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1	Clash of the titans: interferons and SARS-CoV-2
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27 Interferons are our first line of defense against invading viruses. However, viruses encode

28 effector proteins that can modulate human interferon responses. In this forum article, we

29 highlight important discoveries and discuss outstanding questions that will enable us to better

30 understand the nuances of this evolutionary battle between interferons and SARS-CoV-2.

31 Keywords

32 SARS-CoV-2, innate immunity, interferon, virus-host interaction, interferon stimulated genes

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Disease severity in coronavirus disease (COVID-19) patients is driven by a dysregulated
immune response, which includes delayed induction of antiviral interferon (IFN) responses,
along with exaggerated pro-inflammatory responses [1]. Despite increasing number of studies
investigating the interactions between SARS-CoV-2 proteins and IFNs, the impact of timing
and duration of the IFN response on SARS-CoV-2 replication and COVID-19 severity
remains elusive.

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41 Cellular detection of SARS-CoV-2

42 The identity of pattern recognition receptors (PRRs; Box 1 and Figure 1) that contribute to the 43 expression of IFN and inflammatory cytokine production in SARS-CoV-2 infected cells is an 44 important question. Silencing the expression of a large set of PRRs [2] or of a selection of 45 retinoic-acid inducible gene I-like receptors (RLRs) [3] revealed that SARS-CoV-2 infection-46 mediated induction of type I and III IFNs largely depends on melanoma differentiation-47 associated protein 5 (MDA5) expression in lung epithelial Calu-3 cells. Knockdown of other 48 PRRs had little to no impact on type I and III IFN induction or downstream ISG upregulation 49 in these cells [2]. A role of retinoic acid-inducible gene I (RIG-I) in type I IFN induction in 50 SARS-CoV-2 infected Calu-3 cells was also proposed [4], but this remains controversial.

51 Depleting the expression of the laboratory of genetics and physiology 2 (LGP2) gene - a 52 known potentiator of MDA5-mediated IFN responses - significantly reduced type I IFN 53 $(IFN\beta)$ mRNA abundance in SARS-CoV-2 infected Calu-3 cells [2]. Collectively, these 54 results highlight that MDA5 is particularly important for inducing type I and III IFN 55 responses against SARS-CoV-2. PRRs other than MDA5 are likely to contribute but remain 56 largely unidentified. Of note, interleukin 6 (IL6) induction seems to depend on RIG-I and not 57 MDA5 expression in SARS-CoV-2 infected Calu-3 cells that were depleted for these RLRs 58 using RNA interference (RNAi) [4]. RLR usage may thus trigger the expression of different 59 inflammatory mediators and vary depending on cell type. 60 61 Toll-like receptor (TLR) 3 depletion has no impact on $IFN\beta$ transcript abundance in SARS-CoV-2-infected Calu-3 cells [2]. However, experimental infection of plasmacytoid 62 63 predendritic cells (pDCs) from healthy patients and from patients with genetic defects 64 demonstrated that IRAK4 and UNC93B1, which are required for signaling downstream of 65 TLRs, are essential for type I and III IFN production by pDCs [5]. 66 Accumulating data demonstrate that molecular components of SARS-CoV-2 are recognized 67 68 by both RLRs and TLRs [2,3,5]. Further investigations, ideally in animal models and in 69 human primary cells, are warranted to continue delineating the role of PRRs in SARS-CoV-2-70 induced innate immune responses. Moreover, it is of utmost interest to precisely identify 71 specific viral replication intermediates that are recognized by PRRs, by, for instance, 72 sequencing viral genomic, sub-genomic or messenger RNA molecules bound to RLRs 73 purified from infected cells. Implications for the recognition of SARS-CoV-2 proteins and 74 nucleic acids by other cellular immune sensors, such as protein kinase R (PKR) and 75 nucleotide-binding and oligomerization domain (NOD)-like receptors remain less studied.

Finally, the kinetics of the interactions between viral components and PRRs remain

value of the second sec

78 the course of SARS-CoV-2 infection will be crucial in understanding the tug-of-war between

79 the virus and host IFN responses and can inform potential therapeutic strategies for COVID-

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82 SARS-CoV-2 infection-mediated induction of IFNs

83 SARS-CoV-2 infection induces a type I (IFN β) and III (IFN λ) IFN response in Calu-3 cells 84 [2,3,6], primary airway epithelia (either derived from healthy patient biopsies or from induced 85 pluripotent stem cells, iPSC) cultured at the air-liquid interface [2,3], as well as in intestinal 86 organoids [7]. Primary human pDCs can also induce the expression of type I and III (*IFN* α 87 and *IFN-\lambda 1*) IFNs upon *ex vivo* exposure to SARS-CoV-2 [5]. Sequencing global RNA 88 extracted from nasopharyngeal swabs from patients with various COVID-19 disease profiles 89 revealed a strong induction of ISGs such as OASL, IFIT2, and MX1 [8]. In addition, 90 significantly higher serum levels of type I IFN was detected in sera from patients with 91 mild/moderate COVID-19, relative to patients with severe/critical disease [6,9]. Moreover, 92 the physiological importance of the IFN response in limiting COVID-19 severity has been 93 highlighted by the presence of inborn mutations in genes involved in IFN signaling pathways 94 (e.g. interferon-alpha/beta receptor subunit 1 [IFNAR1] and interferon regulatory factor 9 95 [IRF9]), and by the presence of neutralizing auto-antibodies against type I IFN(s) in 3-5% 96 patients and >10% of critically-ill patients, respectively [10]. 97

98 The role of endogenous IFNs (i.e. produced by infected epithelial cells) in the control of

99 SARS-CoV-2 replication *in vitro* is debatable and may depend on the cell type [2,3,7]. It is

also worth noting that studies have suggested the ability of SARS-CoV-2 proteins to

101 shutdown host translation, which would also affect translation of IFN transcripts. 102 Nevertheless, there is strong consensus that SARS-CoV-2 replication is prevented by pre-103 exposure to type I/III IFNs of various susceptible human cells, including primary airway 104 epithelia or Calu-3 cells, as assessed by RT-qPCR analysis or plaque assays [2,3,7,11]. 105 However, the identity of ISGs responsible for this potent antiviral effect remains to be fully 106 unraveled. A subset of ISGs, including lymphocyte antigen 6 family member E (LY6E), 107 apolipoprotein L2 (APOL2) and interferon-induced protein with tetratricopeptide repeats 3 108 (IFIT3) have been shown to individually limit SARS-CoV-2 replication when ectopically 109 expressed in human cell lines prior to SARS-CoV-2 infection [12,13]. Interferon induced 110 transmembrane protein 3 (IFITM3), when expressed in HEK293T cells, also partially inhibits 111 endosomal-mediated SARS-CoV-2 entry [12] (Figure 1). While approaches using ectopic 112 expression of ISGs are useful to identify antiviral genes of interest, they are not sufficient to 113 ascertain a role for these genes in a physiological context. The importance of ISGs, 114 individually or in combination, should be studied using knockout experiments in 115 physiologically relevant iPSC-derived airway epithelia. Whether numerous ISGs have an 116 additive effect to limit SARS-CoV-2 replication, or few ISGs play a major role (e.g., as seen 117 for HIV-1 with MX dynamin like GTPase 2 [MX2] and tripartite motif containing 5 alpha 118 [TRIM5]) is an important question to address. The importance of studying the impact of ISGs 119 on COVID-19 was recently highlighted by the demonstration that expression of prenylated 120 isoforms of 2'-5'-oligoadenylate synthetase 1 (OAS1) in hospitalized patients is associated 121 with protection from severe COVID-19 [14]. It will be important to decipher the full 122 landscape of ISGs that might prevent SARS-CoV-2 replication in primary host cells. 123 Understanding their modes of action may indeed pave a way for the development of new 124 candidate antiviral drugs and immunotherapies against COVID-19.

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126 The kinetics of IFN induction vs. modulation by SARS-CoV-2 proteins

127 Multiple studies have now identified SARS-CoV-2 proteins that can inhibit different aspects 128 of human IFN production and signaling (Figure 1) (e.g. [15]). Following virus entry, the 129 SARS-CoV-2 genome undergoes replication and transcription which stimulates IFN-mediated 130 antiviral responses [2,3]. However, subsequent translation of viral mRNA produces viral 131 proteins that can inhibit IFN mRNA export, protein production and signaling (Figure 1) [15]. 132 Despite these studies, the timing and kinetics of IFN induction vs. inhibition in SARS-CoV-2 133 infected cells, to our knowledge, remain unknown. Accordingly, the optimal duration and 134 intensity of IFN-mediated responses that are required to restrict SARS-CoV-2 replication also 135 remain elusive.

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137 The timing of IFN response may play an important role in SARS-CoV-2 pathogenesis. 138 Specifically, an absent, delayed or weak IFN response in COVID-19 patients correlates with 139 increased immunopathology and disease outcomes [9]. Early induction of IFN responses has been associated with mild or moderate COVID-19, presumably protecting patients from 140 141 severe disease [8,9]. However, factors that determine the extent and intensity of early or 142 delayed IFN responses in COVID-19 patients remain to be further investigated. While in vitro 143 studies have demonstrated that SARS-CoV-2 proteins, such as NSP1, NSP6, NSP13, or ORF6 144 for instance [15], can inhibit type I IFN responses when ectopically expressed in human 145 HEK293T cells, an important approach will be to identify the effects of early IFN induction in 146 primary airway epithelial cells before viral proteins can further inhibit IFN production. As 147 SARS-CoV-2 is not as adept as SARS-CoV in blocking IFN signaling [11], perhaps 148 triggering the early production of IFNs in SARS-CoV-2 infected cells might provide a modest 149 protective effect. Time dependent transcriptomic and proteomic analyses in SARS-CoV-2 150 infected human cells might be the first step to delineate the dynamic viral and cellular

processes. Evidently, extensive and robust research is needed to delineate the kinetics of IFN
responses during SARS-CoV-2 infection as well as the systemic effect of these cytokines on
COVID-19 severity.

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155 Concluding Remarks

- 156 Accumulating data suggest that SARS-CoV-2 proteins can modulate IFN responses. Data also
- 157 suggest that SARS-CoV-2 infection induces an MDA5-dependent IFN response in human
- 158 epithelial cells. These studies raise multiple intriguing questions, namely, (i) how protective
- are IFNs during early stages of COVID-19? (ii) What are the identities and mode of action of
- 160 the main ISG effectors *in vivo*? (iii) What amounts of viral proteins are sufficient to inhibit
- 161 IFN responses in infected cells? (iv) How do the interactions between IFN responses and viral
- 162 proteins differ in different cell types? (v) How can the kinetics of IFN responses inform the
- 163 development of more effective candidate therapeutic interventions against COVID-19? Thus,
- 164 the nuances of this SARS-CoV-2-IFN interaction and the protective or detrimental outcomes
- 165 in COVID-19 patients must remain an area of intense investigation.
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167 **References**

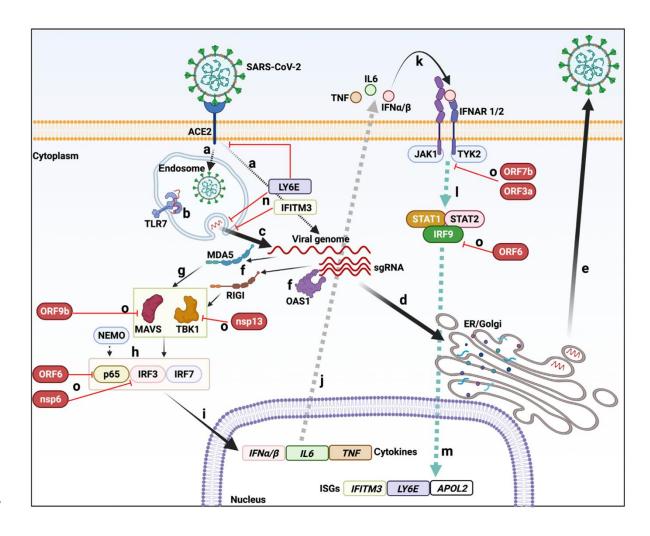
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218 Figure 1. Dynamic interactions between SARS-CoV-2 proteins and type I IFN response.

219 The SARS-CoV-2 spike protein interacts with human cellular receptor, angiotensin-220 converting enzyme 2 (ACE2) to allow virus entry into human epithelial cells of the 221 respiratory system. The virus can use two routes of cell entry: either fusion at the plasma 222 membrane or internalization within an endosome (a). Once internalized within an endosome, 223 viral components, such as genomic RNA, can be detected by endosomal toll-like receptor 7 224 (TLR7) (b). Following release of viral RNA into the cytoplasm (c), the genomic RNA 225 undergoes replication, transcription, and translation (d) to produce progeny virions (e). During 226 the process of replication and transcription of viral RNA, cellular pattern recognition

227 receptors (PRRs) such as melanoma differentiation-associated protein 5 (MDA5) and retinoic 228 acid-inducible gene I (RIGI) detect viral RNA (f) and mediate this signal through cellular 229 adaptor proteins, such as mitochondrial antiviral-signaling protein (MAVS) and TANK 230 binding kinase I (TBK1) (g) to activate key transcription factors, such as p65, interferon 231 regulatory factor 3 (IRF3) and IRF7 (h). Upon activation, these transcription factors 232 translocate to the nucleus to induce the expression of cytokines such as type I interferons 233 $(IFN\alpha/\beta)$, interleukin 6 (IL6) and tumor necrosis factor (TNF) (i). Secreted cytokines, such as 234 type I IFNs (j) carry out their effector functions in an autocrine (acting on the same cell) or 235 paracrine (acting on neighboring cells) manner (k). Type I IFNs interact with their cognate 236 receptors (IFNAR1/2) to activate downstream signaling cascades (1) via transcription factors 237 signal transducer and activator of transcription 1 (STAT1), STAT2 and IRF9 to induce the 238 expression of interferon stimulated genes (ISGs) (m). ISGs act on various stages of virus 239 replication to inhibit virus propagation (n). However, SARS-CoV-2 has evolved multiple 240 proteins that can inhibit the host antiviral response, some of which are highlighted here 241 (o)[15]. Figure created with BioRender.com.

242 **Box 1. Pattern recognition receptors in antiviral immunity**

243 When exposed to viruses, most mammalian cells produce cytokines, including interferons 244 (IFNs). Three classes of IFN have been identified, designated types I to III, and are classified 245 according to the receptor complex they signal through. In contrast to types I and III IFNs, type 246 II IFNs are not secreted by virus-infected structural cells (e.g. epithelial cells) but mainly by 247 natural killer and T cells. IFN production is initiated when pattern recognition receptors 248 (PRRs) recognize specific viral products, such as viral nucleic acids or viral proteins. These 249 PRRs can be membrane-associated, such as Toll-like receptor (TLR), or cytosolic, such as 250 retinoic acid inducible gene I (RIG-I)-like receptors (RLRs). Three RLR members, which are 251 expressed in most tissues, have been identified: RIG-I, melanoma differentiation-associated

252 protein 5 (MDA5), and the laboratory of genetics and physiology 2 (LGP2). The human TLR 253 family contains 10 TLRs (TLR1-10) and several of them, including TLR2, -3, -4, -7, -8 and -9 254 are implicated in the early interplay of host cells and invading viruses. PRR activation by viral 255 components enables interaction with adaptor proteins and the recruitment of signaling 256 complexes that stimulate the rapid expression of inflammatory cytokine production and IFNs. 257 Upon their secretion, IFN bind to their receptors, in an autocrine or paracrine manner, to activate a signaling pathway that ultimately triggers the expression of hundreds of IFN-258 259 stimulated genes (ISGs), whose products have antiviral properties, effectively establishing an 260 antiviral state in infected and surrounding cells. Other cytokines will boost the development 261 of a more long-term antigen-specific adaptive immunity that is pivotal for pathogen clearance 262 and immune memory. Of note, a prolonged uncontrolled cytokine response, also called 263 'cytokine storm', can drive cell death and subsequent tissue dysfunction.