. et al. <sup>34</sup> (three out of six 659 660 patients from the group etanercept/exenatide were retransplanted); Maffi P. et al. (PMID 25286053); Hering BJ. 661 et al. (PMID 27208344).

- Three studies reported data from IAK or SIK recipients alone: Cure P. et al. (PMID 18360260); Tan J. et al.
(PMID 18633105); Lehmann R. et al. <sup>35</sup>

- And finally, four studies reported data from both ITA and IAK recipients: Deng S. et al. (PMID 19920782)
(including a subgroup analysis on patients who completed the islet transplant procedure); Lablanche S. et al. <sup>3</sup>; Lablanche S. et al. <sup>14</sup>

- All trials were phase 1/2, interventional, non-randomized, single arm, open label studies, excepted: Froud T. et al. (PMID 15996257) (Phase 2, randomized, parallel assignment, open label); Hering BJ. et al. (PMID 27208344) (Phase 3, non-randomized; single arm; open label) and Lablanche S. et al.<sup>13</sup> (Phase 3, randomized; parallel assignment; open label)

- abbreviations : IQR: Inter Quartile Range Q1-Q3; ITA: Islet Transplantation Alone; IAK: Islet After Kidney;
Min : minimum; Max: maximum; SIK: Simultaneous Islet-Kidney Transplantation; SHEs: Serious
hypoglycemic events.

674 PMID is given when references were not quoted in the main text.

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#### 682 Table 2: Comparison of islet transplantation to other reference treatments

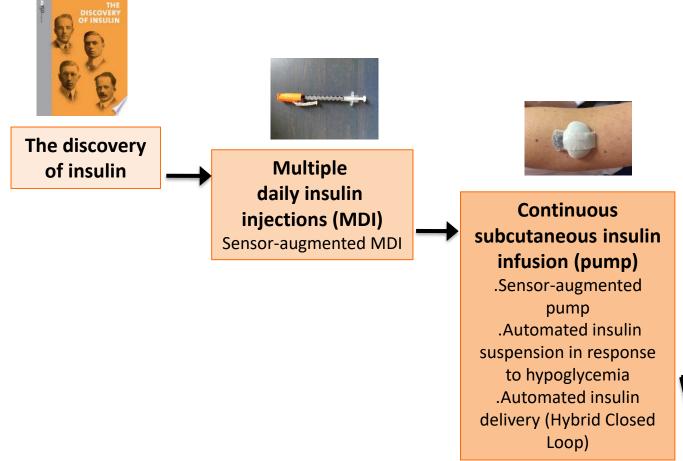
- 683 All comparisons are given as IT compared to the control group:
- 684  $\rightarrow$ : similar;  $\neg$ : higher;  $\lor$ : lower (in islet transplantation as compared to the control group)
- 685 All studies are single center except otherwise indicated
- 686 Insulin-free rates are given at the end of the follow-up period either otherwise indicated
- 687 \*the study did not aim at gaining insulin-independence

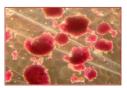
688 ATG: thymoglobulin; CMV: cytomegalovirus; CGM: Continuous glucose monitoring; CSII: Continuous 689 subcutaneous insulin infusion; DIN: Daily Insulin Need; eGFR: estimated Glomerular Filtration Rate; Hypo: 690 Hypoglycemia; IAK: Islet after kidney transplantation; IT: islet transplantation; ITA: Islet Transplantation

691 Alone; KTA: kidney transplantation alone; MDI: multiple daily injection; MG: Mean glucose on CGM; MMF:

- 692 mycophenolate; PAK: Pancreas after kidney transplantation; PTA: pancreas transplantation alone; SD: standard 693
- deviation of mean glucose on CGM; sir: sirolimus; SIK: Simultaneous islet-kidney transplantation; SHEs: 694 Severe hypoglycaemia events; SPK: Simultaneous kidney-pancreas transplantation. T1D: type 1 diabetes; tac:
- 695 tacrolimus; yr: year.
- 696 697
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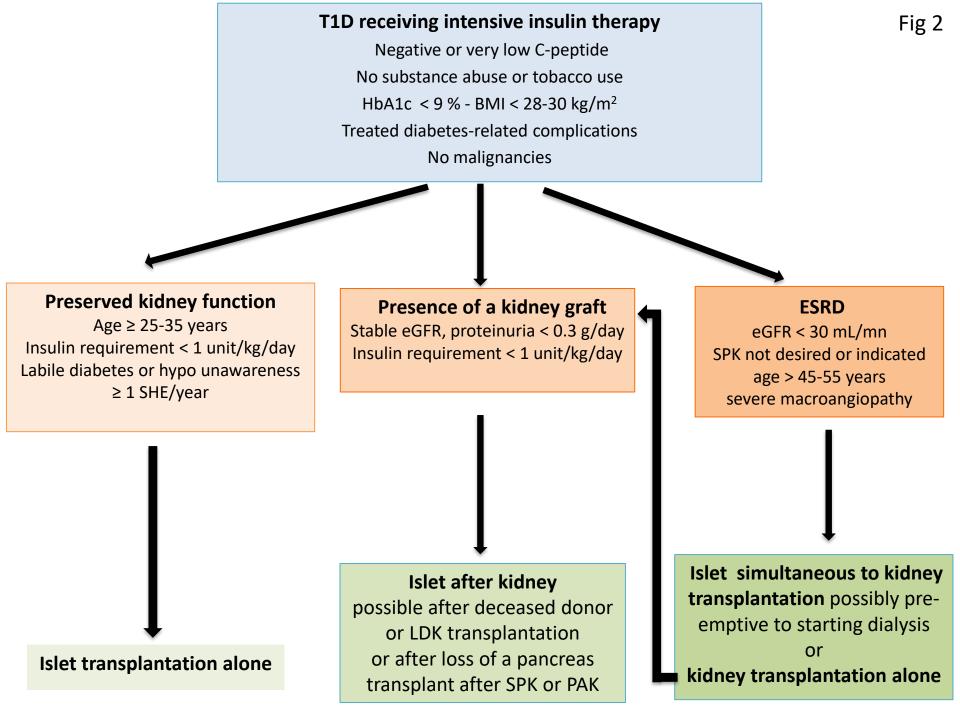






ß cell replacement (transplantation) Whole pancreas Isolated islets Stem cell-derived islets Xenogeneic islets

**ß cell regeneration** Replication, Neogenesis, Transdifferentiation



Allogeneic islets isolated from a deceased donor

Intrahepatic via portal vein infusion

Induction and maintenance immunosuppression

Xenogeneic islets isolated from a pathogen-free porcine herd

Intraomental via thrombin bio-scaffold

> Induction immunomodulation with operational immune tolerance

Allogeneic islets derived from an embryonic stem (ES) cell line

Autologous islets derived

from an inducible pluripotent

stem (iPS) cell line

Intramuscular

Subcutaneous via a device-less space or cell permeable or impermeable device Immunoisolation via macroencapsulation device

	Graft Function % of patients								
	1 year				5 years				
	Median (IQR)	Min- Max	N. of studies/patients		Median (IQR)	Min- Max	N. of studies/patients		
ITA recipients	93 (75-100)	50 to 100	22/254	:	81 (78-100)	74 to 100	6/34		
IAK/SIK recipients	93 (88-100)	86 to 100	5/52		80 (74-85)	74 to 85	2/24		

#### Insulin Independence % of patients

		1 year			5 years			
	Median Min-Max N. of (IQR) studies/patients		Median (IQR)	Min-Max studies/natie				
ITA recipients	67 (50-82)	38 to 100	23/170	40 (14-50)	8 to 100	7/20		
IAK/SIK recipients	51 (28-74)	20 to 83	6/21	32 (9-54)	9 to 54	3/7		

#### HbA1c < 7% (53mmol/mol) and no SHEs % of patients

		1 year			5 years	
	Median (IQR)	Min-Max	N. of studies/patients	Median (IQR)	Min-Max	N. of studies/patient s
ITA recipients	82 (69-94)	40 to 100	9/121	54 (50-90)	50 to 100	4/13
IAK/SIAK recipients	86 (80-86)	80 to 86	3/18	59 (58-60)	58 to 60	3/7

Islet transplantation	Study design	ITA IAK	T1D control	IT Immuno suppression	Follow -up yr	Insulin free %	HbA1c	SHEs	Adverse events
vs. Optimized insulin therapy									
Vantyghem 2009 <sup>24</sup>	Non- randomized retrospective	7 ITA 6 IAK	17 intra peritoneal pump	Edmonton	3	46 %	<b>)</b> 6.6 vs 8.1%	Я	7
Thompson 2011 <sup>42</sup>	Prospective cross-over cohort	32 ITA	45	ATG+tac+ MMF	> 5	37%	ש 6.7 vs 7.8%	-	-
Gerber 2015 <sup>43</sup>	Non- randomized retrospective	22 IAK or SIK	#1: 70 MDI or CSII #2:13 KTA	Edmonton	7	5-yr: 9 %*	<ul> <li>▲ 6.7 vs</li> <li>8.2 preIT</li> <li>7.6 % (#1)</li> <li>7.9 % (#2)</li> </ul>	ч	7
Lablanche 2018 <sup>14</sup>	Multicentre, open-label, randomized controlled	25 ITA or IAK	21:CSII or MDI	ATG+steroid bolus + tac + MMF + etanercept	1	59 %	¥ 5.8 vs 8.1%	ש 0 vs 2%	• one death related to SHEs on waiting list
vs. Pancreas transplantation									
Franck 2004 <sup>47</sup>	Non- randomized retrospective	9 ITA 4 IAK	25 SPK 5 PAK	Edmonton	3	И	7	→ 0 vs 0%	R
Maffi 2011 <sup>45</sup>	Non- randomized retrospective	33 ITA	33 PTA	Edmonton or ATG+MMF+sir± pre-IT	1	ษ 59 vs 75%	-	-	И
Lehman 2015 <sup>35</sup>	Non- randomized retrospective	38 SIK or IAK	94 SPK or PAK	Edmonton then sir switched to MMF, daclizumab switched to basiliximab/ ATG	> 5	<b>)</b> 9 vs 73%*	<b>7</b> 6.5 vs 5.9%	$\begin{array}{l} \clubsuit \\ drop & by \\ 90\% & vs \\ pre-IT \end{array}$	<b>Y</b> 10 vs 41%