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1 **PCSK9 regulates the NODAL signaling pathway**  
2 **and cellular proliferation in hiPSCs**

3  
4 **Running title:** PCSK9 function in hiPSCs

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26

1 **SUMMARY**

2 Proprotein convertase subtilisin kexin type 9 (PCSK9) is a key regulator of LDL cholesterol  
3 metabolism and the target of lipid-lowering drugs. PCSK9 is mainly expressed in hepatocytes.  
4 Here, we show that PCSK9 is highly expressed in undifferentiated human induced pluripotent  
5 stem cells (hiPSCs). PCSK9 inhibition in hiPSCs with the use of shRNA, CRISPR/cas9-  
6 mediated knockout or endogenous *PCSK9* loss-of-function mutation R104C/V114A unveiled  
7 its new role as a potential cell cycle regulator through the NODAL signaling pathway. In fact,  
8 PCSK9 inhibition leads to a decrease of SMAD2 phosphorylation and hiPSCs proliferation.  
9 Conversely, PCSK9 overexpression stimulates hiPSCs proliferation. PCSK9 can interfere  
10 with the NODAL pathway by regulating the expression of its endogenous inhibitor DACT2,  
11 which is involved in the TGFβ-R1 lysosomal degradation. Using different PCSK9 constructs  
12 we show that PCSK9 interacts with DACT2 through its CHRD domain. Altogether these data  
13 highlight a new role of PCSK9 in cellular proliferation and development.

14

## 1 **HIGHLIGHTS**

- 2 • PCSK9 is highly expressed in undifferentiated hiPSCs
- 3 • PCSK9 regulates hiPS cell proliferation
- 4 • PCSK9 controls NODAL signaling and SMAD2 phosphorylation in hiPSCs
- 5 • PCSK9 controls TGF $\beta$ -R1 expression potentially through its interaction with DACT2

## 1 INTRODUCTION

2 Proprotein convertase subtilisin kexin type 9 (PCSK9) is a master regulator of  
3 cholesterol homeostasis (for review, see (Seidah et al., 2017; Stoekenbroek et al., 2018)).  
4 PCSK9 was initially discovered as the third gene of familial hypercholesterolemia (FH), an  
5 autosomal co-dominant disorder that leads to premature atherosclerotic cardiovascular disease  
6 (Abifadel et al., 2003). While *PCSK9* gain-of-function (GOF) mutations cause FH, *PCSK9*  
7 loss-of-function (LOF) variants are conversely associated with reduced low-density  
8 lipoprotein (LDL) cholesterol levels and coronary heart disease (Cohen et al., 2006).  
9 Mendelian randomization studies further validate the concept that PCSK9 inhibition reduces  
10 cardiovascular events (Ference et al., 2016), leading to the development of PCSK9 inhibitors  
11 to manage cardiovascular disease in clinical practice (Preiss et al., 2020).

12 The canonical action of PCSK9 is to promote the downregulation of LDL receptor  
13 (LDLR) expression (Maxwell and Breslow, 2004). PCSK9 is mainly expressed in the  
14 hepatocyte, where it undergoes in the endoplasmic reticulum an autocatalytic cleavage  
15 between its pro and catalytic domains (Benjannet et al., 2004). One surprising key feature that  
16 distinguishes PCSK9 from the other proprotein convertases is that its prodomain is not  
17 released after its cleavage and remains closely bound in the catalytic site, leading to a secreted  
18 inactive protease (Seidah et al., 2003). After its cleavage, PCSK9 is secreted into the  
19 circulation, binds to the epidermal growth factor precursor homology domain A (EGF-A)  
20 extra-cellular domain of the LDLR and is internalized together with the receptor. The  
21 presence of the adaptor protein (LDLRAP1) that interacts intracellularly with the LDLR tail is  
22 required to allow the internalization of the LDLR-PCSK9 complex. Inside the cell, the  
23 binding of PCSK9 to the LDLR alters its acidic pH induced conformational change, which  
24 prevents normal recycling of the LDLR and instead targets the LDLR/PCSK9 complex to  
25 lysosomal degradation (Zhang et al., 2007). All the mechanistic steps by which this  
26 intracellular trafficking of the LDLR-PCSK9 complex takes place have not yet been fully  
27 elucidated (Seidah et al., 2017).

28 Beyond this so-called extracellular route of action of PCSK9, several data suggest that  
29 PCSK9 can act on the LDLR directly *via* an intracellular Golgi-lysosome route (Poirier et al.,  
30 2009). The existence of an intracellular route was supported by the fact that PCSK9 and the  
31 LDLR can interact very early inside the cell in the secretory pathway and more importantly  
32 that PCSK9 maintains its ability to promote LDLR degradation in cells devoid of LDLRAP1  
33 protein (Poirier et al., 2009). PCSK9 inhibitors, alirocumab and evolocumab, are human  
34 monoclonal antibodies that interfere with the extra-cellular pathway by neutralizing

1 circulating PCSK9 and thus preventing its binding to the LDLR. Alternatively, inclisiran, a  
2 small interfering RNA which inhibits hepatic synthesis of PCSK9, impacts both extra-cellular  
3 and intra-cellular PCSK9 signaling pathways (Catapano et al., 2020).

4 We previously published that urine-sample-derived human induced pluripotent stem  
5 cells (hiPSCs) differentiated into hepatocyte-like cells (HLCs) is a relevant model to study the  
6 impact of *PCSK9* gain-of-function (GOF) and loss-of-function (LOF) mutations on  
7 cholesterol metabolism regulation (Si-Tayeb et al., 2016). During the validation process of  
8 our hiPSCs model, we made the intriguing observation that PCSK9 was highly expressed in  
9 undifferentiated hiPSCs, as previously noted (Assou et al., 2007; Calloni et al., 2013;  
10 Tsuneyoshi et al., 2008).

11 The aim of the present study is to decipher the function of PCSK9 in hiPSCs. By using  
12 both hiPSCs derived from patients with *PCSK9* LOF mutations and control hiPSCs with  
13 PCSK9 silencing, knockout or overexpression, we showed that PCSK9 interferes with the  
14 TGF $\beta$ -NODAL signaling pathway, a major actor in stem cells self-renewal, differentiation  
15 and proliferation (for review, see (Pauklin and Vallier, 2015)).

16

## 1 RESULTS

### 2 PCSK9 is highly expressed in undifferentiated hiPSCs

3 In order to gain further knowledge on the role of PCSK9 during hiPSCs hepatic  
4 differentiation, we monitored PCSK9 gene expression at each day throughout the procedure.  
5 As shown in **Figure 1A**, PCSK9 mRNA expression varies during hiPSCs differentiation.  
6 While PCSK9 expression has been already confirmed in HLCs (Si-Tayeb et al., 2016), we  
7 showed a stronger PCSK9 expression in the early stages of differentiation, such as the  
8 definitive endoderm and during its hepatic specification. Thereafter, hepatic differentiation  
9 upon HGF treatment (Days 11 to 15) induced a lower PCSK9 expression before a rebound  
10 during the final days of HLCs differentiation. In accordance with mRNA expression, PCSK9  
11 protein expression (mature form (60kDa) and cleaved prodomain (15kDa)) was significantly  
12 higher in undifferentiated hiPSCs than in hepatic progenitors (**Figure 1B**). Finally, PCSK9  
13 protein secretion in the cell culture medium measured by ELISA assay paralleled the PCSK9  
14 mRNA and protein variations (**Figure 1C**). Notably, the level of secreted PCSK9 was much  
15 higher in hiPSCs than in HLCs. Altogether these data demonstrate that undifferentiated  
16 hiPSCs express and secrete PCSK9 at significant level.

17

### 18 PCSK9 inhibition modulates the NODAL signaling pathway and cell proliferation in 19 hiPSCs

20 In order to investigate the functional role of PCSK9 in this newly described  
21 environment, we silenced PCSK9 in hiPSCs using specific shRNA (originally from K3 hiPS  
22 cell line - **Supplemental Table 1**) and performed a transcriptomic analysis (DNA-chip  
23 Agilent). From the list of differentially expressed genes between iPSCs-shPCSK9 vs. iPSCs-  
24 shCtrl (**Supplemental Table 2**) we screened for overrepresented biological processes using  
25 Gene Ontology (GO) terms in genes downregulated together with PCSK9 in iPSCs-shPCSK9  
26 vs. iPSCs-shCtrl. Significant GO biological processes are mainly related to metabolic,  
27 biosynthetic and developmental processes (**Supplemental Table 3**). More specifically, the  
28 top differentially expressed genes include *PCSK9* itself, with more than 90% inhibition,  
29 *NODAL* and *NODAL* downstream pathway gene target such as *LEFTY2*. RT-Q-PCR analysis  
30 further confirmed that *PCSK9*, *NODAL* and *LEFTY1* were significantly down-regulated in  
31 PCSK9 silenced hiPSCs (**Figure 2A**). Despite a trend, PCSK9 silencing did not significantly  
32 alter *LDLR* mRNA levels (**Figure 2A**). In addition, two other targets of the NODAL signaling  
33 pathway, *OCT4* and *NANOG* showed a decreased gene expression. While both *OCT3* and  
34 *NANOG* are pluripotent transcription factors expressed also in the mesendoderm, we

1 monitored the expression of a third pluripotent transcription factor subsequently expressed  
2 during ectoderm differentiation, *SOX2*, which was not affected by PCSK9 silencing. In  
3 accordance with a functional effect of NODAL pathway gene expression regulation, we  
4 observed that the modulation of PCSK9 gene expression affects hiPSCs proliferation. Indeed,  
5 the cellular growth curve was significantly reduced in hiPSCs silenced for PCSK9 compared  
6 to hiPSCs control (**Figure 2B**).

7 To further study the impact of PCSK9 on the NODAL signaling pathway in  
8 undifferentiated cells, we generated two cellular models that were studied side by side. We  
9 first generated a PCSK9 knock-out (KO) hiPSCs (PCSK9-KO) with a CRISPR/Cas9 system  
10 (from hERG control cell line- Supplemental **Table 1**). We also generated a stable hiPS cell-  
11 line overexpressing PCSK9 (PCSK9-FULL) through the integration of PCSK9 at the AAVS1  
12 locus (from hERG control cell line). Western blot analysis confirmed that PCSK9 protein  
13 expression was totally lost in PCSK9-KO hiPSCs and strongly increased in PCSK9-FULL  
14 hiPSCs (**Figure 3A**). Then, we assessed the cell proliferation of PCSK9-KO and PCSK9-  
15 FULL hiPSCs (**Figure 3B**). In line with the previous results, while PCSK9-KO hiPSCs  
16 present a significantly reduced cell growth, the proliferation of PCSK9-FULL hiPSCs was  
17 significantly increased. Next, we investigated the phosphorylation state of the NODAL  
18 signaling pathway mediator, SMAD2. As shown in **Figure 3C**, SMAD2 phosphorylation  
19 status was reduced in PCSK9-KO hiPSCs, while it was increased in PCSK9-FULL hiPSCs,  
20 further validating the hypothesis of an impact of PCSK9 on the NODAL signaling pathway.

21 In order to further strengthen our previous observations and validate them in patients'  
22 material with non-genetically engineered hiPSCs, we generated and studied hiPSCs of a  
23 patient carrying the PCSK9 LOF mutations R104C/V114A. This patient presented with  
24 genetically low levels of LDL-C (*i.e.* familial hypobetalipoproteinemia) and an absence of  
25 liver-derived circulating PCSK9 (Cariou et al., 2009). Previous *in vitro* investigations  
26 suggested that R104C/V114A mutations prevented PCSK9 auto-cleavage, its subsequent  
27 secretion and acted as a dominant negative mutant (Cariou et al., 2009). Indeed, we observed  
28 that PCSK9 auto-cleavage was drastically diminished in R104C/V114A hiPSCs, resulting in a  
29 higher proportion of uncleaved non-mature form of PCSK9 (**Figure 4A**). In accordance with  
30 PCSK9-KO hiPSCs results, the cellular growth and the phosphorylated form of SMAD2,  
31 were decreased in hiPSCs R104C/V114A compared to the control hiPSCs (**Figure 4B and**  
32 **C**). Finally, we monitored the effect of the R104C/V114A LOF mutations on the overall  
33 TGF $\beta$  signaling pathway upon the introduction of a TGF $\beta$ -gene reporter through luciferase  
34 activity in the control and patient-derived hiPSC lines (Huang et al., 2011). As depicted in

1 **Figure 4D**, PCSK9 R104C/V114A hiPSCs present with a drastic reduction of the luciferase  
2 activity when compared to controls.

3 Altogether, our data indicate that PCSK9 inhibition, due to either CRISPR-mediated  
4 knockout or LOF mutations, decreases the NODAL signaling pathway.

5

### 6 **PCSK9 deficiency decreases TGF $\beta$ R1 content in hiPSCs potentially through an up-** 7 **regulation of DACT2**

8 To further precise the mechanism of action of PCSK9 on the NODAL signaling  
9 pathway, we added the recombinant wild-type PCSK9 (rPCSK9-WT) or an overactive  
10 recombinant form of PCSK9 (rPCSK9-D374Y) in control hiPSCs culture medium over 24h.  
11 The addition of rPCSK9-WT or rPCSK9-D374Y in the medium did not affect the SMAD2  
12 phosphorylation status (**Supplemental Figure 1**), suggesting that PCSK9 interferes with the  
13 NODAL pathway intracellularly rather than extracellularly.

14 Then, we tried to overcome the downregulation of NODAL signaling associated to  
15 PCSK9 deficiency by adding recombinant NODAL protein (up to 200 ng/mL during 2 hours)  
16 in the culture medium of either control or PCSK9 R104C/V114A hiPSCs. In contrast to what  
17 was observed in control hiPSCs, NODAL was unable to induce SMAD2 phosphorylation in  
18 R104C/V114A hiPSCs (**Supplemental Figure 2**), suggesting that PCSK9 is required for  
19 NODAL activity in hiPSCs.

20 Based on these results, we hypothesized that PCSK9 might directly interfere with  
21 NODAL signaling at the TGF $\beta$  receptor subunit 1 (TGF $\beta$ R1) level. We measured the  
22 abundance of TGF $\beta$ R1 at the cell surface in different hiPSC lines using a biotinylation assay.  
23 While the TGF $\beta$ R1 content was consistently lowered in both PCSK9-KO and R104C/V114A  
24 hiPSCs compared to control hiPSCs, no significant difference was detected in PCSK9-FULL  
25 hiPSCs (**Figure 5**).

26 TGF $\beta$ R1 has been described as a target of the Dishevelled Antagonist of  $\beta$ -Catenin 2  
27 (DACT2), which is located in late endosomes and induces TGF $\beta$ R1 lysosomal degradation  
28 (Zhang et al., 2004). Through western blot analysis, we found that the expression of DACT2  
29 (isoform: ENSP00000476434, Q5SW24; 40 kDa) was significantly increased in PCSK9-KO  
30 hiPSCs as well as in R104C/V114A hiPSCs when compared to controls (**Figure 6A & B**). In  
31 contrast, we did not observe changes in DACT2 protein expression in PCSK9-FULL hiPSCs  
32 (**Figure 6C**). In order to verify whether PCSK9 could interact intracellularly with DACT2 in  
33 hiPSCs we generated several hiPSC lines expressing either: i) the V5-tagged-PCSK9-full-  
34 protein (FL-PCSK9 hiPSCs), ii) the V5-tagged-PCSK9-protein lacking the prodomain and

1 catalytic domain (CHRD hiPSCs) or iii) the V5-tagged-PCSK9-protein lacking (L455X  
2 hiPSCs) (**Figure 7A**). Upon V5-mediated PCSK9 pull-down, we found that the DACT2  
3 40kDa isoform was co-immunoprecipitated with V5-PCSK9 in FL-PCSK9 hiPSCs (**Figure**  
4 **7B**). Moreover, while DACT2 was also detected in CHRD hiPSCs, it was lost with the  
5 L455X-construct, suggesting that PCSK9 interacts with DACT2 *via* its CHRD domain.

6 Taken together, these data suggest that intra-cellular PCSK9 may modulate TGF $\beta$ R1  
7 signaling pathway by regulating DACT2 expression.

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## 1 **DISCUSSION**

2           In the present study, we report for the first time that PCSK9 is expressed at a high  
3 level in hiPSCs. While PCSK9 expression is maximal in the undifferentiated state, it  
4 decreases during the hepatic differentiation program before a final rebound in the late HLCs  
5 differentiation steps. From a functional point of view, we showed that PCSK9 controls the  
6 cellular proliferation of hiPSCs. Regarding the molecular mechanisms, we demonstrated that  
7 PCSK9 interferes with the NODAL/SMAD2 signaling pathway at least by interacting with  
8 DACT2, an inhibitor of TGF $\beta$ R1. In our working model, PCSK9 leads to a degradation of  
9 DACT2, thereby stimulating the NODAL pathway and the cellular proliferation of hiPSCs.

10

11           Importantly, our work opens novel perspectives in the field of PCSK9 biology by  
12 identifying: i) a novel cellular environment for PCSK9 action, which is not restricted to  
13 mature hepatocytes; ii) a novel function for PCSK9 - the regulation of hiPSCs proliferation  
14 which is independent of the LDLR pathway; and iii) a potential novel PCSK9 binding partner  
15 – DACT2.

16

17           While PCSK9 is mainly expressed in mature hepatocytes, it is expressed in many  
18 tissues and cells (Cariou et al., 2015; Seidah et al., 2003). It should be reminded here that  
19 PCSK9 was initially cloned in primary cerebellar neurons under apoptotic stimulus (Seidah et  
20 al., 2003). In order to gain insights on the potential new functions of PCSK9 in hiPSCs, we  
21 performed unbiased transcriptomic approaches after manipulating PCSK9 gene expression.  
22 Interestingly, the NODAL pathway (*i.e* NODAL itself and its target genes such as LEFTY1  
23 and 2) appears significantly downregulated by silencing of PCSK9. We further confirmed that  
24 manipulating PCSK9 expression consistently affects SMAD2 phosphorylation status, that we  
25 used as a readout of the NODAL signaling pathway. Of functional relevance, we  
26 demonstrated by using complementary models (PCSK9 KO and PCSK9 R104C/V114A LOF  
27 mutant) that PCSK9 deficiency in hiPSCs is associated with a reduced cell proliferation.  
28 Conversely, PCSK9 overexpression in hiPSCs led to both increased NODAL signaling and  
29 cell proliferation.

30

31           From a mechanistic point of view, we first assessed whether PCSK9 interfere with  
32 NODAL signaling in an intra-cellular or extra-cellular manner. Our data indicate that 24  
33 hours exposure to extracellular recombinant PCSK9 has no effect on SMAD2  
34 phosphorylation, suggesting that PCSK9 may act on TGF $\beta$ R1 and its downstream signaling  
pathway mostly through an intracellular effect. In addition, we showed that the addition of

1 recombinant NODAL did not restore SMAD2 phosphorylation in PCSK9-deficient hiPSCs.  
2 Although we cannot exclude the hypothesis that PCSK9 is necessary for the signaling action  
3 of NODAL *per se*, our data suggest that PCSK9 instead interfere with the abundance of  
4 TGF $\beta$ R1 at the cell surface. The decreased TGF $\beta$ R1 expression observed in the context of  
5 PCSK9 deficiency could explain the reduced NODAL signaling and its resistance to  
6 recombinant NODAL treatment.

7         Therefore, we sought for intracellular regulator of TGF $\beta$ R1. The disheveled  
8 antagonist of  $\beta$ -catenin (DACT) family has been described as scaffold proteins involved in  
9 cell signaling regulation (Cheyette et al., 2002; Su et al., 2007; Zhang et al., 2004). While  
10 DACT1 mostly regulate the Wnt signaling pathways through disheveled lysosomal  
11 degradation (Cheyette et al., 2002; Su et al., 2007; Zhang et al., 2004), DACT2 has been  
12 described as an inhibitor of the NODAL receptor in zebrafish (Zhang et al., 2004) by binding  
13 and targeting it to lysosomal degradation. Su et al. have verified this mechanism in  
14 mammalian cells (Su et al., 2007).

15         Based on these observations, we considered DACT2 as a potential target of PCSK9  
16 and studied its content at the protein level in our different hiPS cell lines. Importantly, we  
17 confirmed that the silencing or inhibition of PCSK9 is associated with an increased DACT2  
18 protein content, which is in line with the reduced TGF $\beta$ R1 expression at the plasma  
19 membrane. Although PCSK9 overexpression induced SMAD2 phosphorylation and in a  
20 lesser extent cell proliferation, we failed to detect a significant decrease in DACT2 and  
21 subsequent increase in TGF $\beta$ R1 expression. The reason for this discrepancy remains unclear  
22 but can be due to non-physiological stoichiometric changes related to massive PCSK9  
23 overexpression.

24         Finally, to highlight the potential interaction between PCSK9 and DACT2 we  
25 conducted several co-immunoprecipitation experiments and were able to co-  
26 immunoprecipitate PCSK9 and DACT2 in undifferentiated hiPSCs. Using truncated forms of  
27 PCSK9 stably overexpressed in hiPSCs, we showed that the Cys-His-rich domain (CHRD)  
28 was mainly involved in this interaction. The same domain is involved in the interaction  
29 between PCSK9 and the LDLR before their trafficking to the lysosomal pathway (Nassoury et  
30 al., 2007). In the light of our results, additional experiments remain to be conducted in order  
31 to establish whether this interaction is direct or indirect.

32         Our findings open new interesting perspectives for PCSK9 biology in at least two  
33 fields of research: development and cancer.

1 NODAL is involved in embryonic stem (ES) cells pluripotency maintenance in mouse  
2 and human (Vallier et al., 2009) and crucial for their differentiation (Chng et al., 2010). It is  
3 therefore conceivable that PCSK9 contributes to pluripotent stem cell regulatory mechanisms  
4 by stimulating the NODAL pathway. Of note, the NODAL function on ES cells seems to be  
5 species-specific, with no similar effect in mouse compared to human ES cells (James et al.,  
6 2005). This observation can be related to the absence of developmental effect of *Pcsk9*  
7 deficiency in mice (Rashid et al., 2005). In contrast, there are several arguments for a  
8 potential role for PCSK9 in human development. Firstly, it is intriguing that only very few  
9 patients were described with LOF mutations in both alleles of *PCSK9* and virtually none with  
10 a complete PCSK9 deficiency have been identified world-wide (Cariou et al., 2009; Zhao et  
11 al., 2006). Secondly, PCSK9 has been suggested to be potentially involved in the  
12 pathogenesis of neural tube defects (NTDs), due to an association between maternal plasma  
13 PCSK9 levels and fetal NTDs risk (An et al., 2015). This observation is reinforced by the  
14 findings that NODAL and DACT2 have been shown to be involved in the control of  
15 neurulation (Gonsar et al., 2016) and the delamination of neural crest cells (Rabadán et al.,  
16 2016) respectively.

17 Although the NODAL pathway is almost not operational after the period of embryonic  
18 development, several studies have highlighted an upregulation of NODAL activity in many  
19 human cancers. For instance, increased expression of NODAL has been shown to be  
20 correlated with disease progression in malignant melanoma (Topczewska et al., 2006). It is  
21 intriguing to note that PCSK9 deficiency reduces melanoma metastasis in mouse livers (Sun  
22 et al., 2012). While one mechanistic hypothesis for the link between PCSK9 and cancer  
23 progression is the modulation of cholesterol supply to the tumor (Huang et al., 2016), a recent  
24 paper described PCSK9 as a regulator of the major histocompatibility protein class I (MHCI)  
25 recycling by promoting its relocation and degradation in the lysosome (Liu et al., 2020). This  
26 result identified PCSK9 as a promising strategy in cancer immunotherapy (Almeida et al.,  
27 2021). Our data suggest that PCSK9 may directly regulates cell proliferation, potentially  
28 through a modulation of NODAL signaling. Thus, it would be interesting to assess whether  
29 PCSK9 can also regulate NODAL pathway and cell proliferation in the context of cancer.

30

31 Our study has several limitations that should be highlighted. As discussed earlier, the  
32 PCSK9 overexpression model does not perfectly mirror the abnormalities observed in the  
33 PCSK9 deficiency models, notably regarding the regulation of DACT2 and TGFBR1. Direct  
34 evidence that PCSK9 regulates cell proliferation exclusively in a NODAL-dependent manner

1 is missing. The co-immunoprecipitation experiments with DACT2 were performed with  
2 overexpressed PCSK9-V5 constructs and not with endogenous PCSK9. Finally, some hiPSCs  
3 lines carry a CNV in the 20<sup>th</sup> chromosome. Noticeably, all the target genes analyzed in the  
4 present study are not located in this genomic area, especially those of the NODAL signaling  
5 pathway.

6  
7         In conclusion, our study highlights a new function for PCSK9 in hiPSCs proliferation  
8 potentially through the modulation of the NODAL signaling pathway. These findings open up  
9 new perspectives on the potential link between PCSK9 and embryonic development and/or  
10 cancer progression or development. Since there are currently some reflections about the  
11 potency of therapeutic PCSK9 inhibition using genomic editing approaches (Musunuru et al.,  
12 2021), it is critical to have a clear understanding of PCSK9 biology to avoid potential long-  
13 term side effects.

## 1 **EXPERIMENTAL PROCEDURES**

### 2 **Ethic statement**

3 The study was conducted in compliance with current Good Clinical Practice standards and in  
4 accordance with the principles set forth under the Declaration of Helsinki (1989). Each  
5 subject entering the study agreed to and signed an institutional review board-approved  
6 statement of informed consent for the collection of urine samples (authorization number from  
7 the French Ministry of Health: DC-2011-1399).

### 8 **Human iPSCs culture and differentiation**

#### 9 *hiPSCs culture.*

10 Reprogramming and characterization of the hiPSC lines were described in previous  
11 publications (Si-Tayeb et al., 2010, 2016), see **Supplemental Table 1** for further information  
12 on cell lines. HiPSCs were cultured on plates coated with Matrigel (Corning; 0.05 mg/ml) in  
13 StemMACS iPS-Brew medium (Miltenyi) and passages were performed using the Gentle Cell  
14 Dissociation Buffer (Stem Cell Technologies). Genomic integrity of hiPSC lines was tested  
15 using digital PCR of copy number variants (CNVs) of the main human recurrent genomic  
16 abnormalities (Stemgenomics, Montpellier, France), see **supplemental Table 1** and  
17 supplemental data for CNVs reports. Briefly, all cell lines tested had a good quality control  
18 with no CNVs measured except for the PCSK9-FULL overexpression cell line and both K3  
19 sh-control and sh-PCSK9 which carry a gain in the 20<sup>th</sup> chromosome.

#### 20 *hiPSCs differentiation into HLCs*

21 hiPSCs-control were differentiated into HLCs as previously described (Si-Tayeb et al., 2016)  
22 in triplicates. Briefly, once cells reach ~70-80% confluency, hiPSCs were cultured in RPMI  
23 1640 medium (Life Technologies) supplemented with B27 (with insulin) (Life Technologies),  
24 Activin A 100 ng/ml (Miltenyi), FGF2 20 ng/ml (Miltenyi) and BMP4 10 ng/ml (Miltenyi)  
25 for 2 days in normoxia (20% O<sub>2</sub>, 5% CO<sub>2</sub>), then switched to RPMI 1640 with Activin A 100  
26 ng/ml for three days to induce definitive endoderm cells (DE). DE cells were further  
27 differentiated into hepatic progenitor cells for 5 days in RPMI supplemented with BMP4 20  
28 ng/ml and FGF2 10 ng/ml in hypoxia (4% O<sub>2</sub>, 5% CO<sub>2</sub>). Then cells were cultured for 5 days  
29 into immature hepatocytes in RPMI 1640 supplemented with HGF 20 ng/ml (Miltenyi) in  
30 hypoxia (4% O<sub>2</sub>, 5% CO<sub>2</sub>). Then, cells were directed into mature hepatocytes being cultured  
31 in hepatocyte culture medium (HCM) (Lonza) supplemented with Oncostatin M 20 ng/ml  
32 (Miltenyi) for additional 5-6 days in normoxia (20% O<sub>2</sub>, 5% CO<sub>2</sub>). RNA samples were  
33 collected at every day of the differentiation (day 0 to day 20) and processed further for  
34 Quantitative Polymerase Chain Reaction (qPCR) (detailed explanation is shown in the gene

1 expression analysis). 24h conditioned medium was collected, centrifuged then kept for further  
2 secretion study using an ELISA assay kit.

### 3 *Recombinant proteins and chemical treatments*

4 Undifferentiated hiPSCs were treated for 2 hours with recombinant Nodal (R&D, 3218-ND-  
5 025) at concentrations ranging from 10 to 200ng/mL in StemMACS iPS-Brew medium  
6 without supplements (Miltenyi).

7 Undifferentiated hiPSCs were treated for 24 hours with the wild type PCSK9 recombinant  
8 protein (rPCSK9-WT; Circulex, CY-R2330) or the gain of function D374Y-PCSK9  
9 recombinant protein (rPCSK9 D374Y (Circulex, CY-R2311) at concentration of 600ng/mL in  
10 the routinely used hiPSC culture medium, for each recombinant protein.

### 11 **Transcriptomic analysis**

12 RNA samples were prepared and hybridized on Agilent Human Gene Expression 8×60 K  
13 microarrays (Agilent Technologies, part number: G4851A). Normalization procedures were  
14 performed using R statistical software (<http://www.r-project.org>). The raw signals of all  
15 probes for all the arrays were normalized against a virtual median array (median raw intensity  
16 per row) using a local weighted scattered plot smoother analysis (LOWESS). The data were  
17 filtered to remove probes with low intensity values. This filtering is performed by sample  
18 category in order to keep the signature of categories with a small sample size. A hierarchical  
19 clustering was computed on median-gene-centered and log-transformed data using average  
20 linkage and uncentered correlation distances with the Cluster program. We ran a Gene  
21 Ontology analysis on differentially expressed genes in order to identify biological processes  
22 overrepresented using the PANTHER Overrepresentation test (PANTHER version 16.0 -  
23 Released 20210224) (Mi et al., 2021).

### 24 **Gene expression analysis**

25 RNA samples were isolated using the RNeasy Mini Kit (Qiagen). Reverse transcription of  
26 1µg of RNA into cDNA was conducted using the high-capacity cDNA reverse-transcription  
27 kit (Applied Biosystems). Conditions were as follows: 10 min at 25°C, and then 2 hours at  
28 37°C. Quantitative Polymerase Chain Reaction (qPCR) studies were conducted in triplicate  
29 using the brilliant III Ultra-Fast Master Mix with high ROX (Agilent). Primers sequences are  
30 listed in *supplemental Table 4*. Each qPCR included 2 s at 50°C, 10 s at 95°C followed by 40  
31 cycles of 15 s at 95°C, and 60 s at 60°C. Cycle threshold was calculated by using default  
32 settings for the real time sequence detection software (Applied Biosystems).

### 33 **Protein expression analysis**

1 hiPSCs were lysed in modified RIPA buffer composed of 150 mM NaCl, 50 mM Tris HCl pH  
2 8, 1% NP-40 (Nonidet P-40) and 0,1% SDS at pH 7.4 and containing a cocktail of protease  
3 inhibitors (Sigma Aldrich) and phosphatase inhibitors (Sigma Aldrich). Total cell lysates were  
4 then passed 10 times through a fine gauge needle followed by sonication (5 pulses for 5 sec  
5 each). A protein assay was then carried out against a range of standard bovine serum albumin  
6 (BSA) using Pierce™ BCA Protein Assay Kit. The lysates were denatured for 10 min at 70  
7 °C in a mixture of NuPAGE® Sample Reducing Agent (10X) that contains dithiothreitol  
8 (DTT) (500 mM) and NuPAGE® LDS Sample Buffer (4X) containing 2% LDS (lithium  
9 dodecyl sulfate), 10% glycerol, 141 mM Tris Base, 106 mM Tris HCl, 0.51 mM EDTA, 0.51  
10 mM EDTA, 0.175 mM Phenol Red and pH 8.5. 25 micrograms of each sample were loaded  
11 onto a 10% polyacrylamide gel or onto a Bis-Tris NuPAGE™ Novex™ 4 to 12 %  
12 (Invitrogen) and the proteins were separated by electrophoresis in presence of SDS. After  
13 migration, the proteins are transferred to nitrocellulose membrane (Bio-Rad) using Trans-Blot  
14 Turbo Transfer System (Bio-Rad). Revelation and quantification were done by Image Lab  
15 software (Bio-Rad). The membrane was saturated for one hour in TBS-T buffer (10 mM Tris,  
16 NaCl 0.5 mM and 0.1% Tween-20) containing 5% skimmed milk lyophilized. The membrane  
17 was then incubated with primary antibody overnight at 4°C in TBS-T milk. Horseradish  
18 peroxidase (HRP)-conjugated secondary antibody staining was performed for 1 h at room  
19 temperature (RT) in TBS-T milk. Protein bands were detected using ECL detection system  
20 (Bio-Rad) and ECL Clarity max (Ref) when required. Antibody references and dilutions are  
21 listed in **supplemental Table 5**.

## 22 **PCSK9 ELISA assay**

23 PCSK9 levels in conditioned medium were assayed in duplicates using a commercially  
24 available quantitative sandwich ELISA assay following the manufacturer's instructions  
25 (Circulex CY-8079, CycLex Co.).

## 26 **PCSK9 silencing in hiPSCs**

27 PCSK9 gene expression has been silenced upon lentiviral transduction of specific shRNA  
28 (Sigma). The clone TRCN0000075236 cloned into the pLKO.1-Puro vector has been used to  
29 target PCSK9 while an unspecific shRNA sequence has been used as control. Upon  
30 transduction, K3 hiPSCs (Si-Tayeb et al., 2010) were subjected to Puromycin (TOCRIS  
31 Bioscience 4089/50) selection using a concentration up to 8µg/ml.

32 PCSK9 knockout in control hiPS cells was generated using the Alt-R™ CRISPR-Cas9  
33 System (Integrated DNA Technologies) targeting the exon 7 of PCSK9 at both alleles. Briefly  
34 gRNA CCAGCGACTGCAGCACCTGC was first duplexed with the Alt-R® CRISPR-Cas9

1 tracrRNA, then complexed to the Alt-R® S.p. Cas9 Nuclease according to IDT  
2 recommendations. The complex was delivered into control hiPSCs previously generated (Si-  
3 Tayeb et al., 2016) using Amaxa nucleofection (Lonza) and hiPSCs were cultured on mouse  
4 embryonic fibroblasts (MEFs) in hiPSCs medium composed of DMEM/F12 (Life  
5 Technologies) supplemented with 20% Knockout Serum Replacer (Life Technologies), 0.5%  
6 L-Glutamine (Life Technologies) with 0.14%  $\beta$ -mercaptoethanol (Sigma), 1% NEAA and 5  
7 ng/ml fibroblast growth factor 2 (FGF2, Miltenyi) in hypoxia (4% O<sub>2</sub>, 5% CO<sub>2</sub>). Colonies  
8 were manually picked from MEFs for cloning strategy then cultured in 96 wells plates under  
9 feeder free culture conditions as described earlier. Genotyping of engineered nuclease-  
10 induced mutations was performed using T7 endonuclease assay (NEB) followed by PCR  
11 sequencing.

### 12 **Plasmid constructs and hiPS cell lines selection**

13 The TGF- $\beta$  sensitive promoter upstream the luciferase coding sequence or the sequence  
14 coding for FL-PCSK9, L455X and CHR1D were inserted in the AAVS1 site located in  
15 chromosome 19 of hiPSCs control and in hiPSCs carrying the *PCSK9* R104C/V114A LOF  
16 variant for the luciferase construct only. Briefly, the luciferase construct has been removed  
17 from the 3TP-lux plasmid (Addgene #11767) while sequence of FL-PCSK9, L455X and  
18 CHR1D were removed from the p-IRES2-EGFP plasmids kindly given by Prof Nabil Seidah's  
19 laboratory (Nassoury et al., 2007). Removed sequences were sub-cloned in the AAVS1-  
20 hPGKPURO-PA donor (Addgene #22072). Specific AAVS1 insertion has been performed upon  
21 nucleofection (Amaxa, Lonza) of the new construct together with plasmids encoding for  
22 hAAVS1 1R TALEN (Addgene #35432) and hAAVS1 1L TALEN (Addgene #35431)  
23 homologous sequences as previously described (Hockemeyer et al., 2011). Thereafter, hiPSCs  
24 were selected with Puromycin (TOCRIS Bioscience 4089/50; up to 8 $\mu$ g/ml) and correct  
25 construction insertion has been verified by PCR sequencing

### 26 **Co-Immunoprecipitation of V5-PCSK9 constructs**

27 The co-Immunoprecipitation (co-IP) was carried out using the magnetic Dynabeads® Protein  
28 G immunoprecipitation kit (Life technologies). 50  $\mu$ l of Dynabeads were conjugated with 2  
29  $\mu$ g of V5 antibody (sc-81594) or IgG control (sc-3877) and incubated at RT for 10 min with  
30 rotation. The beads were washed three times in 200  $\mu$ l lysis buffer and then incubated with  
31 500  $\mu$ g of total lysates at +4 °C for 2 hours with rotation. Supernatant was then discarded and  
32 the beads were washed three times in 200  $\mu$ l lysis buffer + Tween 0.05% at +4 °C. Beads-  
33 protein complexes are then heated for 10 min at 70 °C in 30  $\mu$ l of a mixture of the NuPAGE®  
34 Sample Reducing Agent (10X) and LDS Sample Buffer (4X). The samples were placed on

1 the magnet and the attached protein/protein complexes were collected and loaded onto a Bis-  
2 Tris NuPAGE™ Novex™ 4 to 12 % (Invitrogen). 25 µg of protein were used as an input.

### 3 **Biotinylation assay**

4 Cells were washed 2 times with 2 mL ice-cold 1X PBS with calcium and magnesium (Sigma-  
5 Aldrich, D8662). Cells were incubated with 1 ml previously dissolve Sulfo-NHS-SS-biotin  
6 (Pierce, #21331) in iPS Brew Media (Miltenyi, 130-104-368) to final concentration 0.5mg/ml  
7 and agitate 30 min in ice. Biotin solution was discarded and cells were washed 4 times with 2  
8 ml Tris-saline solution (10mM Tris-HCl, pH 7.5, 120mM NaCl). 150 µl of lysis buffer were  
9 added on cells, scrape, triturate, rotate for 15 min at 4°C and centrifuge 3000 rpm for 10 min  
10 at +4°C. Biotinylated proteins were added (1mg) to 100µl Immobilized NeutrAvidin beads  
11 (Pierce, #29200) previously wash 2 times with lysis buffer. Incubate with rotation for 2 hours  
12 at +4°C. Beads were washed 3 times with 1ml lysis buffer (centrifugation 2500 rpm for  
13 30sec) and were eluted with 70 µl 1X SDS sample buffer (Invitrogen, NP0007) + 100 mM  
14 DTT at 50°C for 30 min.

### 15 **Luciferase assay**

16 Basal luciferase activity was detected with the Luciferase Assay System (Promega) by  
17 following the manufacturer's instructions. Briefly, 106 cells were plated per well of a 6-well-  
18 plate, and cultured overnight at 37°C, 5% CO<sub>2</sub>, 4% O<sub>2</sub>. Cells were then washed twice and  
19 incubated with a specific lysis buffer before freezed at -20 °C for 30 min. Once thawed, the  
20 cell lysate was centrifuged and the luciferase assay was performed on the supernatant.  
21 Luminescence at 10sec was measured using Perkin Elmer VICTOR™ X3 Multilabel Plate  
22 Reader.

### 23 **Proliferation assay**

24 MTT (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) tetrazolium assay was  
25 used to assess the proliferation of hiPSCs. Briefly, hiPSCs were plated onto 96-well-plates  
26 previously coated with Matrigel 0.05 mg/ml in quintuplicates at 2,500 cells/well with ROCK  
27 inhibitor (0.01M, CellGuidance). The day after the passage and the third day, media was  
28 changed and supplemented with puromycin for the shRNA-expressing cells (TOCRIS  
29 Bioscience, 8 µg/ml). Cells were then incubated for 3h at 37°C with MTT solution (Sigma  
30 Aldrich M5655) at 0,8mg/mL in culture medium. The resulting purple-colored formazan  
31 crystals were then solubilized using DMSO. Finally, the absorbance was read at 540 nm using  
32 Perkin Elmer VICTOR™ X3 Multilabel Plate Reader or VARIOSKAN LUX  
33 (Thermoscientific). The proliferation rate was monitored over 24hrs, 48h, 72h and 96h.

### 34 **Statistical analysis**

1 Data are expressed as mean  $\pm$ s.e.m. Significant differences between mean values were  
2 determined with the Mann–Whitney U-test for comparison of two groups or paired Student’s  
3 t-test if appropriate. For the cluster approach, genes belonging to the same biological function  
4 or cell type are known to exhibit correlated expression. We use hierarchical clustering to  
5 detect groups of correlated genes supported by a statistical method (limma) to detect  
6 differential expression among biological conditions.

7

#### 8 **DATA AND CODE AVAILABILITY**

9

10 Metadata, raw and normalized data have been deposited in the Gene Expression Omnibus  
11 database (GSE181610, <https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE181610>).

12

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13

14 **AUTHOR CONTRIBUTIONS**

15 M.R., S.I., A.C., B.C., and K.S-T. designed the experiments. M.R., S.I., A.C., A.G., B.C.,  
16 A.D., A.L., L.A., M.P., A.P. and N.G.S. performed the experiments. M.R., S.I, A.C., A.G,  
17 A.R, B.C., K.S-T. analyzed the data. M.R., S.I., A.C, A.G, C.L-M., K.Z., B.C. and K.S-T  
18 wrote the paper. A.R., X.P. C.L-M. contributed to the discussion. B.C. supported the work of  
19 M.R., A.C., A.G., B.C., A.D., A.L. and K.S-T. K.Z., supported the work of S.I. K.S-T  
20 conceived the project.

21

22 **DECLARATION OF INTERESTS**

23 B.C. has received research funding from Amgen, Pfizer and Sanofi and Regeneron  
24 Pharmaceuticals Inc outside of the present work; and has served on scientific advisory boards  
25 and received honoraria or consulting fees from Amgen, Regeneron and Sanofi. The other  
26 authors declare no conflict of interest.

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31

1 **FIGURE TITLES AND LEGENDS**

2

3 **Figure 1. PCSK9 is highly expressed in undifferentiated hiPSCs.** **A.** Daily PCSK9 mRNA  
4 expression throughout hiPSC differentiation into HLCs (n=3 independent differentiations). **B.**  
5 Left Panel, PCSK9 protein expression quantification by western blot analysis in hiPSCs and  
6 hepatic progenitor cell lysates (n=3 independent differentiations). Right panel, western blot  
7 quantifications upon  $\beta$ -actin normalization. **C.** Secreted PCSK9 protein quantification in cell  
8 culture supernatant by ELISA assay at day 0, 5, 10, 15 and 20 of HLCs differentiation (n=3  
9 independent differentiations), \*\* p value <0.01.

10

11 **Figure 2. PCSK9 inhibition impairs *NODAL* gene expression and hiPSCs proliferation.**  
12 **A.** Q-PCR analysis expression of *PCSK9*, *LDLR*, *NODAL*, *LEFTY1*, *NANOG*, *OCT3/4* and  
13 *SOX2* in hiPSCs expressing a control shRNA or directed against PCSK9 (n=3 different hiPSC  
14 passages). **B.** Cell growth of hiPSCs expressing a control shRNA compared to a shRNA  
15 directed against PCSK9 (n=4 independent experiments), \* p value <0.05.

16

17 **Figure 3. hiPSCs proliferation and SMAD2 phosphorylation status are modulated by**  
18 **PCSK9 inhibition or overexpression.** **A.** Left Panel, PCSK9 protein expression  
19 quantification by western blot analysis in hiPSCs knock out (n=9: 3 passages of 3 different  
20 hiPSC clones) and hiPSCs overexpressing PCSK9-FULL (n=3 different hiPSC passages).  
21 Right panel, western blot quantifications upon  $\alpha$ -tubulin normalization. **B.** Cell growth of  
22 hiPSCs control compared to a knocked out for PCSK9 and hiPSCs overexpressing PCSK9-  
23 FULL (respectively, n=4 and n=3 independent experiments). **C.** Left Panel, P-SMAD2 and  
24 total SMAD2 detection by western blot in hiPSCs knockout for PCSK9 (n=9: 3 passages of 3  
25 different hiPS clones) or overexpressing PCSK9-FULL (n=3 different passages) compared to  
26 hiPSCs control (n=3 different passages). Right panel, western blot quantification analyzed on  
27 the ratio P-SMAD2/total SMAD2/ $\alpha$ -tubulin), \* p value <0.05, \*\* p value <0.01.

28

29 **Figure 4. PCSK9 loss of function mutations R104C/V114A inhibit hiPS cell proliferation**  
30 **and decrease SMAD2 phosphorylation.** **A.** Western blot directed against PCSK9 and  $\beta$ -  
31 actin in hiPSCs control (n=3 different passages) and R104C/V114A (n=3 different passages)  
32 **B.** Cell growth of hiPSCs control compared to hiPSCs carrying the PCSK9-R104C/V114A  
33 mutations (n=4 independent experiments). **C.** Left Panel, P-SMAD2 and total SMAD2  
34 detection by western blot in hiPSCs control (n=3 different passages) and R104C/V114A (n=3

1 different passages). Right panel, western blot quantification analyzed on the ratio P-  
2 SMAD2/T-SMAD2/ $\alpha$ -tubulin. **D.** TGF $\beta$ -promoter activity detection by luciferase assay in  
3 control hiPSCs (n=3 different passages) and hiPSCs carrying the R104C/V114A mutations  
4 (n=3 different passages). \* p value <0.05, \*\*\* p value <0.001.

5

6 **Figure 5. PCSK9 regulates the abundance of the TGF $\beta$ R1 at the cell membrane. A.**

7 Protein detection by western blot of the transferrin receptor, the TGF $\beta$ -R1 receptor and  
8 GAPDH in hiPSCs total lysate (left panel) or at the cell membrane upon biotinylation assay  
9 (middle panel) quantified as a ratio of TGF $\beta$ -R1/Transferrin receptor (right panel). \* p value <  
10 0,05

11

12 **Figure 6. PCSK9 is acting intracellularly through DACT2. A.** Left panel, DACT2

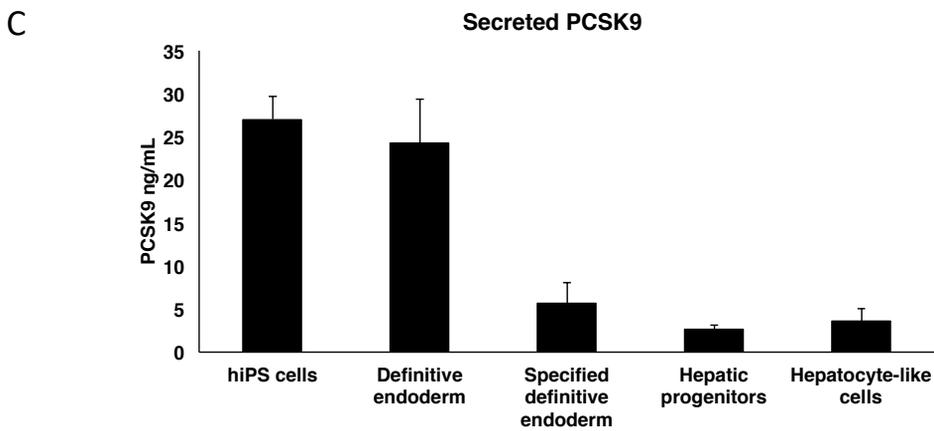
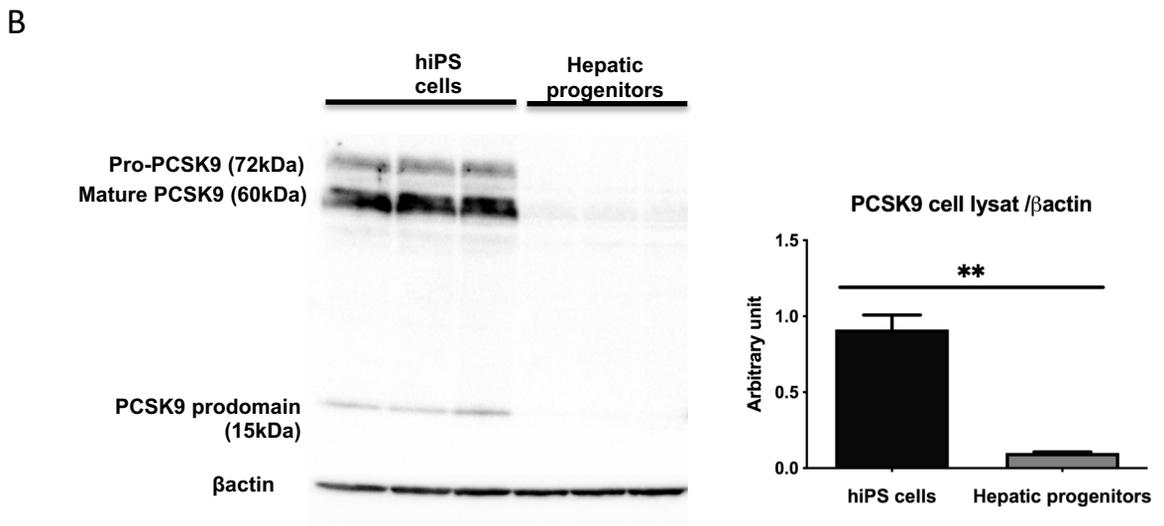
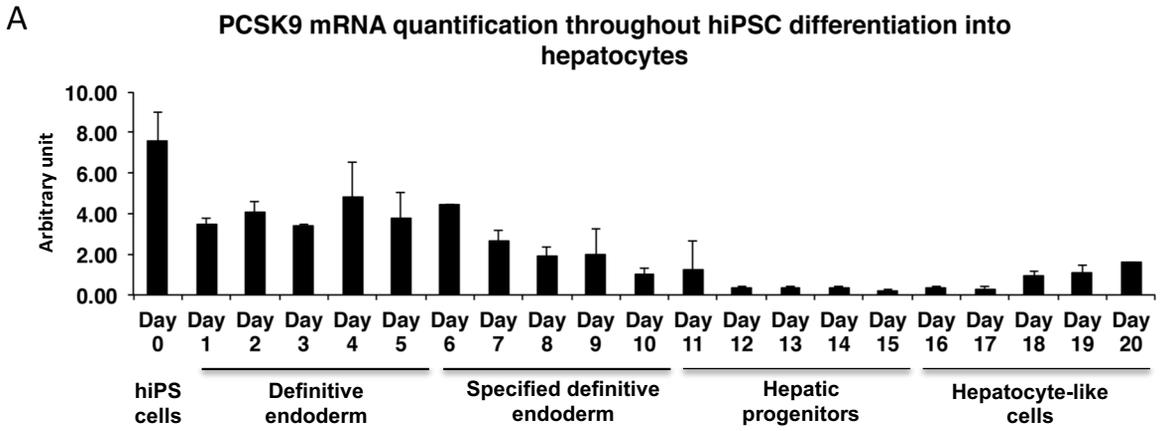
13 detection by western blot in control (n=3 different passages), PCSK9-KO (n=9, 3 passages of  
14 3 different hiPSC clones) hiPS cell lines. Right panel, western blot quantifications upon  $\alpha$ -  
15 tubulin normalization; **B.** Left panel, DACT2 detection by western blot in control (n=3  
16 different passages) and PCSK9-R104C/V114A (n=3 different passages) hiPS cell lines. Right  
17 panel, western blot quantifications upon  $\alpha$ -tubulin normalization; **C.** Left panel, DACT2  
18 detection by western blot in control (n=3 different passages) and PCSK9-FULL  
19 overexpression (n=3 different passages) hiPS cell lines. Right panel, western blot  
20 quantifications upon  $\alpha$ -tubulin normalization; \* p value <0.05, ns: non-significant.

21

22 **Figure 7. PCSK9 is interacting with DACT2 through its CHR domain. A.** Diagram

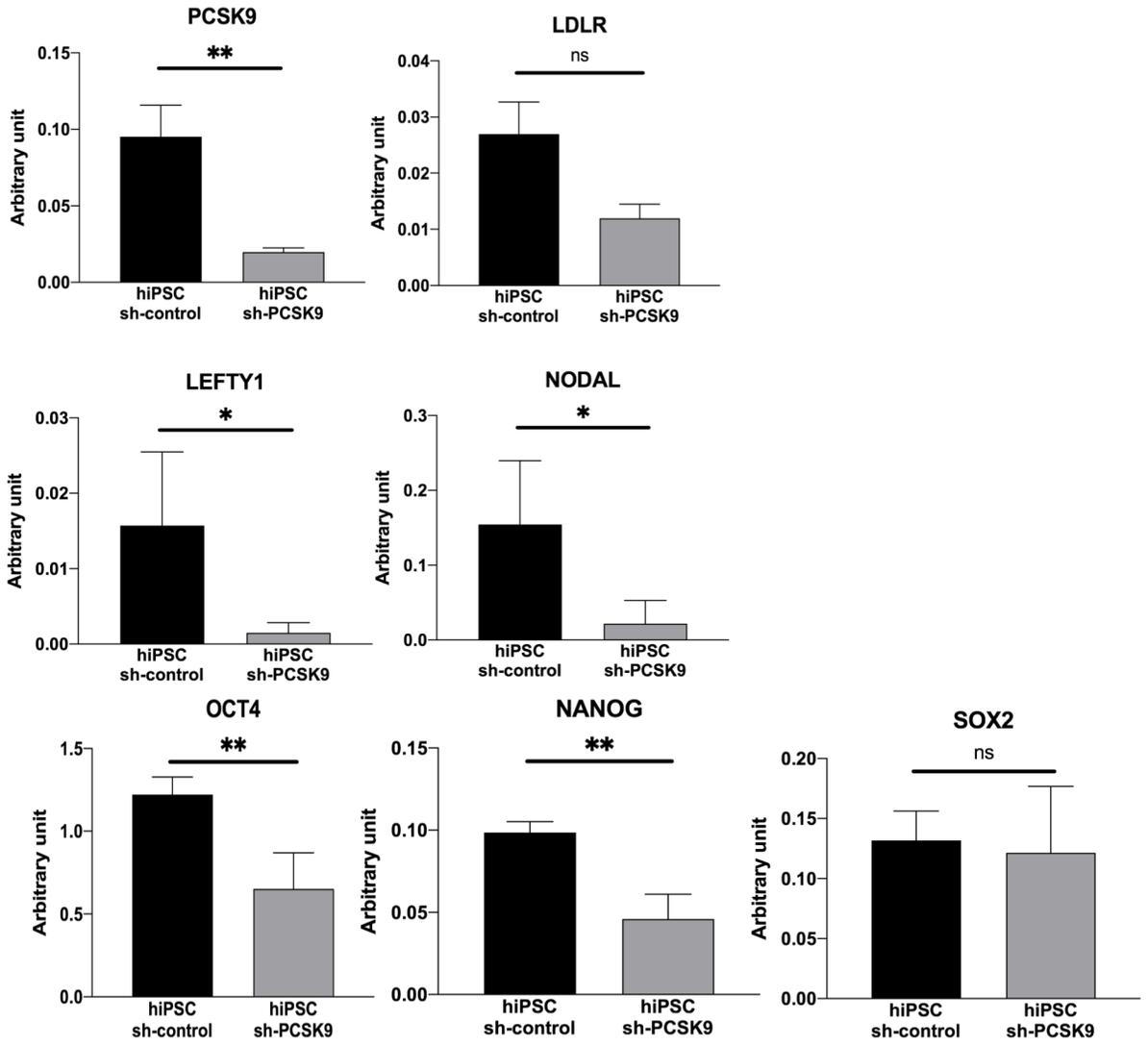
23 picturing the different V5-PCSK9 constructs tested. **B.** V5-PCSK9 and DACT2 detection by  
24 western blot upon V5 co-immunoprecipitation in cellular lysate of hiPS cells expressing the  
25 FULL-PCSK9, L455X or CHR domain construct. Immunoglobulin (Ig) was used as a negative  
26 control while V5 directed antibody was to pull down FULL-PCSK9, L455X or CHR.

27



**Figure 1**

A



B

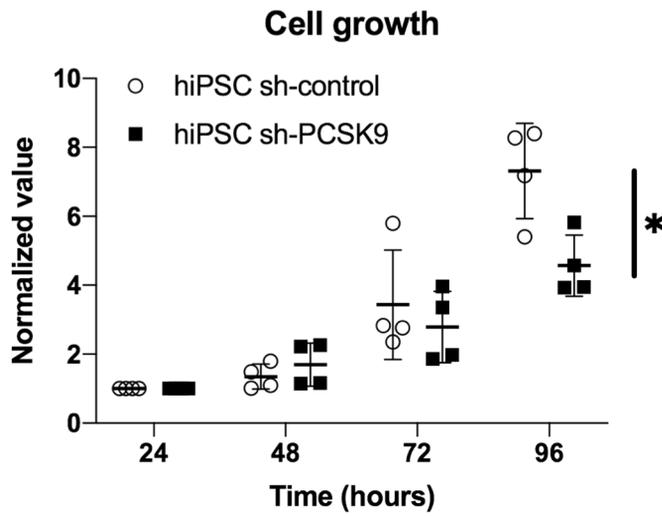
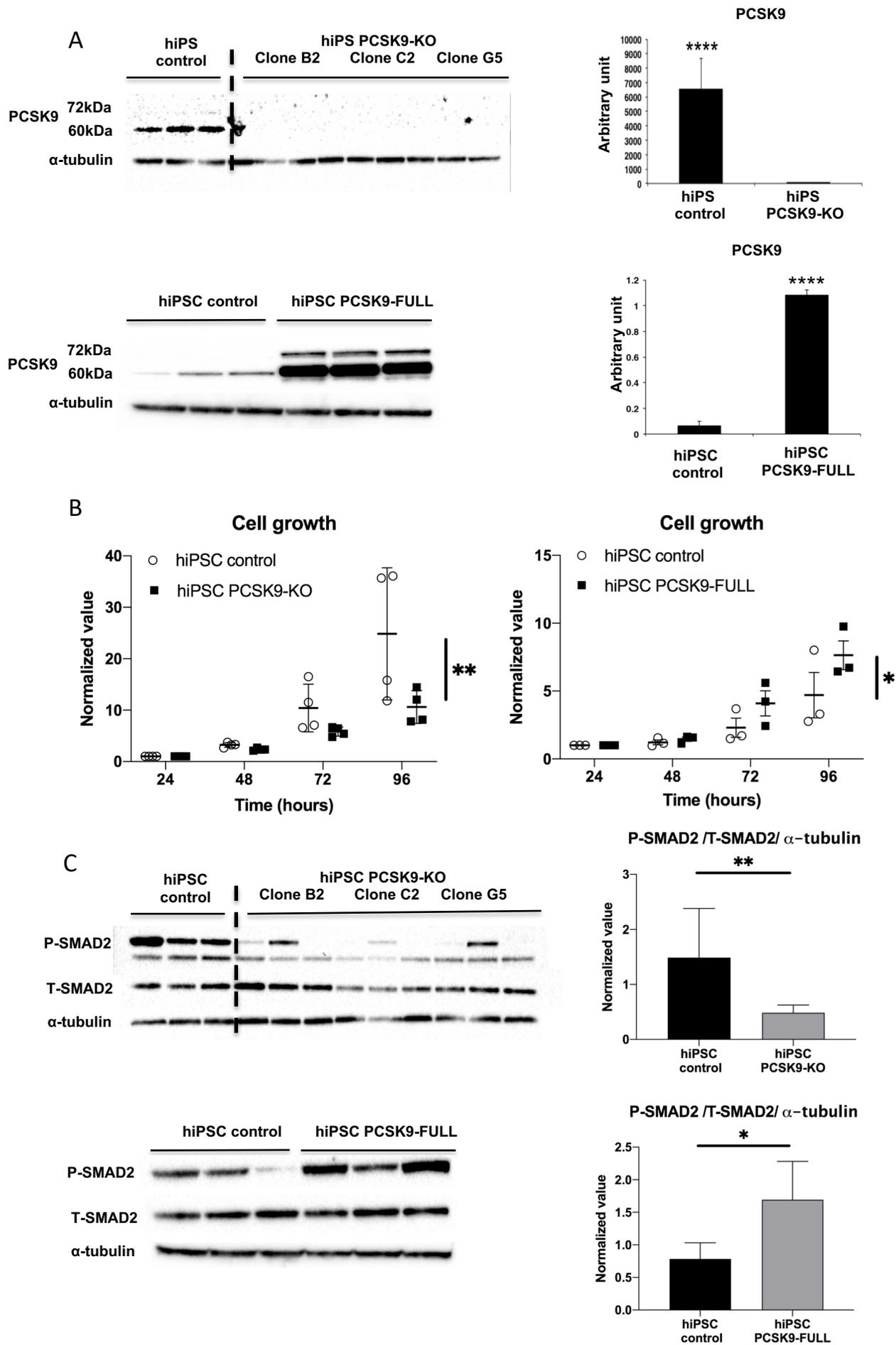
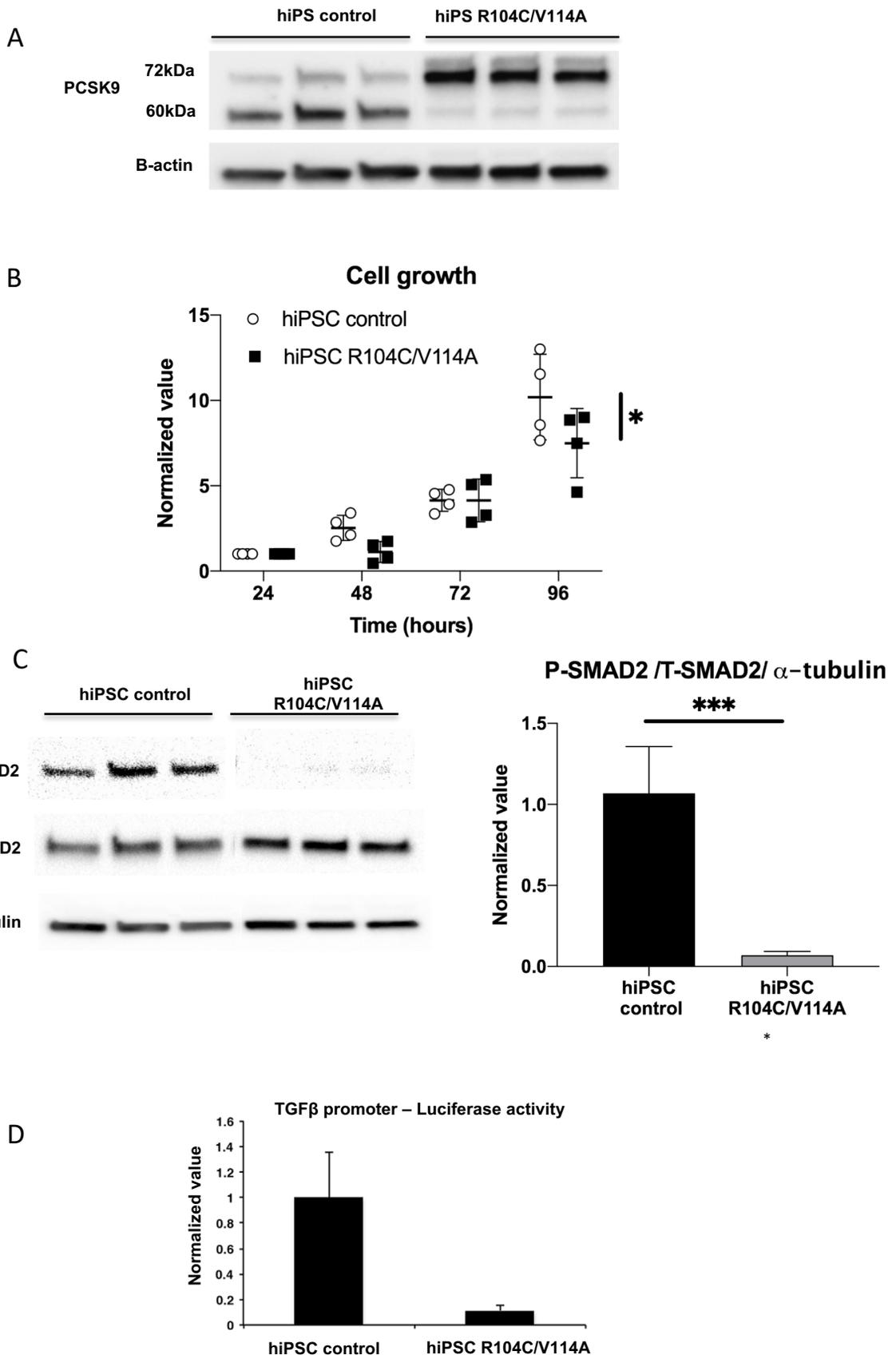


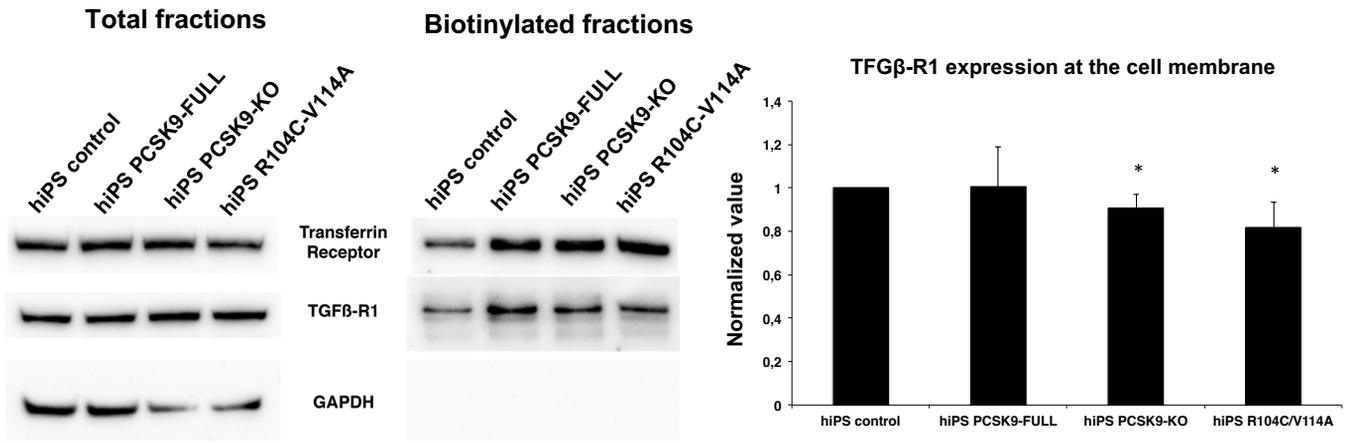
Figure 2



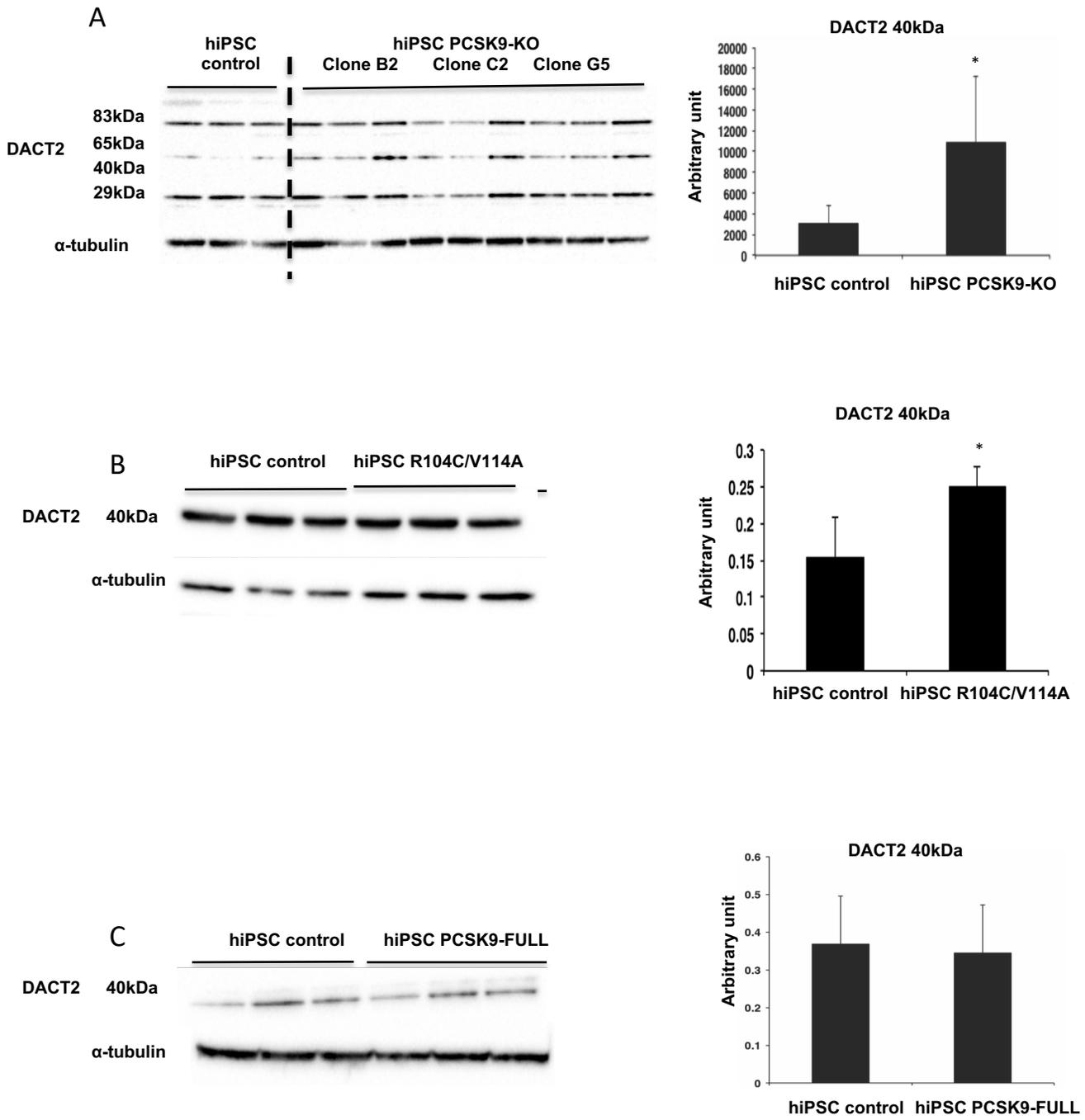
**Figure 3**



**Figure 4**

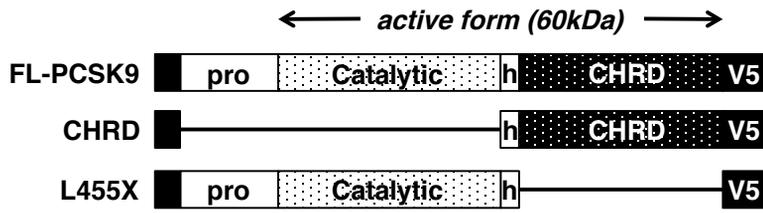


**Figure 5**



**Figure 6**

A



B

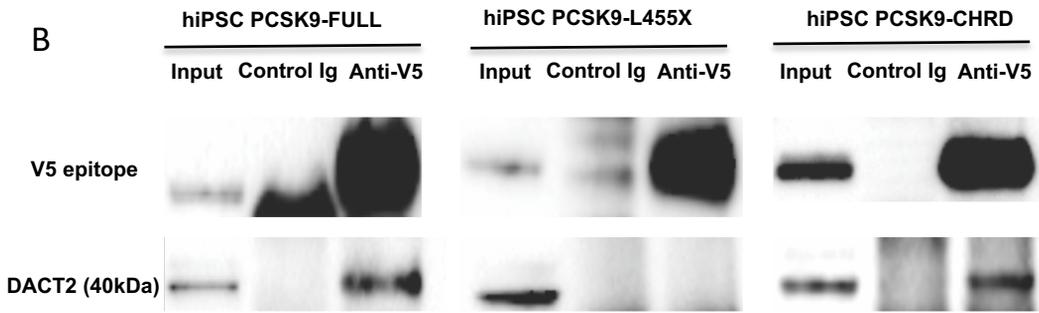


Figure 7