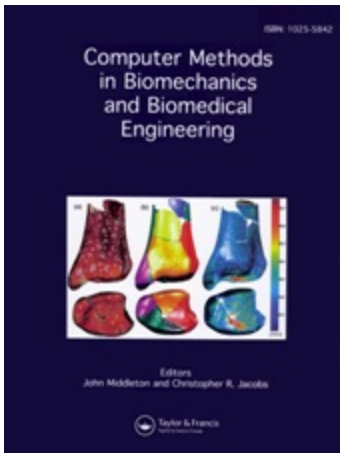
Development and evaluation of a new procedure for subject-specific tensioning of finite element knee ligaments

Bhrigu K. Lahkar, Pierre-Yves Rohan, Helene Pillet, Patricia Thoreux, Wafa Skalli



Development and evaluation of a new procedure for subject-specific tensioning of finite element knee ligaments

Journal:	*Computer Methods in Biomechanics and Biomedical Engineering*
Manuscript ID	GCMB-2020-0206.R1
Manuscript Type:	Research Article (5500 words)
Date Submitted by the Author:	n/a
Complete List of Authors:	Lahkar, Bhrigu; Arts et Metiers ParisTech, Institut de Biomécanique Humaine Georges Charpak Rohan, Pierre-Yves; Arts et Metiers ParisTech, Institut de Biomecanique Humaine Georges Charpak Pillet, Hélène; Arts et Metiers ParisTech, Institut de Biomécanique Humaine Georges Charpak Thoreux, Patricia; Arts et Metiers ParisTech, Institut de Biomécanique Humaine Georges Charpak; Université Sorbonne Paris Nord Skalli, Wafa; Arts et Metiers ParisTech, Institut de Biomécanique Humaine Georges Charpak
Keywords:	Finite Element Analysis, Ligament Prestrain, Subject-Specific Knee Model, Joint Kinematics, Model Evaluation

Research Article

Development and evaluation of a new procedure for subject-specific tensioning of finite element knee ligaments

AUTHORS

Bhrigu K. Lahkar[1, *], Pierre-Yves Rohan[1], Helene Pillet[1], Patricia Thoreux[1, 2], Wafa Skalli[1]

AFFILIATIONS

[1] *Institut de Biomécanique Humaine Georges Charpak, Arts et Métiers ParisTech, Paris, France*

[2] *Université Sorbonne Paris Nord, Bobigny, France*

*Corresponding author: Bhrigu K. Lahkar

Institut de Biomécanique Humaine Georges Charpak, Arts et Métiers ParisTech, 151 Boulevard de l'Hôpital, 75013 Paris, France

E-mail address*: bhrigu_kumar.lahkar@ensam.eu*

Tel: +33 611745748

Total Word Count (Introduction to Reference): 5452

Word count (Abstract): 104

Abstract

Subject-specific tensioning of ligaments is essential for the stability of the knee joint and represents a challenging aspect in the development of finite element models. We aimed to introduce and evaluate a new procedure for the quantification of ligament prestrains from biplanar X-ray and CT data. Subject-specific model evaluation was performed by comparing predicted femorotibial kinematics with the *in vitro* response of six cadaveric specimens. The differences obtained using personalized models were comparable to those reported in similar studies in the literature. This study is the first step towards the use of simplified, personalized knee FE models in clinical context such as ligament balancing.

Keywords

Finite Element Analysis, Ligament Prestrain, Subject-Specific Knee Model, Joint Kinematics, Model Evaluation

1. Introduction

The knee joint is highly susceptible to frequent injury of ligaments. If it remains untreated, has the probability of limiting joint stability, and can further lead to progression of joint arthritis (Fleming et al. 2005). In such scenario, early stage clinical intervention e.g., ligament repair or replacement is often recommended. For such therapeutic interventions and to properly plan surgical procedures, accurate knowledge of the biomechanical behavior of knee ligaments is fundamental.

Several experiments dealing with main knee ligaments (anterior cruciate ligament (ACL), posterior cruciate ligament (PCL), lateral collateral ligament (LCL) and medial collateral ligament (MCL)) have been carried out in the literature (Gardiner et al. 2001; Yoo et al. 2010; Aunan et al. 2012; Belvedere et al. 2012; Rochcongar et al. 2016; Pedersen et al. 2019). Although these studies have substantially increased knowledge on joint functions, yet the complexity of measurements, lesser availability of cadavers, ethical and cost implications have made data acquisition challenging.

Alternatively, finite element (FE) models are commonly used as a reliable complementary means to experimental studies providing significant insight into knee joint biomechanics. A variety of modeling techniques have been utilized to model the joint structure, particularly ligaments. Some of the strategies are steered by simplicity, while others concentrate on faithful capture of specimen-specific anatomy with varying levels of joint representation fidelity. For example, some models included 3D geometries of ligaments with complex material behavior (Limbert et al. 2004; Peña et al. 2005; Kiapour et al. 2014; Orsi et al. 2016). Such approach allows to consider ligament wrapping behavior and analysis of local biomechanical response (e.g., 3D stresses and strains across tissue). Nevertheless, higher anatomically complex models require detailed image-based information of the soft tissue structures under consideration. Generation and simulation of such models often require manifold higher time than that for

simpler models (Bolcos et al. 2018). Therefore, simpler models may be beneficial for studies where higher number of subjects need to be analyzed and, at the same time, capable of predicting joint mechanics.

In an attempt for model simplification, other authors have proposed to represent ligaments as bundles of springs or tension only cables (Moglo and Shirazi-Adl 2005; Adouni and Shirazi-Adl 2009; Baldwin et al. 2012). Although ligaments are exposed to both compressive and tensile states of stress, yet the contribution of tensile stress is substantially higher than others (Peña et al. 2006; Orsi et al. 2016). Therefore, such simplification is considered reasonable and recommended particularly for predicting joint kinematics (Naghibi Beidokhti et al. 2017). Nevertheless, personalization of ligament properties (stiffness and prestrain), although clinically essential to restore joint stability, yet represents a challenge for the community. For example, there is a consensus that graft under-tensioning could lead to joint laxity, which is biomechanically analogous to a ligament deficient knee (Sherman et al. 2012). In addition to that, owing to variable morphology, different bundles of a ligament (e.g., two main fiber bundles of ACL) may exhibit variable prestrain by becoming active at different flexion angles (Girgis et al. 1975). From a modeling perspective, it has also been reported that incorrectly applied ligament prestrain can have a considerable effect on the kinematics of the knee (Mesfar and Shirazi-Adl 2006; Rachmat et al. 2016). To tackle this issue, some authors made subject-specific adjustment using inverse methods to calibrate specific ligament constitutive behavior. Models either used laxity tests (Baldwin et al. 2012; Naghibi Beidokhti et al. 2017) or distraction loading (Zaylor et al. 2019) to estimate ligament properties by minimizing differences between model-predicted and experimental kinetics. Such calibrations are, however, likely to be computationally expensive.

In light of the above considerations, we proposed an original framework for calibrating subject-specific tensioning of FE knee ligaments based on experimentally acquired data.

Subject-specific model evaluation was performed by comparing predicted femorotibial kinematics under passive flexion with the experimental data of six cadaveric specimens. We hypothesized that the employed methodology of building personalized FE models with experiment-based prestrains could predict overall passive kinematics of the knee joint.

2. Materials and methods

The overall workflow of generating specimen-specific FE mesh is presented in figure 1

[Figure 1 here]

2.1. Experimental data acquisition

We obtained the experimental knee kinematic responses in a previous study (Rochcongar et al. 2016). The experimental procedure is recalled briefly hereafter. Six fresh-frozen lower limb specimens harvested from subjects with age range 47 to 79 years, were tested under passive flexion-extension on a previously validated kinematic test-bench (Hsieh and Draganich 1997; Azmy et al. 2010). Skin and muscles were removed except eight centimeters of quadriceps tendon and popliteus muscle prior to the kinematic data collection. All other relevant joint soft tissue structures (such as ligaments, articular capsule) were kept intact during kinematic data acquisition. The femur was kept fixed, and flexion movement was introduced to the tibia by a rope and pulley system. During flexion, the positions of the three marker tripods placed on the femur, tibia, and patella were recorded using an optoelectronic system (Polaris, Northern Digital Inc., Canada). These recorded positions allowed establishing ancillary reference frames (referred to as $R\_ANC_{\mathrm{POL}}(t)$) from $t = 0$ (before applying flexion load) till the end of flexion (Figure 1(a)). Measurement uncertainties with the optoelectronic system was previously assessed. Overall uncertainties of less than 0.5 mm in translational and 1° in rotational DoF were obtained (Azmy et al. 2010).

In addition, two orthogonal radiographs of each specimen were acquired using an EOS biplanar X-ray system (EOS, EOS-imaging, France) to obtain 3D digital models of the bones

and tripod markers. From the 3D models, anatomical reference frames (referred to as R_ANAT_{EOS}) for the femur, tibia, and patella were defined (Schlatterer et al. 2009). Ancillary reference frames (referred to as R_ANC_{EOS}) from the tripod markers were also defined allowing a relationship between anatomical frames and ancillary frames, termed as M_ANAT_ANC (Figure 1(b)). This relation was further used for converting acquired kinematic data, $R\_ANC_{\mathrm{POL}}(t)$ to relative patellofemoral and tibiofemoral motions in the femur anatomical reference frame with Cardan sequence $ZY'X''$.

After the kinematic data acquisition, each specimen was fully dissected to identify the ligament attachment sites. Absence of trauma and integrity of soft tissue structures was checked during the dissection. An experienced surgeon identified the origin and insertion locations for the following ligaments: anteromedial (AM) and posterolateral (PL) bundles of ACL, posteromedial (PM) and anterolateral (AL) bundles of PCL, superficial (MCLs) and deep (MCLd) bundles of MCL, and LCL. Identified locations were marked with radio-opaque paints, and the bones were scanned using a computed tomography (CT) scanner (Philips, Best, The Netherlands). 3D digital models of each dissected specimen were acquired using MITK-GEM (version 5.0) giving anatomical frames (R_ANAT_{CT}) and ligament attachment sites (P_LIGA_{CT}) in the CT scanner system of reference (Figure 1(c)). 3D Digital models and digital footprints of ligament attachment sites were then registered into experimental initial configuration. Registration was performed with biplanar X-ray data. Once the centroidal coordinates of the attachment sites were known, the end-to-end distance of the ligaments origin and insertion site was computed at experimental initial configuration. For the sake of readability, end-to-end distance will be referred to as ligament length hereafter.

2.2. Initial bone pose estimation

Relative pose (position and orientation) of tibia and patella w.r.t. the femur at initial unloaded configuration was obtained using the relation M_ANAT_ANC and experimental kinematic data, $R\_ANC_{\mathrm{POL}}(t)$ at time=0 (Figure 1(d)).

2.3. Specimen specific FE model

2.3.1. FE mesh

First, subject-specific FE hexahedral mesh for each bony segment was created based on the subject-specific CT based digital models (Figure 1(e)) (Lahkar et al. 2018). Then, only the surface mesh (4-noded shell element) was kept to represent bones and cartilage to reduce computational cost (Germain et al. 2016). Then, mesh smoothing was performed at the articular surfaces to improve the mesh quality (Taubin 1995).

Mesh quality was assessed using standard ANSYS mesh quality indicators: aspect ratio, parallel deviation, maximum angle, Jacobian ratio, and warping factor. The surface accuracy of specimen specific mesh for each specimen was compared against respective 3D digital model (i.e. segmented 3D geometry from CT data) by registering Hausdorff distance expressed in mean (2RMS) values.

2.3.2. Knee joint FE model

Bones were assumed to be isotropic linear elastic with Young's modulus of 12000 MPa (Choi et al. 1990). As the loading pattern in the study is quasi-static, cartilage was assumed as single-phase linear isotropic material (Eberhardt et al. 1990). Cartilage regions were modeled as cortico-cartilage material and assigned with Young's modulus of 250 MPa to summarize the material properties of cortical bone and cartilage (Germain et al. 2016). A very thin strip of material between bones and cortico-cartilage region were also modeled with intermediate properties (2000 MPa) to limit mechanical discontinuity (Germain et al. 2016) (Figure 2).

[Figure 2 here]

Attachment sites for the cruciate and collateral ligaments were based on the already identified locations (Rochcongar et al. 2016). For other ligaments and tendons (femoro-patellar ligament, patellar tendon, quadriceps tendon, posterior capsule), general anatomical sites based on *a priori* knowledge of an anatomist were used. Each cruciate ligament was represented by 2 bundles (Blankevoort and Huiskes 1991) along with MCL (deep and superficial) (Smith et al. 2016). Posterior capsule and femoropatellar ligaments were each represented by 8 bundles (4 bundles in the medial and lateral side each), while quadriceps and patellar tendon as 4 bundles each (Germain et al. 2016) and LCL as one (Meister et al. 2000). All ligaments and tendons were represented as point-to-point, tension-only cable elements as their contribution in tension is much higher than that in compression (Baldwin et al. 2009; Harris et al. 2016). Three frictionless surface-to-surface contact pairs were considered: tibia-femur cartilage (medial and lateral) and femur-patella cartilage with augmented penalty solution algorithm.

2.3.3. Ligament material properties

Three cases of ligament prestrain values (%ε) were considered for cruciate and collateral ligaments. No prestrain values for other ligaments were considered and stiffness (k) values for all the ligaments were adopted or estimated from our previous study (Germain et al. 2016). It is to be noted that constant stiffness values were applied across all specimens.

[Figure 3 here]

Case 1: Generic material properties. Prestrain values for ACL (5%), PCL (−3%), MCL (0%) and LCL (0%) were adopted from previous study (Germain et al. 2016).

Case 2: Automatic pre-computation from experimental data. For each specimen, ligament and bundle specific prestrains were automatically computed from the experimental ligament lengths using equation 1. This is illustrated for the MCL in figure 3.

Case 3: Combination of automatic pre-computation and further manual adjustment.

Initial values for the 7 ligament parameters (prestrains) were assigned with precomputed ligament prestrains. The minimum and maximum bounds for each parameter was defined from the literature (Blankevoort and Huiskes 1996; Baldwin et al. 2009). Each parameter at a time was modified by changing the previously assigned value by roughly 10% and RMS error between numerical and experimental kinematics was observed for each DOF. Based on the error, a new parameter set was assigned. Thus the procedure was repeated till rotational and translational RMS error became steady state. Stopping criteria was chosen as change in RMS error between two consecutive iterations is less than or equal to 0.1° for rotational and 0.1 mm for translation.

$$\text{prestrain} = \left(\frac{\delta}{L}\right) * 100 = \left(\frac{L - L_0}{L}\right) * 100 \quad (1)$$

where, L is the experimental ligament length at initial configuration (before application of flexion load), and L_0 is the zero-strain length at the end of flexion, with an assumption that ligaments experience no force after the prescribed maximum flexion angle.

2.3.4. FE model simulation states

Three different configurations were defined to represent different simulation states applicable to all the FE models and all cases of ligament properties. As the models are built from the experimental initial configuration, the first state is referred to as (a) **no-load or stress free configuration**. The second state corresponds to the configuration after attaining equilibrium under prestrain effect, termed as (b) **initial equilibrium configuration** (or reference configuration). The third state corresponds to the deformed states of the model upon application of incremental rotational displacements on the tibial malleolus until 70° of flexion angle (Germain et al. 2016). Knee flexion took place at the third state and referred to as (c) **current deformed configuration**. Remaining DOFs were left unconstrained. Only geometric non-linearity was considered for the model simulations.

2.3.5. Model evaluation: Knee joint kinematics

The relative position and orientation of the tibia w.r.t. femur was computed based on their anatomical reference frames, as described in (Schlatterer et al. 2009) and interpreted in the femur anatomical reference frame. One-to-one model evaluation was performed by comparing predicted femorotibial kinematics to experimental measurements throughout flexion motion for both the cases 2 and 3. Specimen specific RMS differences between model-predicted and experimental measurements were computed based on values at 1° interval for a range of flexion angle 0−60°. Eventually, RMS difference with experimental data was averaged for all the specimens.

3. Results

3.1. Mesh quality

Quality of individual knee joint FE mesh showed no occurrence of error in terms of ANSYS mesh quality indicators.

3.2. Surface representation accuracy

[Figure 4 here]

FE mesh surface accuracy for the femur, tibia, and patella w.r.t. corresponding CT surface across all specimens were found less than or equal to (mean (2RMS) in mm) 0.04 (0.12), 0.06 (0.18) and 0.05 (0.14) respectively. Error-values are pictorially represented in figure 4 for specimen 1 for the sake of example.

3.3. Estimation of subject-specific ligament material properties

3.3.1. Case 2: Based on automatic pre-computation from experimental data

[Table 1a and Table 1b here]

Estimated ligament stiffness and pre-strain values computed according to the procedure described in subsection 2.3.3 (case 2) are presented in Table 1a and Table 1b, respectively.

Positive prestrain denotes tight condition and negative prestrain slack condition. Ligament prestrains showed both ligament-specific and specimen-specific variability.

3.3.2. Case 3: Combination of automatic pre-computation and further manual adjustment

[Table 1c here]

Estimated ligament pre-strain values computed according to the procedure described in subsection 2.3.3 (case 3) are presented in Table 1c. Ligament stiffness values were kept the same as presented in Table 1a.

3.4. One-to-one validation of knee joint kinematics

On implementation of the generic ligament properties (case 1), only two FE models out of six achieved full convergence. Convergence in this study refers to successful attainment of mechanical equilibrium (within a default tolerance value of ANSYS) at each load step. Predicted kinematics showed large deviation from the experimental both in magnitude and trend (not reported in this manuscript as only two models achieved convergence). Using the ligament material properties computed automatically (case 2, Table 1b), 5 models out of 6 achieved convergence throughout 60° of flexion.

[Figure 5 and Figure 6 here]

Using the ligament material properties computed automatically combined with manual adjustment (case 3, Table 1c), all the FE knee models remained stable throughout the range of flexion. Individual run time was approximately 13 minutes per specimen. One-to-one comparison of model predicted femorotibial kinematics against corresponding *in vitro* results for all specimens are presented in Figure 5 and Figure 6 for automatically computed prestrains and adjusted ligament prestrains respectively. For both the cases, model kinematics for all DOF are shown from the reference configuration (state-b) until the end of flexion movement.

[Table 2 here]

Table 2 summarizes the RMS difference between model-predicted and experimental kinematics for the range of flexion angle 0−60° for the two cases (case 2 and case 3) of ligament material properties. Since 5 models out of 6 were converged while applying automatically computed ligament prestrains, differences are presented for 5 models.

4. Discussion

Subject-specific tensioning of ligaments is essential in developing personalized knee FE models. In this study, we built subject-specific knee FE model with CT-based geometry and evaluated a new procedure for subject-specific calibration of ligaments prestrain from biplanar X-ray data. Predicted femorotibial kinematics of each model was compared to the corresponding *in vitro* response for three different cases of ligament properties (prestrain). First, we investigated whether the FE models with generic prestrain values can capture inter-individual variability of the *in vitro* kinematics. Second, experimentally obtained prestrains were recruited to the FE models and predicted kinematics were observed (case 2). Third, model kinematics were observed with respect to calibrated ligament properties based on the combination of pre-computed prestrains and further adjustment (case 3). For case 2, RMS differences between model-predicted and experimental results for abduction/adduction and external/internal rotation were less than or equal to 2.4° and 6.3° respectively. For translation kinematics, the differences observed were less than or equal to 5.0 mm, 1.9 mm and 1.2 mm respectively for posterior/anterior, superior/inferior, and lateral/medial motions. For case 3, improvement in model kinematics was observed with RMS differences 1.5° and 5.3° for abduction/adduction and external/internal rotation. Differences for posterior/anterior, superior/inferior, and lateral/medial motions were 3.4 mm, 1.2 mm and 2 mm respectively. These results show that the proposed methodology allows us to obtain a good first approximation of the prestrain values with further manual adjustment to improve the kinematic prediction.

As far as the authors are aware of, there are numerous challenges exist in determining ligament prestrain. Challenges are linked to both measurement issues and modeling issues. Measurement challenges are mainly methodological issues related to identification of ligament attachment sites and determination of ligament elongation pattern during motion (Woo et al. 1990; Gardiner et al. 2001; Belvedere et al. 2012). Because of such difficulties, FE models in general, adopt prestrain values from other studies available in the literature (Yang et al. 2010; Galbusera et al. 2014). As these values are adopted from other experimental studies and not corresponding to the specimen under consideration, thereby cannot be considered as subject-specific. Optimization methods have also been extensively used to calibrate specific ligament constitutive behavior. These approaches particularly used laxity tests to calibrate their models (Baldwin et al. 2012; Naghibi Beidokhti et al. 2017). Such approaches are, although shown effective to attain specimen-specific ligament properties, yet computationally expensive.

The current study focused on the development and evaluation of a new procedure for subject-specific tensioning of FE knee ligaments. The proposed procedure builds upon data previously collected during an experimental investigation conducted to identify ligament (cruciate and collateral) attachment sites, and to determine the ligament elongation patterns during passive knee flexion (Rochcongar et al. 2016). The FE model replicates the natural ligament (cruciate and collateral) insertions since these are derived from the radio opaque paint locations painted on the specimens prior to the CT-scan (figure 1). The values obtained were consistent with those experimental measurements reported in the literature (Bicer et al. 2010; Belvedere et al. 2012). It is worth mentioning that because of the lack of experimental data for other ligaments, generic insertion sites were employed. Although, it is difficult to directly compare the estimated prestrains with similar studies in literature because of variability in ligament geometry and material property, yet the prestrain values were found within the range confirmed by others (Wismans et al. 1980; Amiri et al. 2006; Zaylor et al. 2019). Also, most

of the ligaments were found in tensed state at full extension except PCL, which is overall in agreement with the literature (Blankevoort and Huiskes 1991; Moglo and Shirazi-Adl 2005; Guess et al. 2016). Similarly, predicted kinematic response also showed good correspondence with the experimental results for all specimens. The experimental-numerical differences found in this study were comparable to similar studies reported in the literature (Harris et al. 2016; Naghibi Beidokhti et al. 2017). For instance, Beidokhti et al. reported an average RMS difference of 3.5° and 2.8° respectively for abduction/adduction and external/internal rotations. For anterior/posterior, superior/inferior and lateral/medial motions the differences were 3 mm, 2.3 mm and 1.6 mm respectively. It is worthwhile to mention that when generic properties were used, most of the models couldn't reach convergence. As previously reported by other research teams (e.g., (Schwartz et al., 2019)) focusing on the medial collateral ligament) convergence difficulty appeared in this kind of models when material properties were not personalized.

The procedure to compute ligament prestrain directly from experimental data (Case 3) provided satisfactory initial guess, based on which model estimated kinematics were already in good agreement with the experimental data. As this approach appears to be computationally inexpensive (15-20 sec to obtain ligament specific prestrain for a single knee model) and methodologically simple, it may serve as a reliable alternative for estimating subject-specific ligament prestrain values. To be noted that no direct evaluation of the ligament tensions was performed in the present study. The decision to implement the current technique as an alternative has to be conducted with caution. For successful implementation of this technique towards clinics, exhaustive model evaluation under various loading conditions is required including ligament tension and contact stress. Nevertheless, validating joint kinematics as a first step could be valuable to show feasibility of the current approach.

This study contains some considerations and limitations worth highlighting. **First**, while comparing with experimental kinematics, model-predicted results were shown from

reference configuration (state-b). It is an auto-equilibrated configuration under the prestrain effect, which is not concurrent with initial experimental configuration and difficult to calibrate. This results in absolute offset from the experimental kinematics (Baldwin et al. 2012), although masked in relative kinematics. **Second**, we acknowledge that one of the sources of discrepancies between experimental-numerical kinematics may come from model simplifications and assumptions. It is also to be noted that predicted kinematics with a combination of initial guess from experimental data and further manual adjustment displayed closer correspondence to *in vitro* data. Although the difference is minimal, this may be attributed to the representation of overall joint soft tissue structure with simple ligamentous structures without including cartilage layers and menisci. As the proposed methodology is not based on current state-of-the-art approaches (such as MRI based complex models with detailed soft tissue structures), there was difficulty to obtain subject-specific geometry of cartilage and menisci with available imaging modalities (CT and biplanar X-ray) employed in our study. Such simplification, therefore doesn't hold if we are interested in more detailed local insights, e.g., cartilage contact stress. However, for analysis, such as graft tensioning effect on knee response while reconstructing ACL, such simplification was considered relevant (Peña et al., 2005). **Third**, exclusion of meniscus may overestimate the role of the ligaments in constraining the joint and providing stability (Harris et al. 2016). However, other studies reported no remarkable influence of meniscus on the assessment of the knee joint kinematics, especially for the flexion range 0°–90° (Amiri et al. 2006; Guess et al. 2010). **Fourth**, ligaments and tendons were represented as bundles of 1D elements, which may not capture actual ligament length variation, as they do not account for material continuum, fiber twisting or wrapping. Yet, such simplification provides faster solutions and recommended, particularly for the prediction of knee kinematic parameters (Bolkus et al., 2018; Naghibi Beidokhti et al., 2017). **Fifth,** we chose to personalize only ligament prestrains, although stiffness values vary from

subject to subject. This consideration was based on sensitivity analyses found in literature, where model predicted kinematics are proclaimed to be highly sensitive to strain state at initial configuration rather than stiffness values (Wismans et al. 1980; Peña et al. 2005). Besides, the models were validated only under passive flexion load, which may not imitate an in-vivo situation of clinical interest, yet could be a first step of assessing the potential of the models towards complex scenarios. In this contribution, a maximum flexion angle of 70° was considered to calibrate the model as this range covers the most common amplitude of in-vivo motion under level walking, during which ligaments offer a substantial contribution to knee stability (Butler et al. 2007). However, perspective work will focus on calibrating the model up to 120° of knee flexion. We acknowledge that no influence of experimental uncertainty nor sensitivity of ligament attachment sites on predicted kinematics was performed. Future study is necessary to asses this issue. **Finally**, the study was limited to six specimens due to time and labor associated with CT segmentation, yet higher in number compared to other similar published studies. This might limit the model at the current state for clinical translation; however, it was imperative to build CT based models to minimize the impact of geometrical uncertainty in model predictions.

In conclusion, as it was a first study to directly implement prestrain values on models directly from the experiment, which may find scopes in model-based clinical studies, such as planning of ligament balancing or reconstruction as it reduces complexity in model development (especially ligament calibration) as well as computational cost, while maintaining good correspondence with experimental data. In that aim, further model evaluation would be necessary for larger specimen size and in other clinically relevant scenarios.

Conflict of Interest

None

Acknowledgments

The authors are deeply grateful to the ParisTech BiomecAM chair program on subject-specific musculoskeletal modeling for financial support.

References

Adouni M, Shirazi-Adl A. 2009. Knee joint biomechanics in closed-kinetic-chain exercises. Comput Methods Biomech Biomed Engin. 12(6):661–670.

Amiri S, Cooke D, Kim IY, Wyss U. 2006. Mechanics of the passive knee joint. Part 1: The role of the tibial articular surfaces in guiding the passive motion. Proc Inst Mech Eng Part H J Eng Med. 220(8):813–822.

Aunan E, Kibsgård T, Clarke-Jenssen J, Röhrl SM. 2012. A new method to measure ligament balancing in total knee arthroplasty: Laxity measurements in 100 knees. Arch Orthop Trauma Surg. 132(8):1173–1181.

Azmy C, Guérard S, Bonnet X, Gabrielli F, Skalli W. 2010. EOS® orthopaedic imaging system to study patellofemoral kinematics: Assessment of uncertainty. Orthop Traumatol Surg Res. 96(1):28–36.

Baldwin MA, Clary C, Maletsky LP, Rullkoetter PJ. 2009. Verification of predicted specimen-specific natural and implanted patellofemoral kinematics during simulated deep knee bend. J Biomech [Internet]. 42(14):2341–2348. http://dx.doi.org/10.1016/j.jbiomech.2009.06.028

Baldwin MA, Clary CW, Fitzpatrick CK, Deacy JS, Maletsky LP, Rullkoetter PJ. 2012. Dynamic finite element knee simulation for evaluation of knee replacement mechanics. J Biomech [Internet]. 45(3):474–483. http://dx.doi.org/10.1016/j.jbiomech.2011.11.052

Belvedere C, Ensini A, Feliciangeli A, Cenni F, D'Angeli V, Giannini S, Leardini A. 2012. Geometrical changes of knee ligaments and patellar tendon during passive flexion. J Biomech [Internet]. 45(11):1886–1892. http://www.ncbi.nlm.nih.gov/pubmed/22677336

Bicer EK, Lustig S, Servien E, Selmi TAS, Neyret P. 2010. Current knowledge in the anatomy of the human anterior cruciate ligament. Knee Surgery, Sport Traumatol Arthrosc [Internet]. 18(8):1075–1084. https://doi.org/10.1007/s00167-009-0993-8

Blankevoort L, Huiskes R. 1991. Ligament-bone interaction in a three-dimensional model of the knee. J Biomech Eng [Internet]. 113(3):263–269. http://www.ncbi.nlm.nih.gov/pubmed/1921352

Blankevoort L, Huiskes R. 1996. Validation of a three-dimensional model of the knee. J Biomech [Internet]. [accessed 2019 Sep 3] 29(7):955–961. https://linkinghub.elsevier.com/retrieve/pii/0021929095001492

Bolcos PO, Mononen ME, Mohammadi A, Ebrahimi M, Tanaka MS, Samaan MA, Souza RB, Li X, Suomalainen JS, Jurvelin JS, et al. 2018. Comparison between kinetic and kinetic-kinematic driven knee joint finite element models. Sci Rep [Internet]. [accessed 2019 Aug 29] 8(1):17351. www.nature.com/scientificreports

Butler RJ, Marchesi S, Royer T, Davis IS. 2007. The Effect of a Subject-Specific Amount of Lateral

Wedge on Knee. J Orthop Res Sept. 25(June):1121–1127.

Choi K, Kuhn JL, Ciarelli MJ, Goldstein SA. 1990. The elastic moduli of human subchondral, trabecular, and cortical bone tissue and the size-dependency of cortical bone modulus. J Biomech. 23(11):1103–1113.

Eberhardt AW, Keer LM, Lewis JL, Vithoontien V. 1990. An analytical model of joint contact. J Biomech Eng [Internet]. 112(4):407–413. https://doi.org/10.1115/1.2891204

Fleming BC, Hulstyn MJ, Oksendahl HL, Fadale PD. 2005. Ligament injury, reconstruction and osteoarthritis. Curr Opin Orthop. 16(5):354–362.

Galbusera F, Freutel M, Dürselen L, D'Aiuto M, Croce D, Villa T, Sansone V, Innocenti B. 2014. Material models and properties in the finite element analysis of knee ligaments: A literature review. Front Bioeng Biotechnol [Internet]. 2(NOV):1–11. http://journal.frontiersin.org/article/10.3389/fbioe.2014.00054/abstract

Gardiner JC, Weiss JA, Rosenberg TD. 2001. Strain in the human medial collateral ligament during valgus loading of the knee. Clin Orthop Relat Res.(391):266–274.

Germain F, Rohan PY, Rochcongar G, Rouch P, Thoreux P, Pillet H, Skalli W. 2016. Role of ligaments in the knee joint kinematic behavior: Development and validation of a finite element model. In: Joldes GR, Doyle B, Wittek A, Nielsen PMF, Miller K, editors. Comput Biomech Med Imaging, Model Comput. Cham: Springer International Publishing; p. 15–26.

Guess TM, Razu S, Jahandar H. 2016. Evaluation of Knee Ligament Mechanics Using Computational Models. J Knee Surg. 29(2):126–137.

Guess TM, Thiagarajan G, Kia M, Mishra M. 2010. A subject specific multibody model of the knee with menisci. Med Eng Phys [Internet]. [accessed 2019 Jul 24] 32(5):505–515. https://linkinghub.elsevier.com/retrieve/pii/S1350453310000494

Harris MD, Cyr AJ, Ali AA, Fitzpatrick CK, Rullkoetter PJ, Maletsky LP, Shelburne KB. 2016. A Combined Experimental and Computational Approach to Subject-Specific Analysis of Knee Joint Laxity. J Biomech Eng. 138(8):081004.

Hsieh YF, Draganich LF. 1997. Knee kinematics and ligament lengths during physiologic levels of isometric quadriceps loads. Knee. 4(3):145–154.

Kiapour A, Kiapour AM, Kaul V, Quatman CE, Wordeman SC, Hewett TE. 2014. Finite element model of the knee for investigation of injury mechanisms: Development and validation. J Biomech Eng. 136(1):011002.

Lahkar BK, Rohan P-Y, Pillet H, Thoreux P, Skalli W. 2018. Fast subject specific finite element mesh generation of knee joint from biplanar x-ray images. In: Cmbbe [Internet]. [place unknown]; [accessed 2019 Aug 7]. http://cmbbe2018.tecnico.ulisboa.pt/pen_cmbbe2018/pdf/WEB_PAPERS/CMBBE2018_paper_143.pdf

Limbert G, Taylor M, Middleton J. 2004. Three-dimensional finite element modelling of the human ACL: Simulation of passive knee flexion with a stressed and stress-free ACL. J Biomech. 37(11):1723–1731.

Meister BR, Michael SP, Moyer RA, Kelly JD, Schneck CD. 2000. Anatomy and kinematics of the lateral collateral ligament of the knee. Am J Sports Med. 28(6):869–878.

Mesfar W, Shirazi-Adl A. 2006. Biomechanics of changes in ACL and PCL material properties or prestrains in flexion under muscle force-implications in ligament reconstruction. Comput Methods Biomech Biomed Engin [Internet]. 9(4):201–209. https://doi.org/10.1080/10255840600795959

Moglo KE, Shirazi-Adl A. 2005. Cruciate coupling and screw-home mechanism in passive knee joint during extension-flexion. J Biomech [Internet]. [accessed 2019 Jul 10] 38(5):1075–1083. https://linkinghub.elsevier.com/retrieve/pii/S0021929004002805

Naghibi Beidokhti H, Janssen D, van de Groes S, Hazrati J, Van den Boogaard T, Verdonschot N. 2017. The influence of ligament modelling strategies on the predictive capability of finite element models of the human knee joint. J Biomech [Internet]. [accessed 2019 Jul 12] 65:1–11. https://linkinghub.elsevier.com/retrieve/pii/S0021929017304529

Orsi AD, Chakravarthy S, Canavan PK, Peña E, Goebel R, Vaziri A, Nayeb-Hashemi H. 2016. The effects of knee joint kinematics on anterior cruciate ligament injury and articular cartilage damage. Comput Methods Biomech Biomed Engin. 19(5):493–506.

Pedersen D, Vanheule V, Wirix-Speetjens R, Taylan O, Delport HP, Scheys L, Andersen MS. 2019. A novel non-invasive method for measuring knee joint laxity in four dof: In vitro proof-of-concept and validation. J Biomech [Internet]. 82:62–69. https://doi.org/10.1016/j.jbiomech.2018.10.016

Peña E, Calvo B, Martínez MA, Doblaré M. 2006. A three-dimensional finite element analysis of the combined behavior of ligaments and menisci in the healthy human knee joint. J Biomech [Internet]. [accessed 2019 Jul 24] 39(9):1686–1701. https://linkinghub.elsevier.com/retrieve/pii/S0021929005002113

Peña E, Martínez MA, Calvo B, Palanca D, Doblaré M. 2005. A finite element simulation of the effect of graft stiffness and graft tensioning in ACL reconstruction. Clin Biomech. 20(6):636–644.

Rachmat HH, Janssen D, Verkerke GJ, Diercks RL, Verdonschot N. 2016. In-situ mechanical behavior and slackness of the anterior cruciate ligament at multiple knee flexion angles. Med Eng Phys [Internet]. [accessed 2019 Jul 19] 38(3):209–215. https://linkinghub.elsevier.com/retrieve/pii/S1350453315002696

Rochcongar G, Pillet H, Bergamini E, Moreau S, Thoreux P, Skalli W, Rouch P. 2016. A new method for the evaluation of the end-to-end distance of the knee ligaments and popliteal complex during passive knee flexion. Knee [Internet]. 23(3):420–425. http://dx.doi.org/10.1016/j.knee.2016.02.003

Schlatterer B, Suedhoff I, Bonnet X, Catonne Y, Maestro M, Skalli W. 2009. Skeletal landmarks for TKR implantations: Evaluation of their accuracy using EOS imaging acquisition system. Orthop Traumatol Surg Res. 95(1):2–11.

Sherman SL, Chalmers PN, Yanke AB, Bush-Joseph CA, Verma NN, Cole BJ, Bach BR. 2012. Graft tensioning during knee ligament reconstruction: Principles and practice. J Am Acad Orthop Surg. 20(10):633–645.

Smith CR, Vignos MF, Lenhart RL, Kaiser J, Thelen DG. 2016. The influence of component alignment and ligament properties on tibiofemoral contact forces in total knee replacement. J Biomech Eng [Internet]. [accessed 2019 Jun 20] 138(2):021017. https://biomechanical.asmedigitalcollection.asme.org

Taubin G. 1995. Curve and surface smoothing without shrinkage. IEEE Int Conf Comput Vis.:852–857.

Wismans J, Veldpaus F, Janssen J, Huson A, Struben P. 1980. A three-dimensional mathematical model of the knee-joint. J Biomech [Internet]. [accessed 2019 Sep 9] 13(8):677–685. https://linkinghub.elsevier.com/retrieve/pii/0021929080903541

Woo SLY, Weiss JA, Gomez MA, Hawkins DA. 1990. Measurement of changes in ligament tension with knee motion and skeletal maturation. J Biomech Eng. 112(1):46–51.

Yang NH, Canavan PK, Nayeb-Hashemi H, Najafi B, Vaziri A. 2010. Protocol for constructing subject-specific biomechanical models of knee joint. Comput Methods Biomech Biomed Engin. 13(5):589–603.

Yoo YS, Jeong WS, Shetty NS, Ingham SJM, Smolinski P, Fu F. 2010. Changes in ACL length at different knee flexion angles: An in vivo biomechanical study. Knee Surgery, Sport Traumatol Arthrosc. 18(3):292–297.

Zaylor W, Stulberg BN, Halloran JP. 2019. Use of distraction loading to estimate subject-specific knee ligament slack lengths. J Biomech [Internet]. 92:1–5. https://doi.org/10.1016/j.jbiomech.2019.04.040

Figure Captions

Figure 1. Schematic illustration for (a) kinematic test: position of tripod markers in Polaris coordinate system (CSYS), (b) biplanar X-ray: 3D digital models of bone and tripod markers giving anatomical and ancillary reference frames in EOS CSYS, (c) CT scan: Accurate 3D digital models of bone and ligament attachment sites giving anatomical reference frames and ligament attachment locations in CT CSYS, (d) knee in experimental initial configuration giving anatomical reference frames in Polaris CSYS, (e) CT based subject-specific FE mesh and ligament attachment sites in experimental initial configuration

Figure 2. FE model with soft tissues (only shown for the distal femur and proximal tibia region)

Figure 3. Experimental ligament length change for superficial MCL throughout the flexion movement. A similar strategy was implemented for other ligaments except for PCL, which is based on literature values

Figure 4. Surface representation accuracy as a Hausdorff distance for femur, tibia, and patella

Figure 5. One-to-one comparison of FE model kinematic predictions against corresponding experimental data for (a) – (b): rotational and for (c) – (e): translational femorotibial kinematics interpreted in femur anatomical reference frame. Results reported are based on the implementation of automatically computed ligament prestrains

Figure 6. One-to-one comparison of FE model kinematic predictions against corresponding experimental data for (a) – (b): rotational and for (c) – (e): translational femorotibial kinematics interpreted in femur anatomical reference frame. Results reported obtained using a combination of automatic pre-computation and further manual adjustment

Table Headings

Table 1a Estimated ligament stiffness values for a single specimen

Table 1b Automatically computed ligament prestrain values from experimental data (case 2)

Table 1c Prestrain obtained with a combination of automatic pre-computation and further manual adjustment (case 3)

Table 2 Average RMS difference ± SD between experimental and model-predicted kinematics

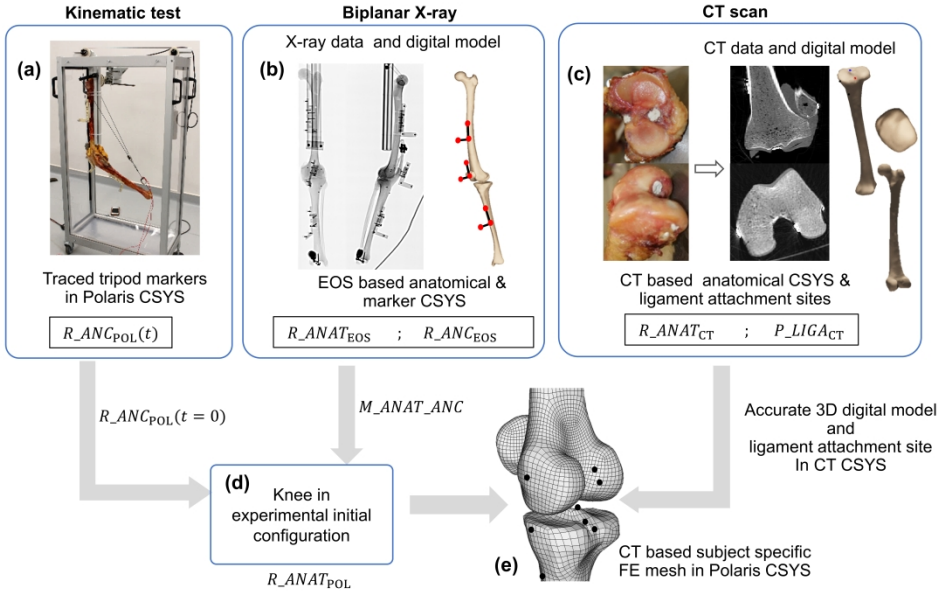


Figure 1

249x159mm (800 x 800 DPI)

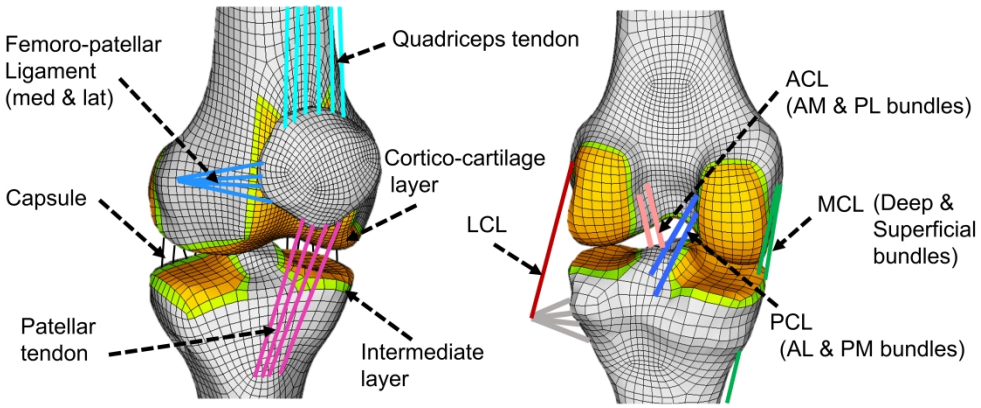


Figure 2

190x85mm (1200 x 1200 DPI)

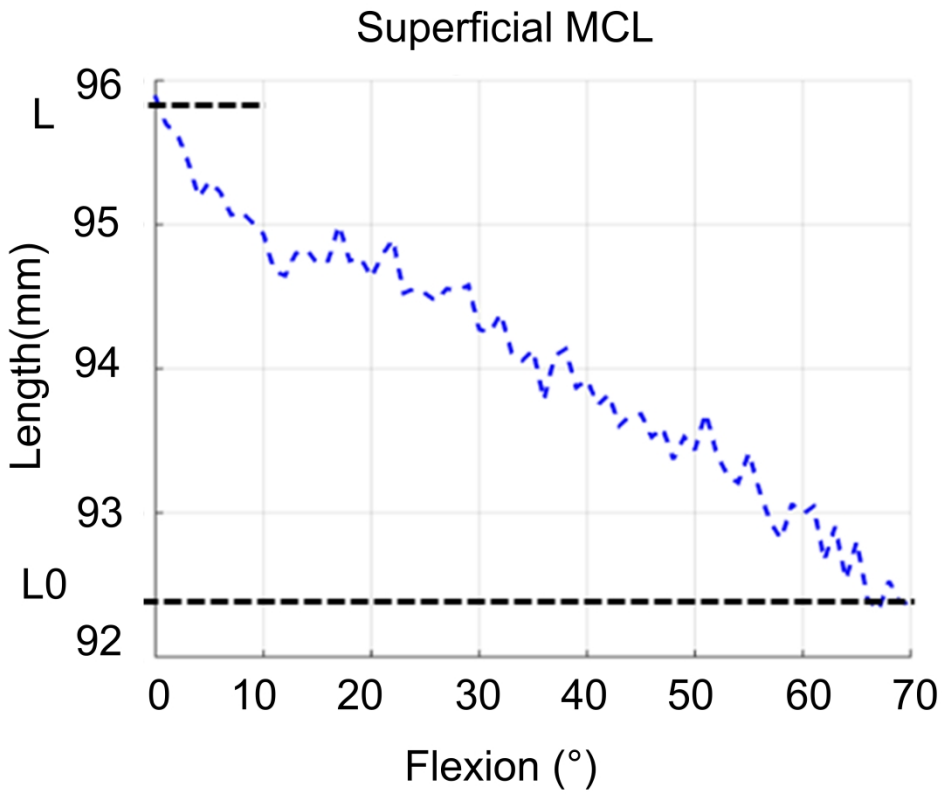


Figure 3

100x90mm (1200 x 1200 DPI)

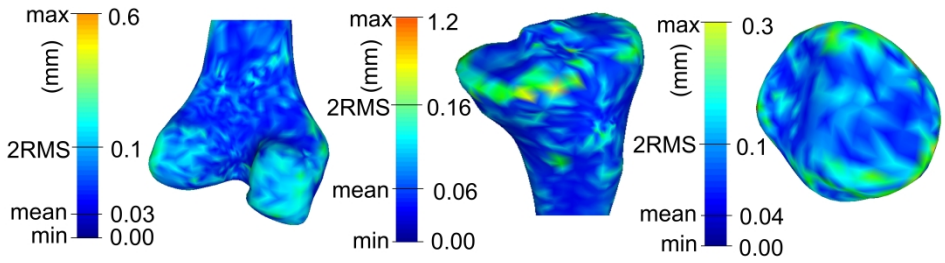


Figure 4

215x65mm (1200 x 1200 DPI)

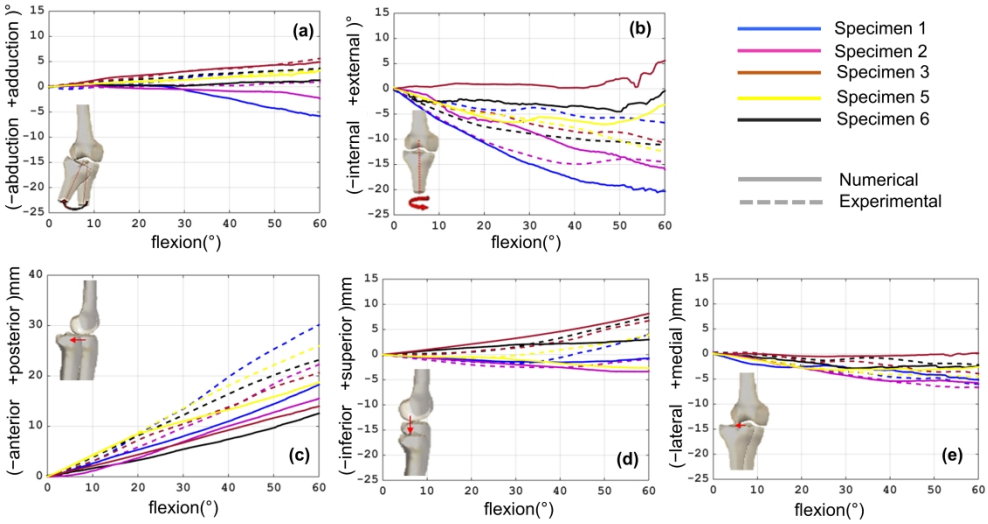


Figure 5

239x134mm (600 x 600 DPI)

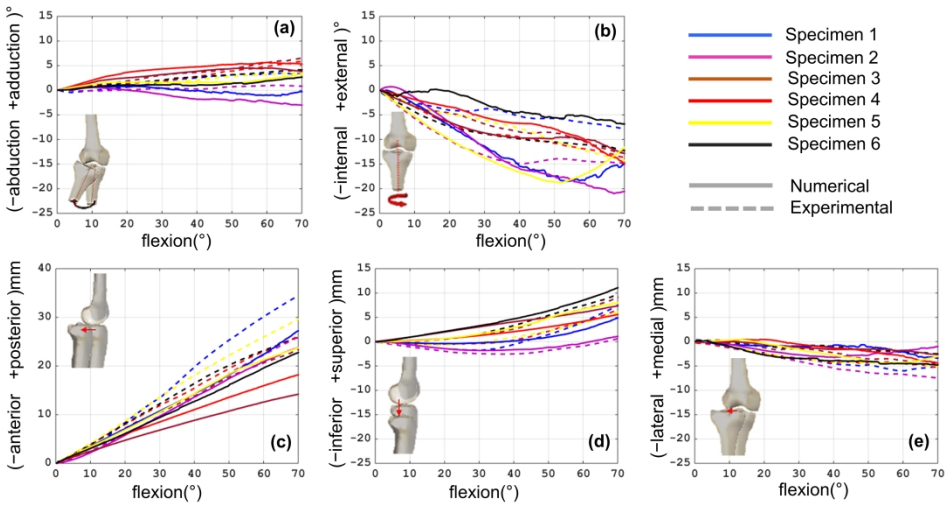


Figure 6

239x134mm (600 x 600 DPI)

Table 1a

Ligaments	ACL		PCL		MCL		LCL
Bundles	AM	PL	AL	PM	MCLd	MCLs	
Stiffness(N/mm)	125	105	125	65	45	25	60

Table 1b: Case 2

	Specimens	ACL		PCL		MCL		LCL
		AM	PL	AL	PM	MCLd	MCLs	
Prestrain (%)	Specimen1	8	14	− 8	− 20	− 1	− 3	10
	Specimen2	6	17	− 17	− 3	10	5	10
	Specimen3	− 8	16	− 10	− 10	3	2	8
	Specimen4	4	20	− 16	− 15	6	2	10
	Specimen5	9	20	− 15	− 6	8	4	7
	Specimen6	0	13	− 9	4	− 3	− 2	9

Table 1c: Case 3

	Ligaments & Bundles	ACL		PCL		MCL		LCL
		AM	PL	AL	PM	MCLd	MCLs	
Prestrain (%)	Specimen1	8	10	– 2	– 8	8	3	6
	Specimen2	6	12	– 8	– 4	6	3	5
	Specimen3	8	10	– 8	– 8	2	1	4
	Specimen4	10	10	– 9	– 5	2	3	6
	Specimen5	10	13	– 5	– 5	3	2	2
	Specimen6	6	6	– 3	– 3	4	3	3

Table 2

Flexion	Case	Abd/Add in°	Ext/Int in°	Post/Ant in mm	Sup/Inf in mm	Lat/Med in mm
0 – 60°	1	-	-	-	-	-
	2	2.4 ± 1.3	6.3 ± 6.2	5.0 ± 3.5	1.9 ± 1.8	1.2 ± 1.1
	3	1.5 ± 1.3	5.3 ± 5.1	3.4 ± 2.3	1.2 ± 0.8	2.0 ± 1.9