

# An Experimental Study of Paclitaxel Embolisation During Drug Coated Balloon Angioplasty

Auréline Boitet, Stanislas Grassin-Delyle, Liliane Louedec, Sebastien Dupont, Elodie Lamy, Marc Coggia, Jean-Baptiste Michel, Raphaël Coscas

## ▶ To cite this version:

Auréline Boitet, Stanislas Grassin-Delyle, Liliane Louedec, Sebastien Dupont, Elodie Lamy, et al.. An Experimental Study of Paclitaxel Embolisation During Drug Coated Balloon Angioplasty. European Journal of Vascular and Endovascular Surgery, 2019, 57, pp.578 - 586. 10.1016/j.ejvs.2018.11.019 . hal-03485025

## HAL Id: hal-03485025 https://hal.science/hal-03485025

Submitted on 20 Dec 2021

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



Distributed under a Creative Commons Attribution - NonCommercial 4.0 International License

# 1 AN EXPERIMENTAL STUDY OF PACLITAXEL EMBOLISATION DURING DRUG-

## 2 COATED BALLOON ANGIOPLASTY

- 3
- 4 Auréline BOITET<sup>,2,3</sup>, MD, Stanislas GRASSIN-DELYLE<sup>1</sup>, MD, PhD, Liliane LOUEDEC<sup>2</sup>,
- 5 Sébastien DUPONT<sup>2</sup>, PhD, Elodie LAMY<sup>1</sup>, Marc COGGIA<sup>3</sup>, MD, Jean-Baptiste MICHEL<sup>2</sup>,
- 6 MD, PhD, Raphaël COSCAS<sup>1,2,3,4</sup>, MD, PhD.
- 7
- 8 <sup>1</sup> UMR 1173, Inserm-Paris11 Faculty of Health Sciences Simone Veil, Versailles Saint-
- 9 Quentin-en-Yvelines University, Paris-Saclay University, 78180 Montigny-le-Bretonneux.
- 10 <sup>2</sup> UMR 1148, Inserm-Paris7 Denis Diderot University, Xavier Bichat Hospital, 75018 Paris,
- 11 France.
- 12 <sup>3</sup> Department of Vascular Surgery, Ambroise Paré University Hospital, AP-HP, 92104
- 13 Boulogne-Billancourt, France.
- <sup>4</sup> UMR 1018, Inserm-Paris11 CESP, Versailles Saint-Quentin-en-Yvelines University, Paris-
- 15 Saclay University, Paul Brousse Hospital, 94807 Villejuif.
- 16
- 17 Short Title: Paclitaxel embolisation during DCB angioplasty
- 18
- 19 Category of the manuscript: Original article
- 20
- 21 Keywords: Angioplasty, Paclitaxel, Drug-coated balloon, Embolisation

- 23 Word count:
- 24 Abstract: 299 words
- 25 Manuscript (without references): 3109 words

26

27	Corresponding	author:
----	---------------	---------

- 28 Pr Raphaël Coscas, MD, PhD
- 29 Department of Vascular Surgery
- 30 Ambroise Paré University Hospital, AP-HP
- 31 9, avenue Charles de Gaulle
- 32 92104 Boulogne-Billancourt, France
- 33 Phone: 33 1 49 09 55 85
- 34 e-mail: rcoscas@gmail.com

#### 36 ABSTRACT

Objective: Drug-Coated Balloons (DCB) improve the patency of femoro-popliteal angioplasty
but remain debatable in infrapopliteal lesions since Paclitaxel (PTX) particles embolisation was
suspected. The aim of this study was to compare experimentally five DCBs in terms of distal
embolism of PTX.

41 Methods: Twenty-five New Zealand rabbits were divided into five groups according to the 42 DCB used: Lutonix® (Bard), In.Pact® (Medtronic), Passeo-18 Lux® (Biotronik), Ranger® 43 (Boston Scientific) and Stellarex® (Spectranetics) (n=5 in each group). After ligation of the right common iliac artery, a 4x40 mm DCB was inflated in the infrarenal aorta for 180 seconds. 44 45 Rabbits were euthanized two hours after the DCB inflation. The infrarenal aorta, a blood sample 46 and three left hind leg muscles [tensor fasciae latae (TFL), vastus lateralis (VL) and tibialis 47 anterior (TA) muscles] were harvested for blind measurement of PTX concentrations and 48 histological analysis (PTX emboli count).

49 **Results:** In the TA muscle (the most distal one), concentrations of PTX were significantly lower 50 for the Ranger® (0.067 ng/mg) than for the Lutonix® (0.342 ng/mg; p=0.008), In.Pact® (0.370 51 ng/mg; p=0.012) and Passeo-18-Lux® (0.160 ng/mg; p=0.021) DCBs. Similarly, 52 concentrations of PTX were significantly lower for the Passeo-18-Lux® than for the In.Pact 53 (p=0.028). Concentrations of PTX were not significantly different between DCBs in TFL and 54 VL muscles. Concentrations of PTX were found to be significantly higher in the plasmas, lower 55 in the aortas and on the DCBs after use with the Lutonix® than with the 4 others DCBs. 56 Histological analysis revealed evidence of embolised PTX crystals in small arterioles of all 57 muscle tissue samples without any significant difference between the DCBs.

58 Conclusions: This study suggests some differences regarding distal embolisation profiles
59 between the five assessed DCBs. Although clinical implications remain to be demonstrated, our

- 60 results may have implications while choosing a DCB, especially in a critical limb ischemia
- 61 setting.
- 62

## 63 What this study adds:

This experimental study is one of the first to compare five different drug-coated balloons. It provides the demonstration that differences in drug-coated balloons designs translate into differences in terms of embolisation and vessel wall penetration profiles. Although clinical implications remain to be demonstrated, our results may have implications while choosing a drug-coated balloon, especially in a critical limb ischemia setting.

#### 69 INTRODUCTION

70 There is now body of proof that drug coated balloons (DCB) improve the results of lower limb 71 plain balloon angioplasty (PBA) for femoro-popliteal disease (1-15). As a result, the recent 72 European Society of Cardiology/European Society for Vascular Surgery ESC/ESVS concluded 73 that DCBs may be considered for short lesions (<25 cm) (Class IIb, Level A) or in in-stent 74 restenosis (Class IIb, Level B) (16). Their main action is to reduce cellular proliferation 75 secondary to the initial angioplasty injury through paclitaxel (PTX) deposition in the arterial 76 wall (17). This deposition in the arterial wall is driven by a balloon platform, a carrier (or 77 excipient) and a local amount of PTX. In particular, a certain quantity of crystalline PTX form 78 is required to keep solid-phase PTX reservoirs in the arterial wall for sustained anti-proliferative 79 effects (18-20).

80 At the same time, drug nanocrystals may act as solid thrombi with a subsequent risk of 81 embolisation and thrombosis. Concerning cases of diffuse distal fibrinoid necrosis were 82 reported following DCB use at the femoro-popliteal level (21-22), in which the responsibility 83 of PTX emboli was demonstrated. Similarly, one large randomised controlled trial comparing 84 PBA + DCBs to PBA alone in the tibial arteries for critical limb ischemia had to be stopped 85 prematurely because of a trend towards an increased major amputation rate at 12 months in the 86 DCB group (23). One suspected cause was PTX particles downstream effects in the distal 87 arterial bed, which could lead to microvessel occlusions and non-healing wounds (19). This 88 DCB is not available anymore for bellow-the-knee use.

Since DCBs are different regarding balloon platform, excipient, PTX formulation and dosage,
the aim of this study was to compare experimentally five DCBs in terms of distal embolisation
of paclitaxel.

#### 92 MATERIALS AND METHODS

93 This study was conducted in accordance with the requirements and guidelines of the European 94 Union legislation and the French Animal Protection Act. The animal experiments were 95 approved by the French animal protection committee (Project n° 2016101009413104 – V8 96 #8423) and took place in the UMR 1148 lab (Inserm-Paris7 - Denis Diderot University, Xavier 97 Bichat Hospital, Paris, France), as well as histological analyses. Paclitaxel dosages were 98 performed in the mass spectrometry platform MasSpecLab (UMR 1173, Inserm-Paris11 -99 Faculty of Health Sciences Simone Veil, Versailles Saint-Quentin-en-Yvelines University, 100 Paris-Saclay University, Montigny-le-Bretonneux, France).

101 Animals

102 Twenty-five 6-month-old New Zealand male white rabbits (CEGAV, Saint-Mars d'Egrenne,

103 France) were used for the present study. They were fed with a normal diet and could drink ad

104 libitum. An acclimatisation period of one week was respected before the procedure to decrease

the stress of animals.

#### 106 **Drug-coated balloons**

107 All DCBs used were coated with PTX and were provided free of charge by DCB companies.

108 The study was performed between March 2017 and August 2017.

109 The following DCBs were assessed: Lutonix® (Bard), In.Pact® (Medtronic), Ranger® (Boston

110 Scientific), Stellarex® (Spectranetics) and Passeo 18 Lux® (Biotronik) (Table 1). All DCBs

111 had a diameter of 4 mm and a 40-mm length. These dimensions were chosen because they were

available for all the balloons and aortic diameter is around 3.5 mm in rabbits.

#### 113 Experimental design

- 114 Rabbits were divided in 5 groups, according to the DCB used: the Lutonix® group (n=5), the
- 115 In.Pact® group (n=5), the Ranger® group (n=5), the Stellarex® group (n=5) and the Passeo
- 116 18-Lux® group (n=5). One DCB was used for each animal.

117 General anesthesia was induced with intramuscular xylazine at a dose of 20 mg/kg, ketamine 118 hydrochloride at a dose of 4.5 mg/kg and acepromazine at a dose of 1 mg/kg. The intramuscular injections were done in the right thigh. In a first step, a laparotomy was done and the aorto-iliac 119 120 vessels were dissected from the renals to the iliac bifurcations. The external aortic diameter was 121 measured. The right common iliac artery was ligated at its origin with a non-absorbable 5-0 122 nylon suture. Aim of this maneuver was to direct all the aortic flow toward the left side for 123 precise measurement of the downstream PTX emboli following DCB inflation in the aorta. 124 Abdomen was further closed with a 4-0 Polypropylene running suture. In a second step, arterial 125 access was obtained via the right common carotid artery through neck cut-down. The common 126 carotid was clamped. An oblique arteriotomy was performed to allow retrograde insertion of 127 an angiography catheter (Vertebral Radifocus® Glidecath® 4 French - Hydrophilic 128 Angiographic Catheter; Terumo, Leuven, Belgium), a guidewire [0.035 wires (Terumo 129 Glidewire® Hydrophilic Coated Guidewire Straight 180 cm, Terumo Leuven, Belgium) for 130 Lutonix<sup>®</sup>, In.Pact<sup>®</sup> and Stellarex<sup>®</sup> and 0.018 wires (V-18<sup>™</sup> ControlWire<sup>™</sup> Guidewire, 150 131 cm, Boston Scientific) for Ranger® and Passeo 18-Lux®] and a short sheath (Radifocus® 132 Introducer II Terumo, Leuven, Belgium). The diameter of the sheaths was 4 French for Passeo 133 18-Lux®, 5 French for Lutonix®, In.Pact® and Ranger® and 6 French for Stellarex®. A 2000 134 IU bolus of heparin was injected through the sheath. Baseline angiography was performed 135 through the angiography catheter to locate the renal arteries. A guidewire was pushed down to 136 the distal left limb vessels and a DCB was positioned in the infrarenal aorta. Navigation time 137 between DCB insertion and inflation in the infrarenal aorta was inferior to 30 seconds. The 138 DCB was then inflated in the infrarenal aorta just below the renal arteries with an inflation 139 pressure of 10 atmospheres for 180 seconds. The DCB was collected following retrieval. Two 140 hours after the DCB inflation, the rabbits were euthanized by an intravenous Pentobarbital 141 injection at a dose of 120 mg/kg. The infrarenal aorta, a 10 cc blood sample and a sample of three left hind leg muscles (Tensor Fasciae Latae, Vastus Lateralis and Tibialis Anterior
muscles) were harvested for measurement of PTX concentrations. Another sample of the same
three left hind leg muscles was harvested for histology.

#### 145 Paclitaxel analysis

146 Blood samples were centrifuged at 2000 G for 15 minutes, to obtain the supernatant that was

147 again centrifuged at 2000 G for 10 minutes to purify the plasma. With the exception of the

148 DCBs, aortas, muscles and blood samples were frozen at  $-20^{\circ}$ C.

149 The PTX concentrations were assessed using a standardized method of high-performance liquid 150 chromatography (HPLC) tandem mass spectrometry. Dosages were performed blindly by a 151 single operator (SGD). Briefly, 50 µL of internal standard (docetaxel 1 mg/L) and 1 mL of 152 methyl tert-butyl ether(MTBE)/hexane (50/50 v/v) were added to 100 µL of plasma or 25 mg 153 of tissue samples (aorta and muscles). Plasma samples were agitated for 20 minutes and tissue 154 samples were crushed for 20 minutes. Then, all the samples (tissue and blood samples) were 155 centrifuged supernatants were vacuum-evaporated. 100 µL of ammonium and 156 formate/methanol (20/80 v/v) were added to reconstitute the dry residues. Remaining PTX on 157 the DCBs after use was analysed after extraction with 10 mL of methanol. Dilutions in the  $1/100^{\text{th}}$  and in the  $1/1000^{\text{th}}$  were directly injected after adding 50 µL of internal standard. With 158 159 each serie, a curve of calibration in 9 points going of 0.1 ng/mL in 1000 ng/mL was done. The 160 samples were analysed with Ultimate 3000 on a Hypersil Gold C18 column (1.9 µm, 150 x 2.1) 161 (Thermofisher, France) using 20/80 (v/v) ammonium formate 2mM/methanol as mobile phase. 162 Paclitaxel detection was done with a Quantiva mass spectrometer operating with electrospray 163 ionisation in the positive mode, with specific MRM transitions for PTX and internal standard. 164 The lower and upper limits of quantification were 0.1 and 1000 ng/mL, respectively.

#### 165 Histological analysis

After euthanasia, one piece of  $1 \text{ cm}^3$  of each tibialis anterior (TA), vastus lateralis (VL) and 166 167 tensor fascia latae (TFL) muscles was isolated and fixed in formalin 10% during 24 hours for 168 histological analysis. Briefly, Muscles were dehydrated, embedded in paraffin and 6 µm 169 sections were cut. Sections were then deparaffinised in toluene and hydrated in ethanol baths. 170 Several stains were used: hematoxylin-eosin or Masson's trichrome to explore the structure of 171 muscles. The histological slides were scanned in using NanoZoomer 2.1 (Hamamatsu 172 Photonics KK, Hamamatsu City, Japan). Histological analyses focused on paclitaxel crystals 173 detection in the microvessels. Two slides were randomly studies for each muscle piece. In each 174 slide, the number of arteries with paclitaxel crystals emboli was counted. This analysis was 175 performed blindly.

#### 176 Statistical analysis

The Stata/SE software (Version 13.0 for Mac) was used for statistical analysis. Datas are given as median and interquartile range (IQR) for non-parametric distribution or mean  $\pm$  standard deviation for parametric distribution. For global comparison of the five groups (independent nonparametric samples), the Kruskal-Wallis test was used. When the Kruskal-Wallis test was significant, differences were assessed by comparing groups two by two using the Mann-Whitney U-test. A *p*-value of less than 0.05 was considered to indicate statistical significance.

#### 185 **RESULTS**

#### 186 Surgical procedures

In total, complete procedures were achieved in 25 rabbits (weight 3.84±0.36 kg, aortic diameter
3.6±0.1 mm). Inflation pressure of DCBs was 10.3±0.5 atm for 180 seconds. Duration between
DCB inflation in the infrarenal aorta and animal sacrifice was 122.5±11.2 minutes. A
retroperitoneal hematoma was found in one case during the autopsy but it did not lead to the
death of the rabbit.

#### 192 Paclitaxel analysis

In the TA muscle (the most distal muscle), concentrations of PTX were significantly lower for the Ranger® (0.067 ng/mg) DCB than for the Lutonix® (0.342 ng/mg; p=0.008), In.Pact® (0.370 ng/mg; p=0.012) and Passeo-18-Lux® (0.160 ng/mg; p=0.021) DCBs. Similarly, concentrations of PTX were significantly lower for the Passeo-18-Lux® than for the In.Pact DCB (p=0.028) (Tables 2 and 3, Figure 1).

198 Concentrations of paclitaxel were not significantly different between DCBs in TFL and VL199 muscles (Table 2, Figure 1).

200 In the plasmas, concentrations of PTX were significantly higher for Lutonix® (9.00 ng/mL)

201 than In.Pact® (1.00 ng/mL; p=0.008), Ranger® (0.05 ng/mL; p=0.007), Stellarex® (0.08

202 ng/mL; p=0.014) and Passeo-18-Lux® (0.90 ng/mL; p=0.014) and significantly lower for

203 Ranger® than In.Pact® (p=0.011) and Passeo-18-Lux® (p=0.011) (Tables 2 and 4, Figure 1).

204 In the aortas, concentrations of PTX were significantly lower for Lutonix® (35.2 ng/mg) than

205 In.Pact® (119.0 ng/mg; *p*=0.004), Ranger® (568.0 ng/mg; *p*=0.009), Stellarex® (113.5 ng/mg;

206 *p*=0.038) and Passeo-18-Lux® (77.0 ng/mg; *p*=0.030) (Tables 2 and 5, Figure 1).

207 Remnant amount of PTX after DCB use were significantly lower for Lutonix® (1364.1 µg)

- 208 than In.Pact® (3643.5  $\mu$ g; p=0.004), Ranger® (2500.9  $\mu$ g; p=0.017) and Passeo-18-Lux®
- 209 (2522.50  $\mu$ g; p=0.017) and significantly higher for In.Pact® than Lutonix® (p=0.004),

210 Ranger® (p=0.032), Stellarex® (1335.85 µg; p=0.016) and Passeo-18-Lux® (p=0.016) (Tables 211 2 and 6, Figure 1).

### 212 Histological analysis

Histological analysis of muscle tissue samples revealed evidence of embolised PTX with some crystals in small arterioles with all DCBs (Figure 2). PTX crystals were identified in one to eight arteries per slide, with a mean of  $4.30 \pm 2.08$  emboli. The number of PTX emboli was not significantly different between the DCBs (p=0.141).

217

#### 218 **DISCUSSION**

219 The present study was designed to evaluate the embolic profiles of the five main DCBs used in 220 our country. To assess downstream tissue PTX emboli and PTX distribution during and 221 immediately after DCB use, the muscle tissue samples, the aorta, the plasma and the DCBs used 222 were analysed. Histological analysis showed some PTX crystals in several muscle tissue 223 samples, highlighting the reality of distal embolisation after inflation of DCBs in the arteries. 224 We found some significant differences in terms of concentrations of PTX in the muscles 225 between the five DCBs, which can be explained by the differences between the PTX 226 formulations (crystalline, microcrystalline or amorphous), the technique of PTX deposition 227 (pulverization or micro-pipetting), the balloon state during PTX deposition (inflated or 228 deflated), the dose of PTX on the DCBs and the excipient (Table 1). Crystals enter the arterial 229 wall and serves as long term PTX reservoir, allowing sustained anti-proliferative effects (18), 230 but distal embolisation of crystalline PTX can cause fibrinoid necrosis with serious clinical 231 consequences (21-22). Morever, the antiproliferative effects may have an impact on wound 232 healing in the setting of ischemic ulcers, especially when ulcers are secondary to below-the-233 knee lesions (24).

234 Endovascular treatment became the first-line therapy in peripheral vascular disease but the 1-235 year primary patency of PBA can be as low as 50% in the femoro-popliteal segment, with 236 subsequent target lesion reinterventions (TLR) (1-15). DCBs are now considered a valuable 237 alternative to stents because they decrease the rate of restenosis by intimal hyperplasia without 238 leaving a permanent scaffold in place. Several randomised controlled trials (RCTs) (1-15) 239 concluded that primary patency and TLR were better after DCB angioplasty than PBA in 240 femoro-popliteal disease. Cost-effectiveness studies also support DCB use. However, results of 241 RCTs are more heterogeneous when looking at infrapopliteal lesions. Of note, bioresorbable 242 scaffolds could be use in association with DCBs in the future (25-26) to avoid permanent 243 scaffolding of the treated arteries.

244 The DEBATE-BTK study (27) found lower restenosis and TLR rates after DCB use in diabetic 245 patients with critical limb ischemia (CLI). In contrast, the BIOLUX P-II (28) and IN.PACT 246 DEEP (23) studies showed no significant differences between DCB and PBA in terms of 247 restenosis, TLR and occlusion. Of interest, the IN.PACT DEEP study (23) had to be stopped prematurely because of a trend towards increase of major amputation rate at 12 months in 248 249 patients treated with DCB (8.8% vs 3.6%; p=0.080). One suspected cause was PTX particles 250 embolism in distal arteries, which could lead to arterial occlusion and non-healing wounds. 251 Kolodgie et al. (19) compared Lutonix® and In.Pact® balloons in terms of distal embolisms in 252 swine. Although the embolism rate was low in both groups, differences were found between 253 DCBs (In.Pact® 15.4% vs Lutonix® 7.7%; p=0.04). In our study, we also found higher PTX 254 concentrations in the TA muscle after In.Pact® DCB use, but embolisation rates after Lutonix® 255 were also concerning. In contrast, Ranger® use was associated with significantly lower 256 embolisation rates. This may be explained by the characteristics of Ranger® DCB excipient 257 and coating. The citrate ester is known to be hydrophobic and lipophilic. A hydrophobic coating 258 may provide substantial protection against PTX loss while navigating and inflating the DCB.

At the same time, the sorbitol used as the excipient of the Lutonix® is known to be highly soluble in water (29) which may facilitates excipient and PTX downstream washing while navigating and inflating this DCB. The In.Pact® balloon has a hydrophobic coating with the urea but uses higher concentrations of macrocrystalline PTX, which could also be responsible of higher rates of distal PTX concentrations. Macrocrystals could be more susceptible to occlude distal microvessels and be detected in the muscles.

265 Regarding aortic penetration of the PTX, our study highlights significant lower rates of PTX 266 when using the Lutonix® balloon and higher (but non significant) PTX concentrations with the 267 Ranger® DCB. The lipophilic features of the Ranger® DCB could explain the satisfactory PTX 268 diffusion in the artery wall. One hypothesis to explain the disappointing results of the Lutonix® 269 DCB could be that a lot of PTX is lost during the navigation before the arrival on the target 270 artery, as underlined by the high levels of PTX found in the plasma. In contrast, with the 271 Ranger® balloon, the lipophilic effect keeps the PTX in the artery wall because of the presence 272 of cellular lipids in the arterial wall. Although we did not use an atherosclerosis model in rabbits 273 used for this study, fatty streak can be found in the intima as early as during childhood in 274 humans, with the presence of T cells and sometimes foam cells. (30)

275 The clinical relevance of the detected doses of PTX remains to be elucidated. The PTX 276 concentrations detected in the muscle samples are low and may have no negative impact in 277 terms of wound healing. Recently, the results of the Global Lutonix® DCB Below-the-Knee 278 (BTK) Registry in patients with Rutherford class 3 to 5 and  $\geq$ 70% stenosis of native BTK 279 arteries were reported with high rates of freedom from primary safety events and freedom from 280 amputation at 6 months (31). Similar results were found with the Lutonix Global SFA 281 (Superficial Femoral Artery) Registry at 12 and 24 months (32) and with the In.Pact® DCB 282 (29). Although our study found significantly more distal emboli after Lutonix® and In.Pact® 283 inflations, the results of the above-mentionned clinical registries (31-33) showed that these two

DCBs are relatively safe in a clinical setting. Of note, the most severe patients (Rutherford 6)
were excluded. The question regarding the impact of distal PTX embolisation on ulcers healing
remains therefore to be determined.

287 The other question that can be raised is the level of PTX concentration needed in the arterial 288 wall to be effective on intimal proliferation. In our study, the Lutonix® DCB had the lowest 289 PTX concentrations in the aorta and a lower efficiency could be suspected. The Lutonix® DCB 290 was assessed in a well-conducted large randomised trial, the LEVANT II trial (4), and showed 291 its superiority compared to the PBA. However, primary patency rates reported with the 292 Lutonix® DCB in the LEVANT II trial appear lower than those reported with the 4 other tested balloons in specific randomised trial (1,2,12,13), although no head-to-head comparison has 293 294 been published to date. A prospective trial directly comparing relevant clinical outcomes with 295 the DCBs available in the femoro-popliteal segment would be needed. An analysis of the 296 amputated or debrided tissues could assess the importance of distal PTX embolisation in 297 humans.

298 This study has several limitations. First, we used healthy rabbits without atherosclerosis for this 299 study. Rabbits were chosen for the experiments because they have a similar vascular anatomy 300 when compared to humans but it is known that PTX delivery during the DCB inflation is 301 different between healthy and atherosclerotic arteries (34). It may be valuable to repeat this 302 work in atherosclerotic models. Second, our number of rabbits in each group is small which 303 can leads to a lack of power. This may explain substantial but non-significant differences 304 between groups. Third, we inflated DCBs in the aorta, not in below-the-knee arteries. Flows 305 may be different between these arterial levels and influence the embolisation rate. Fourth, we 306 did not analyse the amount of PTX lost on the sheath during DCB insertion. However the 307 amount of PTX lost in the sheath may vary according to the type of sheath used. Fifth, rabbits 308 were sacrificed two hours after DCB inflation but PTX effects are not only limited to the first hours following PTX delivery (17, 35-36). Studies with a longer follow-up may highlight
different results. Finally, we only assessed the DCBs available on the French market at the time
the study was performed. A larger study including all currently available DCBs may bring
additional and valuable information.

313

#### 314 CONCLUSION

This study suggests some differences regarding distal embolisation profiles between the five assessed DCBs. The Ranger® and the Stellarex® DCBs seem to have the best profiles with low distal PTX concentrations, reasonable plasma PTX loss and satisfactory aortic penetration. In contrast the Lutonix® DCB had the most disappointing features. Although clinical implications remain to be demonstrated, our results may have implications while choosing a DCB, especially in a critical limb ischemia setting.

#### 322 Acknowledgments:

We thank the Association pour la Recherche et la Formation Chirurgical and Ile de France (ARFICDF), the Fondation de l'Avenir and the Société de Chirurgie Vasculaire et Endovasculaire de Langue Française (SCVE) for their financial support. We thank the five companies (Bard, Medtronic, Boston Scientific, Spectranetics and Biotronik) for providing the balloons free of charge. We also thank Madeleine Thes, Georges Ghorayeb, Nicolas Ferry, Gwenaelle Marchais, Lucie Derycke, Harry Etienne and Hélène Kafrouni for their technical help.

330

#### 331 **Conflicts of interest:**

332 Raphael Coscas has been consultant for Medtronic Inc., Spectranetics Inc. and Bard Inc.

333 Other authors do not disclose any conflict of interest.

334

#### **336 REFERENCES**

Laird JR, Schneider PA, Tepe G, Brodmann M, Zeller T, Metzger C, et al. Durability
 of Treatment Effect Using a Drug-Coated Balloon for Femoropopliteal Lesions: 24-Month
 Results of IN.PACT SFA. J Am Coll Cardiol. 2015;66:2329–38.

340 2. Scheinert D, Schulte K-L, Zeller T, Lammer J, Tepe G. Paclitaxel-releasing balloon in

femoropopliteal lesions using a BTHC excipient: twelve-month results from the BIOLUX P-I
randomized trial. J Endovasc Ther. 2015;22:14–21.

343 3. Scheinert D, Duda S, Zeller T, Krankenberg H, Ricke J, Bosiers M, et al. The LEVANT
 I (Lutonix paclitaxel-coated balloon for the prevention of femoropopliteal restenosis) trial for
 femoropopliteal revascularization: first-in-human randomized trial of low-dose drug-coated
 balloon versus uncoated balloon angioplasty. JACC Cardiovasc Interv. 2014;7:10–9.

347 4. Rosenfield K, Jaff MR, White CJ, Rocha-Singh K, Mena-Hurtado C, Metzger DC, et
348 al. Trial of a Paclitaxel-Coated Balloon for Femoropopliteal Artery Disease. N Engl J Med.
349 2015;373:145–53.

5. Werk M, Albrecht T, Meyer D-R, Ahmed MN, Behne A, Dietz U, et al. Paclitaxelcoated balloons reduce restenosis after femoro-popliteal angioplasty: evidence from the randomized PACIFIER trial. Circ Cardiovasc Interv. 2012;5:831–40.

353 6. Werk M, Langner S, Reinkensmeier B, Boettcher H-F, Tepe G, Dietz U, et al. Inhibition
354 of restenosis in femoropopliteal arteries: paclitaxel-coated versus uncoated balloon: femoral
355 paclitaxel randomized pilot trial. Circulation. 2008;118:1358–65.

356 7. Tepe G, Zeller T, Albrecht T, Heller S, Schwarzwälder U, Beregi J-P, et al. Local
357 delivery of paclitaxel to inhibit restenosis during angioplasty of the leg. N Engl J Med.
358 2008;358:689–99.

359 8. Jia X, Zhang J, Zhuang B, Fu W, Wu S, Wang F et al. Acotec Drug-Coated Balloon
360 Catheter. Randomized, Multicenter, Controlled Clinical Study in Femoropopliteal Arteries :

361 Evidence from the AcoArt I Trial. J Am Coll Cardiol Intv 2016;9;1941-9.

362 9. Albrecht T, Waliszewski M, Roca C, Redlich U, Tautenhahn J, Pech M et al. Two-year
363 clinical outcomes of the CONSEQUENT trial : can femoropopliteal lesions be treated with
364 sustainable clinical results that are economically sound? Cardiovasc Intervent Radiol.
365 2018;41:1008-1014.

366 10. Grotti S, Liistro F, Angioli P, Ducci K, Falsini G, Porto I et al. Paclitaxel-Eluting
367 Balloon vs Standard Angioplasty to Reduce Restenosis in Diabetic Patients With In-Stent
368 Restenosis of the Superficial Femoral and Proximal Popliteal Arteries: Three-Year Results of
369 the DEBATE-ISR Study. J Endovasc Ther. 2016;23:52-7.

370 11. Zeller T, Langhoff R, Rocha-Singh KJ, Jaff MR, Blessing E, Amann-Vesti B et al;
371 DEFINITIVE AR Investigators. Directional Atherectomy Followed by a Paclitaxel-Coated
372 Balloon to Inhibit Restenosis and Maintain Vessel Patency: Twelve-Month Results of the
373 DEFINITIVE AR Study. Circ Cardiovasc Interv. 2017;10. pii: e004848.

374 12. Schroeder H, Werner M, Meyer DR, Reimer P, Krüger K, Jaff MR, et al;
375 ILLUMENATE EU RCT Investigators. Low-Dose Paclitaxel-Coated Versus Uncoated
376 Percutaneous Transluminal Balloon Angioplasty for Femoropopliteal Peripheral Artery
377 Disease: One-Year Results of the ILLUMENATE European Randomized Clinical Trial
378 (Randomized Trial of a Novel Paclitaxel-Coated Percutaneous Angioplasty Balloon).
379 Circulation. 2017;135:2227-2236.

380 13. Schneider PA, Laird JR, Tepe G, Brodmann M, Zeller T, Scheinert D, et al; IN.PACT
381 SFA Trial Investigators. Treatment Effect of Drug-Coated Balloons Is Durable to 3 Years in
382 the Femoropopliteal Arteries: Long-Term Results of the IN.PACT SFA Randomized Trial. Circ
383 Cardiovasc Interv. 2018;11:e005891.

Schmidt A, Piorkowski M, Görner H, Steiner S, Bausback Y, Scheinert S et al. DrugCoated Balloons for Complex Femoropopliteal Lesions: 2-Year Results of a Real-World
Registry. JACC Cardiovasc Interv. 2016;9:715-24.

Sridharan ND, Boitet A, Smith K, Noorbakhsh K, Avgerinos E, Eslami MH, et al. CostEffectiveness Analysis of Drug Coated Balloon vs. Drug Eluting Stent in the Superficial
Femoral Artery. Ann Vasc Surg. 2017;41:24.

390 16. Aboyans V, Ricco JB, Bartelink MEL, Björck M, Brodmann M, Cohnert T et al.
391 Editor's Choice - 2017 ESC Guidelines on the Diagnosis and Treatment of Peripheral Arterial
392 Diseases, in collaboration with the European Society for Vascular Surgery (ESVS). Eur J Vasc
393 Endovasc Surg. 2018;55:305-368.

394

395 17. Granada JF, Stenoien M, Buszman PP, Tellez A, Langanski D, Kaluza GL et al.
396 Mechanisms of tissue uptake and retention of paclitaxel-coated balloons : impact on neointimal
397 proliferation and healing. Open Heart 2014;1:e000117.

398 18. Torri S, Yahagi K, Mori H, Harari E, Romero ME, Kolodgie FD et al. Safety of Zilver
399 PTX Drug-Eluting Stent Implantation Following Drug-Coated Balloon Dilation in a Healthy
400 Swine Model. J Endovasc Ther. 2018;25:118-126.

401 19. Kolodgie FD, Pacheco E, Yahagi, Mori H, Ladich E, Virmani R. Comparison of
402 Particulate Embolization after Femoral Artery Treatment with IN.PACT Admiral versus
403 Lutonix 035 Paclitaxel-Coated Balloons in Healthy Swine. J Vasc Interv Radiol. 2016;27:1676404 1685.

Yazdani SK, Pacheco E, Nakano M, Otsuka F, Naisbitt S, Kolodgie FD et al. Vascular,
downstream, and pharmacokinetic responses to treatment with a low-dose drug-coated balloon
in a swine femoral artery model. Catheter Cardiovasc Interv. 2014;83:132-40.

- 408 21. Ibrahim T, Dirschinger R, Hein R, Jaitner J. Downstream Panniculitis Secondary to
  409 Drug-Eluting Balloon Angioplasty. JACC Cardiovasc Interv. 2016;9:e177-9.
- 410 22. Thomas SD, McDonald RR, Varcoe RL. Vasculitis resulting from a superficial femoral
  411 artery angioplasty with a paclitaxel-eluting balloon. J Vasc Surg. 2014;59:520-3.
- 412 23. Zeller T, Baumgartner I, Scheinert D, Brodmann M, Bosiers M, Micari A, et al. Drug-
- 413 eluting balloon versus standard balloon angioplasty for infrapopliteal arterial revascularization
- 414 in critical limb ischemia: 12-month results from the IN.PACT DEEP randomized trial. J Am

415 Coll Cardiol. 2014;64:1568–76.

- 416 24. Ansel GM, Schneider PA. The Role of Drug-Coated Balloons in Infrapopliteal
  417 Intervention. Interventional Cardiology. 2012;7:63-5.
- 418 25. Abizaid A, Costa RA, Schofer J, Ormiston J, Maeng M, Witzenbichler B et al. Serial
  419 Multimodality Imaging and 2-Year Clinical Outcomes of the Novel DESolve Novolimus420 Eluting Bioresorbable Coronary Scaffold System for the Treatment of Single De Novo
  421 Coronary Lesions. JACC Cardiovasc Interv. 2016 Mar 28;9(6):565-74.
- 422 26. Costa JR Jr, Abizaid A, Whitbourn R, Serruys PW, Jepson N, Steinwender C et al.
  423 Three-year clinical outcomes of patients treated with everolimus-eluting bioresorbable vascular
  424 scaffolds: Final results of the ABSORB EXTEND trial. Catheter Cardiovasc Interv. 2018 Oct
  425 4. Doi: 10.1002/ccd.27715.
- Liistro F, Porto I, Angioli P, Grotti S, Ricci L, Ducci K et al. Drug-Eluting Balloon in
  Peripheral Intervention for Below the Knee Angioplasty Evaluation (DEBATE-BTK). A
  randomized trial in diabetic patients with Critical Limb Ischemia. Circulation. 2013;128:615621.
- Zeller T, Beschorner U, Pilger E, Bosiers M, Deloose K, Peeters P et al. PaclitaxelCoated Balloon in Infrapopliteal Arteries. 12-Month Results from the BIOLUX P-II
  Randomized Trial (BIOTRONIK'S First in Man Study of the Passeo-18 Lux drug releasing

PTA Balloon Catheter vs. The uncoated Passeo-18 PTA balloon catheter in subjects requiring
revascularization of infrapopliteal arteries). J Am Coll Cardiol Interv 2015;8:1614-22.

435 29. National Center for Biotechnology Information. PubChem Compound Database;
436 CID=443314 Polysorbate 20 [On line], https://pubchem.ncbi.nlm.nih.gov/compound/443314
437 (accessed Sept. 1, 2018).

438 30. Stary HC. Evolution and progression of atherosclerotic lesions in coronary arteries of439 children and young adults. Arteriosclerosis. 1989;9:I19-32.

Thieme M, Lichtenberg M, Brodmann M, Cioppa A, Scheinert D. Lutonix® 014 DCB
global Below the Knee Registry Study: interim 6-month outcomes. J Cardiovasc Surg (Torino).

442 2018;59:232-236.

443 32. Thieme M, Von Bilderling P, Paetzel C, Kamabatidis D, Perez-Delgado J, Lichtenberg
444 M et al. The 24-Month Results of the Lutonix Global SFA Registry : Worldwide

445 Experience With Lutonix Drug-Coated Balloon. JACC Cardiovasc Interv. 2017;10:1682-1690.

Micari A, Brodmann M, Keirse K, Peeters P, Tepe G, Frost M et al. Drug-Coated
Balloon Treatment of Femoropopliteal Lesions for Patients With Intermittent Claudication and
Ischemic Rest Pain : 2-Year Results From the IN.PACT Global Study. JACC Cardiovasc
Interv. 2018;11:945-953.

34. Stolzenburg N, Breinl J, Bienek S, Jaguszewski M, Löchel M, Taupitz M, et al.
Paclitaxel-Coated Balloons: Investigation of Drug Transfer in Healthy and Atherosclerotic
Arteries - First Experimental Results in Rabbits at Low Inflation Pressure. Cardiovasc Drugs
Ther. 2016;30:263–70.

Gongora CA, Shibuya M, Wessler JD, McGregor J, Tellez A, Cheng Y et al. Impact of
Paclitaxel Dose on Tissue Pharmacokinetics and Vascular Healing : A Comparative DrugCoated Balloon Study in the Familial Hypercholesterolemic Swine Model of Superficial
Femoral In-Stent Restenosis. JACC Cardiovasc Interv. 2015;8:1115-1123.

458	36. Buszman PP, Milewski K, Zurakowski A, Pajak J, Jelonek M, Gasior P et al.
459	Experimental evaluation of pharmacokinetic profile and biological effect of a novel paclitaxel
460	microcrystalline ballon coating in the iliofemoral territory of swine. Catheter Cardiovasc Interv.
461	2014;83:325-33.
462	
463	
464	
465 466	
467	
468	
469	
470	
471	





Table 1: Features of the main DCBs available in France.

PTX: Paclitaxel; BTHC: Butyryl-tri-n-hexyl citrate.

	Lutonix Bard	IN.Pact Medtronic	Ranger Boston	Stellarex Spectranetics	Passeo-18 Lux Biotronik
PTX Dose (µg/mm <sup>2</sup> )	2	3,5	2	2	3
Excipient	Polysorbate Sorbitol	Urea	Citrate ester	Polyethylene glycol	BTHC
PTX Formulation	Hybrid (Crystalline + amorphous)	Crystalline	Microcrystalline	Hybrid (Microcrystalline + amorphous)	Microcrystalline
Technique of PTX deposition	Pulverization	Micro-pipetting	Pulverization	Pulverization	Micro-pipetting
Balloon state during PTX deposition	Inflated	Inflated	Deflated	Inflated	Deflated

Table 2: Paclitaxel concentrations (median with interquartile ranges) in muscles, aortic wall, plasma and DCB used, per group. p-values correspond to the results of the Kruskal-Wallis test. A p<0.05 indicate a significant difference between at least two groups for the variable assessed.

	Lutonix®	In.Pact®	<b>Ranger</b> ®	Stellarex®	Passeo 18-	<i>p</i> -value
					Lux®	
Tensor of Fascia	0.162	0.450	0.092	0.047	0.290	0 1115
Lata (ng/mg)	(0.436-2.211)	(0.013-0.840)	(0.014-0.110)	(0.006-0.106)	(0.120-0.300)	0.4115
Vastus lateralis	0.108	0.610	0.140	0.132	0.110	0 38/8
(ng/mg)	(0.322-0.395)	(0.290-0.760)	(0.110-0.200)	(0.062-0.375)	(0.030-0.440)	0.3040
Tibialis anterior	0.342	0.370	0.067	0.055	0.160	0 0062
(ng/mg)	(0.225-0.451)	(0.280-0.950)	(0.047-0.067)	(0.041-0.360)	(0.100-0.170)	0.0002
Plasma (ng/mL)	9.00	1.00	0.05	0.08	0.90	0 0007
	(7.50-10.80)	(0.70-1.10)	(0.05-0.40)	(0.05-0.50)	(0.80-1.00)	0.0007
Aortic wall (ng/mg)	35.2	119.0	568.0	113.5	77.0	0 0123
	(27.2-66.8)	(113.0-137.0)	(113.0-740.0)	(76.5-132.5)	(75.0-155.0)	0.0125
DCB after use (µg)	1364.1	3643.5	2500.9	1335.85	2522.50	0 0022
	(587.5-2013.0)	(3613.1-3803.8)	(2467.8-3214.3)	(1219.9-2075.1)	(2259.0-2896.1)	0.0022

Table 3: *p*-values from the Mann-Whitney U-tests regarding comparisons of PTX concentrations in the Tibialis Anterior muscles between the groups. A p<0.05 indicate a significant difference between the two groups for the PTX concentration in the Tibialis Anterior muscle.

Group / Tibialis Anterior	Lutonix®	In.Pact®	Ranger®	Stellarex®
In.Pact®	0.792	-	-	-
Ranger®	0.008	0.012	-	-
Stellarex®	0.165	0.110	0.902	-
Passeo-18-Lux®	0.052	0.028	0.021	0.268

Table 4: *p*-values from the Mann-Whitney U-tests regarding comparisons of PTX concentrations in the plasmas between the groups. A p < 0.05 indicate a significant difference between the two groups for the PTX concentration in the plasma.

Group / Plasma	Lutonix®	In.Pact®	Ranger®	<b>Stellarex</b> ®
In.Pact®	0.008	-	-	-
Ranger®	0.007	0.011	-	-
Stellarex®	0.014	0.064	0.893	-
Passeo-18-Lux®	0.014	0.916	0.011	0.082

Table 5: *p*-values from the Mann-Whitney U-tests regarding comparisons of PTX concentrations in the aortic walls between the groups. A p < 0.05 indicate a significant difference between the two groups for the PTX concentration in the aortic wall.

Group / Aortic wall	Lutonix®	In.Pact®	Ranger®	Stellarex®
In.Pact®	0.004	-	-	-
Ranger®	0.009	0.463	-	-
Stellarex®	0.038	0.556	0.286	-
Passeo-18-Lux®	0.030	0.548	0.095	0.905

Table 6: *p*-values from the Mann-Whitney U-tests regarding comparisons of PTX quantities on DCBs after use between the groups. A p < 0.05 indicate a significant difference between the two groups for the PTX quantities on DCBs after use.

Group / Aortic wall	Lutonix®	In.Pact®	Ranger®	Stellarex®
In.Pact®	0.004	-	-	-
Ranger®	0.017	0.032	-	-
Stellarex®	0.762	0.016	0.111	-
Passeo-18-Lux®	0.017	0.016	0.841	0.111