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**Importance of atmospheric aerosol pollutants on the degradation of Al<sub>2</sub>O<sub>3</sub>  
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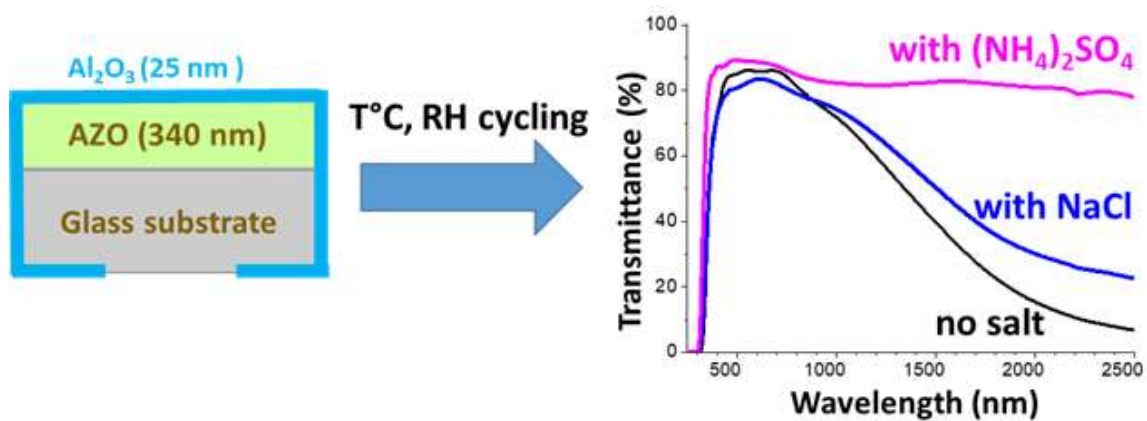
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**ABSTRACT:** Atmospheric aerosol pollutants are considered for the first time for the durability evaluation of non-metallic photovoltaic materials on the example of pristine and  $\text{Al}_2\text{O}_3$ -encapsulated Al-doped zinc oxide (AZO) window layers. The AZO samples were exposed to a varied temperature and humidity cycle, completed or not by a daily deposition of  $(\text{NH}_4)_2\text{SO}_4$  or NaCl aerosols, typical pollutants in rural and marine environments, respectively. The samples exposed with and without the pollutants were compared after one and two weeks of the test. Optical transmittance and conductivity significantly degraded only for the samples exposed with the pollutants. Raman spectroscopy, X-ray diffraction and X-ray photoelectron spectroscopy evidenced localized dissolution of the AZO film and chemical modification of the  $\text{Al}_2\text{O}_3$  encapsulation. The most severe degradation was caused by  $(\text{NH}_4)_2\text{SO}_4$ , which was attributed to the high stability of soluble  $[\text{Zn}(\text{NH}_3)_4^{2+}]$  complexes. The  $\text{Al}_2\text{O}_3$  encapsulation improved chemical and physical stability of AZO in the presence of  $(\text{NH}_4)_2\text{SO}_4$  but not in the presence of NaCl. The latter can be explained by pitting corrosion of  $\text{Al}_2\text{O}_3$ . Optical transmission curves are coherent with the AZO layer thinning in the presence of NaCl and very localized AZO dissolution (most likely grain boundary etching) in the presence of  $(\text{NH}_4)_2\text{SO}_4$ . The enhanced degradation of encapsulated AZO in the presence of atmospheric aerosol pollutants suggests that they cannot be neglected in the evaluation of barrier protection capacities of novel encapsulates and, more generally, in the outdoor durability assessment of novel photovoltaic materials and devices.

**Keywords:** outdoor durability; flexible photovoltaics; atmospheric pollutants; Al:ZnO transparent conductive oxide;  $\text{Al}_2\text{O}_3$ -encapsulation.

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**Keywords:** outdoor durability; flexible photovoltaics; atmospheric pollutants; Al:ZnO transparent conductive oxide;  $\text{Al}_2\text{O}_3$ -encapsulation.

## 1. 1. Introduction

To guarantee reliability of newly developed photovoltaic (PV) modules for several decades, the relevant failure mechanisms of PV materials and their assemblies need understanding. These mechanisms can be analysed for the modules that have been in service for 25-35 years now [1–3]. Failure modes of newly developed materials can, however, only be obtained by employing some specific accelerated degradation procedures. A reliable accelerated test should favour the degradation mechanisms relevant for outdoor conditions, so its design requires to know the key factors controlling the environmentally assisted degradation of PV materials and assemblies. The latter is in particular true for the systems for which there is no “in field” validated knowledge.

The common accelerated tests in the PV industry are expected to take into account the effects that are considered as the most harmful for PV modules, i.e. sunlight illumination [4], heat and humidity [5]. The chemistry of the outdoor environment is, however, not limited to humidity because different gaseous pollutants and aerosols can penetrate the system [6]. Some effects of complex chemical environments are considered in specific tests [7], including immersion in liquid ammonia (IEC 62716:2013) and salt spray mist exposures (IEC 61701:2011, IEC 60068-2-52:2017). These tests are used for the qualification of PV modules expected to serve in humid atmospheres with high concentrations of dissolved ammonia (possible for farm integrated PV systems) or chlorides (coastal climate). They are, however, mainly used to assess the corrosion resistance of the metallic parts of the modules (bus-bars, cables, frames, electrical contacts etc.), whereas no examination is made to investigate the effects of changing chemistry on non-metallic PV materials. Although water ingress in solar cells has been seriously studied in numerous

scientific works (see, for instance, [8] [9,10]), the effects of chemical pollutants, such as gases and aerosols, on the stability of PV materials are rarely discussed in the scientific PV community and are not considered in the common accelerated tests. This is mainly because the aerosols penetration into fully protected encapsulated systems, and hence their interactions with the active PV materials, are expected to be minor. The ions could be, however, leached from the aerosol salts, accumulated on the surface, and infiltrate PV systems in a soluble form.

The common practice to protect PV modules from humidity is to use glass in combination with thick organic encapsulates, e.g. ethylene-vinyl acetate (EVA) or polyvinyl butyral (PVB) [3]. The added value of thin layer PV technology, like copper indium gallium selenide Cu(In,Ga)Se<sub>2</sub> (CIGS) solar cells, is that they offer a possibility to produce flexible modules [11], for which the protection by thick glass-glass assembly is not interesting. These modules use thin polymeric front/back sheets which are lightweight and flexible. Humidity is regarded as the main durability issue for CIGS cells [12] and water resistant thin inorganic films are studied as a complement or an alternative for poor moisture resistant polymeric materials. Thus, less than 100 nm thick Al<sub>2</sub>O<sub>3</sub> films grown by atomic layer deposition (ALD) have been suggested to effectively encapsulate CIGS solar cells and modules [13,14].

For CIGS solar cells/modules, the observed primary failure mode is degradation of the most used transparent conductive oxide (TCO), i.e. the Al-doped zinc oxide Al:ZnO (AZO) [15]. Flexible modules are susceptible to mechanical stress (e.g. bending, deformation) thus most likely prone to form temporary or local cracks through which chemical pollutants can penetrate and degrade the underlying active material. Some works [8,9,10,15–17] have considered effects of humidity and atmospheric gases such as CO<sub>2</sub> and O<sub>2</sub> on the stability of CIGS and in particular on the AZO, introducing pollutants in humid gases or in immersion conditions. The effects of the atmospheric gases have been, however, studied only for non-encapsulated systems, assuming that any encapsulation layer is enough strong to completely block the access of the gaseous and particulate constituents. The presence of atmospheric pollutants can, however, strongly affect transport properties and chemical resistance of both, inorganic (e.g. [18]) and organic [19,20], materials used for the encapsulation. Moreover, if moisture is considered to be able to penetrate through the encapsulating layer, the dissolved atmospheric pollutants also could do it. Their effect on the encapsulated CIGS systems should be hence considered.

Another aspect, unexplored in the literature, concerns the effects induced by the accumulation of salt aerosols, deposited at atmospheric conditions. Nature and quantities of the deposited salts, as well as the corrosivity of the formed aqueous layers, strongly depend on the climate and atmospheric pollutants levels [6,21,22]. Variations in soluble salt composition and concentration can strongly influence atmospheric stability of exposed materials. As demonstrated previously by the accelerated ageing tests of painted metallic materials in automotive applications, disregarding these variations in accelerated tests can lead to materials ranking which is different from the ranking observed in outdoor exposure [23]. The effects of the atmospheric aerosol pollutants are currently not considered in the durability assessment of PV materials.

In all, effects of atmospheric chemistry on the degradation mechanisms and durability of PV systems, and in particular on non-metallic materials, are generally disregarded. To support or disclaim the necessity to introduce these species into accelerated degradation procedures, the degradation mechanisms of novel materials with and without these species should be approached. This calls for new test procedures [15] in which the physical characterization after accelerated ageing is accompanied by advanced chemical analysis.

The main objectives of this study are

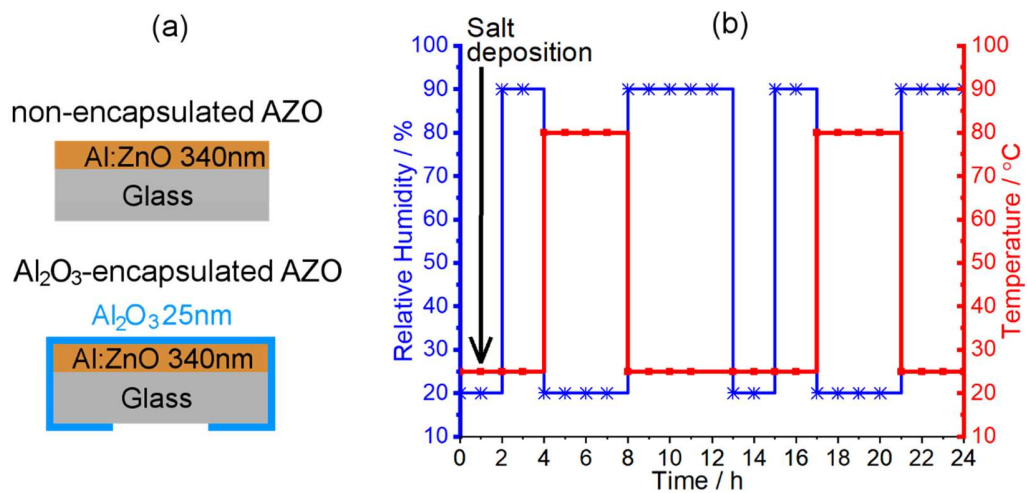
- (1) to demonstrate, using the methodology inherited from corrosion science, that atmospheric pollutants such as aerosols of NaCl or  $(\text{NH}_4)_2\text{SO}_4$ , can significantly affect chemical and physical stability of functional materials and encapsulates in PV;
- (2) to present a new comprehensive testing procedure, taking into account the effect of atmospheric aerosol pollutants, and apply it to  $\text{Al}_2\text{O}_3$ -encapsulated AZO thin films.

Although the behaviour of the material in a full stack of layers in solar cells can be more complex than in the studied model system, its intrinsic stability is still important for the understanding of the full system. Moreover, the proposed methodology, taking into account the effect of atmospheric aerosol pollutants, can be further extended to more complex systems including cells and modules.

## **1.2. Accelerated ageing procedure design**

The choice of the specific accelerated ageing procedure, climatic testing, was inspired from atmospheric corrosion methodology. This practice includes accelerated ageing in a climatic

chamber combined with advanced chemical characterization at different time points. The chamber can recreate in a controlled way environmental and weather stresses that the tested material could encounter at outdoor conditions, e.g. variations of temperature, humidity, differences in salt deposition and of gaseous pollutant levels. The proposed climatic test is schematically shown in **Fig. 1**. In short, the temperature (T°C) and relative humidity (RH) were cycled (T°C: 4 h 25 °C ⇒ 4 h 80 °C ⇒ 4 h 25 °C, RH: 2 h 20 % ⇒ 2 h 90 % ⇒ 4 h 20 % ⇒ 4h 90 %) and salt aerosols (NaCl or (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>) were deposited every 24 h during the first week of exposure. The temperature of 80 °C was selected to approach the extreme overheat that is possible for PV systems [7]. The lowest temperature (25 °C) was chosen to simulate manual daily salt deposition. Relative humidity variations between 20 and 90 % are typical for the European climate [6,21]. The complete test duration was 7 days (one week) and 14 days (two weeks). Two different salts, (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NaCl, were sprayed onto the surfaces using an airbrush (Aztec 220) once a day during the first five days of the first week of exposure. No salts were further deposited during the second week of exposure. The quantities of the deposited salt were 7.5 µg cm<sup>-2</sup> day<sup>-1</sup> for NaCl and 15.0 µg cm<sup>-2</sup> day<sup>-1</sup> for (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> to mimic marine and rural atmospheric conditions, respectively [21,24].



**Fig. 1.** Schematics of (a) sample configurations and (b) one-day (24 h) cycle of new climatic test. The test duration was 7 or 14 days. In both cases, salt was deposited only during the first five days.

A similar approach with cyclic RH and T°C variations and salt deposition has previously been shown to mimic corrosion mechanism of metallic materials exposed to coastal climate [25,26]. Such tests, simulating seasonal and diurnal variations, have not previously been employed for photovoltaic applications for which mainly continuous salt spray or tests using e.g. gaseous



ammonia were employed (see, for instance, [27]). Outdoor conditions with high concentrations of gaseous ammonia may only be relevant for very specific conditions, possibly close to agricultural farms. Exposure to aerosols containing ammonium sulphate  $(\text{NH}_4)_2\text{SO}_4$  represent a more universal exposure scenario since the salt readily forms in the presence of ammonia and sulphur dioxide and can be diffusely dispersed over longer distances [6].

## 2. Material synthesis and characterization

Al:ZnO (AZO) films of  $340 \pm 17$  nm thickness on soda-lime glass substrate were obtained by radio-frequency sputtering according to procedures described in [14]. The initial thickness variation ( $\leq 10$  % between samples) was related to the large area ( $20 \times 20$  cm<sup>2</sup>) of the sputtering process. 25 nm  $\text{Al}_2\text{O}_3$  thin films were prepared by atomic layer deposition (ALD) as described elsewhere [28]. The film thickness was selected since a previous study by some of the authors have demonstrated that a 25 nm thick  $\text{Al}_2\text{O}_3$  encapsulation is enough to protect AZO films against degradation during 1000 h of damp heat test (RH 85 %, T 85 °C) [14].

**Table 1.** Detailed description of the climatic test conditions for all AZO samples in this study (“A” for non-encapsulated AZO and “B” for  $\text{Al}_2\text{O}_3$ -encapsulated AZO).

| Sample Name                          | Climatic test conditions                                | # samples for A | # samples for B |
|--------------------------------------|---|-----------------|-----------------|
| Unexposed                            | No exposure   | 3               | 3               |
| Blank                                | T°C+RH cycling for 2 weeks                              | 3               | 3               |
| NaCl_1week                           | T°C+RH cycling + NaCl, 1 week                           | 3               | 3               |
| NaCl_2week                           | T°C+RH cycling + NaCl, 2 weeks                          | 2               | 2               |
| $(\text{NH}_4)_2\text{SO}_4$ _1week  | T°C+RH cycling + $(\text{NH}_4)_2\text{SO}_4$ , 1 week  | 3               | 3               |
| $(\text{NH}_4)_2\text{SO}_4$ _2weeks | T°C+RH cycling + $(\text{NH}_4)_2\text{SO}_4$ , 2 weeks | 2               | 2               |

Detailed test conditions and sampling for all non-encapsulated and  $\text{Al}_2\text{O}_3$ -encapsulated AZO materials are summarized in **Table 1**. Three encapsulated and three non-encapsulated samples were tested in each of three climatic conditions: cyclic RH / T°C variation without salt deposition (blank), cyclic RH / T°C variation with NaCl deposition and cyclic RH / T°C variation with  $(\text{NH}_4)_2\text{SO}_4$  deposition. Three other samples of each type were stored in the desiccator without climatic ageing for reference purposes.

Surface degradation as a result of the accelerated test was monitored by visual inspection and optical imaging during the salt deposition procedure (examples of the surface appearance are given in Supplementary information). After one and two weeks of the accelerated tests, the samples were characterized by means of X-ray diffraction (XRD), Raman and Photoluminescence (PL) spectroscopy, light optical microscopy (LOM), UV-Vis-NIR spectrophotometry, and sheet resistance ( $R_s$ ) measurements. XRD and Raman analysis were performed twice: (i) on the samples directly taken from the climatic chamber and dried in air, so considering the presence of soluble salts, and (ii) after the samples had been rinsed with deionized water, removing the deposited soluble salts, and dried by nitrogen flow. Analysis before and after rinsing allowed to observe modification of the AZO layer and to identify separately soluble and insoluble products. Other characterisations were made on the rinsed samples.

Bragg-Brentano XRD diffractograms were collected using Cu  $K\alpha$  radiation on a PANalytical Empyrean equipment in the  $2\theta$  range of  $10^\circ$ - $90^\circ$  with a step size of  $0.0263^\circ$ . The optical transmittance was recorded on a UV-Vis-NIR spectrophotometer (Perkin Elmer Lambda 950) equipped with an integrating sphere. The sheet resistance,  $R_s$ , was measured with an in-line 4-point probe (LucasLab Probe 4 apparatus). Raman and room temperature PL spectra were recorded at room temperature using a Horiba LabRAM confocal Raman microscope with a UV laser. The UV laser ( $\lambda=325$  nm /3.8 eV) energy is close to the band gap energy of ZnO ( $\sim 3.3$  eV) allowing resonant conditions. In these conditions the Raman spectra of AZO are characterized by a broad band in the range of  $350$ - $525$   $\text{cm}^{-1}$  which shape and intensity can be correlated with the doping level and free carrier density ([36], [37]). The laser power (0.04 mW) was optimized in a way to obtain the signal of a sufficient intensity with a reasonable acquisition time and to avoid heating effect. The surface of the samples was examined using an advanced confocal laser scanning microscope (Olympus LEXT OLS5000) which allows 3D surface profile acquisition.

X-ray photoelectron spectroscopy was used to study changes in the chemical composition of the outermost surface (1 - 3 nm) of the encapsulated samples. XPS spectra were recorded using a Kratos AXIS UltraDLD X-ray photoelectron spectrometer (Kratos Analytical, Manchester, UK) using a monochromatic Al X-ray source (300 W). The analysis area was smaller than  $1$   $\text{mm}^2$  (most of the signal originated from an area of  $700 \times 300$   $\mu\text{m}^2$ ). Wide and detailed spectra of Zn 2p, Al 2p, O 1s and Si 1s (and C 1s for energy correction to 285.0 eV) were acquired using a pass

energy of 20 eV to determine changes in oxidation states of Zn and Al and to assess Al to Zn (Al/Zn) and Al to O (Al/O) atomic ratios.

Localized depth profiles were obtained for the Al<sub>2</sub>O<sub>3</sub> encapsulated AZO exposed for two weeks of climatic test without any salt deposits by means of Scanning Auger Nanoprobe Phi 700 with Coaxial Cylindrical Mirror Analyser (CMA) operating with a primary electron beam voltage of 10 kV. The sample was tilted 30° which resulted in the detection at 60° tilt (considering the CMA collection tilt 30°). Profiling was fulfilled with layer by layer sputtering using Ar gas at 2 kV and a current density of 1 μA·mm<sup>-2</sup> during the first 30 cycles followed by 4 μA·mm<sup>-2</sup> during the remaining analysis. The duration of each cycle was 10 min, each with an acquisition of 60 s. Zinc (Zn) was monitored in the window between 958 to 1018 eV, aluminium (Al) from 1356 to 1416 eV and oxygen (O) from 472 to 532 eV. Silicon (Si) was used as a marker of the glass substrate and recorded in the window between 1580 and 1640 eV. Once the glass substrate was reached (growth of the Si signal), the measurement was rapidly stopped since charge accumulation makes the results ambiguous and can also modify the sample. The equivalent sputtered depth was calculated from the sputtering time, assuming the sputtering rate of all layers to be similar to the sputtering rate of SiO<sub>2</sub> at similar conditions.

### 3. Results

#### 3.1. Effect of NaCl and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> deposits in climatic test on physical characteristics of AZO

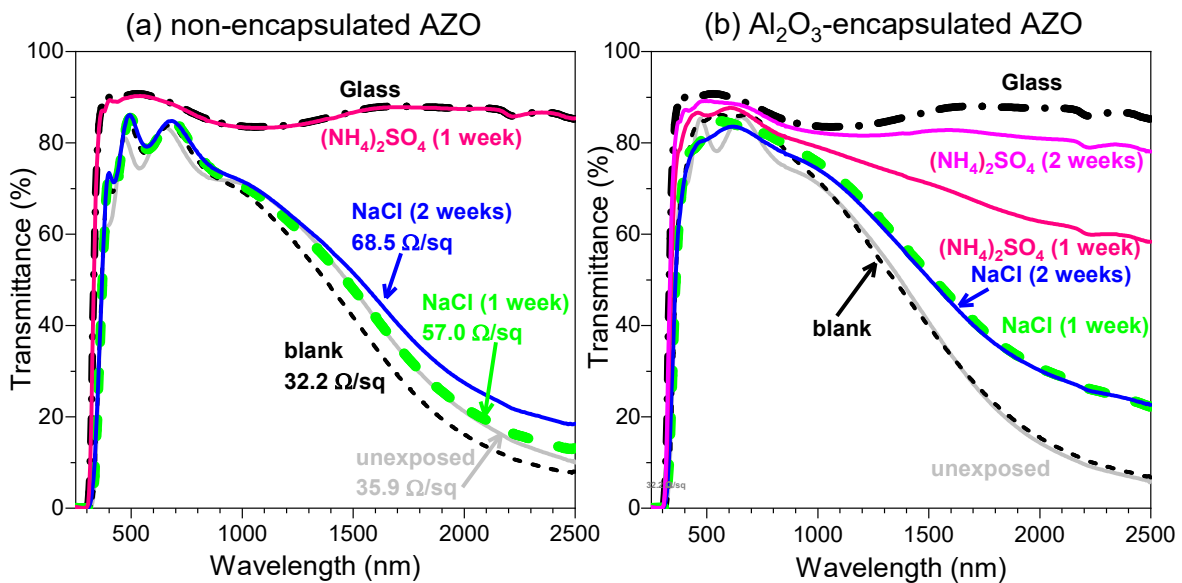
##### 3.1.1. Optical transmittance and sheet resistance

The optical transmittance of non-encapsulated and Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO films after exposure in the climatic test with and without the presence of salts is presented in **Fig. 2**. Sheet resistance, R<sub>s</sub>, values are indicated next to the corresponding transmittance curve for the non-encapsulated AZO films (**Fig. 2a**). Sheet resistance is not indicated for the Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO layer (**Fig. 2b**) because it was not measured under insulating Al<sub>2</sub>O<sub>3</sub> layer.

For the non-encapsulated AZO samples (**Fig. 2a**), the R<sub>s</sub> of the blank sample (two weeks' exposure without salt) was close to that of the unexposed sample. Small differences (~ 10 %) could be due to initial thickness variations and batch-to-batch differences. The results indicate that the exposure to the cyclic temperature and humidity variation without salt deposition does not significantly affect the optoelectrical properties of non-encapsulated AZO. After the same

temperature and humidity cycle with NaCl deposition, the  $R_s$  was 1.5 (after one week) and 2 (after two weeks) times higher than that of the blank sample, and the transmittance in the near infrared region increased with time (**Fig. 2a**), which was not observed in the absence of the salt. The transmittance of TCOs in the near infrared region is known to increase with reduced free carrier concentration [29,30]. This suggests that the deposition of NaCl during the climatic test may lead to a loss of free carriers. After only one week of the test with  $(\text{NH}_4)_2\text{SO}_4$ , the transmittance curve of the AZO sample overlapped with the curve of bare glass and  $R_s$  was no more measurable. This suggests severe degradation, or even complete removal of the AZO film.

In a similar manner, optical transmittance evolved for the  $\text{Al}_2\text{O}_3$ -encapsulated AZO samples (**Fig. 2b**). Interestingly, after 1 week of the test with NaCl the encapsulated samples showed even more pronounced increase of transmittance in the near infrared region than non-encapsulated samples. In contrast, in the presence of  $(\text{NH}_4)_2\text{SO}_4$ , the transmission evolution was slower for the  $\text{Al}_2\text{O}_3$ -encapsulated samples than for non-encapsulated samples.



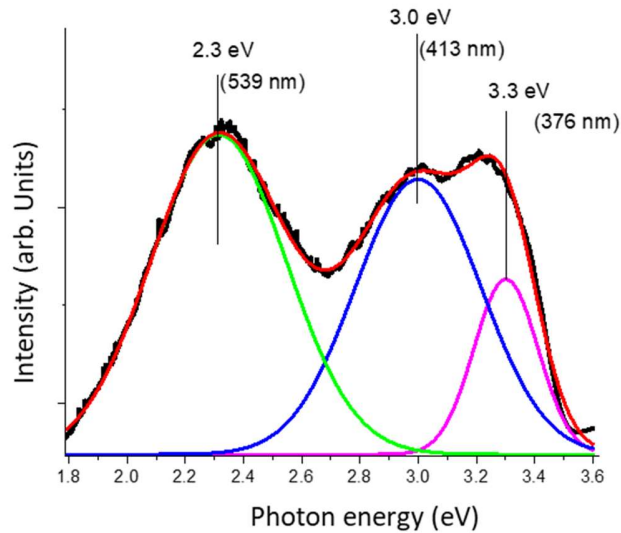
**Fig. 2.** Optical transmittance of (a) non-encapsulated AZO and (b)  $\text{Al}_2\text{O}_3$  encapsulated AZO samples. Results for unexposed, blank (two weeks' exposure without salt), and exposed with NaCl or  $(\text{NH}_4)_2\text{SO}_4$  deposits for 1 and 2 weeks are shown, as indicated.

To sum up, although the 25 nm thick  $\text{Al}_2\text{O}_3$  encapsulation seems to prevent physical degradation of AZO films in conditions of varying temperature and humidity, which is coherent with the previously reported results of 1000 h damp heat test [14], it is clearly insufficient to prevent

AZO degradation in the same temperature and humidity variations in the presence of the atmospheric aerosols (NaCl and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>)).

### 3.1.2. Photoluminescence spectra

An example of a photoluminescence (PL) spectrum of an unexposed non-encapsulated AZO sample is presented in **Fig. 3**. The spectrum contains three typical for AZO emission peaks: a near band edge emission at, or above, 3.3 eV (the full emission was cut by the edge filter), a broad band approximately at 3 eV and a deep emission band at 2.3 eV. The broad band occurring at 3 eV has previously been attributed to intrinsic defects (interstitial Al or Al<sub>i</sub>) [31]. Emission at 2.3 eV is typical for both undoped (pure) and Al-doped ZnO, and is often attributed to oxygen vacancies or other oxygen-related point defects [31–33]. The emission peak contributions varied between different locations of the same sample and also among different samples (see **Fig. S1a** in Supplementary information). This is the most probably related to an initial inhomogeneity in the defect distribution inside the film. The band at 3 eV was in general more pronounced for the encapsulated compared with non-encapsulated samples (see example in **Fig. S1a** in Supplementary information). This could possibly be related to an increased number of active Al-dopants in the AZO films during the ALD deposition (160 °C) of Al<sub>2</sub>O<sub>3</sub>. Investigations of samples exposed to the climatic test demonstrated similar room temperature PL spectra and similar variations between the samples (see **Fig. S1b** in Supplementary information). Thus, no clear statistically valid difference was evidenced between unexposed and exposed samples (either to cyclic T°C / RH or to T°C / RH combined with the aerosol salts deposition). This suggests negligible changes in the bulk electronic state of the degraded AZO thin films.

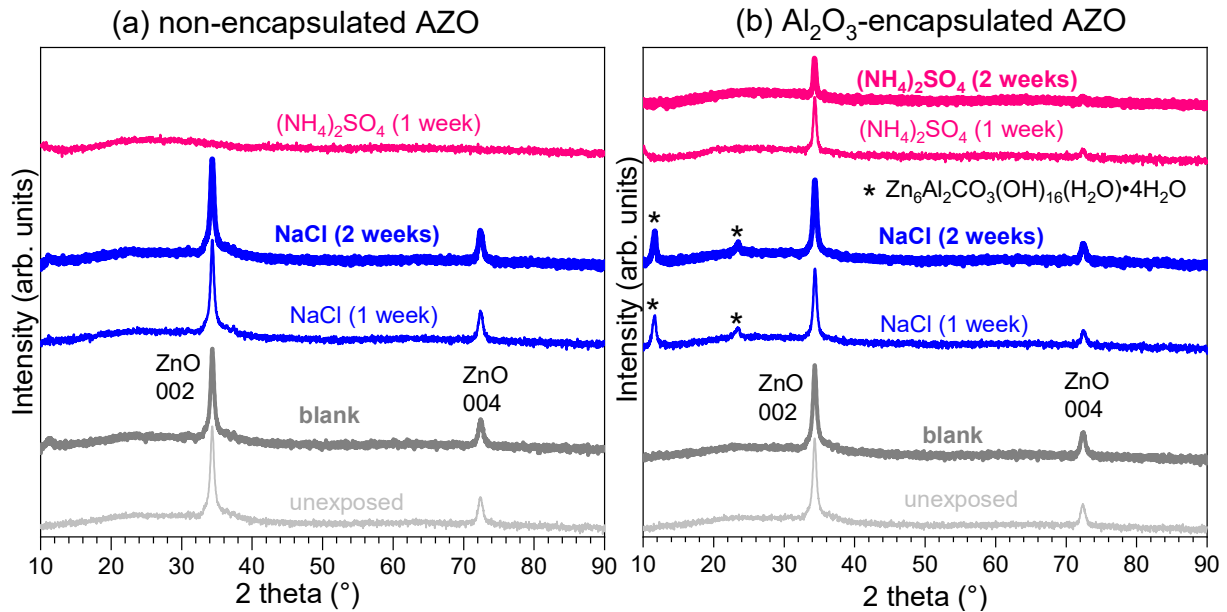


*Fig. 3. Example of room temperature photoluminescence spectrum of unexposed AZO.*

### 3.2. Effect of NaCl and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> deposits on chemical composition after climatic test

#### 3.2.1. X-ray diffraction: crystalline phases in AZO layer

The examples of XRD diffractograms of pristine (non-encapsulated) and Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO samples after different exposures and rinsing are presented in **Fig 4a** and **Fig 4b** respectively. The spectra before rinsing, which reflects soluble salts deposits, can be seen in **Fig. S2** in Supplementary information. Since the ALD grown Al<sub>2</sub>O<sub>3</sub> layer is very thin and amorphous, it does not contribute to any diffraction peaks. The polycrystalline AZO films showed a preferred orientation (002) with a strong ZnO (002) diffraction peak centred at  $34.34^{\circ} \pm 0.04^{\circ}$  ( $2\theta$ ). A slight difference of the initial peak position, if compared with a standard ZnO powder ( $2\theta=34.42^{\circ}$ ), could indicate a slight compressive stress related to doping. No shifts of the peak positions were observed for any of the samples exposed in the climatic chamber. These results imply no significant structural changes of AZO in the cyclic T°C / RH variation with or without aerosol salt deposition. Small changes in peak areas can hence indicate the material loss or crystallinity loss.



**Fig. 4.** Bragg-Brentano XRD of (a) non-encapsulated AZO and (b)  $\text{Al}_2\text{O}_3$ -encapsulated AZO samples after climatic test. The test duration is indicated for the samples exposed with NaCl or  $(\text{NH}_4)_2\text{SO}_4$ , blank sample was exposed for two weeks without salt. The reference patterns are ZnO (JCPDS 00-036-1451) and  $\text{Zn}_6\text{Al}_2\text{CO}_3(\text{OH})_{16}(\text{H}_2\text{O})\cdot 4\text{H}_2\text{O}$  (JCPDS 00-038-0486).

For the non-encapsulated AZO films (**Fig. 4a**), similar XRD spectra were observed for unexposed and NaCl-exposed samples (both after 1 and 2 weeks). This suggests negligible material loss. No XRD peaks were observed for the samples exposed to  $(\text{NH}_4)_2\text{SO}_4$  for one week, which is consistent with the previously discussed transmittance results of the same samples, showing similar transmittance curves as the glass substrate. Hence, the unprotected AZO film seems to be completely dissolved by  $(\text{NH}_4)_2\text{SO}_4$  introduced in the climatic test.

**Table 2.** Characteristics of (002) peak of ZnO for the samples after climatic tests with different exposure conditions defined in Table 1.

| Sample name   | ZnO <sub>(002)</sub> peak |                   |                       |
|---|---------------------------|-------------------|-----------------------|
|   | Position (°)              | Area (arb. units) | Crystallite size (nm) |
| <i>Non-encapsulated AZO</i>                             |                           |                   |                       |
| Unexposed   | 34.34±0.04                | 4835              | 33                    |
| Blank   | 34.33                     | 4418              | 36                    |
| NaCl_1week  | 34.33                     | 5064              | 37                    |
| NaCl_2week  | 34.32                     | 5430              | 38                    |
| <i>Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO</i>     |                           |                   |                       |
| Unexposed   | 34.30                     | 3829              | 32                    |
| Blank   | 34.32                     | 4307              | 34                    |
| NaCl_1week  | 34.35                     | 2135              | 32                    |
| NaCl_2weeks   | 34.34                     | 2589              | 34                    |
| (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> _1week  | 34.32                     | 912               | 31                    |
| (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> _2weeks | 34.30                     | 341               | 34                    |

For the Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO thin films, AZO was preserved not only in the tests with NaCl, but also in the presence of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, **Fig. 4b**. Still, the (002) peak area decreased faster for the samples exposed to (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> than for samples exposed to NaCl (**Table 2**). Two additional peaks (marked with \* in **Fig. 4**) at 11.6° and 23.4° (2θ) are visible in the figure for the NaCl-exposed samples. These peaks correspond to Zn-Al-layered double hydroxide (LDH) Zn<sub>6</sub>Al<sub>2</sub>CO<sub>3</sub>(OH)<sub>16</sub>·4H<sub>2</sub>O (JCPDS 00-038-0486). This compound is known as atmospheric corrosion product formed on Zn-Al alloys [25,34]. It is interesting to note that the ZnO (002) peak area was reduced for the encapsulated AZO upon exposure to NaCl, while it remained unchanged for non-encapsulated AZO exposed in parallel. The presence of Al<sub>2</sub>O<sub>3</sub> seems hence to enhance rather than to protect AZO from degradation in the presence of NaCl aerosols.

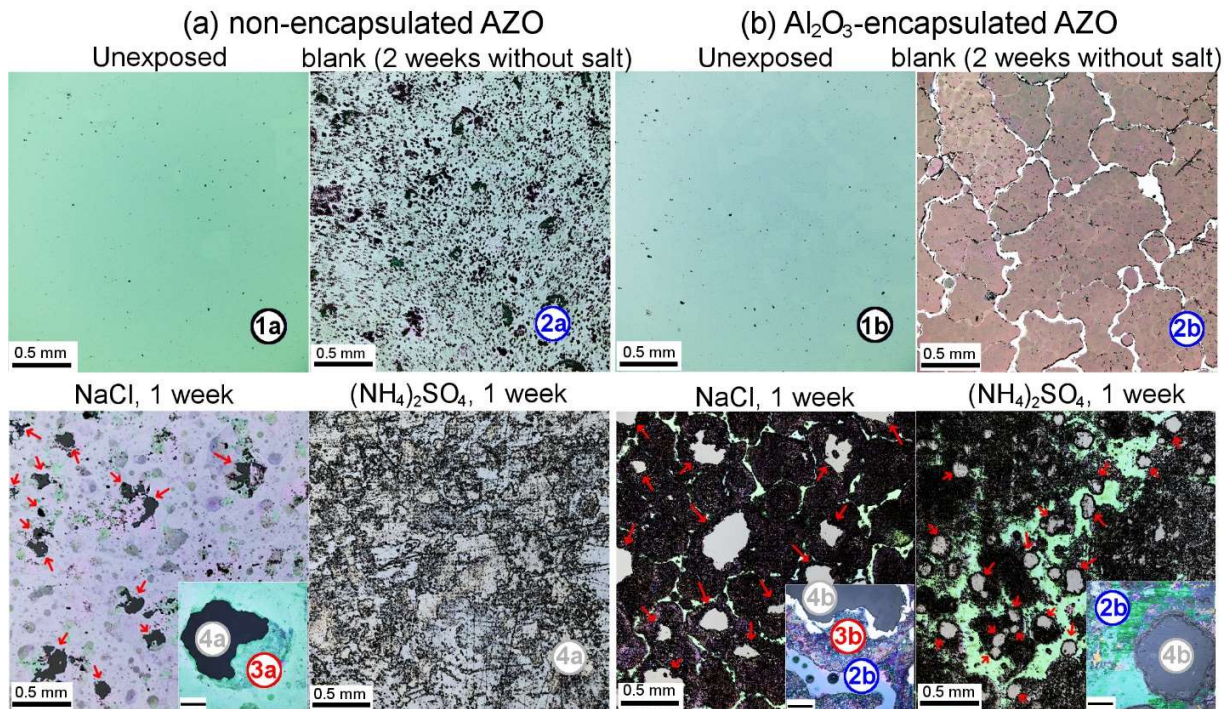
The crystallite size in the (002) direction (34±4 nm), calculated using Scherrer equation, seemed to be unaffected by the climatic tests for both non-encapsulated and Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO (**Table 2**). This indicates that the grain dissolution, if taking place, is negligible along the vertical direction (direction of the film growth).



XRD analysis of the non-rinsed non-encapsulated AZO samples exposed to NaCl showed the presence of zinc hydroxychloride (simonkolleite)  $Zn_5(OH)_8Cl_2 \cdot H_2O$  (JCPDS PDF 00-007-0155). Since this compound was not detected after careful washing with deionized water (**Fig. 4a**), it can be considered as either a soluble or poorly adherent degradation product. One could consider also that the crystalline simonkolleite is transformed into an amorphous form, however, there is no reason to think that such a transformation can be induced by a simple washing at room temperature. Another possibility is that a very thin layer of the product is not detected by XRD after washing. Similarly, a mixture of sulphate salts, including zinc hydroxysulphates and ammonium sulphates, were observed on non-washed surfaces (see **Fig. S2** in Supplementary information) but not after rinsing on either the encapsulated and non-encapsulated AZO samples exposed to  $(NH_4)_2SO_4$ . The signature of sulphate anion was also detected by Raman spectroscopy before washing (a sharp band indicated in **Fig. S3** in Supplementary information) but not after it. Similar reasoning as used for simonkolleite implies the formation of mainly soluble or non-adherent sulphate-containing compounds during AZO degradation in the presence of  $(NH_4)_2SO_4$ . S and Cl were also on the surface by electron dispersion spectra (EDS) microanalysis in scanning electron microscope (Supplementary information S6).

### 3.2.2. Confocal optical microscopy: surface inhomogeneity

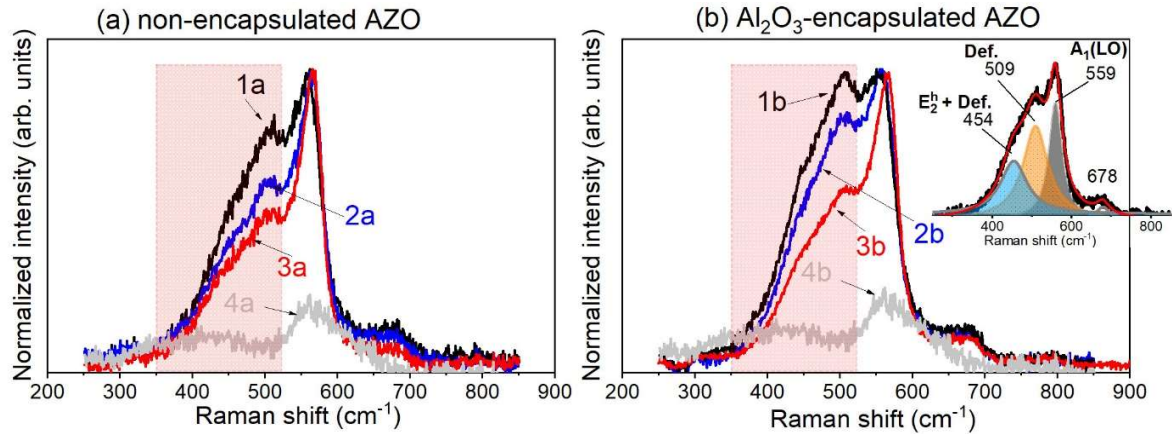
Examples of confocal optical images of the pristine and  $Al_2O_3$ -encapsulated AZO thin films after climatic tests and rinsing are presented in **Fig. 5**. Unexposed samples are also shown for comparison, demonstrating smooth and homogeneous surface. For the non-encapsulated AZO thin films exposed to NaCl (**Fig. 5a**) and for the  $Al_2O_3$ -encapsulated AZO thin films exposed to either NaCl or  $(NH_4)_2SO_4$  (**Fig. 5b**), the images show an optical contrast and specific regions can be distinguished, which are marked by red arrows. The measured height in these regions was approximately 340 nm lower than in the surrounding zones. This height difference correlates well with the thickness of the AZO layer, which indicates its local dissolution. Hence, it seems that the AZO could, as a consequence of salt deposition, be locally transformed into soluble/or loosely attached compounds that easily can be washed from the surface.



**Fig. 5.** Confocal optical microscope images of (a) non-encapsulated and (b) Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO samples. High resolution optical images are shown in insets (scale bar 50  $\mu$ m). Red arrows indicate locally dissolved areas in which the height is approximately 340 nm lower than in the surrounding areas. Exposure conditions (cycling time and salt used for the aerosol deposit) are indicated.

### 3.2.3. Raman spectroscopy: changes in AZO layer chemistry

Confocal Raman spectroscopy analysis was conducted to confirm the hypothesis of partial localized dissolution of the AZO layer in the presence of salts. Representative Raman spectra of the different dissolved areas marked in **Fig. 5** are presented in **Fig. 6**. One should remember that the images in Fig. 5 and the spectra shown in Fig. 6 are obtained after rinsing of the samples. The results show similar spectra for all locations of the non-encapsulated AZO sample after 1-week exposure to (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> (spectra 4a) as observed for the glass substrate. This confirms complete dissolution of the AZO film, findings which are consistent with the both the transmittance and the XRD results. Similar Raman spectra as observed for glass were also evident for the locally dissolved areas (marked with red arrows in **Fig. 5**) on both non-encapsulated (spectrum 4a) and Al<sub>2</sub>O<sub>3</sub>-encapsulated (spectrum 4b) samples. These results confirm the hypothesis of localized dissolution of the AZO layer in these areas.



**Fig. 6.** Representative Raman spectra of different zones of (a) non-encapsulated and (b)  $\text{Al}_2\text{O}_3$ -encapsulated AZO thin films exposed to different climatic test conditions. The labels reflect the zones of different types, shown in Fig. 5. Spectra 1-3 are normalized by the  $A_1(\text{LO})$  band of ZnO. Spectra 4a and 4b coincide with the Raman spectra of the glass substrate. The inset in (b) illustrates an example of the peak fitting performed by 3 Lorentz curves.

The Raman spectra, collected with an ultraviolet (UV 325 nm) excitation wavelength, denoted 1a, 1b, 2a, 2b and 3a, 3b in **Fig. 6** are typical for the AZO thin film. The spectra show an intense peak at  $\sim 560 \text{ cm}^{-1}$  together with a so-called “defect band” (shaded region in **Fig. 6a** and **b**) in the range of  $350\text{--}525 \text{ cm}^{-1}$ . Detailed deconvolution of the spectra is exemplified in the inset of **Fig. 6b**. The band at approximately  $559 \text{ cm}^{-1}$  (filled in grey) has previously been attributed to the vibrational modes  $E_1(\text{LO})$  and  $A_1(\text{LO})$  of ZnO [33,35]. This band, in the following denoted LO, dominated all spectra. The lower frequency band contains two contributions, which both are attributed to the presence of defects in the structure of ZnO. The band at approximately  $510 \text{ cm}^{-1}$  is known as the “anomalous” vibrational mode, appearing when ZnO is n-doped (by Al [35–37], Mn [38] or Fe, Sb, Ga, Li [39]). The higher contribution of this band, observed for the encapsulated AZO samples, could be explained by an “annealing” effect achieved at  $160 \text{ }^\circ\text{C}$  during the ALD deposition of  $\text{Al}_2\text{O}_3$  (as previously mentioned for the room temperature PL spectra). The component centred at around  $454 \text{ cm}^{-1}$  (shaded in blue) can be attributed to the superposition of both the  $E_2^h$  mode of ZnO (doped or undoped,  $437 - 438 \text{ cm}^{-1}$  [35]) and the “defect” band. The relative area fraction of the broad band between  $350$  and  $525 \text{ cm}^{-1}$  (convoluting both peaks of  $454$  and  $510 \text{ cm}^{-1}$ ) was empirically correlated with the resistance of the AZO layers, which showed the resistance to be reduced as the relative area of this broad band increased [36]. For the samples exposed to NaCl (spectra 3a and 3b) and  $(\text{NH}_4)_2\text{SO}_4$  (spectra 2b), it is evident that the area of this

band was reduced as compared to the unexposed samples (spectra 1a, 1b). A decrease of the area of the band around  $500\text{ cm}^{-1}$  was also observed for the blank samples (2 weeks of exposure without any salt deposition). The results suggest that the degradation of the AZO thin films exposed to the climatic test (both with and without salt deposition) is inhomogeneous and can lead to a local increase of the resistance.

Furthermore, along with the reduced intensity of the broad band, the position of the major LO band (see **Table 3**) indicated a red shift (from  $557$  to  $564\text{ cm}^{-1}$ ). The full width at half maximum (FWHM) of the band seemed to be reduced only for the non-encapsulated samples. The LO band position and its FWHM have been reported in the literature to depend on the oxide stoichiometry and doping level [38,40,36] (the lower the  $\text{O}_2$  partial pressure used during the AZO deposition, the less resistant was the layer, and the lower LO band wavelength, the larger was the FWHM [36]). A reduced FWHM and the red shift observation of the LO band could be hence regarded as an indication of a local modification of the stoichiometry of the residual oxide.

**Table 3.** Position and Full Width at Half Maximum (FWHM) of LO mode in Raman spectra of AZO illustrated in Fig.5

| Spectrum number  | peak position ( $\text{cm}^{-1}$ ) | peak FWHM ( $\text{cm}^{-1}$ ) |
|--|------------------------------------|--------------------------------|
| <i>Non-encapsulated AZO (Fig.3a)</i>                         |                                    |                                |
| 1a   | 559                                | $39\pm 1$                      |
| 2a   | 563                                | $34\pm 1$                      |
| 3a   | 564                                | $30\pm 1$                      |
| <i>Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO (Fig.3b)</i> |                                    |                                |
| 1b   | 557                                | $42\pm 1$                      |
| 2b   | 558                                | $39\pm 1$                      |
| 3b   | 564                                | $33\pm 1$                      |

### 3.2.4. X-ray photoelectron spectroscopy: chemical changes in Al<sub>2</sub>O<sub>3</sub> – encapsulation

XPS was conducted in order to assess changes in chemistry of the thin and amorphous Al<sub>2</sub>O<sub>3</sub> encapsulation layer which was not detectable by means of Raman spectroscopy. The results are presented in **Table 4**.

**Table 4.** Average atomic ratios of Al, O and Zn and list of pollutants detected on the top surface of the samples exposed in climatic chamber in different conditions.

| <b>non-encapsulated AZO</b>   |                   |                    |                       |
|---|-------------------|--------------------|-----------------------|
| <b>Exposure conditions</b>  | <b>Al/O ratio</b> | <b>Zn/Al ratio</b> | <b>Other elements</b> |
| Unexposed <sup>1</sup>  | 0.05              | 16.6               | C                     |
| 1 week cycling with NaCl <sup>1</sup>   | 0.10              | 11                 | Cl, Ca, Na, Si        |
| 1 weeks cycling with (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> <sup>1</sup> | 0.08              | 3.1                | C, S, Ca, Na, Si      |
| <b>Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO</b>                               |                   |                    |                       |
| <b>Exposure conditions</b>  | <b>Al/O ratio</b> | <b>Zn/Al ratio</b> | <b>Other elements</b> |
| Unexposed <sup>1</sup>  | 0.58±0.02         | 0                  | C                     |
| Blank (cycling w/o salts) <sup>2</sup>  | 0.58±0.04         | 0                  | C                     |
| 2 weeks cycling with NaCl <sup>1</sup>  |                   |                    | Cl, Ca, Na, Si        |
| area 1  | 0.08              | 3.6                |                       |
| area 2  | 0.40              | 0                  |                       |
| 2 weeks cycling with (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> <sup>1</sup> | 0.22              | 2.3                | C, S                  |

<sup>1</sup>atomic ratios determined by XPS; <sup>2</sup>atomic ratios determined by AES.

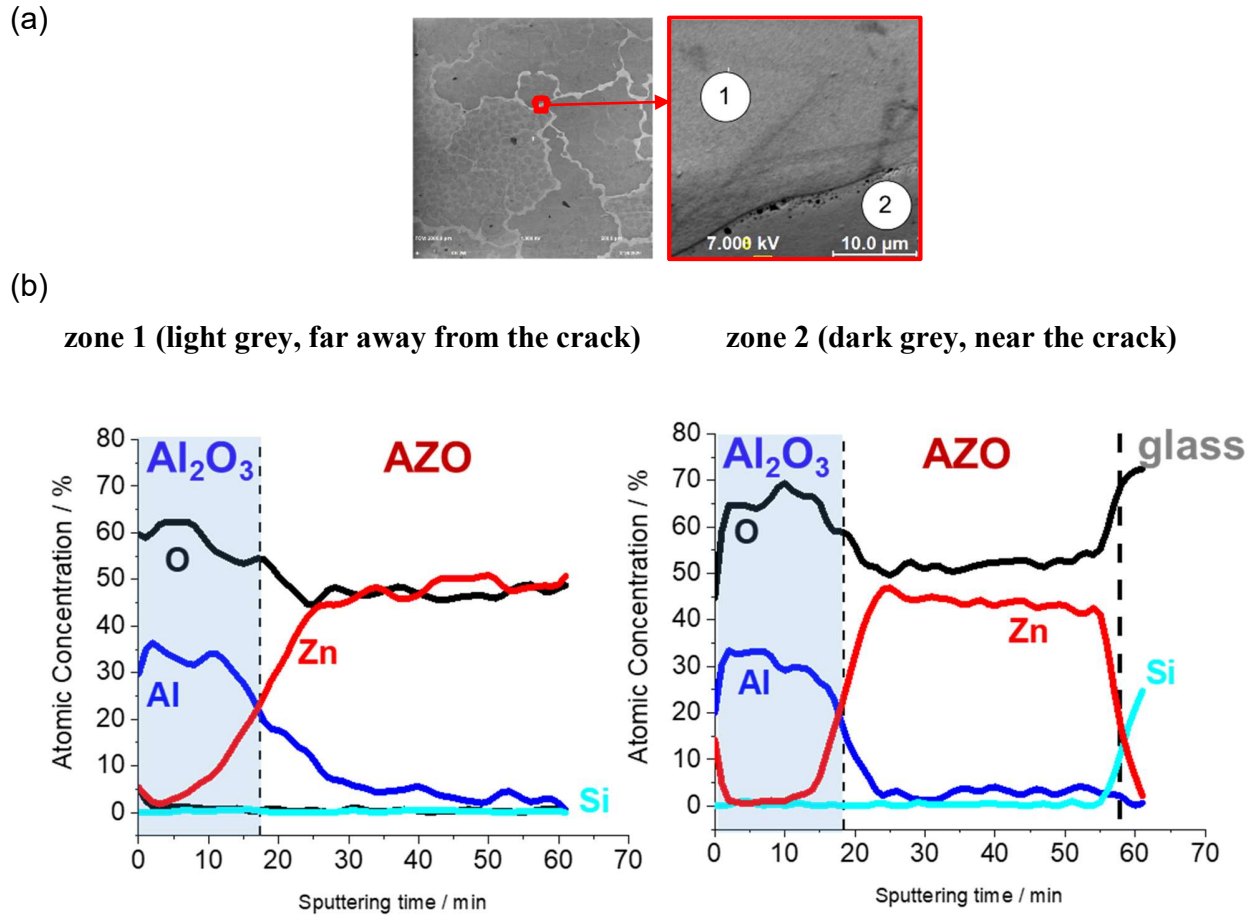
Since the thickness of the as-grown Al<sub>2</sub>O<sub>3</sub> film (25 nm) exceeds the probing depth limit of XPS (1 - 3 nm), no zinc signal from the AZO layer would be obtained for the unexposed sample, and/or samples exposed to the climatic tests with and without salt deposition if the Al<sub>2</sub>O<sub>3</sub> encapsulation remains undamaged. This was indeed the case for the unexposed and blank Al<sub>2</sub>O<sub>3</sub>-

encapsulated AZO samples (**Table 4**). Compositional analyses showed atomic ratios of Al to O of  $0.58 \pm 0.04$ , i.e. close to the theoretical value of 0.66. The discrepancy with a higher O content was predominantly assumed to be connected to the presence of adventitious surface carbon contamination due to the presence of C bonded to O (C-O, C=O), and possibly also to surface hydroxylation. Degradation of the  $\text{Al}_2\text{O}_3$  was evident for all samples when exposed to either NaCl or  $(\text{NH}_4)_2\text{SO}_4$ . The  $\text{Al}_2\text{O}_3$ -coated samples exposed to either  $(\text{NH}_4)_2\text{SO}_4$  or NaCl for 2 weeks revealed a substantial reduction of the Al/O atomic ratio and the detection of Zn (Table 4). Exposure to NaCl furthermore resulted in local differences in composition with areas dominated by Al-rich oxides without any contribution from Zn as well as areas clearly enriched in Zn. The results clearly demonstrate a chemical modification (and hence degradation) of the  $\text{Al}_2\text{O}_3$  encapsulation layer upon exposure to the atmospheric aerosols investigated in this study. The results imply partial and/or complete removal and dissolution of the  $\text{Al}_2\text{O}_3$  encapsulation. The atomic ratio of Zn/Al  $\approx 3.6$  determined after 2 weeks of exposure to NaCl is close to the theoretical ratio (Zn/Al = 3) of the Zn-Al LDH compound detected by means of XRD. Corresponding ratio for the samples exposed to  $(\text{NH}_4)_2\text{SO}_4$  was 2.3, though no identification could be done. It refers most probably to a very thin X-ray-amorphous compound as no XRD pattern was obtained for these samples. For both conditions the Zn-signal could be de-convoluted into two peaks. This supports the presence of a several nm thin layer of a zinc-rich corrosion product different from ZnO or  $\text{Zn}(\text{OH})_2$  (deconvolution of Zn core level not shown).

### 3.2.5. Auger electron spectroscopy: profiling of $\text{Al}_2\text{O}_3$ -encapsulated AZO exposed without salt

As shown in the previous sections, the presence of the  $\text{Al}_2\text{O}_3$  film and the AZO layer of all studied areas of the blank samples (exposed for 2 weeks without salt) of the  $\text{Al}_2\text{O}_3$ -encapsulated AZO films was confirmed by XPS and Raman spectroscopy, respectively. There was, however, a visible optical contrast for these samples (see **Fig. 5b** with white and grey regions). Different zones are also visible in the secondary electron images shown in **Fig. 7a**. In order to verify if the contrast is due to the inhomogeneous thickness of the  $\text{Al}_2\text{O}_3$  or the AZO layer, elemental depth profiles in different locations were recorded by means of Auger Electron Spectroscopy (AES). For two zones, marked as 1 and 2 in **Fig. 7a**, the examples of elemental atomic concentrations of Al, O, Zn and Si as a function of sputtering time are presented in **Fig. 7b**. To analyse these profiles, Si can be used

as a marker of the glass substrate, Al as a marker of the  $\text{Al}_2\text{O}_3$  encapsulation and Zn as a marker of the AZO layer (which contains  $50\pm 5$  atomic % of Zn, according the AES results).



**Fig. 7.** (a) Secondary electron images of blank  $\text{Al}_2\text{O}_3$ -encapsulated AZO sample after two weeks' exposure without salt and (b) AES depth profiles recorded in zones 1 and 2 shown in (a). Zone 1 is far away from the crack and zone 2 is near the crack.

Neither the external  $\text{Al}_2\text{O}_3$  layer nor the glass should contain any Zn. Thus, in the  $\text{Al}_2\text{O}_3$  layer no Zn and high Al signal are expected, while the Zn signal should sharply rise in the AZO. In practice, however, the interface can be rough, resulting in a slow and not sharp signal evolution. In this case the interface  $\text{Al}_2\text{O}_3$  / AZO between the layers can be assumed when the Zn signal reaches approximately 50 % of its intensity in the AZO. In the profiles shown in **Fig. 7b**, the zones of the  $\text{Al}_2\text{O}_3$  layer are shaded. The  $\text{Al}_2\text{O}_3$  / AZO interfaces are shown by thin vertical dash lines. Similarly, the interface between the AZO layer and the glass substrate (marked by a thick vertical dash line in **Fig. 7b**) is associated with an approximately 50 % drop in the Zn signal and a sharp increase of the Si signal, reflecting the glass substrate. Assuming constant sputtering rate of AZO,

the AZO layer thickness is reflected by the difference between the sputtering times necessary to reach the Al<sub>2</sub>O<sub>3</sub> / AZO and AZO / glass interfaces.

For all the analysed zones, the Al<sub>2</sub>O<sub>3</sub> layer thickness (expressed in sputtering time) was similar; considering the erosion rate similar to SiO<sub>2</sub>, the Al<sub>2</sub>O<sub>3</sub> layer thickness was roughly estimated as equivalent to 30 ± 10 nm of SiO<sub>2</sub>, i.e. close to its initial thickness (25 nm). This implies that the humidity and temperature cycling without salts did not degrade the Al<sub>2</sub>O<sub>3</sub> encapsulation. This observation is coherent with a previous study by some of the authors showing that the 25 nm thick layer of Al<sub>2</sub>O<sub>3</sub> efficiently protects AZO thin films during 1,000 h of damp heat test [14]. In contrast, the sputtering time of the AZO layer varied for different areas by at least up to 25 %. The Zn/O atomic ratios in the grey and white zones were also slightly different, which may result from the differences in local conductivity of the AZO layer and hence different sputtering rates. These results suggest hence that, despite of intact Al<sub>2</sub>O<sub>3</sub> encapsulation, the colour contrast observed in the optical images of the blank sample could be due to the local AZO thickness and/or conductivity variations.

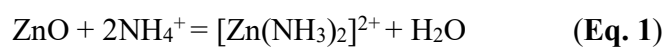
#### **4. Discussion: effect of atmospheric aerosol pollutants on TCO stability**

##### **4.1. Chemical degradation mechanisms of AZO and Al<sub>2</sub>O<sub>3</sub> - encapsulated AZO**

###### **4.1.1 Chemical degradation of non-encapsulated AZO**

Based on the XRD and optical transmittance results, it seems that the material loss was negligible for both blank samples, and that the bulk structure of AZO remained unchanged. Comparative chemical and morphological analyses of the samples, exposed to the climatic tests with and without NaCl or (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, demonstrated that the deposited salts induced rapid localized degradation of AZO for both non-encapsulated and Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO.

The AZO layer of the non-encapsulated samples was still present after two weeks of exposure to the cyclic temperature and humidity test but completely dissolved already after one week of exposure in the same temperature and humidity conditions in the presence of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>. This behaviour could be expected from the high stability of soluble complexes formed between Zn<sup>2+</sup> and ammonium ions (NH<sub>4</sub><sup>+</sup>) in solution, eq. 1:





In contrast, the effect of NaCl salt on the non-encapsulated AZO was less harmful, even though the presence of NaCl already after one week of the test resulted in locally observed  $\mu\text{m}$ -sized dissolved areas in the AZO layer. Daily deposition of NaCl resulted evidently in high local concentrations of  $\text{Cl}^-$ , creating conditions favourable for the formation of simonkolleite  $\text{Zn}_5(\text{OH})_8\text{Cl}_2 \cdot \text{H}_2\text{O}$  (**Eq. 2**), which is more stable than ZnO in the presence of chloride at neutral pH [41], eq. 2a

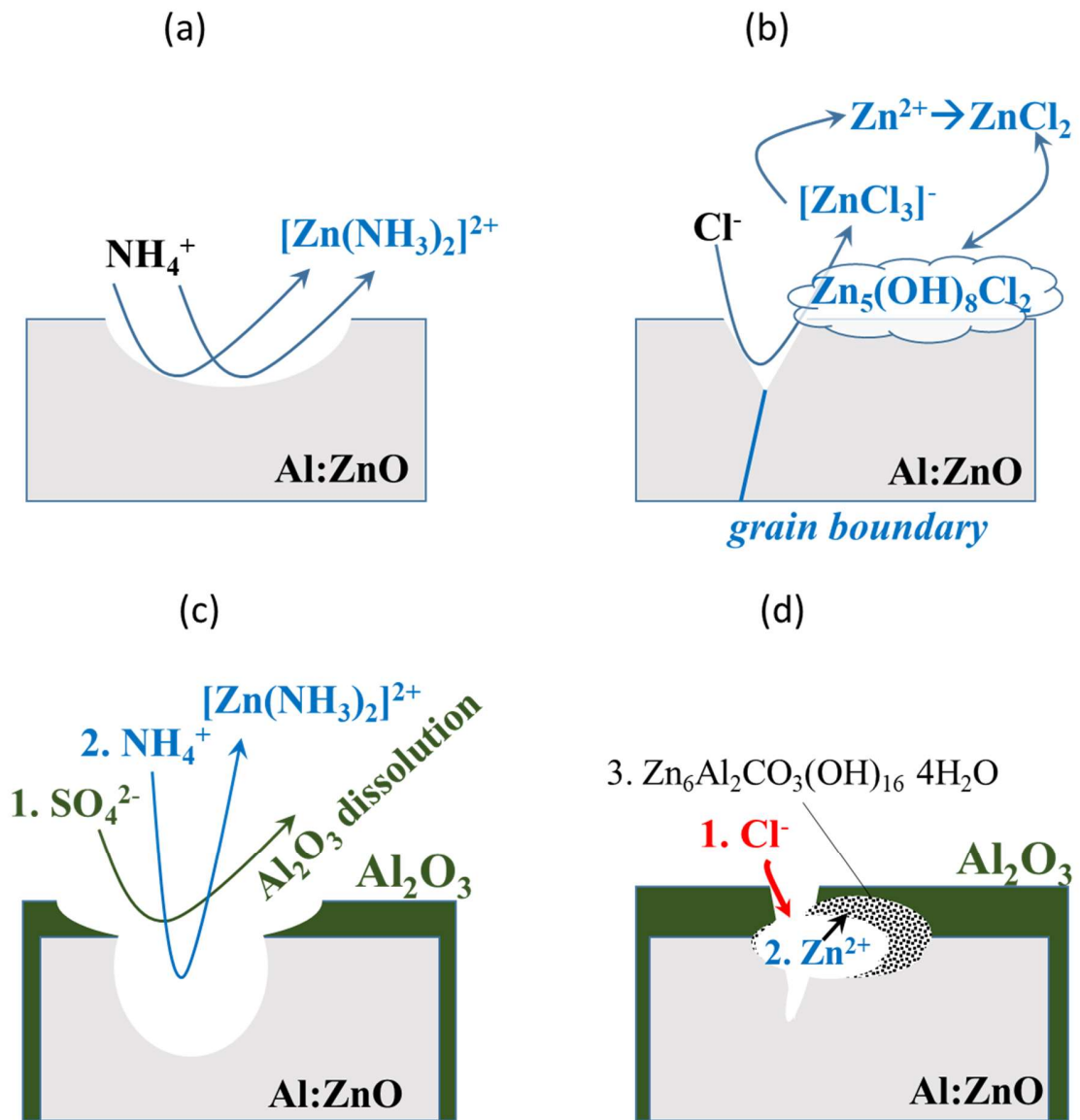


Indeed, simonkolleite was observed on the samples exposed with NaCl prior to washing. Chloride was also detected by XPS at the extreme surface of the washed samples, suggesting that probably simonkolleite was formed not only as poorly adherent precipitates but also as a several nm thick adherent layer [42]. Dissolution of ZnO via complex formation is also possible at high chloride concentrations, eq. 2b:



The formation constant ( $K_f$ ) of zinc tetra ammine complex ( $K_f = 7.8 \times 10^8$ ) is, however, almost 9 orders of magnitude higher than for zinc tetra chloride complex ( $K_f = 1.6$ ). This implies significant thermodynamic favouring of ZnO dissolution in the presence of ammonium salts compared to chlorides. As a result, reaction (2b) initiates from grain boundaries and requires longer time to form grooves [43] than reaction (1), which can be initiated from a non-defective surface. Additionally, the formation of low soluble corrosion products formed in the presence of chloride, for instance by reaction (2a) is expected to limit mass transfer. XPS data in **Table 4** demonstrates Al enrichment at the extreme surfaces of non-encapsulated samples exposed with both salts, which could indicate a thin film of Al-rich product not detectable by XRD either because it is amorphous or too thin. The exact nature of this film is a subject of a separate study but the source of Al can be Al dopant.

Tentative chemical degradation mechanisms of non-encapsulated AZO thin films exposed to the cyclic climatic test with either deposited NaCl or  $(\text{NH}_4)_2\text{SO}_4$  are schematically shown in **Figs. 8a** and **8b**.



**Fig. 8** Chemical degradation of non-encapsulated and  $\text{Al}_2\text{O}_3$ -encapsulated Al-doped ZnO (Al:ZnO) layers on glass exposed in a climatic test to aerosols of NaCl or  $(\text{NH}_4)_2\text{SO}_4$ . (a) Dissolution of non-encapsulated AZO induced by deposited  $(\text{NH}_4)_2\text{SO}_4$  via soluble Zn complexes with  $\text{NH}_3$ . (b) Local dissolution of non-encapsulated AZO induced by deposited NaCl via soluble Zn complexes with  $\text{Cl}^-$ . (c) Step 1 shows slow pit initiation with rapid pit growth of  $\text{Al}_2\text{O}_3$  in the presence of sulphate, followed by step 2 where AZO dissolution by ammonium in areas of dissolved  $\text{Al}_2\text{O}_3$ . (d) Step 1 shows quick pit initiation in  $\text{Al}_2\text{O}_3$  by chloride, followed by step 2 where AZO dissolution in the confined zone and step 3 where  $\text{Al}_2\text{O}_3$  is transformed into  $\text{Zn}_6\text{Al}_2\text{CO}_3(\text{OH})_{16} \cdot 4\text{H}_2\text{O}$  (LDH).

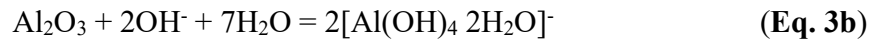
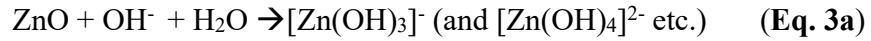
#### 4.1.2. Chemical degradation of Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO

The results demonstrate that thin 25 nm thick Al<sub>2</sub>O<sub>3</sub> encapsulation layers protected the AZO thin films from complete dissolution during the climatic tests conducted without any salt deposition. This is coherent with the results, previously reported for similar encapsulation solutions exposed to a damp heat test [14]. At the same time, even after one-week exposures with NaCl or (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, zones without AZO were observed on the encapsulated samples, indicating that in the presence of the pollutants this encapsulation layer was insufficient.

For the samples exposed to NaCl, the presence of the Al<sub>2</sub>O<sub>3</sub> coating enhanced rather than inhibited the degradation of the AZO layer. Surface analysis evidenced the formation of Zn<sub>6</sub>Al<sub>2</sub>CO<sub>3</sub>(OH)<sub>16</sub>·4H<sub>2</sub>O, a corrosion product formed on Zn-Al alloys in e.g. marine environments and automotive applications [25,34]. Its formation during the climatic test with NaCl can be explained by its higher stability, compared to Al<sub>2</sub>O<sub>3</sub> at slightly alkaline pH conditions, and the presence of carbon dioxide from air [44]. Considering the very low fraction of Al in the system, the detection of this Al-containing product by XRD indicates that it is well crystallized and probably textured. The observation that this LDH compound only was detected on the encapsulated samples suggests that the Al<sub>2</sub>O<sub>3</sub> encapsulation participates in its formation. The instability of the Al<sub>2</sub>O<sub>3</sub> encapsulation in neutral chloride solutions correlates well with the reported in [18] degradation of 50-nm-thick ALD deposited Al<sub>2</sub>O<sub>3</sub> films on carbon steel immersed in neutral NaCl aqueous solutions. Failure initiation of the Al<sub>2</sub>O<sub>3</sub> film could be expected as a result of pitting induced by chloride ions, i.e. local film dissolution [41, 42]. The important point is that pitting and oxide thinning lead to partial dissolution of the oxide and formation of soluble Al species. Possible scenario of the degradation of Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO in the presence of Cl<sup>-</sup> is schematically presented in **Fig. 8d**.

Local dissolution of the Al<sub>2</sub>O<sub>3</sub> film and the influence of humidity and Cl<sup>-</sup> inside the pits are proposed to result in the transformation of AZO to simonkolleite, followed by its further dissolution induced by accumulated Cl<sup>-</sup> (**reactions 2**). The literature reports that damp heat test of AZO results in formation of Zn(OH)<sub>2</sub> at the grain boundaries [47] implying a possibility of local pH increase. Conditions with ion accumulation and local pH modification are enhanced in the confined zone beneath the Al<sub>2</sub>O<sub>3</sub> film. These conditions can result in accelerated delamination and dissolution of the Al<sub>2</sub>O<sub>3</sub> encapsulation (for instance via **Eq. 3**) followed by the formation of mixed Zn-Al

hydroxides, which could be transformed into layered double hydroxide (indicated as LDH in **Fig. 8**) by incorporating atmospheric CO<sub>2</sub>.



When the Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO thin films were subject to (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> salt exposure, also the Al<sub>2</sub>O<sub>3</sub> encapsulation underwent dissolution. Indeed, high amounts of Zn observed in the outermost surface by means of XPS imply either complete dissolution, thinning of the layer thickness (less than 10 nm compared with the initial 25 nm) or chemical modification. In any case, the surface fraction of Al was lower, suggesting its partial dissolution.

Tentative chemical degradation mechanism of Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO thin films in the climatic test with (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> salt exposure is schematically presented in **Fig. 8c**. Once the ammonium ions reach the AZO layer the latter locally dissolved via reaction (1), as confirmed with Raman spectroscopy, XPS and optical microscopy. The fact that no crystalline LDH compounds were observed in the tests with (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> can be explained by different factors: (i) sulphate ions are known to delay the initiation of pitting of aluminium oxides (but accelerate the development of already existing pits) [48,49], which could reduce the dissolution rate of Al<sub>2</sub>O<sub>3</sub>, (ii) amorphous compounds are formed (as indicated by XPS findings), and iii) the formation of LDH in the presence of sulphates requires much higher pH than in the presence of chlorides, as previously demonstrated by titrations [50]. The exact nature of the formed degradation products and the mechanisms of the interaction between the Al<sub>2</sub>O<sub>3</sub> - layer and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> are on-going and topics for a forthcoming paper.

In all the studied conditions the diffraction domain of the (002) planes of ZnO remained constant, assuming similar crystallite height. This indicates that the dissolution of the layer most likely propagates in the direction parallel to the sample surface, probably along the grain boundaries. The latter seems logical since adsorption of reactive anions is expected to be faster at grain boundaries and the electrochemical dissolution of AZO is reported to start at grain boundaries [43].

## 4.2. Degradation of physical properties of Al<sub>2</sub>O<sub>3</sub>-encapsulated and non-encapsulated AZO

An effective transparent conductive oxide in thin film photovoltaics should have high transparency and low resistivity. The optoelectronic properties of both pristine and Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO rapidly degraded in the climatic tests with salts deposits, with more severe degradation observed upon exposure to (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>. The transmittance curve of the non-encapsulated samples exposed to (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> for one week coincided with the curve of the glass substrate, indicating complete dissolution of the AZO film. The transmittance of the non-encapsulated AZO exposed to NaCl was slightly affected in the infrared region. When the Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO samples were compared after the climatic tests, the transmittance seemed to evolve differently between the samples tested with (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and tested with NaCl. As previously discussed, the changes in the transmittance are due to the AZO layer dissolution, so different evolution of transmittance curve could probably indicate different degradation modes. If the AZO dissolution creates localized holes in the film while the thickness of the residual layer does not evolve significantly, the total transmittance  $T(X)$  of the film can be approximated as a linear combination of the glass transmittance,  $T(\text{glass})$ , representing the dissolved areas, and the initial transmission measured for the unexposed sample,  $T(\text{unexposed})$ , respectively, with  $\alpha$  being the surface fraction of the holes ( $0 \leq \alpha \leq 1$ ):

$$T(X) = \alpha \times T(\text{glass}) + (1 - \alpha) \times T(\text{unexposed}) \quad (\text{Eq. 4})$$

If the AZO dissolution results in the film thinning, according to the Beer-Lambert law, a fairly linear relationship is expected between the  $\ln[T(X)]$  against  $\ln[T(\text{unexposed})]$  in the absorption edge (i.e. 300 nm – 380 nm), with  $\beta$  being the fraction of the removed layer thickness:

$$\ln[T(X)] = \text{constant} + \beta \times \ln[T(\text{unexposed})], \quad (\text{Eq. 5})$$

For the Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO samples exposed to (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, the first model (Eq. 4) applies well with  $\alpha=0.66$  after 1 week and  $\alpha=0.91$  after 2 weeks of the climatic test (see Fig. S4a in Supplementary Information). This suggests localized dissolution of AZO and gradual increase of the fraction of these locally dissolved areas with testing time. Eq. 4, however, does not fit well the transmittance curves of the Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO tested with NaCl. In this case, the second model (Eq. 5) fits better and the thickness fraction lost after one and two weeks of the exposure is

very similar ( $\beta=0.70$  and  $\beta=0.68$ , respectively, see **Fig. S4b** in Supplementary Information). Since the slopes are less than one, the results suggest that the loss of the AZO layer by the film thinning.

Interestingly, the above-discussed dissolution modes are coherent with the modes reported for the anodic dissolution of AZO in the electrolytes containing chloride or sulphate anions [51]. In the conditions of [51], the anodic dissolution in the presence of sulphate anions resulted in pitting corrosion with strong preferential attack at the grain boundaries which led to a rapid resistivity increase. In contrast, for the exposures in chloride containing electrolytes, the formation of the cavern-like structures in the upper part of the layer resulted in the layer thickness decrease but in a substantially slower resistivity increase with dissolution.

The degradation of the electrical properties of the AZO film is also of primary significance for solar devices. For example, the increase in sheet resistance of AZO after damp heat test is considered as one of the main mechanisms responsible for the degradation of CIGS solar cells [52–54]. In our work,  $R_s$  of the non-encapsulated AZO thin films indeed increased after the tests with NaCl. A small increase of transmittance in the near-infrared region seems to indicate a reduction of the free carrier concentration in these samples, which could explain the increase of  $R_s$ . The carrier concentration calculated from the plasmonic frequency was, however, in the order of  $10^{19}$   $\text{cm}^{-3}$  for all the samples. As suggested in the literature, an increased  $R_s$  is hence more likely a consequence of the reduced charge carrier mobility in AZO [53]. Nevertheless, local variations in carrier concentrations in AZO films most likely occurred after the climatic tests for both non-encapsulated and  $\text{Al}_2\text{O}_3$ -encapsulated AZO samples. This can be concluded from the local evolution of the resonant Raman spectra (section 3.2.3) and the room temperature PL spectra (section 3.1.2), in which the defects are reflected by the broad band at  $350\text{--}525$   $\text{cm}^{-1}$  and the lower-energy components at 2.3 and 3 eV, respectively. Both strongly varied between different zones of the same sample after the tests. In some zones they were significantly lower than in the unexposed samples. Localized physical degradation most likely occurred hence as a result of inhomogeneous changes in defects/dopants density, which could deteriorate the local resistance of AZO.

To sum up, two weeks of the test combining cyclic  $T^\circ\text{C}$  and RH variation with daily NaCl and  $(\text{NH}_4)_2\text{SO}_4$  deposition were enough to deteriorate the optoelectronic properties of  $\text{Al}_2\text{O}_3$ -encapsulated AZO thin films stable in 1,000 h damp heat test. This suggests that the outdoor reliability of TCO can be strongly compromised not only by high temperature and high humidity,

but also by their variations and the presence of corrosive aerosols (NaCl or  $(\text{NH}_4)_2\text{SO}_4$ ). These aspects will eventually jeopardize the functionality and long-term stability of PV devices.

Broader interpretation of the fact that the 25 nm thick  $\text{Al}_2\text{O}_3$  can be insufficient for the AZO protection in polluted atmospheres denies its generalisation for flexible solar cells at least in strongly polluted atmospheres. Different alternative solutions can be suggested, as for example, a thicker  $\text{Al}_2\text{O}_3$  encapsulation layer or a combination of  $\text{Al}_2\text{O}_3$  with other materials such as  $\text{TiO}_2$ ,  $\text{ZrO}_2$  or  $\text{SnO}_2$  in a multi-layered stack, as has been proposed in organic light emitting diode (OLED) applications. Whatever is the alternative, the results of the present work suggest that the standard 1,000 h damp heat solicitation is insufficient to evaluate the atmospheric stability of the new systems and the effect of atmospheric aerosol pollutants should not be neglected.

#### 4. CONCLUSIONS

Strong effect of NaCl and  $(\text{NH}_4)_2\text{SO}_4$ , typical aerosol pollutants in marine and rural atmospheres respectively, on the degradation mode and degradation rate of pristine AZO and  $\text{Al}_2\text{O}_3$  - encapsulated AZO was demonstrated thanks to a new accelerated ageing procedure combined with advanced characterisation of aged samples. The new test considers not only humidity and temperature variations, but also the chemical modifications induced by the aerosol salt deposition in atmospheric conditions.

Application of the new ageing procedure demonstrated that both, optical transmittance and resistance of the pristine AZO, significantly degraded in one or two weeks of the new test. Chemical analysis demonstrated that contamination by NaCl resulted in a localized dissolution of the non-encapsulated AZO layer, visible after one and two weeks of the test, while  $(\text{NH}_4)_2\text{SO}_4$  completely dissolved the non-encapsulated AZO in one week of the test. For comparison, the physical characteristics of the reference non-encapsulated samples, exposed to the same cyclic temperature and humidity variations without any salts deposition, did not significantly degrade. Rapid dissolution in the presence of pollutants was attributed to the formation of soluble chloride and ammonia complexes. The very strong difference in the dissolution rates in the presence of  $(\text{NH}_4)_2\text{SO}_4$  and NaCl could be explained by more than 8 orders of magnitude difference in the formation constants of these complexes and probably by an inhibiting effect of the surface film.

25 nm ALD- $\text{Al}_2\text{O}_3$  encapsulated AZO window layers, stable in a standard 1,000 h damp heat test, were strongly degraded after one or two weeks of the new test in the presence of NaCl or

$(\text{NH}_4)_2\text{SO}_4$ . Moreover, the presence of the  $\text{Al}_2\text{O}_3$  encapsulation enhanced the degradation of AZO in the presence of NaCl. The latter was attributed to the pitting corrosion of the encapsulation, resulting in a very localized attack in confined zone. Optical transmittance evolution was coherent with two distinct dissolution schemes of AZO degradation in confined zones under the encapsulation layer: (i) layer thinning morphologically close to cavernous corrosion in the presence of NaCl and (ii) local dissolution morphologically close to pitting (most likely grain boundary etching) in the presence of  $(\text{NH}_4)_2\text{SO}_4$ .

In this work the effect of the atmospheric pollutants on the degradation mechanisms and degradation rates of non-metallic materials and components of PV modules in flexible solar cells was demonstrated on the example of transparent conductive oxide and new ALD deposited 25 nm thick  $\text{Al}_2\text{O}_3$  encapsulation. This example illustrates the interest of atmospheric corrosion expertise and related test methodologies to assess the durability of transparent conductive oxides and new encapsulation solutions aimed for atmospheric exposure. Larger interpretation of the results suggests that not only humidity and temperature variation but also the atmospheric chemistry needs considering in durability assessments of PV materials and devices.

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# *Supporting Information*

## **Importance of atmospheric aerosol pollutants on the degradation of Al<sub>2</sub>O<sub>3</sub> encapsulated Al-doped zinc oxide window layers in solar cells**

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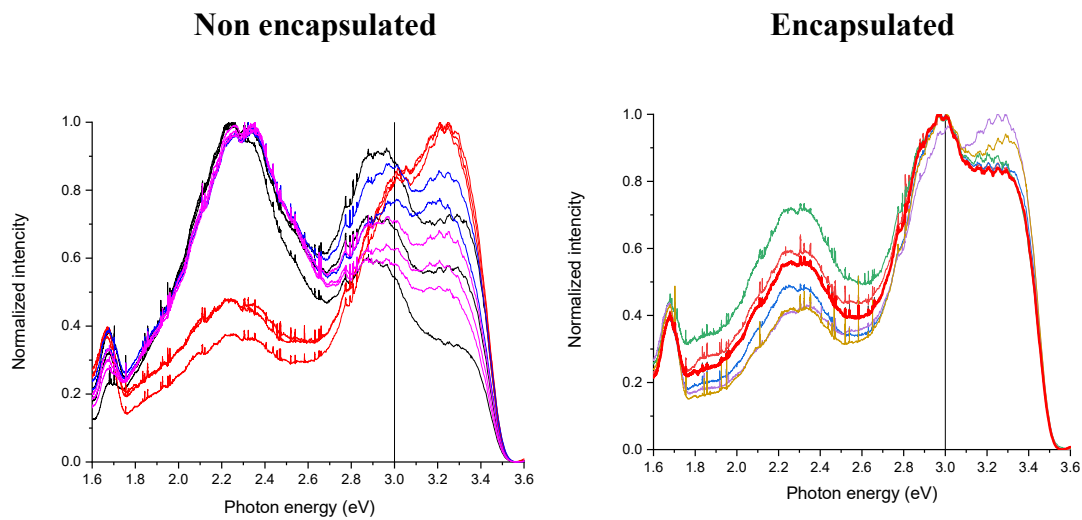
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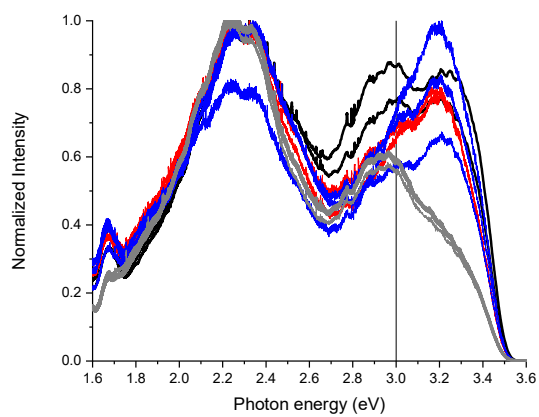
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## S1. Photoluminescence spectra of different samples

a)

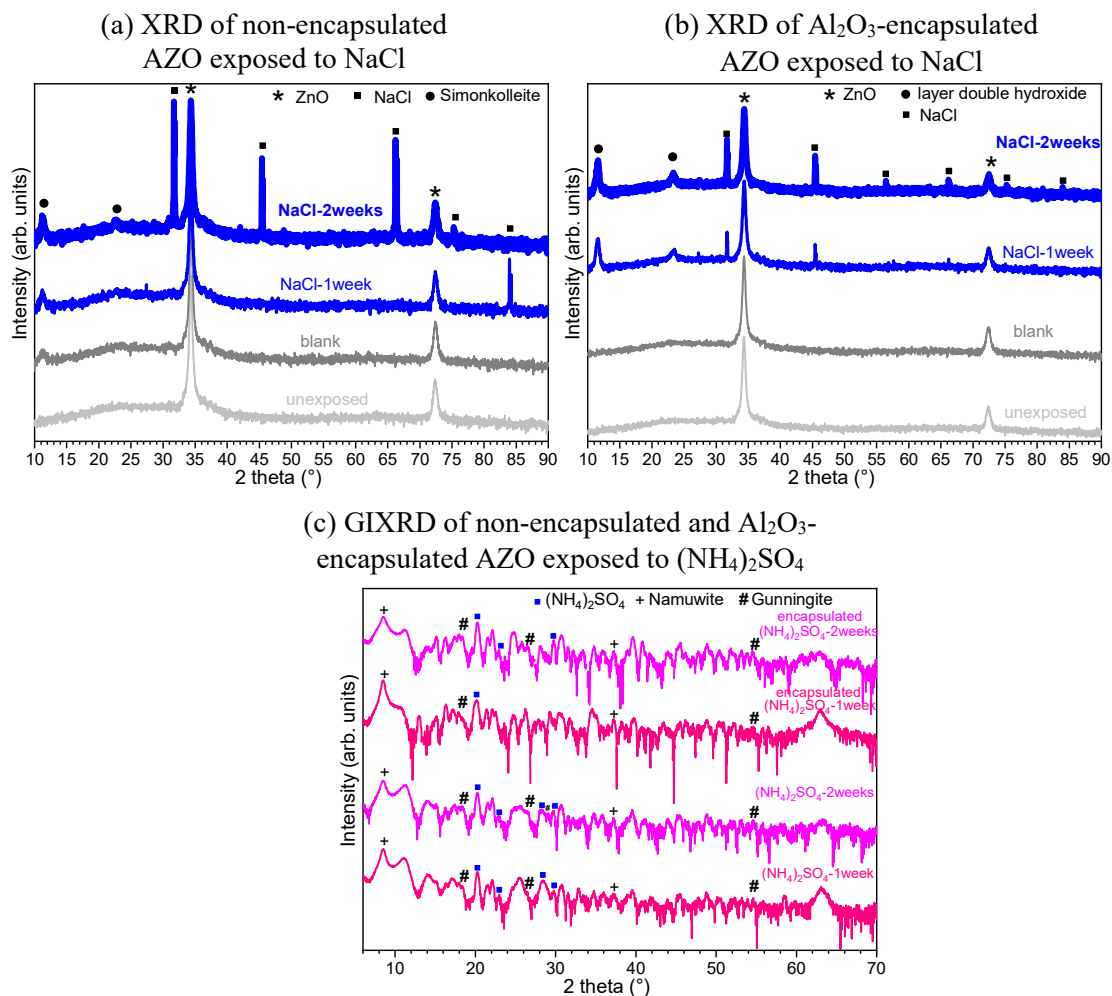


b)



**Figure S1:** Examples of room temperature PL spectra of (a) as deposited non-encapsulated and  $\text{Al}_2\text{O}_3$ -encapsulated AZO samples as indicated and (b) non-encapsulated AZO exposed to different climatic chamber exposure conditions (unexposed samples in black, exposed without salt in grey, exposed with NaCl in blue and red). Vertical lines show the position of 3 eV band associated with doping.

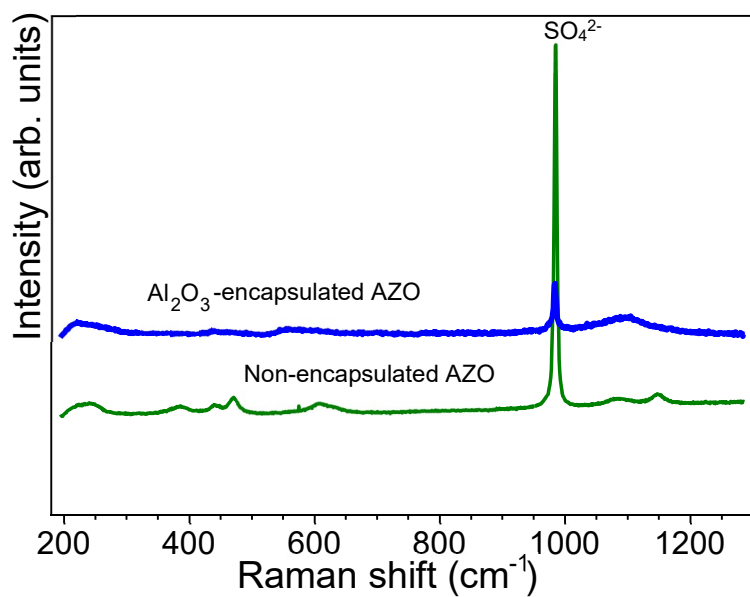
**S2: XRD and GIXRD results for non-encapsulated and Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO samples exposed to NaCl and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> before washing off the salts**



**Figure S2:** XRD of (a) non-encapsulated and (b) Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO exposed to NaCl, and (c) GIXRD of both non-encapsulated and Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO exposed to (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>. All the samples analysed without washing after the exposures.

JCPDS PDF for ZnO: 00-036-1451, for NaCl: 00-001-0993, for (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>: 00-040-0660, for Simonkolleite, Zn<sub>5</sub>(OH)<sub>8</sub>Cl<sub>2</sub>·H<sub>2</sub>O: 00-007-0155, for layered double hydroxide Zn<sub>6</sub>Al<sub>2</sub>CO<sub>3</sub>(OH)<sub>16</sub>·4H<sub>2</sub>O: 00-038-0486. Namuwite refers to Zn<sub>4</sub>(SO<sub>4</sub>)(OH)<sub>6</sub>·4H<sub>2</sub>O and Gunningite to ZnSO<sub>4</sub>·H<sub>2</sub>O, reference patterns are taken from the ruff.info database.

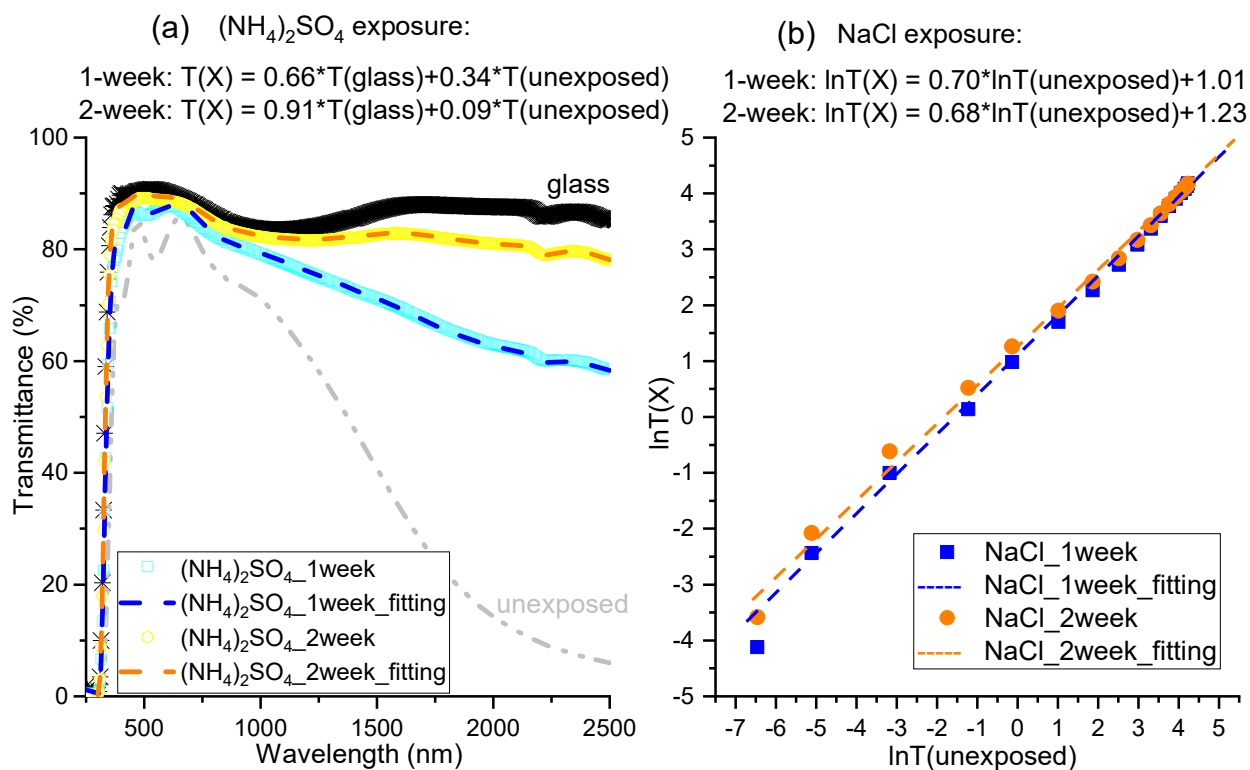
**S3. Raman spectra of non-rinsed samples after two weeks of test with  $(\text{NH}_4)_2\text{SO}_4$**



**Figure S3:** Examples of Raman spectra of non-encapsulated and Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO after two weeks of test with  $(\text{NH}_4)_2\text{SO}_4$ . A sharp band of  $\text{SO}_4^{2-}$  ions is marked.



#### S4. Comparison of experimental data and fitted curves of optical transmittance



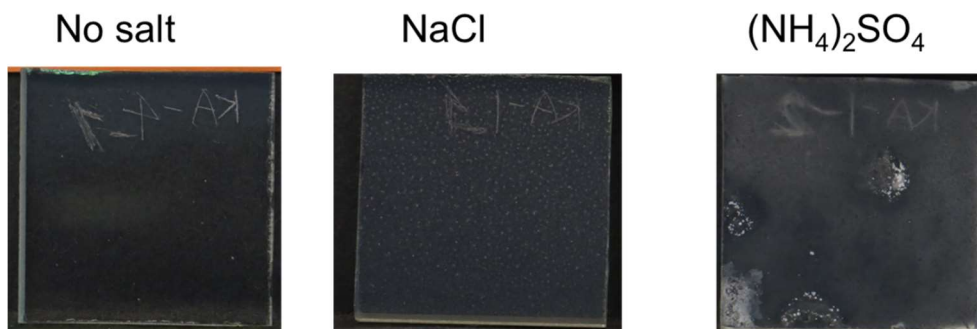
**Figure S4:** Optical transmittance of  $\text{Al}_2\text{O}_3$ -encapsulated AZO thin films after climatic ageing exposures to

(a)  $(\text{NH}_4)_2\text{SO}_4$ , with fitting model  $T(X) = \alpha \cdot T(\text{glass}) + (1 - \alpha) \cdot T(\text{unexposed})$ ,

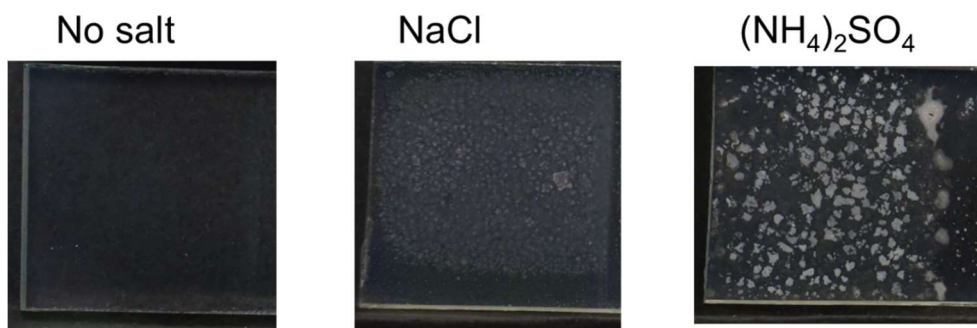
(b) NaCl, with fitting model  $\ln[T(X)] = \text{constant} + \beta \cdot \ln[T(\text{unexposed})]$

## S5. Surface appearance of the samples after one week of the climatic test

a)



b)

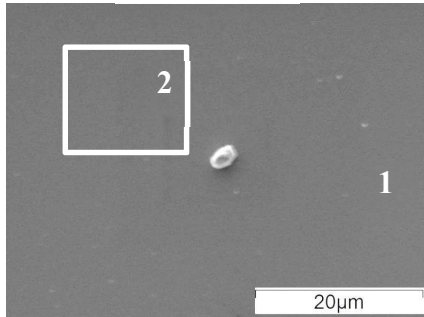


**Figure S5:** Example of photographs, showing the surface appearance of (a) non-encapsulated and (b)  $\text{Al}_2\text{O}_3$ -encapsulated samples after one week of the test with and without salt deposition (as indicated). The sample size is  $3.0 \times 3.0 \text{ cm}^2$  for (a) and  $2.5 \times 2.5 \text{ cm}^2$  for (b).

**S6: SEM images showing surface morphologies after 2 weeks of climatic test and local chemical composition (wt. %) determined by EDS**

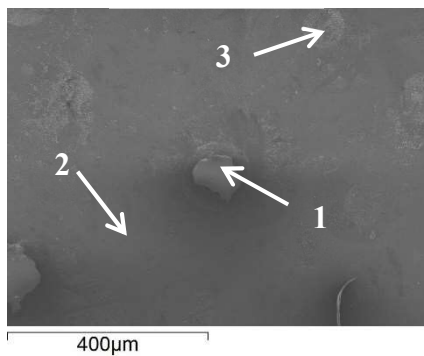
(a) non-encapsulated AZO

Unexposed



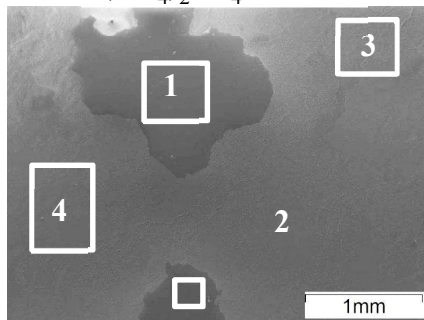
| Spectrum | C   | O    | Al  | Si  | Ca  | Zn   | Total |
|----------|-----|------|-----|-----|-----|------|-------|
| 1        | 6.7 | 27.1 | 1.7 | 5.1 | 1.1 | 58.3 | 100.0 |
| 2        | 6.6 | 24.1 | 1.2 | 6.8 | 1.1 | 60.2 | 100.0 |

NaCl-2weeks



| Spectrum | C   | O    | Na  | Mg  | Al  | Si   | Zn   | Total |
|----------|-----|------|-----|-----|-----|------|------|-------|
| 1        | 0.0 | 58.0 | 6.6 | 2.4 | 0.0 | 30.2 | 2.8  | 100.0 |
| 2        | 1.5 | 19.7 | 6.9 | 0.0 | 1.5 | 0.0  | 70.5 | 100.0 |
| 3        | 2.7 | 21.8 | 6.9 | 0.0 | 1.4 | 0.0  | 67.2 | 100.0 |

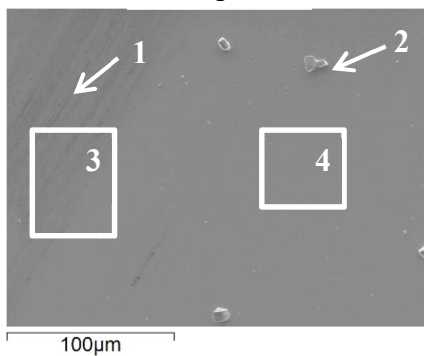
(NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>-2weeks



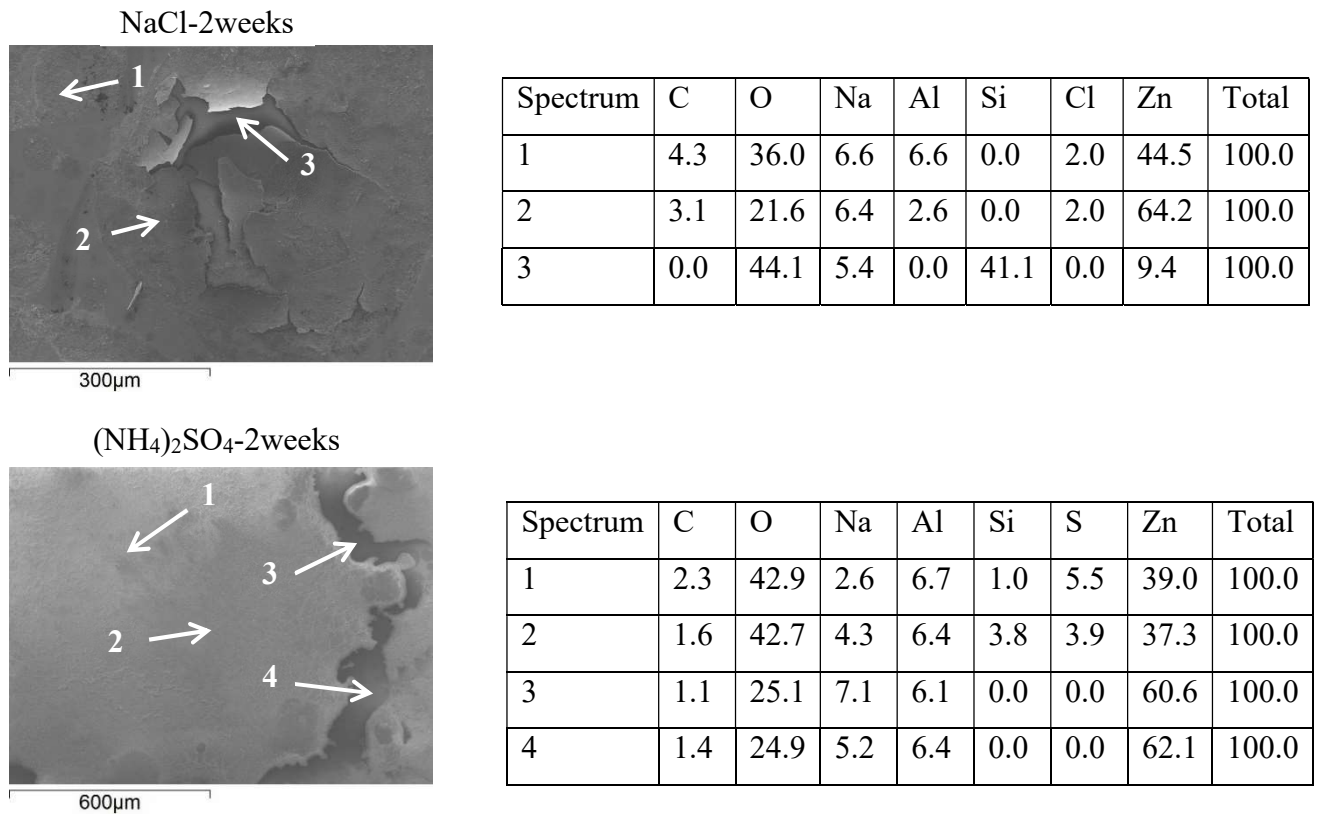
| Spectrum | O    | Na  | Mg  | Al  | Si   | S   | Zn   | Total |
|----------|------|-----|-----|-----|------|-----|------|-------|
| 1        | 58.7 | 6.8 | 2.5 | 0.0 | 32.1 | 0.0 | 0.0  | 100.0 |
| 2        | 59.0 | 7.4 | 2.5 | 0.0 | 31.1 | 0.0 | 0.0  | 100.0 |
| 3        | 38.8 | 5.3 | 0.0 | 1.9 | 1.8  | 5.3 | 47.0 | 100.0 |
| 4        | 37.2 | 6.9 | 0.0 | 0.0 | 0.0  | 6.6 | 49.4 | 100.0 |

(b) Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO

Unexposed



| Spectrum | C   | O    | Al  | Zn   | Total |
|----------|-----|------|-----|------|-------|
| 1        | 1.9 | 24.4 | 6.7 | 67.0 | 100.0 |
| 2        | 1.4 | 24.4 | 6.2 | 68.0 | 100.0 |
| 3        | 1.8 | 23.5 | 6.8 | 67.9 | 100.0 |
| 4        | 1.8 | 23.5 | 7.1 | 67.6 | 100.0 |



**Figure S6:** SEM images and elemental analysis by means of EDS (wt. %) of (a) non-encapsulated and (b) Al<sub>2</sub>O<sub>3</sub>-encapsulated AZO samples after two weeks of climatic exposure.