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1 Highlights

2 Multiscalar DIC Analyses of Granular String Under Stretch Reveal Non-standard Deforma-

3 tion Mechanisms

- ⁴ Nima Nejadsadeghi, Michele De Angelo, Anil Misra, François Hild
- DIC analyses with a suite of multiscalar kinematic assumptions are applied to measure dis-
- ⁶ placement fields in a grain-string under extension
- Analyses reveal non-standard deformation mechanisms that underlie the emergent macroscale
- ⁸ response
- Multiscale DIC analyses can elucidate otherwise latent mechanisms that indicate the need
 for refined kinematic descriptions

Multiscalar DIC Analyses of Granular String Under Stretch Reveal Non-standard Deformation Mechanisms

¹² Nima Nejadsadeghi^{*a*}, Michele De Angelo^{*b,c*}, Anil Misra^{*a,b*} and François Hild^{*d,**}

^aMechanical Engineering Department, University of Kansas, 1530 W 15th Street, Learned Hall, Lawrence, KS
 66047-7609, USA

¹⁵ ^bCivil, Environmental and Architectural Engineering Department, University of Kansas, 1530 W 15th Street,

16 Learned Hall, Lawrence, KS 66047-7609, USA

^cDipartimento di Ingegneria Civile, Edile-Architettura e Ambientale, Università degli Studi dell'Aquila, Via Giovanni
 Gronchi 18 - Zona industriale di Pile, 67100 L'Aquila, Italy

¹⁹ ^dUniversité Paris-Saclay, ENS Paris-Saclay, CNRS, LMT - Laboratoire de Mécanique et Technologie, 4 avenue des ²⁰ sciences, 91190 Gif-sur-Yvette, France

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ABSTRACT

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mation underlying the macroscale response. Multiscalar digital image correlation (DIC) can be employed in these experiments to expose the micromechanisms that lead to the emergent macroscale behavior. A multiscalar DIC methodology is applied to study the extensional behavior of a particular granular string with chiral properties. DIC was performed at macroscale in which the underlying microstructure is overlooked and the construct is treated as a continuum bar. Further, the underlying microstructure was exploited in a microscale DIC analysis to expose the rich deformation regimes. In addition, mesoscale analysis was carried out to extract the rigid body motions that characterize a granular material system. These analyses were performed for two types of surface patterns and measurement uncertainties were quantified. The microscale results show that the grain string can be treated as a granular material composed of a set of nearly rigid grains that store elastic energy through intergranular mechanisms. Further, the results reveal non-standard deformation mechanisms that underlie the chiral granular string subjected to extension. These mechanisms include grain rotations that are coherent as opposed to gear-like grain rotation. More notably, grain transverse displacements are observed that are controlled by the behavior of the grain interconnections.

Mechanical tests designed to measure classical behavior do not provide infor-

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francois.hild@ens-paris-saclay.fr (F. Hild); francois.hild@ens-paris-saclay.fr (F. Hild)
ORCID(s): 0000-0002-2890-6483 (N. Nejadsadeghi); 0000-0002-4216-7525 (M. De Angelo);

0000-0002-9761-2358 (A. Misra); 0000-0001-5553-0066 (F. Hild)

^{*}Corresponding author.

45 1. Introduction

Emergent response at the macroscale not only depends upon the microstructure, but also the mi-46 cromechanics of interactions between micro-units. In many materials, these micromechanisms are 47 latent and are not easily discoverable. To illustrate this phenomena, a granular microstructure was 48 designed. This structure consists of a string of connected grains, whose connections are endowed 49 with a particular behavior that couples tangential and normal grain-pair responses (Giorgio et al., 50 2020; Misra et al., 2020), thereby resulting in a chiral behavior that is not invariant to coordinate 51 inversion. In other words, the mirror image of the geometry cannot be mapped onto itself by mere 52 translations and rotations. The dynamics of chiral lattices has extensively been studied in the litera-53 ture due to their peculiar phononic properties. In contradistinction to the chirality observed in wave 54 transmission, as in optics, static deformation of solids may also exhibit a chiral behavior. A number 55 of works have described chiral behaviors of lattice-like constructs by direct simulation of the mi-56 crostructures using classical continuum theories (Alderson et al., 2010; Dirrenberger et al., 2011). 57 On the other hand, experimental results have suggested the use of generalized continuum theories 58 to correctly predict chirality. This observation has led several researchers to describe the complex 59 deformation of chiral materials adopting generalized continuum theories (e.g., see (Spadoni et al., 60 2009; Liu et al., 2011, 2012; Chen et al., 2014; Frenzel et al., 2017; Duan et al., 2018; Poncelet 61 et al., 2018; Reasa and Lakes, 2019; Wu et al., 2019; Biswas et al., 2020; Chen et al., 2020) to name 62 a few). 63

In this work, the studied granular string design was inspired by micromorphic models of granular materials based upon granular micromechanics (Nejadsadeghi and Misra, 2020). Metamaterials, whose behavior is expected to be tailored to achieve some desired function, can benefit from rational design approach based upon predictive theories (dell'Isola et al., 2020). In this regard, the recent design and fabrication of pantographic metamaterials based upon higher gradient theories, and chiral granular materials are exemplar of such rational design (dell'Isola et al., 2019; Misra et al., 2020). These recent works have shown that theoretical methods using approaches such as

generalized continuum theories offer efficient ways for rational design of metamaterials (as op-71 posed to trial and error or other *ad hoc* approaches, see for example the reviews by Surjadi et al. 72 (2019); Barchiesi et al. (2019)). These methods have the ability to describe how to harness stored 73 elastic energy in internal deformation modes, including second and higher gradients modes (Al-74 ibert et al., 2003; Nejadsadeghi and Misra, 2020), grain rotations/spins (Poorsolhjouy and Misra, 75 2019), and non-standard coupling of shear and rotations (De Angelo et al., 2020; Barchiesi et al., 76 2021). As a consequence, these theories predict not only the emergent behavior at the macroscale, 77 such as negative Poisson's effect, chirality, inherent anisotropy, varying Poynting effects (Misra 78 et al., 2018; Auger et al., 2021) and couplings between different macrophenomena (Poncelet et al., 79 2018), but also their microscale origins. In the latter study, digital image correlation (DIC) was 80 useful in allowing the authors to prove the breakdown of Cauchy elasticity in the analysis of non-81 centro-symmetric lattices. 82

The significance of grain-scale measurements has been recognized early in granular mechan-83 ics (Drescher and de Josselin de Jong, 1972) using the so-called "Schneebeli material" (Schneebeli, 84 1956). Among the earliest measurements of the grain rigid body kinematics in deforming granular 85 packs incorporating grain displacements and rotations was that reported in 1997 (Misra and Jiang, 86 1997). More recently, DIC, which was originally introduced to measure the deformation of con-87 tinuum media (Sutton, 2013), has also been considered when seeking kinematic fields in granular 88 materials. DIC was used to quantify shear band formation and growth in sands (Rechenmacher, 89 2006). The author used a commercial code without accounting for the discrete behavior of grains. 90 Similarly, Hall et al. (2010) implemented subset-based DIC, which was not adapted to the underly-91 ing grains, to study localization phenomena in granular materials. Larsson et al. (2020) measured 92 the flow of granular materials for validation purposes of a discrete model. Conversely, Richefeu 93 et al. (2012); Richefeu and Combe (2020) tracked the rigid body motions of individual grains with 94 square and other shaped domains inside each grain to study shear experiments. Further, Bruno 95 et al. (2016) used a similar approach to analyze a Flamant experiment on a granular material. 96

Multiscale DIC can be implemented to reveal micromechanisms that may not be clearly iden-97 tified in mechanical tests. For example, the recent work on multiscale DIC application to panto-98 graphic structures has revealed additional relative shear deformation modes at the hinges besides 99 providing a detailed kinematic description of fiber bending (Hild et al., 2021). In the present paper, 100 a similar type of multiscale analyses is performed on chiral granular strings subjected to extension. 101 The granular string sample in which the grains were interconnected using a particular "duoske-102 lion" bridge was 3D printed. Two different surface preparations for DIC were applied on the two 103 opposite surfaces of the specimen. The specimen was then subjected to two sequential extension 104 tests, and images acquired at different loading steps for each surface. DIC analyses were then per-105 formed at 3 scales: i) macroscale, in which the granular string was treated as a continuum bar; ii) 106 microscale, in which all the detailed microstructural characteristics were fully represented; and iii) 107 mesoscale in which the effort was devoted to obtaining rigid body motions pertinent to granular 108 material systems. Uncertainties associated with the DIC results were analyzed and quantified. The 109 results of DIC at the 3 spatial scales were described with particular attention to the non-standard 110 deformations mechanisms revealed through such analyses. 111

112 2. Sample and Experiment

113 2.1. Sample Preparation

The prototypical granular string made of 11 grains connected via the conceived connection 114 was rendered using the CAD software SolidWorks (Dassault Systems SolidWorks Corporation, 115 Waltham, MA, USA). The 11-grain string is terminated at both ends with a flat extension designed 116 for gripping the specimen in the testing machine. The dimensions of the features comprising the 117 1D granular string are given in Figure 1 for the specimen discussed in this paper. The out-of-plane 118 thickness of the granular string was specified to be 4 mm so as to prevent warpage of the sample 119 in the fabrication process, while also admitting a 2D planar analysis of deformations (Figure 2(a)). 120 The CAD model was fabricated using a "Durable Resin" monomer in the Form 3 3D printer (Form-121 Labs, USA). The Form 3 3D printer is based upon Low Force Stereolithography (LFS)TM, which 122

¹²³ photo-polymerizes the resin into the desired shape. The *XY* resolution and layer thickness was ¹²⁴ $\approx 50 \,\mu\text{m}$ such that sub-millimeter features were achieved with good precision.



Geometrical parameter	Dimension (mm)
I	10.5 ± 0.1
r	2.5 ± 0.1
t	0.6 ± 0.1
b	1.0 ± 0.1

Figure 1: Geometrical parameters corresponding to the proposed grain-pair interaction model

125 2.2. Experiment

The fabricated granular string was tested under extension in an ElectroForce 3200 (TA Instru-126 ments) mechanical testing machine equipped with a load cell of capacity ± 450 N, a measurement 127 uncertainty of 0.1% and precision of 0.001 N, and a displacement transducer with a range ± 6.5 mm, 128 a measurement uncertainty of 0.1% and precision 0.001 mm. Figure 2(b) shows the experimental 129 setup indicating the clamped boundary conditions, such that on the top grain of the string a vertical 130 displacement is applied while the lateral displacement and rotations are constrained, and on the 131 bottom grain both the vertical and lateral displacements as well as the rotations are constrained. 132 The granular string was stretched at a rate of 0.2 mm/s up to a total extension of 10 mm. 133





Table 1 lists the hardware parameters of the optical setup. To facilitate data extraction from images, a white background was used in the case of dotted pattern, while a red background was adopted in the case of speckle pattern. For the purpose of image acquisition in both cases, soft boxes were used to generate diffuse lighting (Figure 2(c)).

Table 1

DIC hardware parameters

Camera	NIKON D300	
Definition	4288×2848 pixels (RGB image)	
Gray Levels amplitude	8 bits	
Lens	AF-S VR Micro-Nikkor 105mm f/2.8G ED	
Aperture	f /4.5	
Field of view	$111 \times 74 \text{ mm}^2$	
Image scale	60 μm/px (B&W image)	
Stand-off distance	$\approx 90 \text{ cm}$	
Image acquisition rate	1/5-1/3 fps	
Exposure time	20 ms	
Patterning technique	Sprayed black paint or black dots	
Pattern feature size	3 px (speckle pattern) or 31 px (dots)	

The extension experiment was repeated twice for the same specimen to acquire images for the two surface patterns. In the first case, two dots were manually drawn on each grain surface (Appendix A). In the second case, a speckle pattern was spray painted upon the surface. While the dotted pattern is a legacy approach to determine the rigid body motion of the grains (Appendix A), the speckle pattern was expected to provide a richer outcome of kinematics at various spatial scales, and detailed deformation behavior of the connections. Figure 3 gives the initial (*i.e.*, undeformed) configuration and all deformed configurations under step-wise extension of the speckled surface.



Figure 3: Cropped gray level images of the extensional test with speckled grains

3. Multiscale DIC Strategies

With the aim of illustrating the role of micromechanisms, and power of DIC in extracting the 146 details of the deformation behavior, the elongation experiment was analyzed at multiple spatial 147 scales using a suite of different kinematic assumptions. To this end, the granular string may be 148 represented in 3 different ways: i) as a continuous bar devoid of structure when the observation is 149 at a coarse or the macroscale; ii) as a structured bar in which the geometrical features, such as beam-150 like elements connecting bulky circular grains, are observable, defined here as the microscale; and 151 iii) as a set of rigid interconnected grains whose deformation can be represented by following the 152 grain motions, defined as the mesoscale. 153

154 **3.1. Kinematic Bases**

For macroscale analyses, the grain-string structure is taken as a continuum bar of homogeneous cross-section, such that no distinction was made between the solid phase and surrounding air. The macroscale assumption was deemed to represent a very small finite volume element of a large body analyzed at a scale in which the grains were indistinguishable. Finite element discretizations of the

assumed bar were considered with 3-noded traingular (T3) elements. The only requirement was 159 that the structured meshes encompass the whole solid phase (Figures 5 and 16). Modeling of the 160 behavior of granular materials that emerges at the macroscale are known to be challenging due 161 to the effects of micromechanisms. An analysis at the macroscale without direct representation 162 of the microstructure could aid in revealing these emergent effects. Such results could be useful 163 for evaluation of macroscopic continuum models developed to describe the deformation of such 164 granular materials (Rechenmacher, 2006; Vardoulakis, 2019; Larsson et al., 2020). An alternative 165 application, and one that is particularly useful for the multiscalar efforts described here, is to uti-166 lize the macroscale result to initialize more refined DIC analyses with the measured displacement 167 field at the macroscale. Such strategy was used herein and enabled the number of iterations to be 168 significantly lowered for the microscale and mesoscale analyses. 169

Discrete models of granular materials have been formulated in terms of rigid body motions of 170 the grains given that the deformations are often localized in very small regions where the grains 171 connect with each other (see, for example, the widely used discrete element models (Cundall and 172 Strack, 1979; Jean and Moreau, 1987; Holtzman et al., 2010)). Irrespective of the deformation lo-173 cation, the discrete view that considers grain-displacements and grain-rotation about their barycen-174 ters, presents an effective model for describing the collective deformation of large collections of 175 deformable grains (Turco et al., 2019). Therefore, the determination of the grain motions is of wide 176 interest both from the viewpoint of validation of discrete models as well as for the development 177 of plausible grain-interactions relationships relevant to these models as well as those that aim to 178 link grain-scale to macroscale continua (Nejadsadeghi and Misra, 2020). From the discrete model 179 (defined here as the mesoscale) viewpoint, the deformation of the string can be represented by 180 following the motions of the set of grains. 181

In this work, mesoscale analyses were performed in two ways. First, mesoscale analyses (*i.e.*, at the scale of each grain) were conducted with 3 degrees of freedom (DOFs) per grain (*i.e.*, in-plane translations of the grain center, and corresponding rigid body rotation) for both surface prepa-

rations. In all these cases, circular regions of interest (ROIs) were considered. Second, for the speckled surface, 6 DOFs per grain were also analyzed (*i.e.*, in-plane translations of the grain center, and corresponding mean in-plane deformation gradient). The 6 DOFs analysis was feasible for the speckled surface due to the availability of information over the whole grain surface as opposed to the case of dotted surface. Such an analysis can aid in validating the mesoscale treatment of the granular string using a discrete model by evaluating the state of deformation within the grain.

At the microscale of the granular string, the deformation was analyzed for the detailed geo-191 metrical structure for the speckled surface. A preliminary step was required to construct meshes 192 that were consistent with the underlying mesostructure (Figures 4(left) and 18(right)). To this end, 193 a so-called backtracking procedure was utilized (dell'Isola et al., 2019; Hild et al., 2021). From 194 the knowledge of the nominal geometry, a mask was created (Figure 4(center)) and a mesh was 195 generated using Gmsh (Geuzaine and Remacle, 2009). The two images were then registered via 196 regularized DIC with an auxiliary mesh corresponding to the first discretization at the macroscale 197 (Figure 5(left)). At the end of the procedure, the mesh was backtracked by using the measured 198 displacement field (Figure 4(right)). This operation was also applied to the center of each grain 199 that was initially determined in the nominal configuration. For the dotted surface, discrete analyses 200 were performed in which 4 T3 elements were considered with one node for each element coinci-201 dent with the dot center (Figure 18). Microscale analyses are particularly useful for understanding 202 the behavior of grain interconnections. Needless to say, the microscale analysis is limited by the 203 resolution of the image and may not reveal all the details of the interconnection deformation. How-204 ever, the intent in this particular work was not resolve how every element of the interconnections 205 deformed but to reveal which aspect of the interconnections underwent the deformation. The aim 206 of this analysis was to understand how the geometry of the interconnections could be modified to 207 modulate the behavior of the overall system. 208



Figure 4: Backtracked mesh for microscale DIC of the speckled surface. The image of the reference configuration (left) was registered with that of the nominal configuration (middle) using the auxiliary mesh shown in blue. The backtracked mesh was laid over the reference image (right). Mesh details are shown for one of the grains. The grain centers (red crosses) are also depicted

For the results described in this paper, the DIC analyses were performed using the Correli 3.0 framework (Leclerc et al., 2015) in which Hencky-elastic regularization was implemented (Table 2). The DIC framework followed herein is summarized in Appendix B.

Table 2

DIC analysis parameters

DIC software	Correli 3.0 (Leclerc et al., 2015)	
Image filtering	none	
Element length (mean)	see text	
Shape functions	linear (T3)	
Mesh	see Figures 5, 16, 4 and 18	
Matching criterion	penalized sum of squared differences	
Regularization length	100 px	
Grain radius	50 px	
Shape functions	3 or 6 (see text)	
Matching criterion	sum of squared differences	
Interpolant	cubic	
Displacement noise-floor	see Table 3	

212 **3.2.** Uncertainty Quantification

The displacement noise-floor is an important information for assessing the performance of the 213 selected DIC routine (iDICs et al., 2018; ASD-STAN prEN 4861 P1, 2018). In the present case, 214 there was only one image of the reference configuration. Fortunately, the sample structure cov-215 ered a considerably small region in comparison to the full definition of the images. Consequently, 216 there were a large number of pixels in the background that did not cover the structures of interest. 217 Assuming that the camera remained stationary during the experiment as per the experimental pro-218 tocol, it was possible to crop a large ROI of the background from all the images. All independent 219 ROI differences were computed and acquisition noise was estimated from the average variance of 220 each pixel of the ROI. The square root of the variance is then equal to $\sqrt{2\sigma}$, where σ denotes the 221 standard deviation of acquisition noise corrupting *each* image. For the two experiments reported 222 in this work, the lighting conditions and backgrounds were not identical. As expected, the stan-223

²²⁴ dard deviations σ were different as well, namely, for the dotted surface $\sqrt{2}\sigma = 1.2$ gray level, and ²²⁵ $\sqrt{2}\sigma = 2.4$ gray levels for the speckle pattern.

Using the above estimate of acquisition noise, uncertainty quantification was performed by 226 generating a series of 100 pictures in which Gaussian white noise was added with a standard de-227 viation of $\sqrt{2\sigma}$ to the image of the reference configuration. DIC analyses were then run on these 228 image series. The temporal standard deviation was then evaluated for each DOF v_i considered in 229 the DIC analysis (Appendix B). For finite element discretizations, the mean variance was assessed 230 per direction, and then its square root was obtained to assess the standard displacement uncertainty. 231 Table 3 gathers the results of this uncertainty quantification. Since one of the surfaces had only 232 dots with no speckle pattern, the regularization length was increased to enable for a good conver-233 gence of DIC analyses. In the present case, $\ell_{reg} = 100 \text{ px}$ for all meshes. Because the regularization 234 length was greater than the element sizes considered herein, the uncertainties were controlled by 235 the former. No dependence on mesh size was observed for both macroscale and microscale analy-236 ses. In addition, very low levels of uncertainties were achieved. In contrast, the discrete analyses 237 around each black dot concerned very small areas for which the standard displacement uncertainty 238 increased in comparison to continuous meshes. Last, for the analyses at the grain level with 3 or 6 230 DOFs led to displacement uncertainties of the same order of magnitude than those observed with 240 continuous meshes. The standard uncertainty levels for the last degrees of freedom referring to the 241 rotation or the deformation gradient were rather small. This is due to the fact that the grain radius 242 was equal to 50 px. 243

Table 3

Standard uncertainties of each DOF for the analyses at three different scales and for the two surface preparations. See text for the description of the kinematics associated with 3 and 6 DOFs

DIC analysis	Speckle	Dots
Macroscopic scale	0.004 px 0.004 px	0.004 px 0.003 px
Microscopic scale	0.007 px 0.007 px	0.010 px 0.008 px
Discrete		0.013 px 0.013 px
3 DOFs	0.003 px 0.003 px 9×10^{-5}	0.002 px 0.002 px 6×10^{-5}
6 DOFS	0.003 px 0.003 px 1.1×10^{-4}	

4. Probing the Three Different Kinematic Descriptions

The gray level residuals are first analyzed as a way of probing the three kinematic bases before discussing the findings from the DIC results. The applicability of the proposed multiscalar registrations is evaluated by investigating the evolution of gray level residuals as the loading progresses for each of the three kinematic bases. The gray level residuals indicate the suitability of each basis.

249 **4.1. Macroscale analyses**

Figure 5 shows the three meshes considered for the macroscale analyses. To characterize the 250 mesh density, the element size was defined as the square root of the mean element surface. For the 251 speckled surface (Figure 5), the three element sizes were 79, 39, and 22 px, respectively. These 252 meshes were used to perform a convergence analysis by running DIC analyses with each mesh on 253 the image series. Two sets of results are reported. First, so called incremental DIC was conducted 254 in which the reference configuration of a new calculation corresponds to the previous deformed 255 configuration. This is possible since the mesh was gradually deformed according to the measured 256 incremental displacement. Such analysis enables very large displacement amplitudes to be mea-257 sured, and the Lagrangian displacements are obtained by adding all incremental contributions. 258 However, it leads to an accumulation of DIC measurement errors. Once this first analysis was 259

²⁶⁰ completed, a second one (referred to as direct) was carried out in which the reference picture was ²⁶¹ always the initial one, and the initial guess for any time step was that provided by the incremental ²⁶² procedure. In the following, RMS residuals ρ_c (see Appendix B) are analyzed for any mesh and ²⁶³ both DIC routes.



Figure 5: Three meshes used for the DIC analyses at the macroscale of the speckled surface

Figure 6 shows the RMS residuals for the 7 analyzed pictures of the speckled surface with the 264 three different meshes. For the coarsest mesh (Figure 6(a)), no significant gain is observed when 265 direct and incremental analyses were performed. A small decrease is observed when finer meshes 266 were selected (Figure 6(b-c)). As the meshes were refined, the RMS residuals decreased a bit. 267 However, they could not be significantly lowered. This is due to the fact that the regularization 268 length was larger than the element size of any of the considered meshes. Last, it is worth noting 269 that the RMS residuals are significantly larger (*i.e.*, between 2 and 7 times) than the level associated 270 with acquisition noise (*i.e.*, 2.4 gray levels in the present case). Further, they degrade as the applied 271 displacement amplitude increased. This trend is not a shortcoming of the registration procedure 272 itself but is rather related to the fact that the selected kinematic basis was no longer able to fully 273





Figure 6: RMS gray level residuals for the three meshes of the speckled surface (Figure 5) and the two DIC routes

From the convergence analysis, it is concluded that any of the selected meshes is able to give acceptable results for initialization purposes. No significant gain was observed when refining the discretization because of the large regularization *and* the fact that the geometry was not adapted to the underlying microstructure. This observation also applies to the results obtained with the dotted surface (Figure 17).

280 4.2. Mesoscale analyses

The following analyses were performed to extract the kinematic information at the grain-scale. Circular ROIs were considered for each grain and DIC analyses were run independently. For each grain, 3 DOFs were considered (*i.e.*, 2 rigid body translations and 1 rigid body rotation). Figure 7(a) shows the change of RMS residuals for each grain and each image of the considered series. The RMS residuals vary from 1 to less than 2 times the level associated with acquisition noise, which is very low. These results prove that the selected kinematic basis is able to capture very well the underlying motions of grains.



Figure 7: RMS gray level residuals for the DIC analyses with 3 DOFs (a) and six DOFs (b) per grain of the speckled surface (each line corresponds to one picture of the series)

To verify the assumption that the bulk of the grain suffered indistinguishable deformation, an additional analysis was performed by allowing for uniform strains at the grain-scale (*i.e.*, defining the kinematics using 6 DOFs instead of 3 for the rigid body motions). The RMS residuals for the 3-DOF solution (Figure 7(a)) and with 6 DOFs (Figure 7(b)) show insignificant differences, proving that the analyses with 3 DOFs were sufficient to capture the overall motions of each grain. It is concluded that the bulk of the grain does not experience strain and the grain movement is governed by the deformation at the connections between grain-pairs.

295 4.3. Microscale analyses

In the present case, the mean element size was equal to 16 px. Contrary to the macroscale analyses, the RMS residuals did not vary significantly with the applied displacement (Figure 8). Their average was of the order of 2.3 times the level due to acquisition noise. It is concluded that the kinematics was very well captured by such analyses. If deformation details were to be sought, then the mesh should be further refined (see Section 5.3), which is possible thanks to the regularization strategy used herein.



Figure 8: RMS gray level residuals for the DIC analyses at the microscale of the speckled surface

This first series of results show that the macroscopic kinematics could be captured. However, the degradation of the gray level residuals indicate that such description is not able to fully account for local details of the deformation mechanisms of the granular string. For the grains themselves, the hypothesis of rigid body motions was validated for any applied displacement amplitude. Last, the analysis at the microscale was shown to be feasible thanks to the mesh that was tailored to the underlying morphology of the granular string and its interconnections, in addition to the regularization scheme based on Hencky elasticity.

5. Results for the Speckled Surface

The DIC results obtained from the analyses at different scales are now further commented for the case of speckled surface. The discussion here focuses upon the deformation mechanisms revealed through the multiscalar analysis. Similar results are obtained for the case of dotted surface and are discussed in Appendix A.

5.1. Macroscale Analysis

Figure 9 gives the results of the direct analysis for the finest discretization and the final image of the extension test (*i.e.*, the image at maximum applied displacement). Given that the maximum displacement amplitude (*i.e.*, 180 px) was quite large, and the total number of images was few

³¹⁸ (*i.e.*, 7 in the present case), the incremental analysis was the only one able to capture such large ³¹⁹ displacement increments, and was very useful to initialize subsequent direct DIC analyses.

The underlying geometry of the granular string expresses itself in the transverse displacement 320 field (Figure 9(left)) in which a fluctuating pattern of positive and negative transverse displace-321 ments is observed. On the other hand, the longitudinal displacement field (Figure 9(middle)) shows 322 a nominally monotonically varying trend. To explicate any fluctuating pattern in the longitudinal 323 field, the mean strain component was deducted from the measured field. Displacement fluctua-324 tions are now observed in Figure 9(right), whose overall pattern is similar to that observed for the 325 transverse component. These fluctuations (or deviations) from the erstwhile linear field is a charac-326 teristics of granular material, which was first experimentally observed in (Misra and Jiang, 1997), 327 and are generally attributed to the randomness of the granular structure. It is remarkable, therefore, 328 to observe similar fluctuations in this rather simple grain-string with regularly spaced grains and 329 nominally uniform interconnections whose genesis could be possibly traced to mechanical features 330 of grain-pair interactions. It is also interesting to note that the levels of fluctuations are close for 331 both displacement components. 332

In Figure 9(rightmost), the gray level residual map is reported for the last analyzed image. It 333 is worth noting that the grain areas do not have clear residuals. However, the connecting beams 334 are clearly visible, which means that their kinematics was not properly captured. These two points 335 show that in the macroscale analysis, the overall deformations are correctly captured. However, 336 the details of the connecting parts of the grains were not. In the absence of complete knowledge of 337 microstructure and micromechanics (hence a possibility for microscale DIC analyses), macroscale 338 DIC provides significant clues, albeit with an incomplete description, regarding the effect of the 339 underlying micro-mechano-morphology. For the analyzed grain-string, tantalizing transverse dis-340 placement fields and longitudinal fluctuations were extracted, although specific details may only 341 be revealed in microscale or mesoscale analyses as shown in the subsequent results. 342



Figure 9: Mesh laid over the reference picture for the macroscale analysis of the speckled surface (leftmost). Transverse displacement (expressed in px) field for the last analyzed picture (left). Corresponding longitudinal displacement (expressed in px) field (middle) and displacement fluctuations once the mean strain contribution was taken out (right). Gray level residual field (rightmost)

343 5.2. Mesoscale Analysis

The mesoscale analyses dealt rigid body motions of the grains, thus the kinematics was reduced 344 to 3 DOFs for each grain (Section 4.2). Figure 10 shows the change of the grain-displacement 345 components and grain-rotation about their barycenters, where different curves represent different 346 overall extensions of the grain-string. Since the boundary grains were held tightly in the grips 347 of the loading frame, the transverse displacement and the rotations of the boundary grains were 348 zero. It is interesting to note that the longitudinal displacement was predominantly linear with 349 respect to the grain number (also with grain-location since they are equally spaced). This trend 350 was already observed in the macroscale results (Figure 9). To confirm this observation, the mean 351 strain contribution was taken out to report the remaining fluctuations (Figure 10(c)). Interestingly, 352 such fluctuations consistently increased with the applied load (*i.e.*, their pattern was set early on 353

³⁵⁴ and is a further confirmation that it is the signature of the underlying mesostructure).

The transverse displacement, on the other hand, has a sinusoidal-like pattern that formed as the 355 applied displacement increased. Similarly, grain-rotations (due to the asymmetry of the underlying 356 structure of grain interconnections, or in an alternative interpretation the grain-pair mechanical 357 interactions, with respect to the loading direction) increased with the applied overall extension. It 358 is also noteworthy that the grain rotations are coherent in the counter-clockwise direction, that is, 359 all the grain rotations are in the same orientation as opposed to gear-like opposite rotations, which 360 are also observed in granular systems (Kuhn and Bagi, 2004; Harrington et al., 2014; Wang et al., 361 2021). It is further observed that the system supports grain-rotation gradients such that energy is 362 stored in grain-pair relative rotations. 363



Figure 10: Change of the transverse translation (a), longitudinal translation (b), fluctuations of the longitudinal translation (c), and rotation (d) of the eleven grains for all analyzed images of the speckled surface (for line color, refer to Figure 7)

To confirm the granular nature of the deformation behavior of the granular-string, the findings from the analysis with 6 DOFs per grain are shown in Figure 11. The rigid body translations are virtually identical to those observed in Figure 10. The normal components of the deformation gradient tensor (*i.e.*, F_{xx} and F_{yy}) have amplitudes that are less than 1% with respect to unity (*i.e.*, very low levels of normal strains). Conversely, the off-diagonal components are virtually identical in absolute value indicating very small shear strains and mainly rigid body rotations. These observations also explain why the gray level residuals were very close for the analyses with 3 and 6 DOFs ³⁷¹ per grain (Figure 7).



Figure 11: Evolution of the six degrees of freedom of the eleven grains for all analyzed images of the speckled surface. Each curve corresponds to a different-level of applied overall extension (for line color, refer to Figure 7)

372 5.3. Microscale Analysis

For the grain-string, the details of the microstructure, particularly with respect to their inter-373 connections, are known. Thus, microscale DIC analyses were performed to better capture the 374 local details of deformations (Figure 12). In that case, the mesh was tailored to fit the initial ge-375 ometry with the backtracking procedure (Figure 12(leftmost)). The transverse displacements are 376 now clearly revealed (Figure 12(left)) in comparison to the macroscale result (Figure 9(left)). The 377 transverse motions were strongly influenced by the underlying geometry of the granular string. 378 The longitudinal displacements (Figure 12(right)) seem to remain close to their macroscale esti-379 mates (Figure 9(middle). However, once the mean strain component was subtracted, significant 380 fluctuations are observed, which are primarily concentrated in the grain interconnections further 381

confirming that their likely origin is due to the features of grain-pair mechanical interactions. Needless to say, the results at the microscale are more detailed as well as reliable as indicated by the
gray level residual map that no longer shows high levels for the connecting parts and remains low
in the grains as well.



Figure 12: Mesh laid over the reference picture for the microscale analysis of the speckled surface (leftmost). Transverse displacement (expressed in px) field for the last analyzed picture (left). Corresponding longitudinal displacement (expressed in px) field (middle) and displacement fluctuations (right) once the mean strain contribution was taken out. Gray level residual field (rightmost)

From this first set of results at the microscale arise two additional questions. First, given the 386 fineness of the interconnections, regularized DIC was required. There is still a choice to be made 387 when the regularization length ℓ_{reg} is greater than the element (as was the case herein). Different 388 analyses were run starting with the largest length (*i.e.*, 800 px). The next DIC run was carried out 389 by dividing the regularization length by 2 and initializing the calculations with the results obtained 390 with the previous regularization length. This relaxation procedure (Tomičević et al., 2013) was 391 carried out down to a regularization length of 50 px. Figure 13 shows the change of the equilibrium 392 residual ρ_m as a function of the registration residual ρ_c for the 7 analyzed pictures. As more weight 393

was put on the penalty term (*i.e.*, ℓ_{reg} was increased), the equilibrium residuals were lowered, and the RMS gray level residuals started to increase. This is a typical feature of such regularized schemes (Hansen, 2010).

Further, it is interesting to note that for the first two pictures, the gray levels residuals remained 397 essentially constant for any selected regularization length. This observation shows that the under-398 lying hypothesis of Hencky elasticity was valid. Conversely, from the third picture on, this trend is 399 non longer observed, and the gray level residuals increased more significantly as the regularization 400 length was increased, which indicates a model error. This effect means that nonlinear phenomena 401 occurred and were not accounted for by Hencky elasticity. As a consequence, the chosen regular-402 ization length should not be too high. In the present case, a length of 100 vx was selected, which 403 was a good compromise between the two cost functions, particularly for revealing the deformation 404 trends. 405



Figure 13: Equilibrium residual ρ_m vs. RMS gray level residuals ρ_c for different regularization lengths ℓ_{reg} (expressed in vx) and all analyzed pictures (for line color, refer to Figure 8)

The second question is related to the deformation mechanisms of the interconnections that led to non classical results at the meso- and macroscales. For this type of investigation, the 16 px discretization was too coarse as there were at most 2 elements in the thickness of the interconnecting beams (Figure 4(right)). A very fine mesh was then constructed with a mean element size of 3 px, which allowed for a least six elements in the thickness of each beam. In terms of overall

displacement fields, there were not many differences given their very high amplitudes. However, using this very fine mesh more local strain details could be better captured. In the following results, the Green-Lagrange strains are reported. They were assessed from the deformation gradient tensor, which was obtained from the exact derivation of the T3 shape functions (*i.e.*, they are uniform over each element).

The transverse Green-Lagrange strain field is shown in Figure 13(leftmost) for the last analyzed 416 picture. Very low strain levels are observed in the vertical beams and the grains. The horizontal 417 beams of each interconnections undergo transverse strain that are consistent with flexure. This 418 result was expected from the mesoscale analyses which show the transverse motion and rotation 419 of grains. Figure 13(left) shows the corresponding longitudinal strain field. These strain fields are 420 consistent with the flexure of the vertical beams, whereas the horizontal beams mostly elongated. 421 In terms of shear strains (Figure 13(right)), they were more important in the horizontal beams than 422 in the vertical ones. In the grains, all strain components were very low in magnitude, which further 423 validates the hypothesis of rigid body motions. 424



Figure 14: Transverse strain field for the last analyzed picture (leftmost). Corresponding longitudinal (left) and shear (right) strain fields for the last analyzed picture. Equivalent elastic strain field (rightmost)

Last, to assess the distribution of strain energy, the equivalent elastic strain field is shown in 425 Figure 13(rightmost). The latter corresponds to the square root of twice the strain energy (computed 426 with a plane stress assumption) divided by the Young's modulus. Most of the elastic energy was 427 stored in the horizontal beams, whose deformation was a combination of extension and flexure. 428 This result shows that the grain-string can be treated as a granular matter composed of nearly 429 rigid elements (or grains) and the elastic strain energy is stored in the deformable mechanisms 430 represented through interconnections or interfaces between grains. Furthermore, the deformation 431 of the grain string is controlled by that of the interconnections, and therefore can be modulated by 432 varying the geometrical attributes of the interconnections. 433

434 6. Conclusion

The deformation behavior of a chiral granular string was analyzed using DIC devised for three spatial scales, termed here as macro, meso and micro. The registration residuals of the kinematic

bases probed at the different scales showed very low levels at two of the chosen scales (namely,
micro- and meso-scales) indicating that the deformation mechanisms were properly captured. Although the macroscale description was not as trustworthy, it, nevertheless, provided the necessary
initial estimates that were very useful for analyses at lower scales. This choice was particularly
important since the displacement increments between adjacent images were very high.

It is also noteworthy that multiscalar analyses have the advantage of elucidating deformation mechanisms that may not be clearly discernible at the macroscale or whose implication at the macroscale is not clear if the focus is only on the microscale. Remarkably, a physical material with microstructure is more intricate than its mathematical model. It may encompass deformation mechanisms that are usually overlooked when modeling. Multiscale DIC analyses offer points of comparison to the model predictions, with which a validation or a need for a refined kinematic description can be concluded.

For the grain-string analyzed in this work, the performed DIC analyses revealed certain non-449 standard deformation mechanisms whose genesis is likely to be the chiral-nature of the mechanical 450 response of grain-pair interconnections. As the granular string was subjected to extension, coherent 451 grain rotations (as opposed to gear-like) and transverse grain displacements were observed which 452 increased in magnitude as the loading progresses. In addition, fluctuations from the linear field 453 in the longitudinal displacements were observed. The quantification of the deformation mecha-454 nisms in the interconnections with results utilizing a very fine mesh at the microscale confirm the 455 kinematic bases for mesoscale analysis. Further, these microscale results indicate that the nature 456 and magnitude of the non-standard deformations is controlled by the mechanics of grain-pair in-457 teractions, which in turn is governed by the geometrical parameters of the grain interconnections 458 for a given fabricated (meta)material. These aspects will be further explored experimentally and 459 theoretically in forthcoming works. 460

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464 Credit authorship statement

⁴⁶⁵ NN performed the experiments, MDA assisted in granular string design, AM conceived the ⁴⁶⁶ idea, FH devised the DIC methodology and performed the DIC analyses. All authors contributed to ⁴⁶⁷ the discussion of all aspects of this work. NN, MDA, AM and FH wrote and edited the manuscript.

468 Compliance with Ethical Standards

⁴⁶⁹ The authors have no conflict of interest to declare.

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574 7. Appendix B

In the following, the results obtained for the extensional test are reported when the dotted surface was monitored (Figure 15).



Figure 15: Cropped gray level images of the extensional test with dotted grains

577 7.1. Multiscalar DIC Analyses

⁵⁷⁸ Figure 16 show the three meshes for the convergence analysis at the macroscale. The element ⁵⁷⁹ sizes were 91, 37, and 23 px.



Figure 16: Three meshes used for the DIC analyses at the macroscale of the dotted (b) surface

Figure 17 shows that the RMS residuals are higher (*i.e.*, between 1.5 and 5 times) than the level associated with acquisition noise (*i.e.*, 1.2 gray level). They also grew as the applied displacement amplitude increased. The same remarks applied for the macroscale analyses of the speckled surface (Figure 6). This observation shows that, for both tests, the macroscopic kinematic bases were not able to properly capture the complexity of local displacement fields induced by the geometry of the metamaterial as the applied displacement increases.



Figure 17: RMS gray level residuals for the three meshes of the dotted surface (Figure 16) and the two DIC routes

When following the backtracking procedure for the dotted surface (Figure 18(leftmost)), the 586 dots were also considered in the mask that was used for registration purposes (Figure 18(left)). 587 The inclusion of dots within the mask is expected to provide more accurate registration. This could 588 further improve the accuracy of the measured deformation fields especially in the lateral direction 589 (transverse to the extension direction). It is noted that the accuracy of lateral deformations are 590 of particular interest in this analysis (Misra et al., 2020). Figure 18(right)) shows the backtracked 591 mesh. The same operation was carried out for the 4-element zones of discrete approaches following 592 the motion of each dot (Figure 18(rightmost)). 593



Figure 18: Backtracked meshes for microscale DIC of the dotted surface. The image of the reference configuration (leftmost) is registered with that of the nominal configuration (left) using the auxiliary mesh shown in blue. The backtracked mesh is laid over the reference image (right). The grain centers (red crosses) are also depicted. Similarly, the 4-element zones about each dot are also backtracked (rightmost)

The mean element size was equal to 16 px for this last mesh. For the dotted surface, the RMS residuals were even lower (*i.e.*, less than 2 times the level due to acquisition noise) than for the speckled surface (*i.e.*, of the order of 2.3 the level due to acquisition noise). However, it should not be concluded that in that case the results are more trustworthy since the surface patterns were ⁵⁹⁸ completely different. However, it can be concluded that in both cases, the kinematics is very well ⁵⁹⁹ captured by such analyses. If deformation details were to be sought, the mesh should be further ⁶⁰⁰ refined.



Figure 19: RMS gray level residuals for the DIC analyses at the microscale of the dotted surface

For the grain-scale analyses with 3 DOFs, a ratio 1.5 to less than 3 is observed for the RMS 601 residuals when related to acquisition noise (Figure 20). These results prove that the selected kine-602 matics is able to better capture the underlying motions of the grains. For the dotted surface, the 6 603 DOF analyses was not sought. Instead, each dot was individually tracked by considering 4-element 604 zones arranged about each dot center. These analyses are far more delicate and sensitive when the 605 element length is decreased because not much contrast is available apart from the dot edges. This 606 remark explains why the incremental calculations were not able to converge to similar solutions as 607 direct analyses. For the direct analyses, the RMS residuals did not vary much and were low enough 608 (*i.e.*, about 2.5 times the level associated with acquisition noise) to deem the measurements reliable. 609



Figure 20: RMS gray level residuals for grain-scale (a) and local (b) DIC analyses of the dotted surface

610 7.2. Results for the Dotted Surface

The DIC results obtained with the different DIC approaches for the dotted surface are described. It is noted that these calculations are far more delicate as the surface contrast is no longer evenly distributed as in the previous case. However, the implemented DIC approach (using appropriate regularization) was able to extract many details of the deformation behavior as described in the following sections.

616 7.2.1. Macroscale Analysis

As mentioned above, the analyses at the macroscale were possible due to the regularization 617 strategy used herein since most of the contrast is provided by the 2×11 black dots (Figure 21(left-618 most)). Interestingly, even for the quality of the prepared dotted surface, the results are similar to 619 those for the speckled surface. Consequently, the observation at the macroscale are the same as 620 before. It is worth noting, though, that the contours of transverse displacements (Figure 21(left)) 621 and longitudinal displacements once the mean strain contribution was taken out (Figure 21(right)) 622 are inclined in the opposite direction. Similarly, the sign of the transverse displacements is also 623 inverted. These two effects are due to the fact that the back face was observed instead of the front 624 face. Clearly, the granular string is not invariant to mirror transformations about the vertical axis 625





Figure 21: Mesh laid over the reference picture for the macroscale analysis of the dotted surface (leftmost). Transverse displacement (expressed in px) field for the last analyzed picture (left). Corresponding longitudinal displacement (expressed in px) field (middle) and displacement fluctuations once the mean strain contribution was taken out (right). Gray level residual field (rightmost)

627 7.2.2. Microscale Analysis

The general features observed for the transverse displacement field and from the longitudinal displacement fluctuations are confirmed by microscale analyses with 16 px elements (Figure 22). For the longitudinal displacement field, the same type of complexity is noted as for the speckle pattern. All these interpretations could be made since the gray level residuals remained very low even for such high displacement amplitudes.



Figure 22: Mesh laid over the reference picture for the microscale analysis of the dotted surface (leftmost). Transverse displacement (expressed in px) field for the last analyzed picture (left). Corresponding longitudinal displacement (expressed in px) field (middle) and displacement fluctuations once the mean strain contribution was taken out (right). Gray level residual field (rightmost)

In addition, the strain fields shown in Figure 23 exhibit the same trends as observed for the speckle pattern (Figure 14) for a refined mesh with 3 px elements. In particular, identical deformation mechanisms and strain levels occurred in this new analysis.



Figure 23: Transverse strain field for the last analyzed picture of the dotted surface (leftmost). Corresponding longitudinal (left), shear (right), and equivalent elastic strain field (rightmost)

636 7.2.3. Mesoscale Analysis

In Figure 24, the changes of the 3 DOFs per grain and for the 5 images are reported. It is 637 worth noting that the maximum amplitude was as high as in the previous test for which 7 pic-638 tures were available. The present case is therefore even more challenging. However, thanks to the 639 initialization strategy, convergence was fast and the residuals were sufficiently low to deem these 640 results trustworthy. The transverse displacements are anti-symmetric with respect to the middle 641 grain, which was not the case for the speckled surface (Figure 10). Similarly, the longitudinal 642 displacement fluctuations are anti-symmetric as well in contrast to what was observed previously. 643 Such differences can be explained by the fact that the dotted pattern corresponds to the first cycle, 644 and the speckle pattern to the second cycle (opposite sides were monitored with a single camera). 645 Some minor plasticity and damage may have occurred during these two cycles. The sample was 646 unmounted between the two cycles (one week apart) so the application of boundary conditions may 647 have changed a bit. Last, the misalignment of the camera in terms of verticality and the differences 648

in the choice of coordinate system for the two DIC analyses may also have a small influence.



Figure 24: Change of the transverse translation (a), longitudinal translation (b), fluctuations of longitudinal translation, and rotation (d) of the eleven grains for all analyzed images of the dotted surface (for line color, refer to Figure 20(a))

The analysis at the grain scale could also be performed by only following the displacement of each dot center thanks to 4-element zones (Figure 25). The gray level residuals are very low, which again validates the reported measurements. All the trends observed at the microscale are again present in these last results.



Figure 25: Mesh laid over the reference picture for the local analyses of the dotted surface (leftmost). Transverse displacement (expressed in px) field for the last analyzed picture (left). Corresponding longitudinal displacement (expressed in px) field (right) and gray level residual field (rightmost)

654 8. Appendix B: DIC Framework

Different parameterizations of the sought displacement field were considered (see Section 3.1) and are stated in a general form as

$$\boldsymbol{u}(\boldsymbol{x}, \{\boldsymbol{v}\}) = \sum_{i} \boldsymbol{\Phi}_{i}(\boldsymbol{x}, v_{i})$$
(1)

where v_i is the degree of freedom (DOF) associated with the *i*-th trial displacement field $\Phi_i(\mathbf{x}, v_i)$, and all DOFs are gathered in the column vector $\{\mathbf{v}\}$. In many cases, a linear dependence of the trial field with respect to the sought DOF may be assumed

$$\boldsymbol{\Phi}_{i}(\boldsymbol{x}, v_{i}) = v_{i}\boldsymbol{\varphi}_{i}(\boldsymbol{x})$$
⁽²⁾

with no summation over index *i*. This is for instance the case when seeking rigid body translations or using finite element discretizations of the displacement field. On the other hand, when large rigid body rotations are expected, then the corresponding displacement field Φ_{RBR} is given as

$$\boldsymbol{\Phi}_{RBR}(\boldsymbol{x},\theta) = [\boldsymbol{R}(\theta) - \boldsymbol{I}]\boldsymbol{x}$$
(3)

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where $[\mathbf{R}(\theta)]$ is the rotation matrix, which non-linearly depends upon the angle θ , and $[\mathbf{I}]$ the identity matrix.

Let us introduce the kinematic sensitivities, namely, the partial derivatives of the trial displacement field $\mathbf{\Phi}_i$ with respect to the corresponding DOF v_i

$$\boldsymbol{s}_{i}(\boldsymbol{x}, v_{i}) = \frac{\partial \boldsymbol{\Phi}_{i}}{\partial v_{i}}(\boldsymbol{x}, v_{i})$$
(4)

⁶⁵⁷ If the trial displacement field Φ_i is linearly dependent on its DOF v_i , then $\boldsymbol{s}_i \equiv \boldsymbol{\varphi}_i$, otherwise ⁶⁵⁸ $\boldsymbol{s}_{RBR}(\boldsymbol{x}, \theta) = \partial [\boldsymbol{R}(\theta)] / \partial \theta \boldsymbol{x}.$

In the DIC analyses, the DOFs $\{v\}$ are the unknowns to be determined. To this end, the sum of squared gray level residuals over the considered region of interest (ROI)

$$\rho_c^2(\{\boldsymbol{v}\}) = \sum_{\text{ROI}} \rho^2(\boldsymbol{x}, \{\boldsymbol{v}\})$$
(5)

is minimized with respect to $\{\boldsymbol{v}\}$ (Hild and Roux, 2012). The pixel-wise gray level residual is given as

$$\rho(\mathbf{x}, \{\mathbf{v}\}) = f(\mathbf{x}) - g(\mathbf{x} + \mathbf{u}(\mathbf{x}, \{\mathbf{v}\}))$$
(6)

where *f* represents the image in the reference configuration, and *g* the image in the deformed configuration. The root mean square (RMS) residual computed over the ROI, ρ_c^2 , defines the overall registration quality associated with the selected kinematic basis (*i.e.*, a measure of how well it reproduces the deformed configuration with respect to the reference).

The minimization of ρ_c^2 is performed via Gauss-Newton iterations stated as follows

$$[\boldsymbol{H_c}]\{\partial \boldsymbol{v}\} = \{\boldsymbol{h_c}\}\tag{7}$$

where $[H_c]$ denotes the DIC Hessian

$$(H_c)_{jk} = \sum_{\text{ROI}} (\nabla \widetilde{g}(\mathbf{x}) \cdot \mathbf{s}_j(\mathbf{x})) (\nabla \widetilde{g}(\mathbf{x}) \cdot \mathbf{s}_k(\mathbf{x}))$$
(8)

 $\{h_c\}$ the DIC residual vector

$$(h_c)_j = \sum_{\text{ROI}} \left(f(\mathbf{x}) - \widetilde{g}(\mathbf{x}) \right) \left(\nabla \widetilde{g}(\mathbf{x}) \cdot \mathbf{s}_j(\mathbf{x}) \right)$$
(9)

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and $\{\partial v\}$ the corrections to the current estimates $\{\widetilde{v}\}$ of the unknown DOFs. The minimization scheme thus consists in gradually correcting the successive estimates $\{\widetilde{v}\}$ of the DOFs. After each iteration, the image *g* corrected by the measured displacement field \widetilde{u} , namely, $\widetilde{g}(x) = g(x + \widetilde{u}(x))$ is updated for any pixel *x* belonging to the ROI.

Given the fact that one of the speckle patterns was very coarse (Figure 15), there is a need for some additional interpolation in particular in areas with low contrast if fine meshes are to be considered. One possible route is to use regularization strategies (Tikhonov and Arsenin, 1977). In the present case, a mechanics-based formulation was selected (Réthoré et al., 2009; Tomičević et al., 2013). Conversely, when the kinematic basis is sufficiently reduced (*e.g.*, mesoscale analyses), regularization was not considered.

The previous DIC cost function ρ_c^2 is thus penalized with a second term (Tikhonov and Arsenin, 1977), which is constructed by computing the norm of the nodal forces for Hencky elasticity (*i.e.*, minimizing the equilibrium gap (Claire et al., 2004)) written in terms of the incremental displacements { δv } between two consecutive pictures (dell'Isola et al., 2019)

$$\rho_m^2(\{\boldsymbol{v}\}) = \{\delta\boldsymbol{v}\}^\top [\boldsymbol{K}]^\top [\boldsymbol{K}] \{\delta\boldsymbol{v}\}$$
(10)

The minimization of augmented residual square $\rho_t^2 = \rho_c^2 + w_m \rho_m^2$ is performed via Gauss-Newton iterations as follows

$$[\boldsymbol{H}_{cm}]\{\partial \boldsymbol{v}\} = \{\boldsymbol{h}_{c}\} - \boldsymbol{w}_{m}[\boldsymbol{K}]^{\mathsf{T}}[\boldsymbol{K}]\{\delta \boldsymbol{\widetilde{v}}\}$$
(11)

with

$$[\boldsymbol{H}_{\boldsymbol{c}\boldsymbol{m}}] = [\boldsymbol{H}_{\boldsymbol{c}}] + \boldsymbol{w}_{\boldsymbol{m}}[\boldsymbol{K}]^{\mathsf{T}}[\boldsymbol{K}]$$
(12)

where $\{\delta \tilde{\boldsymbol{v}}\}$ denotes the current estimate of the incremental DOFs, and $[\boldsymbol{K}]$ the stiffness matrix associated with the chosen discretization. The weight w_m multiplying the second cost function is proportional to a (regularization) length ℓ_{reg} raised to the power 4 (Réthoré et al., 2009; Tomičević et al., 2013). This length defines the physical size of the zone over which Hencky elasticity is

assumed to apply. In the present case, this length should be compatible with the coarseness of the
speckle pattern (Figure 15) and with the fact that the underlying hypothesis of Hencky elasticity
was (at least partially) satisfied. Consequently, rather large regularization lengths were selected in
the present cases.

⁶⁸¹ With the selected regularization strategy (Tomičević et al., 2013), the weight w_m is independent ⁶⁸² of the Young's modulus (provided it is positive). There is therefore no need for specifying it. ⁶⁸³ Conversely, the stiffness matrix [**K**] is nonlinearly related to the Poisson's ratio of the medium. A ⁶⁸⁴ value of v = 0.35 was selected, which is that of the durable resin utilized herein.