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## The correlation between the mixed-mode oligo-cyclic loading induced mechanical and microstructure changes in HDPE

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### ABSTRACT

Two grades of HDPE (one is for PE-100 pipeline application and one is for blow molding) were processed to vary their crystallinity and molecular topology in order to investigate and understand the microstructural origin of the seismic performance of HDPE materials. For a given grade, the quenched sample exhibits a lower crystallinity, thinner crystallites, and a higher density of entanglement/tie molecules compared to the isothermal one. The HDPE samples are submitted to mixed-mode, oligo (plastic)-cyclic tensile deformation (that simulates the seism) with the applied maximum strain below or at the onset of necking propagation. The changes of numerous macroscopic mechanical metrics with increasing cycles were monitored (e.g. stress at maximum strain, residual strain at zero stress, secant modulus, and hysteresis loop area). These mechanical changes not only depend on the maximum imposed cyclic strain, but also the microstructure of HDPE. A physical scenario is proposed to describe the microstructural changes during the cyclic deformation and explicate the macro-micro correlations. Moreover, the post-cyclic tensile (reloading) curve can return to the original one rapidly for each sample, this phenomenon indicates the neglecting effect of the following cycles on the further loading path. It is also found that the material designed for the PE-100 pipeline shows limited variation between the initial and post-cyclic tensile toughness, indicating that the pre-loaded materials require nearly the same energy to rupture as the pristine ones. This is evidence that the material for PE-100 pipeline has a good resistance against the damage induced by seismic events.

**KEYWORDS:** HDPE, oligo-cyclic tensile loading conditions, macro-micro correlations, tensile toughness

## **INTRODUCTION**

High-density polyethylene (HDPE) is widely used in industrial pipeline applications. PE 80 and PE 100 refer to common grades applied for gas and/or water pipelines. Among other benefits, pipelines made of HDPE exhibit a great resistance against seismic events[1,2], which are normally modeled by repeated loading-unloading cycles beyond the elastic limit (also defined as low cycle fatigue tests or oligo-cyclic tests)[3,4]. Therefore, it is valuable to carry out the investigations on the overall mechanical performances along with the deformation mechanisms and the damage evaluation in HDPE under (oligo-) cyclic loading conditions.

At the microscale, HDPE is a well-known semi-crystalline polymer consisting of crystalline and amorphous phases, connected by tie molecules/entanglement. The microstructural changes occurring throughout the small strain viscoelastic to the large strain plastic regimes during monotonic tensile tests in HDPE have been widely discussed for a long time[5–11]. The correlations between several mechanical indicators obtained from the stress-strain tensile profiles and the microstructure of HDPE are well established. In the elastic regime, the storage/elastic modulus is governed by the fraction of crystalline phase, apparent amorphous modulus, and percolation of the crystalline structure[9,12]. At the onset of plasticity, the quasi-linear relation between the threshold stress and crystallinity is associated with the dislocation model (thickness of crystalline lamellae) and the concept of stress transmitters (STs)[10,11]. It worth noting that insights regarding the amorphous chains topology or density of stress transmitters (ST density) were also obtained by mechanical measurements such as the neck width[11], natural draw ratio, and hardening[7,11,13]. The STs include the tie molecules and the entangled loops/chains, they are capable of transmitting the stress and they are also believed to play a crucial role in long-term properties such as creep, fatigue behaviors[14–17] as well as the crack propagation and cavitation [16,18–20].

In the literature, procedures can vary to perform (oligo-) cyclic tensile tests: for so-called stress-controlled[21–27] or strain-controlled modes[28,29], the cyclic paths are conducted between two prescribed forces (stresses) or displacements (strains) respectively. Besides these two modes, mixed-mode conditions can also be used[30–33], for which the sample is repeatedly

stretched to a prescribed maximum strain and then retracted to zero force. During the cyclic tests, the mechanical indicators (e.g. stress, strain, modulus, hysteresis area) are seen to evolve with the increasing number of cycles suggesting microstructural modifications. The change of the elastic modulus has been attributed to two competing mechanisms. On the one hand, the modulus decrease is related to the cavitation (or micro-voids) and/or fragmentation of crystalline lamellae[24,29,34,35]. Generally, this mechanical loss is recognized as a macroscopic symbol of material damage[29,35]. On the other hand, the chain orientation might lead to an increase of the modulus along with the tensile deformation, also defined as “cyclic strengthening” as suggested by Drozdov[29]. Additionally, the density of dissipated energy of each stress-strain cycle, calculated as the hysteresis area, is ascribed to the generated heat by the plastic deformation and viscous loss mechanisms[36]. This parameter is related to the failure mode and lifetime of polymers[26,36,37].

Moreover, the accumulation of residual strain (by stress-controlled or mixed modes) and the stress softening (by strain-controlled or mixed modes) are also relevant features to probe the microstructural changes[21,23,25,29,31–33]. In particular, during mixed-mode cyclic tests, the semi-crystalline polymers display both stress softening at maximum strain and the accumulation of residual strain at zero stress with increasing cycles[31,32]. These two phenomena are largely considered as the macroscopic features of the *Mullins-like* effect, thus differing from the classically so-called *Mullins* effect enounced in the filled and crystallizing rubbers[38–40]. In detail, the first hysteresis loop is significantly different from the following ones, which is recognized as a primary property of the *Mullins-like* effect[32,41]. Although the mechanisms are complex and controversial, the network alteration theory has been successfully applied to explain this phenomenon. Makki et al.[32] described the microstructural changes of the *Mullins-like* effect in PE basing on a framework proposed for styrene-butadiene rubber[42]. In their work, the authors assign the difference in mechanical behaviors between the first cycle and the following ones to the rearrangement of the network. During the following cycles (after the first one), the additional rupture of chains reaching their limit extensibility is associated with the stress softening behavior. Moreover, a new equilibrium state at zero stress leads to an increase in the residual strain. Another striking manifestation of the *Mullins* phenomenon is that the post-cyclic reloading response for strain greater than the one already endured by the material coincides with the pristine response [38–40]. This phenomenon has been observed in polypropylene[31], with

the maximum applied strain in the vicinity of the yield point. However, few studies discuss the post-cyclic behavior in PE, especially the properties related to the final rupture such as tensile toughness.

In this work, investigations of the *Mullins-like* stress softening/accumulation of residual strain in HDPE samples with different pristine microstructures and molecular topologies are carried out. Noticeably, mixed-mode oligo-cyclic tests with maximum strains preventing pronounced necking (below or at the onset of necking propagation phase) are performed. This strain range ascertains that the lamellar or spherulitic structure globally remains and the fibrillary structure[7,12,43,44] induced by large deformation is not completely formed. The relative changes of the secant modulus and hysteresis loop areas are also computed. A physical scenario is proposed to interpret the correlation between the mechanical changes and the pristine microstructure of the PE samples. Moreover, the post-cyclic mechanical behaviors are also discussed.

## EXPERIMENTAL SECTION

### *Materials*

Two types of HDPE denoted PE-A and PE-B, are studied. PE-A refers to a blow molding grade and PE-B is a grade for the commercial PE-100 widely used in piping application. The molar masses were characterized and provided by the supplier INEOS (Brussels, Belgium) (see **Table 1**). The PE pellets were pressed into about 0.5 mm plates at 180°C in an aluminum-alloy mold. Once molded, quenching and isothermal thermal treatments were performed in order to vary the pristine microstructures of the two materials. The “quenched samples” were shaped in cold water whereas the “isothermal samples” were shaped in the oil bath for about 17h at a temperature  $T_{iso}$  around the crystallizing temperature, yet preventing the secondary crystallization[45,46].

**Table 1:** Initial molecular characteristics and  $T_{iso}$  of the polyethylene pellets

Materials	$M_n$ (g/mol)	$M_w$ (g/mol)	$M_z$ (g/mol)	$T_{iso}$ (°C)
PE-A	7500	202200	1478900	121.5
PE-B	6700	270800	1589400	118

$M_n$ ,  $M_w$  and  $M_z$  are the number-average molar mass, the weight-average molar mass and z-average molar mass.

### ***Differential Scanning Calorimetry (DSC) tests***

6 to 10 mg samples were taken from the HDPE plates and heated up to 150°C at 10°C/min with a DSC 7 PerkinElmer machine under nitrogen flow. The following equation (1) was used to estimate the material's crystallinity ( $X_c$ ):

$$X_c = \frac{\Delta H_f}{\Delta H_f^0} \quad (1)$$

Where  $\Delta H_f$  is the melting enthalpy calculated as the area under the DSC melting peak and  $\Delta H_f^0$  is the melting enthalpy of polyethylene of 100% crystallinity (290 J/g[47]). The overall density  $\rho$  could thus be estimated as follow:

$$\frac{1}{\rho} = \frac{X_c}{\rho_c} + \frac{1-X_c}{\rho_a} \quad (2)$$

Where  $\rho_c$  is the crystalline phase density (1.003 g/cm<sup>3</sup>),  $\rho_a$  is the amorphous phase density (0.850 g/cm<sup>3</sup>) [48].  $X_c$  and  $\rho$  were also used for calculating the thickness of crystalline lamellae as shown in the next subsection.

### ***SAXS (Small-angle X-ray scattering) characterizations***

The small-angle X-ray scattering experiments were performed on the SWING beamline of the French SOLEIL synchrotron with the X-ray wavelength of 0.103 nm. The sample-detector distance was 2003 mm so that the scattering vector  $q$  ranged between 0.036 and 0.52 Å<sup>-1</sup>. The determination of the long period ( $L_p$ ), the thicknesses of crystalline lamellae ( $L_c$ ), and the amorphous layers ( $L_a$ ) were obtained according to equations (3), (4) and (5).

$$L_p = \frac{2\pi}{q_{\max}} \quad (3)$$

$$L_c = L_p \frac{\rho}{\rho_c} X_c \quad (4)$$

$$L_a = L_p - L_c \quad (5)$$

It worth noting that the scattering wave vector  $q_{\max}$  corresponds to the maximum *Lorentz-corrected* scattering intensity. These nano-dimensions could be used for the estimation of the ST density by Brown's model[7,13,49,50] and for building the macro-micro correlations.

### ***Mechanical tests: Uniaxial tensile, mixed-mode oligo-cyclic tensile and multi-step tests***

Mechanical tests (uniaxial tensile, mixed-mode oligo-cyclic tensile, and multi-step tests) were performed on dumbbell-shaped samples of 8 mm gauge length, 4 mm width, and about 0.5 mm

thickness punched out of the polymer sheets at room temperature. The tests were conducted using an MTS 1/ME machine equipped with a 100N load cell at a nominal strain rate  $\dot{\epsilon} = 3 \times 10^{-3} s^{-1}$ .

Uniaxial tensile tests were conducted till failure to guide the selection of the oligo-cyclic strain  $\epsilon_{OC}$  (the maximum imposed strain in each cycle). These tests also allow characterizing the mechanical properties such as the neck width[11] and initial tensile toughness defined as the area under the stress-strain profile until failure. 100 mixed-mode cycles with  $\epsilon_{OC} = 0.08/0.16/0.24/0.5$  were performed. In multi-steps tests, the samples were initially subjected to 10 mixed oligo-cycles with the same  $\epsilon_{OC} = 0.08/0.16/0.24/0.5$  and then immediately stretched up to failure, special attention is drawn to the post-cyclic behavior in each sample.

## RESULTS AND DISCUSSION

### *Pristine microstructural characteristics*

The microstructural properties (crystallinity  $X_c$ , long period  $L_p$ , thickness of crystallites  $L_c$ , and thickness of amorphous layers  $L_a$ ) of the PE materials are listed in **Table 2**. It worth noting that  $L_c$  and  $L_a$  are precisely obtained according to the results of SAXS, the deviation is too small to present. As for crystallinity measurement, the deviation is  $\pm 1\%$ . The density of STs has been approximated by both the statistic approach (Brown's model)[7,13,49,50] and the neck width[11] (with deviation  $\pm 0.05$ ) measured from the nominal uniaxial tensile stress-strain curves reported in **Figure 1**. The PE having enhanced ST density tends to exhibit a more diffuse neck indicating a more homogenous deformation[8,11]. The results obtained by these two methods are consistent with each other. For a given grade (PE-A or PE-B), the isothermal sample has a relatively higher crystallinity, thicker crystallites, and lower ST density[5,11,51] thanks to its slower crystallization process[45] compared to the quenched sample.

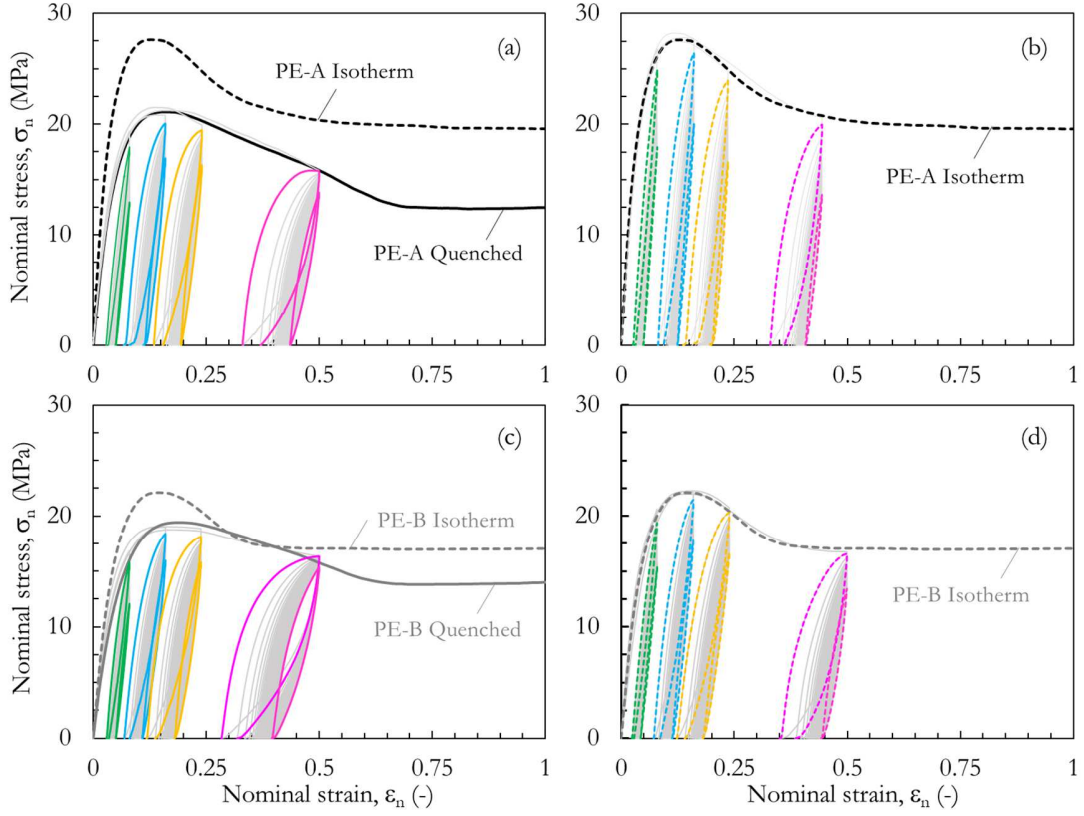
**Table 2.** Crystallinity  $X_c$ , long period  $L_p$ , thickness of crystallites  $L_c$  and amorphous layers  $L_a$ , ST density estimated by Brown's model and neck width of PE plates subjected to different thermal treatments.

Methods		DSC	SAXS			Brown's model	Neck width
Material characteristics		$X_c$ (%)	$L_p$ (nm)	$L_c$ (nm)	$L_a$ (nm)	ST density	
PE-A	Quenched	62	22	13	9	0.22	0.55
	Isothermal	73	35	24	11	0.07	0.27
PE-B	Quenched	57	20	11	9	0.27	0.65
	Isothermal	64	33	20	13	0.14	0.32

### *Mixed-mode oligo-cyclic tensile behaviors*

**Figure 1** superimposes the nominal uniaxial (up to a nominal strain  $\epsilon = 1$ ) and the oligo-cyclic tensile stress-strain profiles (maximum applied strain  $\epsilon_{OC} = 0.08, 0.16, 0.24$  and  $0.5$ ) of all four PE samples. The 2<sup>nd</sup> and 100<sup>th</sup> cycles are highlighted for better reading of the overall change of the mechanical loops. Throughout the mixed-mode oligo-cyclic tests, the stress at maximum strain, the residual strain at zero stress, the tangent modulus and the hysteresis response are seen to evolve and progressively saturate as the cycle number increases.



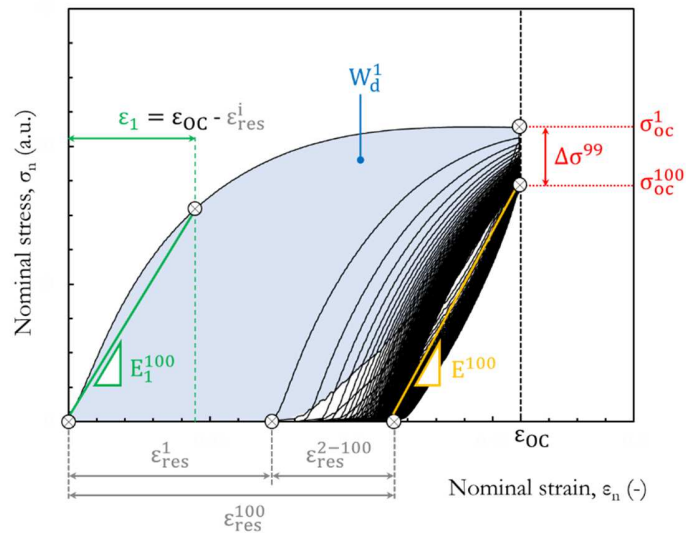


**Figure 1:** Nominal uniaxial and oligo-cyclic tensile stress-strain profiles.  
( $\epsilon_{OC} = 0.08, 0.16, 0.24$  and  $0.5$ ).

In order to quantify the mechanical alterations during the oligo-cyclic tests and tend to express their dependencies on the pristine sample microstructure and/or  $\epsilon_{OC}$  values, several mechanical indicators are monitored. They are illustrated in **Figure 2** and defined as follow:

- $\sigma_{oc}^i$ : The nominal stress corresponding to the maximum-strain state at  $i^{\text{th}}$  cycle ( $1 \leq i \leq 100$ ).
- $\Delta\sigma^{i-1}$ : The magnitude of stress softening between 1<sup>st</sup> and  $i^{\text{th}}$  cycle ( $2 \leq i \leq 100$ ).
- $\epsilon_{res}^i$ : The residual strain at zero-stress state at  $i^{\text{th}}$  cycle ( $1 \leq i \leq 100$ ).
- $\epsilon_{res}^{2-100}$ : The residual strain at zero-stress state induced by the following cycles (from 2<sup>nd</sup> to 100<sup>th</sup>).
- $\epsilon_{OC}$ : The applied maximum strain in oligo-cyclic tests.
- $W_d^i$ : The hysteresis energy corresponding to the dissipated energy per unit volume at  $i^{\text{th}}$  cycle ( $1 \leq i \leq 100$ ).

- $E^i$ : Secant modulus of  $i^{\text{th}}$  cycle determined as  $E^i = \frac{\sigma_{oc}^i}{\varepsilon_1^i}$ , where  $\varepsilon_1^i = \varepsilon_{oc}^i - \varepsilon_{res}^{i-1}$  ( $2 \leq i \leq 100$ ).
- $E_1^i$ : Comparative modulus measured in the first cycle within the same span of strain  $\varepsilon_1^i$  as  $E^i$  ( $2 \leq i \leq 100$ ). It is used for evaluating the relative change of modulus from the 1<sup>st</sup> to the  $i^{\text{th}}$  cycle. The detail of the calculation will be introduced in the following section *Change of modulus*.

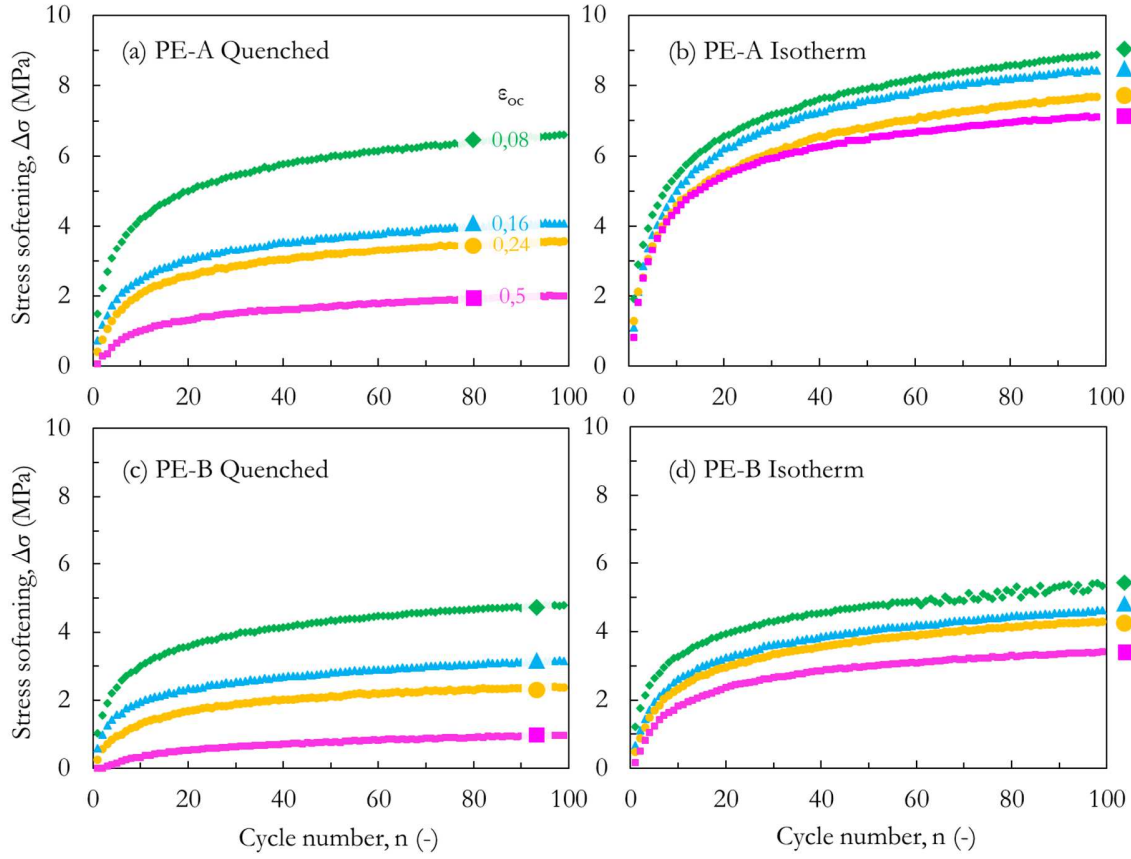


**Figure 2:** Oligo-cyclic curve with the description of the evaluated mechanical changes.

### ***Mechanical alterations and associated mechanisms***

#### ***Stress softening***

As shown in **Figure 3**, the stress-softening magnitude ( $\Delta\sigma$ ) decreases as  $\varepsilon_{oc}$  increases for all the four samples whatever the cycle number. The negative correlation between the total stress softening magnitudes  $\Delta\sigma^{99}$  and  $\varepsilon_{oc}$  is further displayed, in **Figure 4a**.

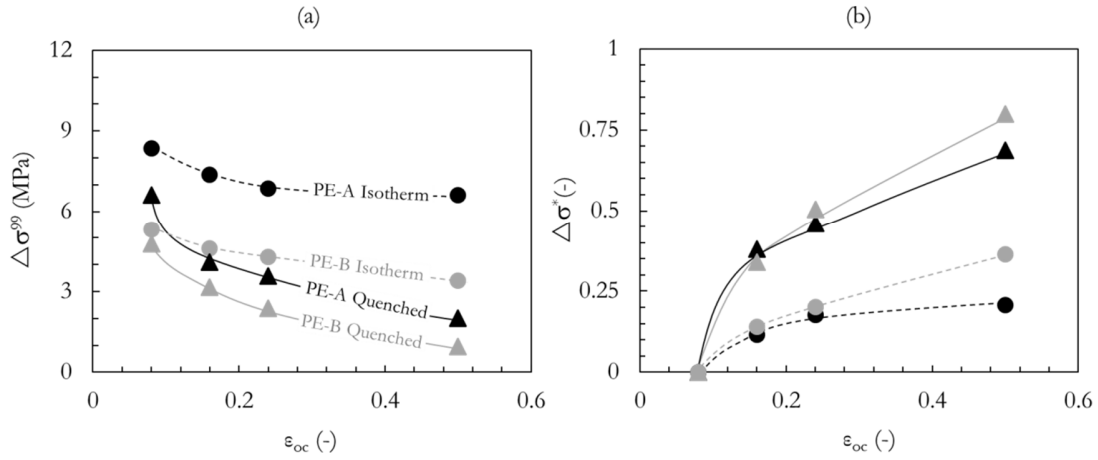


**Figure 3:** Stress-softening magnitude in different samples as a function of cycle number: (a) PE-A Quenched; (b) PE-A Isothermal; (c) PE-B Quenched and (d) PE-B Isothermal

For a given grade, it worth noting that more pronounced stress softening is observed in the isothermal sample when  $\varepsilon_{OC}$  is identical. Moreover, an indicator  $\Delta\sigma^*$  determined by **equation (6)** is evoked for evaluating the improvement of the stress-softening resistance with increasing  $\varepsilon_{OC}$  in each sample (from  $\varepsilon_{OC} = 0.08$  to  $\varepsilon_{OC} = 0.5$ ). In particular, when  $\varepsilon_{OC} = 0.08$ ,  $\Delta\sigma^*$  is set as zero.

$$\Delta\sigma^*(\varepsilon_{OC}) = \frac{\Delta\sigma^{99}(0.08) - \Delta\sigma^{99}(\varepsilon_{OC})}{\Delta\sigma^{99}(0.08)} \quad (6)$$

As shown in **Figure 4b**, it has been found that  $\Delta\sigma^*$  also depends on the PE initial microstructure. A more significant increase of  $\Delta\sigma^*$  is observed in the sample with lower crystallinity and higher ST density.



**Figure 4:** a) Total stress-softening magnitudes between 1<sup>st</sup> and 100<sup>th</sup> loading; b) Relative decrease of stress softening as a function of  $\epsilon_{OC}$  in different samples

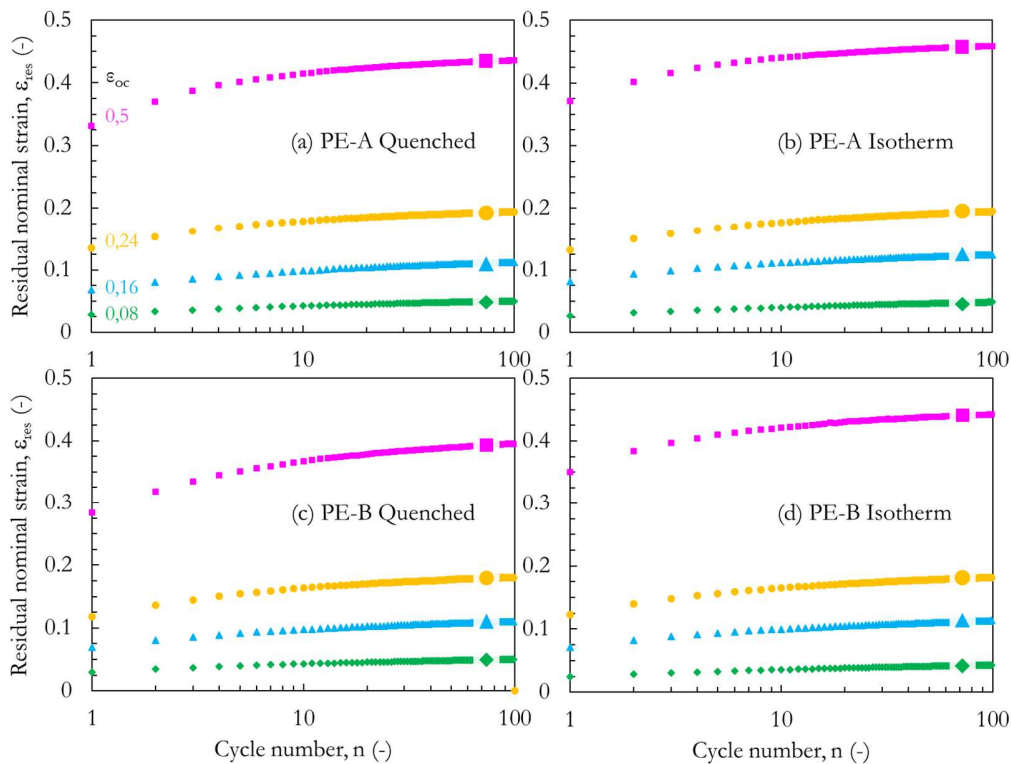
Various authors have attempted to figure out the physical interpretation of the cyclic stress-softening phenomenon in semi-crystalline polymers. However, the mechanisms remain disputable. Firstly, the rupture of short chains reaching their limit of extensibility, along with the disentanglement and breakdown of chains being stuck between two entanglement/crystallites are raised[32,42]. These mechanisms occurring in the amorphous phase could also potentially induce cavitation[35]. Furthermore, when a semi-crystalline polymer is deformed beyond yielding, the fragmentation of crystallites can also be considered as an origin of the macroscopic material softening[35]. This phenomenon could also be related to the elimination of the crystalline percolation.

The aforementioned dependencies of stress softening on  $\epsilon_{OC}$  and PE microstructure can be explicated as follow: i) the stress-softening-related microstructure changes are mainly induced by the first cycle. And the higher  $\epsilon_{OC}$ , the more important these structural changes. As these phenomena could induce the softening, they are thus globally named as “structural deteriorations” in the following sections. As the  $\epsilon_{OC}$  increases, the microstructure after the 1<sup>st</sup> cycle tends to become an “extreme state” where the crystalline percolation is all eliminated and the remained STs are difficult to be disentangled/ruptured by the following cycles, the progressive stress-softening is thus more limited. ii) It has another compensating mechanism being stronger with increasing  $\epsilon_{OC}$ . Also, its enhancement with increasing  $\epsilon_{OC}$  should be more pronounced in the samples with lower crystallinity but higher ST density due to the lower value

of stress softening. As mentioned in the introduction, under uniaxial tensile loading, the stress transmitters can be highly stretched, oriented along the loading direction, and induce recrystallization[52]. This phenomenon is related to the strengthening effect and should compensate for the stress softening[29,53]. Also, it is reasonable that this compensating phenomenon is more important in the samples with more STs [10,11] that promote their stretching and strain-induced recrystallization.

### *Residual strain accumulation*

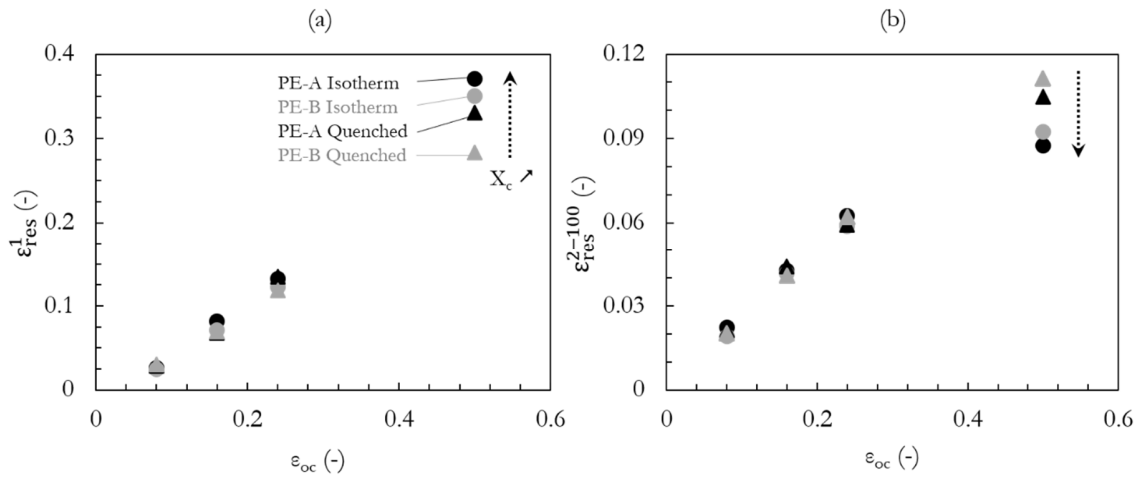
The residual strain (or inelastic strain)  $\epsilon_{res}$  in semi-crystalline polymers can be divided into two parts, one being associated with the plastic deformation whereas the other one is due to the viscous effect of the amorphous phase that can be recovered after relaxation[31,40,41]. The residual strain accumulation-saturation behavior in each sample is displayed in **Figure 5**. The first-cycle-induced residual strain is the most important one.



**Figure 5:** Residual strain in different samples as a function of cycle number: (a) PE-A Quenched; (b) PE-A Isothermal; (c) PE-B Quenched and (d) PE-B Isothermal

Moreover,  $\varepsilon_{res}^1$  and  $\varepsilon_{res}^{2-100}$  as a function of  $\varepsilon_{OC}$  are shown in **Figure 6a** and **6b** respectively. For all samples, with increasing  $\varepsilon_{OC}$ , the inelastic strains induced by the first and the remaining cycles are both seen to increase. For  $\varepsilon_{OC} = 0.08, 0.16,$  and  $0.24$ , the difference in residual strains between the four materials is not clearly measurable. However, when  $\varepsilon_{OC} = 0.5$ , the  $\varepsilon_{res}^1$  is larger in the samples with higher crystallinity, whereas the tendency is the opposite for  $\varepsilon_{res}^{2-100}$ .

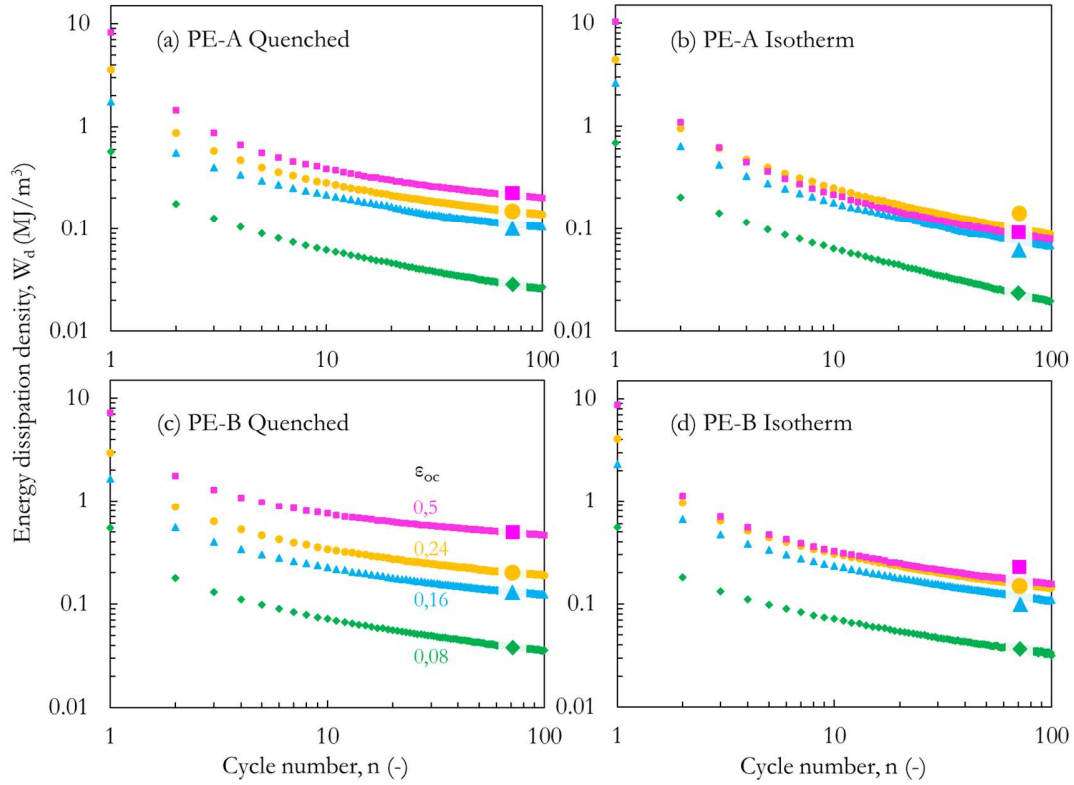
As a physical interpretation, when the imposed strain in the first cycle is high enough (i.e.  $\varepsilon_{OC} = 0.5$ ), The percolation of the crystalline network is most likely ruptured in numerous locations during the first cycle, and the majority of the residual strain can be considered as the irreversible/plastic one. The plasticity is thus stronger in the sample with higher crystallinity. However, the residual strain induced by the following cycles could be mainly attributed to the deformation in the amorphous phase. Therefore, the sample with lower crystallinity should have a macromolecular network more “inelastically” deformable due to the larger quantity of STs. However, in the lower strain range ( $\varepsilon_{OC} = 0.08, 0.16$  and  $0.24$ ), the crystalline network is not completely broken by the first loading, the following cycles may continually and slightly induce the residual strains in both the crystalline and amorphous phases, thus, the magnitudes of  $\varepsilon_{res}^1$  and  $\varepsilon_{res}^{2-100}$  are not clearly differed.



**Figure 6:** Change of the residual strains as a function of the prescribed maximum strain a) after the 1<sup>st</sup> cycle, b) from 2<sup>nd</sup> to 100<sup>th</sup> cycle

### Density of energy dissipated

The hysteresis area  $W_d^i$  represents the density of energy dissipated during the  $i^{\text{th}}$  loading-unloading cycle. The dissipated energy can be ascribed to several mechanisms: for example, the friction between the chains (viscosity), and potential plastic mechanisms such as lamellae fragmentation and/or cavitation[36,37]. The changes of  $W_d$  as a function of the cycle number are shown in **Figure 7**. Similar to the residual strain profiles,  $W_d^1$  is much higher than  $W_d^i$  (with  $i > 1$ ), confirming major differences between the first and the remaining cycles.



**Figure 7:** Density of energy dissipation  $W_d$  as a function of cycle number in PE samples with different applied oligo-cyclic strains  $\epsilon_{oc}$ : (a) PE-A Quenched; (b) PE-A Isothermal; (c) PE-B Quenched and (d) PE-B Isothermal

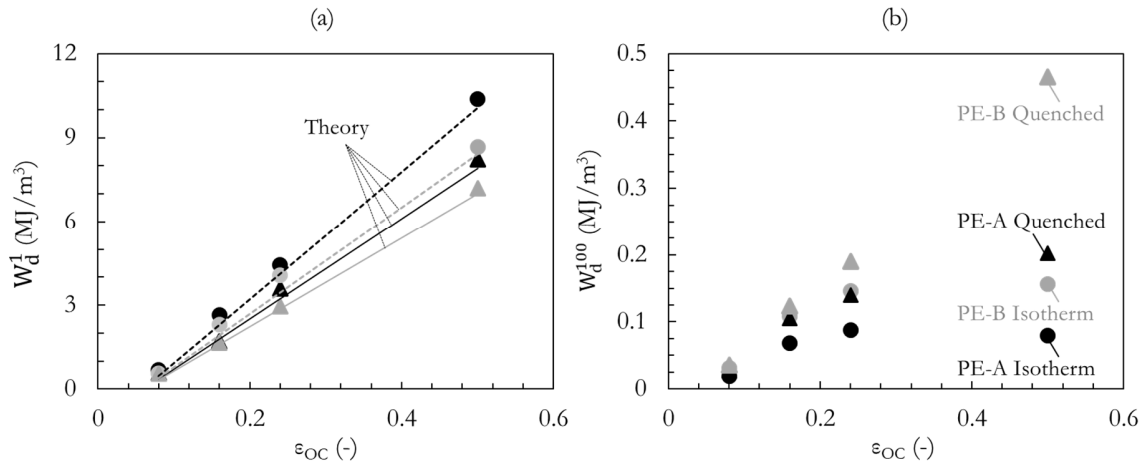
In **Figure 8a**, for a prescribed  $\epsilon_{oc}$ , the isothermal samples tend to dissipate the higher amount of energy per unit volume during the first cycle compared to the quenched ones. The relation between  $W_d^1$  and  $\epsilon_{oc}$  is quasi-linear. A simple elastoplastic theory (no hardening) can be used to

approximate and explain their relation, the approximated density of energy dissipation of the first cycle  $W_d^{1*}$  is calculated using **equation (7)**.

$$W_d^{1*} = \sigma_{th}(\varepsilon_{OC} - \varepsilon_{th}) \quad (7)$$

Where  $\sigma_{th}$  and  $\varepsilon_{th}$  are the threshold stress and strain corresponding to the initiation of plasticity (where the stress  $\sigma_{th}$  is set to be 80% of yield stress  $\sigma_y$  obtained by uniaxial tensile curves). The approximated relations are also displayed in **Figure 8a**. Such approximation seems adapted so that the first-cycle induced energy dissipation can be mainly ascribed to the plasticity, it is consistent with the conclusion obtained by residual strain analysis.

The consumed energy per cycle tends to be saturated and approximately identical from the 90<sup>th</sup>-100<sup>th</sup> cycle. The energy dissipation during a whole saturated cycle ( $W_d^{100}$ ) increases as the crystallinity decreases (or ST density increases). This relation is following the aforementioned assumption that the sample deformation remains primarily visco-elastic so that the energy dissipation is mainly caused by the additional viscous effect.  $W_d^{100}$  as a function of  $\varepsilon_{OC}$  of each sample is displayed in **Figure 8b**. The PE-B quenched samples exhibit the highest  $W_d^{100}$  value, indicating that this material has a superior energy dissipating capacity in the visco-elastic regime during cyclic deformation due to the more important friction (disentanglement) between the chains.



**Figure 8:** Dissipated energy density of PE samples at different applied oligo-cyclic strains a) after the first loading cycle ( $W_d^1$ ) and b) after the 100nd loading cycle ( $W_d^{100}$ )

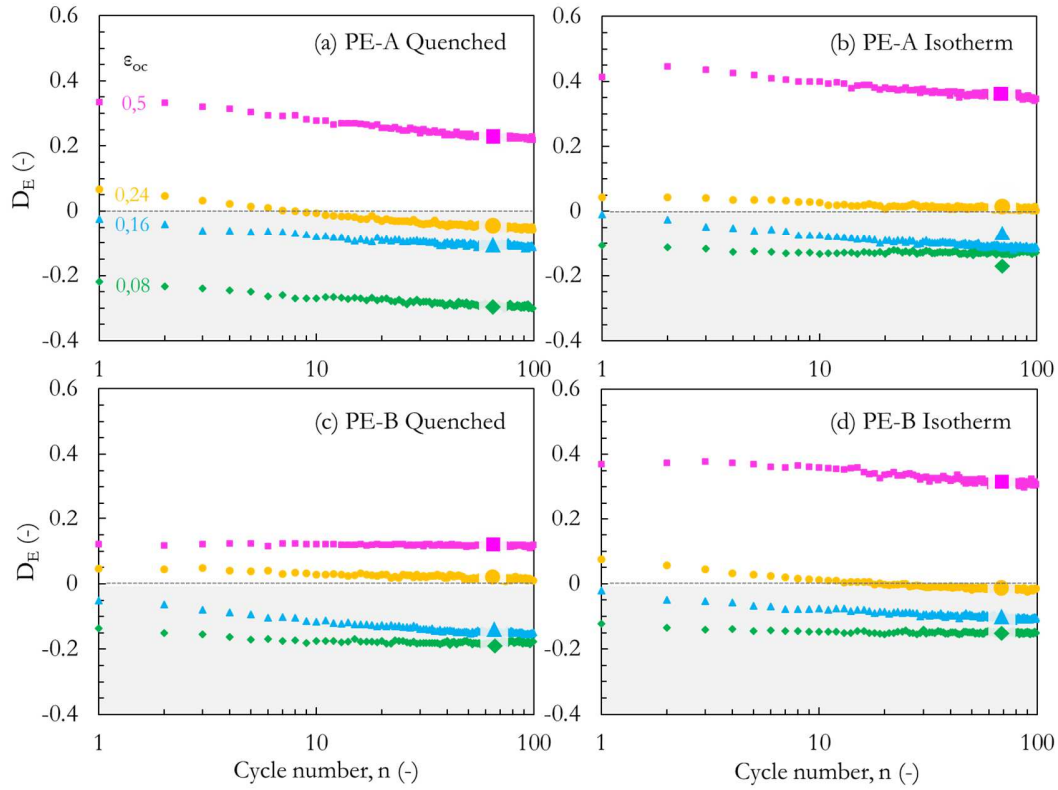


### *Change of modulus*

As evidenced in **Figure 1**, the experimental stress-strain curves are highly non-linear, therefore, the secant method is widely used to evaluate the modulus at the beginning of each cycle (zero-stress state) [24,26,27,29]. However, to our knowledge, this method has been yet applied to characterize the mixed-mode oligo-cyclic responses of polymers. Indeed, the secant modulus should be measured in the “cycle” with constant stress or strain range, so that the non-linearity effect can be ignored. Yet, by mixed-mode, neither the stress nor the strain amplitude is constant in different cycles. Therefore, an indicator  $D_E$  is proposed to evaluate the relative change of the secant modulus is defined as follow. The modulus of each following cycle (2<sup>nd</sup>-100<sup>th</sup> cycle) is compared with the modulus of the first cycle respectively.  $E^i$  and  $E_1^i$  are already defined in the last section “**Description of oligo-cyclic tensile behaviors**”:

$$D_E^{i-1} = 1 - E^i / E_1^i \quad (2 \leq i \leq 100) \quad (8)$$

Although this mechanical indicator  $D_E$  is commonly used to evaluate the material damage at macroscale in semi-crystalline polymers[19,24,35], which means that a positive indicator  $D_E^{i-1}$  reflecting the decrease of secant modulus is expected. In this work, the negative value is also observed under certain condition and suggest that the modulus of the  $i^{th}$  cycle is superior to the initial modulus and indicating a strengthening effect. The changes of  $D_E$  in all four PE samples are shown in **Figure 9** as a function of the cycle number. In each sample, it is found that  $D_E^1$  is negative (modulus increases) when  $\varepsilon_{OC} = 0.08$  and  $0.16$ . However,  $D_E^1$  becomes positive (modulus decreases) when  $\varepsilon_{OC} = 0.24$  and  $0.5$ . From negative to positive,  $D_E^1$  tends to be higher with increasing  $\varepsilon_{OC}$ . However, during the remaining cycles,  $D_E$  values decrease monotonically, indicating the growth of modulus with increasing cycles prior to progressively stabilizing.



**Figure 9:** Relative change of the secant modulus evaluated by  $D_E$  as a function of cycle number in PE samples with different applied oligo-cyclic strains: (a) PE-A Quenched; (b) PE-A Isothermal; (c) PE-B Quenched and (d) PE-B Isothermal

As mentioned in the introduction, Humbert *et al.* used a model to predict the relation between the elastic modulus and the microstructure of PE [9,12], introducing the use of a mechanical coupling parameter  $k$  (the ratio between the stresses concentrated in hard phase and soft phase,  $\sigma_h/\sigma_s$ ), which is physically related to the percolation level of the crystalline phase. The more percolated crystalline structure, the higher  $k$  value. The modulus is estimated using relation (9) where  $\varphi_h$  is the volume fraction of hard phase and  $E_s$  is the modulus of the soft phase.

$$E \approx kE_s \times \frac{\varphi_h}{1-\varphi_h} \quad (9)$$

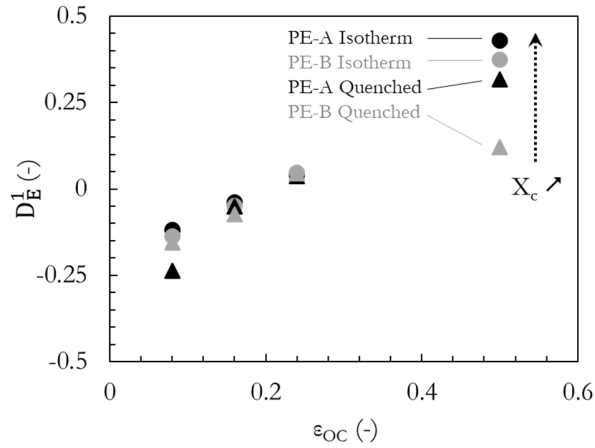
Neglecting the influence of the interphase, the hard and soft phases are simply considered as the crystalline and amorphous phases[12]. Within this theoretical framework, three microstructural parameters ( $k$ ,  $E_s$ , and  $\varphi_h$ ) can directly determine the macroscopic modulus. Therefore, the modulus change can be related to different mechanisms that modify these parameters.

Firstly, the plastic deformation can provoke the decrease of  $E_s$  and  $k$  due to the disentanglement/rupture of weak chains (they may also induce cavitation) and fragmentation of the percolated crystalline structure respectively. However, to interpret the initial negative values of  $D_E$  (the first-cycle induced increase of modulus) under certain loading conditions ( $\varepsilon_{OC} = 0.08$  and  $0.16$ ) and the decrease of  $D_E$  in the subsequent cycles (rest-cycles induced increase of modulus), the aforementioned competing mechanism should be mentioned again. As it compensates the stress softening, the orientation/alignment of chains and the stretching of stress transmitters can result in partial recrystallization, potentially increasing both the fraction of hard phase  $\varphi_h$ , amorphous modulus  $E_s$ . Also, the recrystallization may bridge the fragmented crystalline network and increase the  $k$  value.

Regarding  $D_E^1$ , with increasing  $\varepsilon_{OC}$ , the effect of “structural deteriorations” on the modulus becomes more and more dominant compared to the recrystallization at the zero-stress state. However, differing from the first cycle, the chain orientation/recrystallization governs the modulus of the consecutive cycles.

**Figure 10** shows that the values of  $D_E^1$  in all four samples increase as a function of  $\varepsilon_{OC}$ . For the relatively lower  $\varepsilon_{OC}$  (0.08/0.16/0.24), the dependency of  $D_E^1$  on the microstructural properties remains unclear. However, when  $\varepsilon_{OC} = 0.5$ ,  $D_E^1$  value becomes higher as the sample crystallinity increases or ST density decreases. Indeed, the sample with higher crystallinity should have a more percolated crystalline structure, when the imposed strain is high enough, the crystalline network is nearly all broken, so it shows a more important loss of percolation,  $k$  decreases from a very high value to almost 1[12]. Additionally, the lower ST density promotes the disentanglement and chain rupture that could also induce a more important loss of amorphous modulus.

Physically, it seems reasonable that under a given loading condition, the recrystallization at zero-stress state should be more effective in the quenched samples during the following cycles due to their larger number of STs and higher residual strain in the amorphous phase (the chains are more stretched). However, the relation between the following-cycles-induced strengthening effect (decrease of  $D_E$  as a function of cycle number) and pristine PE microstructure is not clear. It may be interpreted as the complicated relations between the orientation of chains/recrystallization and the relative evolutions of the three parameters ( $k$ ,  $E_s$ , and  $\varphi_h$ ).



**Figure 10:**  $D_E^1$  of PE samples at different applied oligo-cyclic strains  $\varepsilon_{OC}$

### *Physical scenario*

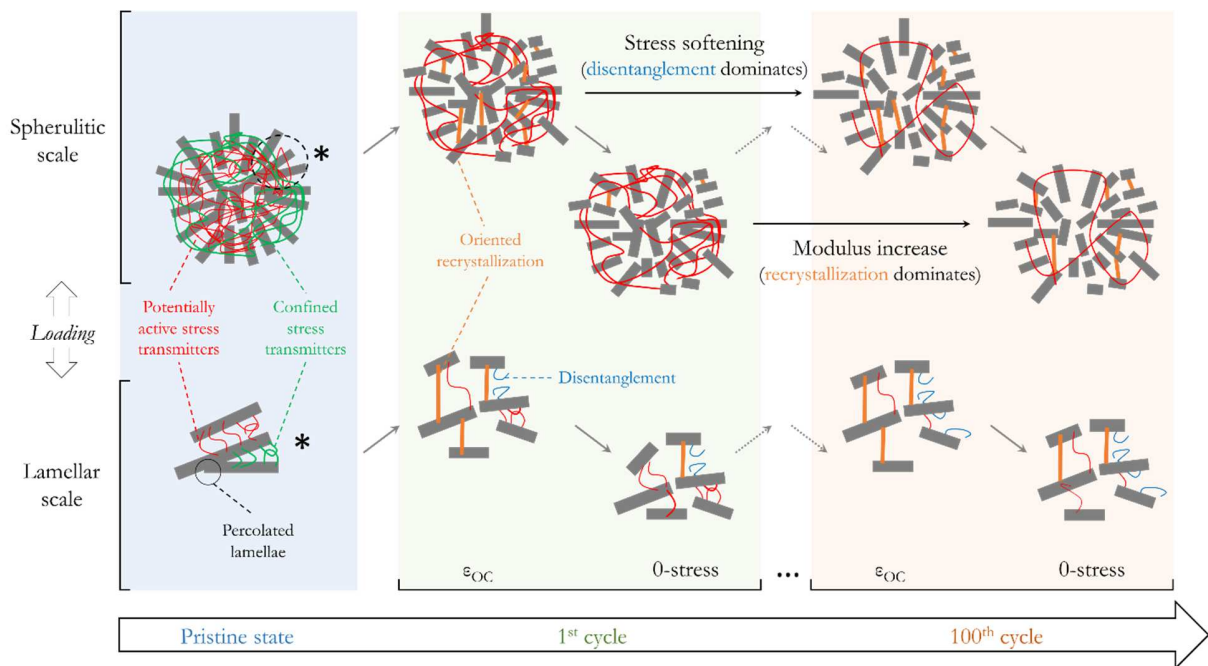
According to all the aforementioned changes of the mechanical indicators, and the microscopic interpretations, a physical scenario describing the microstructural deformation mechanisms is proposed. The schematic is shown in **Figure 11**. It worth noting that the cavitation is not mentioned here, indeed, the origin of this phenomenon is related to the disentanglement/rupture of chains[35,41].

The pristine HDPE microstructure is composed of spherulites with radially oriented crystalline lamellae within the amorphous matrix. Several lamellae are percolated. Considering the  $\varepsilon_{OC}$  range under investigation, STs have different resistance against the deformation. The “strong” tie molecules can continually act without rupture, whereas the “weak” entanglement or loops, can be unfolded due to the slip of chains.

During the first loading, the very weak active entanglement/loops slip and unfold even at low macroscopic strain, followed by the elimination of percolation and shearing of crystals when the imposed strain reaches the plastic regime. The higher imposed strain, the more complete breakage of the crystalline network and disentanglement. In particular, the elimination of crystalline percolation tends to activate the confined STs that do not transmit the stress during the first cycle due to the percolated crystalline structure. The tie molecules with high deformation capacity can be aligned and may lead to the recrystallization, which can partially disappear during the unloading. Comparing the pristine (undeformed) state and the 1<sup>st</sup> zero-stress

state, the orientation of chains/recrystallization competes with the structural deteriorations (disentanglement/rupture of STs and breakage of the crystalline network): in the low strain range ( $\epsilon_{OC} = 0.08$  and  $0.16$ ), the recrystallization dominates and results in a higher apparent modulus whereas in the high strain range ( $\epsilon_{OC} = 0.24$  and  $0.5$ ), the structural deteriorations prevail, the modulus after the first cycle is inferior to the initial one.

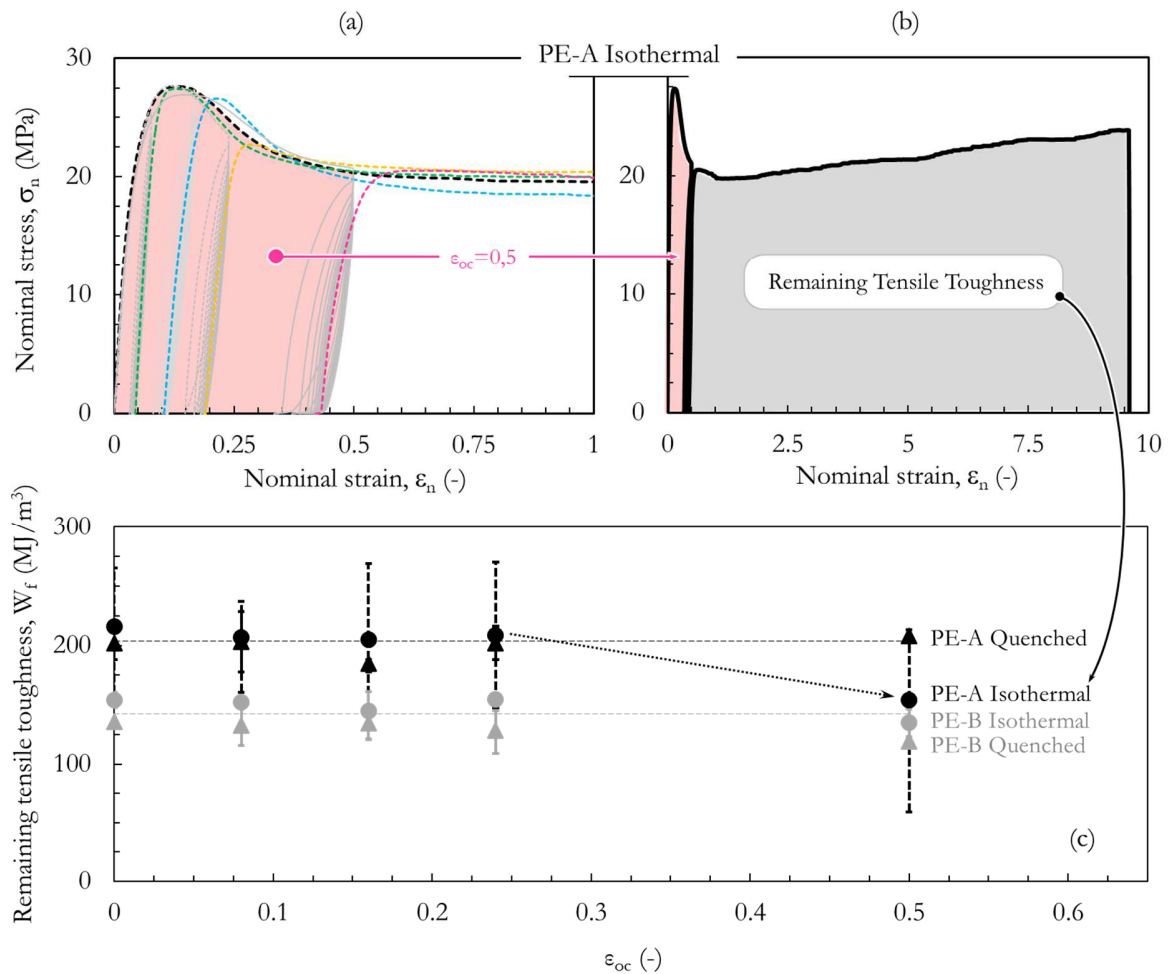
During the following cycles, the main deformation is ascribed to the amorphous phase. At the maximum-strain state, the level of chain orientation/recrystallization does not change with increasing cycles due to the constant imposed strain ( $\epsilon_{OC}$ ), therefore, the progressive stress softening is governed by the disentanglement occurring in the pre-confined regions and successive breakage of the crystalline network (if it is not completely broken by the first loading). With increasing  $\epsilon_{OC}$ , the first cycle can induce more important structural deteriorations, which means that fewer STs that can be potentially disentangled, and less crystalline percolation remains after the first cycle. Regarding the zero-stress state, due to the accumulation of residual strain, the effect of recrystallization increases crucially as a function of cycle number. And the successive structural deteriorations cannot counteract this effect on modulus, the modulus thus tends to be a higher value with increasing cycles.



**Figure 11:** The physical scenario of HDPE under mixed-mode oligo-cyclic loading conditions (spherulitic scale and lamellar scale)

### ***Post-cyclic tensile behavior***

Uniaxial tension until failure was achieved after a series of 10 cycles as most of the microstructure changes were proven to occur within this period. All the PE samples show the *Mullins-like* behavior, as an example, the post-cyclic tensile behaviors of the PE-A isothermal sample are superimposed in **Figure 12a**: the post-cyclic curves tend to match the pristine one for strain larger than the ones endured during the oligo-cyclic test ( $\epsilon > \epsilon_{OC}$ ), suggesting that all the changes occurring in the following cycles (2<sup>nd</sup>-100<sup>th</sup>) have a limited influence on the overall short-term mechanical performance. However, regarding fracture performance, the remaining toughness,  $W_f$  was then estimated as indicated in **Figure 12b**, where the multi-step stress-strain profile of PE-A isothermal sample with  $\epsilon_{OC} = 0.5$  is displayed as an example. Within this method, the first-cycle-induced damage (related to the loss of tensile toughness) is also taken into account. **Figure 12c** gives the relation between  $W_f$  and  $\epsilon_{OC}$ .  $W_f$  for  $\epsilon_{OC} = 0$  naturally corresponds to the tensile toughness of the pristine PE samples, and the error bar indicates the difference between the maximum/minimum values. For a given grade (PE-A or PE-B), the value  $W_f$  does not significantly differ by the heat treatments, the isothermal samples require just slightly more energy until fracture (10% for PE-B and 8% for PE-A). However, between PE-A and PE-B samples, the difference is important (about 30%), which means that pristine  $W_f$  shows a more crucial dependency on the molecular characteristics than thermal treatment. Also, it is found that the  $W_f$  values of all four samples remain almost constant indicating that the change of energy required to failure is not measurable when  $\epsilon_{OC}$  does not exceed 0.24. However, when  $\epsilon_{OC} = 0.5$ , in the PE-A isothermal sample, which has the lowest ST density and highest crystallinity, the  $W_f$  decreases about 25%. This result tends to confirm that the resistance to failure decreases so that the mechanical damage should be relatively more important in PE-A isothermal sample than others.



**Figure 12:** a) Mullins-like behavior of PE-A isothermal sample; b) Definition of remaining tensile toughness (ex. PE A isothermal sample, with  $\epsilon_{oc}=0.5$ ), c) Remaining tensile toughness in different samples as function  $\epsilon_{oc}$

## CONCLUSION

The performance of varying HDPE samples under oligo-cyclic loading conditions was evaluated and a physical scenario is proposed for the interpretation of the measured macro-micro correlations: the structural deteriorations, such as chain rupture/disentanglement and breakage of lamellae/percolation are mainly induced by the first cycle. These structural deteriorations can induce the loss of modulus and compete with the orientation of stress transmitters/recrystallization associated with the strengthening effect. When the imposed cyclic

strain is high enough ( $\epsilon_{OC}=0.5$ ), during the following cycles, the structural deteriorations are mainly assigned to the pre-confined disentanglement in the amorphous phase, dominating the stress change (decrease) at maximum strain state. However, at the zero-stress state, the chain orientation/recrystallization plays a more crucial role as illustrated by the increase of apparent modulus. Noticeably, the mechanical losses during the cyclic deformation including the stress softening and the decrease of the apparent modulus are more crucial in the isothermal samples, especially the PE-A grade, having higher crystallinity and lower ST density. However, it seems that the microstructural/mechanical changes during the following cycles have a limited effect on the further tensile loading path. However, for the tensile toughness, considering the effect of the complete loading process including the first cycle, there is a loss in the PE-A isothermal sample with the “extreme” microstructural parameters.

Compared to the other HDPE, the one for PE-100 pipeline (PE-B in this study) has relatively lower yield stress, modulus and tensile toughness. However, this material shows a better resistance against the mechanical losses under oligo-cyclic loading conditions. Even when  $\epsilon_{OC} = 0.5$ , neither the quenched nor the isothermal sample is significantly damaged after the tests. Moreover, the more important energy dissipation at the end of oligo-cyclic tests is observed in PE-B samples especially the quenched one. It means that PE-B also has a more performant capacity to continually dissipate the seismic energy, due to the limited damage, it means that this material is more performant to consume the energy and protect the whole architecture.

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