

Cepharanthine: An update of its mode of action, pharmacological properties and medical applications

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77 Cepharantine (CEP, Fig. 1) is a natural product and an approved drug used for more than 70 years in Japan 78 to treat a variety of acute and chronic diseases, such as venomous snakebites, alopecia, malaria and a few 79 other pathologies, without major side effects. A variety of biological effects responsible for the clinical 80 activities have been attributed to CEP. In this review, I shall try to summarize the recent findings 81 accumulated with this compound and discuss its therapeutic potential. The history of the drug discovery 82 and development is also highlighted here. The clinical efficacy of CEP has been discussed in previous 83 reviews (Furusawa et al., 2007; Rogosnitsky and Danks, 2011) but an update of its properties and

- 84 applications is useful.
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88 **1. Origin, discovery and development of CEP**

90 CEP is isolated from plants of the genus *Stephania* which is one of the biggest genera belonging to 91 moonseed family Menispermaceae. The name *Stephania* may come from the Greek stefanos, a crown or 92 garland. This alkaloid is found in several *Stephania* species, principally *S. rotunda* Lour and *S. cephalantha* 93 Hayata (known as « Tamasaki-tsuzurafuji » in Japanese; « jin qian diao wu gui » [金线吊乌龟] in Chinese 94 (Hong et al., 2015); « Bình vôi hoa đầu » in Vietnamese), but also in *S. sasakii* Hayata (known as « Koto-95 tsuzurafuji » in Japanese), *S. suberosa* Forman, *S. epigaea* (Lv et al., 2013) and *S. japonica* (Fig. 2). *Stephania* 96 *rotunda* is a creeper commonly found in the mountainous areas of Cambodia and throughout Southeast 97 Asia (Cambodia, Vietnam, Laos, and India). The plant *Stephania cephalantha* is native to China and Taiwan, 98 where it was used as an indigenous medicine or Chinese herbal medicine. A botanist of Taipei Imperial 99 University, Bunzo Hayata, reported the use of the plant for the first time in 1914. The correct botanical 100 name is *S. cephalantha* although the literature principally mentions the plant as *S. cepharantha*. The vast 101 majority of publications refer to *S. cepharantha* and rarely *S. cephalantha*. It is a very common misspelling. 102 Stephania are wild plants, rich in alkaloids, commonly used in traditional food and medicine in Asia for the 103 treatment of fevers.

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105 More than 600 alkaloids have been isolated from the genius Stephania and about 40 different alkaloids 106 were characterized from *S. cephalantha* extracts (Semwal et al., 2010). The chemical composition of 107 extracts varies from one region to another and according to the harvest period. CEP is generally more 108 abundant in the tubers of the plant than the roots and is also found in the leaves and seeds of the plant, 109 which is cultivated in Japan (Desgrouas et al., 2014a). It is important to mention that in certain countries, in 110 France in particular, the use of extracts of *S. cepharantha* is forbidden due to the risk of potential 111 substitution with other toxic plants having similar Chinese names (notably *Aristolochia fanghi*, containing 112 aristolochic acids which are nephrotoxic compounds) (Semwal et al., 2010). The principle of

- 113 pharmacovigilance applied to herbal medicinal products (or phytovigilance) is now well taken in account by
- 114 the international health agencies (Lehmann and Pabst, 2016). CEP has also been detected in *Radix*
- 115 *Tinosporae*, an herb used in traditional Chinese medicine for the treatment of various inflammatory
- 116 diseases (Xiang et al., 2016; Liu et al., 2017). This is perhaps not entirely surprising because *Tinosporae* 117 *Radix* is the dried root tuber of *Tinospora sagittata* (Oliv.) Gagnep., which also belongs to the family
- 118 Menispermaceae.
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120 The pharmacist Heisaburo Kondo purified the active ingredient in 1934 from *S. cepharantha* and named it 121 cepharanthine after its binomial nomenclature (Fig. 3). In 1938, a US patent application by Kondo and

- 122 collaborators referred to the preparation of alkaloids from *S. cepharantha* and the isolation of CEP itself.
- 123 From a chemical point of view, CEP is biscoclaurine alkaloid, also known as 12-O-methyl cepharanoline and
- 124 it belongs to a large family of structurally close bisbenzylisoquinoline (BBIQ) cyclic alkaloids which includes
- 125 fangchinoline, tetandrine, hernandezine, berbamine, daphnoline, cycleanine (Fig. 4), and many other BBIQ 126 cyclic and non-cyclic, cited in Table 1 (note the unlimited originality of their names). These compounds bear 127 two coclaurine units joined head-to-tail or head-to-head, but the chemical diversity is huge and about 500 128 BBIQs are known in this class (Baghdikian et al., 2013; Weber and Opatz, 2019). In CEP, the two BIQ units 129 are joined head-to-head and the alkaloid has an elliptic macrocyclic structure (Fig. 1).
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131 Optimized methods for the analysis of these alkaloids in *S. cephalantha* extracts have been reported (Yuan 132 et al., 2013; Liu et al., 2016a; Xiao et al., 2019), as well as green extraction technologies (using ethanol– 133 water mixtures) to purify CEP from *S. rotunda* tuber (Desgrouas et al., 2014b). The content of CEP in *S.* 134 *cephalantha* is 2.9% (Tomita et al., 1968). The natural product, extracted from the plant, is used for 135 medicinal applications. The total synthesis of CEP, first reported in 1967 (Tomita et al., 1967, 1968), is not 136 cost-effective. It should be noted that recently, the absolute configuration of CEP has been reassigned from 137 (1R,1′S) to (1R,1′R) using quantum theory (Ren et al., 2019). The pharmaceutically used form of CEP is a 138 dihydrochloride salt. There are several patents specific to CEP, such as (i) a US patent describing the 139 extraction and purification of CEP from rhizomes of *S. sasakii* Hayata published in 1941; (ii) an European 140 patent application covering the preparation of anti-HIV composition containing CEP in 1992; (iii) A Japanese 141 patent about the preparation CEP for the treatment and prevention of intestinal diseases in 1996; (iv) A 142 Chinese patent describing the method of preparation of CEP hydrochloride filed in 2012; (v) a US patent 143 disclosing the pharmaceutical preparation of CEP and tetrandrine salts and (vi) the production of CEP at the 144 scale of 5-10kg under good manufacturing practice conditions mentioned in a recent US patent.

- 145 146 CEP was discovered in 1934 and then rapidly tested in Human, based on the traditional use of the medicinal 147 plant. A paper published in 1949 mentions that in 1937 CEP, given in tablets or in liquid, contributed to 148 reduce considerably (from 41% to 22%) the average mortality in patients with severe pulmonary 149 tuberculosis at the Yokohama Sanatorium in Japan (Hasegawa, 1949). At that time, the antitubercular 150 activity of CEP was largely promoted, but later the drug was abandoned, superseded by more active 151 products (Al-Humadi et al., 2017). Nevertheless, the initial successful clinical use of CEP for the treatment of 152 tuberculosis has encouraged its use in other pathological indications (e.g. pertussis, leprosy and lupus 153 vulgaris) and associated research activities to elucidate its mode of action. The biochemical and biological 154 properties, and clinical applications of CEP are reviewed below.
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157 **2. Anti-oxidative properties - Free radicals scavenging**

158 159 Reactive oxygen radicals (ROS), generated metabolically or induced by radiations or chemicals, can 160 engender cell damages, in particular, lesions of nucleic acids. Accumulated DNA damages can be 161 deleterious and toxic to cells, via DNA strand breaks and/or the accumulation of mutations and other types 162 of molecular rearrangements. CEP can protect nuclear DNA from the damages induced by endogenous 163 oxidants, most likely via its antioxidant properties (Halicka et al., 2008). The scavenging properties of CEP 164 also provide a protection of DNA from the radicals generated endogenously during oxidative metabolism. 165 The drug can also reduce the generation of radicals through activation of NADH oxidase (Matsuno et al., 166 1990). CEP has effective ferrous ion (Fe²⁺) chelating capacity, similar to EDTA for example. The anti-lipid 167 peroxidation activity of CEP is also due to its direct radical scavenging activity (Kogure et al., 2003). One of 168 the two amine moieties in the CEP molecule is responsible for the radical scavenging activity (Kogure et al., 169 1999). Many BBIQ alkaloids show antioxidant activities. 170

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172 **3. Anti-inflammatory properties - NF**κ**B inhibition**

174 The anti-inflammatory effects of CEP are in large part at the origin of the extensive use of *S. cephalantha* 175 extracts in folk medicine for the treatment of rheumatism, lumbago, nephritis edema, dysentery and other 176 inflammatory diseases. The capacity of CEP to reduce the production of oxidants leads subsequently to the 177 mitigation of the transcription factor NFκB which is a potent regulator of inflammatory molecules. CEP 178 reduces NFκB expression. The anti-inflammatory potential of CEP can be useful in a variety of pathological 179 situations. For example, the capacity of CEP to inhibit inflammation and oxidation leads to protective effect 180 against muscle and kidney injuries induced by limb ischemia/reperfusion (Chang et al., 2016). CEP was also 181 found to ameliorate diabetic nephropathy in a rat model, through inhibition of NFKB and decreased levels 182 of IL-1β and TNF-α (Samra et al., 2016). The drug mitigates pro-inflammatory cytokine response in lung 183 injury induced by hemorrhagic shock/resuscitation in rats (Kao et al., 2015). The release of the pro-184 inflammatory cytokines TNF-α, IL-1β and IL-6 was found to be reduced after treatment with CEP in cell and 185 mouse models of LPS-induced inflammation and the protective effects occur, at least in part, via NFκB 186 inhibition. Indeed, in a mouse model of lipopolysaccharide (LPS)-induced mastitis, CEP inhibited the 187 phosphorylation of NFκB p65 subunit and the degradation of its inhibitor IκBα (Ershun et al., 2014), thereby 188 inhibiting the translocation of NFκB from cytosol to nucleus (Paudel et al., 2016). However, recently CEP 189 was found to prevent the phosphorylation of JAK2 and STAT1, but did not interfere with the NFκB pathway 190 (Aota et al., 2018). In this cell model, CEP inhibited the production of the chemokine CXCL10 induced by 191 IFN-γ, via inhibition of the JAK2/STAT1 signaling pathway (Lv et al., 2013). Inhibition of NFκB is a mechanism 192 common to several BBIQs. In particular, tetrandrine was shown to inhibit IκBα and NFκB p65 193 phosphorylation and this effect account, at least in part, for its anti- inflammatory properties (Gao et al., 194 2016; Xu et al., 2016). Berbamine, another BBIQ, also exerts anti-inflammatory effects via inhibition of NFκB 195 and MAPK signaling (Jia et al., 2017). Neferine also inhibits the activation of the NFκB signaling pathway and 196 prevents its nuclear translocation (Liu et al., 2018).

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199 **4. Inhibition of drug efflux transporters**

201 The overexpression of drug transporters on the membrane of cancer cells is a major cause of multi-drug 202 resistance to cancer chemotherapy. Among the many transporters, ABCC10 (also known as MRP7) can be 203 inhibited by a variety of drugs including certain kinase inhibitors (lapatinib, erlotinib, imatinib) and different 204 natural products such as CEP. This natural product can reverse completely the resistance to paclitaxel of 205 cells expressing ABCC10, increasing the intracellular accumulation of the anticancer agent and inhibiting its 206 efflux (Zhou et al., 2009). The synergy between CEP and paclitaxel has led to the design of co-loaded 207 polymeric nanoparticules that proved active against gastric cancer models in vitro and in vivo (Yu et al., 208 2016). ABCC10 is a broad-specificity transporter of xenobiotics, including many antitumor drugs (taxanes, 209 epothilone B, vinca alkaloids, cytarabine, tamoxifen). Therefore, CEP can promote the anticancer activity of 210 most of these compounds. But CEP can also interfere with other transporters, in particular ABCB1 (also 211 known as MDR1 or P-gp) and ABCC1 (MRP1). CEP can be used to reverse resistance to many cytotoxic 212 agents and thus to sensitize tumors to certain chemotherapeutic substances (Kathawala et al., 2014). It 213 potently enhances the sensitivity to cytotoxic agents such as doxorubicin in K562 leukemia cells, not only 214 via a reversal of ABCB1-mediated multi-drug resistance (MDR) but also increasing the accumulation of 215 doxorubicin in nuclei (Ikeda et al., 2005). The suppression of ABCB1 function by CEP would derive from an 216 inhibition of the PI3K/Akt signaling pathway. Inhibition of PI3K/Akt pathway by CEP leads to a down-217 regulation of ABCB1 expression and then MDR-reversion in ovarian cancer cells (Huang et al., 2017). But a 218 direct interaction of CEP with specific drug transporters at the plasma membrane or via an indirect lipid-219 mediated effect cannot be excluded. For example, the related BBIQ neferine is believed to bind directly to 220 ABCB1 (Kadioglu et al., 2017).

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223 **5. Binding to proteins: Hsp90 and others**

225 The heat shock protein 90 kDa (Hsp90) has attracted significant interest as a viable drug target, particularly 226 for cancer. Inhibitors targeting the ATPase domain of Hsp90 demonstrate potent anti-proliferative effects, 227 but have failed clinical trials due to associated toxicities. Interestingly, it has been reported that CEP 228 interacts with the middle domain of $Hsp90\alpha$ more strongly than the 3 other biscoclaurine alkaloids 229 berbamine, isotetrandrine and cycleanine (Haginaka et al., 2013). The interaction of CEP with Hsp90 and 230 other molecular chaperone proteins, deserves further studies. CEP also inhibited another ATPase, namely 231 Na(+),K(+)-ATPase, although in this case the inhibition by CEP is much less potent than inhibition by 232 cycleanine (Satoh et al., 2003).

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235 **6. Interaction with cell membranes**

237 Early Japanese studies pointed out that CEP is a membrane-interacting and -stabilizing agent (Shinoda et 238 al., 1990). The drug reduces the plasma membrane fluidity suggesting a direct interaction with the lipid 239 bilayer. The amphipathic character of CEP facilitates its interaction with cell membranes, increasing 240 permeability and interaction with lipophilic compounds. The membrane effects would be at the origin of 241 the inhibition of snake venom-induced hemolysis by CEP (Morikawa et al., 1992) and the drug-induced 242 enhancement of fatty acid synthesis in rat white adipocytes (Ohkura et al., 2001). The drug does not 243 directly act on the insulin-involved glucose metabolism but by changing the cell membrane condition, it 244 modifies the cell response to insulin (Ohkura and Hori, 2001). The membrane effects of CEP might also be 245 implicated in the capacity of the drug to enhance the thermosensitivity of cancer cells, acting as a mild 246 thermosensitizer (Yamamoto et al., 1999). The membrane stabilization effect of CEP is a prime element of 247 its mode of action. Intracellular membranes are also affected. CEP can inhibit the endolysosomal trafficking 248 of free-cholesterol and low-density lipoproteins, leading to the dissociation of mTOR from lysosomes and 249 inhibition of its downstream signaling. This effect may directly contribute to the drug-induced inhibition of 250 angiogenesis and tumor growth (Lyu et al., 2017).

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253 **7. Binding to G-quadruplex nucleic acid structures**

255 Two independent studies have reported that CEP can bind and stabilize G-quadruplex formed by G- rich 256 sequences in DNA and RNA. G-quadruplex DNA are DNA secondary structures formed from planar stacks of 257 guanine tetrads stabilized by Hoogsteen hydrogen bonding. Certain small molecules have the capacity to 258 stabilize these structures. CEP was found to stabilize a G-quadruplex DNA existing in the 3'-flanking region 259 of the STAT3 gene, thereby decreasing the expression of STAT3 in cultured cardiomyocytes (Lin et al., 260 2011). G-quadruplexes also exist in RNA, in particular telomeric RNA to regulate telomerase activity. CEP 261 was found to bind tightly to two types of G-quadruplex telomeric RNA sequences, with an affinity higher 262 than that of its analogs fangchinoline and tetandrine (Cui et al., 2012). This G-quadruplex stabilization 263 property may contribute to the anticancer activity of CEP.

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266 **8. Vasodilatation effect**

- 268 The cutaneous microcirculatory effects of CEP have been investigated. The drug induces notable and 269 transient vasodilatation with vasomotion. Vasodilation and improvement of peripheral circulation was also
- 270 noted in early study suggesting a beneficial use to treat sickle-cell patients (Ohnishi, 1983). The
- 271 vasodilatator effects of CEP improved cutaneous microcirculation in a rabbit model (Asano et al., 1987).
- 272 This effect on the microcirculation, coupled with the potent anti-inflammatory activity, speak for the use of
- 273 CEP to treat certain dermatological and orofacial inflammatory lesions, such as oral lichen planus (Moritani
- 274 et al., 2018). In early studies, the vasodilatator effects of CEP was also evidenced using isolated vessels from
- 275 rats and CEP proved more efficient than its analogs isotetrandrine and berbamine (Kamiya et al., 1993).
- 276 Similarly, the related BBIQ alkaloid curine has been described as a vasodilator (Medeiros et al., 2011).
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- 279 **9. Anti-proliferative activities induction of tumor cell death**
- 280 281 CEP inhibits the proliferation of different cell types in vitro, including many cancer cell lines, T-cell lines and 282 peripheral mononuclear cells (PBMC). The IC50s are usually in the 1-10 μ M range and the drug is non-283 mutagenic. CEP can trigger apoptosis, via the activation of caspases and DNA fragmentation. The drug 284 affects the cell cycle, generally arresting cells in the G1 and S phases. A mechanistic study using 285 hepatocellular carcinoma HuH-7 cells revealed that CEP induces chromatin condensation and nuclear 286 fragmentation, likely resulting from an oxidative stress and activation of stress activated kinase JNK1/2, 287 MAPK p38 and ERK (Biswas et al., 2006). Induction of apoptosis by CEP has been observed in many cell 288 types : dendritic cells (Uto et al., 2016), primary effusion lymphoma (Takahashi-Makise et al., 2009), 289 leukemia cells (Wu et al., 2002), melanoma cells (Zhu et al., 2017), cholangiocarcinoma (Seubwai et al., 290 2010), ovarian cancer cells (Payon et al., 2019), myeloma cells (Kikukawa et al., 2008), osteosarcoma cells 291 (Chen et al., 2012) and breast cancer cells (Gao et al., 2017). In colorectal cancer cells, cell cycle arrest and 292 apoptosis may be mediated through up-regulation of p21Waf1/Cip1, down-regulation of cyclin A and Bcl-2 293 and induction of ROS production (Rattanawong et al., 2018). In fact, the mechanism of apoptosis caused by 294 CEP is complex, likely cell-type dependent, and plurimodal. A direct interaction with mitochondria in cells 295 has been reported, inhibiting Ca²⁺-induced swelling, depolarization, cytochrome c and Ca²⁺ releases 296 (Nagano et al., 2003). CEP-induced mitochondrial dysfunctions were noted in several cancer cell types (Hua 297 et al., 2015).
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299 Both drug-induced apoptosis and autophagy were noted in breast cancer cells, possibly linked to 300 perturbation of the AKT /mTOR signaling pathway (Gao et al., 2017). Law and coworkers reported that CEP 301 induces autophagic cell death via an activation of the AMPK kinase activity (Law et al. 2014). The 302 biscoclaurine hernandezine, which is structurally close to CEP, can trigger cell death via an activation of the 303 AMPK-mTOR signaling cascade and its cytotoxic action is independent of apoptosis (Law et al., 2016). 304 Similar data were reported with CEP, and this capacity to enhance autophagy likely contributes to its 305 anticancer activity (Law et al., 2014). This is significant considering that most chemotherapy-resistant 306 cancers have defective apoptotic pathways. The direct activation of AMPK by CEP mays be beneficial not 307 only to trigger cancer cell death but also in other pathologies, in particular metabolic disorders, considering 308 the implication of AMPK in glucose and lipid metabolisms. AMPK activation is a key property of CEP and 309 related biscoclaurine alkaloids. 310 There are numerous anticancer in vitro studies with CEP but fewer studies in vivo. Interestingly, a modest

- 311 but significant antitumor activity has been reported in a xenograft model of SaOS2 osteosarcoma cells.
- 312 Treatment of tumor-bearing mice with CEP at 20 mg/kg/day for 20 days led to a reduction of tumor volume
- 313 and a diminished expression of STAT3 in the residual tumor (Chen et al., 2012). A significant inhibition of
- 314 tumor growth and infiltration was also observed after CEP treatment of immunodeficient mice inoculated
- 315 with a primary effusion lymphoma. The antitumor activity would result from the capacity of CEP to

316 abrogate the NFKB activity by blocking phosphorylation of p65 subunit, inhibiting thus nuclear translocation 317 of NFKB and its binding to DNA (Takahashi-Makise et al., 2009; Seubwai et al., 2010). In a xenograft model 318 of choroidal melanoma, CEP (25mg/kg, 5 times/week for 4 weeks) reduced tumor growth and the effect

- 319 was associated with an activation (phosphorylation) of JNK1/2 (Zhu et al., 2017).
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322 **10. Inhibition of cell migration - anti-metastatic and anti-atherosclerosis properties**

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324 Migration and proliferation are common characteristics of cancer cells and vascular smooth muscle cells 325 (VSMC). In both situations, CEP has been shown to inhibit the motility of the cells and their growth. The 326 anti-migratory effect of CEP on VSMCs is due to its inhibitory effect on matrix metalloproteinase (MMP)-9 327 expression, preventing the degradation of extracellular matrix components. This effect, coupled with 328 attenuation of inflammation and lipid peroxidation, confers to CEP anti-atherosclerosis properties (Paudel 329 et al., 2016). A CEP-induced suppression of MMP-9 production was also observed in human salivary gland 330 acinar cells, via a down-regulation of NFκB (Azuma et al., 2002). The inhibition of MMP-9 by CEP prevents 331 the destruction of the acinar structure in the salivary glands of patients with Sjögren's syndrome (Azuma et 332 al., 2006). Similar properties are invoked to account for the anti-metastatic effects of CEP. The drug 333 suppresses the metastatic potential of cholangiocarcinoma cells by reducing cell motility and invasion, via a 334 mechanism potentially involving suppression of adhesion molecules (ICAM-1) and matrix metalloproteinase 335 (MMP)-2 (Uthaisar et al., 2012). Other biscoclaurine alkaloids structurally close to CEP, such as 336 fangchinoline (Chen et al., 2017) and tetrandrine (Zhang et al., 2018) were found to exhibit anti-metastatic 337 effects as well. Interestingly, the anti-metastatic activity of fangchinoline in human gastric cancer cells was 338 also found to implicate the suppression of MMP-2 and MMP-9, increase of TIMP1 and TIMP2 genes, and 339 inhibition of AKT phosphorylation (Chen et al., 2017). Fangchinoline inhibits metastasis and migration of 340 melanoma and glioma cells in vitro, as well as it suppresses in a dose-dependent manner the adhesion, 341 migration, and invasion human gastric cancer cells (Mérarchi et al., 2018). Tetrandrine inhibits 342 hepatocellular carcinoma cell invasion and migration by repressing the autophagy-dependent Wnt/β-

- 343 catenin and metastatic tumor antigen 1 (MTA1) signaling pathways (Zhang et al., 2018).
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346 **11. Inhibition of angiogenesis**

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348 The formation of tubule networks of human umbilical vein endothelial cells (HUVEC) and human dermal 349 microvascular endothelial cells (HMVEC) is a classical in vitro model to evaluate the anti-angiogenic effects 350 of drugs. CEP inhibits VEGF-promoted tubule formation in HUVECs, an effect that is likely associated with 351 the inhibition of VEGF and IL-8 expression involved in the blockade of NFKB activity (Harada et al., 2009). 352 CEP was also found to inhibit angiogenesis in HUVEC and in zebrafish in a cholesterol-dependent manner, 353 as mentioned above (Lyu et al., 2017).

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356 **12. Anti-cancer activity of CEP in drug combinations**

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358 Owing to its capacity to modulate drug transporters such as PgP and MRP7, CEP can be combined with 359 various drugs. Synergistic effects have been observed with different anticancer drugs, such as the 360 tetramethylnaphthalene anti-viral derivative TMNAA (Toyama et al., 2012), the nitrosourea nimustine 361 (Kono et al., 2002), the anthracycline doxorubicin (Nakajima et al., 2004), the taxanes paclitaxel and 362 docetaxel (Malofeeva et al., 2012), the recently approved new pan-EGFR inhibitor dacomitinib (Tang et al., 363 2018), and other anticancer agents (Ita et al., 2008). CEP enhances the antitumor activity of cisplatin in lung 364 and breast cancer xenografts in mice (Mukai et al., 2003). Its capacity to downregulate the mRNA 365 expression of MDR1 and P-gp, through activation of c-Jun and enhancement of p21 expression, leads to an 366 increase sensitivity of the tumor to cisplatin treatment (Zhou et al., 2017). Interestingly, CEP not only 367 promotes the anticancer activity of cisplatin, via an inhibition of P-gp but it can also reduce its renal toxicity 368 through an induced expression of metallothionein (Sogawa et al., 2013). In K562/MDR leukemia cells, CEP 369 was found to be very effective for the reversal of the resistance to imatinib (Mukai et al., 2003). Recently, it 370 was shown that the combination of CEP and 5-fluorouracil additively induced apoptotic and necrotic cell 371 death, via an upregulation of the expression of BAK and cleaved PARP in HT-29 human colorectal cancer 372 cells harboring mutant p53, both in vitro and in vivo (Unson et al., 2019). Tumor necrosis factor-related 373 apoptosis-inducing ligand (TRAIL) functions as a selective apoptosis-inducing ligand in cancer cells with 374 normal cells remaining unaffected. CEP enhances TRAIL-mediated apoptosis through a down-regulation of 375 survivin expression, not by direct inhibition of survivin mRNA expression but via a downregulation of a 376 deubiquitin protein that modulates survivin expression in renal carcinoma cells (Shahriyar et al., 2018).

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379 **13. Radiosensitization**

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381 Radiotherapy is often used for the treatment of oral squamous carcinoma, the most common epithelial 382 malignancy in the oral cavity, frequently observed in patients who smoke and drink heavily. CEP was found 383 to improve the response to radiotherapy in oral squamous carcinoma cell lines. The drug exhibited a strong 384 inhibitory effect on DNA double-strand break repair after radiation and the combined therapy markedly 385 increased tumor cell apoptosis. The combination of CEP plus radiation caused growth inhibition and tumor 386 regression of tumors in mice (Harada et al., 2012). CEP inhibits the production of irradiation-induced IL-6 387 and IL-8, which are downstream targets of NFκB (Tamatani et al., 2013). A similar radiation sensitization by 388 CEP was also reported using the human cervical adenocarcinoma HeLa cell line. In this case, CEP efficiently 389 decreased ionizing radiation-induced STAT3 and COX-2 activation. In vivo administration of CEP combined 390 with radiation in HeLa xenografts enhanced tumor growth delay and apoptosis, with reduced expression of 391 STAT3, Bcl-2, c-Myc, and COX-2 (Fang et al., 2013).

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394 **14. Treatment of radiation-induced leukopenia and thrombocytopenia**

396 Administration of CEP to accelerate the recovery from leukopenia after X-ray irradiation (or mitomycin 397 treatment) in cancer therapy is one of the classical and well-established use of the alkaloid. The beneficial 398 effect of CEP on leukopenia was first noted in 1965 and then firmly demonstrated in the early 1980s 399 (Oyaizu et al., 2001). The drug can be used to treat but also to prevent from leukopenia, especially 400 neutropenia, in patients treated with anticancer drugs. A large multi-center clinical study demonstrated 401 that CEP is safe and significantly effective for the management of leukopenia caused by radiotherapy, 402 protecting leukocytes from the damaging effects of radiations and showing no effect on erythrocyte and 403 platelet counts, according to this retrospective study (Kanamori et al., 2016). However, other studies 404 indicated that CEP has the capacity not only to limit the platelet destruction process but also to facilitate 405 the platelet production process, via a drug-induced increase of the number of colony-forming unit of 406 megakaryocytes (Sato et al., 2001). Thus, CEP may prolong the platelet lifespan.

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409 **15. Immuno-modulation - Treatment of sepsis, chronic immune thrombocytopenia**

411 CEP therapy, alone or in combination with prednisolone, improves symptoms of chronic immune

- 412 thrombocytopenia (Takahata et al., 2012). The drug was found to be effective in halting the progression of
- 413 immune thrombocytopenic purpura in a patient with multiple myeloma (Tabata et al., 2012). A link can be
- 414 established between the capacity of CEP to inhibit the platelet activation and its capacity to alter
- 415 phospholipid dynamics and functions. This is coherent with early studies showing that inhibition of platelet
- 416 aggregation by CEP is accomplished during the early, membrane-related activation process. A recent study
- 417 conducted in a pediatric population also underlined the useful effect of CEP for the management of chronic 418 immune thrombocytopenia (Yamazaki et al., 2017).
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420 CEP can reduce the production of IL-6 and TNF-α in LPS-stimulated dendritic cells (Uto et al., 2011). The

421 potent anti-inflammatory activity of CEP speaks for the potential use of the drug for treating systemic

- 422 inflammatory response syndromes such as sepsis. Along these lines, CEP was found to attenuate acute lung
- 423 injury and septic shock after smoke inhalation in sheep (Murakami et al., 2003). Treatment with CEP was
- 424 also found to delay lethal shock induced by an infection with a mutant of Salmonella typhimurium 425 (Maruyama et al., 2000). A later study revealed that CEP could have a preventive effect on the lethal action
- 426 of endotoxin or TNFα-induced lethal shock, through a suppression of nitric oxide production in
- 427 macrophages (Sakaguchi et al., 2007). As a potent immunoregulatory agent, CEP may have a strong
- 428 potential against various autoimmune diseases and allergy.
- 429 430

431 **16. Inhibition of bone resorption**

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433 CEP has been shown to inhibit osteoclast formation in a model of estrogen deficiency-induced osteoporosis 434 in vivo, without affecting bone formation. Apparently, the attenuation of osteoclastogenesis results from 435 the impairment of the JNK and PI3K-AKT signaling pathways (Zhou et al., 2018). CEP inhibits the 436 phosphorylation of JNK (a member of the MAPK family) and suppresses RANKL-induced phosphorylation of 437 PI3K and AKT, thereby impairing osteoclastogenesis. In another recent study, CEP was also found to inhibit 438 the expression of several osteoclast-differentiation marker genes and to inhibit RANKL-induced osteoclast 439 formation (Lin et al., 2018). These two recent works suggest that CEP might be useful to prevent 440 osteoporosis. Tetrandrine was also shown to attenuate bone erosion in a model of collagen-induced 441 arthritis (Jia et al., 2018).

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444 **17. Antiviral properties**

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446 The capacity of CEP to suppress the production of inflammatory cytokines and chemokines in cells is at the 447 origin of its antiviral activity. The anti-HIV activity of CEP was first mentioned in a patent application from 448 1991. The drug can inhibit HIV-1 replication in latently infected U1 cells, through inhibition of NFκB which is 449 a potent inducer of HIV-1 gene expression. It is a highly potent inhibitor of HIV-1 replication in chronically 450 infected monocytic cells (Okamoto et al., 1998). This property, coupled with its capacity to cross the blood-451 brain barrier and induce neural cell death, make CEP a potential agent against HIV-1 encephalopathy 452 (Okamoto et al., 2001). The anti-HIV-1 activity not only relies on NFκB inhibition but also CEP-induced 453 inhibition of the HIV-1 entry process by reducing plasma membrane fluidity (Matsuda et al., 2014). 454 Synthetic derivatives of CEP endowed with more potent anti-HIV-1 activities have been described. 455 Replacement of the 12-O-methyl group of CEP with a 12-O-ethylpiperazinyl substituent reinforces the 456 activity against HIV-1 replication (Baba et al., 2001). The drug can also affect the replication of other 457 viruses, in particular it was found to be active against both wild-type and lamivudine-resistant clinical 458 isolates of the hepatitis B virus (Zhou et al., 2012) and early studies demonstrated an activity against

- 459 Herpes zoster. CEP exhibits strong anti-HSV1 activity (Liu et al., 2004) and proved active against human T-460 lymphotropic virus type 1 (HTLV-1)-infected T-cell lines when combined with the tetramethylnaphthalene 461 derivative TMNAA (Toyama et al., 2012). Finally, an activity against severe acute respiratory syndrome 462 (SARS)-related coronaviruses has been also reported (Zhang et al., 2005).
- 463

464 Interestingly, molecular docking simulations predicted that CEP could bind to protein targets of the Ebola 465 virus (Uzochukwu et al., 2016). The US company Texas Biomed is developing a more bioavailable, or more 466 easily absorbed, formulation of CEP, which might be combined with chloroquine to combat the Ebola virus.

467 468

469 **18. Treatment of alopecia**

470

471 CEP is used for the treatment of alopecia areata, also known as spot baldness, an autoimmune disorder 472 which can be treated with topical corticosteroids and/or minoxidil (Hon and Leung, 2011). The first 473 publications describing the therapy of alopecia with CEP date from 1956 in French and 1969 in Japanese 474 (Fig. 3). The anti-alopecia activity of CEP was promoted already in the mid-1950s and remains largely cited 475 today. But over the past 50 years, very limited scientific evidences have been published to confirm and 476 demonstrate the efficacy of CEP to treat alopecia. CEP can protect against aminoglycoside-induced hair cell 477 death (Ou et al., 2009) and it can stimulate cell proliferation and delayed initiation of differentiation and 478 keratinization of the cultured murine cells the hair apparatus (Tanigaki-Obana, 1992). It can also promote 479 the proliferation of cultured hair cells from the murine skin (Nakaoji et al., 1997). The capacity of CEP to 480 stimulate hair growth may result from the drug-induced production of IGF-1 which is an anagen-prolonging 481 growth factor, in dermal papilla cells (Inui and Itami, 2013). A more recent study describing the use of 482 topical lipoid CEP (a lotion at 0.1% CEP) showed only a minor (and not statistically significant) effect on the 483 density, growth rate and thickness of hairs (Inui et al., 2016). Clearly, the use of CEP for the treatment of 484 alopecia areata is not supported by sufficient pharmacological and clinical evidence. However, the drug or 485 an extract of *S. cephalantha* can be found in different non-medical topical lotions commercialized in Japan. 486

487

488 **19. Treatment of snake bites**

489

490 This is another historical use of CEP: the treatment of mamushi bites, a specific pit viper (*Gloydius* 491 *blomhoffii*) found throughout Japan. It is also seen in China and Korea. Mamushi bites cause severe swelling 492 (causing compression of peripheral arteries and/or compartment syndrome of the extremities) and pain 493 that spreads gradually from the bite site. In general, the platelet count gradually decreases due to the 494 platelet aggregation activity of the venom. Both antiserum and CEP are widely used for the treatment of 495 mamushi snakebites. After the bite, the rapid use of an antivenom is essential to neutralize both the 496 hemorrhagic and lethal activities of the venom. CEP has been proposed as a possible alternative therapy to 497 antivenom, to lessen the inflammation and the pain caused by the snake bite (Hifumi et al., 2011). In the 498 severe cases of bites, the antivenom is the major treatment recommended. The use of CEP alone is not 499 recommended as it cannot neutralize the circulating venom (Hifumi et al., 2013). In 2000, Abe and 500 coworkers showed that CEP inhibits two species of phospholipase B (α and β) purified from the venom of 501 the giant hornet (*Vespa mandarinia*) (Abe et al., 2000).

502 503

504 **20. Antiparasitic activities**

506 CEP has been shown to be a trypanothione reductase inhibitor (Fournet et al., 1998), an enzyme considered 507 as a robust target to find drugs against Leishmaniasis and the Chagas disease. But the antiparasitic activity 508 of CEP is very limited (Fournet et al., 2000). However, CEP potently enhances the sensitivity of the malaria 509 pathogen *Plasmodium falciparum* to chloroquine (Haruki et al., 2000). CEP has a modest direct inhibitory 510 effect on the trophozoite stage of parasite growth, but acts as a modulator of resistance, capable of 511 prolonging the clinical utility of chloroquine (Tamez et al., 2005). The cepharanthine-chloroquine 512 association is synergistic (Chea et al., 2007). The combination of CEP with chloroquine (or amodiaquine) 513 improved significantly the survival of mice and extended the delay for parasitic recrudescence (Desgrouas 514 et al., 2014c). CEP blocks P. falciparum development in ring stage, affecting multiple metabolic pathways, 515 such as cell-cell interactions, glycolysis and isoprenoid pathways (Desgrouas et al., 2014d). These data are 516 consistent with the use of *Stephania* extracts in traditional medicine for the treatment of malaria-related 517 fever.

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519

520 **21. Other activities**

521

522 Other types of bioactivity have been attributed to CEP, linked to its anti-inflammatory and anti-oxidant 523 properties. Notably, a potent anti-atherosclerotic effect through attenuation of inflammation, lipid 524 peroxidation and vascular smooth muscle cell migration and proliferation, has been described (Paudel et 525 al., 2016). Similarly, CEP might be useful to halt the progression of diabetic nephropathy, caused by an 526 elevation of oxidative stress and proinflammatory cytokines in situations of hyperglycemia (Samra et al., 527 2016). CEP was also found to selectively inhibit the binding of amyloid-β oligomers to Ephrin type-B 528 receptor 2 (EphB2) and A-β oligomers are believed to be key molecules involved in the pathogenesis of 529 Alzheimer's disease (Suzuki et al., 2016). NFκB is also considered a promising target for inflammation-based 530 Alzheimer's disease therapy and many phytochemicals able to interfere with the NFκB pathway are 531 considered for the treatment of this most prevalent form of dementia (Seo et al., 2018).

532

533 CEP might also be useful to treat human autoimmune thyroid diseases, via its capacity to block T-cell 534 activation by thyroglobulin peptides (Li et al., 2016). In this later case, CEP was first identified using a virtual 535 in silico screening procedure from a library of approved drugs and its capacity to inhibit peptide binding to 536 a HLA-DR variant protein and to block T-cells activation in vitro and in vivo were confirmed experimentally 537 (Li et al., 2016). This discovery opens a new clinical field to explore the use of CEP for the treatment of 538 different autoimmune disorders such as arthritis or Sjögren's syndrome. And last, more exotically, CEP was 539 tested as an antifouling compound for marine paints but was found to be less active than other alkaloids 540 such as camptothecin (Feng et al., 2018).

541

542 CEP has probably other unanticipated targets and properties. In particular, an action on certain ion 543 channels may be envisioned considering the activity of related products. Recently, tetrandrine was found to 544 be a potent and selective inhibitor of the Ether-à-go-go-1 (Eag1) ion channel that plays important roles in 545 tumor proliferation, malignant transformation, invasion and metastasis (Wang et al., 2019). However, the 546 structural analogy between the two BBIQ is not sufficient to suspect an activity. For example, the BBIQs 547 berbamine and fangchinoline were found to bind to the water transporting protein aquaporin-4 expressed 548 in the CNS whereas CEP and cycleanine were found to be inactive (Tradtrantip et al., 2012).

549 550

551 **22. Discussion and perspective**

- 553 CEP is a well-established alkaloid, known for 85 years and the only cyclic BBIQ used in the clinic to treat
- 554 Human diseases. But surprisingly it has not been extensively studied. For example, a search through of the
- 555 PubMed database gave 331 references for CEP versus 910 for the related BBIQ tetrandrine which is not
- 556 used clinically (Table 1). As presented here, CEP was discovered in 1934, launched in Japan in 1951 and 557 remains used in the clinic today for the treatment of a variety of diseases and symptoms (Fig. 3). It was
- 558 initially considered as a promising drug for the treatment of tuberculosis, but today the alkaloid is
- 559 principally used for its anti-inflammatory, immuno-modulatory properties, notably for the treatment of
- 560 anemia, alopecia and snake bites. The drug can be administered in large dose and over a long term, either
- 561 orally or intravenously. It is important to underline that this plant natural product is safe, well tolerated,
- 562 inducing very limited undesirable effects. Side effects are said to be insignificant and rarely appearing.
- 563

564 Today CEP remains almost exclusively prescribed in Japan (99% Japan, 1% South Korea), principally in the 565 form of 1mg tablets orally administered, although the bioavailability of CEP by the oral route is limited 566 (Deng et al., 2017). Each tablet (100mg) contains 1% CEP mixed with lactose, talc, calcium stearate, 567 magnesium stearate etc. (mainly from KAKEN SHOYAKU, Japan). The usual oral dosage for the treatment of 568 alopecia areata in adults is 1.5-2 mg CEP per day divided in 2-3 doses taken after meals. The dosage is 569 generally higher (3-6 mg/day) for the treatment of leukopenia and usually 1 mg/day for the treatment of 570 bronchial asthma. There is also a liquid form, corresponding to 1ml or 2ml vials with 0.5% CEP in a fluid 571 solution (2% benzyl alcohol, 1% NaCl and HCl acid) for injection, but this form is more rarely used. Over the 572 past five years, the pharmaceutical sales of CEP-containing products remained relatively stable, with a 573 slight increase of the volume of products (+8% from 2014 to 2018) but a decrease of the associated 574 economic impact (-14% over the same 5-years period), as illustrated in Fig. 5. CEP is an inexpensive drug. 575 The sale volumes are limited, corresponding to a very niche and national market. But the drug is well 576 introduced in Japan, being authorized for 68 years now.

577

578 In 2011 Rogosnitzky and Danks concluded that CEP is a facinating agent with a complex set of physiological 579 actions and an array of clinical benefits (Rogosnitzky and Danks, 2011). Eight years later, the same 580 conclusion can be reinforced. New properties have been discovered, such as the capacity of CEP to bind to 581 quadruplex nucleic acids and to inhibit of bone resorption. It is difficult to summarize its multimodal 582 mechanism of actions but a tentative scheme can be delineated (Fig. 6). The mode of action is complex, 583 implicating effects at the plasma membrane (inhibition of drug efflux pumps, membrane rigidification), 584 nuclear effects (G4 stabilization; modulation of gene expression) and cytoplasmic activities, in particular a 585 regulation of the AMPK and NFκβ signaling pathways. With no doubt, the AMPK-NFκβ axis is central to the 586 mode of action of CEP. Accumulating evidence from studies with various drug types indicate that AMPK 587 activation promotes anti-inflammatory responses in cells exposed to stress/danger signals mainly through 588 inhibition of NFκB signaling. There are many examples showing that activation of AMPK is linked to 589 inhibition of NFκB and suppression of the downstream biological effects, such as autophagy activation (Zhai 590 et al., 2018). Moreover, AMPK exerts also a protective role on the antioxidant defense system. Therefore, 591 AMPK activation by CEP can also contribute to the antioxidant effects. Several studies promote the 592 development of AMPK-targeted therapeutics to treat inflammation and related diseases. In this context, 593 the use of CEP as an AMPK activator/NFκB inhibitor should be encouraged. It is worth noting also that the 594 AMPK-NFκβ axis has been invoked to explain the bioactivity of other BBIQs, in particular the anti-595 inflammatory activity of neferine (Guolan et al., 2018) and the induction of apoptosis by isolensinine (Zhang 596 et al., 2015). AMPK, the so-called "cellular energy regulator", might well be the pivotal regulator of CEP 597 bioactivity. But it some cases, the Ras/Erk signaling axis seems to be predominantly implicated, notably to 598 regulate cells growth. Interestingly, the related BBIQ krukovine also represses the growth and proliferation 599 of cancer cells by inactivating AKT signaling pathway and downregulating the RAF-ERK signaling pathway 600 (Lai et al., 2018).

602 More generally, CEP can be qualified as a protector and a promotor. The drug protects cells, from lipid 603 oxidation, from oxidative DNA damages, from pro-inflammatory cytokines production. At the same time, it 604 promotes the activity of combined drugs and radiations for the treatment of cancer and malaria for 605 examples. One third of the publications on CEP refers to cancer, through the study of drug- induced cell 606 death (apoptosis, autophagy) and drug combinations. But these are mechanistic studies essentially; it is 607 unlikely that the drug can be repositioned in cancer therapy. However, it can open the door to the use and 608 development of other BBIQ, such as tetrandrine from *Stephania tetrandra* Radix which is perhaps a more 609 promising anticancer agent than CEP (Liu et al., 2016b; Bhagya and Chandrashekar, 2018). CEP itself could 610 be used more largely for the treatment of cancer-related conditions such as xerostomia, anemia or 611 leukopenia.

612

613 New horizons for CEP have been evoked in this review, such as a repositioning for the treatment of bone 614 disorders in particular osteoporosis. It really makes sense because NFκB is a robust therapeutic target in 615 inflammatory-associated bone diseases (Lin et al., 2017). CEP activates AMPK by targeting NFκB to suppress 616 autophagy. As such, the drug could also be considered to prevent the development of pulmonary arterial 617 hypertension (Zhai et al., 2018). Other therapeutic perspectives can be proposed. As a well-tolerated, orally 618 available inhibitor of NFκB-mediated inflammation, CEP certainly merits further investigation for efficacy in 619 clinical trials for disorders characterized by inflammation and membrane injury molecular pathways. In 620 particular, CEP might be considered for the treatment of Duchenne muscular dystrophy (DMD) which is a 621 neuromuscular disorder causing progressive muscle degeneration. In DMD, NFκB is activated and drives 622 inflammation and muscle degeneration while inhibiting muscle regeneration. The absence of dystrophin 623 makes skeletal muscle more susceptible to injury, resulting in breaches of the plasma membrane and 624 chronic inflammation in DMD. Novel NFκB inhibitors are currently evaluated as new treatments for DMD 625 patients. It would also make sense to evaluate the potential of CEP in this indication, and in other chronic 626 inflammatory diseases. Along the same vein, CEP might be useful to limit the progression of osteoarthritis, 627 a chronic degenerative joint disease incredibly prevalent in older age. CEP would not repair the already 628 degraded cartilage, but the drug might avoid damage extension to the surrounding tissue, by limiting the 629 production of pro-inflammatory cytokines and chemokines (Herrero-Beaumont et al., 2019). In particular, 630 osteoarthritis patients suffering from a cancer and treated with a regulator of the PD1/PDL1 immune 631 checkpoint might face an aggravation of their osteoarthritis due to a treatment-induced elevated 632 expression of inflammatory cytokine in macrophages (Liu et al., 2019). The use of CEP might thus be useful 633 to limit osteoarthritis progression. A new life for an old drug? Maybe. CEP is not a « one pill fits all » 634 medication but certainly an under-explored drug which should be reconsidered. Hopefully this review will 635 contribute to the renaissance of this well-established alkaloid.

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640

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- 650
- 651

652 **Figure Legends**

- 653 Fig. 1: Chemical structure and conformation of cepharanthine $(C_{37}H_{38}N_2O_6)$.
- 654 Fig. 2: (a) *Stephania japonica* JB Koishikawa, photo of the plant leaves (Japan, 2018). (b) The caudex of

655 *Stephania rotunda* (Pierre Fabre Botanical Institute, Cambounet-sur-Sor, France). (c and d) *Stephania*

656 *cephalantha* Hayata (China), from the Paris Herbarium, at the National Museum of Natural History, Paris,

- 657 France (http://coldb.mnhn.fr/catalognumber/mnhn/p/p02467444 and p02384134).
- 658 Fig. 3: History of CEP discovery and development. Eighty years of evolution of CEP, to mention its main 659 clinical applications and biochemical properties.
- 660 Fig. 4: Structure of six bisbenzylisoquinoline (BBIQ) derivatives.

661 Fig. 5: Pharmaceutical sales of CEP for years 2014-2018 (from IMS Health, now IQVIA). The graph shows 662 both the annual sales in k€ (left axis) and in k-units (right axis). Numbers for year 2018 are estimates based

- 663 on the June 2018 MTA (Moving Annual Total) data.
- 664 Fig. 6: Main signaling pathways and sites of action of CEP in cells. The multifaceted mechanism of action of
- 665 CEP leads to several clinical applications (treatment of snake bites, alopecia aerata, xerostomia,
- 666 leukopenia). Other potential applications of CEP are also explored for the treatment of cancer,
- 667 osteoporosis, virus and parasites infections, sepsis and chronic inflammatory diseases.
- 668 Table 1. Bisbenzylisoquinoline (BBIQ) alkaloids
- 669

670

671 **Conflict of interest**

672

673 I confirm that there are no known conflicts of interest associated with this publication and there has been

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677 **References**

- 678
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Figure 2 PHYMED-D-19-00593

1 Table 1. Bisbenzylisoquinoline (BBIQ) alkaloids

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