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Robustness of the Chiral-Icosahedral Golden Shell I -Au₆₀ in Multi-shell structures

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Motivated by the recent theoretical discovery [*Nat. Comm.* 9, 3352 (2018)] of a surprisingly contracted sixty-atom hollow shell of chiral-icosahedral symmetry (I -Au₆₀) of remarkable rigidity and electronegativity, we have explored, via first-principles DFT calculations, its physico-chemical interactions with internal and external shells, enabling conclusions regarding its robustness as well as identifying composite forms in which an identifiable I -Au₆₀ may be realized as a product of natural or laboratory processes. The I -Au₆₀ dimensions and rigidity suggest a templating approach; e.g., an I_h -C₆₀ fullerene fits nicely within its interior, as a nested cage. In this work, we have focused on its susceptibility, i.e. the extent to which the unique structural and electronic properties of I -Au₆₀ are modified by incorporation into selected multi-shell structures. Our results confirm that the I -Au₆₀ shell is robustly maintained and protected in various bilayer structures: I_h -C₆₀@ I -Au₆₀, I_h -Au₃₂@ I -Au₆₀²⁺, Au₆₀(MgCp)₁₂, and their silver analogs. A detailed analysis is presented of structural and electronic properties of the selected I -Au₆₀ shell-based nanostructures. We found that the I -Au₆₀ shell structure is quite well retained in several robust forms. In all cases, the I -symmetry is preserved, and the I -Au₆₀ shell is slightly deformed only in the case of I_h -C₆₀@ I -Au₆₀ system. This analysis serves to stimulate and provide guidance toward the identification and isolation of various I -Au₆₀ shell-based nanostructures, with much potential for future applications. We conclude with a critical comparative discussion of these systems and of the implications for continuing theoretical and experimental investigations.

I. INTRODUCTION

As has been frequently noted, the low-dimensional nanostructures of gold (Au), including intermetallic combinations with {Ag, Cu, Pd,...}, have continued to attract great interest in the recent years, originating first in relation to the phenomenal performance of supported gold clusters as low-temperature catalysts for many important organic chemical transformations.^{1,2} Second, a remarkable variety of two-dimensional (2D, e.g. planar close-packed ‘rafts’) and quasi-2D (hollow shells or capsules) has been established in theory and experiment; they are especially competitive with compact (3D) structures as negatively charged clusters (anions).

Trombach *et al.*¹ have described “an interesting result for the difference between the cohesive energy of the bulk fcc structure compared to the (111) 2D sheet. Creating the bulk structure from stacking (111) sheets only accounts for ~ 0.68 eV [per atom, or $\sim 18\%$] of the total cohesive energy of the bulk [3.81 eV per atom]...” versus the naive $\sim 50\%$ expectation from coordination-numbers (6 vs. 12). Coincidentally, we have previously estimated³ that the quasi-2D I -Au₇₂ cage (discussed throughout this article for comparison), also lies 0.68 eV/atom higher (less cohesive) than bulk fcc-Au. Assuming the validity of these two estimates, this implies that the ‘curved’ I -Au₇₂ is isoenergetic with the planar infinite 2D sheet. (Respectively, these are duals of the fullerene I -C₁₄₀ structure and the graphene structure.) Although the [neutral] I -Au₆₀ cage (the generating element of the structures proposed in this article) is less stable, at +0.86 eV per atom, the ‘stability gap’ with respect to I -Au₇₂ rapidly decreases with

increasing negative charge (electron filling), which if extrapolated to the 12e level ($e/5$ per atom) may vanish. All this is in striking analogy to the exceptional stability of ‘curved’ icosahedral carbon fullerenes, especially I_h -C₆₀(12⁻), with respect to 2D-graphene.

The ultimate origin of this unusual proclivity for infinite-2D as well as for finite quasi-2D gold structures is explained by the profound local hybridization ($|5dz^2 > \pm|6s >$) on each Au-atom, wherein z denotes a local axis normal to the plane. Not to mention the generally high electronegativity of gold, manifested also in exceptionally high electron affinities (negative-ion stability). Starting from the planarity of small gold-cluster anions, and increasing the number of atoms, the curved quasi-2D hollow shells (often called ‘cages’) become more important, consistent with the decrease in curvature energy. High-symmetry shells may further distribute and thereby reduce the strain energy.

Among these shell- or cage-structures, the icosahedral (I_h) shells are the highest symmetry (most uniform). Icosahedral shells investigated previously include the celebrated neutral I_h -Au₃₂ cluster, which is competitive with all compact isomeric forms, as well as a series of larger I_h -symmetry structures (42, 92, 122 sites)⁴. Karttunen *et al.*⁵ explored a 72-site shell of slightly lower (I) symmetry, I -Au₇₂ (detailed below). The I_h -Au₃₂ and I -Au₇₂ structures, in their neutral (uncharged) forms⁶, are notable for supporting closed electronic as well as geometric shells (sometimes called ‘doubly magic’) for the charge-neutral clusters.⁷ The valence 6s¹ electrons (one per Au-atom) that form the incipient conduction band are organized into easily recognized angular momentum

(L) electronic shells, that in the ground electronic state are filled with $2(2L+1)$ electrons, $L = 0, 1, 2, 3, \dots$, with the familiar result that $2(L_{max} + 1)^2$ gives the total number of electrons to completely occupy shells up to $L = L_{max}$.^{8,9} It is important to recognize that, in these various theoretical-computational works, each icosahedral shell was obtained by geometrical construction of ideal forms, which then undergo only minor adjustments (relaxation) as their quantum electronic structure is calculated; none was obtained from relaxation of a distinct structure/type, in a spontaneous *symmetry-changing* process. However, in the case of the I -Au₆₀ that we focused on in the present work, which has a close relation to the I -Au₇₂, the starting point in experimental crystallography is quite different, as we explain next.

Now it happens that there are experimental compounds, known collectively as ‘ubiquitous icosahedral A₁₄₄X₆₀ clusters’, where X = various anionic ligands, and A = usually Au but also variously substituted metals (Ag, Cu, Pd ...), that share a remarkable history of intense investigation, spanning the past quarter-century, due to factors that ultimately relate to its high symmetry and intrinsic chirality^{10–13}. In fact, it has been only during the last few years that the completed chiral icosahedral structure has been unambiguously established in two separate instances and the long-hypothesized I -symmetric structure model was confirmed in marvelously exquisite detail; these were accomplished by total structure determination via single crystal X-ray crystallography, as we now describe^{14–16}. First reports of a singular, ‘ubiquitous’, Au cluster of approximately ~ 145 -atoms (core mass ~ 29 -kDa) date to 1995-6.¹⁷ Next, a primitive structure-model was tested, derived directly from the ‘ I_h -Pd₁₄₅ structure’ (hereafter denoted simply by ‘Pd₁₄₅ structure’)¹⁸ that had been totally solved by 1999. (See brief description immediately below.) Specifically, the Pd₁₄₅ coordinate-set, when scaled from Pd–Pd to Au–Au interatomic distances, yielded superior agreement with the X-ray scattering functions determined in the year prior (1998). The Pd₁₄₅ structure features shells of atoms and ultimately ligand coordination complexes that may be expressed notationally as:

$$1 @ 12 @ \{30 + 12\} @ 60^* @ \{30, 60X\}$$

In 2008, Chaki *et al.*¹⁹ demonstrated mass spectrometrically that the clusters comprised only 144 Au atoms (plus the 59-60 thiolate ligands), rather than the expected 145 count established previously for Pd₁₄₅ by Tran *et al.*¹⁸ This surprise prompted O. López-Acevedo *et al.*²⁰ to verify by DFT-based optimization that the more stable version centered on a vacancy, i.e. its central I_h -Au₁₂ cage is hollow, lacking a central atom, consistent with the reformulation Au₁₄₄(SR)₆₀. Subsequently, several groups pursued the theoretical investigation of this newly predicted structure model using symmetrized coordinate sets.^{11,12} In this way, it could be established theoretically that the ‘staple-motif’ binding of 60 thiolates (30 pairs) in this case *necessitates* the reduction from full icosahedral (I_h) symmetry to the chiral-icosahedral (I), which also generates additional space for the ligands. An analysis of the symmetry (group-theoretical designation) of the Au₁₄₄(SCH₃)₆₀¹² and the simplified Au₁₄₄(Cl)₆₀¹¹ confirmed

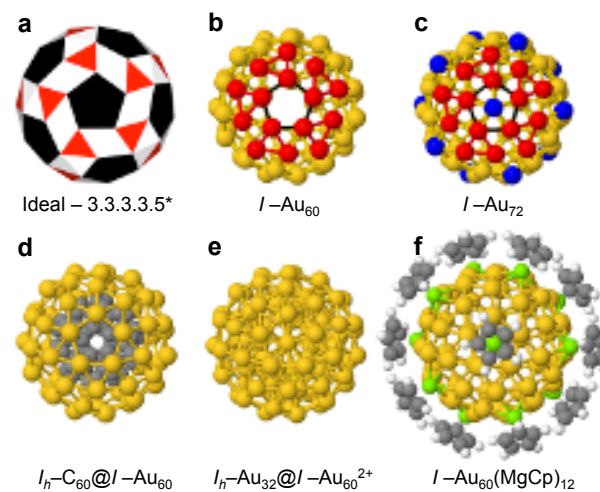


FIG. 1. (a) Snub dodecahedron Archimedean polyhedron (b) the ball-and-stick atomic model of the I -Au₆₀ shell. Some Au atoms located in the pentagonal facet are displayed in different colors to highlight the pentagons and triangles of the snub dodecahedron. (c) I -Au₇₂: Composed by a 12-atom icosahedron and a 60-atom snub dodecahedron, proposed from geometric principles. (d) I_h -C₆₀@ I -Au₆₀: The I_h -C₆₀ fullerene core is placed inside the I -Au₆₀. (e) I_h -Au₃₂@ I -Au₆₀²⁺: The core is composed by an I_h -Au₃₂ core⁶ and the I -Au₆₀ shell surrounding it. (f) Au₆₀(MgCp)₁₂: The structure is composed by the I -Au₆₀ shell with 12 stellating Magnesium atoms located at the 12 pentagons. Above each of the Mg ions is placed a cyclopentadienide (Cp) anion with five carbon and hydrogen atoms.

that the chiral icosahedral symmetry (group I , also known as 532), holds for charge-states $\{+2, +4, -8\}$ that assure a non-degenerate ground state, i.e. for which the Jahn-Teller Theorem does not predict spontaneous symmetry-lowering deformations.

The size and the high stability of the monolayer protected 144-atom noble-metal cluster compound Au₁₄₄(SR)₆₀^{10–13,18} motivated the study of the stability and chirality of its four concentric constitutive shells: the inner core [two shells of (12) + (30 + 12) Au atoms that have no coordination to ligands]; the Grand Core (114 Au atoms) consisting of the inner core plus 60 surface Au atoms ($\sim I_h$ -Au₆₀ shell), each singly coordinated to thiolates (RS-) and the final (4th) shell, a protective layer conformed by 30 staple-motif units (RS-Au(I)-SR)^{12,13}. Specifically, during our investigation we extracted, analyzed and performed a structural optimization of the Au₆₀ shell. This shell structure is referred as $\sim I_h$ -Au₆₀ (or quasi I_h -Au₆₀) for it deviates from the I_h -symmetry; its Hausdorff Chirality Measure (HCM) is ~ 0.02 (or 2%) as opposed to the ideal [3.3.3.3.5*] polyhedron structures of ~ 0.10 (up to 10%). We expected the collapse of this $\sim I_h$ -Au₆₀ structure into a compact cluster, similar or close to the C₁-Au₆₀ presented in Ref.²¹ However, we found an extraordinary (robust) and unprecedented structural transition. The $\sim I_h$ -Au₆₀ shell, which approximates a rhombicosidodecahe-

dron [3.4.5.4], an Archimedean solid, transforms into another structure resembling the 60-vertex Archimedean solid geometry, namely, the snub dodecahedron [3.3.3.3.5*], see Fig. 1(a) and (b). First the extracted $\sim I_h$ -Au₆₀ shell contracts spontaneously and then transforms coherently into a final structure I -Au₆₀ of remarkable perfection, in which all 60 atoms are in symmetry-equivalent sites and have five (5) unusually short interatomic distances (bonds). This structure/shell has 92 faces, 12 are pentagons \square (black) and the other 80 are triangles: 20 equilateral \triangle (red) and 60 isosceles \triangle (white), see Fig. 1(a). Each atom has two pentagonal edges with lengths of 2.70 Å, one triangular edge of 2.74 Å and two triangular edges of 2.79 Å for the equilateral triangles, see Table I. Fig. 1(b) shows the ball-and-stick atomic model of the optimized I -Au₆₀ shell.

The I -Au₆₀ structure thus provides a unique instance of stability wherein all (60) atoms are equivalent, without the presence of any other supporting elements. This exceptional symmetry characteristic has special consequences in its electronic and optical properties that we reported in detail³. Its special properties make it ideal for its production: (i) structurally, it is I -spherical with a convenient (1.178-nm) diameter and minimum surface area; (ii) mechanically, its strong and short bonds, all tangential to the surface of the sphere, render it unusually rigid or resistant to deformation; (iii) electronically, the prevalent $\{6s - 5dz^2\}$ hybridization (relativity derived) favors 2D-directional bonding^{5,22,23}; (iv) (electro) chemically, its electronic-shell closures at $\{0, 6^-, 12^-\}$ combined with a high electronegativity may greatly reduce its reactivity and increase the stability of its anionic compounds.

The I -Au₆₀ shell-structure has not been yet experimentally characterized so far, and it may appear an unlikely candidate for experimental detection and physical isolation or chemical synthesis, in view of the greater cohesion of filled (compact) structures and the presumed reactivity of its exterior surface. However, based on its dimension (size) and rigidity (i-ii) we suggested a templating approach and indicated different strategies to preserve/isolate it through various cores as well as potential synthesis pathways³. Specifically, we found that an I_h -C₆₀ (Buckyball)²⁴ fits almost perfectly within its interior void, i.e. to generate nested cages like I_h -C₆₀@ I -Au₆₀. Different initial geometries of hypothetical stabilized clusters were proposed and its structural stability was investigated, namely I_h -C₆₀@ I -Au₆₀, I_h -Au₃₂@ I -Au₆₀²⁺ bilayer structure and I -Au₆₀(MgCp)₁₂, see Fig. 1(d), (e) and (f). Preliminary first-principles calculations based on Density Functional Theory (DFT) demonstrate that the I -Au₆₀ shell is well maintained and it can be protected further. The icosahedral symmetry is preserved and the shell is slightly deformed only for the case of I_h -C₆₀@ I -Au₆₀ system. Notwithstanding, a complete report of the structural and electronic properties of these I -Au₆₀-based core-shell structures with their constituent shells has not yet appeared until now.

The prediction and investigation of additional high-symmetry Au shells with a C₆₀ core could open applications in

a variety of areas such as functionalized core-shell structures or thin films. In addition, these clusters may be stabilized by a surface ligand shell. In this paper, we present a detailed ab initio DFT study of the structural and electronic properties of the I -Au₆₀-based core-shell structures mentioned above. We are particularly interested in gaining a better understanding of how the structure's morphology of surrounding shells influences the fundamental properties of the I -Au₆₀ nanoshell. Specifically, we are interested in determining the structural stability of the I -Au₆₀ shell.

II. METHODS

In our investigation of the systems constructed as described below, we performed first-principles spin-polarized DFT calculations as implemented in the SIESTA code^{25,26}. We used norm-conserving Troullier-Martins (TM) pseudopotentials (PPs)²⁷ with scalar relativistic correction, which include the d electrons in the valence, i.e., with 11 valence electrons for each atom. The generalized-gradient approximation (GGA) for the exchange-correlation functional of Perdew-Burke-Ernzerhof (PBE) was used²⁸. The wavefunctions were expanded in a double- ζ polarized basis set (D ζ P). After a thorough series of convergence tests, 550 Rydberg cutoff for the density integration grid and a density matrix convergence criterion of 10⁻⁴ eV were chosen. All the Au atoms were allowed to relax using the conjugate gradient minimization method until the forces were smaller than 0.005 eV/Å. We used periodic boundary conditions and a simple cubic superlattice with a cell size of 40 Å. These parameters gave a bulk FCC cohesion energy of 3.20 eV/atom and a lattice constant of 4.18 Å (interatomic distance 2.95 Å), +2.4% larger than the experiment, which is typical of the GGA functional.

Inspired by the size of the I -Au₆₀ shell and interior void, we created core-shell structures using, as cores, either the I_h -C₆₀ fullerene²⁴, or the I_h -Au₃₂ stellated dodecahedron⁶; alternatively an encapsulating shell of twelve (12) magnesium (Mg²⁺) ions and twelve cyclopentadienide (Cp⁻) C₅H₅ ligands. The initial geometries are: (i) I_h -C₆₀@ I -Au₆₀. The I_h -C₆₀ fullerene core was placed inside the I -Au₆₀ and aligned according to the shared icosahedral symmetry, see Fig. 1(d). (ii) I_h -Au₃₂@ I -Au₆₀²⁺. This is a bilayer structure whose core is composed by an I_h -Au₃₂ cluster (Johansson *et al.*, Ref⁶) and the chiral icosahedral I -Au₆₀ shell surrounding it, see Fig. 1(e). (iii) I -Au₆₀(MgCp)₁₂. This structure is composed by the I -Au₆₀ shell with 12 stellating magnesium ions located at the 12 pentagons. Above each of the Mg ions is placed a Cp⁻ anion with five carbon and hydrogen atoms C₅H₅⁻ (Clayborne *et al.*, Ref.²⁹), see Fig. 1(f).

In order to compare the properties of the I -Au₆₀-based core-shell structures with their constituent shells of similar size, we considered the following isolated nanoshells: the chiral icosahedral I -Au₆₀, Fig. 1(b); the I -Au₇₂, Fig. 1(c); and the I_h -Au₃₂ and the I_h -C₆₀ [interior portions of Figs. 1(d) and (e)].^{3,5,6,24} Table I collects the radii, bond-lengths, diameter

and chiral index of these isolated and optimized shells, as obtained with the computational method described above. The charge-neutral I_h -Au₃₂ and I -Au₇₂ shell structures are ‘doubly magic’ for supporting closed electronic as well as geometric shells.⁷ Moreover, the icosahedral I -Au₇₂ obtained as a compound of concentric polyhedra is of special importance, not only for its potential as an enantioselective catalyst⁵ but also this is the smallest known chiral molecule that exhibits spherical aromaticity.^{22,23}

In addition, for comparison purposes we considered the equivalent core-shell structures systems (i-ii-iii) in silver (Ag), i.e., by replacing Au for Ag: (iv) I_h -C₆₀@ I -Ag₆₀, (v) I_h -Ag₃₂@ I -Ag₆₀²⁺ and (vi) I -Ag₆₀(MgCp)₁₂. Occasionally for concision, we will refer to these six (6) core-shell structures as I_h -C₆₀@ I -Ai₆₀, I_h -Ai₃₂@ I -Ai₆₀²⁺ and I -Ai₆₀(MgCp)₁₂, where $i = u$ for gold (Au) and $i = g$ for silver (Ag). The Au – Au, Ag – Ag, C – Au and C – Ag bond-lengths will also be symbolized jointly as Ai - Aj and C - Ai,j , respectively. All the symmetry-group assignments were calculated with the Jmol tool³⁰ ‘Calculate Pointgroup’ using a tolerance of 0.2-Å, unless explicitly stated otherwise.

Selection of the I -Au₆₀-Based Multi-shells Models

Since their discovery in 1985, fullerenes have drawn interest for applications in nanoelectronic devices. Best-known of the fullerenes is the C₆₀ ‘Buckyball’²⁴. Among the few investigations of the interactions of gold shells with C₆₀ is the study of the structural stability of gold fullerene-like structures covering the I_h -C₆₀ molecule for the icosahedral shell series of Au₃₂, Au₆₀, Au₆₆ and Au₉₂, shells by Batista *et al.*³¹, wherein a cluster with icosahedral symmetry denoted by Au₉₂C₆₀ was identified as the most stable. This cluster is composed by 92 gold atoms covering the fullerene and it was found after the structural relaxation of the initial geometry I_h -C₆₀@ I -Au₉₂. It is also reported that most of the Au atoms have coordination 6 and that the average distance between the carbon and gold layers is 3.6 Å. In addition, it has shown that the functionalization of this cluster with thiol molecules can further stabilized the structure. Batista did not test the stability of the I -Au₆₀ shell we predicted¹⁰, however. The Au₆₀ structure they studied had the same morphology as the C₆₀, namely a truncated icosahedron, composed of 12 pentagons and 20 hexagons. The relaxation of their Au₆₀ resulted in a deformation where the hexagons and pentagons collapse into triangles and holes are created in the Au shell. Instead, we find the short and strong Au–Au bonding in the I -Au₆₀ permits all 60 Au atoms to fit compactly around the I_h -C₆₀, versus only 32 for the alkaline-earth metals (Ca, Sr, Ba) explored by Martin and coworkers³². The gas aggregation of 60 Au atoms upon a C₆₀ core is thus predicted. Therefore, we investigated the I_h -C₆₀@ I -Au₆₀ and I_h -C₆₀@ I -Ag₆₀ core-shell structures.

Another structure which has been used as a core for multi-layered nanoclusters is the 32-atom icosahedron. The icosahedral Au₃₂ was first discovered by Johansson *et al.* in 2004 and the first larger (> 20 atoms) shell to be reported⁶.

The WAu₁₂ was discovered previously where the Au₁₂ icosahedron shell surrounds a tungsten atom. The isolated Au₁₂ icosahedron is unstable, however. Theoretical investigations of the Au₃₂ has shown that it is highly stable, even more stable than the tetrahedral Au₂₀ cluster³³. It has a diameter of approximately 0.8 nm, roughly the size of the C₆₀, which makes for a nice fit within the I -Au₆₀ shell structure. The Ag₃₂ was already discussed at the time of the discovery of Au₃₂, however. In 2012 Chakraborty *et al.*³⁴ isolated an abundant larger silver thiolate cluster that was identified (or modeled) as Ag₁₅₂(SR)₆₀, R = CH₂CH₂Ph cluster composed of a stable 92-atom Ag core-shell structure surrounded by 60 Ag and 60 thiolates. The Ag₉₂ core is composed by the Ag₃₂ icosahedral core surrounded by a chiral icosahedral I -Ag₆₀ shell. In this respect the I_h -Ag₃₂@ I -Ag₆₀²⁺ has previously been investigated. These models (including charge 2+) have been motivated by the results of Chakraborty *et al.*³⁴, which are hereby confirmed to apply to gold as well as silver I -Ag₉₂ (hollow) shells.

Finally, we propose the I -Au₆₀(MgCp)₁₂ and I -Ag₆₀(MgCp)₁₂ structures as models systems decorated, in accordance with well established chemical precedent³⁵ by electropositive ferrocene-like CpM⁺ groups, wherein Cp = C₅H₅-C₅H₅- ligand and M is a divalent metal cation. Here, our goal is to investigate the stability of a hollow Au shell of this size when decorated with 12 Cp anion ligands by analogy to the experimental known and theoretically confirmed Al₅₀Cp₁₂^{*}, Ref. 29. The 12 Cp anion ligands are chosen in order to achieve an electronic shell closing of 72 valence electrons which occurs in hollow shells, as in the neutral Au₇₂⁵.

III. RESULTS

A. Alignment of Core and Shells

For the particular I_h -C₆₀@ I -Ai₆₀ core-shell initial configurations, two initial orientations of both of the initial geometries I_h -C₆₀@ I -Au₆₀ and I_h -C₆₀@ I -Ag₆₀ were considered: (a) an alignment of the shared icosahedral symmetries and (b) a random orientation of the shells. While each C₆₀, Au₆₀, and Ag₆₀ shells maintained its respective starting symmetry after relaxation, the cores rotated with respect to the shells and all relaxations converged to a final structures with an overall D₅-symmetry. Upon inspection of the C- Ai,j interatomic distances, however, we found a more uniform bonding behavior suggesting the C- Ai,j bonds might play a large part in determining the orientation, and thus symmetry, of the final relaxed structure.

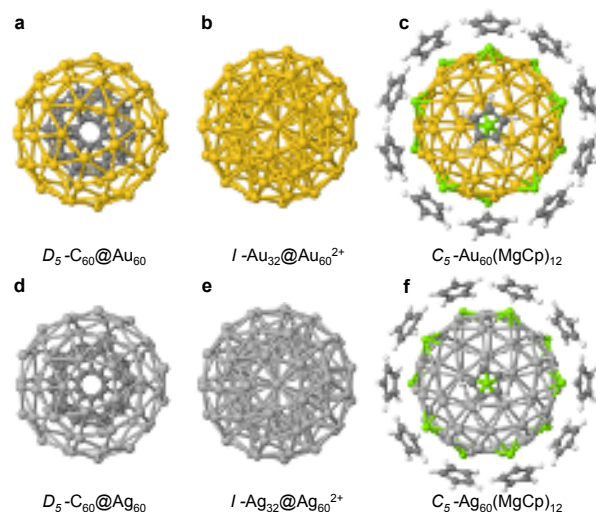


FIG. 2. Optimized structures based on the $I\text{-Au}_{60}$ shell. (a) $I_h\text{-C}_{60}@I\text{-Au}_{60}$, (b) $I_h\text{-Au}_{32}@I\text{-Au}_{60}^{2+}$, (c) $C_5\text{-Au}_{60}(\text{MgCp})_{12}$, (d) $I_h\text{-C}_{60}@I\text{-Ag}_{60}$, (e) $I_h\text{-Ag}_{32}@I\text{-Ag}_{60}^{2+}$, and (f) $C_5\text{-Ag}_{60}(\text{MgCp})_{12}$.

B. Structural Parameters of The Optimized Forms

In this section, we report how the structural features in the $I\text{-Au}_{60}$ shell change with the introduction of a core or when it is decorated by ligands. Figure 2 shows the ball-and-stick atomic models of the optimized core-shell structures obtained from the atomic relaxation of the initial geometries proposed, shown in Fig. 1: $I_h\text{-C}_{60}@I\text{-Au}_{60}$, $I_h\text{-Au}_{32}@I\text{-Au}_{60}^{2+}$ bilayer structure and $I\text{-Au}_{60}(\text{MgCp})_{12}$, respectively. Figure 3 and Fig. 4 show the radial and interatomic distances of the optimized $I_h\text{-C}_{60}@I\text{-Au}_{60}$, $I_h\text{-Au}_{32}@I\text{-Au}_{60}^{2+}$ and $I\text{-Au}_{60}(\text{MgCp})_{12}$ clusters. The $I\text{-Au}_{60}$ has been included for comparison purposes.

$I\text{-Au}_{60}$ -Based Multi-shells

For the case of the isolated $I\text{-Au}_{60}$ shell, as reported previously, the radius is 5.90 Å, and we determine the three distinct nearest neighbor Au – Au distances, each atom has two pentagonal \square edges of length 2.70 Å, one triangular edge in the isosceles triangles Δ of 2.74 Å and two triangular edges in the equilateral triangles \triangle of 2.79 Å, see first row of Table I. Separately, a chiral icosahedral $I\text{-Ag}_{60}$ shell was obtained when the $I\text{-Au}_{60}$ shell was used as template for the initial geometric configuration, then the Au atoms were replaced by Ag and subsequently a DFT structural optimization, in which all the Ag atoms were allowed to relax, was performed. Compared with the $I\text{-Au}_{60}$, the final optimized $I\text{-Ag}_{60}$ shell structure has a slightly larger radius of 6.13 Å, roughly 3.9% larger than the golden shell. The $I\text{-Ag}_{60}$ is also more regular than the $I\text{-Au}_{60}$ with three distinct nearest neighbor Ag – Ag distances at 2.82 Å (\square), 2.86 Å (Δ), and 2.88 Å (\triangle), see Table I. The isolated $I_h\text{-Au}_{32}$ shell has two radii at 3.97 Å and 4.50 Å corresponding to the 20-atom

dodecahedron and 12-atoms stellating the pentagons and has two Au – Au bond-lengths at 2.76 Å and 2.83 Å. The radius of the isolated $I_h\text{-C}_{60}$ fullerene is calculated to be 3.65 Å and there are 2 characteristic C – C bond-lengths at 1.43 Å and 1.49 Å. On the other hand, the isolated $I_h\text{-Ag}_{32}$ shell has two radii at 4.10 Å and 4.66 Å corresponding to the 20-atom dodecahedron and 12-atoms stellating the pentagons and has two Ag – Ag bond-lengths at 2.85 Å and 2.93 Å. The radius of the isolated $I_h\text{-C}_{60}$ fullerene is calculated to be 3.65 Å and there are 2 characteristic C – C bond-lengths at 1.43 Å and 1.49 Å.

(i) $I_h\text{-C}_{60}@I\text{-Au}_{60}$. Fig. 2(a) shows the fully optimized $C_{60}@Au_{60}$ core-shell structure. The radius of the C_{60} core decreases slightly ($< 2\%$), from 3.65 to 3.60 Å and the radius of the Au_{60} shell increases 4 to 6 % with Au atoms spread between 6.12 Å and 6.25 Å, see top panel left of Figure 3. Both constitutive shells retain its I_h and I symmetries, respectively, whereas the symmetry of the entire system is D_5 . The contracted (expanded) radial distance in the C_{60} (Au_{60}) shells are also reflected in the interatomic distributions. Both the C – C and Au – Au bonds become less uniform in the optimized core-shell structure $D_5\text{-C}_{60}@Au_{60}$ and are instead distributed in a range between 2.81 – 2.92 Å for the Au – Au and 1.43 – 1.48 Å for the C – C interatomic distances. The C – Au bonds range from 2.51 to 2.97 Å and are associated with the overall D_5 -symmetry. Figure 5(a) shows the optimized $D_5\text{-C}_{60}@Au_{60}$ cluster along the five-fold symmetry axis with the C – Au distances grouped and colored by length. There are two sets of pentagons in the relaxed $D_5\text{-C}_{60}@Au_{60}$ and $D_5\text{-C}_{60}@Ag_{60}$, which are aligned along the single five-fold symmetry axis. Perpendicular to the five-fold axis are 5 two-fold axes of rotation which pass between the atoms colored red in Fig. 5(a).

(ii) $I_h\text{-Au}_{32}@I\text{-Au}_{60}^{2+}$. Upon relaxation of the initial configurations of the (2) [concentric] shells, the optimized I -symmetric structure of this system is obtained. The optimized $I\text{-Au}_{32}@I\text{-Au}_{60}^{2+}$ core-shell structure has three radii at 3.80 Å, 4.78 Å and 6.39 Å, associated with a decrease in the radial distance of the dodecahedron of Au_{32} , an increase of the radius of the 12 atoms stellating the pentagons of Au_{32} (now between the shells), and an increase of $\sim +8\%$ of the outermost Au_{60} shell. The symmetry of each shell in the optimized system is shown in Table I. The three distinct nearest neighbor Au – Au distances in the icosahedral $I\text{-Au}_{60}$ shell of 2.70 Å (\square), 2.74 Å (Δ), and 2.79 Å (\triangle), become 3.03 Å, 2.83 Å, and 2.92 Å, respectively. However, both shells the Au_{32} and the Au_{60} retain the I_h and I (icosahedral) symmetry, respectively. Moreover, there are two other Au – Au bond-lengths of 2.71 Å and 2.9 Å between the shells.

(iii) $I\text{-Au}_{60}(\text{MgCp})_{12}$. The optimized structure of $I\text{-Au}_{60}(\text{MgCp})_{12}$ shows the least change with respect to the isolated $I\text{-Au}_{60}$. The Au_{60} shell has icosahedral symmetry and the overall symmetry of the composite system is C_5 due to the alignment of the substructures. The radius of the Au_{60} shell increases only slightly from 5.90 Å to 5.94 Å. The

TABLE I. Radii, Bondlengths, diameter in Angstroms Å and chiral index (%) of $I_h-C_{60}@I-Ai_{60}$, $I_h-Ai_{32}@I-Ai_{60}^{2+}$ and $I-Ai_{60}(MgCp)_{12}$ multi-shells systems, where $i = u$ for gold (Au) and $i = g$ for silver (Ag). The Au – Au, Ag – Ag, C – Au and C – Ag bond-lengths have been symbolized as $Ai-A_j$ and $C-A_{i,j}$, respectively.

System	radii [Å]	$Ai-A_j$ [Å]	C-C [Å]	C- $A_{i,j}$ [Å]	Diameter[Å]	Chiral Index (%)
$I-Au_{60}$	$I-Au_{60}$: 5.90	2.70 \square 2.74 \triangle 2.79 \triangle	– – –	– – –	11.78	9.10
$I-Ag_{60}$	$I-Ag_{60}$: 6.13	2.82 \square 2.86 \triangle 2.88 \triangle	– – –	– – –	12.21	8.92
$I-Au_{72}$	$I-Au_{60}$: 6.03 I_h-Au_{12} : 6.86	2.75 \square 2.76 \triangle 2.77 \square 2.83 \square	– – – –	– – – –	13.71	7.9
I_h-C_{60}	I_h-C_{60} : 3.65	– –	1.43 1.49	– –	7.3	0.29
$D_5-C_{60}@Au_{60}$	I_h-C_{60} : 3.60 $I-Au_{60}$: 6.12 – 6.25	2.81 – 2.92	1.43 – 1.48	2.51 – 2.97	12.43	10.10
$D_5-C_{60}@Ag_{60}$	I_h-C_{60} : 3.57 $I-Ag_{60}$: 6.27 – 6.49	2.91 – 3.01	1.42 1.45	2.74 – 3.24	12.93	9.61
I_h-Au_{32}	I_h-Au_{20} : 3.97 (20-atom dodecahedron) I_h-Au_{12} : 4.50 (12 stellating atoms)	2.76 2.83	– –	– –	9.01	0.01
I_h-Ag_{32}	I_h-Ag_{20} : 4.10 I_h-Ag_{12} : 4.66	2.85 2.93	– –	– –	9.32	0.01
$I-Au_{32}@Au_{60}^{2+}$	I_h-Au_{20} : 3.80 I_h-Au_{12} : 4.78 $I-Au_{60}$: 6.39	$I-Au_{60}$: 3.03 \square 2.83 \triangle 2.92 \triangle	– – – –	– – – –	12.72	9.91
		I_h-Au_{32} : 2.71 2.90	– – –	– – –		
$I-Ag_{32}@Ag_{60}^{2+}$	I_h-Ag_{20} : 3.98 I_h-Ag_{12} : 4.87 $I-Ag_{60}$: 6.56	$I-Ag_{60}$: 3.03 \square 2.83 \triangle 2.92 \triangle	– – – –	– – – –	13.06	9.72
		I_h-Ag_{32} : 2.84 2.96	– – –	– – –		
		Ag ₃₂ – Ag ₆₀ : 2.87	– –	– –		
$C_5-Au_{60}(MgCp)_{12}$	$I-Au_{60}$: 5.94 I_h-Mg : 7.14 $C_{5v}-C$: 9.32 – 9.38 C_5-H : 9.57 – 9.67	$I-Au_{60}$: 2.73 \square 2.77 \triangle 2.79 \triangle	– – – –	– – – –	11.83	7.68
$C_5-Ag_{60}(MgCp)_{12}$	$I-Ag_{60}$: 6.18 I_h-Mg : 7.38 $C_{5v}-C$: 9.57 – 9.63 C_5-H : 9.81 – 9.92	$I-Ag_{60}$: 2.85 \square 2.88 \triangle –	– – – –	– – – –	12.31	7.46

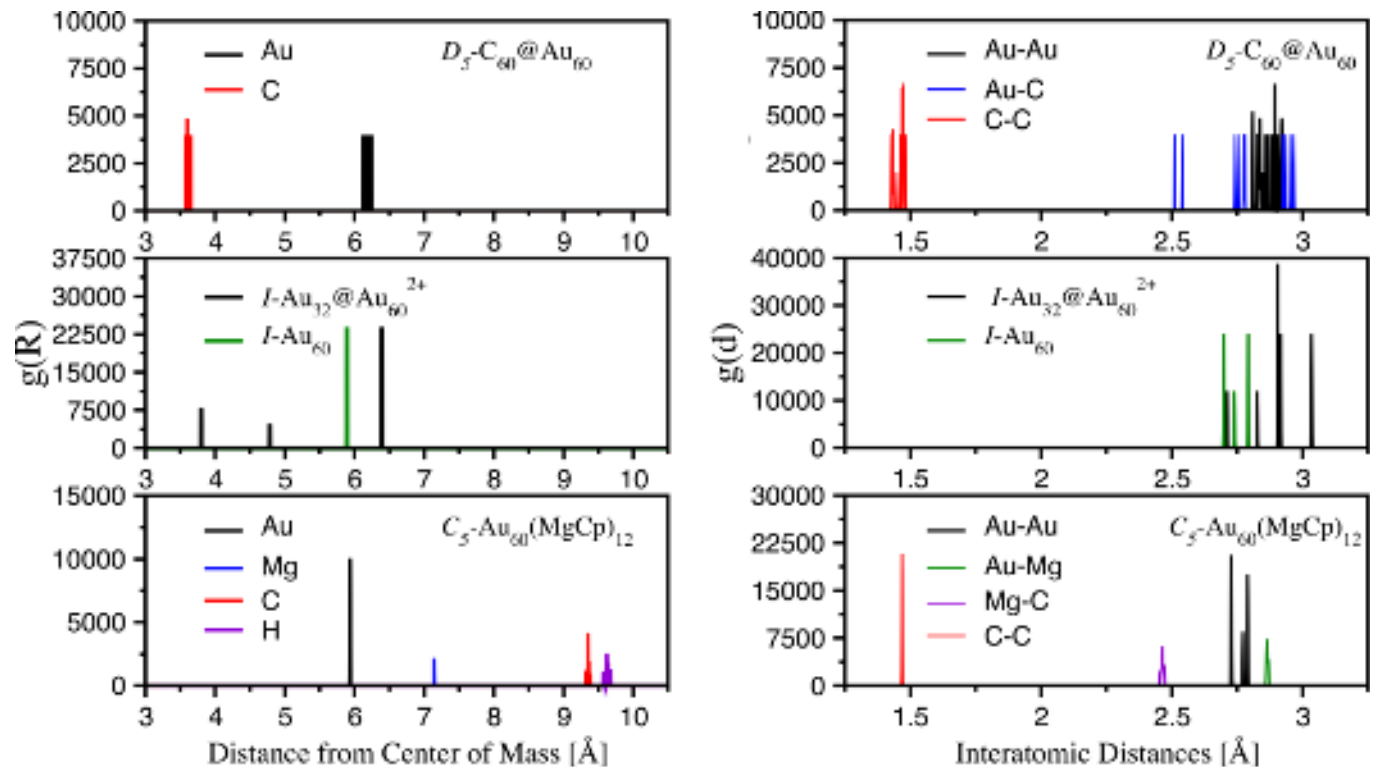


FIG. 3. (left) Radial distribution functions and (right) interatomic distances of the optimized $D_5-C_{60}@Au_{60}$, $I-Au_{60}$, $I-Au_{32}@Au_{60}^{2+}$, and $C_5-Au_{60}(MgCp)_{12}$ cluster-structures.

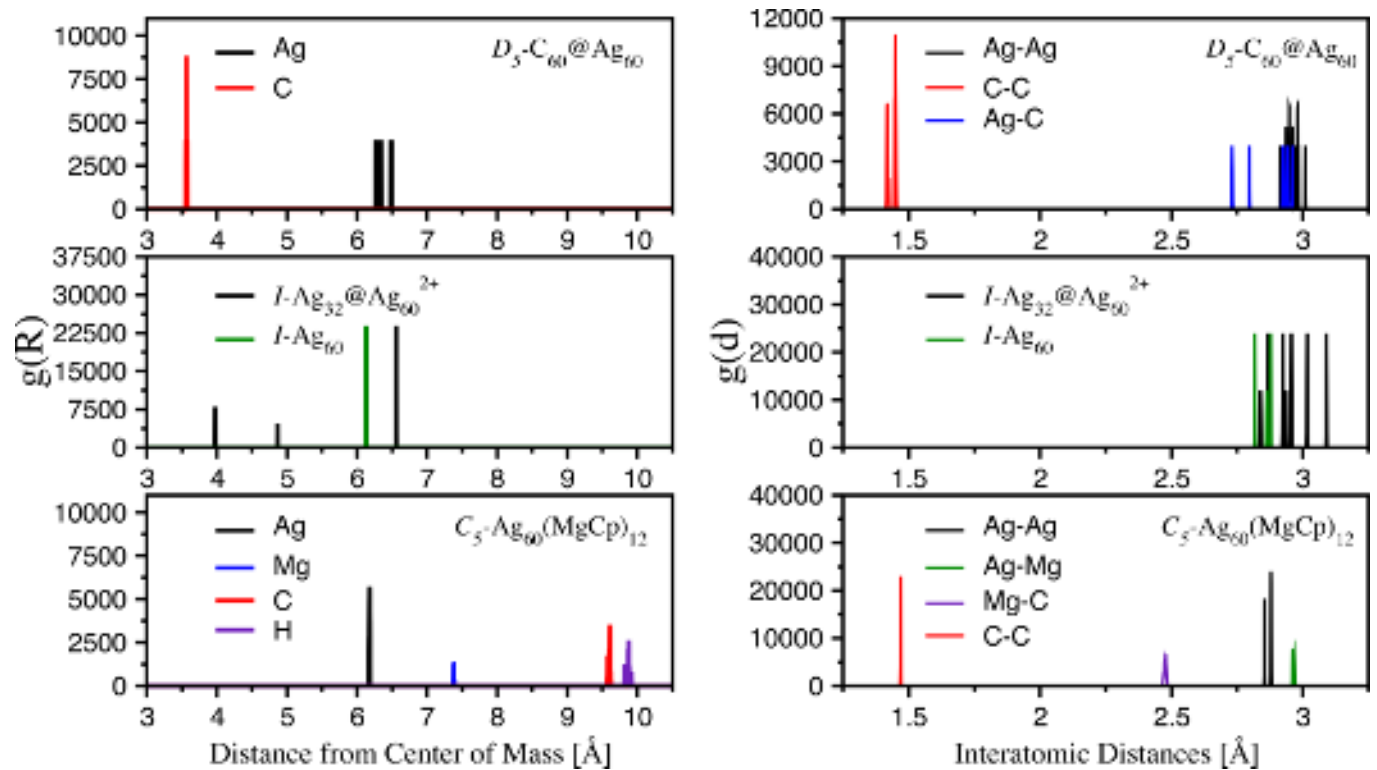


FIG. 4. (left) Radial distribution functions and (right) interatomic distances of the optimized $D_5-C_{60}@Ag_{60}$, $I-Ag_{60}$, $I-Ag_{32}@Ag_{60}^{2+}$, and $C_5-Ag_{60}(MgCp)_{12}$.

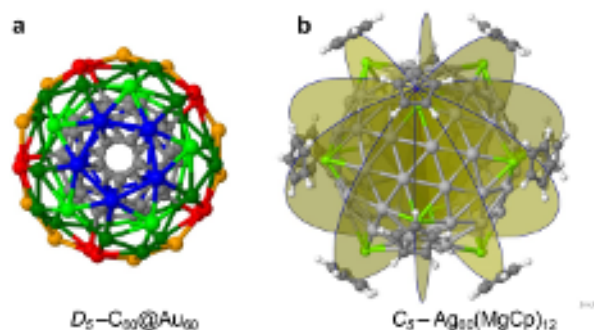


FIG. 5. Symmetry representations of the (a) D_5 - $C_{60}@Au_{60}$ and (b) C_5 - $Au_{60}(MgCp)_{12}$.

interatomic distances remain highly uniform as well, however there is an increase in the Au – Au bonds along the pentagons \diamond from 2.70 Å to 2.73 Å and the Au – Au bonds along the triangles become more regular going from 2.74 Å (\triangle) and 2.79 Å (Δ) in the isolated I - Au_{60} shell to 2.77 Å and 2.79 Å. The C_5 - $Au_{60}(MgCp)_{12}$ also has three other radii with Mg at 7.14 Å, C between 9.32 – 9.38 Å, and H between 9.57 – 9.67 Å.

I - Ag_{60} -Based Multi-shells

(iv) I_h - $C_{60}@I$ - Ag_{60} . Figure 2(d) shows the resulting optimized structure obtained after the relaxation of the initial geometric configuration I_h - $C_{60}@I$ - Ag_{60} . This system has D_5 symmetry also (same as for the Au case). The final relaxed structure D_5 - $C_{60}@Ag_{60}$ is composed of two shells whose symmetry is the same when they are isolated, i.e., the I_h - C_{60} core and the I - Ag_{60} surrounding it. Similarly to the Au version, the radius of the C_{60} core compresses $\sim 2\%$ from 3.65 Å to approximately 3.57 Å. The isolated I - Ag_{60} shell is highly spherical with all atoms located at 6.13 Å from the center of mass. The Ag shell in the D_5 - $C_{60}@Ag_{60}$ system is less spherical, of radius expanded $\sim 4\%$ from 6.13 to 6.38 ± 0.11 Å.

The Ag – Ag distances increase and become less regular than in the isolated shell, with bond-lengths ranging from 2.91 Å to 3.01 Å, while the C – C bond-lengths of the C_{60} core are likewise contracted to 1.42 Å and 1.45 Å. In the isolated I_h - C_{60} and I - Ag_{60} the radial and interatomic distances are highly uniform, associated with the structures' truncated icosahedron and snub dodecahedron morphologies, respectively. This uniformity appears to decrease in the D_5 - $C_{60}@Ag_{60}$ system for the C_{60} and Ag_{60} shells. The C – Ag distances are more ordered, however. A unique bonding pattern can be seen in the C–Ag distances, which range from 2.74 – 3.24 Å, this is similar as the one presented for Au [Fig. 5(a)].

(v) I_h - $Ag_{32}@I$ - Ag_{60}^{2+} . The initial geometry I_h - $Ag_{32}@I$ - Ag_{60}^{2+} structure exhibits three radii, of approximately 3.98 Å, 4.87 Å, and 6.56 Å, in the ratio 20:12:60. Figure 2(e) shows the optimized I_h - $Ag_{32}@I$ - Ag_{60}^{2+} structure after the atomic relaxation. Similarly to the Au version, the relaxed core-shell

structure has (icosahedral) I -symmetry. Once more we observe a decrease in the radius of the 20-atom dodecahedron in the Ag_{32} , an increase in radius of the 12 atoms stellating the pentagons in Ag_{32} (now between the shells), as well as an expansion of the outermost Ag_{60} . The three characteristic Ag – Ag bond-lengths in the I - Ag_{60} [2.82 Å (\diamond), 2.86 Å (Δ), and 2.88 Å (\triangle)] become 3.03 Å, 2.83 Å, and 2.92 Å, respectively, in the relaxed I - $Ag_{32}@Ag_{60}^{2+}$ system. There are three other Ag – Ag bond-lengths of 2.84 Å and 2.96 Å in the Ag_{32} , and bonds of 2.87 Å between the Ag_{32} and Ag_{60} shells.

(vi) I - $Ag_{60}(MgCp)_{12}$. After structural optimization of the initial geometric configuration I - $Ag_{60}(MgCp)_{12}$, we found the relaxed structure shown in Figure 2(f). This system has also C_5 -symmetry as its analog in Au. The C_5 - $Ag_{60}(MgCp)_{12}$ has four radii with the Ag_{60} shell at 6.18 Å, Mg at 7.38 Å, C between 9.57 – 9.63 Å, and H between 9.81 – 9.92 Å. Once again the $Ag_{60}(MgCp)_{12}$ structure best preserves the isolated Ag_{60} shell with a 0.8% increase in radius. However, where the I - Ag_{60} had three unique Ag – Ag bond-lengths [2.82 Å (\diamond), 2.86 Å (Δ), and 2.88 Å (\triangle)], the $Ag_{60}(MgCp)_{12}$ bonds become more uniform with only two bond-lengths of 2.85 Å (\diamond) and 2.88 Å (Δ). The initial symmetry calculation of the optimized $Ai_{60}(MgCp)_{12}$ systems gave the low symmetry result of C_5 , however their highly degenerate electronic states (see Figs. 6 and 7) indicated a higher icosahedral symmetry. The Jmol tool analyzes the atomic position for symmetry and allows a default tolerance of 0.2 Å from symmetry equivalent sites. Analyzing the Au (Ag) and Mg atoms we calculated I and I_h symmetries, respectively. The overall low symmetry results of C_5 come from the C and H atoms with C_{5v} and C_5 , respectively. This may be due to the lack of symmetrization of the initial structure model however. We were able to observe the expected I -symmetry by loosening the symmetry tolerances in Jmol³⁰ to 0.8 Å. Figure 5(b) shows the drawing of symmetry planes in the C_5 - $Au_{60}(MgCp)_{12}$ using the Jmol software.

Hausdorff Chirality Measure

Chirality is a rare feature of high-symmetry nanoclusters. One example of a chiral structure is the Au_{72} shell structure⁵. Au_{72} is a hollow cage structure theoretically predicted from geometric principles. The Au_{72} structure is viewed as a combination of a 12-atom icosahedron and a 60-atom snub dodecahedron. The regular icosahedron is achiral with full icosahedral symmetry whereas the snub dodecahedron has chiral icosahedral symmetry. The Au_{72} had been the smallest theoretically predicted molecule of chiral icosahedral symmetry, although it has been shown to be very stable relative to similar Au cages such as the Au_{18} , Au_{32} , Au_{42} , and Au_{50} .

We have previously emphasized that “The profound chirality discovered in the I - Au_{60} has significant implications for its electronic and optical properties. The achiral I_h -

Au_{60} shell, an $\sim (3.4.5.4)$ -polyhedron, spontaneously transforms into a quite distinct I - Au_{60} structure, an $\sim (3.3.3.5)$ -polyhedron, in which any small fluctuation determines which one of the two enantiomeric forms results. For this reason, the process is termed a chiral (icosahedral) symmetry breaking, although the chirality is generated, rather than destroyed in the transition. (The symmetry-elements destroyed are rather the unique inversion-center and manifold reflection planes.) From a *purely geometrical standpoint*, this transition may be regarded as a simple one, since if one assumes fixed edge-lengths (bond-distances): the resulting structure is not only more compact but more spherical, and of course the coordination-number at each site also increase³. Whether this unique chiral characteristic is preserved in the core-shell structures is of great interest. To this end, we calculated the chirality of the multi-shell structures through the Hausdorff Chirality Measure (HCM)³⁶. The chiral index, expressed as a percentage of the diameter, as well as the diameter of the respective Au_{60} shell is given in Table I.

The HCM of I - Au_{60} , I_h - C_{60} , and I_h - Au_{32} are 0.091, 0.003, and 0, i.e., 9.10, 0.29, and 0.00% of diameter, respectively. The HCM of D_5 - $\text{C}_{60}@Au_{60}$, I - $\text{Au}_{32}@Au_{60}^{2+}$, and C_5 - $\text{Au}_{60}(\text{MgCp})_{12}$ clusters are 0.101, 0.0991, and 0.0768, respectively, i.e., 10.1, 9.91, and 7.68% of diameter. The D_5 - $\text{C}_{60}@Au_{60}$ has the highest chiral index of all structures investigated here, also equals to the chiral index of the ideal $[3.3.3.3.5^*]$ snub dodecahedron. The Ag structures have lower chiral indices, in part due to the larger diameters. The HCM of I - Ag_{60} and I_h - Ag_{32} are 0.0892 and 0, or 8.92 and 0.01% of the diameter. For the Ag-based multi-shells, the HCM of D_5 - $\text{C}_{60}@Ag_{60}$, I - $\text{Ag}_{32}@Ag_{60}^{2+}$, and C_5 - $\text{Ag}_{60}(\text{MgCp})_{12}$ clusters are 0.0972, 0.0961, and 0.0746, respectively, i.e., 9.61, 9.72 and 7.46% of diameter. These values indicate that, despite increases in diameter, the introduction of a C_{60} , Au_{32} , or Ag_{32} core is accompanied by an increase of the HCM index of chirality. We see that for the cases of the ligand protected $\text{Ag}_{60}(\text{MgCp})_{12}$ there is also a slight increase in the chirality of the Ag_{60} shell with respect to the isolated I - Ag_{60} from 8.92% to 8.99%, even while the diameter increases. For the $\text{Au}_{60}(\text{MgCp})_{12}$ there is actually a slight decrease in chirality of the Au_{60} shell with respect to the isolated I - Au_{60} from 9.10% to 8.97% associated with the increase in diameter.

IV. ELECTRONIC ANALYSIS - DENSITY OF STATES

The exceptional symmetry and chirality present in the I - Au_{60} manifests in highly discrete and degenerate electronic states, as evidenced in the calculated electronic density of states (eDOS). Here, we present the eDOS of the I_h - $\text{C}_{60}@I$ - Au_{60} , I_h - $\text{Au}_{32}@I$ - Au_{60}^{2+} bilayer structure and I - $\text{Au}_{60}(\text{MgCp})_{12}$ clusters, see Fig. 6 and Fig. 7. To highlight both the general shape and the discreteness of the eDOS, we draw the eDOS convoluted with Lorentzians of different width, viz., with $\sigma = 0.1$ (black) and 0.01 eV (red). The zero energy in Fig. 6 corresponds to the HOMO energy state and degeneracies are

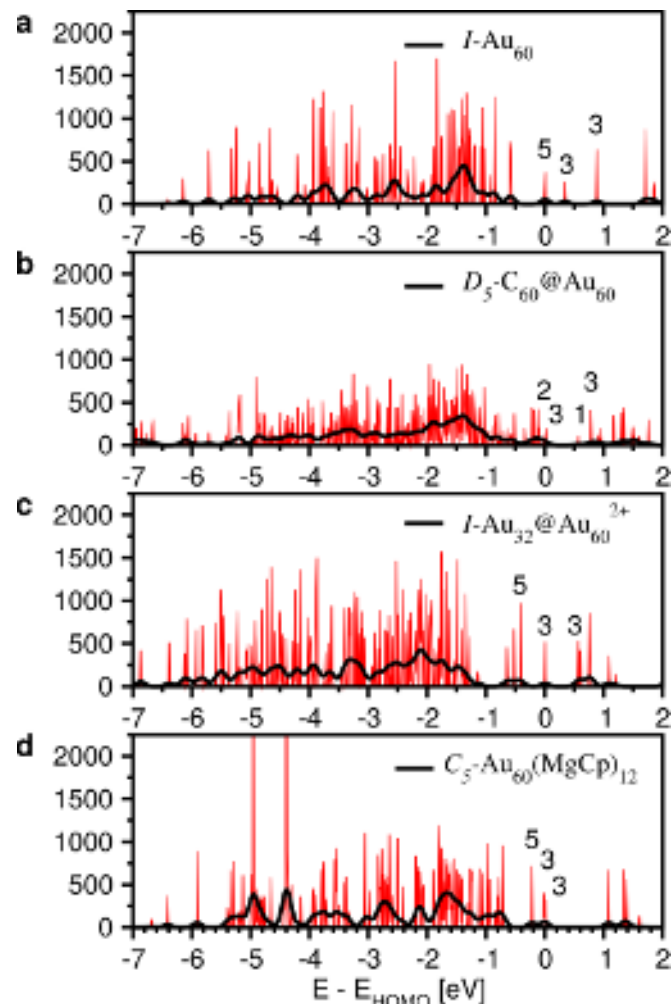


FIG. 6. Electronic density of states (eDOS) of the (a) I - Au_{60} , (b) D_5 - $\text{C}_{60}@Au_{60}$, (c) I - $\text{Au}_{32}@Au_{60}^{2+}$, and (d) C_5 - $\text{Au}_{60}(\text{MgCp})_{12}$ clusters.

indicated by the numeral labels.

Au-Based Multi-shells

(i) I_h - $\text{C}_{60}@I$ - Au_{60} . The eDOS of the D_5 - $\text{C}_{60}@Au_{60}$, by contrast to the other core-shell structures, displays a more smeared lineshape characteristic of low-symmetry clusters in this size-range²¹. Still some degeneracies are found in the order of 2 – 3 – 1 – 3 at -0.09 eV, 0 eV, 0.57 eV, and 0.78 eV.

(ii) I_h - $\text{Au}_{32}@I$ - Au_{60}^{2+} . The high degeneracies shown in the eDOS of the I - Au_{60} and I_h - $\text{Au}_{32}@I$ - Au_{60}^{2+} clusters reflect the structures' icosahedral symmetry. The I - Au_{60} and I_h - $\text{Au}_{32}@I$ - Au_{60}^{2+} both have degenerate energy states in the order of 5 – 3 – 3 with energies of 0 eV, 0.35 eV, and 0.88 eV for the I - Au_{60} and -0.41 eV, 0 eV, and 0.57 eV for the I_h - $\text{Au}_{32}@I$ - Au_{60}^{2+} .

(iii) I - $\text{Au}_{60}(\text{MgCp})_{12}$. Although the $\text{Au}_{60}(\text{MgCp})_{12}$ is cal-

culated to have a C_5 symmetry, degenerate energy states are present and the icosahedral $5-3-3$ ordering of the states also appears at -0.22 eV, -0.02 eV, and 0 eV, i.e. the states are fully occupied. The discrepancy between the presence of highly degenerate electronic states and the calculated symmetry of C_5 may be due to the fact that the deviation from the I -symmetry is small enough not to substantially lift the degeneracy of the electronic states but large enough to break the symmetry for the given JMOL tolerance. The calculated HOMO-LUMO gap of the I - Au_{60} , D_5 - $C_{60}@Au_{60}$, I - $Au_{32}@Au_{60}^{2+}$, and C_5 - $Au_{60}(MgCp)_{12}$ clusters are 0.33 , 0.56 , 0.57 , and 1.08 eV, respectively, which is comparable with similar stable gold clusters.

Ag-Based Multi-shells

The electronic density of states (eDOS) of the I - Ag_{60} , D_5 - $C_{60}@Ag_{60}$, I - $Ag_{32}@Ag_{60}^{2+}$, and C_5 - $Ag_{60}(MgCp)_{12}$ clusters is shown in Fig. 7. Overall, the Ag shells exhibit a larger number of degenerate orbital levels which penetrate deeper below the Fermi Energy with respect to the Au shells.

(iv) I_h - $C_{60}@I$ - Ag_{60} . The eDOS of the D_5 - $C_{60}@Ag_{60}$ again displays a more smeared lineshape although degeneracies are still present. The level ordering in the D_5 - $C_{60}@Ag_{60}$ near the Fermi energy is $4-1-1-2$ with states at -0.02 eV, 0 eV, 0.45 eV, and 0.54 eV, respectively.

(v) I_h - $Ag_{32}@I$ - Ag_{60}^{2+} . The icosahedral symmetry of the I - Ag_{60} and I_h - $Ag_{32}@I$ - Ag_{60}^{2+} clusters are reflected by highly degenerate orbitals. The I - Ag_{60} shows the familiar $5-3-3$ icosahedral energy state pattern at 0 eV, 0.08 eV, and 0.55 eV. The I_h - $Ag_{32}@I$ - Ag_{60}^{2+} is similar but with a $5-3-4$ pattern at -0.24 eV, 0 eV, and 0.72 eV.

(vi) I - $Ag_{60}(MgCp)_{12}$. Similar to the $Au_{60}(MgCp)_{12}$, the $Ag_{60}(MgCp)_{12}$ is calculated to have a C_5 symmetry and highly degenerate energy states occur. However, the icosahedral $5-3-3$ ordering of the states present in the I - Ag_{60} is not present in the $Ag_{60}(MgCp)_{12}$ and is instead reversed ($3-3-5$) at -0.33 eV, -0.09 eV, and 0 eV.

The calculated HOMO-LUMO gap of the I - Ag_{60} , D_5 - $C_{60}@Ag_{60}$, I - $Ag_{32}@Ag_{60}^{2+}$, and C_5 - $Ag_{60}(MgCp)_{12}$ clusters are 0.10 , 0.45 , 0.72 and 0.51 eV, respectively. The HOMO-LUMO gap for each of the Ag structures are smaller than their Au counterparts in all but the I - $Ag_{32}@Ag_{60}^{2+}$.

The I - $Au_{32}@Au_{60}^{2+}$ and I - $Ag_{32}@Ag_{60}^{2+}$ have 92 valence electrons in the neutral state with each Au/Ag atom contributing one electron to the valency. This corresponds to an electronic shell closing in the superatom complex model.²⁰ The charge-state [2+] was selected to obtain a large HOMO-LUMO gap (0.57 for $Au_{32}@Au_{60}^{2+}$ and 0.72 for $Ag_{32}@Ag_{60}^{2+}$) that occurs with hollow bilayer structures and a free-electron count of 90 ³⁴. The degeneracy and level-ordering of the frontier orbitals also agrees well with the work of Chakraborty

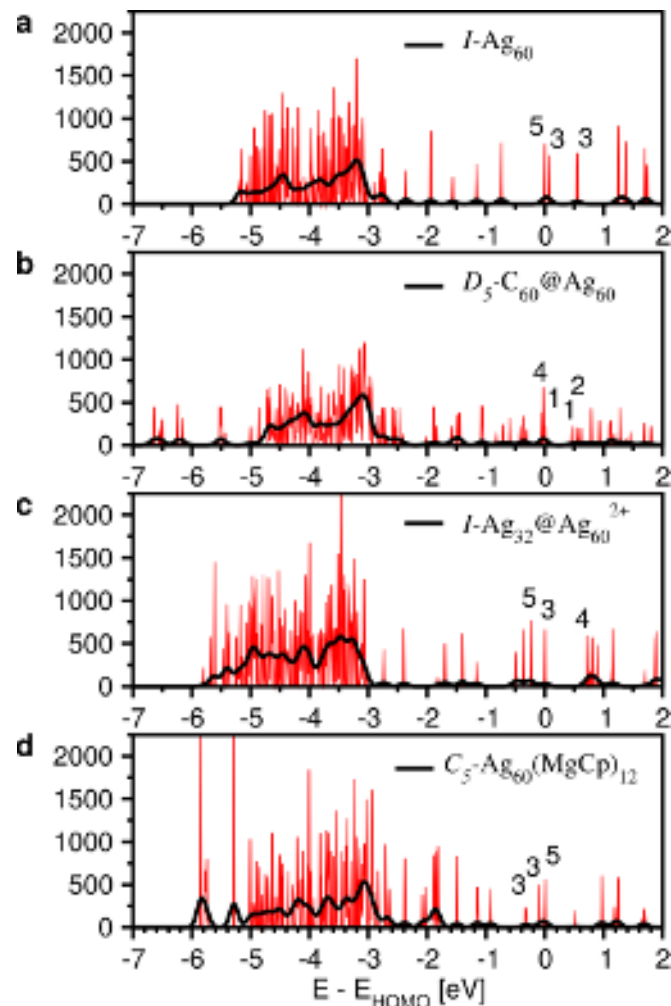


FIG. 7. Electronic density of states (eDOS) of the (a) I - Ag_{60} , (b) D_5 - $C_{60}@Ag_{60}$, (c) I - $Ag_{32}@Ag_{60}^{2+}$, and (d) C_5 - $Ag_{60}(MgCp)_{12}$ clusters.

*et al.*³⁴ With respect to the electronic shell structure of the $Au_{60}(MgCp)_{12}$ and $Ag_{60}(MgCp)_{12}$, Au/Ag atoms contribute one valence electron, Mg contributes two electrons, and each of the 12 Cp ligands withdraws one electron, resulting in a total of 72 valence electrons. This corresponds to a shell closing in hollow monolayer structures⁵ resulting in an increased electronic stability shown by the opening up of a large HOMO-LUMO gap of 1.08 eV and 0.51 eV in the I - $Au_{60}(MgCp)_{12}$ and I - $Ag_{60}(MgCp)_{12}$, respectively.

V. DISCUSSION

In this Report, we have described an exploration of how the chiral-icosahedral golden shell, denoted simply by I - Au_{60} , may be realized in practice, i.e., the forms in which it may be first detected as produced in natural or synthetic processes. The particular forms used for this investigation were carefully selected to be those in accord with established (chemical) precedent.

As a consequence, it is hardly surprising that all cases — from the three classes of ‘augmented’ systems — converged smoothly from initial configurations to final stable forms that preserve essential (critical) structural and electronic characteristics of the isolated $I\text{-Au}_{60}^{z-}$ shell.

In addition, we separately generated the silver-for-gold analogs, as a way to determine which characteristics may be unique to the case of quasi-2D gold.

Although all six systems — the pairs of cases from all three classes — are interesting in their own rights, one may offer a critical chemical-physics assessment of the results, as follows:

1. The best result is provided by encapsulation within a shell of 12 one-electron donors, represented herein by the MgCp^+ groups, as judged by the following criteria: (i) least strain, i.e., smallest change in the $I\text{-Au}_{60}$ structure, and mainly in the direction of the 60-atom subshell of the $I\text{-Au}_{72}$ structure previously discussed³; (ii) optimal filling of the frontier orbitals (HOMOs/LUMOs), in accordance with the anticipated ‘formal charge’ of $z = 12$ on the $I\text{-Au}_{60}$ ‘core’; (iii) largest gap, i.e., HOMO-LUMO separation > 1.0 eV, cf., Fig. 6(d), between the top of the well-organized set of (11) $L = 5$ HOMOs from the base of the (13) $L = 6$ LUMOs, the centers-of-gravity of the two sets being separated by ≈ 1.35 eV, nearly equal to the 1.27 ± 0.04 eV calculated from a naïve electron-on-sphere model, with the sphere radius set at $\approx 6.0 \pm 0.1$ Å; (iv) exceptional chemical interest motivated by the well-precedented³⁵ variability both of the ferrocene-derived electropositive metal (Mg^{2+}) cations, e.g., magnetic Mn^{2+} or Fe^{2+} could replace them, as well as steric protection offered by a ‘canopy’ of the (12) Cp^- groups, which may here represent also more extensive structures, e.g., enlargement from C_5H_5 to $\text{Cp}^* = \text{C}_5(\text{CH}_3)_5$; or even to complete encapsulation by larger chiral-icosahedral fullerenes, $I\text{-C}_{140}$ and beyond, that also accept 12 electrons, one at each pentagon.

2. Second best is the $\text{C}_{60}@Au_{60}$, which suffers from a much larger strain (structural change in the $I\text{-Au}_{60}$ shell) yet not quite enough to disrupt the Au-Au bonding or frontier energy-level structure. This detrimental strain factor appears to offset the evident appeal of templating on the fullerene $I_h\text{-C}_{60}$ ‘core’, following the tracks laid by the Stuttgart group (T.P. Martin and coworkers) in the 1990s. But then one should also consider what has been learned in (1.) immediately above: From an electrochemical standpoint, the $I_h\text{-C}_{60}$ and $I\text{-Au}_{60}$ sub-structures are each 12e deficient. Perhaps, then, the ‘intercalation’ of 12 small lithium cations (12 Li^+), one on each carbon C_5 pentagon, as in the solid-state electrochemistry of $\text{Li}_{12}\text{C}_{60}$, along with 12 external MgCp groups, as above, could produce a much more satisfactory outcome, both theoretically and experimentally.

3. Third is the bilayer structure $\text{Au}_{32}@Au_{60}$, which involves considerable strain to both shells, as not only the enlargement of the $I\text{-Au}_{60}$ shell, but also the radial displacement of the 12 ‘stellating’ atoms, suggest stronger-than-expected interactions. However, before dismissing this approach, one may consider slight modifications, e.g., (i) substituting Cu-for-Au in the inner shell (i.e., $\text{Cu}_{32}@Au_{60}$) to relieve the repulsion that enlarges the $I\text{-Au}_{60}$ shell, as described Ferrando and coworkers³⁷, and (ii) adding 12 groups such

as, once again, the MgCp -class to satisfy both structural and electronic stabilization of an $I\text{-Au}_{60}$ [12^-] shell that is largely unmodified from the freestanding form. Remarkably, a close examination of the Yoon *et al.* results³⁴ — particularly the band of (7) LUMOs of 2F(14) character — on the $I\text{-Ag}_{32}@Ag_{60}^{2+}$ system indeed suggests that the nested subshells in the $I\text{-A}_{32}@A_{60}^{12-}$ could simultaneously satisfy both the 32e and 72e closings.

Lest these considerations (1.-3.) seem only to appear as suggestions for further, expanded computational theoretical and simulations work, we hasten to add that they rather suggest an experimental program to realize the striking advantages noted previously³ for the $I\text{-Au}_{60}$ shell, particularly in its anionic charge states. A deep and enduring motivation for achieving such high-symmetry and hence electronically highly degenerate systems has been described in considerable detail by Kresin and coworkers: the search for higher temperature (even room-temperature) superconductors, as practical materials based on such metallic clusters³⁸.

Finally, a word may be added on the utility of the Ag-vs.-Au comparisons as indications of the unique advantages of the latter (Au) for realizing quasi-2D metallic structures, ultimately relating to the extreme $5d\text{-}6s$ (quadrupolar) hybridization and originating in the similarly extreme relativistic contraction of its atomic core electrons. Here we need not dwell upon the generally greater difficulty of protecting metallic silver from corrosion (oxidation etc.) Rather we are focused on the particular circumstances of the planar (2D) and more especially the curved / closed-net (quasi-2D) structures, and the additional, ‘new’ evidence that our results provide on the physical (mechanical) as opposed to merely chemical (corrosion-resistance) advantages of Au-over-Ag. These are provided mainly by the estimates for the cohesion of the hollow cage or shell structures, with respect to bulk forms (3D, FCC) of the respective elements, wherein the 2D gold structures (whether infinite-planar or finite curved) attain a surprisingly large fraction of the total cohesion of the bulk, as first noted by Trombach *et al.*¹. In addition, there is the quite extraordinary contraction of the $I\text{-Au}_{60}$ interatomic distances in the case of gold but not silver. Clearly, this is a subject of continuing interest for which the highest detective skills may be required.

VI. CONCLUSIONS

The theoretical investigation of high-stability structures at the nanoscale is fundamental for the continuing development of novel nanomaterials. Compelled by the unique rigidity of the chiral icosahedral 60-atom Au shell ($I\text{-Au}_{60}$)³, our goal is to demonstrate that this shell can be isolated or stabilized through various shells. For this purpose, several core-shell and ligated shell structures are proposed, namely $I_h\text{-C}_{60}@I\text{-Au}_{60}$, $I_h\text{-Au}_{32}@I\text{-Au}_{60}^{2+}$ as bilayer structures; or by an outer shell, as in $I\text{-Au}_{60}(\text{MgCp})_{12}$, as well as Ag versions of each structure. First-principles DFT calculations were performed to investigate the structure and electronic stability of these six nanoclusters. Our calculations indicate that the $I\text{-Au}_{60}$ shell

is quite stable, for the I -symmetry is preserved and the shell is slightly deformed only in the case of I_h - C_{60} @ I - Au_{60} system. Therefore, the I - Au_{60} shell can be stabilized through different shells. All the structures exhibit highly degenerate energy states near the Fermi energy. These degeneracies can be attributed to the structures' unique, highly symmetric and chiral morphologies. Interestingly, the Ag nanoclusters show an even larger number of profoundly degenerate energy states than the Au clusters. The high degeneracies may be crucial to the search for high-temperature superconductivity in metallic clusters and their coupled arrays.³⁸ Our results give further evidence to the unique occurrence and importance of chiral icosahedral nanostructures such as the I - Au_{60} and its derivatives. This work aims to motivate and provide a guide to the experimental synthesis of these I - Au_{60} and I - Au_{60} shell-based nanostructures.

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DATA AVAILABILITY STATEMENT

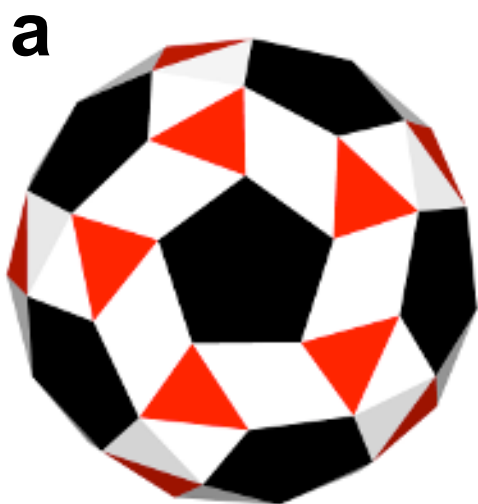
The structure data (atomic coordinates of the optimized structures) that support the findings of this study are available from the corresponding author upon reasonable request.

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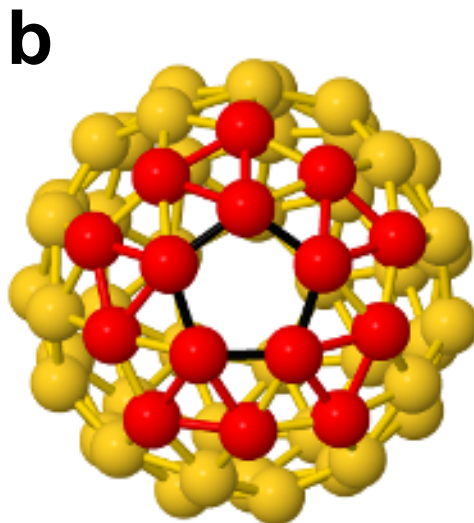
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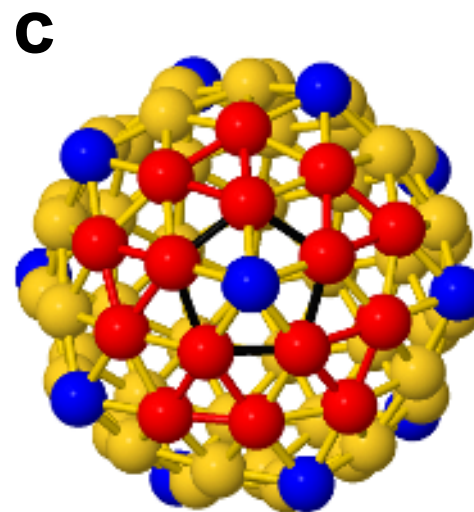
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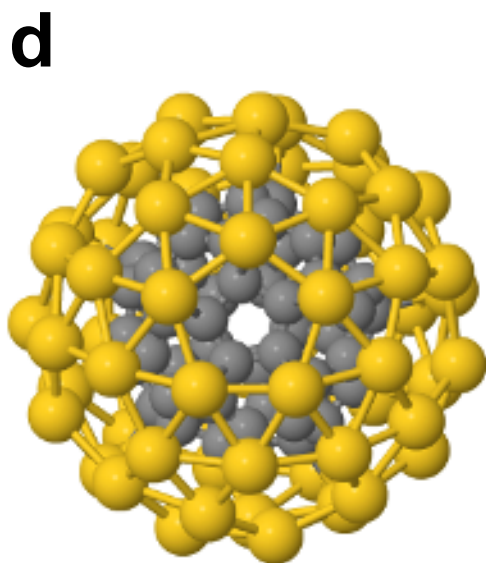
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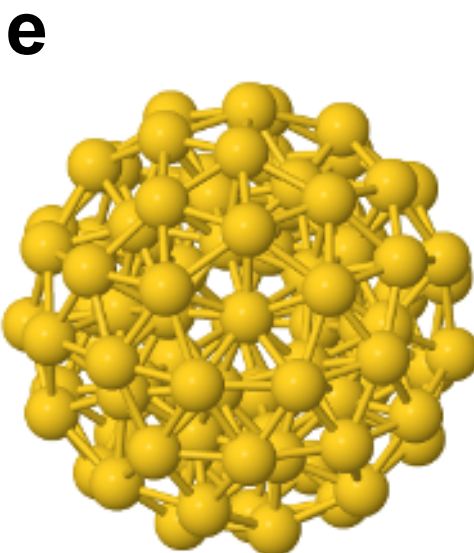
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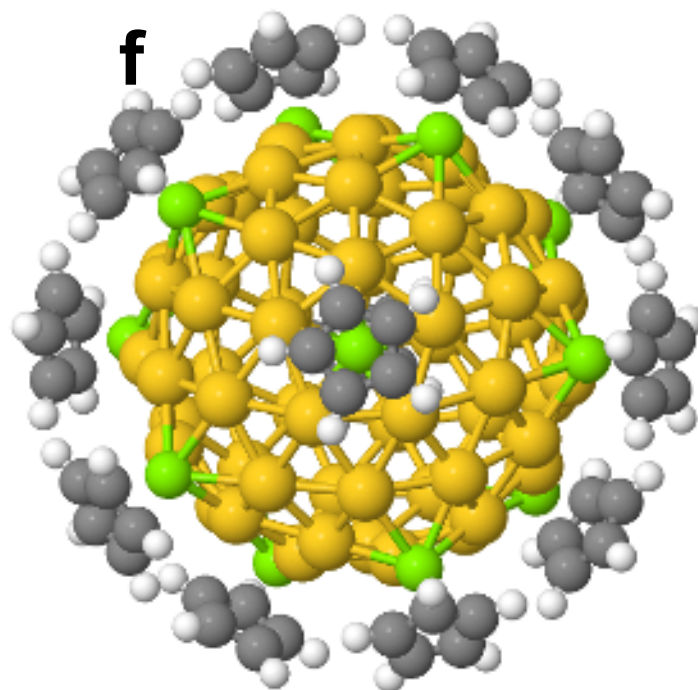
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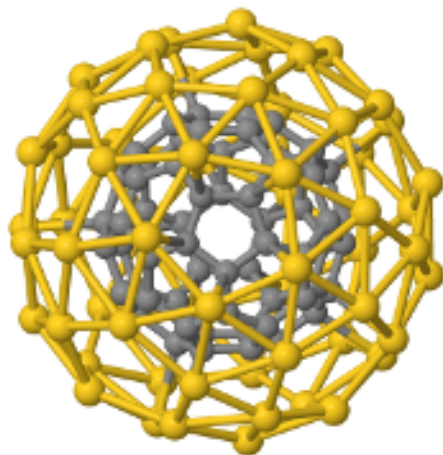
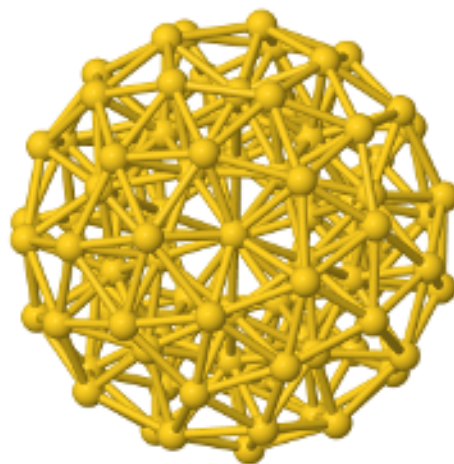
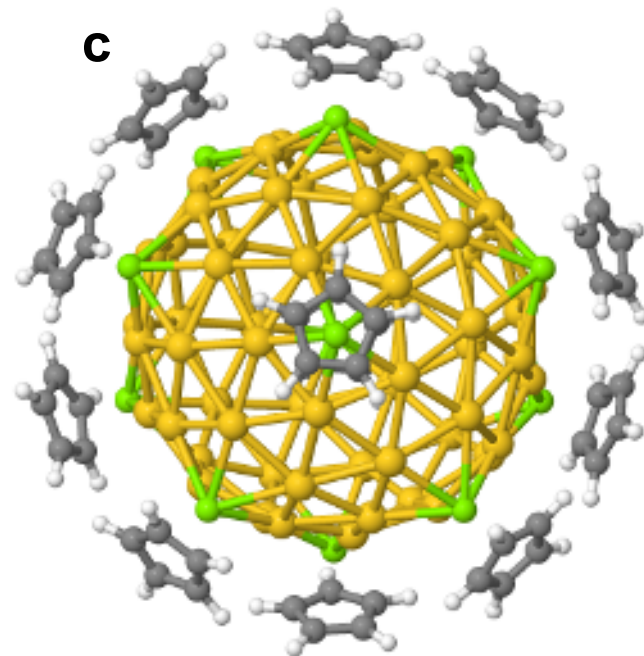
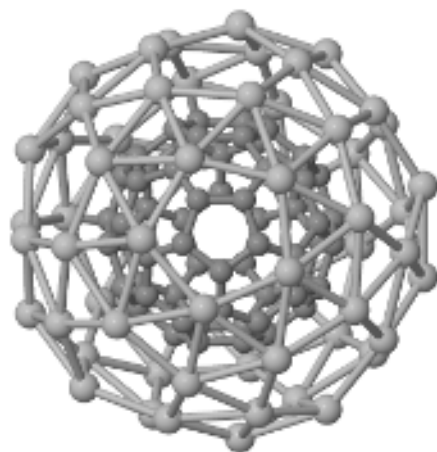
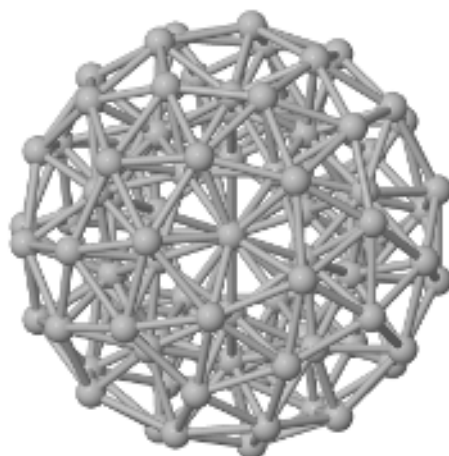
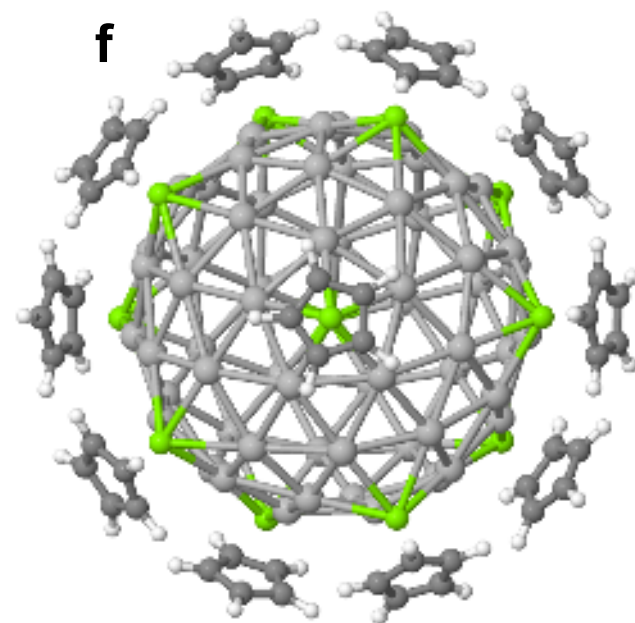
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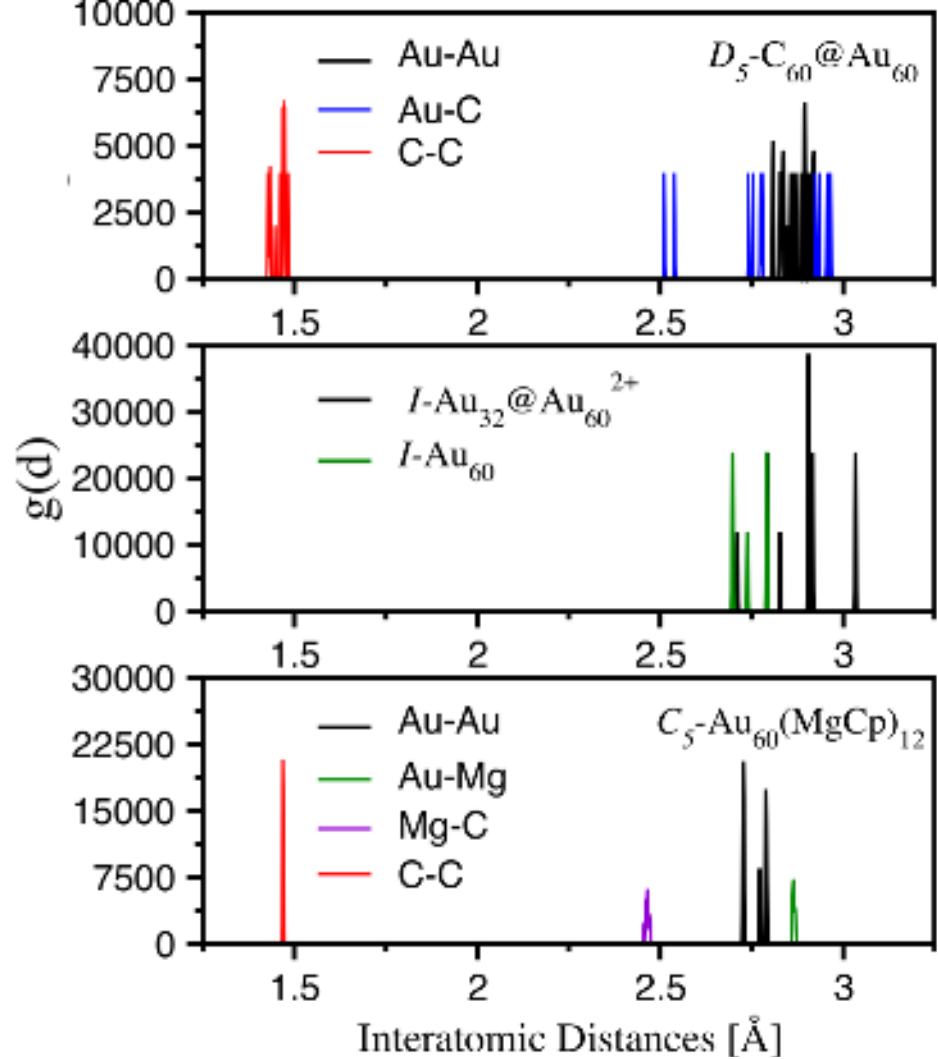
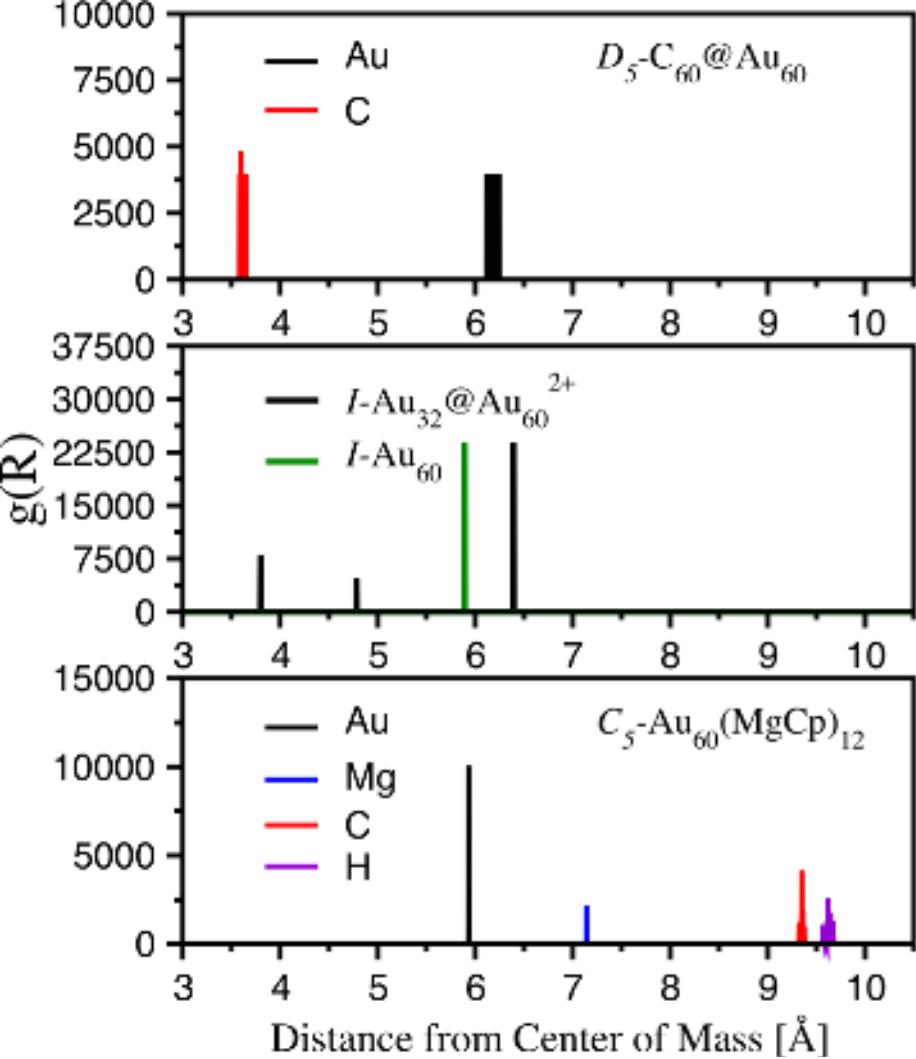


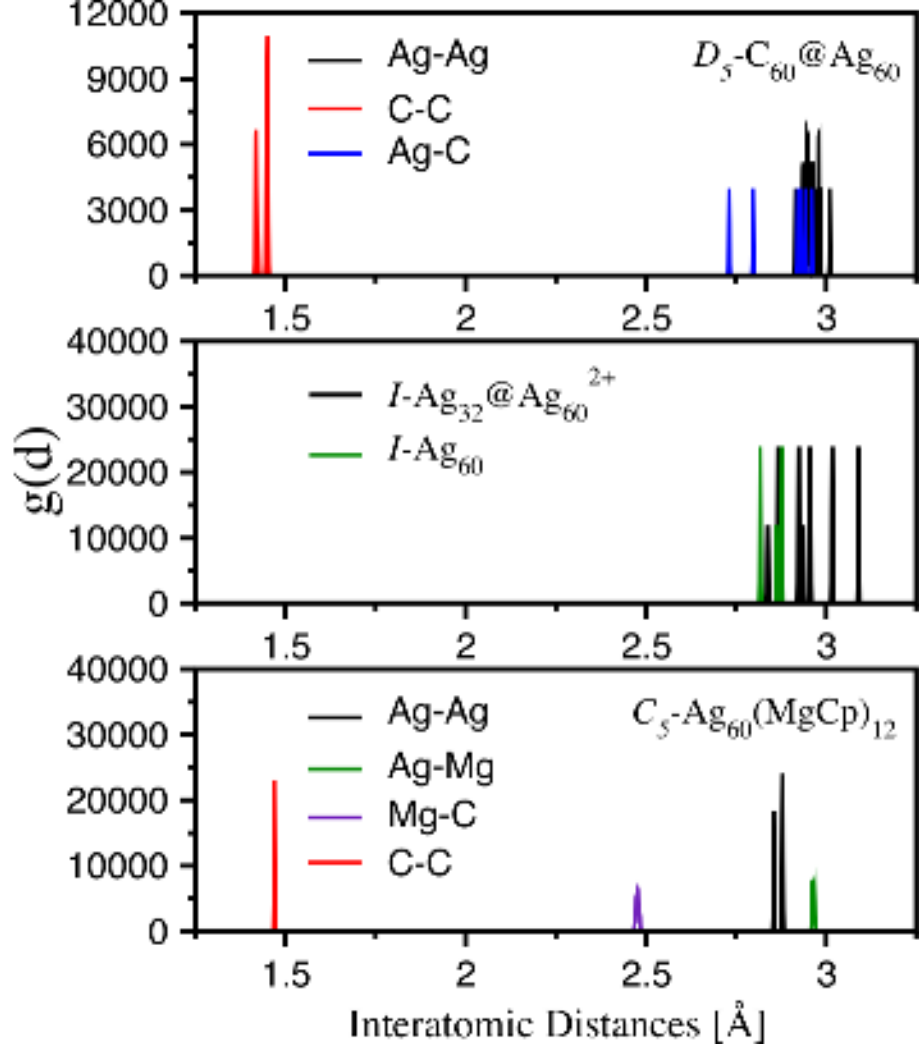
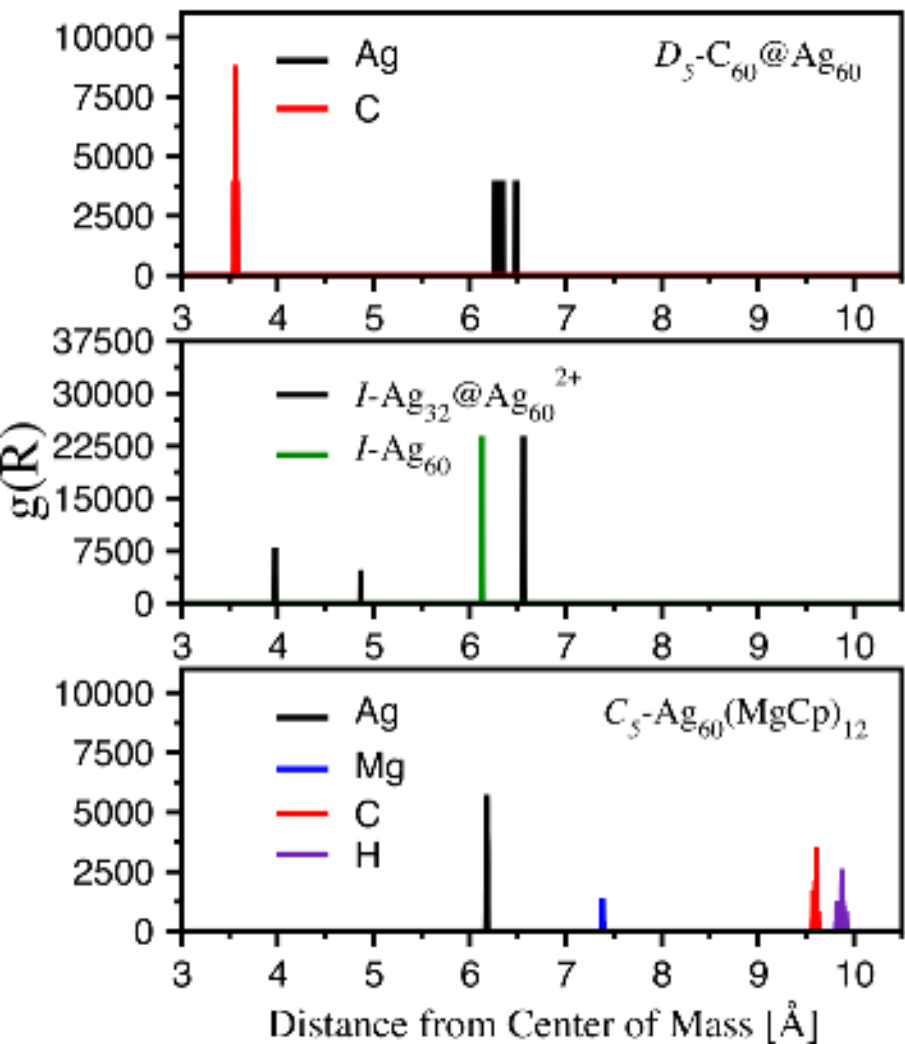
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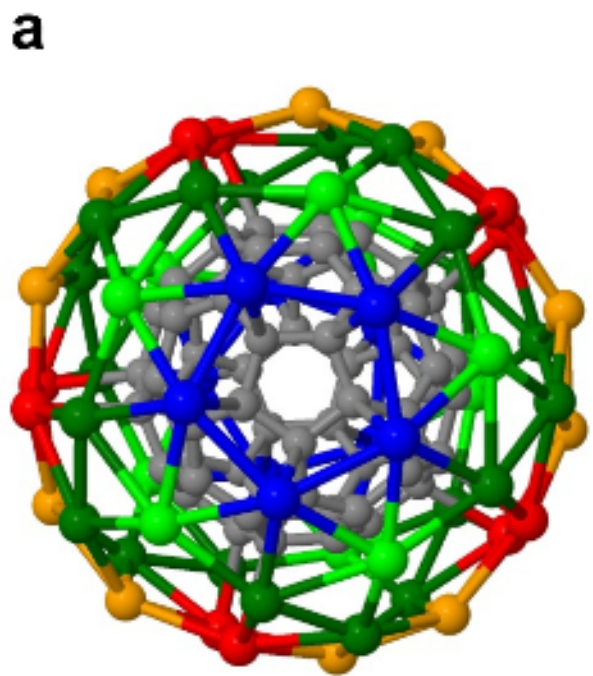


$I_h\text{-Au}_{60}(\text{MgCp})_{12}$

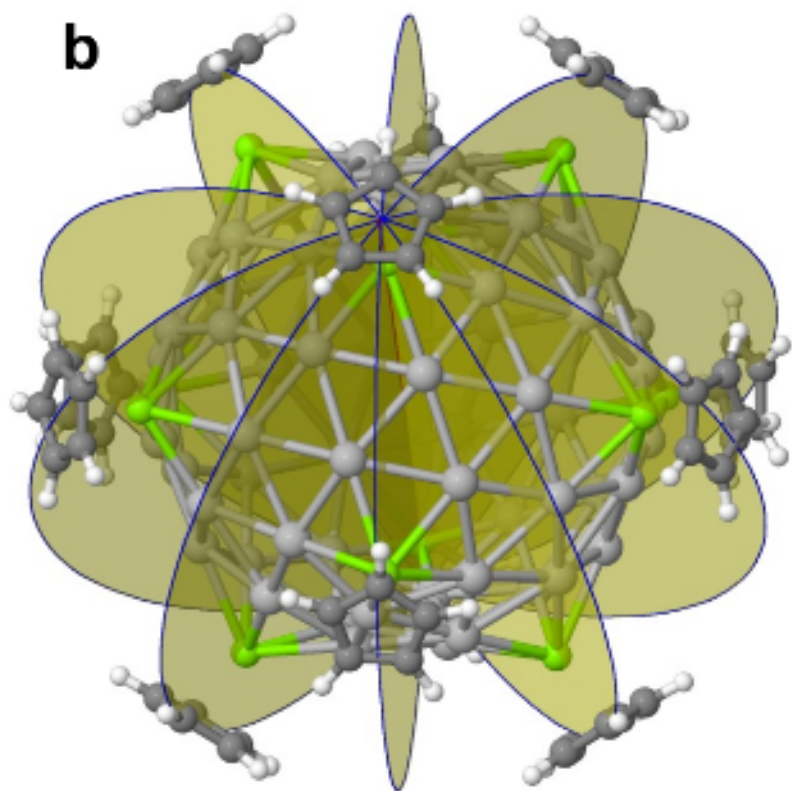
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$D_5-C_{60}@Au_{60}$



$C_5-Ag_{60}(MgCp)_{12}$

