

### Evidence for an Association Between Intrahepatic Vascular Changes and the Development of Hepatopulmonary Syndrome

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Body of manuscript word count: 2645 words, not including the abstract, references, 1 2 tables, and figure legends 3 Abstract word count: 249 words 4 Evidence for an association between intrahepatic vascular changes 5 and the development of hepatopulmonary syndrome 6 Running Head: Liver vascular pattern of damage and HPS 7 8 Clément Lejealle<sup>1,5</sup> MD, Valérie Paradis<sup>2,5,6</sup> MD, PhD, Onorina Bruno<sup>3</sup> MD, Emmanuelle de 9 Raucourt<sup>4</sup> MD, PhD, Claire Francoz<sup>1,5</sup> MD, PhD, Olivier Soubrane<sup>6,7</sup> MD, PhD, Didier 10 Lebrec<sup>1,5,6</sup> MD, PhD, Pierre Bedossa<sup>2,5,6</sup> MD, PhD, Dominique Valla<sup>1,5,6</sup> MD, PhD, Hervé 11 Mal<sup>6,8</sup> MD, PhD, Valérie Vilgrain<sup>3,5,6</sup> MD, PhD, François Durand<sup>1,5,6</sup> MD, PhD, Pierre-12 Emmanuel Rautou<sup>1,6,9</sup> MD, PhD 13 14 <sup>1</sup> Service d'Hépatologie, DHU Unity, Hôpital Beaujon, Assistance Publique-Hôpitaux de 15 16 Paris, Clichy, France 17 <sup>2</sup> Service d'Anatomie Pathologique, Hôpital Beaujon, Assistance Publique-Hôpitaux de Paris, 18 Clichy, France <sup>3</sup> Service d'imagerie, Hôpital Beaujon, Assistance Publique-Hôpitaux de Paris, Clichy, France 19 20 <sup>4</sup> Service d'Hématologie-Biologique, Hôpital Beaujon, Assistance Publique-Hôpitaux de 21 Paris, Clichy, France 22 <sup>5</sup> INSERM, UMR-1149, Centre de Recherche sur l'inflammation, Paris-Clichy, France 23 <sup>6</sup> Université Denis Diderot-Paris 7, Sorbonne Paris Cité, 75018 Paris, France <sup>7</sup> Service de Chirurgie hépato-biliaire et Transplantation Hépatique, Hôpital Beaujon, 24 25 Assistance Publique-Hôpitaux de Paris, Clichy, France

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26	Tables: 4
27	References: 41
28	

- 2 HPS: hepatopulmonary syndrome
- 3 MDCT: multidetector computed tomography
- 4 MELD: model for end-stage liver disease
- 5 PaO2: partial pressure of arterial oxygen
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#### 1 ABSTRACT

Backgrounds: Hepatopulmonary syndrome (HPS) is characterized by an arterial oxygenation defect - defined by an increased alveolar-arterial oxygen gradient - induced by pulmonay vascular dilatations in the context of liver disease. The pathogenesis of HPS is poorly understood. Morphological changes associated with HPS are unknown.

6 This study aimed at describing imaging and pathology changes associated with HPS.

7 **Methods**: We performed a case-control study in candidates for transplant with suspicion of 8 cirrhosis. Each patient with HPS ( $PaO_2 \le 70 \text{ mm Hg}$ ) was matched to 3 controls for age, 9 cause and liver disease severity. Pretransplant thoracic and abdominal imaging and 10 explanted livers were reviewed.

11 Results: CT-scans and Doppler ultrasounds from 21 patients with HPS were compared with 12 those from 63 controls. HPS was associated with a 2 to 3-fold higher prevalence of 13 obstructed intrahepatic portal branches, of slowed or hepatofugal portal blood flow, and of 14 large abdominal portosystemic shunts. Hepatic artery diameter was also larger in patients 15 with HPS. Explanted livers from 19 patients with HPS were compared with those from 57 16 controls. HPS was associated with a 4-fold higher prevalence of portal venules thrombosis 17 and a nine fold higher prevalence of extensive vascular proliferation within fibrous septa. 18 Obstruction of centrilobular veins, sinusoidal dilatation and liver parenchymal extinction were 19 also more common in patients with HPS.

20 **Conclusions**: HPS is associated with intrahepatic vascular changes and with features 21 suggesting severe portal hypertension. These results raise the hypothesis that intrahepatic 22 vascular changes precipitate the development of HPS, opening new therapeutic perspectives 23 for HPS.

Keywords: Hepatopulmonary syndrome; cirrhosis; thrombosis; portal hypertension;
 angiogenesis

#### 1 Introduction

Hepatopulmonary syndrome (HPS) is characterized by an arterial oxygenation defect - as defined by an increased alveolar-arterial partial pressure oxygen difference  $\geq$  15 mm Hg in patients < 65 years and  $\geq$  20 mm Hg in patients  $\geq$  65 years - induced by pulmonary vascular dilatations in the context of liver disease<sup>1,2</sup>. This syndrome is observed in 5% to 32% of patients with cirrhosis evaluated for liver transplant <sup>2–6</sup>.

7 The pathogenesis of HPS is still poorly understood, which hampers the development of 8 effective treatments. Data on the mechanisms leading to the key features of HPS, namely 9 pulmonary microvascular dilatations and angiogenesis, have been obtained in rats with 10 chronic common bile duct ligation <sup>7</sup>. In this model, pulmonary vasodilatation results from the 11 interaction between an increased release of endothelin-1 by the liver and an enhanced lung 12 endothelin B receptor expression due to the hyperdynamic circulation of cirrhosis <sup>8</sup>. 13 Pulmonary vasodilatation is also associated with increased activity of endothelial nitric oxide 14 (NO) synthase (eNOS) and inducible NO synthase (iNOS), and with enhanced carbon 15 monoxide (CO) production. Pulmonary angiogenesis is accompanied by the accumulation of 16 vascular endothelial growth factor (VEGF)-A in the lungs, and activation of VEGF-A 17 dependent signaling pathways. Placental growth factor (PIGF) also contributes to lung 18 angiogenesis in HPS <sup>9</sup>.

Attempts to clarify the pathophysiology of HPS in patients have been scarce. Patients with HPS were found to have elevated levels of exhaled NO <sup>10</sup>, of venous endothelin-1 <sup>11</sup>, of soluble VCAM-1 and ICAM-3 <sup>12</sup> and of arterial carboxyhemoglobin <sup>13</sup>. However, the source of these soluble mediators is unknown. Complete resolution or significant improvement in gas exchange is almost constant following liver transplant suggesting that the liver itself, or the gut though the portosystemic venous shunts, release mediators resulting in pulmonary changes <sup>3</sup>.

In order to better understand the mechanisms involved in HPS, we aimed to characterize the morphological changes occurring in patients with HPS both within the liver - based on explant pathology - and within thorax and abdomen based on pre-transplant imaging. This study has been approved by the Institutional Review Board of HUPNVS, Paris 7
 University, AP-HP (#2017-013).

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#### 5 Methods

#### 6 Patients

7 This retrospective case-control study included all patients evaluated for liver transplant 8 between January 1997 and December 2015 at Beaujon hospital, Clichy, France. To facilitate 9 matching between cases (HPS) and controls, only patients with a pre-transplant diagnosis of 10 alcohol-related cirrhosis, hepatitis B or C virus-related cirrhosis, non-alcoholic 11 steatohepatitis-related cirrhosis or cryptogenic cirrhosis were included. Diagnosis of cirrhosis 12 was based on clinical examination, laboratory test, imaging and/or liver biopsy. The study 13 protocol conformed to the ethical guidelines of the 1975 Declaration of Helsinki.

14 Cases were patients with a diagnosis of HPS at least moderately severe based on the 15 following criteria: (i) diagnosis of cirrhosis, as mentioned above; (ii) abnormal arterial 16 oxygenation (PaO<sub>2</sub>  $\leq$  70 mm Hg and alveolar-arterial oxygen gradient  $\geq$  15 mm Hg in patients 17 < 65 years and  $\geq$  20 mm Hg in patients  $\geq$  65 years) breathing room air, at rest, in the supine 18 and/or standing position; and (iii) contrast-enhanced transthoracic echocardiography showing 19 appearance of microbubbles in the left heart chambers 3 to 6 cycles after right atrial passage, reflecting intrapulmonary vascular dilatations <sup>14,15</sup>. All patients had a thoracic 20 21 multidetector computed tomography (MDCT) excluding large volume pleural effusion or 22 significant parenchymal changes that could explain hypoxemia. Patients with pulmonary 23 hypertension defined by mean pulmonary pressure > 25 mm Hg, pulmonary arterial occlusion pressure < 15 mmHg and pulmonary vascular resistance > 240 dyn second cm<sup>-5</sup> 24 25 were not included in the study.

26 Control patients had normal  $PaO_2$  (> 85 mm Hg) in supine and upright position. Three 27 controls were matched with one patient with HPS according to the cause of liver disease, 28 calculated model for end-stage liver disease score (MELD) (± 3) at evaluation for liver

transplant, and age (± 5 years). In patients with several causes of cirrhosis, matching was performed for the main cause of cirrhosis. MELD values mentioned all along the manuscript are calculated using the formulae previously reported<sup>16</sup> without extra points for hepatocellular carcinoma or HPS.

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#### Features analyzed

7 Clinical, laboratory, hemodynamics features were analyzed. All thoracic and abdominal 8 contrast-enhanced triple phase MDCT performed at evaluation for liver transplant were 9 reviewed by a senior radiologist (OB) unaware of the clinical, laboratory and pathological 10 results. During the study period, samples from explants of liver transplant recipients were 11 systematically collected. For this study, liver samples from 6 or more liver segments were 12 selected. They were retrospectively reviewed by an expert pathologist (VP) unaware of the 13 presence or absence of HPS or of any other clinical, laboratory or imaging result. The extent 14 of liver fibrosis was evaluated by morphometry using picrosirius staining, as reported 15 previously <sup>17</sup>. Factor V Leiden and G20210A prothrombin gene mutations were tested in 16 cases and controls after DNA extraction from frozen samples of explanted livers according to previously reported methods <sup>18</sup>. All details regarding features analyzed are included in 17 18 supplementary material. (e-Text 1)

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#### Statistical analysis

21 Quantitative variables were expressed as median and interquartile range, and categorical 22 variables as absolute and relative frequencies. Comparisons between groups of quantitative 23 variables were performed using the Mann-Whitney U test. Comparisons between groups of 24 qualitative variables were performed using Chi-square and the Fisher exact tests, as 25 appropriate. All tests were two-sided, and significance was set at p < 0.05. All statistics 26 analyses were performed using the SPSS statistical package 20.0 software (SPSS Inc., 27 Chicago, IL, United States).

#### 1 Results

#### 2 Patients' characteristics

Out of the 2280 patients assessed for liver transplant at our hospital between January 1997 and December 2015, including 1355 patients meeting the above mentioned inclusion criteria, January 1997 and a diagnosis of HPS at least moderately severe. Twenty-one out of these 23 patients had complete pre-transplant imaging. Nineteen patients were transplanted and had explanted liver samples available for review. Finally, 17 patients had both imaging and histological data available for review (e-figure S1: flow chart).

9 These 21 HPS patients with imaging data available and 19 patients with liver sample 10 available were compared with 63 and 57 matched control patients with a pretransplant 11 diagnosis of cirrhosis, respectively. Their clinical, laboratory and hemodynamic features are 12 shown in Table 1. Alveolar-arterial oxygen gradient was above 60 mmHg (corresponding to 13  $PaO_2 < 50$  mm Hg breathing room air with  $PaCO_2 = 32$  mmHg) in 71% and 84% of the 14 patients with HPS with imaging data and with liver sample available, respectively. HPS 15 patients and control patients did not differ in age, cause and severity of cirrhosis. Alcohol 16 abuse was the leading cause of cirrhosis.

17 Large esophageal varices were more frequent in patients with HPS than in control patients. 18 Hepatocellular carcinoma was common in the control group. This difference can be 19 explained by the matching for the calculated MELD score at evaluation for transplant (no 20 including MELD exception points for HPS or hepatocellular carcinoma). Indeed, patients 21 listed for HPS had a relatively low calculated MELD score and patients listed with a similar 22 calculated MELD score and no HPS were more likely to have hepatocellular carcinoma as an 23 indication for transplant. Laboratory and hemodynamic data were similar in both groups, 24 except for serum creatinine and mean arterial pressure that were slightly higher in control 25 patients. Duration between evaluation before liver transplant and transplant was shorter in 26 HPS patients than in control patients.

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#### Complete image analysis

2 Imaging findings are shown in Table 2. Patients with HPS had obstructed intrahepatic portal 3 vein branches, slowed or hepatofugal portal blood flow, and/or large abdominal portocaval 4 shunts two to three-fold more frequently than control patients. Moreover, patients with HPS 5 had larger paraumbilical vein diameter and hepatic artery diameter (Figure 1). Hepatic 6 venous system, right colon, spleen and thorax aspects were not different between the two 7 groups. All these differences, except slowed or hepatofugal hepatic portal blood flow, 8 remained significant when restricting the analyses to the 17 HPS patients with MDCT, 9 Doppler ultrasound and explanted liver samples available for review (data not shown). 10 Thoracic imaging features were not different between patients with HPS and control patients.

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#### Explant pathology

Histological findings are shown in Tables 3 and 4. Pathological examination of the explanted
liver confirmed pretransplant diagnosis of cirrhosis or advanced fibrosis in all but 2 patients.
Details on these 2 patients are provided in online e-Table 2.

16 When comparing the two groups, we found that HPS was associated with a 4-fold higher 17 prevalence of intrahepatic portal venule thrombosis, and a 9-fold higher prevalence of 18 extensive vascular proliferation within fibrous septa. Thickening and thrombosis of 19 centrilobular veins, sinusoidal dilatation, liver parenchymal extinction and features consistent 20 with incomplete septal cirrhosis were also more common in patients with HPS than in control 21 patients (illustrated in Figures 2 and 3). Prevalence of other features was similar between the 22 two groups. When restricting the analyses to the 17 patients having both imaging and 23 histological data available for review, intrahepatic portal venule thrombosis, extensive 24 vascular proliferation within fibrous septa, features consistent with incomplete septal cirrhosis 25 and sinusoidal dilatation remained significantly more frequent in patients with HPS than in 26 control patients.

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#### Prothrombotic states and effect of anticoagulation

Given the high prevalence of intrahepatic venous obstruction in patients with HPS, we tested
Factor V Leiden and G20210A prothrombin gene mutations in 15 patients with HPS and in
52 control patients with available frozen liver. Prevalence of these mutations was not
different between the two groups.

Eight of 21 patients with HPS received anticoagulation (seven for portal thrombosis and one for deep vein thrombosis). We studied changes in alveolar–arterial oxygen gradient\_reflecting gas exchange, between evaluation for liver transplant and liver transplant according to the administration or not of anticoagulation and observed no significant difference, but only three patients had anticoagulation for more than 4 months between the two time-points.

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#### 12 **Discussion**

In this case control study, we found that HPS is associated with prominent changes in the
 extrahepatic and intrahepatic vasculature.

15 Histological changes associated with HPS included intrahepatic portal venules thrombosis, 16 thickening and thrombosis of centrilobular veins, extensive vascular proliferation within 17 fibrous septa, sinusoidal dilatation, liver parenchymal extinction, and features consistent with 18 incomplete septal cirrhosis. Ma and colleagues previously assessed liver histological alterations associated with HPS<sup>19</sup>. These investigators compared histological findings in 19 20 livers explanted from 8 patients with cirrhosis and HPS with those from 18 patients with 21 cirrhosis without HPS, matched for age, gender and cause of liver disease. The only 22 difference they observed was a lower prevalence of micronodular cirrhosis in patients with 23 HPS. A lack of power due to a small sample size and the absence of matching for the 24 severity of liver disease in the study by Ma and colleagues may explain the variance between 25 these findings and those of the present study.

In the present study, imaging changes associated with HPS included a higher prevalence of obstructed intrahepatic portal branches, of slowed or hepatofugal portal blood flow, and of large abdominal portosystemic shunts, as well as larger paraumbilical vein and hepatic artery

diameters. A similar systematic analysis of imaging findings was performed in patients with portopulmonary hypertension and disclosed a high prevalence of large abdominal portosystemic shunts, but we are not aware of any previous study in patients with HPS <sup>20</sup>. In particular, systematic imaging analyses have not been carried out in the large studies investigating features associated with HPS <sup>5,6,21</sup>. In the present study, obstructed intrahepatic portal branches were observed both at the microscopic and at the macroscopic level indicating a diffuse process of portal venous obstruction.

8 Despite the retrospective, cross-sectional, and observational nature of the present study, our 9 findings suggest that liver vessel involvement should be added to the list of the mechanisms leading to HPS<sup>8,22</sup>. Although our results cannot firmly establish that the intra- and 10 11 extrahepatic changes associated with HPS precede the development this syndrome, the 12 vascular pattern of damage we observed in patients with HPS raises the hypothesis that 13 obstruction of intrahepatic portal branches and hepatic venules are a primary event in the 14 development of pulmonary changes. Indeed, portal and hepatic venules obstructions have 15 been linked to parenchymal extinction in patients with cirrhosis, and with sinusoidal dilatation in non-cirrhotic patients <sup>23,24</sup>. These venular obstructions might also induce liver hypoxia 16 17 leading to an angiogenic response responsible for extensive vascular proliferation within fibrous septa <sup>25</sup>. Secondarily, these intrahepatic vascular obstructions may increase 18 19 intrahepatic vascular resistance, thus worsening portal hypertension and explaining the large 20 esophageal varices, large paraumbilical veins and abdominal portosystemic shunts as well 21 as the slowed or hepatofugal portal blood flow and the liver hyperarterialization observed in 22 patients with HPS <sup>26</sup>. The absence of difference in hepatic venous pressure gradient between 23 patients with HPS and control patients despite more pronounced signs of portal hypertension 24 in HPS patients may be explained by the frequent portal venule obstructions in patients with 25 HPS. Indeed, portosystemic gradient is underestimated by hepatic venous pressure gradient 26 measurement in case of presinusoidal lesions, even in the absence of hepatic venous collaterals, as in our study <sup>27-29</sup>. The similar spleen size between patients with HPS and 27 28 control patients can be accounted for by the absent or weak correlation between spleen size

and portal pressure in patients with cirrhosis <sup>30–32</sup>. Systemic vascular resistance could not be 1 2 interpreted in our study since patients with HPS tended to receive more commonly 3 betablockers as they more frequently had large varices. The reason why some patients 4 develop obstructions of intrahepatic portal and hepatic veins remains to be determined although a particular hypercoagulable state can be suspected <sup>33</sup>. Several of the lesions 5 6 observed in patients with HPS are also commonly encountered in patients with idiopathic 7 non-cirrhotic portal hypertension. Therefore, the identification of factors responsible for liver 8 vascular lesions in HPS might come in the future from a better understanding of the 9 pathophysiology of idiopathic non-cirrhotic portal hypertension. If confirmed by other groups, 10 our findings would provide the rational for future studies testing anticoagulation in patients 11 with HPS. Since no dramatic improvement in hypoxemia was observed in the few patients 12 with HPS treated with anticoagulation in the present study, such a therapy would likely be 13 tested at early steps of HPS development to prevent HPS progression.

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15 In addition to the previously demonstrated role of endothelin 1 and of bacterial translocation 16 in HPS development, the vascular pattern of damage we observed associated with HPS 17 suggests two possible scenarios explaining the pulmonary microvascular dilatations and angiogenesis typical of this syndrome<sup>8,12</sup>. A first possibility could be that the mediators 18 19 responsible for the pulmonary microvascular dilatations and angiogenesis derive from the gut 20 and reach directly the lungs through the major portosystemic shunts observed in patients 21 with HPS, without being cleared by the liver, as described in HPS associated with Abernethy 22 syndrome. VEGF is unlikely to be this mediator since VEGF levels are lower in the portal vein than in hepatic veins in patients with cirrhosis <sup>34</sup>. The rare development of HPS following 23 24 TIPS placement in patients with cirrhosis suggests that other factors than the pure derivation of the blood from the gut to the lungs are however needed to induce HPS <sup>35</sup>. A second 25 26 possibility is that intrahepatic portal vein obstructions in patients with HPS induce liver 27 hypoxia leading to the release by the hypoxic liver of pro-angiogenic/vasodilatatory agents into hepatic veins <sup>36</sup>. The first vascular bed encountered by these mediators is the pulmonary 28

one. This hypothesis is supported by the activation of the VEGF pathway in the lungs of rats
with HPS <sup>37</sup>. The observation of more frequent HPS in patients with liver hypoxia induced by
Budd Chiari syndrome (28%) or hypoxic hepatitis (46%) than in patients with extrahepatic
portal vein thrombosis without cirrhosis (4%) is consistent with this view <sup>38-41</sup>.

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#### 6 **Conclusion**

7 This case-control study shows that HPS is associated with significant intrahepatic vascular 8 changes as well as with features suggesting a severe portal hypertension. Our findings invite 9 to give increased importance to - and to gain further insight into - the obstruction of 10 intrahepatic portal and hepatic venules as an additional pathophysiological mechanism 11 leading to HPS.

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#### 5 **Authors' contribution:**

6 Dr Clément Lejealle and Pierre Emmanuel Rautou take responsibility for the content of the

- 7 manuscript including the data and analysis.
- 8 CL collected the data. CL and PER drafted the manuscript. VP and OB reviewed all explants
- 9 and imaging, respectively. All authors discussed and critically revised the manuscript.
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#### 15

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   Chiari syndrome and the role of venous decompression. *Gastroenterology* 2002;122(4):897–903.
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- 37

# 1 2 3

## TABLE 1 Patients' clinical, laboratory and hemodynamics features atevaluation for transplantation

	Complete image analysis				Explant Pathology			
Variable	n*	Control patients (n=63)	HPS patients (n=21)	р	n*	Control patients (n=57)	HPS patients (n=19)	р
Age (years)	84	56 (50-61)	53 (49-59)	0.20	76	53 (49-59)	51 (49-54)	0.25
Female gender	84	17 (27)	9 (43)	0.17	76	13 (23)	10 (53)	0.20
Arterial blood gas	0.		0 (10)	0.11	10	10 (20)	10 (00)	0.07
Ũ	70	105	60	<0.01	65	106	52	<0.01
Upright PaO <sub>2</sub> (mm Hg)		(98-118)	(49-67)			(98-118)	(46-60)	
Upright A-a PO <sub>2</sub> (mm Hg)	70	3 (0-12)	62 (50-66)	<0.01	65	2 (0-12)	66 (59-74)	<0.01
Upright SaO <sub>2</sub> (%)	70	99 (98-99)	91 (88-95)	<0.01	73	99 (98-99)	88 (85-95)	<0.01
Hemodynamic data	70		0.4 (70.00)	0.040			00 (70 00)	
MAP (mm Hg)	70	89 (82-96) 16	84 (76-92) 17	0.046	62	90 (85-97) 16	83 (72-92) 13	0.046
HVPG (mm Hg)	67	(12-22)	(12-19)	0.77	57	(13-22)	(10.5-17.7)	0.18
RAP (mm Hg)	50	5 (3-10)	6 (3-8)	0.75	38	8 (3-10)	8 (5-9)	0.96
MPAP (mm Hg)	61	17 (13-23)	15 (12-20)	0.44	53	17 (14-23)	16 (13-19)	0.41
PWP (mm Hg)	61	10 (7-14)	10 (8-13)	0.84	53	10 (8-15)	10 (9-15)	0.97
CI (L/min/m <sup>2</sup> )	61	3.5 (2.8-4.6)	4.2 (3-4.8)	0.29	50	3.8 (3.2-4.8)	3.8 (2.9-4.7)	0.75
SVR (dyn⋅s⋅cm⁻⁵)	60	1060 (700-1300)	840 (630-1220)	0.21	50	910 (700-1170)	880 (630-1320)	0.65
PVR (dyn⋅s⋅cm⁻⁵)	59	85	73	0.47	49	84.2	77.2	0.79
Causes of cirrhosis**		(54-144)	(47-122)			(51-146)	(47-115)	
Alcohol abuse	84	43 (68)	15 (71)	0.78	76	32 (56)	11 (58)	0.89
Hepatitis B or C virus	84	15 (24)	5 (24)	1.00	76	19 (33)	6 (32)	0.89
Cryptogenic	84	6 (10)	2 (10)	1.00	76	6 (10)	2 (10)	1.00
NASH	84	12 (19)	4 (19)	1.00	76	10 (18)	2 (10)	0.71
Severity of cirrhosis								
Child-Pugh A	84	12 (19)	3 (14)	0.75	76	12 (21)	3 (16)	1.00
Child-Pugh B	84	28 (44)	10 (48)	0.80	76	23 (40)	9 (47)	0.59
Child -Pugh C	84	23 (37)	8 (38)	0.90	76	22 (39)	7 (37)	0.89
MELD score (unadjusted)	84	13 (10-16)	13 (10-16)	0.89	76	13 (10-17)	14 (10-16)	0.88
Complications of cirrhosis								
Ascites	84	46 (73)	16 (76)	0.77	76	38 (67)	12 (63)	0.79
Refractory ascites	84	28 (44)	5 (24)	0.09	76	20 (35)	4 (21)	0.25
History of SBP	84	16 (25)	5 (24)	0.88	76	13 (23)	3 (16)	0.75
History of encephalopathy	84	28 (44)	12 (57)	0.31	76	22 (39)	9 (47)	0.50
Chronic encephalopathy	84	6 (10)	1 (5)	0.67	76	4 (7)	0 (0)	0.57
Large esophageal varices	83	38 (61)	18 (86)	0.04	76	37 (65)	16 (84)	0.11
History of variceal bleeding	84	18 (29)	10 (48)	0.11	76	19 (33)	9 (47)	0.27
HCC	84	28 (44)	5 (24)	0.09	76	27 (47)	3 (16)	0.02
HCC treatment	84	20 (32)	2 (10)	0.04	76	22 (39)	1 (5)	< 0.0
TIPS	82	3 (5)	0 (0)	1.00	74	3 (5)	0 (0)	1.00
Hepatorenal syndrome	84	6 (10)	0 (0)	0.32	76	3 (5)	0 (0)	0.57
Hydrothorax	84	6 (10)	2 (10)	1.00	76	6 (10)	1 (5)	0.67
Comorbidities								
BMI (kg/m²)	75	26 (23-29)	24 (22-29)	0.7	75	26 (23-29)	25 (22-28)	0.26
Smoking	82	35 (56)	11 (58)	0.86	74	34 (60)	10 (56)	0.95
COPD	83	4 (6)	3 (15)	0.35	75	4 (7)	3 (16)	0.35

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Diabetes	83	16 (25)	5 (25)	0.97	75	14 (25)	3 (17)	0.75
Arterial hypertension	83	24 (38)	6 (30)	0.51	75	18 (32)	4 (22)	0.45
Coronary artery disease	82	6 (10)	2 (10)	1.00	74	7 (12)	0 (0)	0.19
History of VTE	83	2 (3)	2 (10)	0.24	75	2 (4)	2 (11)	0.24
Laboratory data								
Serum bilirubin (µmol/L)	84	32 (16-46)	38 (22-58)	0.09	76	36 (17-51)	38 (23-57)	0.24
Serum creatinine (µmol/L)	84	71 (63-91)	65 (55-75)	0.06	76	71 (62-90)	60 (54-73)	<0.01
Serum albumin (g/L)	79	30 (25-35)	28 (23-35)	0.86	72	30 (25-33)	31 (25-37)	0.40
INR	84	1.4 (1.2-1.6)	1.4 (1.2-1.5)	0.73	76	1.4 (1.2-1.7)	1.4 (1.2-1.6)	0.88
Platelet count (10 <sup>9</sup> /L)	83	89 (68-127)	89 (59-120)	0.68	75	81 (66-116)	89 (58-124)	0.77
Alphafetoprotein (µg/L)	76	5 (3-13)	3 (2-5)	0.15	69	5 (3-15)	3 (2-6)	0.15
Treatment								
Diuretics	84	34 (54)	11 (52)	0.90	76	31 (54)	9 (47)	0.60
Prophylactic antibiotics	84	13 (21)	3 (14)	0.75	76	11 (19)	3 (16)	1.00
Beta blockers	84	37 (59)	16 (76)	0.15	76	34 (60)	14 (74)	0.27
Anticoagulation	84	14 (22)	8 (38)	0.15	76	12 (21)	7 (37)	0.22
Interval between evaluation before LT and LT	82	7.5 (5-11)	4.4 (2-8)	0.03	75	7.5 (5-11)	4.3 (2-8)	0.01

\* Number of patients with available data, \*\* Some patients had several causes of cirrhosis

Variables are expressed as median (interquartile range) or n (%)

 $\begin{array}{c}
 1 \\
 2 \\
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 \end{array}$ Abbreviations: A-a PO<sub>2</sub>, alveolar-arterial gradient BMI, body mass index; CI, cardiac index; COPD, chronic obstructive pulmonary disease; HCC, hepatocellular carcinoma; HPS, hepatopulmonary syndrome; HVPG, hepatic venous pressure gradient; EV, esophageal variceal; EVL, endoscopic variceal ligation; INR, international normalized ratio; LT, liver transplant; MAP, mean arterial pressure; MPAP, mean pulmonary artery pressure; PaO2, arterial partial pressure of oxygen; PVR, pulmonary vascular resistance; PWP, pulmonary wedge pressure; RAP, right atrial pressure; SaO2, arterial oxygen saturation; SBP, spontaneous bacterial peritonitis; SVR, systemic vascular resistance; TIPS, transjugular intrahepatic portosystemic shunt; VTE, venous thromboembolism;

11

## TABLE 2 Abdominal and thoracic imaging in patients with HPS and in control

3	
4	

Variable	Number of patients with available data	Control patients (n=63)	HPS patients (n=21)	р
Liver morphology				
Liver morphologic changes	84	62 (99)	21 (100)	1.00
Irregular liver surface	84	57 (90)	17 (81)	0.26
Large parenchymal extinction	84	16 (25)	6 (29)	0.77
Arterial vascularization				
Hepatic artery diameter (mm)	83	5.6 (5.0-6.7)	7.0 (6.0-7.5)	0.00
Heterogeneous liver perfusion pattern	83	2 (3)	1 (5)	1.00
Arterioportal or arteriovenous shunts	83	3 (5)	1 (5)	1.00
Portal venous system				
Main portal vein thrombosis	84	15 (24)	7 (33)	0.39
Portal vein diameter (mm)	83	15 (12-17)	15 (10-18)	1.00
Portal branch thrombosis	84	10 (16)	3 (14)	1.00
Obstructed intrahepatic portal branches	82	15 (24)	12 (60)	0.03
Splenic and/or mesenteric venous thrombosis	84	6 (10)	11 (17)	0.35
Portal cavernoma	84	6 (10)	1 (5)	0.67
Slowed or hepatofugal portal blood flow	65	14 (27)	8 (57)	0.05
Hepatic venous system				
Hepatic vein thrombosis	84	1 (1.5)	0 (0)	1.00
Obstructed hepatic vein	84	22 (35)	6 (29)	0.59

84

83

82

82

84

84

84

83

83

84

84

0 (0)

1 (1.5)

2 (0-5)

16 (25)

144

(130-170)

61 (52-70)

2 (2-2)

28 (27-31)

10 (9-11)

14 (22)

10 (16)

0 (0)

1 (5)

4.5 (2-6.5)

13 (65)

137

(124-171)

60 (52-71)

2 (2-12)

28 (27-30)

10 (9-11)

7 (33)

6 (29)

1.00

0.44

0.01

0.01

0.44

0.72

0.08

0.79

0.88

0.30

0.21

## 5 6 7

Variables are expressed as median (interquartile range) or n (%).

Abbreviations: HPS, hepatopulmonary syndrome

Intrathoracic portosystemic shunts

Pulmonary artery diameter (mm)

Azygos vein diameter (mm)

Portovenous shunts

Height (mm)

Width (mm)

Intrathoracic changes

Hydrothorax

Right colon wall thickness Wall thickness (mm)

Spleen

Abdominal portosystemic shunts

Hepatic venous collaterals

Paraumbilical vein diameter (mm)

Portosystemic shunts >10 mm

1 2 3

#### TABLE 3 Histological liver vascular changes in patients with HPS and in control patients

3	
4	

Variable	Number of patients with	Control patients	HPS patients	р
	available data	(n=57)	(n=19)	P
Hepatic artery thrombosis	76	2 (3)	1 (5)	1.00
Hepatic artery wall thickening	76	5 (9)	1 (5)	1.00
Main portal vein thrombosis				
Absence	76	40 (70)	11 (58)	
Partial	76	15 (27)	8 (42)	0.34
Complete	76	2 (3)	0 (0)	
Main portal vein wall thickening	76	8 (14)	4 (21)	0.48
Hepatic vein thrombosis	76	2 (3)	0 (0)	1.00
Hepatic vein wall thickening	76	1 (2)	0 (0)	1.00
Intrahepatic portal venule thrombosis				
Absence	76	50 (88)	10 (53)	
Mild	76	6 (10)	8 (42)	0.005
Severe or diffuse	76	1 (2)	1 (5)	
Intrahepatic portal venule wall thickening				
Absence	76	21 (37)	5 (26)	
Mild	76	29 (51)	7 (37)	0.06
Severe or diffuse	76	7 (12)	7 (37)	
Centrilobular venule thrombosis				
Absence	76	42 (74)	11 (58)	
Mild	76	13 (23)	3 (16)	0.01
Severe or diffuse	76	2 (3)	5 (26)	
Centrilobular venule wall thickening				
Absence	76	34 (60)	7 (37)	
Mild	76	17 (30)	5 (26)	0.03
Severe or diffuse	76	6 (10)	7 (37)	
Sinusoidal dilatation				
Absence	76	32 (56)	5 (26)	0.02
Present	76	25 (44)	14 (74)	
Vascular proliferation within fibrous septa				
Absence	76	16 (28)	1 (6)	
Mild	76	38 (67)	9 (47)	<0.001
Extensive	76	3 (5)	9 (47)	

Variables are expressed as median (interquartile range) or n (%). Abbreviations: HPS, hepatopulmonary syndrome

# TABLE 4 Other liver histological features in patients with HPS and in control patients

Variable	Number of patients with	Control patients	HPS patients	-
Valiable	available data	(n=57)	(n=19)	p
Liver weight (gram)	74	1227	1117	0.35
		(1025 - 1605)	(915-1462)	
Liver aspect	70	10 (04)	2 (12)	0.40
Macronodular Micronodular	73	13 (24)	2 (12)	0.49
	73	30 (54)	12 (76)	0.21
Mixed	73	12 (22)	2 (12)	0.50
Activity score	71	10 (00)	C (25)	
A0, no activity	71	12 (22)	6 (35)	
A1, mild activity		34 (63)	10 (59)	0.37
A2, moderate activity	71	6 (11)	1 (6)	
A3, severe activity	71	2 (4)	0 (0)	
Fibrosis score	70	4 (0)		
F2, portal fibrosis with few septa	76	1 (2)	1 (5)	
F3, numerous septa without cirrhosis	76	2 (4)	1 (5)	0.66
F4, cirrhosis	76	54 (94)	17 (90)	
Laennec score			- ()	
4a mild	71	24 (44)	5 (29)	
4b moderate	71	23 (43)	8 (47)	0.51
4c severe	71	7 (13)	4 (24)	
Perisinusoidal fibrosis			- ()	
Absence Mild	76 76	15 (26) 29 (51)	5 (26) 9 (48)	0.95
Severe or diffuse	76	13 (23)	5 (26)	0.95
Morphometric analysis			~ /	
Fibrosis (%)	76	30 (23-36)	35 (24-45)	0.38
Incomplete septal cirrhosis	76	1 (2)	3 (16)	0.046
Parenchymal extinction areas	76	16 (28)	10 (53)	0.05
Steatosis				
< 5 %	76	36 (63)	14 (74)	
5-33 %	76	18 (31)	3 (16)	
34-66 %	76	2 (4)	2 (10)	0.36
> 67 %	76	1 (2)	0 (0)	
Hepatocytes ballooning			. ,	
Absence	76	26 (45)	14 (74)	
Mild (size > N, < 2N)	76	20 (35)	4 (21)	0.09
Severe (size >2N)	76	11 (20)	1 (5)	
Lobular inflammation		( - )	( - <i>)</i>	
Absence	76	40 (70)	14 (74)	
Mild (≤ 2 foci / 20 x field)	76	15 (26)	5 (26)	0.71
Severe (> 2 foci/20 x field)	76	2 (4)	0 (0)	5
Ductular proliferation	, 0	← ( <sup>¬</sup> )	0 (0)	

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#### Hepatopulmonary syndrome

Absence	76	27 (47)	10 (53)	
Mild	76	22 (39)	7 (37)	0.89
Severe or diffuse	76	8 (14)	2 (10)	
Bile deposition	76	13 (23)	1 (5)	0.17
Iron deposition	76	17 (30)	4 (21)	0.46

Variables are expressed as median (interquartile range) or n (%). Abbreviations: HPS, hepatopulmonary syndrome

#### Figure legends

1 2 3 FIGURE 1 Imaging features associated with hepatopulmonary syndrome (HPS).

4 Axial abdominal contrast-enhanced multidetector computed tomography during portal phase (A, B, C, 5 D, G, H) and arterial phase (E, F) in patients with cirrhosis without (A, C, E, G) and with (B, D, F, H) 6 HPS.

7 (A) Visible portal branches (arrowheads); (B) Invisible portal branches (arrows); (C) Thin splenorenal 8 shunts (arrow); (D) Large splenorenal shunts (arrow); (E) Thin paraumbilical vein (arrowhead); (F) 9 Large paraumbilical vein (arrow); (G) "Normal" hepatic artery (arrowhead); (H) Enlarged hepatic artery 10 (arrow).

11

12 FIGURE 2 Intrahepatic vascular changes associated with hepatopulmonary syndrome (HPS). 13 Haematoxylin and eosin staining of liver explants from patients with cirrhosis without (A, C, E, G) and 14 with (B, D, F, H) HPS.

15 (A) Patent intrahepatic portal venule (arrowhead) (Magnification x140); (B) Intrahepatic portal venules 16 thrombosis (arrows) (Magnification x280); (C) Fibrous septa without vascular proliferation 17 (arrowheads) (Magnification x80); (D) Vascular proliferation (increased number of venous channels) 18 within fibrous septa (arrow) (Magnification x80); (E) "Normal" centrilobular venule (arrowheads) 19 (Magnification x140); (F) Wall thickening of centrilobular venule (arrows) (Magnification x140); (G) 20 Permeable intrahepatic hepatic venule (arrowheads) (Magnification x280); (H) Intrahepatic hepatic 21 venule thrombosis (arrows) (Magnification x140).

22

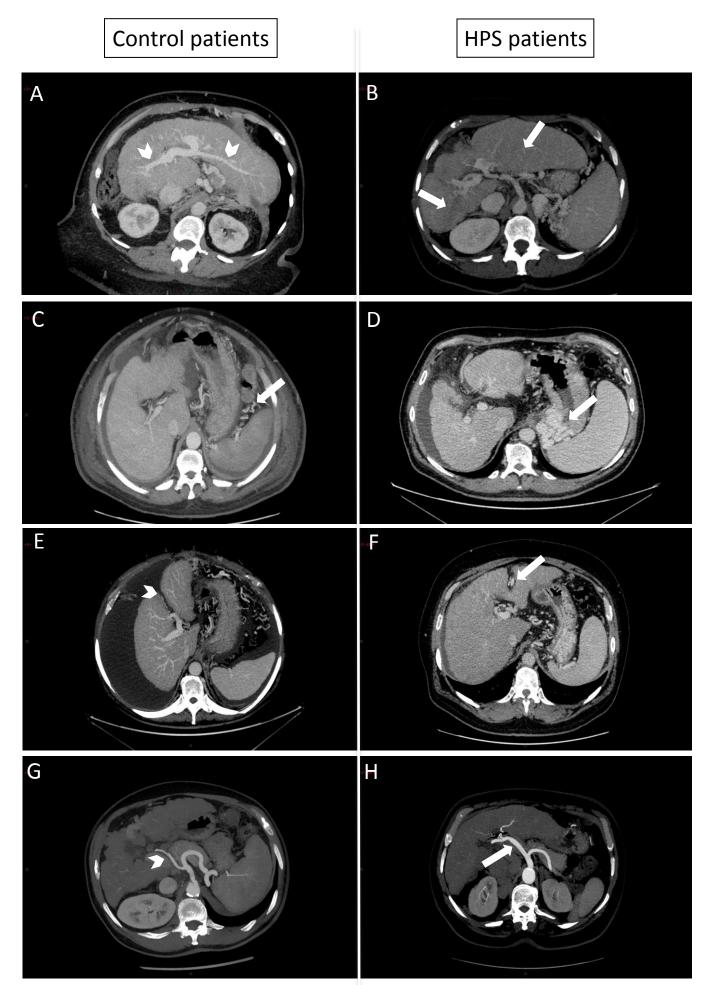
#### 23 FIGURE 3 Architectural and lobular changes associated with hepatopulmonary syndrome

24 (HPS). Haematoxylin and eosin (A, B, E, F) and Picrosirius (C, D) staining of liver explants from

25 patients with cirrhosis without (A, C, E) and with (B, D, F) HPS.

26 (A) No dilated liver sinusoids (arrowheads) (Magnification x190); (B) Centrilobular sinusoidal dilatation 27 (arrows) (Magnification x190); (C) "Usual" fibrous septa (arrows) surrounding hepatocytes nodules 28 (arrowheads) (Magnification x28); (D) Large area of confluent fibrosis (arrows) with small nodules of 29 hepatocytes (arrowheads) (Magnification x28); (E) "Usual" complete septa delimiting hepatocytes 30 nodules (arrowheads) (Magnification x56); (F) Thin and incomplete septa (arrows) delimiting 31 hepatocytes nodules (Magnification x56).

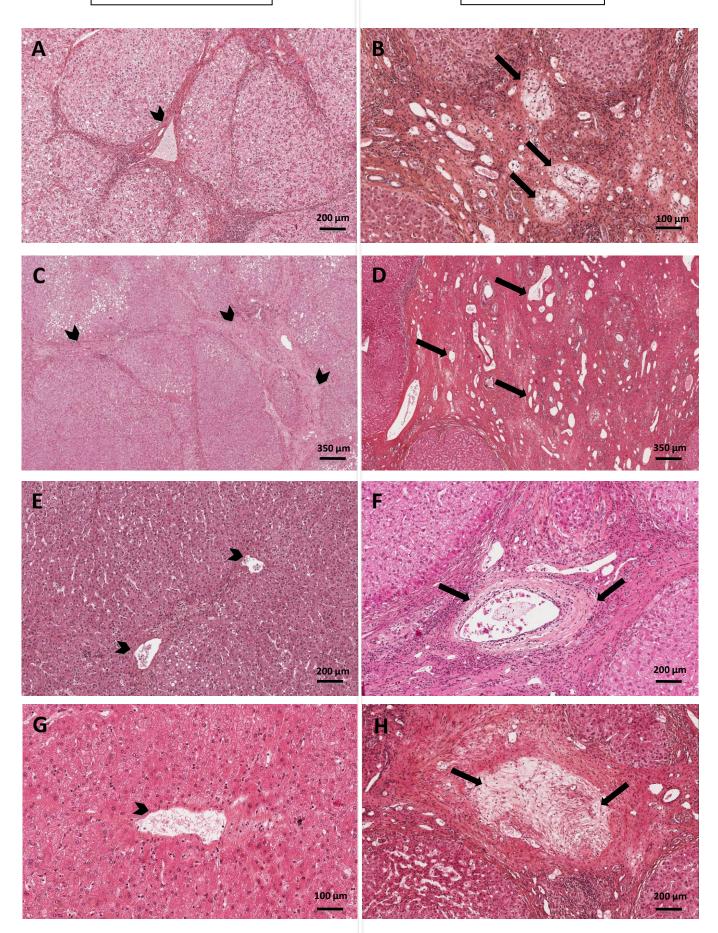
# Figure 1



# Figure 2

### Control patients

### **HPS** patients



## Figure 3

