

Dual Inhibition of FLT3 and AXL by Gilteritinib Overcomes Hematopoietic Niche-Driven Resistance Mechanisms in FLT3 -ITD Acute Myeloid Leukemia

Pierre-Yves Dumas, Arnaud Villacreces, Amélie Guitart, Ali El-Habhab, Layal Massara, Olivier Mansier, Audrey Bidet, Delphine Martineau, Solene Fernandez, Thibaut Leguay, et al.

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2 mechanisms in *FLT3*-ITD acute myeloid leukemia

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45 Abstract

46

47 AXL has been shown to play a pivotal role in the selective response of FLT3-ITD AML cells to FLT3 tyrosine 48 kinase inhibitors (TKI), particularly within the bone marrow microenvironment. Herein, we compared the 49 effect of dual FLT3/AXL TKI Gilteritinib with Quizartinib through in vitro models mimicking hematopoietic 50 niche conditions, ex vivo in primary AML blasts and in vivo with dosing regimens allowing plasma 51 concentration close to those used in clinical trials. We observed that Gilteritinib maintained a stronger pro-52 apoptotic effect in hypoxia and coculture with bone marrow stromal cells compared with Quizartinib, 53 linked to a dose-dependent inhibition of AXL phosphorylation. In vivo, use of the MV4-11 cell line with 54 hematopoietic engraftment demonstrated that Gilteritinib was more effective than Quizartinib at targeting 55 leukemic cells in bone marrow. Finally, FLT3-ITD AML patient-derived xenografts revealed that this effect 56 was particularly reproducible in FLT3-ITD AML with high allelic ratio in primary and secondary xenograft. 57 Moreover, Gilteritinib and Quizartinib displayed close toxicity profile on normal murine hematopoiesis, 58 particularly at steady state. Overall, these findings suggest that Gilteritinib as a single agent, compared with 59 Quizartinib, is more likely to reach leukemic cells in their protective microenvironment, particularly AML 60 clones highly dependent on FLT3-ITD signaling.

- 62 Introduction
- 63

64 Acute myeloid leukemia (AML) is a myeloid malignancy carrying a heterogeneous molecular panel of 65 mutations. It participates in a blockade of differentiation and an increased proliferation of myeloid 66 hematopoietic progenitor cells. The Fms-like tyrosine kinase 3 (FLT3) is a class III receptor tyrosine kinase 67 expressed in progenitor cells. Its ligand binds and activates FLT3, inducing a strong activation of PI3K/AKT 68 and MAPK pathways (1). Twenty-five percent of AML patients display internal tandem duplication (ITD) in 69 the juxta-membrane domain of FLT3, leading to constitutive activation of its downstream signaling to which 70 is added the activation of the transcription factor STAT5 (2). This mutation, which carries an adverse 71 prognosis (3), has become an important therapeutic target (4), and its frequent presence at relapse 72 suggests that *FLT3*-ITD AML-initiating cells are key targets for long-lasting remission.

73 FLT3 tyrosine kinase inhibitors (FLT3-TKI) have been developed as ATP-competitive inhibitors. First-74 generation FLT3-TKI have a slight single agent activity but showed clinical efficacy in randomized placebo-75 controlled trials in association with an intensive chemotherapy (5,6). Second-generation FLT3-TKI, such as 76 Quizartinib and Gilteritinib, are more specific for FLT3 and have recently shown significant activity as a 77 single agent in relapsed/refractory (R/R) AML, with composite complete remissions ranging from 45% to 78 55%, associated with prolongation of overall survival (OS) compared with other standards of care (7,8). 79 Quizartinib is a FLT3-TKI that has been specifically optimized pharmacodynamically and pharmacokinetically 80 to inhibit the FLT3-ITD mutant protein. This drug induces clearance of peripheral blasts through induction 81 of apoptosis, whereas cell cycle arrest and terminal myeloid differentiation have been shown in bone 82 marrow blasts (9). Gilteritinib is a dual FLT3 and AXL inhibitor with in vitro activity against both FLT3-ITD 83 and tyrosine kinase domain (TKD) mutations. Gilteritinib allows sustained FLT3 inhibition; response rates 84 are close to those observed with quizartinib, but complete remissions are much more frequent. Gilteritinib 85 induces two distinct bone marrow responses in FLT3-mutated AML, with and without differentiation of 86 leukemic cells (10).

The other RTK inhibited by Gilteritinib, AXL, is a RTK that is activated by homodimerization upon binding of its ligand growth arrest-specific 6 (GAS6). The GAS6/AXL pathway contributes to cell growth, survival,

chemotaxis, apoptotic body clearance and immunity in physiological conditions (11). Moreover, AXL is overexpressed in a broad range of cancers and is regularly associated with a poor prognosis. In AML, AXL and GAS6 levels of expression have been related to poor outcomes where paracrine AXL activation has been shown to induce AML resistance to various therapies (12,13). Finally, we previously reported that several microenvironment-related mechanisms, such as hypoxia and STAT5-activating cytokines, converge to enhance AXL expression and activation, thereby sustaining pro-survival signals that selectively protect *FLT3*-ITD AML cells in the hematopoietic niche (14).

96 Here, we were interested in testing the efficacy of Gilteritinib, as a dual FLT3 and AXL inhibitor, in AML cells 97 within their specific microenvironment. We show that Gilteritinib retains efficacy *in vitro* in *FLT3*-ITD AML 98 despite bone marrow stromal cells (BMSC) coculture and hypoxia compared with Quizartinib. Moreover, *ex* 99 *vivo* primary AML blasts display same profile of response and in various AML mouse models, we show that 100 Gilteritinib is particularly relevant in *FLT3*-ITD AML with high allelic ratio. Finally, we also studied toxicity 101 profile of Gilteritinib and Quizartinib on normal murine hematopoiesis in two mice strains.

103

104

105 Cell culture

Materials and Methods

106 MV4-11, MOLM-13 and MOLM-14 are 3 AML cell lines. MV4-11 has a 48, XY, t(4;11)(q21;q23), +8, +19 107 karyotype with a 30 bp FLT3-ITD mutation. MOLM-13 has the following karyotype 51(48-52)<2n>XY, +8, +8, 108 +8, +13, del(8)(p1?p2?), ins(11;9)(q23;p22p23) carrying KMT2A-MLLT3 mutations and a 21 bp FLT3-ITD 109 mutation; finally, MOLM-14 has the following karyotype -49(46-50)<2n>XY, +6, +8, +13, 110 der(2)t(1;2)(q31;q35), ins(11;9)(q23;p22p23), del(14(q23q32.3), del(16)(q11.2q13.1) including also KMT2A 111 rearrangement and a 21 bp FLT3-ITD mutation. All AML and bone marrow stromal cell lines (MV4-11, 112 MOLM-13, MOLM-14, MS5) were cultured in α MEM medium, supplemented with 10% fetal calf serum 113 (FCS), 2 mM L-glutamine, 50 U/mL penicillin, and 50 µg/mL streptomycin. Hypoxia at 1% was induced by 114 incubating cells in a specific oxygen (O_2) chamber (BioSpherix). Stromal cells were implanted for 3 days to 115 reach 80% confluence, then culture medium was removed, and leukemic cells were added to the flask. Co-116 culture cells were incubated either in a specific O_2 chamber for 1% O_2 hypoxic culture or in an incubator at 117 5% CO₂ for normoxic culture. Cells were cultured with vehicle or gilteritinib (ASP2215; kindly provided by 118 Astellas Pharma) or quizartinib (AC220; LC Laboratories), both dissolved in dimethyl sulfoxide (DMSO).

119

120 Cell proliferation assay

121 Cell proliferation assays were performed using MTS tetrazolium (Cell Titer96 Aqueous; Promega). Cells (10⁵) 122 were plated and incubated in quadruplicate into microtiter-plate wells in 100 μ L of culture medium with 123 various doses of gilteritinib. For the analyses, plates were incubated for 3 h with 20 μ L of MTS and read in a 124 microplate auto reader (Imark Biorad) at 490 nm. Results are expressed as the mean optical density of the 125 four-well set for each gilteritinib dose. All experiments were repeated at least three times during the 126 following 4 days. The 50% inhibiting concentrations (IC₅₀) were determined by linear regression analysis.

127

128 Western blot analysis

129 Protein lysates were prepared with cell lysis buffer 10× (Cell Signaling Technology) and protein 130 concentrations were determined by BCA[™] protein assay (Pierce). Equal amounts of proteins were resolved 131 on 12% polyacrylamide gel and transferred to a nitrocellulose or PVDF membrane (TurboBlot; Biorad). After 132 membrane saturation, the blots were incubated with the respective antibody followed by an anti-rabbit or 133 anti-mouse peroxidase-conjugated secondary IgG antibody (Table S1) and revealed with an enhanced 134 chemiluminescence detection method (Western Lightning Chemiluminescence Reagent Plus; Perkin Elmer). 135 Quantification of protein expression was performed by densitometry using Image Lab software (Biorad). 136 Phosphorylation of protein expression was normalized using total protein expression by calculating the 137 ratio of the phosphorylated protein level to their total protein level counterparts. The ratio of the control 138 condition was normalized to 1.

139

140 Flow cytometric analysis

141 Cell apoptosis was assessed using an APC-conjugated Annexin V labeling detection kit coupled to flow 142 cytometry and BD FACSDIVA[™] software (BD Bioscience). For normal hematopoiesis analyses, BM cells were 143 obtained by crushing tibias, femurs and hip with a pestle and mortar. Filtered single cell suspensions were 144 incubated in Fc block and then stained with antibodies. For NSG analyses, following incubation with Fc 145 block, unfractionated BM cell suspensions were stained with lineage markers containing biotin-conjugated 146 anti-CD4, anti-CD5, anti-CD8a, anti-CD11b, anti-B220, anti-Gr-1 and anti-Ter119 antibodies together with 147 APC-conjugated anti-c-Kit. When applicable, to distinguish hCD45 AML xenotransplant cells from mCD45 148 recipient mice cells, FITC-conjugated anti-hCD45 and APC-Cy7-conjugated anti-mCD45 antibodies were 149 included in the antibody cocktail described above. For C57BL/6J analysis, following incubation with Fc 150 block, unfractionated BM cell suspensions were stained with lineage markers containing biotin-conjugated 151 anti-CD4, anti-CD5, anti-CD8a, anti-CD11b, anti-B220, anti-Gr-1 and anti-Ter119 antibodies together with 152 APC-conjugated anti-c-Kit, BV421-conjugated anti-Sca-1, AF700-conjugated anti-CD48, PE-Cy7-conjugated 153 anti-CD150, FITC-conjugated anti-CD34 and PE-conjugated anti-CD135. Antibodies clones are detailed Table 154 S2. Flow cytometry analyses were performed using a LSR Fortessa (BD). Analysis was done using FlowJo v.X 155 (BD).

156

157 Animal models for *in vivo* studies with subcutaneous xenografts and bioluminescent imaging

NOD.Cg-Prkdc^{scid}II2rg^{tm1Wjl}/SzJ immunodeficient mice (NSG) were bred at the University of Bordeaux's 158 159 animal facility for experiments validated by the French ministry (authorization no. 00048.2). Female 160 animals were included in protocols at 8 weeks old and were monitored weekly for body weight. For 161 subcutaneous xenografts, prior to implantation, cells were resuspended in 25% Matrigel (BD Biosciences) 162 and 75% culture medium without FCS. Female NSG mice received injections into their hind flanks with 1.75 163 \times 10⁶ cells. Tumor volume was determined three times per week with a caliper and calculated by the 164 formula (I²*L)/2. When tumors reached 400–500 mm³, treatment started with quizartinib (5 mg/kg/day 165 body weight) or gilteritinib (5, 10, and 30 mg/kg/day body weight) by daily oral gavage. For MV4-11 166 hematopoietic engraftment, NSG mice were conditioned with intraperitoneal injections of busulfan (Pierre 167 Fabre) at 20 mg/kg/day for 2 days, and then injected intravenously with 10⁶ MV4-11 luciferase-transduced 168 cells (10^6 cells/100 µL) at day 0. At day 7, engraftment was analyzed by whole bioluminescent imaging (BLI) 169 before treating mice with vehicle or quizartinib (5 mg/kg/day body weight) or gilteritinib (30 mg/kg/day 170 body weight) by daily oral gavage for 7 days. At day 14 and day 20, mice were injected intraperitoneally 171 with firefly luciferase substrate D-luciferin (150 mg/kg) before imaging using a photon bioimager and 172 M3Vision software (Biospace Lab).

173

174 Primary AML patient samples and patient-derived xenografts cells generation

175 Patients at the University Hospital of Bordeaux gave written informed consent for the use of biological 176 samples for research, in accordance with the Declaration of Helsinki. AML cells were from a 57-year-old 177 woman diagnosed with a de novo AML 4 in FAB classification with normal karyotype FLT3-ITD with an 178 ITD/wild type ratio at 0.82 at diagnosis and a NPM1 mutation. Flow cytometry profile was CD34, HLA-DR, 179 CD56⁻, CD13⁺, CD33⁺, CD117⁺, MPO⁺, CD7⁻ and CD19⁻. Patient-derived xenografts (PDX) were generated by 180 retro-orbital injections of 10⁶ viable primary AML cells in 8-week-old female NSG mice, previously 181 conditioned over 2 days by intraperitoneal injections of busulfan (Pierre Fabre; 20 mg/kg/injection/day). 182 Bone marrow engraftment of primary human AML cells in each mouse was followed monthly by

183 intrafemoral aspiration and flow cytometry analyses. When levels reached at least 10% human AML cells 184 (CD33⁺CD45⁺; see Table S2 for the antibodies used), the mice were sacrificed and bone marrow cells were 185 collected, pooled, and cryopreserved (Cryostor CS10; Stem Cell Technologies). The FLT3 status of human 186 AML cells (hereafter AML PDX cells) in bone marrow was assessed as described previously (15). Two 187 batches of AML PDX cells were generated. The first batch was obtained from two mice sacrificed 6 weeks 188 post-transplantation with an ITD length at 45 bp and an FLT3-ITD/wild-type ratio of 0.44 and FLT3-ITD/FLT3 189 total ratio of 0.30, which demonstrated the presence of FLT3-ITD and wild-type AML clones. The second 190 batch was obtained from five mice sacrificed 12 weeks post-transplantation. All human cells in this second 191 batch carried just a FLT3-ITD of 45 bp, which demonstrated a loss of heterozygosity (LOH).

192

193 Animal models for *in vivo* studies from AML PDX cells transplantation

AML PDX cells were injected by retro-orbital route in nonconditioned 8-week-old female NSG mice (10⁶ viable PDX cells/mouse). After AML PDX engraftment (> 1% of human cells in bone marrow), 4–5 mice/group were treated with vehicle (10% DMSO in water) or quizartinib (5 mg/kg/day body weight) or gilteritinib (30 mg/kg/day body weight) by daily oral gavage for 2 weeks. Mice were sacrificed three days after the last gavage and BM collected from the whole rear limb (hip, femur and tibia). AML PDX cells and murine normal hematopoiesis were analyzed by flow cytometry as described previously (16).

200

201 Animal models for *in vivo* studies in normal murine hematopoiesis

For both NSG and C57Bl/J model, 5 mice/group were treated with vehicle (10% DMSO in water) or quizartinib (5 mg/kg/day body weight) or gilteritinib (30 mg/kg/day body weight) by daily oral gavage for 2 weeks. Animals were either sacrificed for BM analysis 3 days post-treatment or kept alive to assess complete blood count over time for a month. Complete Blood count were measured using a Scil Vet ABC Plus (Horiba). Colony forming cells (CFC) assays : CFC assays were performed using MethoCultTM M3434 (STEMCELL Technologies). Two replicates were used per group in each experiment. Colonies were tallied at day 12.

210 Animal models for *in vivo* studies from AML PDX cells transplantation in secondary recipient

Live AML PDX cells (10⁶ DAPI-negative cells) of each mouse recipient were collected and transplanted for secondary xenografts in nonconditioned 8-week-old female NSG mice. At 9–10 weeks post-transplantation, the mice were sacrificed and AML PDX cells and murine normal hematopoiesis were analyzed by flow cytometry as described previously (16).

215

216 Statistical analyses

All analyses were performed using GraphPad Prism software. Unless otherwise indicated, data are presented as the mean \pm standard error of the mean. Statistical significance was calculated using the Student's t-test unless otherwise stated. Differences with p values < 0.05 were considered statistically significant with *, p < 0.05; **, p < 0.01; ***, p < 0.001; NS, nonsignificant.

222 Results

223

224 Gilteritinib exerts antileukemic effects and inhibits AXL despite stromal cells coculture

225 We first validated the inhibitory effect of increasing doses of gilteritinib on three human FLT3-ITD 226 AML cell lines also expressing AXL. The drug inhibited proliferation of the three cell lines in a dose-227 dependent manner with IC₅₀ values at 3.3 \pm 0.6 nM for MV4-11, 19.0 \pm 3.2 nM for MOLM-13, and 25.0 \pm 1.0 228 nM for MOLM-14 (Figure 1A). Additionally, gilteritinib induced a pro-apoptotic effect, as shown by an 229 increased frequency of annexin V⁺ cells: $38.9 \pm 0.8\%$ for MV4-11, $54.9 \pm 2.0\%$ for MOLM-13, and $90.2 \pm 0.8\%$ 230 for MOLM-14 at 90 nM for 48 h (Figure 1B). Similar results were obtained at 72 h in all conditions tested 231 (data not shown). These anti-proliferative and pro-apoptotic effects were correlated with decreased 232 Y₅₉₁FLT3 and Y₇₇₉AXL phosphorylation as well as decreased activation of its downstream signaling. Indeed, 233 densitometry showed dose-dependent decrease in Y779AXL phosphorylation in three AML cell lines (1 vs 234 0.3; 0.1 ; 0.2; 0.0 (MV4-11); 1 vs 1; 0.7; 0.3; 0.2 (MOLM-13); and 1 vs 0.5; 0.3; 0.2; 0.1 (MOLM-14), for 235 treatment with Gilteritinib at 5 nM, 20 nM, 50 nM and 100 nM, respectively, compared to vehicle 236 treatment. The decrease in FLT3 phosphorylation was also confirmed (1 versus 0.7; 0.5; 0.6; 0.4 (MV4-11); 237 1 versus 0.7; 0.4; 0.6; 0.4 (MOLM-13); and 1 versus 0.6; 0.4; 0.4; 0.3 (MOLM-14), for treatment with 238 Gilteritinib at 5 nM, 20 nM, 50 nM and 100 nM, respectively, compared to vehicle treatment. Finally, data 239 quantifications in figure 1C, also showed a dose-dependent decrease in phosphorylation levels for 240 $pY_{694}STAT5$, $pSer_{473}AKT$, and $pT_{202}/Y_{204}ERK$ for all 3 cell lines.

241

242 Antileukemic effects of gilteritinib in hypoxia and with stromal cells coculture compared with quizartinib

The role of AXL in resistance to FLT3 inhibition has been demonstrated previously (13,14). We were interested in whether dual FLT3/AXL inhibition provided by Gilteritinib could be more relevant than single FLT3 inhibition by a highly selective drug such as Quizartinib, particularly in the context of the hematopoietic niche. First, we determined that 3 nM Quizartinib and 100 nM Gilteritinib for 48h induced close levels of apoptosis in normoxia and without stromal cells for various *FLT3*-ITD cell lines. This strategy allowed us to compare the specific effect of such doses considering culture conditions mimicking the bone 249 marrow environment. In the absence of BMSC, Gilteritinib and Quizartinib induced quite similar apoptosis 250 in the three *FLT3*-ITD AML cell lines in normoxia or in hypoxia at $1\% O_2$ (Figure 2A left panel). We previously 251 demonstrated that without BMSC, the level of AXL expression in AML and the level of autocrine secretion 252 of its ligand GAS6 are very low. In contrast, and as demonstrated in a previous work (14), we observed an 253 upregulation of AXL in hypoxia and in BMSC co-culture condition (Figure 2B). Finally, we observed a 254 significant increase in apoptosis induced by Gilteritinib in hypoxia with BMSC compared with normoxia: 255 17.7 ± 5.3% versus 3.4 ± 2.3% (MV4-11); 15.6 ± 7.9% versus 3.7 ± 1.2 (MOLM-13); and 44.9 ± 5.4% versus 256 15.8 ± 1.7% (MOLM-14), respectively (Figure 2A right panel).

257 Densitometry exhibited a strong dose-dependent inhibition of Y779 AXL phosphorylation by 258 Gilteritinib compared with Quizartinib, particularly in culture conditions associating hypoxia and stromal 259 cells (Figure 2B). However, this decrease of Y779 AXL phosphorylation was not correlated with a strong 260 inhibition of ERK and AKT which remained similar for Quizartinib and Gilteritinib suggesting that MAPK and 261 PI3K pathways were mainly dependent of FLT3 signaling (Figure 2B). Next, we investigated whether such 262 effects were observed ex vivo in primary AML blasts. Results are shown in Figure 2C and patients are 263 described in Table S3. These data showed that Gilteritinib 100 nM allows a trend (p=0.06) to induce a 264 higher apoptotic response in AML blast in co-culture as compared to Quizartinib 3 nM. Taken together, 265 these results indicate that Gilteritinib is more efficient in conditions mimicking the hematopoietic niche, 266 suggesting that it could overcome protective mechanisms mediated by the bone marrow 267 microenvironment in vivo.

268

269 Antileukemic effects of gilteritinib compared with quizartinib in mouse models xenografted with MV4-11

Encouraged by the *in vitro* data suggesting a therapeutic benefit of dual FLT3/AXL inhibition in the bone marrow microenvironment, we were interested in whether Gilteritinib could be more effective than Quizartinib *in vivo*. The objective was to specifically examine the role of the bone marrow microenvironment in the efficacy of both tyrosine kinase inhibitors, so we used 2 settings: one excluding and one including BM microenvironment. In this context, the subcutaneous model appeared relevant to study *in vivo* the efficacy of TKIs outside the bone marrow microenvironment. As previously performed *in*

276 vitro, we determined dosing regimens in mice for Quizartinib and Gilteritinib that induced close levels of 277 response in a subcutaneous model of FLT3-ITD AML cell line. We subcutaneously xenografted MV4-11 cells 278 into immunodeficient NSG mice. When the tumor size reached 400–500 mm³, the mice were treated by 279 Gilteritinib five times per week for 2 weeks with 5 mg/kg/day, 10 mg/kg/day, and 30 mg/kg/day, or 5 280 mg/kg/day of Quizartinib, which was previously determined to be an effective dose *in vivo* (14). According 281 to guidelines for dose conversion between animals and humans (17) and the quantitative determination of 282 quizartinib in mouse plasma (18), we expected that 5 mg/kg/day corresponds to a dosing regimen ranging 283 from 40–50 mg/day in humans (19,20). At day 28, the median tumor volume was increased to 777.1 mm³ 284 with 5 mg/kg/day of gilteritinib, whereas it decreased to 84.2 mm³ with 10 mg/kg/day of gilteritinib. Mice 285 were in complete remission at day 28 with Quizartinib 5 mg/kg/day and Gilteritinib 30 mg/kg/day with the 286 same response profile, and at day 40 with Gilteritinib 10 mg/kg/day. Treatment by FLT3-TKI was 287 discontinued when mice were in complete remission, except for mice in the Gilteritinib 5 mg/kg/day arm, 288 which were sacrificed at day 28 for ethical reasons (Figure 3A). Finally, median time to relapse was 13 days 289 for Quizartinib 5 mg/kg/day arm and 14 days for Gilteritinib 30 mg/kg/day arm (p=NS) whereas it was at 2 290 days for Gilteritinib 10 mg/kg/day arm (p<0.001 compared with the two other arms) (Figure 3B). Based on 291 the quantitative determination of the gilteritinib in mouse plasma (21), we expected that 30 mg/kg/day 292 corresponds to a dosing regimen at 120 to 130 mg/day in humans (22). Finally, both dosing regimens 293 coincided with clinically relevant posology used as single agents in clinical trials.

294 This strategy allowed us to compare the specific effect of such doses considering engraftment in 295 the bone marrow environment in the next experiment. We first used FLT3-ITD MV4-11 cells that were 296 xenografted by vein injection. Leukemic cell engraftment was analyzed by bioluminescence imaging (BLI) as 297 described previously (14). At day 7, one group of the mouse cohort was treated by daily oral gavage for 14 298 days with Quizartinib (5 mg/kg/day), one group by Gilteritinib (30 mg/kg/day), and a control group with 299 vehicle. Response to treatment was assessed by BLI at day 14, and then at day 20 (Figure 3C). At day 14, a 300 significant decrease in total bioluminescence signal was observed in response to Quizartinib compared with 301 the control group (4.3×10^7 Ph/s/sr versus 1.5×10^6 Ph/s/sr, p < 0.001) and in response to Gilteritinib 302 compared with the control group $(4.3 \times 10^7 \text{ Ph/s/sr } versus 0.8 \times 10^6 \text{ Ph/s/sr, p < 0.001})$. This leukemic burden decrease was stronger at day 14 (0.8×10^6 Ph/s/sr *versus* 1.5 × 10⁶ Ph/s/sr, p < 0.05) and at day 20 (0.5×10^6 Ph/s/sr *versus* 1.3 × 10⁶ Ph/s/sr, p < 0.05) with Gilteritinib compared with Quizartinib, respectively (Figure 3C).

306

307 Antileukemic effects of Gilteritinib and Quizartinib in *FLT3*-ITD AML patient-derived xenografts

308 Encouraged by these in vivo results with the FLT3-ITD AML cell line MV4-11, we explored the effects 309 of these two FLT3-TKI in vivo on two different batches of AML-PDX cells generated from a FLT3-ITD AML 310 patient using the process presented in Figure 4A. The first batch contained FLT3-ITD and wild-type AML 311 cells with an ITD/wild-type ratio of 0.44. The second batch contained FLT3-ITD AML cells with LOH. We 312 transplanted AML PDX cells of these two batches into NSG mice and monitored engraftment in bone 313 marrow using flow cytometry (CD45/CD33 double staining). Then, mice were treated by daily oral gavage 314 with Quizartinib (5 mg/kg/day) and Gilteritinib (30 mg/kg/day) for 2 weeks. Response to treatment was 315 assessed by flow cytometric analysis of human CD45⁺/CD33⁺ blasts from crushed femurs, tibias, and hips 316 (Figure 4B). Analysis of the results based on molecular characterization of the transplanted batches 317 confirmed that mean percentage of human CD45⁺/CD33⁺ blast cells in the bone marrow at week 9 post-318 transplantation was significantly higher in Quizartinib-treated mice (27.8%±5.8) than in Gilteritinib-treated 319 mice (15.4%±2.5) in FLT3-ITD AML PDX with LOH (Figure 4C). Conversely, mean percentage of human 320 CD45⁺/CD33⁺ blast cells in the bone marrow at week 9 post-transplantation was similar in Quizartinib-321 treated mice and in Gilteritinib-treated mice in FLT3-ITD AML PDX with an ITD/wild-type ratio of 0.44 322 (Figure 4D). These results suggested that gilteritinib displayed a higher level of efficacy in BM 323 microenvironment for AML with high *FLT3*-ITD/wt ratio.

324

325 Gilteritinib spared normal murine hematopoiesis in a BM microenvironnement impaired by AML

After demonstrating the potential for dual FLT3/AXL inhibition, we were interested in the tolerance of normal murine hematopoiesis to Gilteritinib treatment compared with Quizartinib as it also impacts C-Kit signaling. Three days post-FLT3-TKI treatment, there were no differences in BM cellularity between vehicletreated mice, quizartinib-treated mice, and gilteritinib-treated mice in a non-transplanted NSG group

330 (Figure 5A, left panel). In contrast, in AML-PDX NSG group, murine leukocytes cellularity was significantly 331 higher in gilteritinib-treated mice (median 89.3x10⁶, IQR 83.7-95.3) than in quizartinib-treated mice 332 (median 73.0x10⁶, IQR 45.1-78.6) (Figure 5A, right panel). Finally, comparing across transplanted and non-333 transplanted groups, we showed that murine BM cellularity was returned to normal only with Gilteritinib. 334 When separating murine leukocytes between differentiated precursor cells defined as mCD45⁺ Lin⁺ cells 335 (Figure 5B) and a broader immature population defined as mCD45⁺ Lin⁻ cells (Figure 5C), the same 336 conclusions can be drawn. We next decided to investigate the more primitive compartments (Figure S3A) 337 such as HSPC using mCD45⁺ Lin⁻ c-Kit^{High} immunophenotyping approach: there were here some differences 338 between vehicle-treated mice, quizartinib-treated mice and gilteritinib-treated mice in the non-339 transplanted NSG group suggesting a slight impairment of this compartment induced by FLT3-TKI, 340 particularly Gilteritinib (median 5.7x10⁶ [IQR 5.3-6.3] vs 4.6x10⁶ [IQR 4.4-5.3] vs 4.2x10⁶ [IQR 3.9-4.4] in 341 Vehicle, Quizartinib and Gilteritinib, in non-transplanted NSG, respectively). However, the absolute numbers of murine mCD45⁺ Lin⁻ c-Kit^{High} cells were similar in both Gilteritinib-treated mice (median 3.5x10⁶ 342 343 [IQR 3.3-4.6]) and Quizartinib-treated mice (median 3.2x10⁶ [IQR 1.8-4.3]) in AML-PDX NSG group. Finally, 344 as observed for mature compartments, Gilteritinib was able to maintain the level of mCD45⁺ Lin⁻ c-Kit^{High} 345 cells in AML-PDX NSG group close to the one observed in Gilteritinib-treated non-transplanted NSG group 346 whereas Quizartinib did not (Figure 5D). It should nevertheless be noted that outside the leukemic context, 347 Gilteritinib impairs normal primitive murine hematopoiesis more than Quizartinib (Figure 5C and 5D, left 348 panels). Taken together, these data could suggest either a better efficacy of Gilteritinib in BM clearance of 349 AML blasts, leading to a better murine hematopoiesis, or a toxicity of Quizartinib on normal murine 350 hematopoiesis specifically in the context of AML, since this point is not observed in non-transplanted NSG 351 mice. To discriminate between these two hypotheses, we analyzed murine hematopoiesis according to BM 352 clearance as previously shown in Figure 4C and 4D. In "LOH" group, BM clearance was higher with 353 Gilteritinib, whereas in "ratio 0.44", BM clearance was the same with both treatments. In both FLT3-ITD-354 AML-PDX group "LOH" and "ratio 0.44", independently of AML blasts clearance, murine leukocyte numbers 355 were higher upon Gilteritinib treatment compared with Quizartinib treatment (Figure 5E). This rules in favour of a lower toxicity for Gilteritinib for maintaining normal murine hematopoiesis in a BM
 microenvironnement impaired by AML.

358 In front of these results, we next assessed HSPC functions. First, we performed CFC assay at 359 sacrifice of non-transplanted NSG mice and found that none of the FLT3-TKI impaired progenitors' ability to 360 generate colonies (Figure 5F). Then, we analyzed Complete Blood Count (CBC) at day 4, day 18 and day 31 361 post-TKI treatment in a non-transplanted mice group. We did not find any clinically relevant effects of 362 either TKI, Quizartinib or Gilteritinib, supporting the fact that these treatments are well tolerated at theses 363 doses on a steady-state hematopoiesis whether on WBC, Red blood cells, platelets, lymphocytes, 364 monocytes, or granulocytes (Figure S1). To confirm our conclusions, we performed a new set of 365 experiments on C57BI/6J mice. During treatment, complete blood count was followed and did not show 366 any differences between the 3 groups (Figure S2). As observed in NSG counterparts, three days post-FLT3-367 TKI treatment, there were no significant differences between vehicle-treated mice, guizartinib-treated mice 368 and gilteritinib-treated mice, for the following cell subsets : Lin⁻ cells (Figure 5G), Lin⁻ Sca1⁺ c-Kit^{High} cells 369 (Figure 5H) and HSC immunophenotypically defined as LSK CD48⁻ CD150⁺ CD135⁻ CD34⁻ (Figure 5I/S3B). We 370 also analyzed CFU-GEMM, -GM, -G, -M and Red/Mk (Figure 5J) without noticing any significant differences.

Taken together, these data support the fact that Gilteritinib and Quizartinib display close toxicity profile on normal murine hematopoiesis at steady state, even if Gilteritinib display a slight impairment of primitive compartment without impact on CBC. Conversely, Quizartinib display higher toxicity on murine hematopoiesis in BM impaired by AML.

375

376 Effects of gilteritinib and quizartinib on leukemic initiating cell compartment in secondary AML PDX 377 recipient

To further explore the ability of both FLT3-TKI to target leukemic initiating cells in their microenvironment, we performed secondary transplants from bone marrow of Gilteritinib-treated and Quizartinib-treated AML PDX cells (10⁶ AML PDX alive cells/secondary recipient) as described in Figure 4B. Once again, analysis of the results (Figure S4) based on molecular characterization of the engrafted batch revealed that gilteritinib was more effective than quizartinib in controlling leukemic stem cell (LSC)

- 383 engraftment and leukemic burden in *FLT3*-ITD AML PDX with LOH (Figure 6A) compared with *FLT3*-ITD AML
- 384 PDX with an ITD/wild-type ratio at 0.44 (Figure 6B), in which Quizartinib and Gilteritinib displayed the same
- 385 levels of efficacy.

387 Discussion

388

389 Here, we demonstrated that dual AXL and FLT3 inhibition should target FLT3-ITD leukemic cells 390 protected from cytotoxic treatments by various mechanisms including low O₂ concentration, BMSC, and 391 soluble factors in the bone marrow microenvironment. AXL is ectopically- or over-expressed in a wide 392 variety of cancers and has always been associated with a poor prognosis (23). We have reported resistance 393 mechanisms involving AXL in chronic myeloid leukemia (24). In AML, AXL and GAS6 levels of expression 394 have been related to poor outcomes (25,26). Paracrine AXL activation has been shown to induce AML 395 resistance to conventional chemotherapies but also to FLT3-targeted therapy (12,13,27,28). We previously 396 demonstrated that AXL was particularly relevant in the setting of the hematopoietic niche (14), suggesting 397 that Gilteritinib could be a candidate to improve *FLT3*-ITD AML-initiating cell eradication.

398 We confirmed that Gilteritinib induced dose-dependent antiproliferative and pro-apoptotic effects 399 associated with an inhibition of FLT3 activation and its downstream signaling (21). We demonstrated the 400 specific interest of Gilteritinib in the setting of hypoxia and BMSC coculture, linked to a better efficacy 401 compared with Quizartinib, another FLT3-TKI much more specific of FLT3. Gilteritinib also provided better 402 response to treatment in bioluminescent imaging with a mouse model xenografted with a FLT3-ITD AML 403 cell line. Then, in a FLT3-ITD AML PDX model, we found that Gilteritinib as single agent was more effective 404 in controlling FLT3-ITD AML with a high allelic ratio, whereas its efficacy was similar to that of Quizartinib 405 for AML with FLT3-ITD and FLT3 wild-type clones. Finally, by performing a secondary xenograft, we 406 reproduced identical results but neither Quizartinib nor Gilteritinib fully eradicated leukemic initiating cells. 407 Nevertheless, Gilteritinib-treated AML PDX displayed extended time in terms of leukemic burden, 408 specifically in the FLT3-ITD AML with LOH batch. We could speculate that such efficacy by continuous 409 treatment longer than 2 weeks could perhaps enable deeper impairment of FLT3-ITD AML clone. Finally, we 410 could also speculate that other kinases inhibited by Gilteritinib could also play a role in the bone marrow 411 microenvironment such as LTK or ALK (21). Indeed, effects of mesenchymal stromal cells and endothelial 412 cells on AML cells have been investigated through contact factors and cytokine-mediated crosstalk. Several 413 soluble mediators are known to be linked with remodeling of the HSC niche into a leukemic-permissive

niche such as angiogenic growth factors like VEGF, Ang-1, pro-inflammatory cytokines such as IL-6, homing disrupting cytokines such as CXCL12. Other studies also detected variation according to osteoblastic or
 adipocytic MSC differentiation, but also immune cells secreting FLT3-ligand or IL-1 (29).

417 Using two FLT3-ITD AML PDX models, we showed that quizartinib was as effective as gilteritinib 418 when PDX displayed both FLT3-ITD and wild-type clones. These experiments reproduced outcomes of 419 phase 2 clinical trials in which FLT3-TKI as single agent allowed 12% and 45% of overall response in FLT3 420 wild-type AML for gilteritinib (30) and guizartinib (31), respectively. Quizartinib is known to inhibit KIT 421 phosphorylation, leading to an impaired hematopoiesis (7). If Gilteritinib does not inhibit KIT, its use in 422 routine clinical practice unveils also a certain impairment of normal hematopoiesis, independently of its 423 ability to induce blast differenciation (9,10). Here, we provide some data regarding murine hematopoiesis, 424 demonstrating that Gilteritinib and Quizartinib display close toxicity profile on normal murine 425 hematopoiesis at steady state without impact on CBC and potentially different toxicity profiles on 426 hematopoiesis impaired by AML involvement.

427 These different endpoints raise an issue with clinical implications. In this work, Quizartinib was 428 equally effective as Gilteritinib as a single agent in AML carrying both FLT3-ITD and FLT3 wild-type clones. 429 Both FLT3-TKI have been developed in the R/R situation and the phase 3 outcomes showed that Gilteritinib 430 was better for complete remission but just slightly better for overall survival. In a front-line situation, FLT3-431 ITD AML also carries FLT3 wild-type clones and is treated with intensive chemotherapy, which by itself 432 induces deep toxicity in normal hematopoiesis. Quizartinib could be an effective treatment in this case 433 regardless of its action on normal hematopoiesis. Moreover, priming with Quizartinib has recently been 434 shown to inhibit chemotherapy-induced myelosuppression by inducing a transient quiescence of 435 multipotent progenitors, protecting these cells from chemotherapy (32). In contrast, Gilteritinib as single 436 agent is more effective and less toxic than standard chemotherapy, establishing a new standard of care for 437 management of R/R FLT3-mutated AML. Our results suggest that its intrinsic characteristics make it most 438 effective as single agent in high allelic ratio FLT3-ITD AML.

439 As single agents, FLT3 TKI such as Gilteritinib or others are unlikely to result in a high cure rate for 440 *FLT3*-ITD AML. Gilteritinib, Quizartinib, or Crenolanib could improve the cure rates for patients with *FLT3*-

441 mutated AML, but only when incorporated into a treatment regimen that includes other chemotherapies or 442 drugs. In that setting, ability of Gilteritinib to inhibit AXL could be a leverage to eradicate *FLT3*-mutated 443 AML clones but could also help to target *FLT3* wild-type AML clones shielded by their microenvironment 444 since AXL resistance to chemotherapy is encountered beyond *FLT3*-ITD AML cells. These data strongly 445 support current clinical trials associating chemotherapy and Gilteritinib.

- 447 Figure legends
- 448

449 Figure 1: Activity of gilteritinib against *FLT3*-ITD AML cell lines

450

A. Relative proliferation of MV4-11, MOLM-13, and MOLM-14 *FLT3*-ITD AML cell lines incubated in the absence (Vehicle) or with increasing concentration of gilteritinib (as indicated) for 4 days using CellTiter 96 AQueous. Condition Vehicle with DMSO 0.1%. The proliferation of the cells treated with the vehicle control was set as 100% (mean ± standard error of the mean; n = 4).

455

B. *FLT3*-ITD AML cell lines were treated in the absence (Vehicle) or with increasing dose of gilteritinib (as indicated) for 48 h. Apoptosis was measured by Annexin V/DAPI staining followed by flow cytometric analysis. Results are presented as the percentage of the Annexin V cells. Condition Vehicle with DMSO 0.1%. Mean \pm standard error of the mean; n = 3 with *, p < 0.05; **, p < 0.01; ***, p < 0.001; NS, nonsignificant.

461

462 C. Western blot analysis using indicated antibodies performed on lysates from MV4-11, MOLM-13, and 463 MOLM-14 cell lines. Cells were exposed to gilteritinib at the indicated concentrations for 4 h. Condition 0 464 nM (Vehicle) with DMSO 0.1%. Results shown are representative of three independent experiments with 465 densitometry.

467 Figure 2: Activity of Gilteritinib compared with Quizartinib in vitro and ex vivo in hematopoietic niche
 468 condition

469

470 A. Apoptosis assessment of *FLT3*-ITD AML cell lines MV4-11, MOLM-13 and MOLM-14 treated with 471 quizartinib (3 nM) or gilteritinib (100 nM) for 48 h, and cultured without (no stroma, left panel) or with the 472 MS5 stromal cells (stroma, right panel), at 21% (solid bars) or 1% (hatched bars) of O₂ concentration, using 473 flow cytometry. Condition Vehicle with DMSO 0.1%. Mean ± standard error of the mean; n = 3 with *, p < 474 0.05; **, p < 0.01; ***, p < 0.001; NS, nonsignificant.

475

476 B. MOLM-14 cells were incubated with quizartinib (3 nM) or gilteritinib (20 or 100 nM) for 4h and cultivated 477 without (no stroma) or with MS5 stromal cells (stroma) at 21% or 1% of O₂ concentration, as indicated. 478 Total cell lysates of the samples were subjected to western blot analysis with the indicated antibodies. 479 Results shown are representative of two independent experiments. Condition Vehicle with DMSO 0.1%. 480 Results shown are representative of three independent experiments with densitometry : phosphorylation 481 of protein expression was normalized using total protein expression by calculating the ratio of the 482 phosphorylated protein level to their total protein level counterparts. The ratio of the control condition was 483 normalized to 1Mean of n=3 WB with *, p < 0.05; **, p < 0.01; ***, p < 0.001; NS, nonsignificant.

484

C. Primary blasts from 6 *FLT3*-ITD AML patients cultured with the MS5 stromal cells at 20% O₂, were treated
without (vehicle) or with Quizartinib (3 nM) or Gilteritinib (20 or 100 nM) for 48 hrs. Cells were then stained
with Annexin V before cytometer analysis. Condition Vehicle with DMSO 0.1%. Graph shows the mean ±
SEM of results with *, p<0.05; **, p < 0.01; NS, non-significant.

490 Figure 3: Dual AXL/FLT3 inhibition by gilteritinib exerts *in vivo* a higher pro-apoptotic effect than

491 quizartinib in bone marrow microenvironment

492

A. Dose-dependent inhibition of MV4-11 tumor growth by FLT3-TKI. MV4-11 cells were subcutaneously injected into the limbs of NSG mice at day 0 and treated or not with quizartinib (5 mg/kg/day) or at various dosing regimens of gilteritinib (5, 10, and 30 mg/kg/day). Tumor volume was measured at the indicated days (mean ± standard error of the mean; n = 40) with *, p<0.05; **, p < 0.01; NS, non-significant.

497

B. Time to relapse after gilteritinib or quizartinib discontinuation. Tumor growth was monitored at the
indicated days (mean ± standard error of the mean; n = 40).

500

501 C. MV4-11 Luc cells were injected at day 0 (10^6 cells/mouse) in the retro-orbital sinus vein of busulfan-502 pretreated female mice. Mice were then treated or not with quizartinib (5 mg/kg/day) or gilteritinib (30 503 mg/kg/day) from day 7 to day 20. At day 14 and day 20, animals were analyzed using bioluminescent 504 imaging (n = 30). Statistical analyses were performed using the log-rank test. *, p < 0.05; **, p < 0.01; ***, p 505 < 0.001; NS, non-significant.

507 Figure 4: Anti-leukemic effects of gilteritinib and quizartinib in a *FLT3*-ITD AML patient-derived xenograft

508

A. Patient-derived xenografts (PDX) were generated by retro-orbital injections of 10⁶ viable primary AML cells in NSG mice previously conditioned. When levels reached at least 10% human AML cells (CD33⁺CD45⁺), the mice were sacrificed and bone marrow cells were collected, pooled, and cryopreserved. Two batches of AML PDX cells were generated. The engraftment in each mouse was assessed every 10 days by intrafemoral puncture analyzed by flow cytometry to measure hCD45 chimerism. The mice were sacrificed when the engraftment was higher than 10%. LOH: loss of heterozygosity.

515

516 B. AML PDX cells were injected by retro-orbital route in nonconditioned 8-week-old female NSG mice (10⁶ 517 viable PDX cells/mouse). After AML PDX cells engraftment (> 1% of human cells in bone marrow; 6–7 weeks 518 post-transplantation), the mice were divided in three homogeneous groups and treated with vehicle (water 519 10% DMSO) or Quizartinib (5 mg/kg/day) or Gilteritinib (30 mg/kg/day) by daily oral gavage during 2 weeks. 520 Three days after the last gavage, the mice were sacrificed, analyzed, and 10⁶ AML PDX cells were serially 521 transplanted into secondary nonconditioned recipients. The secondary recipients were sacrificed and 522 analyzed 9–10 weeks post-transplantation.

523

524 C. PDX model from a *FLT3*-ITD AML patient was used to test the *in vivo* efficacy of treatment with 525 gilteritinib and quizartinib. The percentage of human CD45⁺/CD33⁺ blast cells in the bone marrow from 526 weeks 8–9 post-transplantation (sacrifice) was determined based on flow cytometric analysis in AML PDX 527 from batch 2 (LOH) at week 7 (before treatment) and week 9 post-transplantation (after treatment). Mean 528 \pm standard error of the mean with *, p < 0.05; **, p < 0.01; NS, nonsignificant (n = 10).

529

530 D. PDX model from a *FLT3*-ITD AML patient was used to test the *in vivo* efficacy of treatment with 531 gilteritinib and quizartinib. The percentage of human CD45⁺/CD33⁺ blast cells in the bone marrow from 532 weeks 8–9 post-transplantation (sacrifice) was determined by flow cytometric analysis in AML PDX from

- 533 batch 1 (ratio ITD/wt 0.44) at week 7 (before treatment) and week 9 post-transplantation (after treatment).
- 534 Mean \pm standard error of the mean with *, p < 0.05; **, p < 0.01; NS, nonsignificant (n = 5).

- 536 Figure 5: Normal murine hematopoiesis in non-transplanted and FLT3-ITD AML-PDX mice
 - 537

538 Determination of Murine CD45⁺ cells (A), murine Lin⁺ cells (B), murine Lin⁻ cells (C), and Lin⁺ c-Kit^{High} cells (D) 539 in the bone marrow of NSG mice transplanted or not with AML-PDX cells 3 days post-treatment with 540 vehicle, Quizartinib or Gilteritinib. (E) Murine CD45⁺ cells in bone marrow of NSG mice transplanted with 541 AML-PDX with LOH or with ITD/wt at 0.44, 3 days post-treatment with vehicle, Quizartinib or Gilteritinib. (F) 542 Colony forming unit (CFU) assay performed with 10⁴ BM cells from non-transplanted NSG mice 3 days post-543 treatment with vehicle, Quizartinib or Gilteritinib. Murine Lin⁻ cells (G), murine Lin⁻ Sca-1⁺ c-Kit^{High} cells (H), 544 and HSC (Lin⁻ c-Kit⁺ Sca-1⁺ CD48⁻ CD150⁺) (I) in the bone marrow of C57BI/6J mice 3 days post-treatment 545 with vehicle, Quizartinib or Gilteritinib. (J) Colony forming unit (CFU) assay performed with 10⁴ BM cells 546 from C57BI/6J mice 3 days post-treatment with vehicle, Quizartinib or Gilteritinib. CFU-megakaryocyte 547 (CFU-Mk), CFU-erythroid (CFU-E), Burst Forming Unit (BFU-E), CFU-granulocyte (CFU-G), CFU-548 monocyte/macrophage (CFU-M), CFU-granulocyte and monocyte/macrophage (CFU-GM), CFU with all 4 549 lineages granulocyte, erythroid, monocyte/macrophage and megakaryocyte (CFU-GEMM). The data are as 550 mean \pm standard error of the mean (n = 5 mice per group for non-transplanted mice and n = 15 for AML-551 PDX transplanted mice) with *, p < 0.05; **, p < 0.01; ***, p < 0.001; NS, non-significant.

553 Figure 6: Antileukemic effects of gilteritinib and quizartinib in a secondary recipient of *FLT3*-ITD AML

554 patient-derived xenograft

555

556 10^{6} living human CD45+ cells issued from primary recipients were injected into non-conditioned secondary 557 recipients. Percentage of human CD45+/CD33+ blast cells in the bone marrow 10 weeks post-558 transplantation, which was determined based on flow cytometric analysis for AML PDX from batch 2 (A) 559 and for AML PDX from batch 1 (B). Mean of five mice per cohort ± standard error of the mean with *, p < 560 0.05; **, p < 0.01; ***, p < 0.001; NS, nonsignificant.

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655

656 Authorship

657 Designed research and analyzed data, P.-Y.D., A.V., J.-M.P. and V.D.; Performed experiments, P.-Y.D., A.V.,

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669 **Conflict of Interest**

- 670 Pierre-Yves Dumas: Advisory boards: Daiichi-Sankyo, Astellas
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- 672 Amelie Guitart: no disclosure
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