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Field application of close-range digital photogrammetry (CRDP) for grain scale fluvial morphology studies

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11 Abstract (up to 300 words)

12 In situ measurement of grain-scale fluvial morphology is important for studies on grain roughness, sediment transport and the interactions between animals and the 13 14 geomorphology, topics relevant to many river practitioners. Close-range digital 15 photogrammetry (CRDP) and terrestrial laser scanning (TLS) are the two most 16 common techniques to obtain high-resolution digital elevation models (DEMs) from 17 fluvial surfaces. However, field application of topography remote sensing at the grain 18 scale is presently hindered mainly by the tedious workflow challenges that one 19 needs to overcome to obtain high-accuracy elevation data. A recommended 20 approach for CRDP to collect high-resolution and high-accuracy DEMs has been 21 developed for gravel-bed flume studies. The present paper investigates the 22 deployment of the laboratory technique on three exposed gravel bars in a natural 23 river environment. In contrast to other approaches, having the calibration carried out 24 in the laboratory removes the need for independently surveyed ground-control 25 targets, and makes for an efficient and effective data collection in the field. 26 Optimisation of the gravel-bed imagery helps DEM collection, without being impacted 27 by variable lighting conditions. The benefit of a light-weight 3D-printed gravel-bed 28 model for DEM quality assessment is shown, and confirms the reliability of grain roughness data measured with CRDP. Imagery and DEM analysis evidences 29 30 sedimentological contrasts between gravel bars within the reach. The analysis of the 31 surface elevations shows the effect variable grain-size and sediment sorting have on 32 the surface roughness. By plotting the 2D structure functions and surface slopes and 33 aspects we identify different grain arrangements and surface structures. The 34 calculation of the inclination index allows determining the surface-forming flow 35 direction(s). We show that progress in topography remote sensing is important to 36 extend our knowledge on fluvial morphology processes at the grain scale, and how a 37 technique customised for use by fluvial geomorphologists in the field benefits this 38 progress.

39 Keywords (5)

40 Field work; Gravel-bed river; Photogrammetry; DEM; Grain roughness

41 **1. Introduction**

42 Studies on the geomorphology of gravel-bed rivers at the grain scale and the measurement of gravel patches have seen a growing interest over the last decades, 43 44 due to progress in high-resolution remote sensing. Digital elevation models (DEMs), 45 analysed using the standard deviation of bed elevations, can potentially replace 46 tedious sediment sampling in the field and improve grain-roughness 47 parameterisation needed for hydraulic and sediment transport calculations (Aberle 48 and Smart, 2003; Entwistle and Fuller, 2009; Heritage and Milan, 2009; Nikora et al., 49 1998). Likewise, collection of high-resolution DEMs from distinct hydraulic biotopes 50 (e.g. riffles and pools, or bars and pools, exposed at the time of measurement) was 51 critical in characterising the links between morphological units, sediment transport 52 and surface structure (Hodge et al., 2009a; Hodge et al., 2013) and to quantify the 53 "patchy" nature of gravel surfaces (Nelson et al., 2010). With only traditional field 54 sampling of surface composition, crucial information on particle exposure, 55 imbrication and surface roughness cannot be derived. Measuring microtopographic 56 relief is also important as it provides means to assess aquatic habitats and the 57 relation between species and the geomorphology (Du Preez and Tunnicliffe, 2012; 58 Hannam and Moskal, 2015; Rice et al., 2012).

59 Close-range digital photogrammetry (CRDP), i.e. the combination of using one or 60 more digital cameras and subsequent image matching to obtain surface elevations at 61 the image overlap, and "time-of-flight" terrestrial laser-scanning (TLS), are presently 62 the most commonly used remote-sensing techniques, able to measure gravel-bed 63 topography with a sufficient amount of detail at the grain scale (spatial resolution 64 ~mm). Both techniques have been used in both laboratory and field settings, and 65 have been shown capable of measuring shallow riverbed microtopography (Bertin et 66 al., 2013; Butler et al., 2002; Smith et al., 2012; Smith and Vericat, 2013). However, 67 the latter is seldom used, mainly due to degraded DEM quality compared to 68 conventional in-air measurement. In addition, this application comes with substantial experimental difficulties. Generally, studied surfaces are exposed gravel bars at low 69 70 flow or in drained laboratory flumes. When it comes to field applications, various 71 methodological attributes may be considered to decide which technique to adopt. 72 The most important aspect is the need to obtain fit-for-purpose topography data,

which are suitable for the intended analysis. In other words, what is a sufficient 73 74 measurement accuracy and precision to improve our knowledge of fluvial 75 microtopography? For measuring fluvial surfaces at the grain scale, both CRDP and 76 TLS methodologies require the greatest care to mitigate errors in elevation data 77 (Bertin et al., 2015; Hodge et al., 2009b), errors which otherwise may affect findings 78 (James et al., 2007; Lane et al., 2005). Repeating scans, in addition to applying erroneous points filtering techniques (Hodge et al., 2009b), is currently the best 79 80 option to reduce errors and improve accuracy for TLS applications, with the 81 measuring precision otherwise being dependent on the used instrument and 82 software. The challenge with CRDP is the development of a stable workflow from 83 image acquisition to surface structure data (Chandler et al., 2005; Lane, 2000; Lane 84 et al., 2000; Wackrow et al., 2007). Presently, a variety of DEM reconstruction 85 techniques are available, from the now conventional digital stereo (i.e. two-camera) 86 photogrammetry, using either commercial (Bird et al., 2010; Butler et al., 2002; 87 Chandler et al., 2005; Lane, 2000; Lane et al., 2000) or non-proprietary (Bertin et al., 88 2013; Bertin et al., 2014; Bertin et al., 2015; Bouratsis et al., 2013) calibration and 89 stereo-matching engines, to novel structure-from-motion (SfM) or multi-view stereo 90 (MVS) photogrammetry (Fonstad et al., 2013; James and Robson, 2012; Javernick 91 et al., 2014), which does not need calibration but has not been tested on a gravel 92 patch yet. Recent progress was made in the laboratory, showing that the use of non-93 proprietary digital stereo photogrammetry optimises the workflow, which when done 94 appropriately, can result in sub-millimetre accurate gravel-bed DEMs (Bertin et al., 95 2015) - a development that is yet to be tested in a natural river environment. 96 Compared to applying CRDP in the laboratory, the ease with which data are 97 collected in the field is also becoming more important, in addition to the quality of

obtained data. A well-developed CRDP system has the advantage of being easily
deployed in the field due to its reduced cost, its small size and weight, its optional
power supply and the possibility of very quick data collection (Bird et al., 2010;
Javernick et al., 2014; Lane, 2000; Rieke-Zapp et al., 2009).

102 Despite the wealth of available photogrammetric solutions, and the possibility to 103 provide a lightweight and very accurate surveying equipment customised for use by 104 earth scientists, "potential photogrammetric users continue to have reservations 105 about its potential and often consider its field use to be too complicated" (Rieke-Zapp 106 et al., 2009). In response, this paper presents an example of how CRDP can be 107 used in the field to collect information on fluvial microtopography efficiently and 108 effectively. We tested a recently developed non-proprietary CRDP technique (Bertin 109 et al., 2015), for which the imaging system relies on two consumer-grade digital 110 cameras, in a field environment, measuring exposed gravel bars at the grain scale. A 111 small meandering gravel-bed river in New Zealand was the location for the tests. 112 Imagery data were collected at three distinct gravel bars, allowing testing in a variety 113 of sediment size and surface structure settings. A ground-truth object was used on 114 site to assess the accuracy of obtained elevation data, generally performed in other 115 studies using an independent measuring device. CRDP readily produced sub-116 millimetre resolution and accuracy DEMs, without the need to deploy control targets 117 on the riverbed for calibration. Using CRDP has the advantage that calibration of the 118 cameras can be carried out in the laboratory before going to the field. We show that 119 by doing so, DEM collection is not affected negatively with test undertaken on the 120 field site. Information derived from the CRDP data was adequate to monitor surface 121 roughness, grain size and 3D arrangement. Ultimately, this allowed examination of 122 the sedimentological contrasts between bars within the reach.

123 **2. Methodology**

124 2.1. Study site: the Whakatiwai river

125 Field data were collected from the Whakatiwai river (Figure 1A), located in the 126 North Island of New Zealand (37° 05' S, 175° 18' E), a small gravel-bed river flowing 127 over greywacke material and draining a watershed of ~12 km² (maximum elevation 128 c. 490 m amsl). The Whakatiwai is fed by numerous small streams originating from 129 the steep East-facing slopes of the Hunua Ranges, and flows to sea in a very short 130 distance, roughly ten kilometres from its source to the river mouth in the Firth of 131 Thames. On the valley floor, the Whakatiwai is a meandering river, with rapid 132 alluvium bank erosion during flood flows, which essentially transports cobbles (5-25 133 cm) and pebbles (1-5 cm), with occasional patches of sand/silt and small boulders 134 found along its bed. Because of its short span, there is no gravel-sand transition; the 135 riverbed remains gravelly all the way through to the river mouth. In terms of 136 hydrologic regime, the Whakatiwai is governed by flashy hydrographs and 137 competent flows during the autumn-spring season, with mostly low flows over the 138 summer months, during which gravel bars become vegetated. No flow gauging 139 exists for the river; hence flow data are unavailable for the site.

140 For the tests a ~200 m long study reach was chosen, situated only hundred 141 meters upstream of the river mouth and comprising numerous well-defined gravel bars adjacent to the eroding banks. Three exposed and vegetation-free gravel bars 142 143 were selected, covering a range of sediment size and surface structures. They were 144 labelled bar #1 to #3, with numbers increasing upstream. Within each bar, a small 145 area of exposed gravel (~ 0.5 m²), termed "patch", was chosen at the bar head close 146 to the water edge, for consistency in the measurements, also ensuring the surfaces 147 studied are regularly water-worked.



Figure 1. Whakatiwai catchment in the North Island of New Zealand, A) site location;
and B) image of CRDP deployment on Bar #2, looking upstream. Note the alignment
of the setup with the apparent flow direction.

151 2.2. CRDP deployment and field DEM collection

152 Imagery data were collected from the three patches in August 2014, after an 153 extended period of dry weather, following a methodology developed in the laboratory 154 and presented in Bertin et al. (2015). The two cameras used in stereo (side-by-side, 155 separated by a 250 mm baseline distance between the two optical centres) were 156 Nikon D5100s (16.2 Mpixel complementary metal oxide semiconductor (CMOS) 157 sensors) with Nikkor 20 mm lenses. The cameras, fully charged, were rigidly 158 attached on a 1.2 m long mounting bar that could be hanged horizontally (using a bubble level) above the riverbed using two tripods (Figure 1B). The setup (cameras,
tripods and mounting bar) weighed approximately 8 Kg.

161 Prior to transport to the field, the cameras' arrangement on the mounting bar was 162 carefully calibrated in the laboratory by recording stereo photographs (also called stereoscopic images) of a flat chequerboard, and using Bouguet (2010)'s Matlab® 163 164 toolbox to determine the calibration parameters (readers should refer to Bertin et al. 165 (2015) for a complete description of the calibration process). The aperture was set to 166 f/20, ensuring a large depth of field, and focus was set at a distance of 0.8 m, based 167 on the expected field requirements. After calibration, care was taken to ensure that 168 the cameras' arrangement (i.e. relative rotation and translation) was not disturbed. 169 Subsequent testing in the field, after transport, confirmed that minimal disturbance 170 occured.

171 Whilst in the field, we attempted to orientate the mounting bar parallel to the 172 antecedent flow direction (Figure 1B), determined by eye from channel shape, 173 producing photographs and DEMs with x-axis values increasing downstream (e.g. 174 Figure 3). This later allowed to relate the measured bed properties to the local flow 175 direction. Stereo photographs of the exposed patches were recorded vertically, 176 reducing occlusions (i.e. shadowed areas that cannot be seen in one or the two 177 images) on the surface compared with oblique measurements, from a height of 178 approximately 0.8 m, resulting in point data spacing (i.e. pixel size) ~0.2 mm and a 179 theoretical depth resolution ~0.6 mm. Cameras were operated in manual mode, with 180 the possibility to vary the shutter speed to have well-illuminated and contrasted 181 photographs necessary for successful stereo matching (Bertin et al., 2015). Remote 182 control was possible by connecting the cameras to a laptop.

183 Data processing consisted in rectifying the stereo photographs to epipolar 184 geometry using the calibration parameters, and transforming the images with the multi-scale Retinex algorithm in GIMP[®], before pixel-to-pixel stereo matching using 185 186 Gimel'farb (2002)'s SDPS, providing point cloud data and ortho-images. Because the 187 SDPS algorithm matches corresponding points along lines of 1 pixel width, accurate 188 image rectification (hence accurate calibration) is essential to produce stereo 189 photographs whose corresponding pixels are ideally on the same scanline (also 190 called epipolar lines, i.e. same vertical position in a photograph). Doing so minimises 191 the systematic matching errors due to calibration. Image transformation with Retinex 192 heightens the similarity between the two images forming a stereo photograph and 193 improves stereo-matching performance (Bertin et al., 2015), which is specifically 194 important in the field application lacking direct control over the illumination. From the 195 point cloud data, DEMs were interpolated onto regular grids with 1 mm spacing, first 196 by interpolating onto 0.25 mm grids, consistent with the best resolution achievable, 197 to minimise the loss of topographic information (Bertin et al., 2014; Hodge et al., 198 2009b), then resampling onto the final grids to expedite calculations with minimal 199 surface smoothing (mean unsigned (absolute) error, MUE ~0.025 mm and standard 200 deviation of error, SDE ~0.035 mm between initial and resampled surfaces). Before 201 resampling onto a 1 mm grid, outliers were identified using the mean elevation 202 difference parameter (Hodge et al., 2009b), and replaced in the DEMs using bi-cubic 203 spline interpolation. Because the MUE between original and filtered DEMs 204 accounted for less than 0.01 mm, filtering was considered optional and its application 205 was not stringent.

206 2.3. CRDP validation and field DEM accuracy

207 CRDP data quality assessment was done in two ways, by (i) checking on site the 208 validity of the calibration performed in the laboratory prior to moving to the field; and 209 (ii) measuring a ground-truth object, to realistically determine the accuracy of the 210 field DEMs.

211 To ascertain the validity of the calibration performed in the laboratory, after 212 having transported the CRDP setup to the field, a small chequerboard (0.3 x 0.2 m, 213 ~0.2 Kg, made of alternating black and white squares) was placed on the riverbed 214 and photographed in different positions, altogether covering the common field of 215 view (CFoV) between both cameras. The stereo photographs were rectified using 216 the calibration data obtained in the laboratory, and the rectification error, which is the 217 absolute scanline difference between corresponding pixels (Bertin et al., 2015; 218 Bradley and Heidrich, 2010), was measured for every square's corners in all rectified 219 stereo photographs of the chequerboard (i.e. at about 200 locations throughout the 220 measurement area). The mean, standard deviation, and maximum rectification error 221 were computed, and compared with values obtained before moving to the field.

222 Final DEM quality was assessed by measuring a 3D-printed gravel bed, 223 specifically transported to the field, following the method of Bertin et al. (2014). The 224 use of this realistic ground-truth object of a water-worked gravel bed improves on 225 previous DEM assessment methods, traditionally requiring check points to be 226 deployed on the riverbed and surveyed with a total station, to be able to compare 227 with the measurements after co-registration of the two (Bouratsis et al., 2013; Butler 228 et al., 1998; Lane, 2000; Lane et al., 2000), in terms of density/repartition of the 229 check points and registration errors, hence reliability of the assessment. We show 230 herewith that the field use of the 3D-printed gravel bed ground truth sped up and

made DEM quality assessment easier. For the assessment, the 3D-printed gravel bed model (296 x 184 mm, ~1.5 Kg, shown in <u>Figure 4</u>) was imaged with CRDP besides gravel-bar microtopography (same camera distance of 0.8 m). After DEM reconstruction, measured elevations were aligned with the 'truth' elevations and compared at more than 800,000 points, located every 0.25 mm on an orthogonal grid, to realistically determine the field performance of CRDP in this work.

237 2.4. DEM analysis and information on gravel-bar surface structure

Before the DEMs were analysed, it was necessary to remove the combined effect of the local bed slope and setup misalignment from the riverbed (i.e. non-parallelism), which could obscure smaller grain-scale properties. In the absence of bedforms, linear trend surfaces were removed from the DEMs using a least-squares fit procedure (Aberle and Nikora, 2006; Cooper and Tait, 2009; Hodge et al., 2009a), and DEMs were normalised to have a mean elevation equal to zero.

244 Analysis of gravel-bar topography and surface structure started with the 245 calculation of first-order moments of detrended bed elevations: the range (Δ_Z), 246 standard deviation (σ_Z) and skewness (S_k) were evaluated. The first two parameters 247 are surrogates of grain roughness parameters based on sediment size (Aberle and 248 Smart, 2003; Entwistle and Fuller, 2009; Heritage and Milan, 2009; Nikora et al., 249 1998). The bed elevation skewness is useful to determine if a gravel bed is water-250 worked, in this case displaying positive values, contrasting with the negatively 251 skewed man-made screeded gravel beds (Aberle and Nikora, 2006). Generalised 2D 252 second-order structure functions (Nikora et al., 1998), similar to using 253 semivariograms, were used to estimate the correlations between detrended 254 elevations at different lags and in different directions. DEM analysis continued with 255 the evaluation of the slope and aspect angles of each of the 1 mm² DEM grid cells

256 (Hodge et al., 2009a), providing information on grain arrangement at the bar surface. 257 The last step in our investigation of DEM properties was the evaluation of the 258 inclination index, representing particle imbrication, which should be maximal in the 259 direction of the flow (Laronne and Carson, 1976), by analysing the signs of elevation 260 changes between successive pairs of DEM points at different lags and in different 261 directions, following the method presented in Millane et al. (2006). Information on 262 how to use these different statistical analysis methods applied to the DEMs is 263 introduced in detail in the references provided, and thus is not repeated herewith.

264 2.5. Image analysis and information on bed-surface grain size and265 orientation

To complement information derived from the DEMs, grain-size distributions (GSDs) based on the sediment grains' intermediate (*b*-) axis, and the grains' long (*a*-) axis orientation, were determined using the image-analysis tool Basegrain®, which allows for automatic grain separation in digital pictures of gravel beds and applies Fehr's (1987) line-sampling method for the results' analysis (Detert and Weitbrecht, 2012). For each patch, a single photograph collected with CRDP was necessary.

272 In order to determine the bed-surface composition (and not the subsurface 273 composition, as per default), the percentage of non-detected fines at 10 mm was 274 changed from 25% to 10% during the results' analysis, as in Rüther et al. (2013). 275 Moreover, the ratio of image-detected *b*-axis (written *b'*) and true *b*-axis, which 276 generally differs from unity due to particle burial, foreshortening and overlapping 277 (Graham et al., 2010), was adjusted to obtain GSDs comparable with GSDs obtained 278 by manual size-sieving. *b/b'* was calibrated by measuring gravels picked up along a 279 line on the riverbed with a digital calliper, and comparing the results with those 280 obtained by Basegrain[®]. The best match was obtained using b/b' = 1.19, a value also reported from armour layers formed in a laboratory flume (Bertin and Friedrich,
Submitted). Grain orientation was automatically determined by fitting an ellipse,
whose areal normalised second-central moment equals that of the grain, and by
computing the angle formed between the ellipse long axis and the flow-orientated
image long axis.

286 3. Field observations and analysis

287 3.1. Bed-surface grain size and orientation

288 The three patches examined present large differences in sediment size at the bar 289 surface (**Figures 2A and 3**). The median sediment size (D_{50}) varied between 18 and 290 47 mm; D_{16} between 7 and 18 mm; and D_{90} between 27 and 104 mm. The patch in 291 bar #1 was the better sorted of the three patches, with a geometric standard deviation $\sigma = \sqrt{D_{84}/D_{16}} = 1.4$, compared with 2.2 and 2.4 for bar #2 and bar #3, 292 293 respectively. Grain-size variability between gravel bars in the same river reach is not 294 surprising (e.g. Hauer et al. (2014) and Verdú et al. (2005)). Grain-size variability 295 within bars was also recognised, with coarser material found at the bar head 296 compared to the bar tail (D_{50} increased by 30% in average, Rice and Church (2010)). 297 Despite that consistent patch selection at the bar head was not easily achieved in practice, the between-bar grain-size differences observed in Figure 2A largely 298 299 exceed the in-bar variability observed by Rice and Church (2010), indicating a neat 300 grain-size difference between bars in the Whakatiwai that is not the result of 301 downstream fining only. It is assumed that this difference arises from a combination 302 of factors, such as the elevation of the patch with respect to the mean water-surface 303 level, the planform position of the gravel bar, consistent with competence

304 considerations (Rice and Church, 2010), and the chute of sediment from the eroding 305 banks.



Figure 2. (A) Bed-surface grain-size distributions for the three Whakatiwai patches;
and (B) frequency-distribution of grains' a-axis (i.e. long axis) orientation with respect
to the flow direction determined by eye in the field (from 0° to 180°).

309 Grain orientation at the bed surface also differed between patches (Figure 2B). 310 For bar #1, the bed material preferentially aligned its long axis parallel to the flow 311 direction. This preferential alignment of the grains with water-working, linked to an in-312 situ reworking of grains in below entrainment threshold conditions, was observed 313 previously (Aberle and Nikora, 2006; Butler et al., 2001). For bar #2, the same 314 preferential alignment of the grains was observed, but this time, the proportion of 315 grains forming a 30° angle to the flow with their *a*-axis was as large as the proportion 316 of grains aligned parallel to the flow. This may suggest that the actual surface-317 forming flow direction was somewhere between 0° and 30° with respect to the image 318 orientation. The latter analysis should be taken with caution however, as previous 319 research showed that prevailing grain orientation is also influenced by the sediment 320 transport mode, hence is an ambiguous descriptor of flow direction (Hodge et al., 321 2009a). For instance, coarse grains transported by rolling or sliding often come to 322 rest with their a-axis perpendicular to the flow direction (Laronne and Carson, 1976).

Bar #3 presents the largest proportion of grains aligned perpendicular to the (assumed) flow direction. There are two possibilities to explain this tendency: the flow direction was not correctly determined; or, more coarse grains were transported by rolling or sliding during the last competent event(s) compared to the other patches.

328 3.2. Grain-scale DEMs and ortho-images

329 CRDP naturally produces 2D (i.e. ortho-images) and 2.5D (i.e. DEMs) maps of the 330 surfaces studied (**Figure 3**). In previous studies, visualisation of the ortho-images, 331 and comparison with the DEMs, was considered an effective way of controlling 332 photogrammetric performance qualitatively (Butler et al., 1998; Lane, 2000). Since 333 visual inspection of the ortho-images obtained during this work show high quality 334 (**Figure 3**), without apparent mixed pixels and/or distortions, we can assume that 335 stereo matching performed well for the entire measurement area.

Field studies using TLS also require imagery data to be collected, whether it is for documenting (Heritage and Milan, 2009) and/or for grain-size analysis (Hodge et al., 2009a). As CRDP data are obtained from imagery, both the DEMs and the orthoimages are automatically referenced within the same coordinate system (**Figure 3**), which saves the need to align the two.

341 3.3. CRDP validation and DEM accuracy

The rectification error just after calibration (i.e. in the laboratory) was represented by a mean of 0.09 pixel, a standard deviation of 0.08 pixel and a maximum of 0.37 pixel, ensuring minimal systematic error during stereo matching. After moving the CRDP setup to the field, the rectification error increased (mean = 0.29 pixel, standard deviation = 0.23 pixel and maximum = 0.91 pixel), certainly caused by the transport 347 (and shaking that occurred) in the car. It is noted that no particular measure was
348 taken to transport the setup; other than it being placed flat in the boot of the car,
349 surrounded by



Figure 3. Final DEMs and ortho-images from the Whakatiwai patches (bar #1 to #3
from left to right). Elevation is represented as gradient of greys, and is in millimetres.
Flow direction, determined visually in the field, is from right to left. Arrows show the
most probable flow direction (Figure 8).

soft material to protect the equipment and hinder any movement. Despite the increased rectification error, which naturally will affect stereo-matching performance, the rectification error remained below 1 pixel throughout the imaging area, the threshold above which stereo-matching errors become inevitable, since the SDPS stereo matching is performed along lines of 1 pixel width. In the case of significantly impacted camera arrangement, which would have prevented accurate image rectification, it would have been possible to re-calibrate on-site using the 361 chequerboard (taking approximately 30 minutes), and/or upon return to the362 laboratory given sufficient camera battery life.

363 Figure 4A shows the 3D-printed gravel-bed model used for in-situ DEM quality 364 assessment. The chequerboard was used to ensure that the 3D model was placed 365 as horizontal on the ground as possible, facilitating the numerical co-registration of 366 measured and truth data (Bertin et al., 2014). Figure 4C shows the DEM of 367 difference (DoD), obtained by differentiating the measured DEM of the 3D-printed 368 model (Figure 4B) with the truth DEM, after alignment of the two. Comparison was 369 done on a grid with 0.25 mm spacing; hence at more than 800,000 locations. 370 Visually, large errors (> 10 mm) are rare and are essentially visible at the grains' 371 edges and the troughs of the surface. The measurement of occlusions is a well-372 known difficulty for both CRDP and TLS (Bertin et al., 2015; Bouratsis et al., 2013; 373 Chandler et al., 2005; Hodge et al., 2009b). Consequently, a general reduction in 374 pore depth and DEM properties such as σ_Z is expected. Quantitatively, most of the measured DEM points (98%) were within ± 3 mm from the truth data, 82% were 375 376 within ± 1 mm, and 58% were within ± 0.5 mm. σ_Z measured from the DEM was 377 99.8% of the truth value, showing that surface roughness is reliably measured. From 378 the DoD, a MUE of 0.67 mm between measured and truth values was estimated, 379 with a SDE of 1.16 mm and a maximum unsigned error of 17.1 mm. This is not as 380 good as what can be achieved with CRDP in a laboratory setting (MUE = 0.43 mm, 381 SDE = 0.62 mm and maximum unsigned error of 8.16 mm), with a measuring 382 distance of 640 mm and a 250 mm baseline between the cameras (Bertin et al., 383 2014). We assume that the deterioration in field DEM guality compared with the 384 laboratory is essentially the result of the increased camera-to-object distance used 385 for image recording, hence degraded horizontal and depth measurement resolutions,

and the increased rectification error due to transport. However, this evaluation shows that CRDP can measure exposed fluvial surfaces in the field with sub-millimetre resolution and vertical accuracy (based on MUE), and guarantees reliable grainscale roughness information from the DEMs. CRDP can even outperform TLS, for



Figure 4. (A) Close-up presentation of on-site quantitative evaluation of CRDP performance using a 3D-printed gravel-bed model; (B) measured DEM of the 3Dprinted model; and (C) DEM of difference (DoD) between measured and truth data (0.25 mm sampling distance).

which a rigorous past application was constrained by the 4 mm laser footprint and resulted in a minimum SDE of ~1.3 mm, after averaging three repeat scans of a plane surface in the laboratory (Hodge et al., 2009b).

397 3.4. DEM analysis

398 **Figure 5A** shows the distribution of (detrended) bed elevations for the three patches. 399 All distributions are positively skewed ($S_{\kappa} = 0.71$, 0.53 and 0.52, for bar #1 to #3, 400 respectively), confirming water-worked gravel surfaces (Aberle and Nikora, 2006). Analysis of the bed-elevation distributions shows that the three patches are different however, and certainly echoes the grain-size differences identified earlier (**Figures 2 and 3**). Previous studies observed relationships between σ_z and grain size, generally expressed as D_{50} (Aberle and Nikora, 2006; Hodge et al., 2009a; Smart et al., 2004).



405 **Figure 5.** (A) Distributions of surface elevations for the three patches. Relationships 406 between (B) the standard deviation of bed elevations (σ_z) and the bed-surface D_{50} ; 407 and (C) D_{50}/σ_z and the sediment geometric sorting (σ).

408 Here, bar #1 had the smallest D_{50} and the smallest σ_Z , whilst bar #2 had both the 409 largest D_{50} and σ_Z (**Figure 5B**). However, the ratio of σ_Z to D_{50} (0.32 to 0.46) varied 410 between patches, suggesting that D_{50} is not the only factor determining σ_{Z} , and other 411 factors such as sediment sorting are also responsible (Figure 5C). We found that the 412 ratio D_{50}/σ_Z decreases with the sediment sorting. This suggests that for similar 413 values of D_{50} , poorly-sorted sediments can create more irregular and rougher 414 surfaces, with accentuated grain packing, than well-sorted sediments, which agrees 415 with observations made by Hodge et al. (2009a).

Generalised second-order structure functions of (detrended) bed elevations were calculated for all patches for lags up to ±150 mm (corresponding to 3 to 8 D₅₀, depending on the patch), being always larger than the maximum grain size and enough to reach the saturation region, normalised by the saturation level $2\sigma_z^2$, and plotted as 2D isopleth maps (**Figure 6**). Similar to previous work (e.g. Aberle and Nikora (2006)), we found that at small lags (up to 1 D_{50}), the surface structure of the gravel bars is isotropic, as shown by the circular contours in the centre of the isopleth maps. The high correlation between pairs of points at small lags is because



Figure 6. 2D isopleth maps of the generalised second-order structure functions for the three patches (bar #1 to #3 from left to right). The assumed flow direction is along the horizontal axis. Arrows show the most probable flow direction, based on <u>Figure 8</u>.

428 the elevation pairs used to calculate the structure functions belong likely to the same 429 grain, and this suggests that small grains have no prevailing orientation. As the lag 430 increases, the contours generally become elliptical and supposedly reflect the 431 dominant grain orientation, with the long axis of the ellipse representing the a-axis 432 alignment (Hodge et al., 2009a; Nikora et al., 1998), until at large lags, equal to 2 to 433 5 D_{50} depending on the patch, the contours become very irregular. Bar #1 and bar #2 434 both show a dominant grain orientation with the a-axis parallel to the flow direction. 435 For both patches, the streamwise correlations are stronger than the cross-flow 436 correlations, and remain strong over scales extending over the streamwise size of 437 the plots. This indicates gravelly structures longitudinal to the flow, a common occurrence for water-worked gravel beds in both the laboratory and the field (Aberle 438

and Nikora, 2006; Butler et al., 2001; Cooper and Tait, 2009; Hodge et al., 2009a; Mao et al., 2011). This observation can be attributed to sediment deposition after contact with the upstream front of a stable grain and particle imbrication. Bar #3 presents a different shape of structure function for lags up to 2 to 3 D_{50} . This may reflect diamond-shape clusters (Aberle and Nikora, 2006; Mao et al., 2011), whose extensive presence can be noticed on the ortho-image (**Figure 3**), unlike other patches.

446 The combined distributions of DEM cell slope and aspect angles (Figure 7) show 447 that all three patches have a dominance of DEM cells with upstream aspects (i.e. 448 aspect angle around 0°). The latter is known to represent particle imbrication (Hodge 449 et al., 2009a), which naturally occurs in a direction parallel to the flow (Laronne and 450 Carson, 1976; Millane et al., 2006). Hence, bar #2 certainly presented the highest 451 rate of surface imbricated grains. From the dominant slope angles in Figure 7, the 452 angle of imbrication is estimated at between 25° and 50° for all patches. The three 453 patches however present different grain arrangements at the bed surface. Bar #1 is 454 characterised by DEM cell slopes rarely exceeding 70° and particle imbrication not 455 as pronounced as on bar #2. Bars #2 and #3 have DEM cells with slope angles 456 sometimes reaching 80-90°, indicating more packed particles and rougher surfaces, 457 verifying previously presented observations (Figure 5). Bar #3 shows imbrications 458 over a range of directions, from 0° to 90° with respect to the assumed flow direction. 459 Since imbrication is not centred on zero, which means that surface grains 460 predominantly imbricated in directions different from the flow direction, it might 461 suggest that the flow direction was incorrectly determined in the field. Concurrently, 462 imbricated particles covering a range of directions might say that the flow direction 463 changed over the duration of the last competent event, for example varied with flow

464 depth, or that different flows (with different directions) imbricated particles in different
465 ways over time, something which was observed in the past (Millane et al., 2006).



Figure 7. Polar plots of all 1mm² DEM grid cells aspect and slope angles for the three patches (bar #1 to #3 from left to right). Aspect angle is from 0° to 360° and slope angle is from 0° to 90°; plots are shaded by point density (high density in black, zero density in white). The assumed flow direction is from 0° to 180°. Arrows show the most probable flow direction, based on *Figure 8*.

471 Figure 8 shows the directional inclination indices calculated from the DEMs. Bar 472 #2 shows the largest inclination index; hence, more of the patch area was covered 473 by imbricated particles than on the other patches. This corroborates observations 474 made on the combined distributions of DEM cell slope and aspect angles (Figure 7). 475 For bar #2, the inclination index clearly peaks in the direction of the flow, suggesting the flow direction was correctly determined in the field (Millane et al., 2006). Bar #3 476 has an inclination index that plateaued for angles between approximately 0° and 90°, 477 478 which again follows observations made previously on Figure 7. The maximum 479 inclination index for bar #3 is attained for a DEM direction forming a 30° angle with 480 the flow, suggesting that the assumed flow direction was erroneous by 30° (Millane 481 et al., 2006). If this really is the case, the distribution of DEM cell slope and aspect 482 angles (Figure 7) for bar #3, which initially differed from the other two patches,

483 would have a more natural look and would exhibit a dominance of DEM cells with 484 upstream aspects, hence particle imbrication in the direction of the flow. Likewise, 485 this would affect the measured frequency-distribution of grains' a-axis orientation 486 (Figure 2B), which would then peak for angles perpendicular to the flow direction, indicating a dominance of particles reposing across the flow; and the shape of the 487 488 2D structure function (Figure 6), which would also show a dominant ellipse 489 orientation transverse to the flow direction at medium lags. The latter two 490 observations demonstrate that more particles were aligned transverse to the flow on 491 bar #3 than on the other patches, which can be associated with bedload transport 492 mode by rolling and sliding motion (Laronne and Carson, 1976). Bar #1 shows a 493 smoother distribution of inclination indices, skewed to the left of the plot, which might 494 say that the actual surface-forming flow direction is slightly offset from the assumed 495 flow direction. Whilst this is not as clear as on bar #3, this corroborates observations 496 made on Figures 6 and 7.



498 **Figure 8.** Directional inclination indices for the three patches. Inclination was 499 calculated for all angles between -180° and 180° at a five-degree interval, using a

separation distance between pairs of points of 1 mm, which is the DEM sampling
distance, the lag for which imbrication was the most perceptible.

502 4. Discussion

503 We previously reported on our development of a non-proprietary CRDP technique, 504 making use of consumer-grade digital cameras and off-the-shelf calibration and 505 stereo matching engines, capable of recording gravel beds, water-worked in a 506 laboratory flume, at the grain scale, characterised by sub-millimetre DEM resolution 507 and accuracy (Bertin et al., 2015). We also showed that the same CRDP technique 508 can be used for through-water recording (Bertin et al., 2013). Here, we tested the 509 possibility to deploy the setup and adapt the methodology for measurements in a 510 natural river environment in the field.

511 4.1. CRDP recommended measurement workflow and field potential

512 Compared with previous fluvial applications of digital stereo photogrammetry, 513 calibration was performed in one go with a chequerboard, and did not require the 514 placement of fixed control targets on each patch, which in turn would require surveys 515 with a total station (or another independent device) for bundle adjustment. In addition 516 to speeding up data collection and limiting the resources needed on site, calibration 517 with a chequerboard prevents the introduction of additional errors due to the total 518 station, which adversely affects calibration, and hence DEM quality (Carbonneau et 519 al., 2003). It is noted, however, that having fixed control targets of known coordinates (e.g. using a GPS tracker) (i) allows to place measured DEMs within a global 520 521 coordinate system, (ii) obviates the need to remove trend surfaces (see Section 2.4) 522 and (iii) allows direct surface differencing in sequential surveys, which, however, is 523 deemed unnecessary for small-scale DEMs. For this field work, the application of 524 photogrammetry was rendered even more effective by doing the calibration in "ideal" 525 conditions in the laboratory, providing optimum calibration parameters, prior to 526 moving to the field. There are drawbacks to this method however. The cameras' arrangement on the mounting bar, after calibration, needs to remain as unmodified 527 528 as possible until the gravel-bed images are collected, to guarantee representative 529 calibration parameters. Using a chequerboard allowed efficient and effective testing 530 of the calibration validity after transport to the field, which was confirmed in this 531 study. Moreover, a laboratory calibration requires pre-supposition of the camera-to-532 riverbed and baseline distances used in the field, both controlling the measurement 533 performance, and therefore limiting the applicability of the calibrated setup to a range 534 of tasks (microtopographic measurements herewith). With the large body of work on 535 the subject, it is well known that digital cameras are versatile instruments, able to 536 perform 3D measurements over a range of spatial scales, from microtopography to 537 channel shape (Butler et al., 2001; Javernick et al., 2014; Lane, 2000; Lane et al., 538 2003). The studied scale will depend on the application details. Our CRDP workflow 539 can accommodate various measurement scenarios: (i) several overlapping small-540 scale DEMs can be merged together, producing a larger DEM that shares the 541 measurement quality of the original DEMs (Bertin et al., accepted for publication); (ii) 542 the CRDP setup can be adjusted and re-calibrated on site to suit larger-scale 543 measurements better (e.g. by increasing the baseline and camera distance); (iii) 544 more than one pre-calibrated setup can be transported to the field, each attributed a 545 specific task; and (iv) a camera can be detached to collect imagery from which 546 larger-scale DEMs are reconstructed using other methods than herewith presented 547 (e.g. SfM, (Javernick et al., 2014)). It is noted that novel SfM/MVS photogrammetric 548 techniques, using a single non-calibrated camera, may provide a viable alternative to

549 classical stereo photogrammetry in measuring gravel patches at fine scales. Some 550 SfM/MVS pipelines are freely available (Stumpf et al., 2015). They are able to 551 generate data at high resolution (James and Robson, 2012) and can begin to tackle 552 the problem of occlusions since imagery is collected from different viewpoints. 553 However, SfM/MVS-generated DEMs may suffer from large non-linear distortions 554 due to inadequate lens distortion calibration (Fonstad et al., 2013; Ouédraogo et al., 555 2014), a drawback that has been resolved in traditional stereo photogrammetry 556 (Bertin et al., 2015; Wackrow and Chandler, 2008). Furthermore, a large number of 557 images (possibly hundreds) are necessary to reach DEM densities comparable to 558 the one required for this study, and will result in much longer processing time (James 559 and Robson, 2012).

560 In conjunction with accurate calibration, scanline-based pixel-to-pixel stereo 561 matching adopted in this study resulted in dense DEMs, with the possibility to have a 562 DEM grid size as small as the pixel size at the riverbed's distance. This fact limited 563 surface smoothing and improved on traditional area-based methods, whereby the 564 smallest DEM grid size is chosen to be five times the pixel size on the surface (Lane 565 et al., 2000). Limited post-processing was applied on the DEMs, which was deemed 566 optional and prevented the introduction of new errors. For TLS applications, 567 measurement resolution can also be a limiting factor for DEM quality. Hodge et al. 568 (2009a; 2009b) reported using a laser-scanning system with a 4 mm footprint in a 569 field study measuring grain-scale fluvial morphology. A rigorous methodology was 570 necessary to maximise point coverage and density and to minimise the effect of the 571 oblique scan angles, by collecting data from two or three scanner positions around 572 each patch, registered together by simultaneously scanning a network of fixed 573 targets, and taking three repeat scans from each scanner position to minimise errors

in the data. The reported turn-round time was approximately 25-30 minutes per scan.
However, there was still the need of significant post-processing in the form of three
filters to obtain accurate metrics (Hodge et al., 2009a; Hodge et al., 2009b; Smith et
al., 2012).

578 A potential advantage of TLS over CRDP is its direct "time-of-flight" 579 measurement, compared with measurements relying on image guality and texture 580 (Hodge et al., 2009b). This certainly helped promoting the advent of range (also 581 called time-of-flight) cameras and usage in the Earth Sciences (Mankoff and Russo, 582 2013; Nitsche et al., 2013). However, a number of difficulties, including the need to 583 collect data in low-light conditions, currently limit the applicability of this recent 584 technology in the field and prevent accurate grain-scale data collection. Moreover, 585 surface reflectivity can introduce systematic time-of-flight measurement errors 586 (Hodge et al., 2009b; Nitsche et al., 2013), for which the only remedies are repeat 587 scan processing and filtering. In contrast, digital photogrammetry provides the 588 opportunity to optimise image collection (e.g. by varying the shutter speed), and use 589 image transformation techniques, such as Retinex, to improve stereo matching. This 590 proved to be a source of significant DEM accuracy improvement in the laboratory 591 (Bertin et al., 2015), and we expect this will become even more important in the field, 592 where lighting conditions are variable.

593 During this field work, we also tested the possibility to assess DEM quality 594 without ground check points and a total station. Data quality assessment, an 595 important component to every topographic survey (Lane et al., 2005), was performed 596 using a ground-truth object produced by 3D printing (Bertin et al., 2014). Due to the 597 small size of the 3D-printed model, we believe this assessment suits small-scale 598 DEMs well, but would not be adequate for larger DEMs. In addition to saving time on

site, the use of a realistic ground truth provided a precise and reliable quantification
of DEM errors. This way, we showed that CRDP is capable of measuring complex
surfaces in the field with good vertical accuracy.

602 4.2. Riverbed morphology and between-bar variations

603 A range of methods was used to analyse the gravel-bed DEMs, some of which are 604 by now well-known to the Earth Science community (e.g. probability distribution 605 functions and generalised structure functions), and have been used extensively in 606 studies on the geomorphology of gravel-bed rivers over the past decades (e.g. 607 Robert (1991); Butler et al. (2001); Aberle and Nikora (2006)). Other methods 608 however, such as the combined distribution of DEM cells' slope and aspect angles and the directional inclination index, have only been used in a handful of studies on 609 610 gravel-bed rivers so far (Hodge et al., 2009a; Millane et al., 2006; Qin et al., 2012). 611 Analysis of surface elevations (Figure 5) identified differences between the three 612 patches, and showed that both the median grain size (D_{50}) and sediment sorting (σ) 613 exert control on the surface irregularity and geometric roughness after water-work, 614 with the geometric roughness represented by the standard deviation of bed 615 elevations (σ_7). The bed-elevation distribution skewness (S_{κ}), positive for all bars, 616 confirmed that the patches comprised water-worked gravels (Aberle and Nikora, 617 2006). Information derived from the 2D structure functions was useful to identify 618 variations on the size, orientation and type of gravel structures found on the gravel 619 bars. Bars #1 and #2 had longitudinal gravel structures, extending over lengths 620 several times the surface D_{50} . Diamond-shaped clusters were observed on bar #3, 621 which was evidenced in the 2D isopleth maps (Figure 6). There was a good 622 agreement between the prevailing grain orientation determined using either the 623 structure functions or grain delineation in the photographs (Figure 2B). However,

624 this failed at being conclusive on the surface-forming flow direction (Hodge et al., 625 2009a). The latter is notoriously difficult to determine accurately from visual 626 observations in the field (e.g. Smart et al. (2004)). Using DEMs has improved means 627 to determine the antecedent flow direction from measurements of exposed gravel 628 surfaces, especially when relying on surface inclinations (Aberle and Nikora, 2006; 629 Millane et al., 2006; Smart et al., 2004). In this study, analyses of the directional 630 inclination index (Figure 8) and the combined distribution of DEM cell slope and 631 aspect angle (Figure 7) reached the same conclusion on particle imbrication, hence 632 surface-forming flow direction(s). Bar #2 was the patch with most of its surface 633 covered with imbricated particles (Figure 8). The neat imbrication in a single 634 direction confirmed the flow direction determined in the field. Bars #1 and #3 635 presented imbricated particles over a range of directions, suggesting flow direction 636 changed over the last flow event(s) and imbricated particles in different ways. 637 Plotting the directional inclination index has the advantage of clearly showing the 638 angle(s) for which imbrication is the most significant (Millane et al., 2006), hence the 639 surface-forming flow direction(s). Surface slope and aspect is hardly 640 parameterisable, but provides information on the angles with which sediment 641 particles repose at the surface.

As Rice and Church (2010) pointed out, focus in past research has been on bedmaterial grain size variation in gravel-bed rivers at reach and river-length scales, and has sought to explain the principal features, including downstream fining and the gravel-sand transition. Relatively little information is currently available on the variations in surface structure and geometric roughness, despite that we know that these factors influence flow resistance and sediment transport (Church et al., 1998; Komar and Li, 1986; Laronne and Carson, 1976), and may be used to explain the

649 processes responsible for the formation and evolution of sedimentary units, such as 650 riffles and pools (Hodge et al., 2013). As shown in the presented study, field 651 deployment of remote-sensing techniques, such as CRDP, is becoming easier, and 652 statistical analysis of the DEMs has the potential to provide important information on 653 the variations in surface structure.

654 **5. Conclusion**

655 Collecting information on gravel-bed rivers at the grain scale in both the laboratory 656 and the field, although technically and methodologically challenging, is important for 657 applications such as roughness studies, sediment transport and the interactions 658 between animals and the geomorphology, topics relevant to many river practitioners. 659 Sediment size and 3D arrangement at the riverbed surface are all useful information 660 to collect; these factors control physical processes such as the resistance to the flow, 661 the ability of the flow to entrain sediment and create sediment structures, which in 662 turn can explain the existence of distinct sedimentary units within a river reach, and 663 the large-scale evolution of river basins.

664 Along with TLS, CRDP is a mature remote-sensing technique, theoretically 665 capable of high-spatial point density and accuracy, necessary for precisely 666 measuring gravel-bed microtopography. Despite extensive applications in the Earth 667 Sciences, both techniques suffer from a tedious measuring workflow when it comes 668 to measure fluvial sediment at the grain scale, which currently hinders the general 669 applicability of these techniques in the field, and in spite of the best of 670 methodological efforts, may not always guarantee reliable findings based on the 671 measured DEMs.

672 This study presented how CRDP can be efficiently deployed in the field to collect 673 high-resolution and high-accuracy DEMs from exposed gravel bars. The only 674 resources needed were two digital cameras mounted on a rigid bar, two tripods and 675 a laptop. Field data collection was greatly simplified by undertaking the necessary 676 calibration in the laboratory, prior to moving to the field. This removed the need to 677 deploy ground-control targets. Dense stereo matching and image optimisation 678 helped the collection of DEMs without being impacted by variable lighting conditions, 679 which challenge applications of TLS and range imaging. A light-weight 3D-printed 680 model, resembling a water-worked gravel bed, was used on site as a ground-truth 681 object to assess the accurate measurement of elevation data. In this work, DEMs 682 were collected at a 1 mm sampling distance, which could go as low as the pixel size 683 at the riverbed's distance (i.e. around 0.25 mm), with a measured accuracy of 0.67 684 mm (based on MUE), which guaranteed reliable grain roughness properties from the 685 DEMs.

686 A variety of statistical methods was applied to the DEMs and identified between-687 bar sedimentological contrasts. Analysis of the distribution of surface elevations 688 confirmed that the surfaces were water-worked (positive distribution skewness) and 689 allowed ranking the patches by their geometrical roughness (σ_z). It showed how σ_z is 690 influenced by both the median grain size (D_{50}) and sediment sorting (σ) . Information 691 derived from the 2D structure functions helped identify variations in size, orientation 692 and type of gravel structures found on the gravel bars. Bars #1 and #2 both had 693 longitudinal gravel structures, which contrasted with the diamond-shaped clusters 694 found on bar #3. The prevailing grain orientation determined from automatically 695 delineated grains in the photographs supported observations from the 2D structure 696 functions, but failed at being conclusive on the surface-forming flow direction. For the

697 latter, analyses of the directional inclination index and the combined distribution of
698 DEM cell slope and aspect angle were the most helpful, showing the direction(s) of
699 particle imbrication, hence the surface-forming flow direction(s).

Continuous progress in topography remote sensing is important to extend our fluvial knowledge, for example by allowing the study of flow-channel processes at different scales, in both space and time. Better characterisation of these processes in situ, with the efficient and effective measurement of submerged surfaces, is a critical task that needs to be tackled in future, ultimately to develop a technique customised for use by fluvial geomorphologists in the field.

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