

Hemodynamic efficiency of hemodialysis treatment with high cut-off membrane during the early period of post-resuscitation shock: The HYPERDIA trial

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1	Hemodynamic efficiency of hemodialysis treatment with high cut-off membrane during		
2	the early period of post-resuscitation shock: the HYPERDIA trial		
3	Running title : High-permeability hemodialysis in post-resuscitation shock (HYPERDIA)		
4			
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25 Abstract (288 words)

<u>Background</u>: After resuscitation of cardiac arrest (CA), an acute circulatory failure occurs in
about 50% of cases, which shares many characteristics with septic shock. Most frequently,
supportive treatments are poorly efficient to prevent multiple organ failure and death. We
evaluated whether an early plasma removal of inflammatory mediators using high cut-off
continuous veno-venous hemodialysis (HCO-CVVHD) could improve hemodynamic status
and outcome of these patients.

Patients and methods: We performed a randomized open-label trial. Patients with post-cardiac 32 33 arrest shock (defined as requirement of norepinephrine or epinephrine infusion > 1mg/h) were 34 included. The experimental group received 2 distinct sessions of HCO-CVVHD during the first 48 hours following ICU admission. The control group received continuous veno-venous 35 36 hemofiltration (CVVH) with standard membranes if needed. The primary endpoint was the 37 delay to shock resolution assessed by the length of catecholamine infusion. Number of 38 vasopressors-free days at day 28, arterial blood pressure measures every 6-hours, daily fluid balance and mortality (ICU and day-28) were evaluated as secondary endpoints. 39

40 Results: 35 patients were included: 17 (median age 68.4, 59% male) in the HCO-CVVHD 41 group and 18 (median age 66.3, 83% male) in the control group. Baseline characteristics did 42 not differ between the two groups. Day-28 mortality rate was 64.7% and 72.2% in the HCO-CVVHD and control group, respectively (p=0.72). Probability of vasopressors discontinuation 43 44 over time was similar in the two groups (p for logrank test=0.67). Number of day-28 catecholamine-free days was 25.1 [0, 26.5] and 24.5 [0, 26.2] in the HCO-CVVHD and 45 control group, respectively (p=0.65). No difference was observed regarding the daily-dose of 46 vasopressors, arterial pressure profile and fluid balance. 47

48 <u>Conclusion</u>: In cardiac arrest patients, HCO-CVVHD did not decrease the lenght of post49 resuscitation shock and had no significant effect on hemodynamic profile.

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51	Registration :	NCT00780299
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- 53 Key words: cardiac arrest; post-resuscitation shock; continuous veno-venous hemodialysis;
- 54 ischemia-reperfusion; cardio-pulmonary resuscitation

55

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- 60
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68 Introduction

Despite several advances in management of cardiac arrest (CA), a large subset of patients with return of spontaneous circulation (ROSC) will present a post-CA shock, which may result in refractory multiple organ failure and death [1, 2]. This syndrome typically occurs within the first hours after ROSC as a consequence of the whole-body ischemia-reperfusion process [3, 4]. Previous clinical investigations have depicted hemodynamic features, which combine vasodilation and myocardial dysfunction [5, 6].

Post-resuscitation shock is associated with an important release of 76 77 inflammatory mediators and cytokines (endotoxin, TNF-alpha, interleukines) to the 78 blood and an exacerbation of the systemic inflammatory response, in a way quite 79 similar to what is observed in sepsis and septic shock [7]. Considering this 80 pathophysiology, it has been suggested that an early blood removal of inflammatory 81 mediators could be associated with an improvement in hemodynamic compromise and 82 outcome. However, inflammatory mediators are relatively large molecules and 83 conventional haemofilters used for renal replacement therapies (RRT) are unlikely to 84 achieve their clearance. A better clearance of these molecules can be obtained using 85 alternative extracorporeal blood purification therapies. In a previous clinical study, high-volume hemofiltration (HVHF), which potentially offers an effective blood 86 purification of circulating inflammatory mediators, was found of potential benefit in 87 88 patients with post-CA shock [8]. However, these results were not replicated and did 89 not translate into standard management, mostly because HVHF is difficult to manage 90 in routine practice and because it requires special equipment. Another approach is 91 offered by specially designed haemofilters that permit high cut-off veno-venous 92 hemodialysis (HCO-CVVHD) resulting in removal of pro-inflammatory cytokines [9,

93 10]. Importantly, these haemofilters allowing HCO-CVVHD do not require special
94 equipment as they can be used with devices that are commonly used in intensive care
95 units (ICU) for continuous RRT.

When designing the HYPERDIA study, we hypothesized that HCO-CVVHD
could improve the hemodynamic status of patients with post-CA shock, as compared
with standard management.

99

100 Methods and design

101 The HYPERDIA trial was a prospective, monocentric, randomized, controlled 102 trial aiming to assess the feasability, safety, clinical and biological efficacy of HCO-103 CVVHD in patients with post-CA shock as compared with standard management. The 104 hypothesis was that the duration of the hemodynamic compromise would be shorter 105 with HCO-CVVHD (intervention group) as compared with standard strategy (control 106 group).

107 Study setting and participants

108 The study was performed in the medical ICU of Cochin University hospital, which serves as a cardiac arrest centre in the southern Paris area (France). During the study 109 110 period, all consecutive patients who were admitted after a witnessed CA were 111 screened for participation. They were eligible if the following inclusion criteria were 112 present: adults (> 18 yo), still comatose (defined by a Glasgow Coma Scale less than 113 or equal 7); CA presumed to result from a cardiac cause; and if patients had a post-114 resucitation shock, i.e., a need for continuous vasopressor infusion (epinephrine or 115 norepinephrine) with a dose higher than 1 milligram per hour. Patients meeting any of 116 the following non-inclusion criteria were not included in the trial: CA cause associated with an increase of hemorraghe risk (traumatic brain injury, subarachnoidal 117

118 hemorrhage, ischemic stroke, diffuse hemorrhage), Glasgow Coma Scale higher than 119 7, moribund patient with a life expectancy lower than 24 hours, life expectancy lower 120 than 28 days related to a underlying chronic disease, logistic inability to start the 121 treatment within the first 6 hours after ROSC, anticoagulants contraindication, 122 heparin-induced thrombocytopenia, severe thrombocytopenia lower than 20G/L, 123 neutropenia related to a hematologic malignancy, Acquired ImmunoDeficiency 124 Syndrome (AIDS) with CD4 Т lymphocytes lower than 0.050G/L, 125 immunosuppressive treatments (except corticosteroids), pregnancy, weight higher than 126 100 kilograms, absence of national statutory health insurance coverage, inclusion in 127 another interventional trial or any administrative supervision

128 Randomization

129 If eligible, patients were randomly assigned in a 1:1 ratio to intervention or 130 standard group (**Figure 1**). Randomization was performed using a computer-generated 131 assignment sequence via a dedicated website (telemedicine cleanweb.aphp). Time 132 zero was defined by randomization time.

Physicians in charge were aware of the intervention assignement because it was not possible to blind them for HCO-CVVHD. However, hemodynamic management was similar in the 2 groups, and data managers and staticians were blinded to the randomization result.

137 Outcomes

The main endpoint was the lenght of time between inclusion and shock resolution, assessed through the duration of vasopressors infusion. Complete weaning of vasopressors was considered if the infusion was not resumed after 1 hour of weaning.

142 Secondary included arterial dose of outcomes pressure, the 143 vasoactive/vasopressor agents expressed by the inotropic score [(dopamine dose x 1) +(dobutamine dose x 1) + (adrenaline dose x 100) + (noradrenaline dose x 100) + 144 (phenylephrine dose x 100) wherein all doses are expressed as µg/kg/min] [11] and 145 cumulated fluid infusion over the first 72 hours and adverse events up to day-28 or 146 147 death. All cause deaths and refractory MOF-related deaths were assessed at day-7 and 148 day-28. Blood measurement of cytokines, markers of endothelial dysfunction and 149 apoptosis were collected over the first 72 hours.

150 Follow-up was stopped at either patient's death or day-28.

151 Biological assessment

152 We evaluated 6 biomarkers broadly chosen for they role in leukocyte adhesion 153 (ICAM-1/CD54) as well as in pro- (TNF- α , IL-1a, IL-1b, IL-6) and anti-inflammatory 154 pathways (IL-10). Patients' plasma levels were measured at baseline and at 8, 24, 32, 48 and 72 hours using human Magnetic Luminex screening assay (R&D Systems, Inc. 155 156 Minneapolis, MN, USA). All analytes were measured in duplicates according to the 157 manufacturer's protocol using a Bio-Plex 200 Luminex instrument and Bio-Plex 158 software (Bio-Rad, Hercules, CA), as described previously [12]. A single assay lot 159 was used and all specimens for any given individual were run on the same plate. 160 Analyte- and plate-specific lower limits of detection (LLOD) were calculated as concentrations 2.5 standard deviations above the background for each analyte on each 161 162 plate. Results [median, Q1-Q3] are expressed in pg/mL.

163 Intervention

164 In the intervention group, a double-lumen dialysis catheter was inserted in a 165 large vein. After verification of catheter position, a first 8-hours session of HCO-

166 CVVHD was performed as soon as possible and a second session was repeated 24 hours after inclusion. For each session, a Septex[©] hemofilter (Gambro-Baxter, 167 Meyzieu, France) was used in combination with a Prismaflex machine (Prismaflex[©], 168 169 Gambro Industries, Meyzieu, France). This set can take care of items that are 5 to 50 kilodaltons in size. The initial settings were blood flow 150 mL/min, dialysate flow 170 171 2500 mL/h and ultrafiltration flow 0 mL/h. Between the 2 HCO-CVVHD sessions, 172 standard CVVHF could be performed if necessary, based on standard indication for 173 RRT and with a targeted dialysis dose of 35mL/kg/h. Heparin was used as 174 anticoagulant.

175 In the 2 groups, CVVHF could be used if RRT was deemed necessary by 176 physicians in charge, based on standard indication for acute renal failure. CVVHF was 177 chosen because it requires the same machine and because its use in unstable patients 178 has already been demonstrated feasable. If used for RRT, the same modalities of CVVH were employed in the 2 groups regarding hemofilters, device (Prismaflex[®], 179 180 Gambro Industries, Meyzieu, France) and targeted dialysis dose (35mL/kg/h). In case of uncontrolled metabolic acidosis (defined with a pH lower than 7.20), physicians in 181 182 charge were also allowed to switch the patient toward intermittent dialysis.

183 Hemodynamic management

The same strategy was used for hemodynamic management in the 2 groups. An invasive monitoring of arterial pressure was performed in all patients through a radial or femoral catheter. Fluid responsiveness was evaluated by passive leg raising according to current recommandations [13]. The decision to use epinephrine and norepinephrine as vasopressor agents was left to the discretion of the physician in charge of the patient. Transthoracic echocardiography was encouraged to assess myocardial function. In case of myocardial dysfunction with persistent low perfusion symptoms, dobutamine could be added. Catecholamines were continuously infused
using a central venous line and the dose was changed using a 0.25 mg/h step-to-step in
order to maintain the mean arterial pressure above between 65 and 75mmHg.

194 The management of the early phase of post cardiac arrest shock is detailed in195 the supplementary appendix.

196 *Concomitant treatments.*

197 Physicians were asked to follow the most recent guidelines regarding initial 198 resuscitation and ICU management at the time the study was performed [14]. When 199 used, therapeutic hypothermia was started immediately at ICU admission (or 200 continued if initiated pre-hospital) during the first 24 h in order to obtain a target 201 temperature between 32°C and 34°C. Normothermia between 37°C and 37.5°C was 202 then achieved using passive rewarming $(0.3^{\circ}C/h)$ and maintained during the next 48 h. 203 In patients with a high suspicion of acute coronary syndrome as the cause of CA, early 204 coronary angiograms were routinely performed at hospital admission and were 205 followed, when indicated, by immediate percutaneous coronary interventions.

206 Ethical issues, patients' consent and trial registration

The study received ethics committee approval by CPP IIe de France III, Paris-Tarnier Cochin, Paris (France)(approval number 2574). The trial was conducted in accordance with the Declaration of Helsinki and Good Clinical Practices, and adhered to French regulatory requirements. When possible, written informed consent was obtained from patient surrogates before study enrollment. According to French law, in the case of impaired decision-making capacity without any surrogate at the time of inclusion, the patient's written informed consent could be obtained after enrollment. 214 The HYPERDIA trial has been prospectively registered at ClinicalTrials.gov215 (NCT00780299).

216 Statistical analysis

217 Analyses were conducted according to the intention to treat principle. Discrete 218 and continuous variables were summarized by frequencies and percentages (calculated 219 among available data) and by their median and interquartile range, respectively. 220 Baseline characteristics were compared according to treatment groups using exact 221 Fisher's test and Wilcoxon-Mann-Whitney test for categorical and continuous 222 variables, respectively. Univariate Kaplan-Meier curves were plotted to compare 223 catecholamines infusion duration according to study arm. All tests of significance 224 were two-sided with a maximal type I error risk of 5%.

HYPERDIA was a pilot study and very few data was available in order to calculate the sample size since no clinical study had been previously performed in this way. Based on experts' opinion, it was estimated that 40 patients (2 x 20) would be necessary to show a significant difference for the main endpoint. Considering a median duration of catecholamines infusion of 2 days (interquartile range 1-4) as reported in a previous clinical study, this sample size would be able to demonstrate a 55% difference between the 2 groups with an alpha risk of 0.05 and 80% power.

Results of the HYPERDIA trial are presented according to the CONSORT statement[15].

234 **Results**

Patients' enrolment started in June 2013 and terminated in Novembre 2015, on
demand of the sponsor and before completion of the pre-planned sample size, because
exhaustion of the financial academic support. Among the 317 CA patients admitted

238 during the study period, 157 patients were screened for eligibility and 35 were finally 239 randomized, with 17 patients allocated to the intervention and 18 patients allocated to 240 the control group (Figure 2). Baseline characteristics were similar in both study 241 groups (Table 1). Patients were mostly males without significant past medical history. 242 An early coronary angiography was performed in 27/35 (77.1%) cases and allowed a 243 percutaneous coronary intervention in 4 (23.5%) and 5 (27.8%) patients of the 244 intervention and the control arms, respectively. Chest and brain CT-scans were 245 performed in 17 and 18 patients, respectively. Pulmonary embolism was evidenced in 246 2 patients in the control group.

247 *Feasibility and safety*

Regarding feasibility of the intervention, 16 over 17 patients effectively received the first session of HCO-CVVHD (one patient died before receiving the treatment). HCO-CVVHD was stopped before completion of the first session in 1 case because of refractory metabolic acidosis. Twelve patients received the second session of HCO-CVVHD at H24 (4 patients died within the first 24 hours) and this second session was prematurely stopped in 2 cases (1 technical problem and 1 refractory metabolic acidosis). No ultrafiltration was performed in either groups.

In the control group, 12 and 4 patients received RRT using CVVH at day-1
and day-2, respectively (e-Figure 2).

257 Regarding adverse events, we observed 6 circuit coagulations and no other258 serious adverse event.

259 *Clinical outcome*

260 The median delay to complete weaning of vasopressors did not differ between261 the 2 groups, as illustrated by the course of infusion shown in Figure 3 (log-rank p

262 value=0.673). This median time to catecholamine weaning was 37.3 [igr 23.8, 69.5] 263 and 71.1 [iqr 38.6, 86.4] hours in ICU survivors of the intervention and control 264 groups, respectively. This delay was 31.6 [igr 16.2, 50.4] and 11.2 [igr 8.7, 15.9] 265 hours in patients of the intervention and control group who died in the ICU. The median number of catecholamine-free days at D28 was 25.1 [iqr 0, 26.5] and 24.5 [iqr 266 267 0, 26.2] days in the intervention and control groups, respectively (p=0.634). Similar results were obtained after exclusion of the patient in the intervention group who did 268 269 not receive the treatment.

270 Regarding hemodynamic patterns, the inotropic score did not differ over time
271 between the 2 groups, as well as mean arterial pressure and cumulated fluids (Figure
272 4 and eTable 1).

Overall day-7 mortality was 68.7%: 11/17 (64.7%) and 13/18 (72.2%) in the
intervention and control groups, respectively (p=0.725).

275 Biological markers

276 No difference was observed at any time points between the 2 groups for all
277 pro- and anti-inflammatory cytokines over the first 72 hours (Figure 5).

278

279 Discussion

Even if based on a solid rationale, the present study failed to show a clinical benefit in using HCO-CVVHD in patients with post-cardiac arrest shock, as compared with standard management. We did not observe a significant change in hemodynamic profile and even if underpowered, the trial did not indicate any other potential positive signals regarding clinical outcome. In septic shock, HCO-CVVHD has been proved efficient to remove pro-inflammatory cytokines as IL-6, TNF-alpha and IL-1ra [9, 10], even by using intermittent session of HCO-CVVHD as done in the present study [16].
This removal of pro-inflammatory cytokines has been associated with hemodynamic
improvement [17, 18]. Despite similarities between septic shock and post-resuscitation
shock, we did not evidence any difference regarding hemodynamic improvement in
successfully resuscitated cardiac arrest patients treated or not with HCO-CVVHD.
This finding may raise several hypothesis.

292 The first reason could come from serum levels of cytokines, especially pro-293 inflammatory cytokines, that were dramatically lower in our population as compared 294 with levels reported in septic shock patients [17, 19, 20]. This may be explained by the 295 very transient rise in cytokines that characterised the post-resuscitation syndrome. 296 Provoked by the whole-body ischemia-reperfusion, this phenomenun is known to 297 provoke a brief cytokinic storm. But we can hypothesize that such a cytokinic storm 298 may have prolonged effects despite a quick decrease of blood levels of pro-299 inflammatory cytokines. Considering the very short half-life of these molecules, it is 300 possible that the HCO-CVVHD intervention was done too late to provide a clinical effect. For instance, it has been shown that the IL-6 half-life in septic patients was 301 302 about 2 to 4 hours and that its blood level was divided by 1,000 in 3 hours [21]. This 303 may explain the relatively low level of pro-inflammatory cytokines that we observed 304 and the lack of effect of the intervention. These quite low levels may thus not be 305 impacted by an epuration technique as the concentration gradient would be too low 306 and a potential decrease impossible to detect. Alternatively, this lack of efficiency could be due to membrane fouling, which is common in this setting. It has been 307 308 demonstrated that the sieving profile of the HCO membranes shifts towards lower 309 molecular weights during operation due to this inevitable phenomenon. This 310 membrane fouling is related to the adhesion of proteins to the membrane surface, which acts as a barrier that further affects the transmembrane clearance because of pore occlusion [22, 23]. Assuming that this phenomenon may occur even if blood cytokine levels are low, this may also explain that we did not observe any difference in cytokine clearance between the 2 groups. Moreover, regards to the low levels of circulating cytokines, we cannot exclude that a standard CVVHF membrane was as efficient as the HCO-CVVHD membrane, leading to the lack of difference we observed.

318 Second, one may argue that modalities for HCO-CVVHD were not optimal 319 enough, but this hypothesis is difficult to defend. We performed two sessions of HCO-CVVHD, at randomization and 24 hours after inclusion. Blood flow and reinjection 320 321 doses were optimized and were similar to those previously used in septic shock 322 patients. In previous studies testing HCO-CVVHD, dialysate flows were similar to 323 what was used in the present study (i.e. 2500mL/h), and the only important difference 324 was that we only used short sessions of 8 hours [9, 10]. This may at least partly 325 explain our findings and we don't know if a difference would have been evidenced 326 using continous HCO-CVVHD instead of separate, discontinuous sessions.

327 Last, the pathophysiology of the post-cardiac arrest shock patients is 328 multifactorial and is not only related to the release of cytokines. In a previous clinical 329 trial, Laurent et al reported an effect of HVHF in post-cardiac arrest shock patients, 330 while there was no difference in cytokines levels in controls [8]. Similar findings were 331 recently observed in a rat model of cardiac arrest [24] where no improvement in mortality, neurological dysfunction and pro-inflammatory cytokines levels was 332 333 observed in any of the hemofiltration groups (i.e. control group, standard volume 334 CVVH and high volume hemofiltration). Taken together, these findings may plead against cytokine removal, whatever the technique employed, in the setting of postcardiac arrest shock.

337 The study suffers from several limitations. First, this is a monocentric study. 338 However, the HYPERDIA study was a pilot randomized study and the monocentric 339 design guaranteed management homogeneity. Second, the number of patients included 340 in the study was small, which could lead to a lack of power despite a power 341 calculation performed before the beginning of the trial. In line with the pilot study 342 design, we cannot exclude that results would have been different in a multicentre 343 study with a larger sample size. Nevertheless, there was no trend nor any signal 344 suggesting a potential clinical benefit. Third, cardiac arrest patients included in the 345 study might have been treated for one or several comorbidities we did not took into 346 account; we thus could have missed a potential cofounder in this setting. However, the 347 lack of imbalance thanks to the randomization does not support such a hypothesis. 348 Fourth, we cannot rule out that the lack of difference would be partly related to the 349 hemodynamic instability induced by the HCO-CVVHD treatment. However, we 350 observed no significant difference between the two groups regards to the inotropic 351 index, the mean arterial pressure and the total amount of fluids over time. Last, due to 352 the nature of the intervention, it was obviously not possible to blind the investigators. 353 However, we use a strict and similar algorithm regarding weaning of vasopressors in 354 the 2 groups

355

356 Conclusion

In cardiac arrest patients, HCO-CVVHD did not decrease the lenght of post-resuscitation shock and had no significant effect on hemodynamic profile.

359

360 Funding and support

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- 362 provided the Septex[™] membranes that were used in the HCO-CVVHD group The
- 363 Assistance Publique Hôpitaux de Paris was the sponsor of the HYPERDIA trial.

364 **Competing interests**

- 365 GG, DG, TS, LL, NM, JDC, FP, AB, FD, TMB, MA, BC, LZ, SB, YLN, JC, JPM, JD
- and CV have no conflict of interest.
- 367 AC received fees from Bard for lectures.

368 Authors' contribution

- 369 AC, TS and CV designed the trial. GG, DG, LL NM, JDC, FP, AB, FD, TMB, MA,
- BC, LZ, SB, YLB, JC, JPM collected the data. AC, GG and JC designed the statistical
- analysis. AC and GG drafted the manuscript. CV and TS reviewed the manuscript.
- AC, GG and JC had full access to the final trial dataset.

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Table 1. Baseline characteristics according to the study groups

Variable	HCO-CVVHD n=17	Controls n=18
Demographics		
Age, y	68.4 [59.1,72.7]	66.3 [64.9,72.1]
Male gender	10 (58.8)	15 (83.3)
Weight, kg	75 [70, 86]	82 [72, 90]
Height, cm	173 [165, 180]	175 [170, 180]
Past medical history		
Myocardial infarction	0 (0)	1 (5.6)
Acute coronary syndrome	0 (0)	1 (6.7)
Pulmonary embolism	0 (0)	1 (6.7)
Atrial fibrillation	2 (13.3)	5 (33.3)
Conduction disorder	0 (0)	2 (13.3)
Cardiovascular risk factors		
Current smoking	5 (29.4)	9 (50)
Dyslipidemia	6 (40)	3 (30)
Arterial hypertension	8 (47.1)	8 (44.4)
Diabetes	3 (17.7)	3 (16.7)

16 (94.1)	18 (100)			
12 (70.6)	12 (66.7)			
4 (23.5)	8 (44.4)			
11 (64.7)	9 (50)			
2 (11.8)	1 (5.6)			
3 [0,5]	4.5 [0,10]			
25 [19,28]	34 [22,40]			
In-hospital diagnostic procedures				
13 (76.5)	14 (77.8)			
9 (52.9)	8 (44.4)			
8 (47.1)	10 (56.6)			
35 [34,36]	35 [34,35]			
164 [122,203]	153 [96,218]			
2 [1,2]	2 [1,3]			
8 [7,11]	9 [7,11]			
2.7 [1.4, 4.2]	1.9 [1.1, 3.6]			
12.5 [11, 15]	11 [11, 12]			
	16 (94.1) 12 (70.6) 4 (23.5) 11 (64.7) 2 (11.8) 3 [0,5] 25 [19,28] 13 (76.5) 9 (52.9) 8 (47.1) 35 [34,36] 164 [122,203] 2 [1,2] 8 [7,11] 2.7 [1.4, 4.2] 12.5 [11, 15]			

465 No significant difference was observed between the 2 groups.

466 CA: cardiac arrest; CPR: cardiopulmonary resuscitation; ROSC: restoration of

467 spontaneous circulation; CT: computed tomography; ICU: intensive care unit; IQR:

468 interquartile; SAPS: simplified acute physiology score; LOD: logistic organ

469 dysfunction; SOFA: sequential organ failure assessment

470

471







Time to catecholamines weaning (hours)











Arm 📫 Controls 📫 HCO–HD