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French handlers' perspectives on Animal-

Assisted Interventions

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7 Abstract (321)

8 *Background*: Animal-Assisted Interventions (AAI) are well implemented in human 9 healthcare, in France as elsewhere; yet there are still difficulties in characterizing these 10 practices and misconceptions about their mechanisms - little is known about the French 11 practice of AAI and about the human-animal team.

12 *Objectives:* This study aims to characterize AAI by exploring their specificities through
13 French handlers' perspectives.

Material and method: An online survey addressed to French handlers working in AAI with mainly one dog was carried out. This research included questions about their practice in AAI (registration status, beneficiaries, and animals) and their background (training in AAI, training in the medico-social field, training in animal behavior). We then examined a phenomenological understanding of handlers' definitions of their practice in AAI, their motivations to work with these approaches, and the expectations of the human-animal team. We used an open coding strategy and created major themes from their answers.

21 Results: 111 handlers participated in this study. The quantitative data highlighted a

heterogeneity of handlers' profiles and professional backgrounds, although most profiles had previous training in healthcare. Five themes characterizing AAI emerged from the qualitative analysis: (1) AAI as additional approaches to care settings, (2) AAI as person-centered approaches, (3) the complementarity between handlers and their animal(s), (4) the shared role of mediator, and (5) handlers' beliefs about the human-animal relationship related to their personal experiences. This survey allowed us to understand how the French use AAI and its role in the care system.

Conclusion: The benefits of AAI are numerous both for care settings and for the caregivers mainly by making the care more humane. AAI seem to put the wellbeing of beneficiaries and the relationship with the caregiver at the center of the care. The complementarity of the human-animal team is the common feature of these practices and is critical to their success.
Future interdisciplinary studies are required to explore the particularities of these interspecific approaches and the differences between countries.

35 **1.** Introduction

36 Practices utilizing the human-animal bond in healthcare settings are commonly designated by the term Animal-Assisted Interventions (AAI). They are defined as "a goal oriented and 37 38 structured intervention that intentionally includes or incorporates animals in health, 39 education and human services (e.g., social work) for the purpose of therapeutic gains in 40 humans. It involves people with knowledge of the people and animals involved" [1]. AAI 41 include several sub categories such as Animal-Assisted Therapies (AAT), Animal-Assisted 42 Activities (AAA), Animal-Assisted Education (AAE) and Animal-Assisted Coaching (AAC) 43 [1]. These methods are receiving increased attention within the medical and paramedical 44 fields because of their benefits on a large range of health-related problems [2]. The 45 interactions with animals in care settings have positive impacts on human health such as the

46 decrease of anxiety [3–5] and depression [6,7] as well as the improvement of social skills [8– 47 10] and self-esteem [11,12]. As a result, the benefits of animals on human health represent a significant scientific research field that continues to grow [13]; yet there is still difficulty to 48 49 characterize AAI. Even if there is a professionalization of the field, there is still a lack of 50 standards and inconsistencies about the terms and definitions of AAI [14–17], specifically, 51 regarding the French AAI where there is a lack of data about these seemingly heterogeneous practices [18]. It could be linked to the absence of governmental regulation and mandatory 52 53 training to practice AAI [16,19]. Furthermore, most research on AAI has been focused on 54 proving the efficiency of animals on the beneficiaries [20]. However, the complementarity of 55 the human-animal dyad is central in AAI for the influence and mutual benefits it has during 56 sessions [21–23]. Handlers are regularly excluded from studies and little is known about their 57 perspectives and their roles [24,25]. There are still misconceptions about AAI, such as the 58 thinking that petting the animals is sufficient to get the reward [14].

59

1.1. Theoretical framework

We aimed to apply the recommendations of changes in AAI research suggested by Delfour & 60 61 Servais [26] that are: "the consideration of the animal as a subject; the restitution of their 62 speech to handlers; and the development of attentive and creative methods of observation and investigation". Consequently, the qualitative perspective respects these criteria since it 63 64 "facilitates better understanding of factors that may influence the intervention implementation" [17]. As highlighted in the Shen et al. [28] review of qualitative studies, 65 these studies can be a way to reveal possible mechanisms of AAI. However, they focused on 66 67 the beneficiaries point of view [29–31]. Only a few of the studies were interested in handlers' 68 opinions about their practices in AAI [24,32-36] and were principally focused on their 69 knowledge and attitudes regarding specific practice [37]. This makes it essential to interview

handlers with different backgrounds and considerations towards the relationship between
themselves and their animals to get a better understanding of AAI.

The aim of the present research is to contribute to increasing the body of knowledgesurrounding AAI by integrating handlers' opinions.

To this end, we focused on two axes through handlers' answers to four questions. The first axis concerned the main features of AAI that we obtained through interviewing handlers about their definition of their own practice in AAI. In addition, we assumed that handlers' professional backgrounds and motivations to work in AAI enabled them to understand the characteristics of these practices. The second axis concerned the interspecific complementarity of the human-animal team that we investigated through their views on their dedicated roles and the roles of their animals in AAI.

81 **2.** Materials & Method

82

2.1. Participants & recruitment

83 Our cohort was composed of 111 French handlers in AAI. Our inclusion criteria were to be 84 active in AAI and to work with at least one dog because dogs constitute the most represented 85 species in AAI [38-41]. Handlers were all volunteers and we had no selection criteria based 86 on their professional backgrounds. We constructed an online questionnaire that was posted 87 on AAI-specialized social media accounts and sent by email from April 2018 to May 2019. It 88 was important for us to develop an online questionnaire for ease of use and timesaving 89 reasons. Moreover, contrary to other qualitative research that focuses on small samples and/or 90 specific groups, we aimed to interview a large panel of handlers.

91 **2.2.** Ethics

92 Before accessing the questionnaire, handlers were required to complete a consent form that

93 included an explanation of the study framework, objectives and the research ethics features. 94 Signing this consent form guaranteed the confidentiality of their responses, the possibility of 95 interrupting the research, respect for their integrity and their rights in accordance with the 96 research ethics. The collection, processing and storage of personal data complied with the 97 rules laid down by the European General Data Protection Regulation [42].

98 2.3. Data collection

99 The questionnaire was built based on a literature review [1,8,24,26,33,43–45] and informal 100 interviews of handlers and scientific experts in the field. It was entirely written in French and 101 was composed of four unequal sections for approximatively 20 minutes in total. We used a 102 mixed method; therefore, some data was obtained through closed questions while other data 103 was obtained through open questions. We chose to separate our data into specific articles; 104 therefore, this one presents only data about the characteristics of AAI through handlers' 105 perceptions.

106 **2.4.** Analysis

107 The data presented below are based on sociodemographic and open questions. Quantitative 108 data were treated with the Software GraphPad Prism 8. These data concerned 109 sociodemographic questions (gender, age), followed by questions about their current practice 110 in AAI (their registration status, the populations and the animal species they work with) and 111 questions about their professional background (their training in AAI and their education 112 institution, their training in the medico-social field and their training in animal behavior). 113 Descriptive characteristics of the cohort were calculated and presented as means averages for 114 continuous variables and percentages for categorical variables.

115 The qualitative method was selected for four open questions:

How do you define your practice of AAI?

- What motivated you to work in AAI?
- For you, what is the role of the animal in AAI?

• For you, what is the role of the human actor (handler) in AAI?

We used a phenomenological method because it "describes the meaning for several individuals of their lived experiences of a concept or a phenomenon; describing what all participants have in common as they experience a phenomenon" [46]. Like Firmin et al. [24], we used an open coding strategy with a line-by-line analysis approach and developed clusters of meaning into themes. We wrote a description of the significant themes that emerged from our data [46] and illustrated them with citations (translated from French to English) of subjects with their anonymity number.

127 **3.** Results

128 **3.1.** Demographic characteristics of our sample

129 Our sample was composed of 111 handlers in AAI. They were mostly women (94.59%; 130 N=105) with a mean age of 41 years (min 20 years; max 68 years). Handlers worked with a 131 broad range of populations; based on the two first pathologies cited we analyzed data on 166 132 answers. Beneficiaries were mostly elderly with dementia (30.12%; N=50), followed by 133 people with mental and/or motor disability (22.29%; N=37), followed by Pervasive 134 Developmental Disorders (13.85%; N=23) and people with various mental health problems 135 (13.25%; N=22). Also, 47.75% (N=53) of the handlers in our sample only worked with dogs, 136 the rest worked with two species on average (range 1 to 7), mainly small pets such as guinea 137 pigs and rabbits (45.04%; N=50). Handlers' professional backgrounds were different. 83.78% 138 (N=93) of our sample were trained in AAI from different institutions that included both 139 university training centers and private structures. 71.17% (N=79) of interviewed handlers had 140 training in the medico-social field that represented various types of care professions. They 141 were mostly psychologists (24/05%; N=19), caseworkers (16.46%; N=13), nurses 142 (13.92%; N=11) and psychomotor therapists and occupational therapists (12.66%; N=10). In 143 addition, some of them had a background in the animal field (37.84%; N=42) which 144 concerned mostly dog trainer (50%; N=21) and veterinary/assistant veterinary (19.05%;145 N=8).

146 **3.2.** Qualitative analysis

Five themes were identified as important characteristics of AAI: (1) AAI as additional approaches in care settings, (2) the person-centered approach, (3) the complementarity between handler and their animal(s), (4) the shared role of mediator and (5) handlers' beliefs about the human-animal relationship related to their personal experiences. A brief description of each theme supported with illustrative citation of participant data is presented for every result of the study.

153 3.2.1. AAI as additional approaches in care settings

154 Handlers alluded that AAI bring benefits to various care settings. They mentioned objectives that concern a diverse set of domains (therapeutic, educative, social, pedagogical etc.). Most 155 156 of them referred to the introduction of AAI to mitigate the limits of conventional care. More 157 specifically, care professionals referred to AAI as additional approaches to support their work 158 and some of them distinguished themselves from other handlers by the therapeutic value of 159 their AAI. Regardless of their initial training, handlers mentioned the benefits that AAI 160 brought to themselves, such as being able to specialize in a new approach or even a career 161 change.

162 s1: "The inadequacies of "conventional" approaches"

163 s32: "It is a practice with a therapeutic aim (by virtue of my function)"

s46: "It is a "way" to achieve an objective that cannot be achieved with conventional
tools"

7

166 s70: "Another string to my bow"

167 s109: "Doing the work for which he [the handler] was trained, for me as a 168 psychologist, with an additional tool that is the dog"

169 3.2.2. Person-centered approaches

The construction of the objectives seemed to be flexible and adapted to each patient. Therefore, the beneficiary appeared to regain an active role in his or her care. The most frequently cited objectives concerned the well-being of beneficiaries and the creation of bonds between beneficiary and caregiver. When handlers described their relationship with beneficiaries, they used words associated with positivity and warmth (i.e. "*link*," "*alliance*," "*trust*," "*affection*," "*tenderness*," and "*empathy*"). For most handlers, their animals were seen as assistants that take an immersive role in these relationships.

s5: "My practice is created according to my patients: they are the ones who initiate the
process and propose activities around the dog most often"

179 s50: "[...] sometimes there is also a therapeutic interest, but this is not the primary180 goal"

181 s73: "humane and playful"

182 s99: "The goal is to increase interactions with humans through interactions with183 animals"

184 3.2.3. Complementarity of the human-animal team

Handlers pointed out the central role of animals into enriching the actual care. They spoke about the common intrinsic attributes of animals such as their absence of judgement, their neutral attitude toward human pathologies, and the absence of verbal communication. Handlers referred to their main role as optimizing the effects of the animal and to guarantee safety. That implies a work upstream to construct the project, downstream to evaluate objectives and adapt the next sessions and the adjustment of what is emerging during sessions. They also mentioned a major responsibility in their animals' welfare by observing
their behavior such as their signs of fatigue and stress. Finally, they highlighted a teamwork
with their animals.

194 s14: "He can be himself! Not being programmed. To offer with one's naturalness a
195 well-being to people, as well as efforts without realizing it"

196 s39: "a partnership relationship with my dog"

197 s48: "I am the guarantor of the framework and safety during the session"

198 s61:" Define, organize, adjust, readjust, guide, evaluate the sessions [...]"

199 s79: "Create situations to enrich patient/animal exchanges, frame the work"

s83: "Protecting your animal and listening to them to see when they have hadenough"

- s97: "The animal is a precious help for the handler, they offer them/us a multitude of
 possibilities to enter into a relationship, to consolidate a relationship, to make people
 work on so many different objectives"
- 205 3.2.4. The shared role of mediators

206 Handlers seem to not make any difference between the animal species involved, however 207 dogs seemed to be considered more proactive in the interactions. The most common term 208 used to talk about the animal's role is "mediator", acting as a link between handler and 209 beneficiary, but also between objectives and beneficiary. Some handlers went beyond this, 210 suggesting that the animal is the intermediary that allows the establishment and/or 211 reinforcement of the care relation. Handlers were also referring to themselves as mediators 212 between the animal-beneficiary interactions and objectives. It was their duty to intervene in 213 specific ways in order to influence the behavior of the animal offering support so as to guide 214 the interactions and reach the objectives. Then, they can stimulate or calm beneficiaries 215 according to what is happening during the interactions.

s38: "An added value to the relationship of committed help and a precious mediator
because it is alive and offers a diversity of emotions"

s39: "It depends, sometimes I am the mediator of the meeting with the animal,
sometimes it is him who allows the patient to access the care and to come to meet me"

s48: "It is the use of the animal presence as a media in the caring relationship"

s73: "Accompany the patient-dog pairing to work on the patient's own objectives"

3.2.5. Beliefs about the human-animal relationship related to their personal experiences

Handlers directed themselves to AAI because they had beliefs about the benefits of the human-animal relationship. Their beliefs were mostly linked to their personal experiences with animals more than the theory around the human-animal bond. They believed that the introduction of animals into care would benefit other humans. In addition, they had a passion for animals and the care of other humans. AAI were therefore a good compromise to work with both humans and animals.

s8: "Combining my passion for dogs with my job"

230 s85: "It's natural because I've always shared my life with dogs [...]"

s108: "The conviction that the animal can bring things to humans"

4. Discussion

The purpose of this study was to gain insight into the representations of handlers in AAI, focusing on their definitions of their practices, their motivations to work in AAI and the roles of the human-animal team. Our research suggests that AAI include numerous methods because of the variety of handlers' professional backgrounds and the possibility of adding AAI to various settings. Even though there is a wide heterogeneity of practices, we found common features to all handlers. Some characteristics concur on the more "humane" care, the convictions of handlers based on their personal experiences with animals and thecomplementarity of the human-animal team.

The goal of the present research was to contribute to the body of knowledge surrounding AAI and representations of handlers via two axes. The first one concerned the main features of AAI. The second one concerned the interspecific complementarity of the human-animal team.

244

4.1. The characteristics of the French practice of AAI

245 Our first aim was to highlight the main features of the French practice of AAI. Handlers 246 defined AAI as holistic approaches that allow a wide cross-section of applications in human 247 health (psychological, motor, speech, cognitive, social). Therefore, handlers reported to work 248 in AAI with various populations and animal species. This is consistent with the common 249 French application of AAI that is defined as a set of heterogeneous practice [18], contrary to 250 the US model that is more categorized. To underline the main features of AAI, we assumed 251 that the interview about handlers' professional backgrounds would give us information. 252 Indeed, it highlights various profiles in handlers that correspond to a variety of settings. 253 Furthermore, handlers were trained in various fields and in various institutions. As mentioned 254 before by Kruger et al. [11], it could explain the heterogeneity of AAI because handlers will 255 practice AAI according to their initial professions. The variety of their professional 256 backgrounds can be explained by their motivations to work in AAI, which were mostly based 257 on their personal positive experiences with animals. Handlers introduced AAI because of their 258 convictions that AAI brought something new to care, which is consistent with Michalon [47]. 259 AAI can therefore concern people with various professional backgrounds who have the 260 willingness of compromise between care work and love for animals in common. In this sense, 261 AAI are chosen because of handlers' intrinsic convictions more than their theoretical 262 knowledge of the human-animal bond. Another feature that we can underline about handlers' 263 professional backgrounds is a distinction between handlers that were care professionals and 264 others who were not. Care professionals incorporated their initial training first to define their 265 practices in AAI, which is consistent with another recent French report [19]. Consequently, 266 most participants of our sample can be considered as AAT handlers because they are care 267 professionals working within the scope of their professions [1,35]. However, it seems that the 268 common US model can hardly be applied to the French practice of AAI because the French 269 practice is more heterogeneous than a distinction between care professionals and the non-care 270 professionals. This is consistent with previous studies that highlighted the difficulty in 271 exporting the US model to other countries [35,48]. Finally, we wanted to underline that some 272 handlers work in AAI without any training, which can expose the practice to certain abuses. 273 This diversity of handlers' backgrounds points out the need of standards to ensure: i) the 274 quality of sessions, ii) the welfare of animals and iii) the welfare of beneficiaries as proposed 275 by the Italian model [49]. Efforts should be made on the regulation of these practices in 276 France mostly concerning the necessary minimal training of handlers to ensure quality and 277 safety within AAI sessions.

278

4.2.

Reintroducing some care in the cure

279 The most common trait of AAI mentioned by handlers was that its practices contrast with 280 classical approaches. The differences cited by handlers are consistent with previous studies, 281 though more research is needed to increase our understanding of the mechanisms. Contrary to 282 conventional medicine, the objectives cited mostly focus on the well-being of beneficiaries 283 during sessions, which is consistent with the theme "mood improvement" found in 6 284 qualitative studies about AAI in the review of Shen et al.[28]. More specifically, handlers 285 referred to AAI as a moment where the disease is no longer central in the care, which is 286 consistent with the theme "fostering feeling of normalcy" highlighted in the review of Shen et 287 al. [28]. Therefore, the relationship between caregiver and patient was central and handlers 288 gave importance to creating an alliance, almost an affectionate relationship with beneficiaries, 289 which was redundant in other qualitative researches [24,31,35]. This was first highlighted by 290 Levinson [37] who reported AAI as allowing the change from "patient" to individual. We can 291 assume that AAI are close to "person-centered approaches" that are defined as "putting the 292 person with the human's worth and uniqueness, as well as the person's interests and lived 293 experience, at the center of the caring process" [51]. It is interesting also to note that AAI give 294 some benefits to handlers, too, with the positive emotions of the beneficiaries giving them joy 295 and a sense of usefulness [35,52]. Handlers also mentioned that AAI help them to overcome 296 the impasse with traditional tools and the possibility of spending time with their animals [32]. 297 Therefore, the benefits of AAI seem to be due to their differences with classical medicine that 298 focuses on curing the patient first. The introduction of animals in care settings could allow 299 another form of care work, a more humane care regarding the objectives but also the 300 consideration of patients as individuals. Further investigations need to focus on limits of the 301 current care and the fact that animals bring more humanity.

302 4.3. The complementarity of the human-animal dyad

303 Our second goal was to question separately the roles of handlers and animals to understand 304 the specificity of the interspecific teamwork. The centrality of animals in these practices are 305 indeed recognized since they are critical to the identity of AAI [53]. Though, the interviews of 306 the handlers highlight the importance of the interspecific collaboration in AAI [35]. Handlers 307 mentioned the intrinsic qualities of animals, such as their absence of judgment and their 308 unconditional love [54]. However, animals are also actors in the therapeutic setting that 309 facilitate contact between humans and facilitate the establishment of a therapeutic relationship 310 [40,55–59]. Still, it is important to highlight that the relationship between beneficiary and 311 animal is not a substitute to the relationship between handler and beneficiary [60]. Handlers 312 referred to their roles as mediators and as "good" caregivers who succeed in creating a 313 positive relationship with beneficiaries. They are also the spokesperson for their animals and 314 need to ensure their well-being and appropriate interactions [18,61]. Therefore, handlers and 315 their skills are necessary to build the framework around AAI, such as ensuring the good 316 conditions of interactions and the evaluation of objectives. This data clarifies the fact that 317 studies must take in account both handlers and animals to understand the mechanisms of AAI. 318 whereas most research is focused on proving the benefits of animals [20]. Moreover, it would 319 be interesting to clarify the aspects related to the different modalities of interspecific 320 relationships based on the animal species involved. Indeed, dogs are the most common 321 species in AAI because they are well adapted to therapeutic settings because of their 322 availability, trainability and predictability [62]. Some authors point out that dogs allow for a 323 more therapeutic work with more reciprocity than other species [22,58]. It may be due to their 324 outstanding skills to communicate and their ability to create relationships with humans [63]. 325 They are also easier to train for therapy [64]. Other species, such as small pets, are 326 increasingly introduced in AAI on the other hand because of their small size and toy-like 327 appearance that can allow for the development of other forms of relationships [65].

328 **4.4.** Limits

329 This research presents some limits that can be palliated in further studies. First, our sample 330 was mostly composed of handlers that were initially care professionals, which can be a bias 331 for the representativeness of our study. Further studies can focus on handlers without a 332 background in the medico-social field to observe the pertinence of their answers vis-à-vis of 333 those with a medical professional background. Secondly, our cohort concerned handlers that 334 worked with dogs and other species, but we focused on dogs. Other specific studies on other 335 animal species introduced into AAI could bolster the knowledge. Finally, our study concerned 336 the French practice of AAI; other studies in various European countries can be useful to 337 understand the importation of the US model to France.

338 5. Conclusion

339 The aim of this study was to underline handlers' perspectives on Animal-Assisted 340 Interventions in order to contribute to the body of knowledge surrounding AAI. To this end, 341 we focused on the main features of AAI and the interspecific complementarity of the human-342 animal team that we interviewed. Our study underlined that AAI in France are heterogeneous 343 because they are complementary approaches to various care settings. This is also linked to the 344 fact that handlers will work in accordance to their initial training, which represent a wide 345 scope of fields. Moreover, handlers' profiles are heterogeneous because these practices 346 concern people who want to include their passion of animals in their work. It seems that AAI 347 allow a more "humane" care through the presence of animals. This point needs more 348 consideration to question the actual care and its limits for both patients and caregivers. 349 Finally, the human-animal dyad must be considered as a teamwork. The animal is here to "be 350 himself" and the handler to bear the benefits of the beneficiary-animal relationship to fulfil the objectives. Consequently, this exploratory study highlights the heterogeneity of AAI and 351 352 the need to focus on individual considerations [2,66–68].

353

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