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1                    Unsaturated behavior of rammed earth :  
2                    experimentation towards numerical modelling

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6                    **Abstract**

7                    This study concerns the coupled hydro-mechanical behavior of rammed earth,  
8                    which is a real difficulty for the development of this construction technique.  
9                    Unconfined compressive strength tests on samples conditioned at different  
10                    relative humidities were performed to determine the variation of **mechani-**  
11                    **cal capacity** with suction. Both compressive strength and Young's modulus  
12                    increase with suction. Further, the effect of shear characteristics with the hy-  
13                    dric conditions was studied by direct shear test. As suction induced cohesion  
14                    contributes a significant part of strength, **the apparent cohesion reduced with**  
15                    **the reduction of suction.** **In addition,** a considerable variation was observed  
16                    in the friction angle. Unconsolidated undrained triaxial tests **on unsaturated**  
17                    **samples** were performed to plot the failure envelope for a greater **value** of  
18                    normal stress and to **complete the** failure envelope. **These three test makes**  
19                    **it possible to put in evidence a** non-linearity in the failure envelope over all  
20                    the suction range studied. Consolidated undrained triaxial tests on saturated  
21                    samples were performed to determine the intrinsic cohesion and intrinsic fric-  
22                    tion angle. It was observed that Mohr-Coulomb criterion is not realistic for  
23                    rammed earth and the failure envelope is non-linear **for unsaturated con-**

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ditions. Thus, a failure criterion was proposed in which both cohesion and friction angle are dependent on normal stress applied. The expression for Bishop's effective stress for unsaturated soil was evaluated from the results of triaxial and unconfined compressive strength test together. The non-linear failure criterion obtained for a particular suction can be extended to other suction states through Bishop's effective stress formulation. It can further be used for coupled hydro-mechanical modeling of rammed earth structures.

*Keywords:* Rammed earth, suction, hydro-mechanical behavior, unsaturated state, constitutive modeling

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## 1. Introduction

Rammed earth is a construction technique in which dense load-bearing walls are made by the dynamical compaction of moist sandy-loam soil in between removable shuttering or formworks. It represents a sound alternative to conventional construction techniques, from both energetic and mineral resources point of view and, thus, exactly fulfills the criteria for the urgent and intense ecological transitions needed for the sustainability of society. First, it has lower embodied energy than concrete or steel [1][2] (requires about 1% of energy needed for construction and transportation of concrete [3]), and therefore is responsible for much lower  $CO_2$  emission. Besides, if not stabilized by a binding agent, is recyclable and then does not need mineral resources. In addition, it has been shown that it has desirable hydro-thermal regulator properties for the building in use [3][4][5]. Finally, this material appears to have resistance (around 1 to 2 MPa according to New Zealand standard NZS: 1998 [6] and New Mexico code, 2001 [7]) which is sufficient

48 for building few storey structures.

49 Despite these strong advantages concerning sustainability in the construc-  
50 tion domain, raw earth suffers from a significant sensitivity to liquid water  
51 variation, which makes its use difficult to be generalized. Indeed, mois-  
52 ture ingress induces changes in the consistency of the earth (from solid to  
53 plastic), and decrease of the mechanical rigidity and capacity. This hydro-  
54 mechanical coupling is essential to be understood and quantified both for  
55 new construction and preservation of historical buildings and monuments,  
56 which are very relevant nowadays. This link between mechanical behav-  
57 ior and hydric conditions is better studied within the framework of un-  
58 saturated soil mechanics [8][9][10]. Hydro-mechanical coupling in rammed  
59 earth is more and more investigated thanks to experimental characterization  
60 [11][12][13][14][15][16][17] and numerical models proposed to reproduce these  
61 observations [18][19][20][21]. Indeed, various authors have already studied  
62 the influence of liquid water on the mechanical behavior of rammed earth,  
63 by considering suction as the governing internal stress variable in this un-  
64 saturated soil media. Jaquin et al. 2009 [11] analyzed the effect of suction  
65 on strength and stiffness characteristics through triaxial tests led on sam-  
66 ples conditioned at different hydric states. They conclude that there is an  
67 increase in strength and stiffness with a decrease in water content (in the  
68 range of 5.5-10.2%). A ductile failure was observed for samples conditioned  
69 at low suction states, whereas a brittle failure was observed at high suction  
70 states. Bui et al. 2014 [13] investigated unconfined compressive strength and  
71 secant modulus test at a greater range of water content, from to 1-2% (dry  
72 state) to 11% (compaction water content). Below a water content of 4%,

73 no significant change in strength was observed. However, the compressive  
74 strength decreased from 2 to 0.1 MPa for water content increasing until 11%.  
75 This work thus identified, for the specific earth used, a critical hydric state  
76 regarding the mechanical capacity. Beckett et al. 2012 [22], from unconfined  
77 compressive strength under different varying suction and temperature condi-  
78 tions, concluded that suction is the predominant factor influencing strength.

79 In addition to increasing the strength, suction was also put in evidence to  
80 have an impact on other mechanical parameters. Champiré et al. 2016 [12],  
81 analyzed mechanical response under unload-reload cycles. At lower stress  
82 states, linear elasticity was observed, whereas at higher stress state residual  
83 plastic strains increased, at the same time than gradual degradation of stiff-  
84 ness, which is termed as damage. Further, both plastic strain and damage  
85 were dependent on the suction state. It was concluded that rammed earth  
86 exhibits a complex mechanical behavior which consists of elasto-plasticity  
87 and damage. Bui et al. 2014 [18], used Mazars's model to take into account  
88 this complex behavior. El Nabouch et al. 2018 [23], also highlighted the  
89 difference in the shear parameters between the core of the layers and their  
90 interface.

91 This study aims to investigate further the effect of suction on different me-  
92 chanical parameters to define a constitutive hydro-mechanical model able to  
93 quantify the main features of the rammed earth material. For this purpose,  
94 we chose to adopt the concepts of unsaturated soil mechanics, in which many  
95 constitutive developments already exist and could be applied to our material.  
96 The work presented in this article gathers, in one hand, the presentation of  
97 a subsequent experimental campaign led at the material scale and on the

98 other hand analysis of the results of the hydro-mechanical tests which can  
99 be a step forward towards coupled modeling.

100 The first section is thus devoted to the description of the earth used in this  
101 work, and the manufacture of the samples, which are representative of the  
102 compacted structure element, and the hydric conditions applied.

103 The second section presents the extensive experimental campaign. The influ-  
104 ence of suction was studied for unconfined compressive strength and Young's  
105 modulus determined through simple compression test, and shear parameters  
106 (cohesion and friction angle) analyzed through direct shear tests and unsat-  
107 urated triaxial tests. These shear parameters are essential in soil mechanics,  
108 although very few studies about rammed earth focus on it. Higher values of  
109 normal stresses were applied on the triaxial test, which helps to obtain the  
110 failure envelope for a greater range of normal stress. Intrinsic shear param-  
111 eters were evaluated by saturated triaxial tests. Based on this experimental  
112 analysis, the final section proposes a synthesis of these hydro-mechanical  
113 tests, which can help to obtain a coupled constitutive model able to pre-  
114 dict the coupled behavior. Although our work is adapted for rammed earth  
115 construction, it is also suitable for other types of compacted earth technique.

## 116 2. Material and specimen preparation

### 117 2.1. Geotechnical characterization of the material

118 The material used in this study was procured from an existing construc-  
119 tion site in the Auvergne-Rhone Alpes region of France. The particle size  
120 distribution of this earth shows that it contains 40% sand, 53% silt, and  
121 7% clay. From figure 1, it is clear that the grain size distribution curve is

122 not enclosed within the spindle proposed by BS 1377-2:1990 [24]. The spindle  
123 provides a criterion to identify suitable soils for rammed earth constructions  
124 based on the shape of the particle size distribution. It is known that these  
125 specifications are often not followed by rammed earth and that, particle size  
126 distribution is not the determining parameter for selection of soil as rammed  
127 earth material. Some basic characterization tests for soil were performed.  
128 The Atterberg limit were evaluated as: liquid limit  $w_l = 27.42\%$ , plastic limit  
129  $w_p = 16.39\%$ , giving a plasticity index defined as  $I_p = w_l - w_p = 11.03\%$ . Ac-  
130 cording to the French Classification GTR (Guide de Terrassements Routier)  
131 for fine soils (more than 35 % of grains passing 80  $\mu\text{m}$  and no grain size over  
132 50 mm), it is low plastic silt ( $I_p < 12\%$ ). In order to finely characterize the  
133 clay, the activity ( $A_c = I_p/f$ ) where  $I_p$  is the plasticity index and  $f$  is the  
134 percentage of soil passing 2  $\mu\text{m}$  sieve. The activity was found equal to 1.48,  
135 and is in the active range ( $1.25 < A_c < 2.0$ ) and can be considered as slightly  
136 active. The cation exchange capacity (CEC) of 2.6  $\text{cmol/kg}$  and Specific  
137 surface area ( $S_{sp}$ ) equal to 14.7  $\text{m}^2/\text{g}$  were obtained. It suggests a very low  
138 percentage or absence of swelling clays (high  $S_{sp}$  and CEC ).

## 139 2.2. Preparation of specimen

### 140 2.2.1. Compaction

141 In order to determine the water content to be added for optimum com-  
142 paction, Standard Proctor test was done. A range of optimum moisture  
143 content (11.8 % to 13.4 %) to obtain maximum dry density was observed,  
144 and finally, optimum moisture content of 12.5 % was chosen for preparation  
145 of soil (figure 2). The earth was prepared at optimum moisture content and  
146 placed in an air-tight container for moisture homogenization.

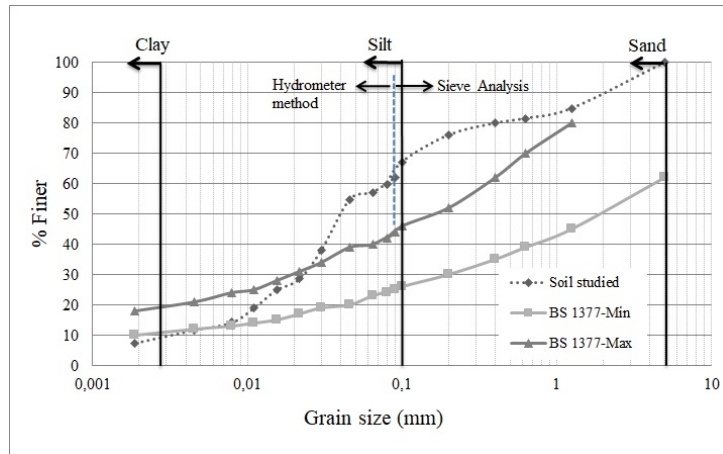


Figure 1: Grain size distribution and the guidelines by BS 1377 [24]

147

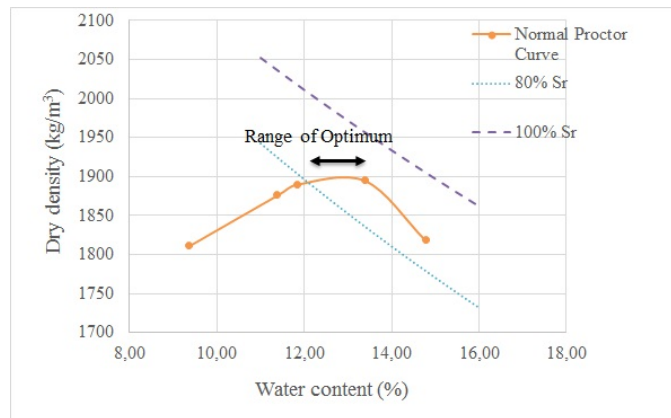


Figure 2: Results of Normal Proctor test

148 For the unconfined compressive strength (UCS) test, unconsolidated undrained  
 149 triaxial (in unsaturated state) and consolidated undrained triaxial (in satu-  
 150 rated state) test, 46 (21+21+4) cylindrical samples were prepared in a mold  
 151 which gives a diameter of 5cm and height of about 10cm. This gives a slen-  
 152 derness ratio of about 2. From the literature [25][26], it appears that for a



153 slenderness ratio of about 2, the compressive strength does not depend on  
154 the geometry, but on the method of compaction and the material. Here,  
155 a method of **static** double compaction was used for sample preparation. A  
156 compaction pressure of 5 MPa was chosen, as it is the standard pressure for  
157 Compressed Earth Blocs (CEB). Firstly, 80% of the total compaction pres-  
158 sure, i.e., 4MPa was applied gradually from one side, and then 100% of the  
159 total compaction pressure, i.e., 5MPa was applied from both sides, accord-  
160 ing to the methodology proposed by Bruno et al. 2015 [27]. This method  
161 gives a sample with more homogeneous density in contrast to the classical  
162 dynamic compaction method, where a gradient of density is observed, the  
163 layer of the earth being denser at top and looser at the bottom. Since in this  
164 study, the effect of suction is being analyzed on the mechanical properties,  
165 it is necessary to fix other influencing parameters. Using this method, there  
166 is better control of the compaction pressure, and the samples were produced  
167 with **excellent repeatability**. **The average dry density and the standard devia-**  
168 **tion from the average for each group of samples are shown in Table 1.** These  
169 values lie within the range of dry density for earthen structures ( $1700 \text{ kg/m}^3$   
170 to  $2200 \text{ kg/m}^3$  [28]). Thus, even though the method of compaction differs  
171 from the actual method of compaction in the field, it is a material which is  
172 representative of rammed earth.

173 A similar method of double compaction with **same compaction pressure of 5**  
174 **MPa was used** to prepare prismatic specimens for performing Direct shear  
175 tests (DST). A total of 63 **[9 (samples at each suction state) x 7 (different**  
176 **suction conditions)]** samples were prepared this way. **A prismatic mold was**  
177 **used to obtain samples of size 60mm x 60mm x 30mm. Samples of these**

178 dimensions were tested considering the mechanical capacity of the shear de-  
179 vice.

180 It is important to note that the optimum moisture content depends on  
181 the method of compaction and energy imposed. The energy of compaction in  
182 the double compaction method is about  $710 \text{ kJ/m}^3$ , and for standard Proc-  
183 tor, the energy is about  $569 \text{ kJ/m}^3$ . The optimum water content obtained  
184 by standard Proctor is generally higher than required for rammed earth con-  
185 struction. Still, the dry density is the most important parameter influencing  
186 the strength properties [29] and the objective is to approach the dry density  
187 as in a rammed earth wall (dry density for rammed earth wall of the stud-  
188 ied soil is about  $1900 \text{ kg/m}^3$ ). Thus the optimum moisture content obtained  
189 from the standard Proctor can be used for the method of double compaction.

Table 1: Average dry density and standard deviation from the average for UCS, DST, and triaxial samples

Samples	Average dry density ( $\text{kg/m}^3$ )	Standard deviation (%)
UCS	1860	1.26
DST	1906	1.74
Triaxial	1910	0.36

190

### 191 2.2.2. Hydric Conditions and hygroscopic characterization

192 For the initial control of suction, liquid-vapor equilibrium method was  
193 used. The saturated aqueous solution of different salts (table 2) are used to  
194 control the relative humidity of the air around the samples by liquid-vapor

195 equilibrium. The exchange of water occurs in terms of water vapor based on  
 196 Kelvin’s thermodynamical equilibrium:

$$s = u_a - u_w = -\frac{\rho_w \cdot R \cdot T}{g \cdot M_w} \ln(RH) \quad (1)$$

Table 2: Different saline solutions and the relative humidity and corresponding suction imposed

Salt	<i>KOH</i>	<i>CH<sub>3</sub>CO<sub>2</sub>K</i>	<i>MgCl<sub>2</sub></i>	<i>NaBr</i>	<i>NaCl</i>	<i>KCl</i>	<i>K<sub>2</sub>SO<sub>4</sub></i>
RH (%)	9	22.51	32.8	57.6	75.3	84.34	97.3
Suction (MPa)	331.3	205.3	153.4	75.9	39	23.4	3.8

197

198 where,  $s$  is the suction defined as difference of pore air pressure ( $u_a$ ) and  
 199 pore water pressure ( $u_w$ ) at a given temperature  $T$  (in Kelvin, K),  $R$  is uni-  
 200 versal gas constant ( $R = 8.3143 \text{ J/mol/K}$ ),  $g$  is acceleration due to gravity,  
 201  $M_w$  is the molar mass of water ( $M_w = 0.018 \text{ Kg/mol}$ ),  $\rho_w$  is the bulk den-  
 202 sity of water ( $\rho_w = 1000 \text{ Kg/m}^3$ ) and RH is the relative humidity, which is  
 203 defined as the ratio of partial vapor pressure  $P$  in the considered atmosphere  
 204 and the saturation vapor pressure  $P_o$  at a particular temperature.

205 The suction equilibration of the 21 cylindrical specimens (for UCS test) is  
 206 shown in the figure 3. The 21 samples were distributed in 7 different relative  
 207 humidity boxes equilibrated at the following relative humidities 9%, 22.51%,  
 208 32.8%, 57.6%, 75.3%, 84.34% and 97.3% (see table 2) in group of 3 samples  
 209 (similar method was used for unsaturated triaxial samples and direct shear  
 210 samples). The samples were weighed regularly to follow the variation of water

211 content with time. Every time, the saturation of the saline solutions were  
212 checked, in order to ensure that samples equilibrate to the desired suction  
213 states. The equilibrium in the samples is supposed to be achieved when the  
214 variation of mass becomes less than 0.05% for more than 24 hours. The  
215 samples which were equilibrated at relative humidity less than 60% i.e., the  
216 ambient relative humidity for this region of France, the equilibration time was  
217 less than **two** weeks. For samples at **a** higher relative humidity (lower suction  
218 values), it took them more than a month for moisture balance. From the  
219 graph, it was seen that, samples equilibrated with *NaBr* salt (RH = 57.6%)  
220 achieve a final water content which is less than the samples with *MgCl<sub>2</sub>* salt  
221 (RH = 32.8% ), which is inconsistent considering that NaBr impose higher  
222 relative humidity than *MgCl<sub>2</sub>*. The saturation of these solutions was checked  
223 at posteriori and was found that *MgCl<sub>2</sub>* saline solution was not saturated.  
224 Thus, it applies **an unknown suction value**. In the further sections, the results  
225 from this batch are not included (for results of UCS). **Also, the samples placed**  
226 **in the *K<sub>2</sub>SO<sub>4</sub>* box (RH = 97.3%, s = 3.8 MPa) are not fully equilibrated.**

227 For **saturated** triaxial test, the samples after preparation by a similar  
228 method of double compaction and were placed inside an air-sealed plastic  
229 bag for a few days. The **hydic state of these samples before testing was not**  
230 **essential to control** since they will be first saturated before testing.

231 In order to obtain the soil-water retention curve (figure 4), 3 centimetric  
232 samples with dry mass ranging from 5g to 8g, were compacted by a similar  
233 method of double compaction. Firstly, the samples were air-dried at ambient  
234 conditions (temperature = 25°C and RH = 62%), then placed inside the  
235 oven at 70°C for sufficient duration of time (few days) until the mass was

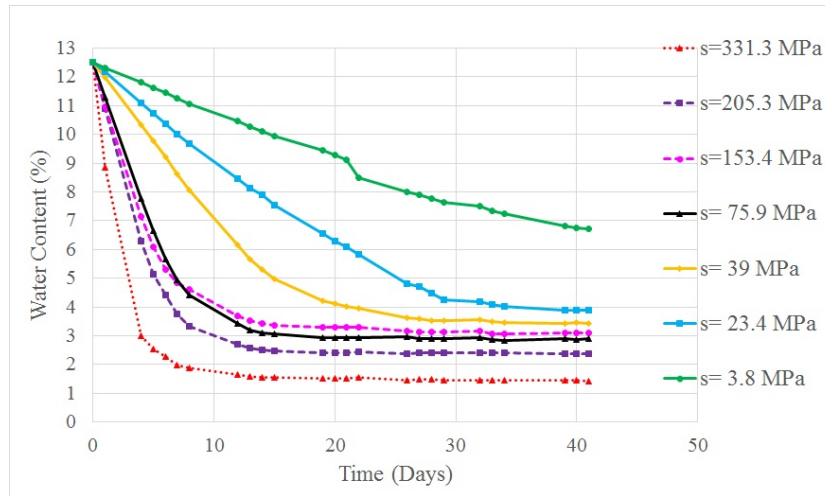


Figure 3: Moisture content variation with time during the suction equilibration in humidity controlled boxes for samples of UCS test directly placed in RH boxes after manufacture

236 stabilized. Then, the samples were placed in the KOH saline solution box  
 237 with the lowest relative humidity (9%). When the samples were equilibrated  
 238 i.e., mass variation is less than 0.05% in 24 hrs, they were transferred to the  
 239 next higher relative humidity box. Points for sorption curve are obtained by  
 240 this method. Once equilibrium was reached in the last box with the highest  
 241 relative humidity (97.3%), the samples were again transferred towards lower  
 242 relative humidity boxes. In this way, the points for the desorption curve are  
 243 obtained. Hysteresis is observed in the soil water retention curve. A differ-  
 244 ence of 0.42 % volumetric water content at the maximum is observed which  
 245 corresponds to a relative difference of around 10 %.

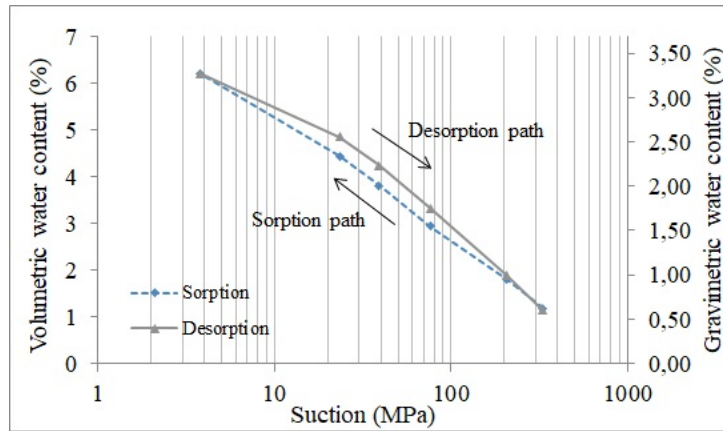


Figure 4: Soil-water retention curve showing both sorption and desorption path.

### 246 3. Apparent and intrinsic mechanical parameters

#### 247 3.1. Influence of suction on apparent mechanical parameters

248 In this section, results from unconfined compressive strength (UCS) test,  
 249 direct shear test (DST) and **unsaturated** triaxial test are presented to study  
 250 the variation of parameters like UCS, initial tangent modulus, apparent co-  
 251hesion and friction angle with suction. **The analysis in these tests is done in**  
 252 **terms of total stresses, whereas for the evaluation of the intrinsic parameters**  
 253 **in the further section, the effective stress concept was used.**

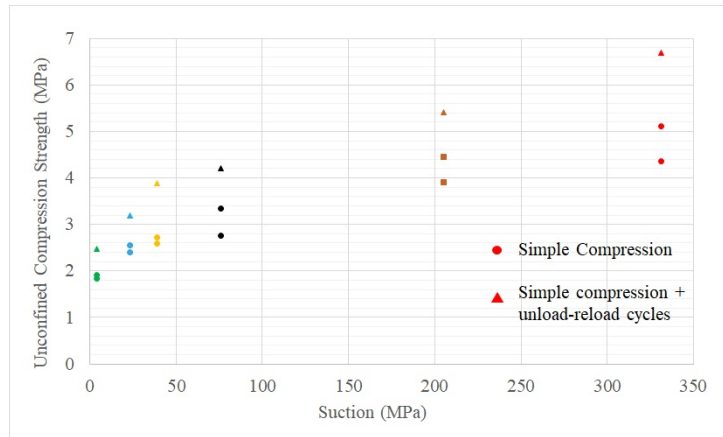
254

##### 255 3.1.1. Unconfined compressive strength test

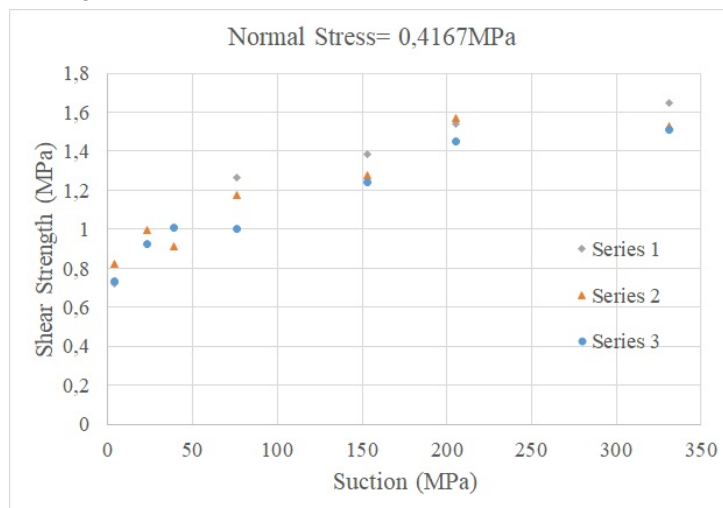
256 Unconfined Compressive strength (UCS) test was performed on the 21  
 257 samples. **The samples were compressed with strain control at the rate of**  
 258 **0.005 mm/s to remain in quasi-static condition.** As a global trend of the  
 259 variation of UCS with suction, it can be seen on figure 5a that, the com-  
 260 pressive strength decreases significantly with the decrease of suction. The

261 UCS value varies from 1.8 MPa to 6.7 MPa for suction increasing from 3.8  
262 MPa to 331.3 MPa. It can be related to the gain of resistance of the earth  
263 structures in a building from the date of manufacture (corresponding to a  
264 water content of around 11-13%) up to a long time state, after several weeks  
265 of drying (with a water content of about 2 %). Inversely, this can also be  
266 related to the loss of mechanical strength for unusual water entry in the  
267 material. Firstly, 2 samples from a batch were compressed to obtain the  
268 compressive strength. Then, 1 out of every 3 samples (represented as tri-  
269 angular marking) from the batch, was compressed with unload-reload cycles  
270 in order to obtain Young's modulus. The unload-reload cycles were done  
271 at 30%, 60%, and 90% of the average compressive strength of the previous  
272 two samples. Still, the point of unloading cannot be stated surely as the  
273 compressive strength increased with cycles. These samples have higher com-  
274 pressive strength (about 30-40% higher) compared to the average strength  
275 of samples at the same suction tested without unload-reload cycles. It can  
276 be due to the additional compaction of the rammed earth samples during  
277 unloading-reloading and consequently a higher density state. The compres-  
278 sive strength observed at the ambient relative humidity of around 60% for  
279 European latitude was around 3 MPa. This value is consistent with what  
280 was observed in the literature [30].

281 An example of a stress-strain curve along with the unload-reload cycles  
282 is shown in figure 6a for a sample conditioned at 84.34% relative humidity.  
283 The Initial tangent modulus ( $E_{tan}$ ) was evaluated from the initial slope of the  
284 stress-strain curve. The variation of the initial tangent modulus (expressed  
285 as the average of 3 tests at the same suction) with suction is shown in figure



(a) Variation of compressive strength with suction, samples compressed with unload-reload cycles shown with triangular marking

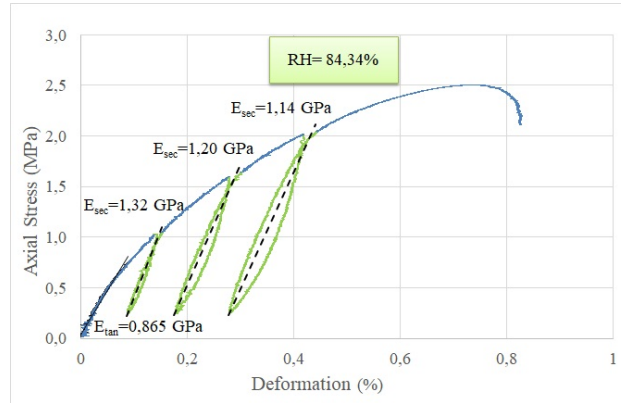


(b) Variation of Shear strength with suction at a constant normal stress

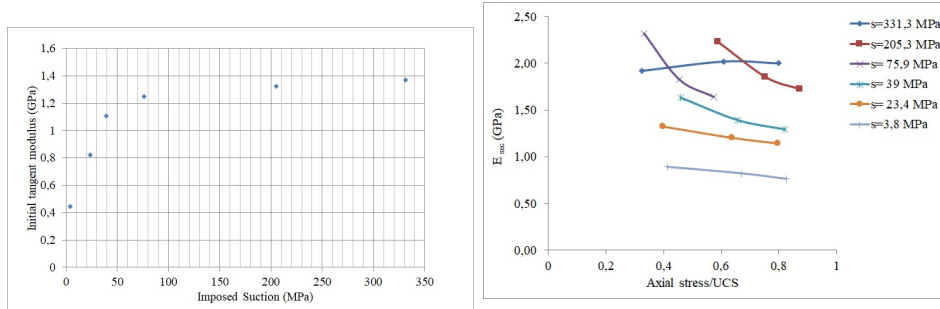
Figure 5: Influence of suction on UCS and shear strength

286 6b. A significant effect is observed with a decrease in suction. This behavior  
 287 is also consistent with the literature [13][12][21]. The trend is similar to the  
 288 variation of the compressive strength. The initial Young's modulus decreases  
 289 almost 3 times as the suction reduces from 331.3 MPa to 3.8 MPa.





(a) Determination of Initial tangent modulus  $E_{tan}$  and Secant modulus  $E_{sec}$  for one test at RH= 84.34%,  $s = 23.4$  MPa



(b) Variation of  $E_{tan}$  with suction conditions (c) Variation of  $E_{sec}$  with Axial stress to UCS ratio for different suction conditions

Figure 6: Results of Unconfined compressive strength test

290 The evolution of secant modulus ( $E_{sec}$ ) with the ratio of maximum axial  
 291 stress previously experienced to the UCS value is shown in figure 6c. A  
 292 gradual reduction of the secant modulus i.e., damage, with an increase in  
 293 axial stress, is observed in all the samples (except the sample conditioned at  
 294 331.3 MPa suction). This damage is dependent on the suction state, which  
 295 is evident from the change in slope and increases with suction. The stiffness  
 296 degradation varies from 22 % at 205.3 MPa suction to 13 % at 3.8 MPa  
 297 suction.

298 *3.1.2. Direct shear tests*

299 In this study, an effort was made to enrich the classical building material  
300 approach in which only compressive strength and rigidity of the material are  
301 classically determined, we chose to investigate mechanical parameters which  
302 are more able to describe the strength properties of this unstabilized unsat-  
303 urated soil: i.e. cohesion and friction angle, which are essential parameters  
304 in soil mechanics. Direct shear tests were thus conducted to determine the  
305 parameters of soil such as  $c$  and  $\phi$ . Rammed earth is a construction material,  
306 but here is being analyzed as an unsaturated compacted soil. To correctly  
307 describe it, we thus need to determine its cohesion and friction angle, and  
308 quantify the effect of the suction state on these parameters.

309 Very few studies focus on the shear parameters of rammed earth [18][31][32]  
310 and none on the influence of hydric conditions on these parameters. So, in  
311 the present work, direct shear tests were conducted on different conditioning  
312 relative humidities to determine the variation of  $c$  and  $\phi$  with suction. In  
313 this regard, 3 series of 21 samples each were subjected to the shear test. The  
314 series differ in the normal stress value applied (table 3) varying from 0.139  
315 to 0.556 MPa. These values were chosen as traditional rammed earth wall  
316 are loaded by stresses of 0.1 - 0.3 MPa at the wall base [30].

317

318 Direct shear tests were conducted on these samples at a shear rate of  
319  $1\text{mm}/\text{min}$  with the automatic acquisition of both shear force and shear dis-  
320 placement every second. A shear stress-deformation curve for 3 tests at a  
321 relative humidity of 32.8% (Series 3) is shown in figure 7a. The shear modulus

Table 3: Normal load and stress applied in various series for a particular suction state

Load (kg)	Normal stress (MPa)	Series 1	Series 2	Series 3
5	0.139	o	*	+
10	0.278	o		+
15	0.417	o	*	+
20	0.556		*	

322 for all 3 samples sheared at different normal stress shows a low discrepancy,  
 323 as it was observed for the majority of the tests. There is a brittle failure  
 324 upon attaining the shear strength. In this test, there is no control over the  
 325 drainage conditions and no mechanism to measure the pore pressure, but it  
 326 is a quick test, so we suppose that suction does not vary significantly. Using  
 327 the shear strength and normal stress, Mohr-coulomb envelope can be drawn  
 328 in order to evaluate the apparent values of both cohesion and friction angle  
 329 (figure 7b).

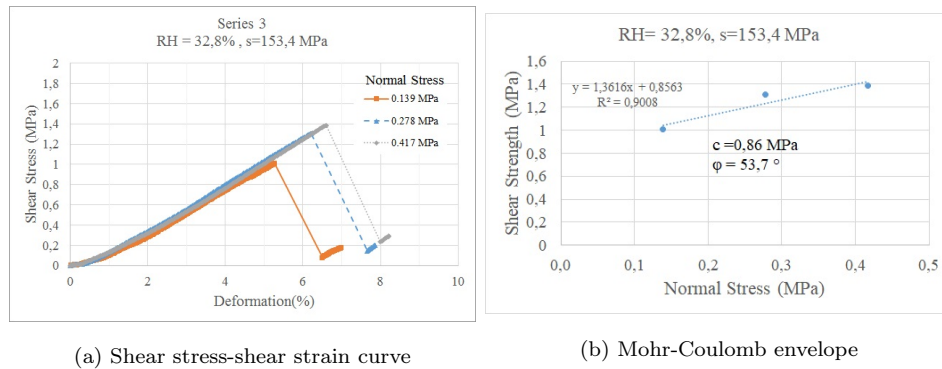


Figure 7: Direct Shear Test result for Series 3 ( $s=153.4$  MPa,  $RH = 32.8\%$ )

330 For each suction state, 3 series (3 samples for each series) have been

331 tested, i.e., 9 samples (table 3). Each series gives a value of apparent co-  
332hesion and friction angle. The variation of cohesion and friction angle with  
333 imposed suction conditions is shown in figure 8 a and 8 b respectively, along  
334 with error bars expressed as the standard deviation of 3 results for different  
335 series. The cohesion of the samples increases with suction, which is justifi-  
336 able from the theory of generalized effective stress, as there is an additional  
337 capillary cohesion induced by the partial saturation of the earth, which con-  
338 tributes a significant part of the shear strength. It can be seen that there  
339 is a lower standard deviation for higher relative humidities. This was also  
340 observed in the unconfined compression strength test (figure 5). This can  
341 probably be explained by the fact that a dry state of the material induces  
342 a fragile behavior. In consequence, the failure is more localized and thus  
343 is affected by small defaults in the matter, and its random distribution is  
344 responsible for a dispersion of the strength results. On the contrary, wet-  
345 ter samples are ductile, which induces a plastic failure concerning the global  
346 mass of the material. In this case, defaults have less influence, and the results  
347 present lower discrepancy. There is also a significant variation observed for  
348 the friction angle which varies from  $43^\circ$  to  $63^\circ$  when suction varies from 3.8  
349 MPa to 331.3 MPa.

350 In figure 5b , shear strength is plotted against suction at constant normal  
351 stress. It can be observed that the rate of increase of shear strength with  
352 suction is very high at the lower suction, and this rate tends to decrease as  
353 we approach higher suction values. The explanation of this behavior can  
354 be found in literature [33][34][35]. Vanapalli et al. [33] compared the shear  
355 strength behavior with the soil water retention curve (figure 9). There is a

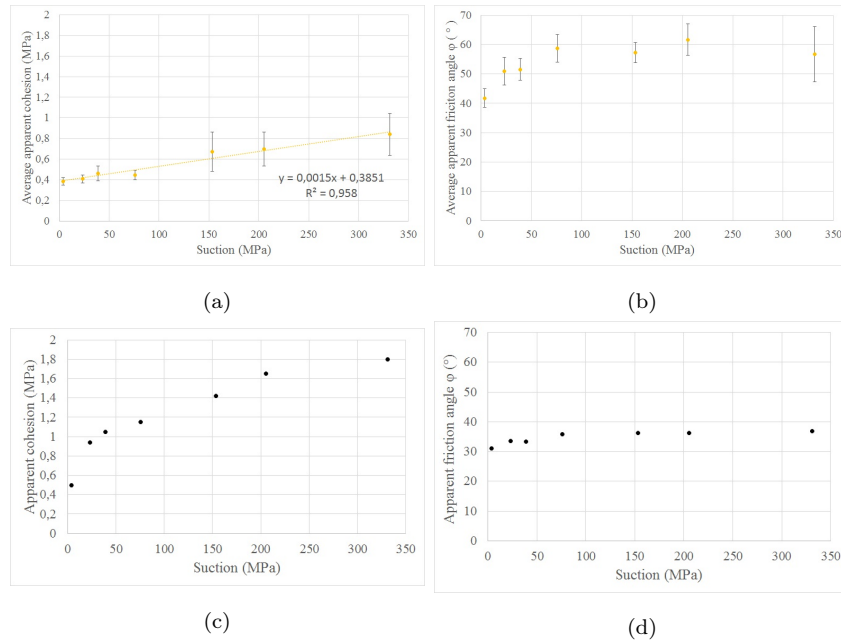


Figure 8: Variation of apparent shear parameters from direct shear tests with 3 results for each suction state (a and b) and **unsaturated** triaxial test with 1 result for each suction state (c and d)

356 linear increase of shear strength up to the air-entry value of suction. Further,  
 357 there is a non-linear increase of shear strength up to the residual suction  
 358 value and then depending on the soil, the strength may increase, decrease, or  
 359 remain constant upon the increase in suction. On the one hand, for sand and  
 360 silt water content at residual condition is very low, and it may not transmit  
 361 suction effectively. **Thus, even a substantial increase in suction will not in-**  
 362 **crease shear strength.** On the other hand, clay has well defined residual point  
 363 and even at very high value of suction, there exists considerable water, which  
 364 helps in effective transmission of suction, which leads to increase in strength.  
 365 Since the soil in this study is predominantly sand and silt, at a higher value

366 of suction, the increase is less significant.

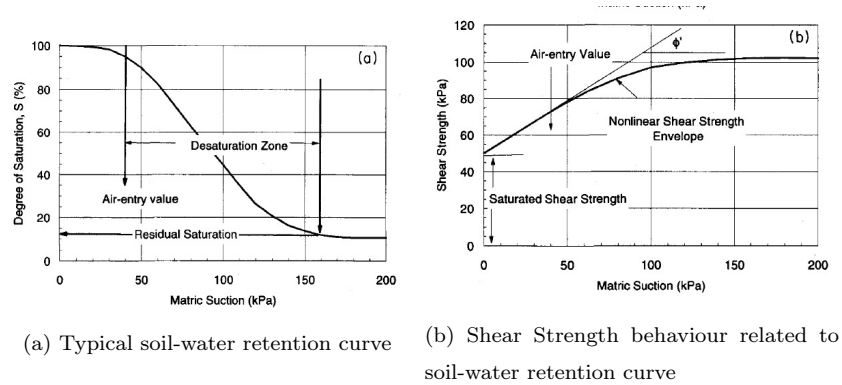


Figure 9: Results of Vanapalli et al. 1996 [33]

### 367 3.1.3. **Unsaturated** triaxial tests

368 To confirm and complete the results, **unsaturated** triaxial tests were per-  
 369 formed on 21 samples conditioned at 7 different suction states (relative hu-  
 370 midity). For each suction suction state, 3 samples were tested at 3 different  
 371 confining pressures ( $\sigma_3$ ) i.e. 0.2 MPa, 1 MPa and 1.5 MPa. These values of  
 372  $\sigma_3$  were chosen to plot the Mohr-Coulomb failure envelope at a higher range  
 373 of normal stress as compared to the direct shear test to obtain the failure  
 374 envelope at higher normal stresses. Also, the triaxial test has advantages  
 375 such as there is no pre-defined failure surface during the test as in the direct  
 376 shear test. For this reason, it is more representative of soil behavior. Using  
 377 the 3 Mohr circles at each suction state, apparent values of cohesion ( $c$ ) and  
 378 friction angle ( $\phi$ ) were determined. The variation of  $c$  and  $\phi$  as shown in  
 379 figure 8 is similar to the one observed in the direct shear test. The cohesion  
 380 value increases from 0.5 MPa to 1.8 MPa with suction, whereas there is no  
 381 significant alteration in the friction angle ( $31^\circ$  to  $37^\circ$ ). It appears that values

382 of  $c$  and  $\phi$  at a particular suction state are different for direct shear tests  
383 (done at lower normal stress) and unsaturated triaxial tests (done at higher  
384 normal stress). This behavior indicates that there is non-linearity in the fail-  
385 ure envelope ( $\tau - \sigma_n$  plane). This non-linearity will be further discussed in  
386 the further section.

387 Finally, figure 10 shows all the results obtained from UCS, DST, and UU  
388 triaxial test for 7 different suction states in  $\tau - \sigma_n$  plane. It gives a global  
389 idea of the various tests performed and helps to plot the failure envelope at  
390 a higher range of normal stress.

### 391 3.2. Intrinsic shear parameters

392 In order to explain the effect of hydric state on these failure parameters,  
393 we need to investigate the intrinsic parameters  $c'$  and  $\phi'$  which are indepen-  
394 dent of suction. CU saturated triaxial test was carried at 4 different confining  
395 pressures i.e.  $\sigma_3 = 100$  kPa, 200 kPa, 300 kPa, and 1500 kPa along with the  
396 measurement of pore water pressure during the shearing (figure 11b). The  
397 samples were saturated by a method of stepped saturation. Before the sat-  
398 uration stage, it was made sure that air bubbles were removed from all the  
399 connections and pressure meters connected for the cell pressure and pore wa-  
400 ter pressure. After mounting the samples in the triaxial cell, a cell pressure  
401 increment of 100 kPa was applied, and the evolution of pore water pressure  
402 was monitored. The saturation of the sample was checked by Skempton's  
403 parameter  $B = \Delta u / \Delta \sigma_3$ , if B value was less than 0.95 (meaning that the  
404 sample is not yet saturated), the pore water pressure increased to maintain  
405 effective stress at 10 kPa. This low value of effective stress was chosen not  
406 to affect the soil structure. Further, the specimen saturation was rechecked

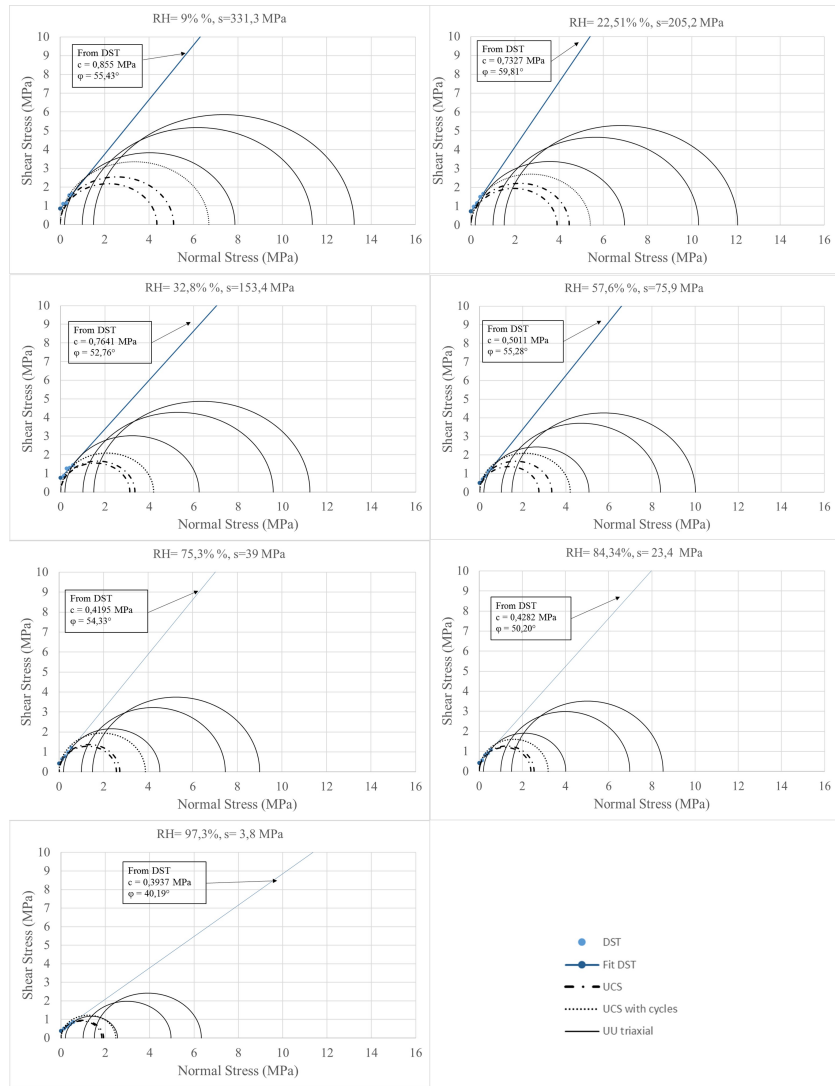


Figure 10: Results of DST, UCS and UU Triaxial test for all suction states

407 with the same procedure by raising the cell pressure by intervals of 100 kPa  
 408 until reaching saturation. Typically,  $B \geq 0.95$  confirm full specimen saturation  
 409 In the second stage, the sample was allowed to consolidate at different



410 confining pressures ( $\sigma'_3 = 100$  kPa, 200 kPa, 300 kPa, and 1500 kPa). Finally,  
 411 the sample was sheared at a displacement rate of 0.1428 mm/min [36] until  
 412 failure. The results of these tests are shown in figure 12 in p'-q plane, where  
 413 in the triaxial conditions p' and q are defined as:

$$p' = (\sigma'_1 + 2\sigma'_3)/3 \quad (2)$$

414

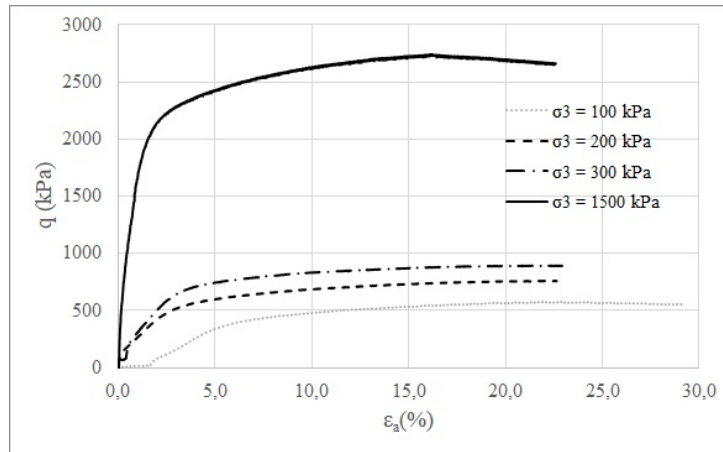
$$q = (\sigma'_1 - \sigma'_3) \quad (3)$$

415 A highly ductile failure was observed for all the samples (figure 11a),  
 416 and according to ASTM D 4767-95 [36], the failure point is defined as the  
 417 state of maximum effective stress obliquity i.e., maximum  $\sigma'_1/\sigma'_3$ . As the  
 418 failure surface in p'-q and  $\tau - \sigma$  plane represent the same failure state, the  
 419 geometrical relation between these two allow us to compute the intrinsic  
 420 parameters. The failure criteria in p'-q plane is defined as:

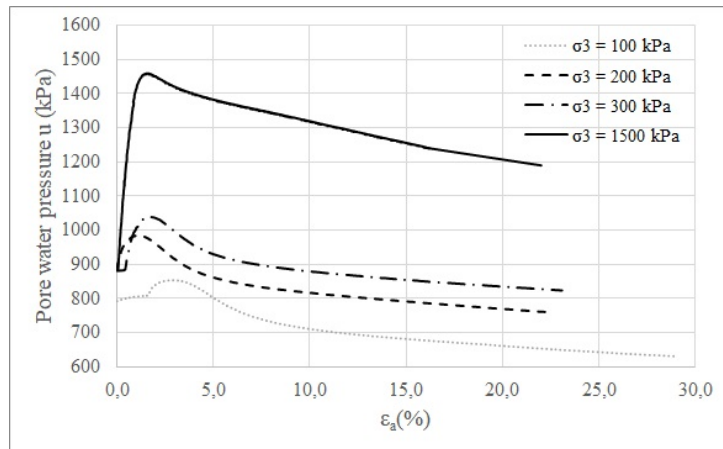
$$q = k + Mp' \quad (4)$$

421 with  $M = 6\sin\phi'/(3 - \sin\phi')$  and  $k = Mc'/\tan\phi'$  From these equations,  
 422 the effective parameters were evaluated as  $c' = 43.91$  kPa and  $\phi' = 32.53^\circ$ ,  
 423 which are good from a soil mechanics point of view. These value obtained  
 424 are similar to Gerard et al. 2015 [17], which is justifiable as the particle size  
 425 distribution of both soil used is similar.

426 It is interesting to note that, the apparent cohesion at different suction state  
 427 (from **unsaturated** triaxial) is significantly higher than the intrinsic cohesion  
 428 (figure 8). However, the apparent friction angle values are quite similar to  
 429 the intrinsic friction angle. This supports the fact that, with an increase in



(a)



(b)

Figure 11: Variation of deviatoric stress (a) and pore water pressure (b) for 4 saturated samples at  $\sigma_3 = 100$  kPa, 200 kPa, 300 kPa, and 1500 kPa

430 suction, the capillary induced cohesion increases and contributes a significant  
 431 part to the strength. However, the part of shear strength that is due to  
 432 friction between the particles does not vary so much as it is mostly dependent  
 433 on the compaction state.

434 The comparison between the intrinsic and apparent (from direct shear test,

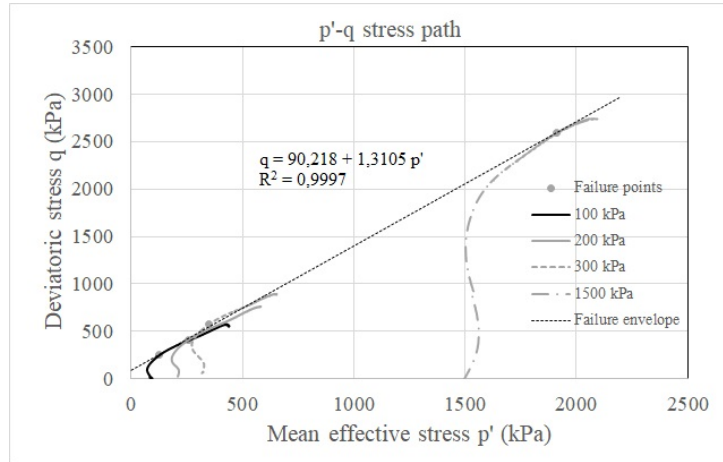


Figure 12: Failure envelope from 4 consolidated undrained triaxial test to determine intrinsic shear parameters

435 figure 8) shear parameters **shows that**  $c$  is significantly higher than  $c'$ , which  
 436 is due to the additional suction induced cohesion.  $\phi$  is also higher than  $\phi'$ .  
 437 This is due to the fact that  $\phi$  is evaluated in **direct shear test** at a normal  
 438 stress range of 0.139 - 0.556 MPa. Due to the non-linearity in the Mohr-  
 439 Coulomb failure envelope, the value of  $\phi$  evaluated from the initial part of  
 440 the curve is significantly higher.

#### 441 4. Towards constitutive modeling

442 **Linear Mohr-Coulomb failure criterion is the most common criterion for**  
 443 **modeling the behavior of rammed earth and was used in different research**  
 444 **[20][37][38] [21][30]. However, this model has various limitations.** For an over-  
 445 consolidated soil, the failure envelope is not a straight line but a curved line  
 446 which is concave towards the normal stress axis [39][40][41]. **Also, in the un-**  
 447 **saturated samples due to higher confining stresses, the degree of saturation**

448 can increase leading to change in consistency of the sample and affecting  
449 the behavior. Here, the linear Mohr-Coulomb failure envelope is not totally  
450 suitable in the case of rammed earth as the intrinsic mechanical behavior  
451 is changing. Moreover, it is essential to incorporate the role of suction and  
452 generalize the failure criteria as initially proposed by Gerard et al. 2015 [17].  
453 To take into account the non-linearity of the failure envelope, we have used  
454 modified Mohr-Coulomb criteria according to Shen et al. [42], in which  
455 cohesion  $c$  and friction angle  $\phi$  are described as a function of normal stress  
456 ( $\sigma_n$ ). The expression for the shear strength remains the same, whereas  $c$   
457 and  $\phi$  are dependent on normal stress. The following functions were used to  
458 describe the shear parameters.

$$\phi = \phi_0 \left(1 - \sqrt{\frac{\sigma_n}{2\sigma_c}}\right) \quad (5)$$

459

$$c = c_0 + (\sigma_c - c_0) \frac{\sigma_n}{2\sigma_c} \quad (6)$$

460 where,  $c_0$  is apparent cohesion,  $\phi_0$  is apparent friction angle and  $\sigma_c$  is the  
461 critical confining pressure which is defined as the normal stress after which  
462 the shear strength does not increase. The non-linear Mohr-Coulomb failure  
463 envelope was plotted from Mohr circles for UCS and unsaturated triaxial  
464 tests. The parameter  $\sigma_c$  was adjusted for all the suction states to fit the data  
465 and is mentioned in table 4. From figure 13, the failure envelope is plotted  
466 for  $s = 205.2$  MPa,  $RH = 22.51\%$ , parameter  $\sigma_c = 6.5$  MPa is chosen to fit  
467 the data set. The values of  $c_0$  and  $\phi_0$  are used from the direct shear tests.  
468 It is to be noted that, the results from UCS test performed with cycles are  
469 not used here. It is because, in reality, due to unload-reload cycles, higher

470 strength was observed and it is considered that it does not represent the same  
 471 material.

Table 4: Values of the parameter  $\sigma_c$  corresponding to each suction state

Suction (MPa)	331.3	205.3	153.4	75.9	39	23.4	3.8
$\sigma_c$ (MPa)	8.35	6.5	6.4	5.3	4.8	4.7	2.5

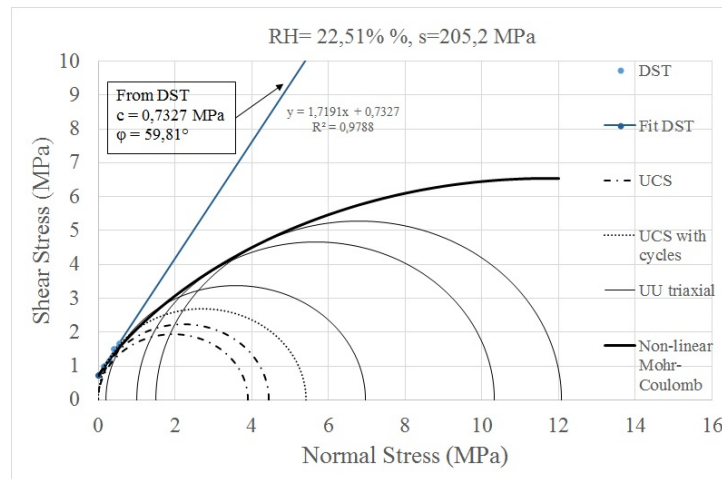


Figure 13: Non-linear failure envelope plotted for  $s = 205.2$  MPa and  $RH = 22.51\%$  using the results of UCS, DST and **unsaturated** triaxial test

472 In figure 14, the failure envelope for all the suction states is plotted. Here,  
 473 we can observe its evolution **with both** normal stress and suction. The failure  
 474 envelope from the **saturated** triaxial test has also been shown to observe  
 475 the influence of the suction state on the failure envelope compared to the  
 476 saturated state. This influence is represented by the vertical shift between  
 477 the **saturated** triaxial criterion and **unsaturated** triaxial ones.

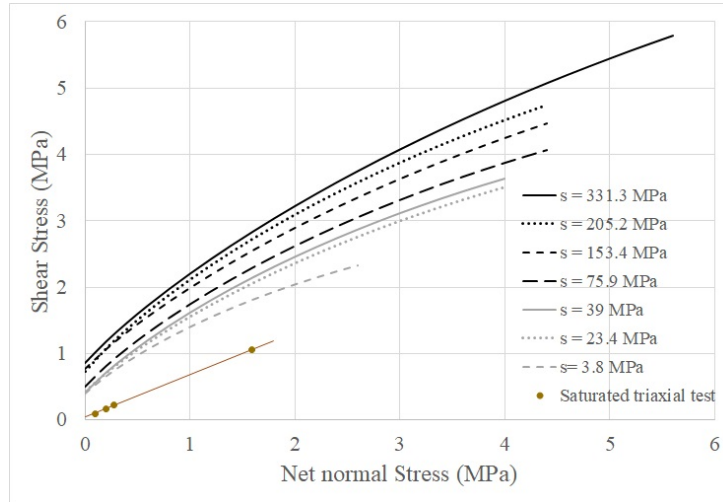


Figure 14: Failure envelope plotted for all suction states, where for the unsaturated states net normal stress  $\sigma_n = \sigma - u_a$  and for the saturated state  $\sigma_n = \sigma - u_w$

478 *4.1. Generalised effective stress*

479 The stress state variables represent the state of equilibrium of the system.  
 480 For unsaturated soils, there are 2 different approaches to define the system  
 481 and completely analyze the mechanical response. The first approach uses 2  
 482 independent stress state variables out of the 3 state variables for unsaturated  
 483 soils i.e. net vertical stress ( $\sigma - u_a$ ), effective stress for saturated soils ( $\sigma - u_w$ )  
 484 and matric suction ( $u_a - u_w$ ). Commonly,  $\sigma - u_a$  and  $u_a - u_w$  are used. The  
 485 second approach is generalized effective stress approach in which single effec-  
 486 tive stress defines the stress state of a multi-phase porous medium. The first  
 487 approach allows to model behavior as a collapse for loose soil where only  
 488 the suction variation can cause failure. Since we have a highly compacted  
 489 soil, the generalized effective stress approach is more suitable. Here we use

490 Bishop's effective stress [43] :

$$\sigma'_{ij} = \sigma_{ij} + \chi s \delta_{ij} \quad (7)$$

491 where,  $\sigma'_{ij}$  is the effective stress tensor,  $\sigma_{ij}$  is the net stress tensor,  $s$  is  
492 the suction,  $\delta_{ij}$  is the Kronecker delta ( $\delta_{ij}=0$  if  $i \neq j$ , else = 1) and  $\chi$  is the  
493 effective stress parameter which is a function of degree of saturation.

494 To evaluate  $\chi$  as a function of degree of saturation, first, we need to use the  
495 intrinsic shear parameters  $c'$  and  $\phi'$  in the same approach as Gerard et al.  
496 [17]. According to Bishop 1960 [43], in the effective stress state reference,  
497 the normal stress shifts by an amount  $\chi s$ . It means that even for UCS  
498 test with  $\sigma_3 = 0$ , in effective stress reference it is being internally stressed  
499 by an amount  $\chi s$ . To evaluate  $\chi$ , failure envelope from **saturated** triaxial  
500 is overlapped with Mohr circles from UCS and **unsaturated** triaxial test in  
501 effective stress reference.

502 Finally,  $\chi s$  is evaluated geometrically from figure 15 by using  $\sigma'_3 = \chi s$  for  
503 UCS and  $\sigma'_3 = \sigma_3 + \chi s$  for unsaturated triaxial test.

504

$$\chi s = \frac{r - c' \cdot \cos \phi'}{\sin \phi'} - r - \sigma_3 \quad (8)$$

505 where  $r = \frac{\sigma_1 - \sigma_3}{2}$  and  $\sigma_3 = 0$  for UCS test. The value of  $\chi$  was evaluated  
506 for each test and averaged (3 samples) for each suction state. It is plotted  
507 with the corresponding degree of saturation in log-log scale in figure 16. This  
508 gives the following relationship

$$\log \chi = a \log S_r \quad (9)$$

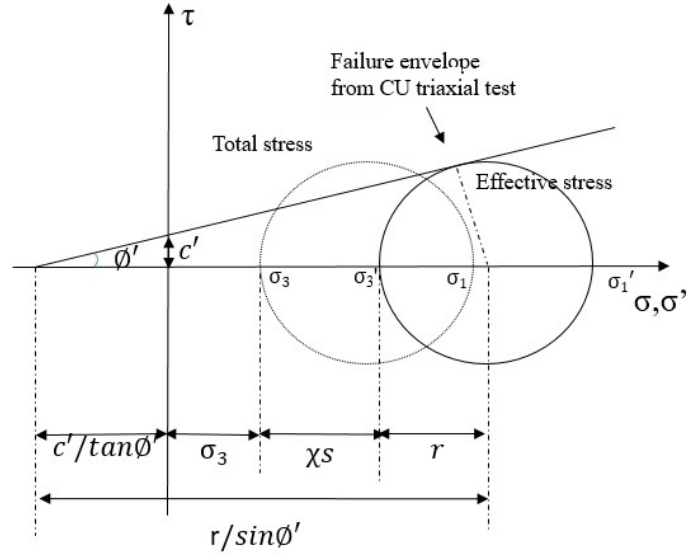


Figure 15: Determination of expression for  $\chi s$  using **saturated** triaxial test and mohr circles from **unsaturated** triaxial test and UCS ( $\sigma_3 = 0$ ) using the methodology of Gerard et al .2015 [17]

509

$$\chi = (S_r)^\alpha \quad (10)$$

510 The value of  $\alpha$  evaluated from this method is equal to **1.8802**. This value  
 511 is similar compared to Gerard et al. 2015 [17]. It can be justified from  
 512 the fact the particle size distribution in both the studies are similar. The  
 513 expression for Bishop's effective stress is written as:

$$\sigma'_{ij} = \sigma_{ij} + (S_r)^{1.8802} s \delta_{ij} \quad (11)$$



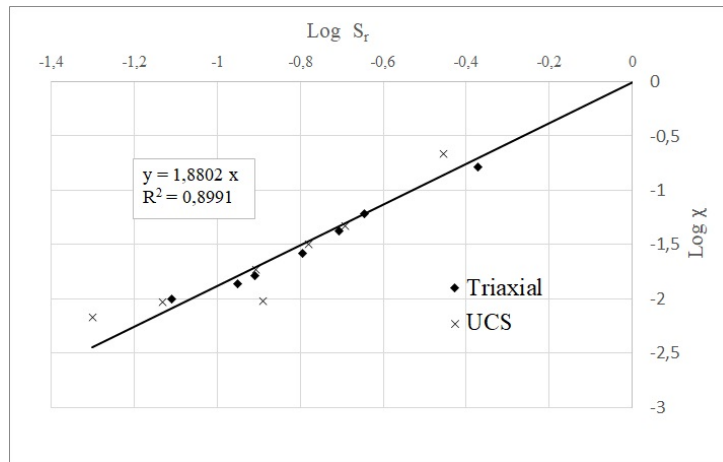


Figure 16: Determination of relation between  $\chi$  and  $S_r$

## 514 5. Conclusion

515 In the present study, a methodology to study the hydric influence on  
516 mechanical behavior is presented. The apparent mechanical parameters and  
517 intrinsic parameters were studied to provide a synthesis to define input pa-  
518 rameters for a hydro-mechanical predictive model.

519 It has been proposed to work with more homogeneously compacted samples  
520 by a method of double compaction instead of the traditional method of dy-  
521 namic compaction. Using this technique, the compaction pressure is better  
522 controlled, and samples are repeatable. Although this method differs from  
523 the actual method in the field, the dry density obtained is the range for tra-  
524 ditional earth construction, and thus, is representative.

525 Matric suction is a state parameter which directly translates any hydric so-  
526 licitation inside the material. The samples were conditioned to 7 different  
527 suction states using the method of liquid-vapor equilibrium before they were  
528 tested.

529 Unconfined compressive strength test was performed, with and without unload-  
530 reload cycles. The compressive strength and initial tangent modulus were  
531 found to increase with suction. Besides, it was found, that the compressive  
532 strength of samples which were compressed with unload-reload cycles was  
533 higher, indicating that the strength of rammed earth can still be improved  
534 by additional compaction.

535 Direct shear tests were performed with the same technique for sample prepa-  
536 ration and control of hydric conditions. A brittle failure was observed upon  
537 attaining the shear strength. The shear modulus for samples sheared at dif-  
538 ferent normal stress (but at same suction state) showed very less discrepancy.

539 Cohesion and friction angle were determined at different hydric state using  
540 the Mohr-coulomb envelope. The cohesion of the sample increased with suc-  
541 tion, due to the presence of additional capillary cohesion, which is induced  
542 by the partial saturation. There was less deviation in the results for samples  
543 at lower suction states (wet state), where more ductile failure occurs. On the  
544 other hand, for samples at higher suction states (dry state), brittle failure  
545 occurred, which is more localized, and small defaults affected the results. A  
546 similar observation was found for results of UCS.

547 The apparent shear parameters were also evaluated with **unsaturated** triaxial  
548 test at 7 different suction states. The failure envelope, using this test, was  
549 plotted at a higher range of normal stress as compared to DST. It indicated  
550 that shear strength predicted from the Mohr-Coulomb criteria using DST is  
551 overestimated and in fact, the failure envelope is non-linear. **This non-linearity**  
552 **was predominantly due to the unsaturated state of the samples rather than**  
553 **over-consolidation**. Non-linearity was introduced in the shear strength equa-  
554 tion by **varying the** cohesion and friction angle with normal stress. Finally,  
555 intrinsic shear parameters were determined using **saturated** triaxial test. By  
556 using the failure criterion in effective stress state reference and Mohr circles  
557 for UCS and **unsaturated** triaxial test, a log-log relation was observed be-  
558 tween  $\chi$  and  $S_r$ . It helped in calculating the effective stress parameter and  
559 thus, obtaining the Bishop's effective stress relationship.

560 By obtaining the global failure envelope and effective stress equation, it is a  
561 step-forward for coupled hydro-mechanical constitutive modeling of rammed  
562 earth, which can intrinsically introduce the effect of suction in the failure  
563 criterion. **The future scope will be to estimate, for a given earth building**

564 submitted to given hydric variations, whether the strains remain admissible,  
565 and if the resistance of the structure is sufficient or not.

## 566 6. Acknowledgment

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569 material.

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