

# Measurement of Gravel-Bed Topography: Evaluation Study Applying Statistical Roughness Analysis

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# 1 Measurement of gravel-bed topography: an evaluation study applying statistical

# 2 roughness analysis

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- 4

### 5 Abstract

In this two-part study, experiments are conducted to evaluate available topography 6 7 measurement techniques for gravel-beds in a laboratory flume and to study their suitability for 8 statistical roughness analysis. The available instruments for this study include, (i) an acoustic 9 bed-profiler; (ii) a hand-held laser-scanner; and (iii) two digital consumer cameras forming a 10 stereo-photogrammetric system, and are employed to obtain Digital Elevation Models 11 (DEMs) of water-worked gravel-beds. In the first part of the study, the three measurement techniques are reviewed and their feasibilities for future grain-scale roughness work assessed, 12 13 based on the obtained elevation datasets. Water-worked gravel-bed topographies are measured with all three available measurement techniques. The analysis of the DEMs concentrates on 14 15 using Probability Distribution Functions (PDFs) and second-order structure functions of bed 16 elevations. Roughness coefficients are determined and used as a benchmark for comparison of the three measurement techniques. Although, visually, differences in the DEMs obtained with 17 18 different measurement techniques are observed, the results of the chosen statistical analysis do 19 not disclose the visual differences to the same extent. It is shown that the used stereo-20 photogrammetric system, although theoretically allowing a fast and high-resolution recording 21 process, lacks behind in accuracy. Thus, the second part of the study identifies and presents 22 steps to improve the quality of the obtained stereo-photogrammetric DEMs. A checklist is

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provided, highlighting the improvements made in the follow-up study, in order to obtain a high-quality stereo-photogrammetric DEM. The overview will be useful for other researchers to make use of available low-cost and high-quality consumer camera equipment, to set-up their own, non-proprietary stereo-photogrammetric system.

27

28 Subject headings: Topography; Bed roughness; Distance measurement; Photogrammetry.
29

### **30 INTRODUCTION**

### 31 Roughness characterization

With recent research advances and technology improvement, statistical analysis of bed elevations in gravel-bed rivers has become crucial to define roughness parameters, quantify armoring effects, and hence to understand the nature of the river flow.

35 Traditionally, bed-scale roughness parameters used in bedload transport and flow resistance 36 equations are described using percentiles of the Grain Size Distribution (GSD) of the 37 sediment constituting the bed. The intermediate particle axis is chosen as the base value for 38 GSD, despite it is recognized that the short axis is the one protruding into the flow, and is 39 therefore responsible for the bulk of the resistance to the flow (de Jong 1995; Robert 1990). An equivalent roughness parameter is commonly used, such as Nikuradse's  $k_s$  set to  $3.5D_{84}$ , 40 41 where  $D_{84}$  is the length of the intermediate axis of the sediment particle in the GSD for which 42 84-% of the particles are smaller (Clifford et al. 1992). GSD characteristics can be determined with techniques such as sieve analysis, Wolman's (1954) or Fehr's (1987) line-by-number 43 44 sampling procedures or similar methods, which require disturbance of the gravel-bed surface. 45 Detailed discussions and comparisons of those methods can be found in the work of Bunte and Abt (2001). 2D image-based automated methods became more popular over the last years
(Chang and Chung 2012; Detert and Weitbrecht 2012; Graham et al. 2010; Strom et al. 2010)
and allow in-situ recording of GSD characteristics. However, overlapping and buried particles
make the use of automated techniques, utilizing photographs, challenging.

The complex arrangements of the particles on the river-bed, such as varied orientations, packing and protrusions also highlight the limitations of using GSD characteristics as a parameter in bedload transport and flow resistance equations. Even the use of a full GSD is not sufficient to fully represent the surface morphology and its effect on the flow field (Nikora et al. 1998; Robert 1988; Robert 1990).

55 Alternatively, the river-bed surface can be considered as a random field of surface elevations 56 z(x, y, t), where z is the surface height at coordinates x and y at time t. Thus, the bed can be 57 represented as a Digital Elevation Model (DEM). Recent research has shown that high-58 resolution DEMs, obtained using various topography measurement technologies, allowed the 59 use of statistical tools such as Probability Distribution Functions (PDFs) and second-order 2D 60 structure functions, to understand the structure of water-worked sand-dunes and gravel-beds 61 and quantify roughness parameters (Aberle et al. 2010; Butler et al. 2001; Friedrich 2010; 62 Goring et al. 1999; Nikora et al. 1998; Robert and Richards 1988). The hydraulic roughness can be described as a scale-dependent set of three coefficients, the longitudinal, the 63 64 transversal and the vertical roughness, with the vertical roughness represented by the standard 65 deviation of bed elevation (Aberle and Nikora 2006; Cooper and Tait 2009; Nikora et al. 66 1998; Smart et al. 2004). Appropriate measurement technologies are needed to obtain the random field of bed elevations, z(x, y, t), and thus being able to define the various inherent 67 68 scales of gravel-bed roughness. More attention has to be given to evaluate the measurement 69 techniques that enable such detailed roughness characterization.

#### 70 **Topography measurement techniques**

71 Mainly, three types of remote sensing techniques have been used over the years to obtain DEMs of gravel beds: bed-profilers, laser-scanners and stereo-photogrammetry. Coleman's 72 73 (1997) laboratory experiments with an acoustic bed-profiler proved that this instrument can be 74 successfully employed to measure submerged topography in a large range of situations. Water 75 depths ranging from 5-mm to 1000-mm were employed, as well as various downstream and transverse sampling distances and adjustable acoustic frequency for different vertical 76 77 accuracies. The acoustic bed-profiler comprises a sounding probe, which generates ultrasonic 78 waves that reflect off the sediment bed and are received by the probe. The time of passage of 79 sound waves is measured electronically and allows determining the distance of the sediment 80 bed from the probe. Unlike infrared bed-profilers, as in Robert (1988), acoustic bed-profilers 81 allow submerged topography measurement. Flowing water can be employed in some 82 situations, and measurements are theoretically unaffected by suspended sediment of size of 83 the order of the sound wavelength or smaller. Acoustic bed-profilers enable higher spatial 84 resolution than the generally used 10-mm sampling distance with physical profilers (de Jong 85 1995; Nikora et al. 1998; Smart et al. 2004). However, regardless of the type of bed-profiler, a significant amount of time is required to obtain fine-scale DEMs over a larger area. 86

A very high horizontal resolution and vertical accuracy can be achieved by using time-offlight Terrestrial Laser-Scanners (TLSs), also called ground-based LiDAR (light detection and ranging) systems. These scanners find the distance of an object by measuring the round-trip time of a pulse of laser light. Aberle and Nikora (2006), Cooper and Tait (2009) and Goring et al. (1999) conducted bed-elevation measurements in drained laboratory flumes using TLSs. Manufacturer specified vertical accuracies vary from 0.5-μm to 0.1-mm. Heritage and Hetherington (2007), Hodge et al. (2009) and Smart et al. (2004) conducted surveys with TLSs over exposed gravel bars in natural streams. Laser-scanning is the most precise widerange topography measurement device at the moment. However, TLSs' data requires significant post-processing in the form of filtering to remove systematic errors (Hodge et al. 2008). Also, despite the existence of a multitude of laser-scanners, the cost remains high. There is another disadvantage, as some of the TLSs cannot be used for measuring submerged topographies, because of an infrared light pulse absorbed by water (Hodge et al. 2008; Smart et al. 2004).

101 Similarly to human vision, stereo-photogrammetry makes use of two overlapping 2D images 102 to obtain one depth image within the Common Field of View (CFoV) of the two images. 103 Nowadays, commercially available software packages, such as OrthoMAX from Erdas 104 Imagine®, allow high-resolution DEM recording with digital stereo-photogrammetry, from 105 either digital images or digitized film photographs. Those packages are often expensive and limited for specific use. Using OrthoMAX, laboratory-based experiments were carried out by 106 107 Butler et al. (2001), Brasington and Smart (2003) and Chandler et al. (2001). DEMs of 108 exposed, simulated beds, were plotted onto an adjustable grid, with a sampling distance as 109 small as 1.5-mm. Using ground control points coordinates, Brasington and Smart (2003) and 110 Chandler et al. (2001) assessed surface precision, with standard errors in vertical 111 measurement of 2-mm and 1.1-mm, respectively. Stereo-photogrammetry has the advantage 112 to be relatively easy to set up in both laboratory and field environments, with very quick data 113 acquisition, yet it generally requires special calibration. Field-based applications were carried 114 out by Butler et al. (1998) and Carbonneau et al. (2003), to measure the topography of 115 exposed gravel bars. In addition, Butler et al. (2002) and Westaway et al. (2000; 2001), 116 proved that stereo-photogrammetry can handle through-water measurement, but a rigorous 117 strategy must be employed to assess the quality of the DEMs. Whilst stereo-photogrammetry 118 is to date certainly the most promising technique to remotely sense the dynamic behavior of gravel-beds at the grain scale, the operational process to obtain DEMs is complex. This generally requires the development of a unique methodology to carefully assess the reliability of the measurements (Butler et al. 1998). Another disadvantage is oblique scanning from two different viewpoints, which causes shadowing behind protruding particles and results in erroneous data in those affected areas.

#### 124 **Objective**

125 Often laboratories have access to one measurement technique for gravel-bed data acquisition. 126 The study's objective is to compare bed-roughness data collected with the above described, 127 most commonly used, three measurement methodologies, which were available for this project. The study is split in two parts, which build upon each other. Initially, a screeded bed 128 129 was water-worked and once fully developed, the bed was measured with all three available 130 measurement techniques, namely acoustic bed-profiler, laser scanner and stereo-131 photogrammetry. The water-worked data are used to study the suitability of the DEMs to 132 undertake statistical analysis of the bed roughness and assess the differences in quantitative 133 and qualitative roughness characteristics for the same roughness area, but different 134 measurement methodology. The analysis focuses on the hydraulic roughness, represented by 135 the standard deviation of bed elevations, and bed-elevation Probability Distribution Functions 136 (PDFs) and second-order structure functions (semivariograms) of the DEMs. In order to 137 compare the water-worked roughness characteristics, screeded bed topography, recorded with 138 the acoustic bed-profiler, is assessed.

The second part of the study presents the methodological improvements that were made for
the stereo-photogrammetric setup, and how those improvements affect the quality of recorded
DEMs.

#### 142 DATA COLLECTION

#### 143 The experimental setup

144 Data collection was undertaken in the Fluid Mechanics Laboratory of The University of 145 Auckland, using a 19-m long sediment-starved tilting flume, with 0.45-m width and 0.5-m 146 depth. For the presented experiments, the slope of the flume bed was set to 0.45. 147 Measurements were obtained on a vertically adjustable test section, located 10-m downstream 148 of the flume inlet, comprising of a fixed bed with a 950-mm long and 450-mm wide recess. 149 The recess was filled with graded, rounded and colored gravel (each class of sediment, except 150 the smallest one was painted with a different color, using white, yellow, green, blue and red). 151 The use of painted gravel particles was necessary for a parallel study on cluster formation and 152 sediment tracking. The sediment had a median size of the intermediate particle axis  $D_{50} = 7$ -153 mm, a minimum sediment size of 0.7-mm, a maximum gravel size of 50-mm and a geometric standard deviation of the grain distribution, calculated as  $\sqrt{D_{84}/D_{16}}$ , of 2.98. To enable 154 155 topography measurement, the test section was equipped with a horizontal rack for the acoustic 156 bed-profiler, as well as an overhead mounting bar for stereo-photogrammetry equipment, on 157 which two cameras were mounted (Figure 1). The initial screeded bed was created by placing 158 randomly mixed sediment into the base of the vertically adjustable survey area and the surface 159 was flattened to a thickness of 100-mm, parallel to the flume bed. The topography of the 160 initial manually screeded bed was measured with the acoustic bed-profiler. The gravel-bed 161 was then water-worked and naturally armored over four hours, at a constant flow rate Q = 66-L/s, monitored by a pre-calibrated pressure gauge. The water depth was kept constant at 200-162 163 mm, and adjusted using a sharp-edged weir at the downstream end of the flume. A steady 164 uniform flow was maintained throughout the experiment with a Froude number Fr = 0.58.

165 The area used for direct comparison of the three measurement techniques was 0.35-m long 166 and 0.3-m wide (Figure 2). As the laser-scanner could not be used directly at the flume, a 167 metallic tray was submerged into the initially screeded mixture, completely covered by the 168 sediment layer, allowing the careful transportation of the 0.35-m long and 0.3-m wide water-169 worked gravel-bed sample to the location, where the laser is housed. Whilst water-working, 170 the upper sediment layer eroded downstream. The experiment was stopped just when the tray 171 started to be exposed. Thereafter, the bed topography was measured with the acoustic bed-172 profiler. The flume was then drained to allow in-air stereo-photogrammetry measurement. Finally, the metallic tray was carefully uncovered and moved to the location of the laser-173 174 scanner, to undertake the laser-scanner data collection.



175

# 177 Acoustic bed-profiler

Measurements of the submerged gravel-beds, at initial screeded and final water-worked stages, were undertaken with a reduced flow rate to avoid suspended sediment that could lead to erroneous data. The acoustic bed-profiler is comprised of a sounding probe, located 100mm above the sediment bed, mounted on a rack above the flume, traversing the measurement

<sup>176</sup> **Fig. 1.** Flume setup

182 section in flow direction, and an optical potentiometer of 256 increments per revolution, 183 attached to the hub of a 200-mm diameter wheel, tracking the probe location in the 184 downstream direction. With a sound wave of frequency f = 2-MHz it provided a theoretical 185 vertical accuracy of 0.37-mm (see Table 1). 2D longitudinal bed-elevation profiles were 186 acquired and subsequently DEMs were obtained with a sampling distance of 2.45-mm 187 downstream (based on the number of increments on the potentiometer and wheel size) and 188 2.54-mm transverse (0.1-inch on the scale utilized), representing the physical grid over which 189 the measurements were done.



190

191 Fig. 2. Gravel bed and measurement window

### 192 Laser-scanner

The topography of the final water-worked bed, restricted to the area bounded by the edges of the metallic tray, was measured with an Inition MVT CLS60 hand-held laser-scanner, available in The University of Auckland Automated Systems Laboratory. Because of the short arm of the laser, it could not be used directly above the flume and required the transportation of the gravel-bed sample. Once transported, the sample was scanned vertically from a distance of 100-mm by making several overlapping stripes. The theoretical vertical accuracy is quantified as 0.05-mm (manufacturer specified), and data is recorded on a non-uniform spatial 200 grid. The merging of the overlapping stripes was operated automatically by the instrument's 201 software, with the process repeated until successful merging was obtained. The laser-scanner 202 has the advantage that both depth and color information are recorded, although color 203 information was not used for this study. Finally, data was saved as an ASCII file and was 204 directly read into MATLAB®. The non-uniform data cloud was transformed to a uniform grid 205 with 1.45-mm sampling distance (Table 1). Measurements took place in non-submerged 206 conditions. However, preliminary tests showed that wet conditions, e.g. with wet particle 207 surfaces, have no detrimental effect on the laser's accuracy (see Table 2).

### 208 Stereo-photogrammetry

209 Stereo-photogrammetric measurements of the exposed water-worked bed were carried out 210 with two Nikon D90 digital consumer cameras, with an 18-mm lens and a 5.5-µm pixel pitch 211 (12.3-megapixels). The two cameras were attached on a frame 1-m above the flume, 212 mechanically aligned with a 280-mm baseline between the cameras, using a mounting bar. 213 The settings for both cameras were manually adjusted to be identical (shutter speed of 1.3-s, 214 F/22 aperture, ISO 200) and manually focused on the gravel-bed. The Common Field of View 215 (CFoV) of the two cameras, chosen to be larger than the test section, defined the area over 216 which depth information was extracted. Initially, stereo images of the calibration 217 checkerboard were taken in various positions inside the hydraulic flume, covering all degrees 218 of freedom and most of the cameras' CFoV (Zhang 1998). Using MATLAB® and Bouguet 219 and Perona's (1998) camera calibration toolbox, the intrinsic parameters of the two cameras 220 (focal length, principal point, skew among others), and the extrinsic parameters of the stereo 221 setup (translation and rotation between the two cameras) were determined. Radial and tangential lens distortion of the two cameras was modeled using a 6<sup>th</sup> order polynomial. The 222 223 calibration data enabled the photographs of the gravel-bed to be rectified to epipolar 224 geometry, where corresponding points between the left and right images are on the same scan 225 lines, after distortion is removed. From the rectified images of the gravel-bed and the 226 calculated disparity search range, the Symmetric Dynamic Programming Stereo-227 photogrammetry (SDPS) algorithm allowed getting a map of the bed surface elevations, also 228 called disparity map, within the CFoV (Gimel'farb 2002). A point cloud is extracted, with 229 sampling distance between measured data points representing the pixel size on the gravel-bed 230 images as taken with the Nikon D90s from a distance of 1-m. Finally, the data were 231 transferred to a uniform grid with a sampling distance of 1-mm (Table 1). The best vertical 232 accuracy achievable by the setup in these conditions was calculated as 1-mm.

#### 233 Improved stereo-photogrammetric setup

The results obtained with stereo-photogrammetry in the first part of the study were not satisfactory, as shown in the 'Results' section. Thus, work was done to obtain better DEMs using photogrammetric means.

237 The following improvements were made, which can be used as a checklist to obtain high-238 quality DEMs. Firstly, the measurement section needs to be illuminated as evenly and as best 239 as possible. In addition to placing light sources strategically, any reflection bouncing off the 240 measured object can negatively influence the measurement process. Thus, as a first step, the 241 light sources outside the flume were changed from initially four flood lights to two 1-m long 242 neon lights, which were placed behind a dissipative sheet, resulting in a homogeneous 243 illumination of the measurement area. Secondly, the painted gravels were replaced by natural 244 gravel particles, eliminating any reflection bouncing off the gravel-bed. The third change was 245 to resize the CFoV, by reducing the distance from the cameras to the gravel bed. This change is not responsible in its own for the reduction of streaks and noise in the DEMs, but it led to 246 247 an enhanced vertical accuracy of 0.34-mm (see Table 1). In addition, the measurement

resolution increased to approximately 30.8-million points/m<sup>2</sup>, equivalent to 0.18-mm pixel 248 249 size on the gravel-bed surface. Fourthly, a modified checkerboard was used. The 250 checkerboard used previously was made of plastic, and was a cause of light reflection. It did 251 not always allow correct corner recognition during the calibration process leading to 252 inaccurate calibration parameters. Finally, the frame mounting bar holding the cameras was 253 adjusted to allow a faster and more accurate calibration process. The cameras' mounting bar 254 could be rotated at 90°, allowing photographs of the checkerboard to be taken with the 255 checkerboard mounted on a tripod outside the flume.

256 A simple MATLAB® program was developed to evaluate the accuracy of the calibration step, 257 based on the reprojection error. Using the calibration results (summarized in the projection 258 matrices of the two cameras), the estimated corners of the checkerboard, originally in 3D 259 world coordinates, can be reprojected onto the 2D calibration images. The estimated corners 260 are compared with the actual corners detected with the subpixel corner detection algorithm 261 available with MATLAB®. The reprojection error, in pixel, is defined as the difference 262 between the actual corners and the estimated corners. A decrease in reprojection error shows 263 that the calibration parameters (both intrinsic and extrinsic) are better estimated. In the 264 absence of an external assessment of DEMs quality, an internal assessment of the calibration 265 step was undertaken to contrast two DEMs obtained with stereo-photogrammetry.

#### 266 Data post-processing

The same data post-processing procedure was applied to all DEMs obtained in the first part of the study. The DEM obtained with the improved stereo-photogrammetric setup (Figure 5), forming the second part of the study, was of high quality, with no spikes observed and the following data post-processing procedure was not needed. Data post-processing consisted of removing measurement spikes and interpolating gaps. Spikes, which were outside the minimum/ maximum bed elevations, as found with the acoustic bed-profiler DEM  $\pm$  2-mm, were removed. Minor spikes, due to measurement imprecision and noise, were automatically detected as data points lying outside their four neighbors' elevation, allowing for a  $\pm$  3-mm threshold. The threshold of 3-mm is specific to the used sediment mixture and was obtained through manual testing. The gaps generated by the removal of spikes were automatically filled by the average elevation of the four neighboring data points.

278 Before analyzing the DEMs statistically, a detrending algorithm was applied on each DEM. 279 Surface detrending aims to remove larger scale trends than the grain-scale, such as bed slopes, 280 which could obscure DEMs properties and bias grain roughness statistics. This also removes 281 any deviation of the measurement instruments from a parallel positioning to the sediment bed. In accordance with Andreas and Trevino (1997), and Goring et al. (1999), a second-order 282 283 biquadratic removing filter was applied, as this reduced the variance of the original data series 284 the most. The difference in variance between the original and the detrended signals was 285 significant, and exceeded the square of the measurement resolutions. Finally, all DEMs were 286 normalized to have a mean bed elevation equal to zero, and rotated in order to align the DEMs 287 with the flow direction.

## 288 STATISTICAL ROUGHNESS ANALYSIS

Statistical roughness analysis serves as the basis for the comparison of the employed measurement techniques and whether the obtained DEMs (Figure 4) warrant to be used for future studies on gravel-bed roughness characterization. Initially, Probability Distribution Functions (PDFs) were obtained, representing the distribution of surface elevations. Parameters like skewness, kurtosis and standard deviation of surface elevations were extracted from the detrended surfaces. Similar to previous work by Aberle and Nikora (2006), 295 Cooper and Tait (2009) and Nikora et al. (1998), the vertical roughness height was estimated 296 using the standard deviation of the bed elevation  $\sigma_z$ .

In addition, generalized second-order 2D structure functions were used, defined by Nikora etal. (1998) in discrete form as:

299 
$$D_{G2}(\Delta x, \Delta y) = \frac{1}{(N-n)(M-m)} \sum_{i=0}^{N-n} \sum_{j=0}^{M-m} \{ |z(x_i + n\delta x, y_j + m\delta y) \}$$

$$300 - z(x_i, y_j)|\}^2 (1)$$

301 where,  $\Delta x = n\delta x$  and  $\Delta y = m\delta y$ ;  $\delta x$  and  $\delta y$  are sampling intervals in the longitudinal and 302 transverse directions respectively; n=1,2,3,...N and m=1,2,3,...M. N and M are the number of 303 samples in the same two directions. The relationship in Eq.1 is used to represent the 304 generalized 1D second-order structure functions, as well as isopleth maps (contour plots) of 305 the 2D structure functions. To enable a direct comparison between the various measurement 306 techniques, the structure functions were normalized with the 'saturation level'  $2\sigma_z^2$  and the 307 contours of  $D_{G2}$  were plotted as proportions of the saturation level.

The irregular nature of gravel-bed profiles suits the use of generalized structure functions to investigate the fractal properties of the bed surface, which provides information about the inherent scales of roughness present on the gravel-bed surface. A structure function has three regions: a scaling region with uniform slope at small lags, a saturation region at large lags, where the slope is zero, with a transition region in between, where the slope decreases (Butler et al. 2001; Goring et al. 1999; Nikora et al. 1998; Robert and Richards 1988).

At small spatial lags, the scaling region can be fitted by a power function of the form  $D(\Delta x) \propto \Delta x^{2H_x}$  (Figure 7a) and  $D(\Delta y) \propto \Delta y^{2H_y}$  (Figure 7b). Butler et al. (2001) and Robert (1988) showed that when plotted in log-log scale, the use of a power function allows the determination of the directional Hurst exponents  $H_x$  and  $H_y$ , representing a basic method to estimate the fractal dimension of series of data along the downstream and transverse directions, respectively. They also showed that the directional fractal dimensions of series of points, also called Hausdorff dimensions, can be estimated from the slopes of the linear parts of the structure function, using the relation 2 - H and can be linked to different roughness scales.

323 **RESULTS** 

#### 324 Digital Elevation Models (DEMs)

325 Both, the initial screeded and final water-worked beds, were measured with the acoustic bed-326 profiler over the complete recess section (Figure 3). The manually screeded bed (Figure 3a) is 327 composed of grains of different sizes with buried bigger particles. The surface is flat and 328 uniform, with bed elevations between -12.3-mm and 7.2-mm, and sediment randomly 329 distributed. In contrast, the water-worked bed (Figure 3b) presents a heterogeneous surface, 330 with a larger range of bed elevations, of -24.4-mm to 29.7-mm. The surface, composed of 331 coarse grains, with small particles filling the holes between them, presents the evidence of 332 armoring. In Figure 3b, the edges of the metallic tray are clearly visible, defining the region 333 over which the statistical analyses were conducted.

The DEMs of the water-worked bed vary notably (Figure 4). With the acoustic bed-profiler, the surface appears smooth with little noise (Figure 4a). Any visible errors are concentrated on the particles' edges, where major elevation changes occur rapidly. The laser-scanner's DEMs (Figure 4b) are similar to those obtained with the acoustic bed-profiler. Although, theoretically, the higher resolution of the laser scanner should enable the edges of the particles to be more representative, this is not observed, due to measurement noise. The DEM obtained with stereo-photogrammetry (Figure 4c) is of least quality, despite the highest resolution. Not 341 all of the major gravel particles are well captured. Detailed inspections also show major noise, 342 even after despiking, thus prompting us to investigate the cause of the noise further, which 343 resulted in the methodological changes made on the photogrammetric setup presented in 344 section 'Improved stereo-photogrammetric setup'. The changes led to a decreased 345 reprojection error. The obtained DEM presented in Figure 5 used calibration results with a standard deviation in reprojection error of 0.2-pixels, compared to the reprojection error for 346 347 the DEM of Figure 4c with a standard deviation of 1.15-pixels. The measurement noise is 348 correctly suppressed by an improved photogrammetric process, and all particles on the gravel-349 bed surface are correctly represented. Consequently, the decreased reprojection error results in 350 a visually appealing DEM (Figure 5), plotted on a very fine grid, with 0.2-mm sampling 351 distance.



Fig. 3. Detrended DEMs obtained with the acoustic bed profiler in submerged conditions,
after despiking, for (a) the initial screeded bed; (b) the final water-worked bed

# 355 **Probability Distribution Functions (PDFs)**

356 PDFs were generated to allow a statistical comparison of texture parameters for the various 357 measurement techniques, such as skewness, kurtosis, range of elevations, and the vertical 358 roughness length. In addition, it is a useful tool for comparing initial screeded and final water-359 worked beds and studying the influence of armoring.



360

Fig. 4. Detrended DEMs of the water-worked bed, after despiking, focused on the region
within the metallic tray, obtained with (a) the acoustic bed profiler; (b) the laser scanner (dry
condition); (c) stereophotogrammetry



365 Fig. 5. DEM of a water-worked bed obtained with the improved stereophotogrammetric setup

In accordance with previous studies, e.g. Aberle and Nikora (2006) and Cooper and Tait 366 367 (2009), the initial screeded bed is negatively skewed (Figure 6a), whereas the water-worked 368 beds are positively skewed (Figures 6b-c-d), for all three measurement techniques. The PDFs 369 of Figure 6 also visualize the augmentation of geometrical roughness during the armor layer 370 development, i.e. the augmentation of particles of higher elevations with respect to the mean 371 bed level, which protrude into the flow. A larger range of bed elevations is observed for a 372 water-worked bed (Figures 6b-c-d), compared with the narrower range for a screeded bed 373 (Figure 6a). As Table 2 shows, after water-working the initially screeded gravel-bed, the skewness coefficient and the standard deviation of the bed elevation,  $\sigma_z$ , increase, and 374 375 characterize the coarsening of the gravel-bed surface. No significant change in the kurtosis 376 value was observed.



377

Fig. 6. PDFs obtained with (a) the acoustic bed profiler over the screeded bed, and over the restricted water-worked bed with (b) the acoustic bed profiler; (c) the laser scanner (dry condition); (d) stereophotogrammetry

381 For laser-scanner DEMs, similar statistics for the dry and wet surfaces are obtained, indicating a good reproducibility of the results. Although, statistically, the DEM obtained with the initial 382 383 stereo-photogrammetric setup, results in a reduced skewness and roughness length, the 384 statistics do not vary to the extent, as the visible DEM shortcomings would suggest. The 385 statistics of the DEM obtained with the improved stereo-photogrammetric setup, as obtained 386 in the second part of the study, are not presented, as the studied water-worked gravel-bed is 387 different. Figures 6b-c-d show that the range of bed elevations is similar for all three DEMs, 388 but the distribution of bed elevations in the different ranges varies. The DEM obtained with 389 the acoustic bed-profiler presents the lowest sorting in bed elevations, with the smallest 390 fraction of bed elevations around the zero mean-bed level. Noise present in the DEMs 391 obtained with the laser-scanner and the initial stereo-photogrammetric setup causes more 392 particles to be distributed around the zero-mean and less particles at low and high elevations.

### **393** Second-order structure functions

394 Previous research showed that the value of the Hurst exponent is inversely proportional to the 395 degree of complexity of the gravel-bed surface (Aberle and Nikora 2006; Cooper and Tait 396 2009; Nikora et al. 1998). For our study, the screeded bed has a smaller Hurst exponent than 397 the water-worked bed, which suggests that the screeded bed surface, with numerous smaller 398 bed elevations, is more complex than the water-worked bed, which comprises a larger range 399 of bed elevations (Figure 7). This correlates well with previous experiments of Aberle and 400 Nikora (2006), where Hurst exponents of the man-made screeded beds are smaller than those 401 of water-worked beds, with Hurst exponents increasing with increasing discharge.

The scaling region of the structure function provides information about the horizontal roughness indices, which can be determined from the slope breakpoint, located at the intersection between the tangent to the scaling region slope and the saturation level 405 asymptote, in both x and y direction. The horizontal roughness indices ( $\Delta x_0$  and  $\Delta y_0$ ) are larger 406 for water-worked beds, than for screeded beds (Figure 7). The sediment particles on the bed 407 surface coarsen during water-work.



409 Fig. 7. Generalized second-order structure functions plot in a log-log scale, for (a)  $\Delta y = 0$ ; (b) 410  $\Delta x = 0$ 

As presented by Aberle and Nikora (2006) and Friedrich (2010), the use of isopleth maps of
2D structure functions provides useful information on the surface forming mechanisms during

413 the armor layer development. Contour lines at small spatial lags are circular for the screeded 414 bed (Figure 8a), indicating isotropy of the surface structure and a random organization, 415 whereas the contour lines of all water-worked beds are characterized at small spatial lags by 416 an elliptical shape (Figure 8b-c-d). This finding agrees with previous work by Aberle and 417 Nikora (2006), Cooper and Tait (2009) and Goring et al. (1999). Geometrically, the elliptical 418 shape of the contour lines reflects the general elliptical form of the dominant particles and an 419 anisotropic surface structure of the bed. In this study, particles rotate to align their long axis 420 across the flow direction (Figure 8b-c-d), as observed also by Goring et al. (1999).



421

422 Fig. 8. Contour lines of structure functions obtained with (a) the acoustic bed profiler over the
423 screeded bed, and over the restricted water-worked bed with (b) the acoustic bed profiler; (c)
424 the laser scanner (dry condition); (d) stereophotogrammetry

### 425 **DISCUSSION**

426 The three measurement technologies employed in this study, theoretically allow grain-scale 427 elevation measurements of water-worked beds in a laboratory flume. In their work, Lane et al. (1994) estimated that between 4,000 and 10,000-points/m<sup>2</sup> (equivalent to 10-mm to 16-mm 428 429 sampling distance) are necessary to survey the morphology of a braided proglacial stream at 430 the grain scale. In the first part of our laboratory study, utilizing sediment with  $D_{50} = 7$ -mm, 431 DEMs with resolutions between 160,000 and 1,000,000-points/m<sup>2</sup> (equivalent to 1-mm to 432 2.54-mm sampling distance) were obtained. For the 0.35-m long and 0.3-m wide 433 measurement window, this equates to approximately 16,870 data points for DEMs obtained 434 with the acoustic bed-profiler. DEMs with around 49,940 and 105,000 data points were 435 obtained for the laser-scanner and initial stereo-photogrammetric setup, respectively. Our 436 statistical analysis showed that the measurement resolution is not the only key parameter 437 when comparing the quality of the DEMs. The initial stereo-photogrammetric setup had the 438 highest measurement resolution, with statistics showing only a minor deviation from the other 439 DEMs, but visual observation of the DEM showed substantial shortcomings (Figure 4c). 440 Problems were encountered with the reflection of the painted gravel particles, causing 441 inaccuracies in creating the map of the bed surface elevations, and thus resulting in spikes in 442 the DEM. Compared to the other two measurement techniques, stereo-photogrammetry 443 requires a multi-stage recording process (calibration of cameras, creating depth map, 444 extracting point cloud), with errors of various stages accumulating. Calibration inaccuracies 445 for the initial stereo-photogrammetric DEM (Figure 4c), prevented the correct rectification of 446 the gravel-bed stereo pairs to epipolar geometry. With stereo-photogrammetry, the recording 447 time was the quickest of all techniques once the cameras were calibrated, which required 30-448 minutes (to obtain photographs of the checkerboard and extract the calibration parameters in 449 MATLAB®). Calibration results could then be utilized to rectify all images of the gravel-bed

450 acquired with the same setup, i.e. when no changes were made on the cameras parameters or451 the setup geometry.

The laser-scanner is theoretically the most accurate measurement instrument for this study, with a theoretical vertical accuracy of 0.05-mm. Laser scanning enabled a fast survey of the measurement window. However, the size of the latter was restricted by the laser arm. It also required the transportation of the gravel sample to the location of the laser, and required merging of scanned areas, as it was not able to scan the whole measurement window in one scan. Spikes were encountered during the merging process, which had to be removed automatically, but measurement noise remained, reducing the quality of the final DEM.

459 Finally, the acoustic bed-profiler measured the bed elevation with a theoretical vertical 460 accuracy of 0.37-mm. It was the most straightforward measurement equipment to use and was 461 the only technique in our study that enabled measurement of the submerged gravel-bed. 462 During water-working, sediment of sizes larger than 2-mm were transported in suspension, 463 thus requiring to halt the experiment during measurements, to ensure no suspended sediment 464 particles were picked up by the recording. The recording time was the longest of the three 465 studied techniques, which can be a significant drawback when successive DEMs have to be 466 obtained of evolving processes.

Visually, DEMs obtained with the acoustic bed-profiler and the laser-scanner seemed well suited for statistical roughness analysis, whereas the DEM obtained with the initial stereophotogrammetric setup showed an obvious lack of topographical accuracy. Following the visual study of the DEMs, the DEMs underwent statistical roughness analysis. The vertical roughness length, as expressed with the standard deviation of bed elevations  $\sigma_z$ , is similar for all DEMs (see Table 2). It was found  $\sigma_z = 5.36 \pm 0.4$ . As observed visually, the grains' edges were not represented accurately in the DEM obtained with the acoustic bed-profiler, 474 resulting in flatter gravel tops and thus overestimate the standard deviation of bed elevation.
475 The laser-scanner allowed a good reproducibility of the results when tested over a dry and wet
476 gravel-bed surface, as seen in Table 2.

477 Statistical results of the PDFs and generalized second-order structure functions, as presented 478 in Figures 6, 7 and 8, show a good agreement for the various DEMs. This indicates that 479 although visible differences in the topography of the DEMs were observed, those 480 measurement differences are less identifiable when DEMs are described statistically.

481 Our evaluation study highlights that a detailed visual validation of the DEMs is crucial for 482 gravel-bed studies, as significantly different DEMs of the same topography can produce 483 similar roughness statistics. Quantitative validation of DEMs quality can only be made 484 through comparison of obtained bed elevations with a ground truth, which is often practically 485 not feasible, as in our presented study. In our study, the laser-scanner was theoretically the 486 most accurate instrument, but noise in the DEM prevented using the laser-scanner data as a 487 ground truth. Other studies evaluate the error rate of one measurement technique by 488 comparing several records with each other, like it was done in studies employing stereo-489 photogrammetry (Butler et al. 1998; Carbonneau et al. 2003; Chandler et al. 2001).

490 The obtained initial stereo-photogrammetric DEM was of inferior quality (Figure 4c) and 491 prompted additional testing to obtain high-quality DEMs, as the literature research showed 492 that low-cost stereo-photogrammetric measurements of gravel-bed topographies will be useful 493 for future studies. In previous hydraulic applications of stereo-photogrammetry, semi-metric 494 cameras were used (Butler et al. 1998; Butler et al. 2001; Butler et al. 2002), in addition to 495 commercial photogrammetric software (Brasington and Smart 2003; Butler et al. 1998; 496 Carbonneau et al. 2003; Chandler et al. 2001; Rapp et al. 2012; Westaway et al. 2001). The 497 calibration technique relied on automatic block-bundle adjustment, or self-calibration 498 technique. Targets were required, generally a large number, to be arranged on the surface to 499 survey. The 3D locations of the targets are determined with Leica total stations, making for 500 the duplicity of measurement instruments in the experiments and increasing the chance of 501 errors propagating. In addition, targets glued on the riverbed surface disturb the experiment 502 and are not complementary with an otherwise remotely sensed topography.

503 In our low-cost stereo-photogrammetric setup, we decide to use Zhang calibration technique 504 (Zhang 1998), which requires a planar calibration pattern (called checkerboard) to be 505 photographed in several positions. Although it requires additional time to obtain these images, 506 this calibration technique avoids using ground control points disposed on the riverbed. It is 507 also considered that Zhang calibration will be more suitable for field work, where the areal 508 coverage is larger than inside laboratory flumes, which would mean that more targets are required, compared to a laboratory study. Using ground control points, measured with total 509 510 stations, has however the advantage that it provides information to assess externally the 511 DEM's quality. With our proposed low-cost stereo-photogrammetric setup, a simple 512 MATLAB® program was prepared to evaluate the accuracy of the calibration step, which was 513 identified as a source of error for the DEMs. The evaluation is based on the reprojection error. 514 Following the checklist provided in section 'Improved stereo-photogrammetric setup' results 515 in a decreased reprojection error, which in turn enables a high-quality DEM to be obtained 516 (Figure 5).

517 Besides exhibiting the best accuracy qualitatively, the DEM obtained with the improved 518 stereo-photogrammetric setup has a sampling distance of 0.2-mm on the gravel-bed surface, 519 which corresponds to the highest DEM resolution so far obtained for gravel-bed studies, 520 compared to the previous minimum of 1-mm obtained by Cooper and Tait (2009) with TLS 521 and by Carbonneau et al. (2003) with stereo-photogrammetry.

#### 522 CONCLUSION

A laboratory study to evaluate available measurement techniques to study the hydraulic roughness for gravel beds is presented. The use of an acoustic bed-profiler, a hand-held laserscanner and stereo-photogrammetry allowed acquisition of DEMs. Analysis techniques, such as determination of the vertical roughness heights, PDFs and generalized second-order structure functions, are used to study the random field of bed elevations, as represented by the DEMs. The advantages and disadvantages of the three measurement techniques in use are discussed, as well as their suitability for obtaining DEMs for statistical roughness analysis.

The results show that all three measurement techniques used for this study are capable of recording DEMs with sampling distances small enough to examine an evolving gravel-bed at the grain scale in laboratory conditions, using a sediment mixture with  $D_{50} = 7$ -mm. Statistical analysis resulted in describing vertical as well as horizontal roughness characteristics. Although, visually, differences in the DEMs obtained with different measurement techniques are observed, the results of the chosen statistical analysis do not disclose the visual differences to the same extent.

537 The accuracy of the DEM obtained with stereo-photogrammetry in the first part of this study 538 is inferior to the DEMs obtained with the other techniques, which did not agree with what is 539 theoretically achievable with a stereo-photogrammetric system. We thus presented changes 540 made in the setup, resulting in the highest gravel-bed DEM resolution obtained with stereo-541 photogrammetry, 25-million points/m2. The result warrants further research into setting up 542 non-proprietary stereo-photogrammetric systems for sediment transport studies. Work is 543 needed to prepare a gravel-bed ground truth to quantitatively validate the DEM's accuracy 544 obtained with the non-proprietary stereo-photogrammetric system. The next step is to employ

and validate the system underwater, and obtain DEMs to study the dynamic behavior ofgravel-beds at the grain-scale.

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# Table 1 Summary of the measurement specifications.

Measurement technique Measurement specifications	Acoustic bed- profiler	Stereo- Laser-scanner photogrammetric setup (first part)		Improved stereo- photogrammetric setup (second part)	
[Downstream x transverse] DEM sampling distance (mm)	2.45 x 2.54	1.45 x 1.45	1 x 1	0.2 x 0.2	
Theoretical vertical accuracy (mm)	0.37	0.05	1	0.34	

Bed condition	Screeded bed	Water-worked bed				
Measurement technique	Acoustic bed- profiler	Acoustic bed- profiler	Laser-Scanner		Stereo- Photogrammetry	
Bed condition Texture coefficients	Submerged	Submerged	Dry surface	Wet surface	Dry surface	
Skewness (-)	-0.5	0.81	0.79	0.86	0.5	
Kurtosis (-)	4.57	3.8	4.07	4.26	3.58	
$\sigma_Z (mm)$	1.33	5.76	5.32	5.32	5.0	
$\Delta x_0 \ (\mathrm{mm})$	6	7.2	9.7	10.1	6.9	
$\Delta y_0 \ (mm)$	6.8	8.5	8	8.1	9	

# **Table 2 Texture coefficients extracted from bed elevation PDFs and structure functions.**