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## ATR-FTIR characterization of Janus nanoparticles. Part II: follow-up skin application

Kamilia Kemel, Arlette Baillet-Guffroy, Vincent Faivre, Cécile Laugel.

### Abstract

Attenuated total reflection by Fourier transform infrared (ATR-FTIR) was used to implement reliable infrared descriptors over time of *Janus* nanoparticles (JNP), in order to follow their behavior before and after cutaneous application. In the last study, ATR-FTIR spectroscopic analysis, allowed us to identify the evolution of intensity ratio of  $\nu(\text{C}=\text{O})$  at  $1739\text{ cm}^{-1}$  and  $\delta(\text{H}-\text{O}-\text{H})$  at  $1639\text{ cm}^{-1}$  as a spectroscopic descriptor, for JNP before cutaneous application (on the  $\text{CaF}_2$  window). This descriptor can be used to follow the physical stability (presence) of nanoparticles over time. The purpose of this study is to understand the behavior of JNP on the surface of human skin. Therefore, a comparative study with the untreated skin and the skin after cutaneous application of lipophilic phase (Labrafil) of JNP was conducted using Franz cells. The suitability of the ATR-FTIR descriptor of JNP was evaluated and a research of other descriptors was performed to understand the interaction that may exist between nanoparticles and the skin.

**Keywords** : *Janus* nanoparticles, Labrafil, skin, cutaneous application, spectroscopic descriptors, ATR-FTIR.

### Abbreviations

ATR-FTIR : attenuated total reflection by Fourier transform infrared spectroscopy

JNP : *Janus* nanoparticles

DLS : dynamic light scattering

Cryo-TEM : cryogenic transmission electron microscopy

PEG : polyethylene glycol

$D_H$  : hydrodynamic diameter

Pdi : polydispersity index

$\nu$  : stretching

$\delta$  : bending

s : symmetric

as : asymmetric

## 1 Introduction

*Janus* nanoparticles (JNP) are the dispersion of objects characterized by the presence of a lipid compartment associated with an aqueous compartment delimited by a phospholipid bilayer. The size of JNP varies between 150 and 300 nm.<sup>1,2</sup> JNP are very promising tools, the encapsulation of two active pharmaceutical ingredients of opposite chemical polarity for the same nanoformulation can be considered. So, they are interesting in the drug delivery and in cosmetic domains. Then, JNP seems to be good candidates for cutaneous application.

The technique of dynamic light scattering (DLS) measures the size distribution of JNP. The cryogenic transmission electron microscopy (cryo-TEM) enables to visualize the coexistence of the two opposite compartments of JNP.<sup>3</sup> These two methods cannot be used to study vehicle-skin interactions, if we want to understand the behavior of JNP after cutaneous application. Therefore, a technique that is rapid and non-invasive for the system studied is required. Thus, ATR-FTIR method is a very informative analytical tool facilitates to produce the direct analysis of the surface of a sample contacting the ATR crystal. It has been shown that it is suitable for the study of cutaneous tissue.<sup>4</sup> Therefore, ATR-FTIR was used to implement reliable infrared descriptors over time of JNP before and after cutaneous application.

In the last study, the experiments were conducted in an air-conditioned chamber at 22°C. Kinetics were performed in triplicate for JNP. One drop of 25  $\mu$ L was deposited on the CaF<sub>2</sub> window. They were held over 4 hours with spectral measurements every 10 min. A comparative study with a nanoemulsion (NE) and a physical mixture (PM) formulation was conducted. The same JNP formulation method was used for the formulation of NE but without incorporation of phospholipids. PM is unstable dispersion of the same qualitative and quantitative composition as the dispersion of JNP. ATR-FTIR spectroscopic analysis allowed to identify the evolution of intensity ratio of  $\nu(\text{C}=\text{O})$  at 1739  $\text{cm}^{-1}$  and  $\delta(\text{H}-\text{O}-\text{H})$  at 1639  $\text{cm}^{-1}$  as a spectroscopic descriptor for JNP. This descriptor can be used to follow the physical stability (presence) of nanoparticles over time. The results of infrared spectroscopy were confirmed by measurements of size distribution of JNP and validated by chemometric tools.<sup>5</sup>

In the present study, ATR-FTIR was used to follow the behavior of JNP after cutaneous application. Using Franz cells and human skin biopsies, three kinetics were carried out for untreated skin, biopsies with JNP and biopsies with Labrafil 2125CS®. The Labrafil represents the lipophilic phase of JNP, and it is the major compound after water. The kinetics of Labrafil was conducted to evaluate the difference of behavior between Labrafil alone and Labrafil contained in JNP. The suitability of the ATR-FTIR descriptor of JNP was evaluated and a search for other descriptors was performed.

## 2 Materials and Methods

### 2.1 Materials

Gelucire 50/13<sup>®</sup> (a mixture of mono-, di- and triacylglycerols of palmitic and stearic acid (20%), mono- and di-esters of PEG (Polyethylene glycol) 1500 (~ 70% with a majority of di-esters) and free PEG 1500 (~ 8%)) and Labrafil M2125 CS<sup>®</sup> (a mixture of mono-, di- and triglycerides of linoleic acid and mono- and diesters of PEG 300 (55% glycerides, 45% PEG ester)) were obtained from Gattefossé (Saint-Priest, France). Phospholipon 90G<sup>®</sup> (purified phospholipid mixture obtained from soybean lecithin consisting primarily of phosphatidylcholine (94-102%), lysophosphatidylcholine ( $\leq 4\%$ ) and tocopherol) was synthesized by Lipoid (Köln, Germany). Water was purified with the Milli-Q water system (Millipore, France). Bovine serum albumin was purchased at Sigma-Aldrich (Saint-Quentin Fallavier, France). Sodium chloride salts was purchased at Carlo Erba Réactifs-Sds (Val de Reuil, France).

### 2.2 Methods

#### 2.2.1 Preparation of *Janus* nanoparticles

The aqueous phase consisted of 2% (w/w) Gelucire 50/13<sup>®</sup>, 1% (w/w) Phospholipon 90G<sup>®</sup> and 77% (w/w) Milli-Q water and the lipophilic phase was 20% (w/w) Labrafil M2125CS<sup>®</sup>. The mixture of Gelucire 50/13<sup>®</sup>/Phospholipon 90G<sup>®</sup> was dispersed in Milli-Q water and heated in a 70°C water bath for 5 min with mechanic stirring. The oil phase, consisting of Labrafil M2125CS<sup>®</sup>, was also heated at the same temperature. The oil phase was added dropwise in the aqueous phase and the mixture was stirred with an Ultra-Turrax T18 (Janke & Kunkel GMBH & Co.KG, IKA<sup>®</sup>-Labortechnik, Germany) at 7,000 rpm (rotations per minute). Rotor speed was then increased to 11,000 rpm for 5 min. In order to reduce and standardize the size of the particles, the dispersion was passed through a high-pressure homogenizer (APV-2000) at pressures of 600 and 200 bar for the first and second stages, for 5 min at 70°C. The final product was a whitish dispersion of nanoparticles in water.

#### 2.2.2 DLS: Measuring the size distribution of *Janus* nanoparticles

The hydrodynamic diameter and the polydispersity index were measured with a Zetasizer Nano ZS90 (Malvern Instruments, Orsay, France), piloted by Zetasizer Software. The Zetasizer Nano ZS90 detector was positioned at 90° to the sample and the attenuation was determined automatically by the Nanosizer during the measurement sequence. The measurement cell contained 1.5 mL of water to which was added 5  $\mu$ L of the dispersion of the nanoparticles (a 1/300 dilution) and the cell contents were homogenized. Each sample was analyzed in triplicate at controlled temperature (25°C). The mean hydrodynamic diameter ( $D_H$ ) of the produced batch was  $222 \pm 66$  nm and the polydispersity index (Pdi) was  $0.069 \pm 0.025$ .

#### 2.2.3 *Ex vivo* skin penetration study

*Ex vivo* skin penetration of JNP was studied using Franz cells (Lara Spirals, Couternon, France) with a diffusion area of 1.54 cm<sup>2</sup> and a volume 4 mL of receptor chamber. Human skin was obtained from abdominal plastic surgery of healthy women, stored at -25°C. Left in the open air before experiments

the skin was defrosted and the subcutaneous fatty tissue was removed from the skin using a scalpel. Cleaned with Millipore Q water and dried with paper, the skin was cut into circle shapes to fit the glass diffusion cells. The human skin was mounted between donor and receptor compartment of Franz cell, avoiding bubbles formation with a receptor liquid containing 0.85% of saline serum, 0.01% of bovine serum albumin and Millipore Q water. In order to mimic the physiological conditions, the Franz cells were kept in a thermostated water bath at 37°C, to maintain the skin surface temperature at 32°C, with a permanent magnetic stirring of the receptor liquid. After 30 min of stabilization, 25 µL of the dispersion of JNP or 25 µL of Labrafil 2125 CS<sup>®</sup> were deposited on the surface of the skin. An untreated skin (without application of any formulation) was performed.

Three kinetics were carried out for the untreated skin, biopsies with JNP, assigned skin+JNP and biopsies with Labrafil 2125CS<sup>®</sup>, assigned skin+L. Kinetics were held over 24 hours with several measurement times. Experiments were performed in triplicate.

At each time, the donor compartment was removed, and the skin biopsy was examined by ATR-FTIR.

#### **2.2.4 Infrared vibrational spectroscopy: ATR-FTIR spectra recording**

The infrared spectrophotometer used for the study was ATR-FTIR Spectrum two from PerkinElmer (Waltham, Massachusetts) piloted by PerkinElmer Spectrum software (version 10.4.2.279). The spectral range used was 450-4000 cm<sup>-1</sup> with resolution of 4 cm<sup>-1</sup> and 16 scans were acquired by run. The background of the ATR-FTIR spectrophotometer was air.

Each skin biopsy was deposited on the crystal ATR and a spectrum was recorded on the surface of the sample. The only pre-treatment which was performed for the spectra was baseline correction.

### 3 Results and discussion

#### 3.1 Band assignments of skin, JNP and L

The spectral signature of skin (SC), JNP and L are shown in figure 1.

The main vibration bands of the SC are: (I) 3400-3200  $\text{cm}^{-1}$  assigned to  $\nu(\text{O-H})$  of water and  $\nu(\text{N-H})$  of ceramides; (II) 2920  $\text{cm}^{-1}$  and 2850  $\text{cm}^{-1}$  assigned to the  $\nu_{as}(\text{CH}_2)$  and  $\nu_s(\text{CH}_2)$  of aliphatic  $\text{CH}_2$  group of lipids; (III) 1742  $\text{cm}^{-1}$  assigned to the  $\nu(\text{C=O})$  of the fatty acids; (IV) 1645  $\text{cm}^{-1}$  assigned to the  $\nu(\text{C=O})$  of the keratin (amide I); (V) 1545  $\text{cm}^{-1}$  assigned to the  $\delta(\text{N-H})$  and  $\nu(\text{C-N})$  of ceramide (amide II).<sup>6,7</sup> (Figure 1a)

The main vibration bands of JNP are: (I) 3500-3150  $\text{cm}^{-1}$  assigned to the  $\nu(\text{O-H})$  of water; (II) 2926  $\text{cm}^{-1}$  and 2853  $\text{cm}^{-1}$  assigned to the  $\nu_{as}(\text{CH}_2)$  and  $\nu_s(\text{CH}_2)$  of aliphatic  $\text{CH}_2$  group of lipids; (III) 1739  $\text{cm}^{-1}$  assigned to the  $\nu(\text{C=O})$  of the ester carbonyl functional groups of the glycerides and PEG 300 esters of the Labrafil; and (IV) 1639  $\text{cm}^{-1}$  assigned to the  $\delta(\text{H-O-H})$  of water.<sup>5,8-11</sup> (Figure 1b)

The main vibration bands of L are: (I) 3474  $\text{cm}^{-1}$  assigned to the  $\nu(\text{O-H})$  of mono and diglycerides; (II) the 2923  $\text{cm}^{-1}$  and 2853  $\text{cm}^{-1}$  assigned to the  $\nu_{as}(\text{CH}_2)$  and  $\nu_s(\text{CH}_2)$  of aliphatic  $\text{CH}_2$  group of lipids; (III) 1739  $\text{cm}^{-1}$  assigned to the  $\nu(\text{C=O})$  of the ester carbonyl functional groups of the glycerides and PEG 300 esters, (IV) 1655  $\text{cm}^{-1}$  assigned to the  $\nu(\text{C=C})$  of glycerides; (V) 1180-1110  $\text{cm}^{-1}$  assigned to the  $\nu(\text{C-O})$  and  $\nu(\text{C-C})$  of the glycerides and esters of PEG 300.<sup>9-12</sup> (Figure 1c)

The vibration bands presented above represent interest and susceptible bands to be modified during the present study, for the skin it is the principal bands most often studied.

#### 3.2 *Ex vivo* skin penetration study: untreated skin, Skin+JNP and Skin+L

The kinetics performed on untreated skin showed that the spectral signature of skin did not vary over time (Data not shown).

The kinetics of skin+JNP are shown in figure 2a. At  $t_{0h}$ , after cutaneous application of JNP, the spectral signature of the skin disappeared, consequently the infrared spectrum of JNP was observed. As function of time, the spectra exhibited variations of the intensity of vibration bands. The  $\nu(\text{O-H})$  from 3150 to 3500  $\text{cm}^{-1}$  and  $\delta(\text{H-O-H})$  at 1639  $\text{cm}^{-1}$  of water decreased, giving a direct reflection of water evaporation. The  $\nu_{as}(\text{CH}_2)$  at 2926  $\text{cm}^{-1}$ ,  $\nu_s(\text{CH}_2)$  at 2853  $\text{cm}^{-1}$ ,  $\nu(\text{C=O})$  at 1739  $\text{cm}^{-1}$  and  $\nu(\text{C-O})$  from 1110 to 1180  $\text{cm}^{-1}$  of Labrafil (major compound after water) increased as result of the increasing concentration of the oil. JNP on skin exhibited the same evolution of spectra as observed of JNP deposited on the  $\text{CaF}_2$  window.

The kinetics of skin+L is shown in figure 2b. At  $t_{0h}$ , after cutaneous application of L, the spectral signature of the skin disappeared, and the infrared spectrum of L was observed. The spectra did not exhibit any variations over time.

The evolution of intensity ratio of  $\nu(\text{C=O})$  at 1739  $\text{cm}^{-1}$  and  $\delta(\text{H-O-H})$  at 1639  $\text{cm}^{-1}$ , was identified as a spectroscopic descriptor for JNP. It allowed to follow the behavior of JNP *in vitro* (on the  $\text{CaF}_2$  window)<sup>5</sup>. To follow the behavior of JNP on the surface of skin, the suitability of the ATR-FTIR descriptor of JNP was assessed. The spectrum of skin has vibration bands in the same spectral region

as the descriptor of JNP, the  $\nu(\text{C}=\text{O})$  at  $1742\text{ cm}^{-1}$  of lipids and another  $\nu(\text{C}=\text{O})$  of the keratin at  $1645\text{ cm}^{-1}$ . The intensity ratio of  $1742\text{ cm}^{-1}$  and  $1645\text{ cm}^{-1}$  was calculated for untreated skin, its value was very low (around 0.15) and did not change over time (Figure 3). So, the contribution of the signal from skin was not significant on the variation of the descriptor after the application of nanoparticles. The ATR-FTIR descriptor identified *in vitro* for the nanoparticles was therefore also validated for this study. Then, the ratio  $\frac{I_{\nu(\text{C}=\text{O})}}{I_{\delta(\text{O}-\text{H})}}$  was calculated at each time for skin+JNP, the evolution of this ratio with time is shown in figure 3.

At first, an increase of the ratio was observed from  $t_{0\text{ h}}$  to  $t_{1\text{ h}}$  for JNP and skin+JNP. In this range time range, JNP were mostly intact with an appearance of whitish liquid formulation (macroscopic observations). Skin+JNP varied with a slightly higher slope than JNP *in vitro*. Because JNP were on the surface of the skin, they were found in a micro-environment different from that of the  $\text{CaF}_2$  window. Contrary to the  $\text{CaF}_2$  window, which is an inert support, the nanoparticles on the surface of the skin were found in a biological environment. Firstly, the skin biopsies were maintained in the hydrated state thanks to the receptor liquid. Secondly, the surface temperature of the skin was at  $32^\circ\text{C}$  and the surface of the  $\text{CaF}_2$  window at  $22^\circ\text{C}$ . Indeed, the physical degradation of JNP are dependent on this second parameter (temperature). It has been observed that the nanoparticles are less fragile at  $22^\circ\text{C}$  compared to  $32^\circ\text{C}$  (Figure 4). So, the physical degradation of JNP is slower on the  $\text{CaF}_2$  window compared to JNP on the surface of skin.

Then, a change marked by a slope was detected from  $t_{1\text{ h}}$  to  $t_{3\text{ h}}$  for JNP and skin+JNP, with the progressive physical degradation of the JNP. This increase was less important for skin+JNP compared to JNP *in vitro* for which an important slope appears. This showed a loss of quantity of JNP after the cutaneous application and therefore a penetration of the formulation.

Finally, for JNP on the  $\text{CaF}_2$  window, a plateau was reached to the value 45. In this case, JNP were mostly degraded with an appearance of a transparent viscous formulation (macroscopic observations). For JNP on the surface of skin, a second change of slope was detected with decrease of the ratio from  $t_{3\text{ h}}$  to  $t_{6\text{ h}}$ . This showed a significant loss of quantity of JNP and therefore a penetration more important of the formulation. Afterwards a plateau was reached to the value 5. The different plateau values of the descriptor confirmed the cutaneous penetration of JNP.

In addition to the descriptor, we examined the variations of  $\nu(\text{C}=\text{O})$  of lipids and  $\delta(\text{O}-\text{H})$  of water before and after cutaneous application of JNP. We also compared them to the results obtained with untreated skin and skin+L.

The elongation of  $\text{C}=\text{O}$  of the lipids was around  $1745\text{ cm}^{-1}$  for skin, at  $1739\text{ cm}^{-1}$  for JNP and L. Over time it did not change for untreated skin. For JNP, skin+JNP and skin+L, the elongation of  $\text{C}=\text{O}$  was around  $1739\text{ cm}^{-1}$ , and did not shift over time. The  $1739\text{ cm}^{-1}$  is characteristic to L alone or in JNP. This indicated, after 24 h, the Labrafil was still present on the surface of the skin after cutaneous application of JNP and L. (Figure 5)

For JNP (*in vitro*), over time the deformation of O-H of water at 1639 cm<sup>-1</sup> shifted to the elongation of C=C of glycerides  $\nu(\text{C}=\text{C})$  at 1654 cm<sup>-1</sup>. This is due to the increasing of the oil concentration, as a result of water evaporation.

For untreated skin, the elongation of C=O of the keratin at 1645 cm<sup>-1</sup> did not vary. Also, for skin+L, the elongation of C=C of glycerides at 1652 cm<sup>-1</sup> did not shift.

For skin+JNP, from  $t_0$  h to  $t_{1.5}$  h, the deformation of O-H of water  $\delta(\text{O-H})$  at 1639 cm<sup>-1</sup> shifted to the elongation of C=C of glycerides  $\nu(\text{C}=\text{C})$  at 1650 cm<sup>-1</sup>, as JNP (*in vitro*). After  $t_{1.5}$  h, the value stabilized around 1650 cm<sup>-1</sup>. This indicated again the presence of Labrafil on the surface of skin. (Figure 6)

#### 4 Conclusion

The cutaneous application of JNP showed the same evolution of spectra as observed of JNP deposited on the CaF<sub>2</sub> window. Over time, the  $\nu(\text{O-H})$  from 3150 to 3500 cm<sup>-1</sup> and  $\delta(\text{O-H})$  at 1639 cm<sup>-1</sup> of water decreased. The  $\nu_{\text{as}}(\text{CH}_2)$  at 2926 cm<sup>-1</sup>,  $\nu_{\text{s}}(\text{CH}_2)$  at 2853 cm<sup>-1</sup>,  $\nu(\text{C}=\text{O})$  at 1739 cm<sup>-1</sup> and  $\nu(\text{C-O})$  from 1110 to 1180 cm<sup>-1</sup> of Labrafil M2125CS<sup>®</sup> increased, as a result the progressive physical degradation of JNP.

Over time, the evolution of intensity ratio of  $\nu(\text{C}=\text{O})$  at 1739 cm<sup>-1</sup> and  $\delta(\text{O-H})$  at 1639 cm<sup>-1</sup> was validated as descriptor of JNP before cutaneous application (on the CaF<sub>2</sub> window). To follow their behavior over time, the suitability of the ATR-FTIR descriptor of JNP on the surface of the skin was assessed. So, the contribution of the signal from skin was not significant. Therefore, the IR descriptor was also appropriate to follow the behavior of JNP on the surface of human skin.

Thus, the ratio  $\frac{I_{\nu(\text{C}=\text{O})}}{I_{\delta(\text{O-H})}}$  was calculated to study the evolution of JNP over time. From  $t_0$  h to  $t_1$  h, JNP were mostly intact. Compared to JNP on the CaF<sub>2</sub> window the physical degradation was slightly higher of JNP on the surface of skin, as JNP were sensible to the temperature of the skin. Unlike JNP on CaF<sub>2</sub> window, from  $t_1$  h to  $t_3$  h, the ratio of JNP on the surface of skin increased more slowly. This showed the progressive physical degradation of the JNP and a loss of quantity of JNP. Therefore, the penetration of the formulation had begun. From  $t_3$  h to  $t_6$ , the ratio decreased and then showed a significant loss of quantity. So, the penetration of the formulation was more important than the last time interval. Afterwards a plateau was reached to a value much lower than the value of the plateau obtained with JNP on CaF<sub>2</sub> window. This observation confirmed the cutaneous penetration of JNP.

The inspection of the variations of  $\nu(\text{C}=\text{O})$  of lipids and  $\delta(\text{O-H})$  of water after cutaneous application of JNP, revealed that the Labrafil was still present on the surface of the skin after 24 h.

The kinetics of Labrafil (lipophilic phase of JNP) was conducted to evaluate the difference of behavior between Labrafil alone and Labrafil contained in JNP. The recorded spectra after cutaneous application of Labrafil, did not showed any variations over time. Then, the Labrafil alone did not penetrate in the skin. In contrast, when Labrafil was contained in JNP, a disappearance of the formulation was observed. Therefore, the skin penetration of JNP did occur.

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## Legends

Figure 1 : ATR-FTIR spectra of untreated skin (a), JNP (b) and L (c)

Figure 2 : Kinetic changes of ATR-FTIR spectra; skin+JNP (a) and skin+L (b) versus time, from 0 h to 24 h.

i: the intensity of  $\nu(\text{O-H})$  from 3150 to 3500  $\text{cm}^{-1}$  decreased over time.

ii: the intensity of  $\nu(\text{C=O})$  at 1739  $\text{cm}^{-1}$  increased and the intensity  $\delta(\text{O-H})$  at 1639  $\text{cm}^{-1}$  decreased over time.

Figure 3 : Evolution of intensity ratios of  $\nu(\text{C=O})$  (1739  $\text{cm}^{-1}$ ) and  $\delta(\text{O-H})$  (1639  $\text{cm}^{-1}$ ) vibration bands versus time, from 0 to 24 h of JNP *in vitro* (blue), untreated skin (red) and skin+JNP (green).

Figure 4 : Evolution of intensity ratios of  $\nu(\text{C=O})$  (1739  $\text{cm}^{-1}$ ) and  $\delta(\text{O-H})$  (1639  $\text{cm}^{-1}$ ) vibration bands versus time of JNP at 22 °C and 32°C.

Figure 5 : Evolution of wavenumber of  $\nu(\text{C=O})$  of lipids of skin, JNP and after cutaneous application of JNP and L.

Figure 6 : Evolution of wavenumber of  $\delta(\text{O-H})$  of water of JNP before and after cutaneous application,  $\nu(\text{C=O})$  of the keratin of skin and  $\nu(\text{C=C})$  of Labrafil of skin+L.











