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Synthesis and switching properties of new derivatives of azoresveratrol

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Abstract. The synthesis and response upon light irradiation of azoresveratrol and six derivatives

are reported. While UV irradiation of para-hydroxy trans compounds leads to thermally unstable

cis isomers, the para-methoxy and the para-phosphoric ester substituents result in reaching a

high conversion and increasing the thermal stability. In addition, the phosphoric ester azo

derivative presents similar properties in organic and aqueous solutions, opening the way

towards biological applications.

Keywords. Azobenzene, Photoswitching, Thermal relaxation, UV irradiation, NMR, DFT

calculations.

1

#### **Graphical abstract**

## 1. Introduction

Photoswitchable molecules have been extensively investigated over the past few years due to their potential in technological advanced applications ranging from molecular electronics [1] to biology [2]. These applications rely on the reversible interconversion, being induced by light at least in one direction, between two (meta)stable states which have different properties. In the area of electronic devices such as switches, transistors and logic gates, diarylethenes represent one of the most widely synthesized and studied (P-type) molecular switches nowadays. They are based on cyclization/opening reactions induced by UV/visible illumination. In the field of photopharmacology, photoswitches are of particular interest, especially the reversible *trans-cis* photoisomerization of azobenzenes. Indeed, light induces changes in the molecular length and polarity of the azobenzenes, which have multiple positive biological advantages [3-4]. At equilibrium in the dark, azobenzenes exist in the more stable *trans* conformation. Irradiation with UV light produces a large fraction of the *cis* isomer, which can revert back to the *trans* state thermally (T-type) or upon irradiation with visible light [5]. The thermal *cis*-to-*trans* isomerization of azobenzenes has attracted much attention, being the subject of many theoretical and experimental investigations [6-9], with a relaxation rate highly depending on the

number, the nature and the position of substituents in the phenyl groups decorating the azo bond [10-14].

Similarly to various polyphenols, resveratrol [15] (3,5,4'-trihydroxy-trans-stilbene, scheme 1) has been reported to play a major role in the formation or disaggregation of fibrils or amyloid, proteic species implied in many neurodegenerative diseases. Despite its poor bioavailability, it exhibits multiple positive biological activities, mainly anti-oxidizing, anti-inflammatory and neuroprotective capacities [16-17]. Resveratrol can be photochemically isomerized between the trans and cis isomers, but the cyclization/oxidation of it into the phenanthrenic derivative by-product [18] impedes its biological photo-application. We therefore aimed at boosting resveratrol promising properties in the field of photopharmacology applied to neurodegenerative diseases using photoswitchable azoresveratrol derivatives by exploring the effect of electron-donating group in azobenzenes substituted in the para position with hydroxy, alkoxy and phosphoric ester groups. Here, we report on the synthesis of very simple molecular structures and on their photoresponse upon light irradiation and on their thermal relaxation investigated by UV/visible and NMR spectroscopies.

#### 2. Results and Discussion

## 2.1 Synthesis

Azoresveratrol 1 and its derivative 2 were prepared as described in the literature [19-21]. Methylation of 2 in the presence of methyl iodide [22] afforded the fully protected azoresveratrol derivative 4 whereas the phosphoric ester derivative 5 was obtained from reaction of 2 with phosphoryl chloride in the presence of triethylamine [23]. Ortho-substituted

azoresveratrol compounds **3**, **6** and **7** were prepared according to a similar procedure [21] *via* diazotization of aniline derivatives using sodium nitrite followed by *in situ* addition of phenol derivatives (Scheme 1).

Scheme 1. Chemical structures of resveratrol and 1-7 and synthetic routes.

# 2.2 Spectroscopic characterization of 1-7

The spectral properties of the seven synthesized azo derivatives were investigated by UV/visible spectroscopy, correlated with their structures by NMR spectroscopy. Compounds **1-5** exhibited a strong band peaking between 300 and 400 nm, with a maximum ranging from 348 to 354 nm (allowed  $\pi$ - $\pi$ \* transition of the trans isomer) associated with a molar absorption coefficient  $\varepsilon$  between 2.1 and 2.7 x 10<sup>4</sup> L mol<sup>-1</sup> cm<sup>-1</sup>, and a weaker broad band around 460 nm (forbidden n- $\pi$ \* transition) (Figure 1). The replacement of the ethylenic bond in resveratrol with

an azo junction bathochromically shifted the absorption band associated with a small increase of the  $\varepsilon$  values. By contrast, compound **6** absorbed at 377 nm ( $\varepsilon$  = 1.9 x 10<sup>4</sup> L mol<sup>-1</sup> cm<sup>-1</sup>) with a shoulder at 450 nm ( $\varepsilon$  = 0.8 x 10<sup>4</sup> L mol<sup>-1</sup> cm<sup>-1</sup>) while **7** displayed a broad and higher absorption band at 425 nm ( $\varepsilon$  = 4.5 x 10<sup>4</sup> L mol<sup>-1</sup> cm<sup>-1</sup>).

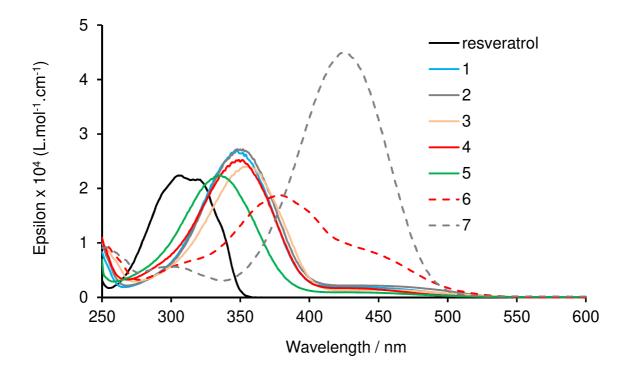


Figure 1. UV/visible absorption spectra of resveratrol and azo derivatives 1-7 in acetonitrile.

NMR spectroscopy (Figure 2) clearly indicated that **1-5** were under their *trans* azo configuration and **7** was proved to be the tautomeric quinone-phenylhydrazone derivative with more particularly the signature of the -C=O resonance at 187.2 ppm in <sup>13</sup>C NMR spectrum (Figures S2-S5). In fact, in all solvents used, chloroform, methanol and acetonitrile, **7** presents always the same spectrum, indicating that the tautomeric equilibrium expected for arylazo-phenol compounds [24] is completely shifted towards the hydrazone form, whereas totally shifted

towards the azo form for **1-5**. As for **6**, it exists as a tautomeric equilibrium mixture in acetonitrile and methanol (95:5 and 88:12 azo:hydrazone, respectively), but is 100% in the hydrazone form in chloroform (Figures S7-S9).

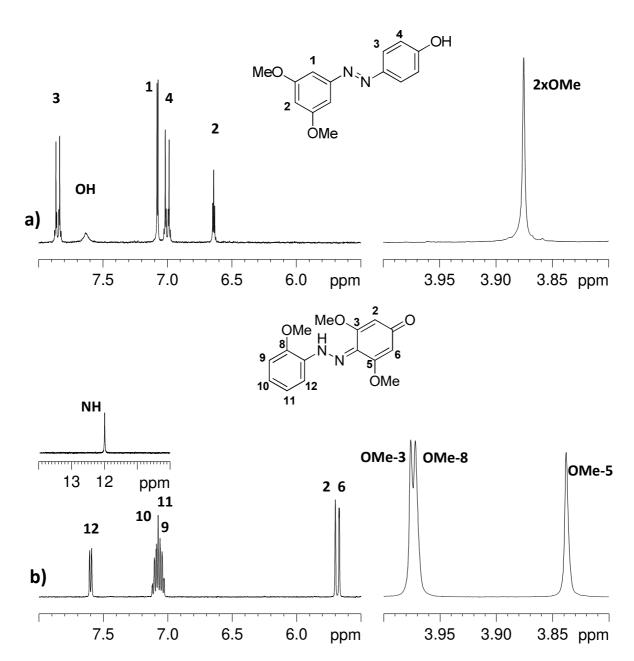


Figure 2. <sup>1</sup>H NMR spectra of the a) azo derivative **2** and b) quinone-phenylhydrazone derivative **7** in CD<sub>3</sub>CN.

## 2.3 Switching properties investigated by UV/visible and NMR spectroscopy

All the compounds (resveratrol and the seven azo derivatives in acetonitrile) were submitted to irradiation to induce the photoisomerization  $trans \rightarrow cis$ , then the thermal evolution of the samples was recorded. UV/visible and NMR spectroscopy were used to run the transformations. Irradiation with 313 nm light at 22 °C of resveratrol led to the formation of the cis isomer, followed by cyclization/oxidation into the phenanthrenic derivative by-product (Figures S10-13), as already reported in the literature [18]. Irradiation with 365 nm light at 22 °C was then applied to the azo derivatives 1-5. Only changes in the spectra of 4 and 5 could be observed, with the hypsochromic shift and decrease of the main UV/visible band ( $\lambda_{max}$  = 304 and 289 nm for 4 (Figure S24) and 5 (Figure S29), respectively) whereas the intensity of the lower band at 436 and 432 nm for 4 and 5, respectively was increased. By NMR spectroscopy, new resonances were detected while the signals of the initial trans isomer decreased in intensity (Figures S25 and S31). No spectral variation was evidenced at 22 °C for 1-3, because of a very short life time of photoproducts. Then, experiments on these three hydroxy derivatives were repeated at -45 °C. Irradiation with 365 nm light at low temperature converted the initial trans state of 1-3 into a new photoproduct as evidenced with the appearance of a set of new signals in <sup>1</sup>H spectra (Figures 3, S18, S22).

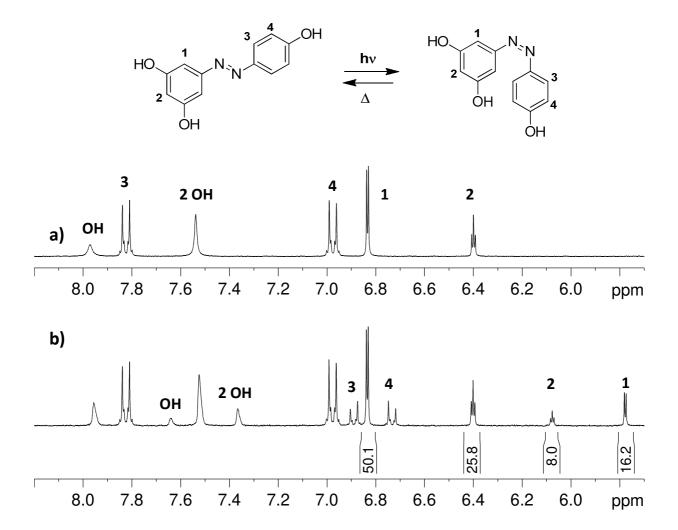


Figure 3. <sup>1</sup>H NMR spectra of **1** in acetonitrile-d<sub>3</sub> at -45 °C a) before and b) after irradiation with 365 nm light (PSS).

The photoproducts generated at 22 °C from **4** and **5** and at -45 °C from **1-3** were unambiguously identified as their *cis* isomers. The *cis* configuration of the -N=N- double bond was nicely evidenced by <sup>1</sup>H-<sup>1</sup>H NMR dipolar correlations (Figure S28) between protons located in each benzene ring and by a shielding of all signals. This is in total agreement with a structure where both phenyl groups are in close spatial interactions, leading to lower values of the chemical shifts by the shielding effect of the aromatic rings. Finally, for the two derivatives **6** and **7**, no

change was detected upon irradiation using whatever the solvent (acetonitrile, chloroform, methanol), the temperature (22 °C, -45 °C, -80 °C) and the wavelength of the irradiation light (365, 436 nm).

When the photostationary state (PSS, Table 1) was reached, the thermal evolution of samples was followed by recording  $^1$ H NMR spectra at regular time intervals. By measuring the peak-intensities of signals for the *trans* and *cis* isomers, the time-evolution of concentrations at -45  $^{\circ}$ C for **1**, **2** and **3** (Figures S16, S19, S23) and at 22  $^{\circ}$ C for **4** and **5** (Figures S26 and S32) was plotted. With the exception of the compound **5**, the thermal decay of all *cis* isomers was mono-exponential ( $k_{\Delta}$ , Table 1). In contrast, the thermal relaxation of the *cis* isomer of **5** followed a bi-exponential decay. Solvent effects can be suggested to explain such a behavior. As the derivative **5** is soluble in water, experiments of photoisomerization and thermal relaxation were repeated in D<sub>2</sub>O (at 2.5 mM, T = 22  $^{\circ}$ C), where pH was determined to be equal to 2. Irradiation with 365 nm light led to the formation of 70 % of the *cis* isomer of **5** in D<sub>2</sub>O at PSS (Figure 4), which followed again a bi-exponential evolution in the dark, although accelerated (Figure S34). An additional assay was then performed in D<sub>2</sub>O where pH was adjusted at 8 by adding NaOD. About 94% of the *cis* isomer was produced at PSS (Figure S35). In contrast, its thermal stability at 22  $^{\circ}$ C was so high that no significant evolution could be observed.

Table 1. Ratio at photostationary state between the *cis* and *trans* isomers, PSS (*cis:trans*), rate constants of relaxation,  $k_{\Delta}$  with experimental temperature (T); time to convert 50% of the *cis* isomer into the *trans* isomer,  $t_{1/2}$ , for the derivatives **1-5** with experimental conditions (Solvent).

	Solvent	PSS ( <u>cis</u> : <u>trans</u> )	k <sub>∆</sub> (T)	<b>t</b> <sub>1/2</sub>
HO NAME OF THE OFFI	CD₃CN	24:76	4.1 x 10 <sup>-3</sup> s <sup>-1</sup> (-45 °C)	2.8 min (-45 °C)
0 N:N 2	CD₃CN	25:75	3.0 x 10 <sup>-3</sup> s <sup>-1</sup> (-45 °C)	3.9 min (-45 °C)
OH 3	CD₃CN	64:36	1.4 x 10 <sup>-4</sup> s <sup>-1</sup> (-45 °C)	82 min (-45 °C)
N <sub>N</sub> 4	CD₃CN CD₃CN + PPA	83:17 87:13	$5.5 \times 10^{-6}  s^{-1}  (22  {}^{\circ}\text{C})$ k = $3.5 \times 10^{-5}  s^{-1}$ and k' = $1.8 \times 10^{-4}  s^{-1}  (22  {}^{\circ}\text{C})$	34.9 h (22 °C) 1.8 h (22 °C)
N <sub>N</sub> , PO+1 <sub>2</sub>	CD <sub>3</sub> CN D <sub>2</sub> O (pH = 2) D <sub>2</sub> O (pH = 8) D <sub>2</sub> O (pH = 8) D <sub>2</sub> O (pH = 8) D <sub>2</sub> O (pH = 8)	90:10 70:30 94:06 89:11 88:12 82:18	$\begin{array}{l} k=1.8 \times 10^{-5}  s^{-1}  and  k'=1.2 \times 10^{-4}  s^{-1}  (22  ^{\circ}C) \\ k=4.0 \times 10^{-5}  s^{-1}  and  k'=3.4 \times 10^{-4}  s^{-1}  (22  ^{\circ}C) \\ No  significant  evolution  (22  ^{\circ}C) \\ 3.4 \times 10^{-6}  s^{-1}  (30  ^{\circ}C) \\ 1.3 \times 10^{-5}  s^{-1}  (40  ^{\circ}C) \\ 2.4 \times 10^{-5}  s^{-1}  (45  ^{\circ}C) \end{array}$	4 h (22 °C) 1.7 h (22 °C)  2.3 d (30 °C) 15 h (40 °C) 8.2 h (45 °C)

To induce the back  $cis \rightarrow trans$  isomerization of the derivative **5** in D<sub>2</sub>O, light and temperature effects were studied. Upon visible light irradiation (436 nm), the reversion from cis to trans was not fully accomplished, but an equilibrium of cis-trans (25%-75% at pH = 2 (Figure S33c) and 39 %-61 % at pH = 8 (Figure S35c)) was reached due to both isomers absorbing 436 nm light. A very good reproducibility was observed when the derivative **5** in D<sub>2</sub>O at pH=8 was submitted to successive cycles of irradiation with 365 nm then 436 nm light, showing then excellent cycling performance (Figure S36). When the relaxation temperature of the sample in D<sub>2</sub>O at pH = 8 was raised at 30, 40 and 45 °C, the thermal isomerization from cis to trans became efficient and

followed a mono-exponential decay, with half-life times from 2.3 days to 8 hours in the range of the investigated temperatures (Figures S37-S39). From the Eyring plots In ( $k_{\Delta}/T$ ) vs. 1/T, the standard entropy and enthalpy of activation,  $\Delta S^{\#} = -17.9$  J mol<sup>-1</sup> K<sup>-1</sup> and  $\Delta H^{\#} = 100.6$  kJ mol<sup>-1</sup> were deduced (Figure S40), in agreement with the energy barrier for the azobenzene thermal isomerization [10,25].

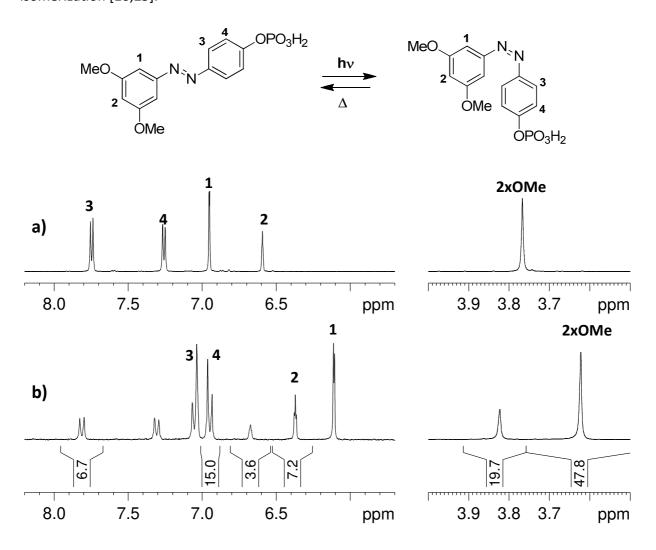


Figure 4.  $^{1}$ H NMR spectra of **5** in D<sub>2</sub>O (pH = 2) at 22  $^{\circ}$ C a) before and b) after irradiation with 365 nm light (PSS).

Finally, as it clearly appeared that the thermal behavior of **5** in *cis* configuration is correlated to solvent acidity, the thermal response of the *cis* isomer of **4**, having the structure the closest one to **5**, was investigated after irradiation with 365 nm light, in the presence of phenylphosphonic acid (PPA) to mimic the effect of PO<sub>3</sub>H<sub>2</sub> substituent. While in the absence of acid, the decay of the **4** *cis* isomer was mono-exponential, in the presence of acid, it became biexponential and was accelerated (Figure S27).

#### 2.4 Discussion

From the set of experiments and data reported in Table 1, it is clear that the photoisomerization from *trans* to *cis* of the azoresveratrol derivatives **1-5** investigated is realized upon adequate light irradiation and the ratio between *trans* and *cis* isomers depends on temperature, solvent and substituents [5-6,10-14]. Substituents on the phenyl rings appear to strongly affect the thermal *cis*-to-*trans* relaxation rate. **1** and **2** present the lowest conversion ratio into the *cis* isomers (24 and 25 %, respectively) associated with the lowest thermal stability (4.1 and 3.0 x 10<sup>-3</sup> s<sup>-1</sup>, respectively), and these results were obtained at low temperature to slow the relaxation. Both have a hydroxy group in para position of one phenyl group. Experiments being conducted in polar acetonitrile containing non-negligible amount of water, one can expect intermolecular hydrogen bonds between the nitrogen atom and the solvent proton, as well as between the para-OH group and the water oxygen atom [26-27], which then favor a hydrazone-like electronic distribution with a single N–N bond. The free rotation around the N–N bond facilitates the recovery of the more stable *trans* isomer. Although the mechanism of isomerization has been debated (i.e. rotation, inversion, concerted inversion) [9,28-30], an increase in dipole character (or resonance) lowers the activation barrier for thermal relaxation,

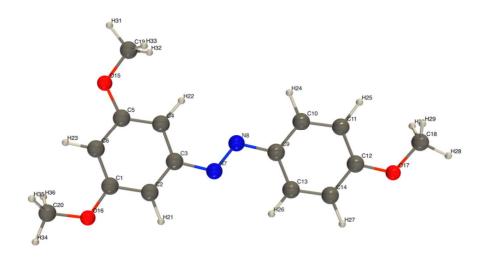
accelerating the reversion from the cis to trans isomers. However, in the case of 3, having also a hydroxy group in its para-phenyl position, the trans-cis photoconversion and the thermal stability of the cis isomer at -45 °C are higher (64 % and 1.4 x 10-4 s<sup>-1</sup>) than results obtained with 1 and 2. Previous studies showed that azobenzenes carrying two or more ortho-substituents exhibit higher thermal stability compared to the unsubstituted azobenzene [10-12,31-33]. This "ortho-effect" is likely due to steric hindrance between the ortho-methyl groups and the lone pair electrons of nitrogen atoms, causing retardation in the cis-to-trans conversion [31]. In contrast, ortho-methoxy groups (6-7) have a completely opposite effect as no trace of the cis isomer could be detected, even at low temperature. In that case, the quinone-phenylhydrazone derivative was detected in solution, alone or in tautomeric equilibrium with the azo from, probably stabilized by some intramolecular interactions between NH and the oxygen atom of the methoxy group. Now, considering the azo derivatives 4 and 5 that are substituted by paramethoxy and para-phosphoric ester, respectively, their behavior can be compared with that of the derivative 2, having the hydroxy group in its para-phenyl position. A huge increase in the conversion ratio and thermal stability was observed as already reported with similar structures (para-hydroxy-azobenzene and para-alkoxy (O-C<sub>6</sub>H<sub>11</sub>) azobenzene) [34]. The authors justified the enhancement in the thermal stability of the cis isomer with alkoxy substituent by the fact that the thermal reversion cis-to-trans proceeded through an inversion mechanism while for hydroxy-azobenzene, it involved a rotation route. Also, we observed an increase of the thermal rates of relaxation cis-to-trans of 4 and 5 at low pH. Such an effect where protonation at the azo moiety reduces the activation barrier and then accelerates the relaxation, has already been reported [35-42]. The most plausible explanation is to suggest an acid-base equilibrium between the non-protonated and protonated *cis* and *trans* isomers of **4**+PPA and **5** (Scheme 2) [43]. At low pH, two rate constants of relaxation were measured, the faster one being associated to the *cis*-to-*trans* isomerization of the protonated species because they are believed to be able to easily isomerize *via* the rotation about the -N=N- bond due to a decrease in the double bond character.

Scheme 2. Thermal relaxation pathways of the azo derivative 5.

The geometries of  ${\bf 2}$  (azo and hydrazone derivatives in *trans* and *cis* configurations) and  ${\bf 4}$  (azo and protonated azo derivatives in *trans* and *cis* configurations) in their electronic ground state were optimized by DFT calculations at the  $\omega$ B97X-D/6-311G\* level (solvent = acetonitrile) [44] allowing to determine geometric parameters and Mulliken charges (Tables S2 and S3). Representative bond lengths and Mulliken charges are gathered in Table 2. For  ${\bf 2}$  in the *trans* and *cis* configurations, the azo-to-hydrazone transformation mostly affects the N8C9 bond length and then the N7N8 one. This transformation is associated with a variation of the N8C9

bond length by about 0.11-0.12 Å for both tautomers. The protonation of the derivative 4 also mostly affects the N8C9 bond length and then the N7N8 one. This protonation is associated with a variation of the N8C9 bond length by about 0.06-0.08 Å, and with a decrease of the C-OMe bond length by about 0.03 Å and a concomitant increase of the O-Me bond length by about 0.01 Å. The N7N8 bond length is longer in the trans and cis quinone-phenyl hydrazone 2, then the bond order is much smaller, associated also with a substantial reduction of the bond length alternation (BLA). For the methoxy derivative 4, the same trends are observed although less pronounced, in agreement with the large difference observed experimentally about the thermal relaxation of the cis isomer. Upon protonation, 50% of the excess positive charge goes to the NN bridge and 50% to the methoxy-phenyl ring  $\pi$ -conjugated to the N=NH<sup>+</sup> group. The para-methoxy substituent on the phenyl group in 4 works as a strong electron donor towards the protonated nitrogen atom (N7), leading to the hydrazone-type resonance structure in which the positive charge is delocalized on both nitrogen (N7) and oxygen atom in the methoxy group, then accelerating the rate of relaxation as observed experimentally when compared with the thermal evolution of 4 without PPA addition. This conclusion can also be applied to the derivative 5, with the phosphoric ester substituent playing itself the role of acid catalyst. We can then suggest that the thermal cis-to-trans isomerization of the para-hydroxy (1-3) and of the para-methoxy 4-protonated and para-phosphoric ester 5-protonated derivatives proceeds through a mechanism involving rotation of the N-N bond. At neutral pH for the cis isomers 4 and 5, it is more difficult to conclude definitively but it seems reasonable to propose that the relaxation involves also the rotation of the N-N bond.

Table 2. Bond lengths (Å), Bond length alternation<sup>a</sup> and Mulliken charge (a.u.) distribution<sup>b</sup> on the representative fragments of the azo derivatives **2** (azo and hydrazone tautomers) and **4** (non-protonated and protonated) in their *trans* and *cis* configurations as determined at the IEFPCM/ $\omega$ B97X-D/6-311G\* level (in acetonitrile).



	<b>2</b> -trans	<b>2</b> -cis	2-trans-Hydrazone	2-cis-hydrazone	<b>4</b> -trans	<b>4</b> -cis	<b>4</b> -trans H+	<b>4</b> -cis H+
d(C3N7)	1.425	1.438	1.403	1.431	1.424	1.438	1.417	1.444
d(N7N8)	1.244	1.239	1.303	1.310	1.244	1.239	1.256	1.260
d(N8C9)	1.414	1.433	1.308	1.310	1.413	1.433	1.357	1.354
d(C12-O17)	1.350	1.353	1.227	1.228	1.347	1.350	1.320	1.318
d(O17-X)	0.961	0.961	-	-	1.419	1.418	1.430	1.431
BLA	0.176	0.197	0.045	0.061	0.175	0.197	0.131	0.139
q(C3)	0.13	0.02	0.40	0.17	0.13	0.02	0.38	0.07
q(N7)	-0.23	.017	-0.15	-0.10	-0.23	-0.17	-0.01	0.06
q(N2)	-0.21	-0.18	-0.18	-0.17	-0.21	-0.18	-0.12	-0.10
q(C9)	0.10	0.01	0.17	0.11	0.10	0.01	0.16	0.11

<sup>&</sup>lt;sup>a</sup> BLA =  $\frac{1}{2}$  (d(C3N7) - 2 x d(N7N8) + d(N8-C9)); <sup>b</sup> H charges were summed to their attached C/O/N atom.

#### 3. Conclusion

In conclusion, azoresveratrol and six of its derivatives were investigated to evidence their photochemical response as well as their behavior during thermal relaxation. When the phenyl group was para-substituted with OH, the *cis* photoproduct was only detectable at low temperature showing a very fast relaxation (1 and 2) towards its *trans* isomer. Indeed, the -N=N- $\pi$ -bond is disrupted, due to the azo-hydrazone tautomeric equilibrium, followed by the rotation around the remaining  $\sigma$ -bond. The substitution of the phenyl groups with methyls in ortho position (3) resulted in slowing down the relaxation (factor 20 at -45 °C). Inversely, the substitution with methoxy groups (6 and 7) shifted the equilibrium toward the hydrazone-type form and no *cis* isomer could be observed.

When OH was replaced with methoxy (4) or phosphoric ester (5) group, the *cis* isomer could be accumulated at ambient temperature since it was much more thermally stable. The thermal relaxation from the *cis* toward the *trans* isomers was accelerated by the acidic conditions associated with a bi-exponential decay. However, the corresponding rate constants at ambient temperature remained largely lower than those determined for hydroxy derivatives at low temperature.

Among all compounds studied, the phosphoric ester derivative **5** is the most promising one for biological applications. Indeed, it is water-soluble and could be photoconverted with 365 nm light producing a huge amount of the *cis* isomer (94 %), which is among the highest conversion reported today. It has a very good thermal stability and can be switched back photochemically with 436 nm light, although not completely. Its cycling performance is excellent as a very good reproducibility was observed upon successive cycles of 365/436 nm light irradiation. These

promising properties pave the way to the future design of azoderivatives showing a full conversion in both directions upon distinct wavelengths of irradiation.

# 4. Experimental section

#### 4.1 General methods.

Chemicals and solvents were obtained from commercial sources, and used without further purification unless otherwise noted. Reactions were monitored by TLC performed on Macherey-Nagel Alugram® Sil 60/UV254 sheets (thickness 0.2 mm).

Purification of products was carried out by either column chromatography or thick layer chromatography. Column chromatography was carried out on using Macherey-Nagel silica gel (230-400 mesh). The purity of final compounds was verified by two types of high pressure liquid chromatography (HPLC) columns:  $C_{18}$  Interchim UPTISPHERE and  $C_4$  Interchim UPTISPHERE. Analytical HPLC was performed on a Shimadzu LC-2010AHT system equipped with a UV detector set at 254 nm and 215 nm. Compounds were dissolved in 50  $\mu$ L buffer B and 950  $\mu$ L buffer A, and injected into the system. The following eluent systems were used: buffer A ( $H_2O/TFA$ , 100:0.1) and buffer B ( $CH_3CN/H_2O/TFA$ , 80:20:0.1). HPLC retention times (HPLC  $t_R$ ) were

The melting point analyses were performed on a Stuart melting point SMP10 and are uncorrected.

obtained at a flow rate of 0.2 mL/min for 35 min using the following conditions: a gradient run

Infrared spectra were performed on Bruker FT-IR spectrometer model  $\alpha$ .

from 100% of buffer A over 1 min, then to 100% of buffer B over the next 30 min.

Mass spectra were recorded on a Varian triple quadrupole 1200W mass spectrometer equipped with a non-polar  $C_{18}$  TSK-gel Super ODS (4.6 x 50 mm) column, using electrospray ionization and

a UV detector (diode array). HRMS-ESI spectra were recorded on a Thermo Scientific Exactive spectrometer.

Preparative HPLC were performed using a Shimadzu semi-preparative HPLC system using an Apollo column  $C_{18}$  250 mm  $\times$  22 mm from Grace. Gradients used CH<sub>3</sub>CN/0.1% trifluoroacetic acid and water/0.1% trifluoroacetic acid at a flow rate of 6 mL/min.

NMR spectra were recorded on Bruker Neo-500 or Avance-300 spectrometers ( $^{1}$ H, 500 MHz,  $^{13}$ C, 125 MHz, or  $^{1}$ H, 300 MHz,  $^{13}$ C, 75 MHz) equipped with TXI or QNP probe, using standard sequences. Data sets were processed using Bruker Topspin 4.0.2 software. Samples were dissolved in acetonitrile-d<sub>3</sub> or chloroform-d<sub>1</sub> or methanol-d<sub>4</sub> or water-d<sub>2</sub> in NMR glass tubes (5 mm). Chemical shifts are expressed in ppm relative to residual proton signal in deuterated solvents. Chemical shifts are reported as position ( $\delta$  in ppm), multiplicity, coupling constant (J in Hz), integral. The attributions of protons and carbons were achieved by analysis of 2D experiments (COSY, NOESY, HSQC and HMBC).

Photoirradiation was carried out directly into the NMR tube in a home-built apparatus. The emission spectrum of a 1000 Watt Xe-Hg high-pressure short-arc lamp (Newport), filtered by a band-pass glass filter (Lot QuantumDesign 011FG09 : 259 <  $\lambda$  < 388 nm with  $\lambda_{max}$  = 330 nm and T = 79 %) or a heating absorbing glass filter (Lot QuantumDesign KG1 : 300 <  $\lambda$  < 800 nm) and interferential filter ( $\lambda$  = 313 nm and T = 17 %,  $\lambda$  = 365 nm and T = 30 %,  $\lambda$  = 436 nm and T = 50 %) was focused on the end of a silica light-pipe (length 6 cm, diameter 8 mm), leading the light to the spinning sample tube, inserted in a quartz dewar. The temperature of the sample was controlled with a variable temperature unit (B-VT1000-Bruker, over a range of 123 to 423 K).

After irradiation, the NMR sample tube was rapidly transferred to the NMR probe where experiments were acquired.

To follow the time-evolution of concentrations, the NMR irradiated sample was kept in the spectrometer at controlled temperature and <sup>1</sup>H NMR spectra were recorded at regular time intervals for a long period. In each recorded spectrum, well-resolved signals characteristic of each compound were integrated and the sum was normalized to the value of 100 % or to the initial value of concentration in mol L<sup>-1</sup> (mass balance respected).

UV/visible spectra were recorded on Cary50 spectrometer. Photoirradiation was carried out directly in the cuvette with a 200 Watt high-pressure Xe-Hg lamp equipped with the same filters as defined previously ( $\lambda$  = 313, 365 or 436 nm).

## 4.2 Synthesis.

(*E*)-1-(3,5-dimethoxyphenyl)-2-(4-methoxyphenyl)diazene **4** [22]. To a solution of **2** (125 mg, 0.484 mmol) in acetone (4.8 mL) was added  $K_2CO_3$  (200 mg, 1.45 mmol) and MeI (60.3 μL, 0.968 mmol). The mixture was stirred at room temperature overnight and monitored by TLC (Cyclohexane/EtOAc). The mixture was evaporated, dissolved in  $CH_2CI_2$ , washed twice with water, dried over MgSO<sub>4</sub>, filtered and evaporated under *vacuum*. The residue was purified by flash chromatography (Cyclohexane/EtOAc, 0 to 30%) to afford compound **4** as a brown solid (118 mg, 90%). **Mp**: 115°C. <sup>1</sup>**H NMR** (CD<sub>3</sub>OD, 300 MHz) δ(ppm): 7.89 (d, J = 9.0 Hz, 2xH-3), 7.08 (d, J = 9.0 Hz, 2xH-4), 7.06 (d, J = 2.2 Hz, 2xH-1), 6.60 (t, J = 2.3 Hz, 1xH-2), 3.89 (s, 1xCH<sub>3</sub>), 3.85 (s, 2xCH<sub>3</sub>). <sup>13</sup>**C NMR** (CDCI<sub>3</sub>, 75 MHz) δ(ppm): 162.5 (Cq), 161.3 (2xCq), 154.5 (Cq), 146.6 (Cq), 124.4 (2xCH), 114.0 (2xCH), 102.5 (1xCH), 100.2 (2xCH), 54.7 (1xCH<sub>3</sub>), 54.6 (2xCH<sub>3</sub>). **HRMS** (ESI<sup>+</sup>) [M+H]<sup>+</sup> Calcd for  $C_{15}H_{17}N_2O_3$  273.12337; Found 273.12375. **HPLC**:  $C_{18}$  column:  $C_{18}$  Column:  $C_{18}$  Calc min,

purity 97%.  $C_4$  column:  $t_R$  = 27.2 min, purity 97%. **FTIR**: v (cm<sup>-1</sup>): 3077, 2993, 2958, 2834, 1602, 1582, 1501, 1455, 1437, 1305, 1251, 1149, 1030, 827.

(E)-4-((3,5-dimethoxyphenyl)diazenyl)phenyl dihydrogen phosphate 5 [23]. To a solution of 2 (400 mg, 1.55 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (5.77 mL) using a CaCl<sub>2</sub> guard tube was added POCl<sub>3</sub> (0.577 mL, 6.2 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (1.15 mL) dropwise. The reaction mixture was stirred for 5 min at 10 °C. Et<sub>3</sub>N (0.431 mL, 3.1 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (1.15 mL) was added dropwise to the mixture. The solution was stirred for 1h at 10 °C. Water (10 mL) was then added and the mixture was stirred for 30 minutes at 22 °C. The pH of the reaction mixture was adjusted to c.a. 1 using HCl (1M). The product was extracted twice with CH2Cl2. Combined organic layers were dried over MgSO4, filtered and evaporated under reduced pressure. The crude product was purified by semipreparative HPLC to afford compound 5 (180 mg, 34%) as a brown-red powder. Mp: >150°C. <sup>1</sup>H **NMR** (CD<sub>3</sub>OD, 300 MHz)  $\delta$ (ppm): 7.93 (d, J = 8.7 Hz, 2xH-3), 7.38 (dd, J = 8.9 Hz,  ${}^4J_{P-H} = 1.0$  Hz, 2xH-4), 7.10 (d, J = 2.3 Hz, 2xH-1), 6.63 (t, J = 2.3 Hz, 1xH-2), 3.86 (s, 2xCH<sub>3</sub>). <sup>13</sup>C NMR (CD<sub>3</sub>OD, 75 MHz)  $\delta$ (ppm): 161.3 (2xCq), 154.3 (Cq), 153.8 (d,  ${}^{2}J_{P-C}$  = 6.6 Hz, Cq), 149.1 (Cq), 123.9 (2xCH), 120.5 (d,  ${}^{3}J_{P-C}$  = 5.0 Hz, 2xCH), 103.1 (1xCH), 100.4 (2xCH), 54.6 (2xCH<sub>3</sub>). **HRMS** (ESI<sup>-</sup>) [M-H]<sup>-</sup> Calcd for  $C_{14}H_{14}N_2O_6P$  337.05840; Found 337.05588. **HPLC**:  $C_{18}$  column:  $t_R$  = 25.5 min, purity 98%. HPLC:  $C_4$  column:  $t_R = 13.8$  min, purity 98%. **FTIR**: v (cm<sup>-1</sup>): 3098, 2944, 2834, 1591, 1498, 1427, 1289, 1208, 1160, 966, 927, 835.

General procedure for the preparation of azo-compounds **3**, **6** and **7**. [21] To an ice-cold solution of aniline derivative (1 eq) in aqueous 1 M HCl (3 eq) and THF (2.5 mL/mmol) at 0 °C was added NaNO<sub>2</sub> (1.2 eq) dissolved in water (2.5 mL/mmol) at 0 °C. The mixture was stirred for 30 min at 0 °C. An ice-cold solution of phenol derivative (1.2 eq.) in aqueous 1M NaOH (3 eq) was added

dropwise to the reaction mixture at 0-5 °C. The mixture was stirred for 1h at 0 °C. THF was evaporated. The residue was taken in EtOAc and water, extracted twice with EtOAc. Combined organic layers were washed with aqueous sat. NH<sub>4</sub>Cl, dried over MgSO<sub>4</sub>, filtered and evaporated under reduced pressure. The residue was purified by flash chromatography.

(*E*)-3-methyl-4-(o-tolyldiazenyl)phenol **3**. According to the general procedure, compound **3** was purified by flash chromatography (Cyclohexane/EtOAc, 0 to 30%) and obtained as a brown solid (118 mg, 90%). **Mp**: 122°C. <sup>1</sup>**H NMR** (CD<sub>3</sub>OD, 300 MHz) δ(ppm): 7.63 (d, J = 8.8 Hz, 1xH-3), 7.56 (dd, J = 7.4 and 1.2 Hz, 1xH-4), 7.27 (m, H-5, H-6, H-7), 6.78 (d, J = 2.8 Hz, 1xH-1), 6.69 (dd, J = 8.8 and 2.7 Hz, 1xH-2), 2.69 (s, 2xCH<sub>3</sub>). <sup>13</sup>**C NMR** (CD<sub>3</sub>OD, 75 MHz) δ(ppm): 160.3 (Cq), 151.1 (Cq), 144.6 (Cq), 140.6 (Cq), 136.9 (Cq), 130.8 (CH), 129.5 (CH), 126.0 (CH), 117.0 (CH), 116.5 (CH), 115.2 (CH), 113.3 (CH), 16.5 (CH<sub>3</sub>), 16.3 (CH<sub>3</sub>). **HRMS** (ESI<sup>-</sup>) [M-H]<sup>-</sup> Calcd for C<sub>14</sub>H<sub>15</sub>N<sub>2</sub>O<sub>3</sub> 225.10224; Found 225.10019. HPLC: C<sub>18</sub> column: t<sub>R</sub> = 32.8 min, purity >99%. **HPLC**: C<sub>4</sub> column: t<sub>R</sub> = 25.3 min, purity 98%. **FTIR**: v (cm<sup>-1</sup>): 3276, 3019, 2923, 1591, 1479, 1455, 1237, 1152, 1033, 860, 827, 761.

(*E*)-3-methoxy-4-((2-methoxyphenyl)diazenyl)phenol **6**. According to the general procedure, compound **6** was purified by flash chromatography (CH<sub>2</sub>Cl<sub>2</sub>/MeOH, 0 to 2%) and obtained as a brown solid (168 mg, 40%). **Mp**: >170°C. <sup>1</sup>**H NMR** (CD<sub>3</sub>OD, 300 MHz) δ(ppm): (major form, azo derivative) 7.61 (d, J = 8.9 Hz, 1xH-5), 7.52 (dd, J = 7.9 Hz and 1.2 Hz, 1xH-12), 7.40 (t, J = 7.2 Hz, 1xH-10), 7.17 (d, J = 8.3 Hz, 1xH-9), 6.99 (t, J = 7.6 Hz, 1xH-11), 6.59 (d, J = 2.1 Hz, 1xH-2), 6.43 (dd, J = 8.8 and 2.2 Hz, 1xH-6), 3.99 (s, 1xCH<sub>3</sub>), 3.96 (s, 1xCH<sub>3</sub>); <sup>13</sup>**C NMR** (CD<sub>3</sub>OD, 75 MHz) δ(ppm): 162.6 (Cq), 159.1 (Cq), 156.3 (Cq), 142.8 (Cq), 136.2 (Cq), 131.0 (CH), 120.4 (CH), 118.1 (CH), 116.8 (CH), 112.6 (CH), 107.7 (CH), 99.4 (CH), 55.4 (CH<sub>3</sub>), 55.2 (CH<sub>3</sub>). <sup>1</sup>**H NMR** (CD<sub>3</sub>OD, 500

MHz)  $\delta$ (ppm): (minor form, hydrazone derivative) 7.71 (dd, J = 8.0 and 1.4 Hz, 1xH-12), 7.37 (d, J= 9.9 Hz, 1xH-5), 7.17 (m, 1xH-10), 7.11 (dd, J = 8.1 and 1.0 Hz, 1xH-9), 7.06 (t, J = 7.6 Hz, 1xH-11), 6.35 (dd, J = 9.8 and 1.8 Hz, 1xH-6), 6.06 (d, J = 1.8 Hz, 1xH-2), 4.09 (s, 1xCH<sub>3</sub>), 4.02 (s, 1xCH<sub>3</sub>). **HRMS** (ESI<sup>+</sup>) [M+H]<sup>+</sup> Calcd for  $C_{14}H_{13}N_2O_3$  259.10772; Found 259.10840. **HPLC**:  $C_{18}$ column:  $t_R = 26.9$  min, purity >99%. HPLC:  $C_4$  column:  $t_R = 16.3$  min, purity >99%. FTIR: v (cm<sup>-1</sup>): 3442, 2999, 2935, 2831, 1605, 1579, 1475, 1429, 1308, 1244, 1117, 1026, 832, 756. 3,5-dimethoxy-4-(2-(2-methoxyphenyl)hydrazone)cyclohexa-2,5-dienone 7. According to the general procedure, compound 7 was purified by flash chromatography (CH<sub>2</sub>Cl<sub>2</sub>/MeOH, 0 to 5%) and obtained as a red-brown solid (407 mg, 87%). Mp: >220°C. ¹H NMR (CD₃OD, 300 MHz)  $\delta(ppm)$ : 7.68 (dd, J = 7.9 and 1.5 Hz, 1x-12), 7.09 (m, H-10, H-9, H-11), 5.81 (d, J = 1.8 Hz, 1xH-2), 5.77 (d, J = 1.9 Hz, 1xH-6), 4.04 (s, CH<sub>3</sub>), 4.00 (s, CH<sub>3</sub>), 3.89 (s, CH<sub>3</sub>). <sup>13</sup>C NMR (CD<sub>3</sub>OD, 75 MHz) δ(ppm): 187.2 (Cq), 163.6 (Cq), 160.7 (Cq), 148.0 (Cq), 131.2 (Cq), 125.0 (CH), 121.9 (CH), 121.2 (CH), 113.9 (CH), 110.8 (CH), 100.6 (CH), 99.8 (CH), 55.9 (CH<sub>3</sub>), 55.4 (CH<sub>3</sub>), 55.3 (CH<sub>3</sub>). HRMS (ESI<sup>+</sup>) [M+H]<sup>+</sup> Calcd for  $C_{15}H_{17}N_2O_4$  289.11828; Found 289.11874. **HPLC**:  $C_{18}$  column:  $t_R = 27.9$ min, purity 96%.  $C_4$  column:  $t_R = 18.1$  min, purity 99%. **FTIR**: v (cm<sup>-1</sup>): 3289, 3053, 2954, 1626, 1596, 1523, 1407, 1264, 1224, 1123, 767, 818, 772.

## 4.3 Crystallographic data

Crystal structures of **1-3** were obtained. The crystals of small and poor quality were mounted on a Xcalibur equipped with monochromatized Mo-Ka radiation (0.71073 Å). The data collection, unit cell refinement, and data reduction were performed using the CrysAlis CCD, Oxford Diffraction Ltd. software package [45]. The structural determination was carried out by direct

methods, and the refinement of atomic parameters based on full-matrix least-squares on  $F^2$  were performed using the SHELX-2014 program [46] within the WINGX package [47].

The positions of non-hydrogens atoms were determined and refinement by SHELX-2014 program. The positions of the hydrogen atoms were placed at calculated positions generated according to the stereochemistry and refined using a riding model in SHELX-2014.

Supplementary crystallographic data can be found in the CCDC deposit (CCDC 1897318-1897319-1897320), and obtained free of charge from the Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data\_request/cif/.

#### 4.4 DFT calculations

The geometries of all compounds were fully optimized at the density functional theory level with the  $\omega$ B97X-D exchange-correlation functional [44] and the 6-311G(d) atomic basis set. A TIGHT convergence threshold on the residual forces on the atoms (1.5 x 10<sup>-5</sup> Hartree/Bohr or Hartree/radian) was employed. Solvent (acetonitrile) effects were accounted for by using the integral equation formalism of the polarizable continuum model (IEFPCM) [48]. All calculations were performed using the Gaussian16 package [49]. The DrawMol program was used to generate all the figures [50].

## **NOTES**

The authors declare no competing financial interest. JB and LA contributed equally.

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## Appendix A. Supplementary data

The Supplementary data related to this article (Spectroscopic data, crystallographic data, UV/visible spectra and NMR spectra before and after irradiation, kinetics of thermal evolution, DFT results including atomic Cartesian coordinates of the optimized structures) can be found at http://dx.doi.org

## Appendix B. Supplementary crystallographic data

CCDC 1897318-1897319-1897320 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/data\_request/cif, or by emailing data\_request@ccdc.cam.ac.uk, or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

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# Highlights

Photoswitching of azoresveratrol and six derivatives was studied by UV–Visible and NMR spectroscopies

Bi-exponential behaviour of the thermal relaxation was evidenced

DFT calculations were carried out to rationalize the experimental observations

Azo derivative with phosphoric ester substituent is water-soluble and shows a huge photoconversion to the *cis* isomer which has a very good thermal stability