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23 Abstract

The purpose of this study was to propose and validate a new simple method for calculation of 24 center of mass work during field running, in order to avoid the use of costly and inconvenient 25 measurement devices. This method relies on spring-mass model and measurements of average 26 27 horizontal velocity, and contact and flight times during running. Ten male, recreational subjects ran on a dynamometer treadmill at different velocities ranging from 2.22 to 4.44 m.s⁻¹ during 4 28 min 30 s for each velocity. Twenty consecutive steps were analyzed after 3 min 30 s. The 29 potential (W_{pot}) , forward kinetic (W_{kinf}) and the total center of mass (W_{ext}) work data obtained 30 with this new method were compared with the reference data calculated from ground reaction 31 force measurements. W_{ext} , W_{pot} and W_{kinf} values calculated with the proposed method were 32 respectively $+3.39 \pm 0.77\%$ higher, $-4.14 \pm 0.72\%$ lower and $+7.34 \pm 1.08\%$ higher than values 33 obtained by the reference method. Furthermore, significant linear regressions close to the 34 identity line were obtained between the reference and the proposed method values of works (r 35 = 0.99, p < 0.05 for W_{ext} ; r = 0.98, p < 0.05 for W_{pot} ; r = 0.98, p < 0.05 for W_{kinf}). It was 36 concluded that this new method could provide a good estimate of center of mass work in field 37 running thanks to a few simple mechanical parameters. 38

39

40 Introduction

In sports, the measurement of mechanical power and/or work is often used for research or
athlete training and monitoring purposes. As recently mentioned by van der Kruk et al. (2018):
"The quantification of mechanical power can provide valuable insight into athlete performance
because it is the mechanical principle of the rate at which the athlete does work or transfers
energy to complete a movement task".

The biomechanics and energetics of running have been mainly investigated by means of two 46 integrative mechanical models: the "center of mass model" (CMM) and the "spring-mass 47 model" (SMM). The work done to raise and accelerate the center of mass (COM) at each step 48 was defined as "external work" (Wext, Cavagna, 1975; Fenn, 1930) or center of mass work (van 49 der Kruk et al., 2018) in the literature. The SMM is widely used for describing the stored and 50 released elastic energy in the lower limbs in humans at each step. The SMM is mainly 51 characterized and controlled by the stiffness of the leg (k_{leg}) during contact (McMahon and 52 Cheng, 1990; Farley and González, 1996) in the sense that the SMM represents the bounce of 53 the body on the ground by a single mass mounted on a springy leg. 54

CMM and SMM biomechanical models have been used in the literature to address the 55 differences in metabolic energy cost among individuals. Indeed, inter-individual variability of 56 the energy cost of running (Cr) have been significantly related to mechanical parameters and 57 more specifically to W_{ext} (Saibene and Minetti, 2003) and k_{leg} (Dalleau et al., 1998). 58 Significant positive correlations between inter- and intra-individual C_r and W_{ext} variations were 59 found by Bourdin et al. (1995) for an homogeneous group of long distance runners and by 60 Lazzer et al. (2014) in ultra-marathon runners. Furthermore, k_{leg} was negatively correlated with 61 inter-individual variability of Cr for middle-distance runners (Dalleau et al., 1998). 62

Therefore, the measurements of W_{ext} and k_{leg} are important to further investigate the 63 biomechanics of running. In laboratory conditions, W_{ext} can be accurately measured (Cavagna, 64 1975; Arampatzis et al., 2000; Saibene and Minetti, 2003; Avogadro et al., 2004; Pavei et al., 65 2017) by using force platforms or instrumented treadmills and motion capture systems. 66 Nowadays, these techniques are still used in order to find any small differences that could 67 discriminate variations among subjects. Using the same measurement devices, k_{leg} was also 68 initially investigated in laboratory conditions (McMahon and Cheng, 1990; Farley and 69 González, 1996). In 2005, Morin et al. proposed and validated a simple method for 70 measurements of k_{leg} , based on measurement of body mass and leg length and on field 71

measurement of contact time, flight time and average running speed. The contact and flight
times as well as average running speed can nowadays be performed by portable and lightweight
equipment such as pressure sensors, accelerometers, goniometers or gyroscopes (Norris et al.,
2014), or by systems utilizing photocell beams placed on running tracks (Glatthorn et al., 2011).
The Morin's method has since been widely used in field conditions (Hobara et al. 2010; Morin
et al. 2011a, b).

To the best of our knowledge, there are no simple methods that could monitor W_{ext} in field running conditions and the purpose of the present study was to propose and validate such a method. The proposed method is based on the same measurements as Morin et al. (2005): contact time, flight time, average running speed, leg length and body mass. It was validated during treadmill running by comparing it with the reference W_{ext} computed from ground reaction forces (GRF, Avogadro et al., 2004; Cavagna, 1975).

84

85 Materials and methods

86 *Protocol*

Ten male subjects $(22.5 \pm 2.84 \text{ years}; 75.6 \pm 6.05 \text{ kg}; 1.82 \pm 0.06 \text{ m}; \text{ leg length } 0.96 \pm 0.04 \text{ m};$ mean \pm SD) gave their informed consent to participate in this study. They were all physical education students and experienced in treadmill running. After a standardized 6 min warm-up at 3.33 m.s⁻¹, subjects ran 4 min 30 s on a treadmill at 2.22, 2.78, 3.33, 3.89 and 4.44 m.s⁻¹, in random order, interspersed with at least 5 min rest.

Mechanical parameters were measured by treadmill dynamometer (ADAL 3DC, HEF 92 Techmachine, Andrézieux-Bouthéon, France, for details, see Belli et al. (2001)). Force data 93 were collected after 3 min 30 s. 3D GRF were sampled at 1000 Hz and were filtered using a 94 95 low-pass fourth-order Butterworth filter with a 50 Hz cut-off. Contact and aerial times were measured from force signals, contact period corresponded to a vertical force higher than 30 N. 96 Measurements were calculated for each cycle (defined by time period from one heel strike to 97 contralateral heel strike) then averaged for twenty consecutive steps to obtain a good estimate 98 of average step values (Belli et al., 1995). 99

100

101 Reference method for measuring W_{ext}

102 The reference forward kinetic work (W_{kinf}) due to forward acceleration of the body and the 103 reference potential work (W_{pot}) done to raise the COM were calculated from GRF of the foot 104 during each step and computed the speeds and displacement of the COM by simple time-105 integration of antero-posterior GRF and double time-integration of vertical GRF respectively, 106 according to the method of Avogadro et al. (2004). Assuming that no transfer occurred between 107 kinetic and potential energies in running (Cavagna et al., 1964) and that the medio-lateral work 108 was negligible (Cavagna, 1975), W_{ext} was calculated as:

$$109 \qquad W_{ext} = W_{kinf} + W_{pot} \ (1)$$

110

111 Proposed method for measuring \widehat{W}_{ext}

112 In the present method the \widehat{W}_{ext} was simply computed as the elastic mechanical energy stored 113 and released by the spring-mass model (e.g. Blickhan and Full, 1993):

114
$$\widehat{W}_{ext} = \frac{1}{2} k_{leg} \Delta L^2$$
(2)

115 where k_{leg} (in kN.m⁻¹) and ΔL (in m) were calculated according to the method of Morin et al. 116 (2005):

117
$$k_{leg} = F_{max} \Delta L^{-1} (3)$$
 and $\Delta L = L - \sqrt{L^2 - \left(\frac{vt_c}{2}\right)^2} + \Delta y (4)$

118 with $F_{max} = mg \frac{\pi}{2} \left(\frac{t_f}{t_c} + 1 \right) (5)$ and $\Delta y = \left| -\frac{F_{max} t_c^2}{m \pi^2} + g \frac{t_c^2}{8} \right|$ (6)

119 Where *m* is the body mass (in kg), $g = 9.81 \text{ m.s}^{-2}$, v the average running velocity (in m.s⁻¹), *L* 120 the leg length (in m), t_c and t_f , the contact and the flight times (in s) respectively determined 121 from vertical GRF.

However, in former ΔL computations (equation 4) it is assumed that the average horizontal running velocity during the contact phase (v_{con}) is the same than the average running velocity (v) (e.g. Farley et al. 1993). Because the horizontal velocity is maximal in flight phase and lower in contact phase (Blickhan, 1989; Cavagna, 2006), ΔL was computed using v_{con} in the present study (see appendix):

127
$$\Delta L' = L - \sqrt{L^2 - \left(\frac{v_{con}t_c}{2}\right)^2} + \Delta y \quad (7)$$

Furthermore, variations of trunk inclination in sagittal plane during the contact phase of running 128 (Thorstensson et al., 1984) induce a backward movement of the COM of the trunk. Therefore, 129 the real horizontal displacement of the COM is lower than the horizontal displacement $v_{con}t_c$ 130 calculated with the SMM (equation 7) that assumes that the COM is always located at the upper 131 end of the leg. This backward movement increases with velocity starting from 2 cm at 2 m.s⁻¹ 132 to 3 cm at 5 m.s⁻¹ at L3 vertebral level (Thorstensson et al., 1984, figure 5) and could induce an 133 increasing overestimation of ΔL with velocity. Taking this phenomenon into account, ΔL was 134 recalculated: 135

136
$$\Delta L'' = L - \sqrt{L^2 - \left(\frac{\nu_{con}t_c - 0.02 - \frac{(\nu_{con}-2)}{3} \times (0.03 - 0.02)}{2}\right)^2} + \Delta y \quad (8)$$

137 From only t_c and t_f measurements \widehat{W}_{pot} could also be calculated:

138
$$\widehat{W}_{pot} = mg(\Delta y + \frac{1}{8}gt_f^2)$$
 (9)

139 where $\frac{1}{8}gt_f^2$ correspond to the rising of the COM during the flight time (Bosco et al., 1983).

140 It was then possible to obtain \widehat{W}_{kinf} according to equation (1):

141
$$\widehat{W}_{kinf} = \widehat{W}_{ext} - \widehat{W}_{pot}$$
 (10)

142

143 Statistical analysis

144 The standard errors of estimate (SEE) of main parameters (W_{ext} , W_{kinf} and W_{pot}) were 145 computed for each subject between values obtained from force plate data at each step and values 146 estimated from model computations:

147
$$SEE = \sqrt{\frac{\sum (F_{Reference} - F_{Model})^2}{N_{steps} - 2}} (11)$$

148 Absolute bias was calculated for each subject at each velocity:

149 *absolute bias* =
$$\left|\frac{Model-Reference}{Reference}\right| \times 100 (12)$$

The reference-model relationships were further described by the calculation of the Pearson's correlation coefficient (r). To analyze the reliability of the proposed method in comparison with the reference, the Intraclass correlation coefficient was used (ICC-2,1). Statistical significance 153 was accepted at p<0.05. All data processing was performed using Matlab 2016b (The154 MathWorks Inc., Natick, MA).

155

156 **Results**

157 The values obtained with both the reference and proposed method, absolute reference-proposed 158 bias, Pearson's correlation coefficient and SEE are shown in Table 1. The proposed method 159 overestimated \widehat{W}_{ext} and \widehat{W}_{kinf} , and underestimated \widehat{W}_{pot} as compared with the reference 160 method. \widehat{W}_{ext} mean bias was 3.39%, ranging from 2.64 to 4.36%. \widehat{W}_{pot} and \widehat{W}_{kinf} biases were 161 respectively 4.14% (ranging from 2.07 to 7.84%) and 7.34% (ranging from 6.70 to 8.12%).

162 There were excellent agreements between methods for W_{ext} , W_{pot} and W_{kinf} (ICC = 0.976, p

163 < 0.001; ICC = 0.952, p < 0.01 and ICC = 0.960, p < 0.001 respectively). The values of \widehat{W}_{ext} ,

164 \widehat{W}_{pot} and \widehat{W}_{kinf} were very close to the reference values measured at each step, with SEE of 165 0.105, 0.044 and 0.106 J.kg⁻¹.step⁻¹, respectively.

166 The reference-model linear regressions of mechanical work (figure 1) were highly significant 167 and Pearson's correlation coefficient revealed a very high relationship for W_{ext} , W_{pot} and 168 W_{kinf} (r = 0.99, p < 0.001; r = 0.98, p < 0.001; r = 0.98, p < 0.001 respectively). Nevertheless, 169 there seems to be a tendency of under/over-estimation of the proposed Wext method with 170 respectively lower/higher running speeds.

- 171 ***** TABLE 1 *****
- 172 ***** FIGURE 1 *****
- 173

174 Discussion

The purpose of this study was to provide a calculation method of W_{ext} based on simple measurements. When this calculation method was compared to reference measurements a high level of agreement of \widehat{W}_{ext} (showed by high ICC) and a very high coefficient correlation (r=0.99, p<0.01) were observed. The same conclusions were reached for \widehat{W}_{pot} and \widehat{W}_{kinf} . Furthermore, the mean biases of the present study (3.39% for W_{ext} , 4.14% for W_{pot} and 7.34% for W_{kinf}) were comparable to Morin et al. (2005) with bias of 6.05% for k_{leg} during treadmill running (between 3.33 and 6.67 m.s⁻¹). These biases could be explained by the limits inherent to the spring-mass model, i.e., the human lower limb is not a true linear spring in a physical
sense. This point has been widely discussed in the literature (Blickhan, 1989; McMahon and
Cheng, 1990; Latash and Zatsiorsky, 1993; Farley and González, 1996).

The values of W_{ext} , W_{pot} and W_{kinf} obtained in the present study are in agreement with the range of values reported in the literature for comparable running velocities (Arampatzis et al., 2000; Avogadro et al., 2004; Bourdin et al., 1995; Cavagna et al., 1964; Dalleau et al., 1998; Fukunaga et al., 1980), up to 2.15 J.kg⁻¹.step⁻¹ for W_{kin} (at 4.44 m.s⁻¹), from 0.87 to 1.25 J.kg⁻¹ step⁻¹ for W_{pot} and from 0.33 to 1.13 J.kg⁻¹.step⁻¹ for W_{kinf} . An increase in W_{ext} and W_{kinf} , and a constant W_{pot} with velocity were also reported in the literature (Cavagna et al., 1964; Avogadro et al., 2004).

It is also important to note that the present method is based on a single body model and thus 192 limited to the calculations of work done by the COM. Minetti (1998) already proposed a method 193 to estimate the "internal work" (W_{int}) produced during field running. This method requires the 194 same type of measurements: running speed, contact and flight times. Both methods could then 195 196 be combined to obtain both W_{ext} and W_{int} in field conditions. As discussed by van der Kruk et al. (2018) in a recent review, the definition of W_{int} is not consistent. These authors also 197 mentioned that multi-joints models and inverse dynamics could be used to calculate and sum 198 joint powers. However, because there is no standard on whether to allow for energy flow 199 between joints, large discrepancy on total work and power calculations can be found among 200 papers using multi-joint models. In addition, in field conditions the frictional work and power 201 mainly due to air friction cannot be taken into account by either single joint nor multi-joint 202 models, but could be estimated from running velocity (Davies, 1980). The energy cost of 203 overcoming air resistance on a calm day outdoor was calculated to be 2% in marathon (5 m.s⁻ 204 ¹) up to 7.8% for sprinting (10 m.s⁻¹). Within the velocity range of the present study it represents 205 less than 2% of the total C_r. 206

Although the present study provides a new mathematical methodology of mechanical work, its extrapolation on overground conditions must be interpreted with caution, since it has not been field tested. Although no fundamental differences exist between mechanics of overground versus treadmill locomotion (van Ingen Schenau, 1980), the wearables used may not be as accurate as the instrumented treadmill to measure horizontal velocity, t_f and t_c . Further investigations are then needed to fully validate this method in field condition. To sum up, \widehat{W}_{ext} appears to provide consistent calculations of mechanical work production during steps. In future, the present method could be used to monitor \widehat{W}_{ext} changes during long distance field running and with shoes or tracks having different damping and elastic characteristics. Coupled with lightweight and portable tool, such a method could provide mechanical feedback on running techniques during normal trainings and/or competitions.

To our knowledge, this is the first method that could be applied to W_{ext} in field conditions. This new calculation is both a valid and reliable method to estimate W_{ext} thanks to simple parameters and practical for field running.

221

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225

226 **Conflict of Interest**

227 The authors do not have any conflict of interest or personal relationships with other people or

228 organizations that could inappropriately influence this work.

229

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306

307 APPENDIX

- 308 *Computation of average velocity during contact time:*
- 309 The average running velocity during a complete step (v) is given by:

310
$$v = \frac{v_f \times t_f + v_{con} \times t_c}{t_c + t_f} = \frac{v_{max} \times t_f + \left(v_{max} - \frac{\Delta v}{2}\right) \times t_c}{t_c + t_f} = v_{max} - \frac{\Delta v \times t_c}{2 \times (t_c + t_f)}$$

where $v_f = v_{max}$ is the maximal velocity during the flight phase, v_{con} is the average velocity during contact and Δv is the change of velocity due to deceleration and corresponding acceleration during contact phase.

314 It is then possible to establish a relationship between the two unknowns v_{max} and Δv :

315
$$v_{max} = v + \frac{\Delta v \times t_c}{2 \times (t_c + t_f)}$$
(1')

316 A second relationship between v_{max} and Δv can be drawn from \widehat{W}_{kinf} as follow:

317
$$\widehat{W}_{kinf} = \frac{1}{2}m(v_{max}^2 - v_{min}^2) = \frac{1}{2}m(v_{max}^2 - (v_{max} - \Delta v)^2)$$

318
$$\Leftrightarrow \frac{2W_{kinf}}{m} = 2v_{max}\Delta v - \Delta v^2$$
 (2')

319 Combining equations (1') and (2') we get a second degree equation of Δv :

320
$$\frac{t_f}{t_c + t_f} \Delta v^2 + (-2v\Delta v) + \frac{2\widehat{W}_{kinf}}{m} = 0 \quad (3')$$

with two Δv real solutions, using the only solution that gives $\Delta v < v$ it is finally possible to compute v_{con} from equation (1):

323
$$v_{con} = v_{max} - \frac{\Delta v}{2} \times \frac{t_f}{t_c + t_f}$$
(4')

324





Table	1
	_

	Speed	Reference method	Proposed method	Absolute	SEE
	(m.s ⁻¹)	(J.kg ⁻¹ .step ⁻¹)	(J.kg ⁻¹ .step ⁻¹)	bias (%)	
W _{ext}					
	2.22	1.26 ± 0.10	1.21 ± 0.13	4.09 ± 2.71	0.092
	2.78	1.49 ± 0.14	1.48 ± 0.16	2.76 ± 2.07	0.087
	3.33	1.70 ± 0.17	1.72 ± 0.20	2.64 ± 1.66	0.095
	3.89	1.87 ± 0.20	1.92 ± 0.21	3.10 ± 2.95	0.110
	4.44	2.00 ± 0.20	2.07 ± 0.26	4.36 ± 3.63	0.140
W _{pot}					
	2.22	0.82 ± 0.09	0.76 ± 0.09	7.84 ± 2.78	0.072
	2.78	0.88 ± 0.12	0.84 ± 0.12	5.27 ± 2.69	0.054
	3.33	0.91 ± 0.15	0.88 ± 0.15	3.43 ± 2.13	0.041
	3.89	0.89 ± 0.17	0.87 ± 0.16	2.07 ± 1.59	0.029
	4.44	0.84 ± 0.15	0.83 ± 0.15	2.10 ± 1.12	0.025
W _{kinf}					
	2.22	0.44 ± 0.03	0.46 ± 0.04	7.97 ± 5.28	0.072
	2.78	0.60 ± 0.04	0.64 ± 0.06	7.02 ± 7.58	0.090
	3.33	0.79 ± 0.04	0.84 ± 0.07	6.90 ± 5.78	0.105
	3.89	0.99 ± 0.06	1.05 ± 0.06	6.70 ± 5.20	0.117
	4.44	1.16 ± 0.07	1.25 ± 0.12	8.12 ± 7.08	0.145