

How do Laboratory Friction Parameters Compare With Observed Fault Slip and Geodetically Derived Friction Parameters? Insights From the Longitudinal Valley Fault, Taiwan

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1	How do laboratory friction parameters compare with observed fault slip and
2	geodetically derived incluon parameters: insignts from the Longitudinal valley Fault Taiwan
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14	
15	Key Points:
16 17	• Laboratory-determined frictional parameters are compared with those obtained geodetically and with observed fault slip behaviour
18 19	• Derived friction parameters correspond well with each other and are distinctive for creeping and partially locked sections of the fault
20 21 22	• Upscaling of laboratory meaurements of fault frictional properties reflects the large-scale slip behaviour of faults well

23 Abstract

24 Laboratory measurements of constitutive frictional parameters are commonly inferred to explain

the wide variety of slip behaviour seen on natural faults. The extent to which these small-scale

26 measurements directly relate to fault slip behaviour remains obscure. In this work we compare

27 laboratory-determined frictional parameters on surface-derived samples from along the length of

the Longitudinal Valley Fault (LVF) in Taiwan with the observed slip behaviour and with

frictional parameters obtained geodetically. The LVF displays partially locked and creeping

sections and a M_w 6.8 event in 2003 produced transient acceleration of slip in the adjacent

- 31 creeping sections that can be used to determine the frictional parameters for direct comparison 32 with the laboratory-measured ones. We find that the laboratory-measured friction parameters are
- markedly different for samples collected from the creeping and partially locked sections of the
- fault, the former showing lower friction coefficients and more positive values of the fault

stability parameter (a-b). Moreover, values for the product of (a-b) and the effective normal

36 stress, determined geodetically, relate very closely to those measured in the laboratory.

37 Mineralogical and microstructural analyses of the fault gouges show that some mineralogically

38 similar gouges produce distinctly different frictional behaviour, and that this may be related to

39 the presence and distribution of kaolinite. We conclude overall that upscaling of laboratory

40 measurements of fault frictional properties appears to reflect well the large-scale slip behaviour

41 of faults.

42 Plain Language Summary

43 Tectonic faults contained within the Earth's crust can sometimes slip in continuous slow steady

44 motion, known as "creep". They can also sometimes slip in a more episodic fashion, during

45 earthquakes, and stay locked the rest of the time. Both behaviours result in different surface

46 movement, quantifiable via geodetic methods. Models of fault slip behaviour typically rely on

47 data derived from laboratory friction measurements on rocks from fault zones. However, it is

48 unclear whether the small-scale laboratory measurements directly relate to observed large-scale

49 fault behaviour.

50 Here, we used fault rock samples from the Longitudinal Valley Fault (LVF) in Taiwan to

51 compare the results from laboratory measurements with the slip behaviour and inferred frictional

52 properties from geodetic observations. Samples were taken both from the partially locked and

53 creeping parts of the fault. We performed laboratory measurements on these samples that yield

results that correctly predict either earthquake slip or creep. We also used the geodetic

55 measurements of the LVF to derive the same parameters that are measured in the laboratory and

56 find they compare well. We conclude that small-scale laboratory measurements indeed reflect

57 the intrinsic frictional properties of the LVF that result in the observed large-scale behaviour of

58 this major tectonic fault.

59 **1 Introduction**

60 The range of fault frictional constitutive properties determined from laboratory

experiments are commonly considered to explain the wide variety of fault slip behaviour, from

62 fault creep, through episodic slow slip events, to regular earthquakes (e.g. Bürgmann, 2018; Den

Hartog et al., 2012; Dublanchet et al., 2013; Faulkner et al., 2003; Hillers & Wesnousky, 2008;

64 Thomas et al., 2017). While the direct link between laboratory measured frictional properties and

65 fault behaviour is often inferred, it has rarely been explicitly tested (Johnson et al., 2006). This

omission is partly due to finding a suitable example of a large fault from which samples can be

- ⁶⁷ recovered and measured in the laboratory and their constitutive parameters compared with a
- varied slip behaviour observed over several years. Strong spatial variations of slip mode have
- 69 been observed along subduction zones (e.g. Chlieh et al., 2008; Cross & Freymueller, 2007;
- Hyndman et al., 1997; Métois et al., 2012; Ozawa et al., 2012; Pritchard & Simons, 2006;
- 71 Wallace et al., 2004) and on some continental faults (Bürgmann et al., 2000; Jolivet et al., 2012; 72 Murray et al., 2001; Paysest et al., 2016; Thermas et al., 2014a; Titus et al., 2006), but automains
- Murray et al., 2001; Rousset et al., 2016; Thomas et al., 2014a; Titus et al., 2006), but extensive
- recovery in these environments is often problematic.
- A good example of a continental fault that displays varied slip behaviour is the 74 Longitudinal Valley Fault (LVF), which runs parallel to the east coast of Taiwan and marks the 75 suture between the Eurasian and Philippine Sea Plate (Lee et al., 1998; Figure 1a). It 76 77 accommodates reverse-left lateral motion, creeps near the surface (e.g. Angelier et al., 1997) but has also produced $M_w > 6.8$ earthquakes, including 4 events in 1951 (Shyu et al., 2007) and the 78 M_w 6.8 Chengkung earthquake of 2003 (e.g. Wu et al., 2006). The spatial and temporal variation 79 of fault motion on the LVF determined geodetically provides a rare opportunity to compare 80 geodetically-determined friction parameters with laboratory-measured frictional parameters of 81 samples collected along its length. If they are comparable, it will provide a strong indication that 82
- the latter can be used to predict its range of behaviour from fault creep to seismic slip.

The variations in laboratory-derived fault constitutive parameters, and hence inferred 84 fault slip behaviour, is thought to be related to variations in fluid pressure, effective normal 85 stress, but in particular to mineralogical variations, notably clay content (e.g. Den Hartog & 86 Spiers, 2013; Ikari et al., 2011). Clay-rich fault rocks typically show pronounced velocity-87 strengthening characteristics that are associated with fault creep. Clay-poor fault rocks are 88 velocity-weakening - a prerequisite for unstable earthquake slip. In subduction zones, the 89 systematic changes in pressure and temperature with depth promote clay mineral diagenesis 90 which is inferred to explain frictional stability changes and the extent of the seismogenic zone 91 (e.g. Den Hartog et al., 2013; Moore et al., 2007). In the case of the LVF, the link between 92 mineralogy and slip behavior needs testing as the mineralogy of the fault-bounding Lichi 93 mélange along the southern creeping section was found to be similar to that of the volcanoclastic 94 formations that bound the fault in the partially locked northern section (Thomas et al., 2014b). 95 96 Hence, it is currently not clear what might control the variation in slip mode along the LVF.

97 Surface strain adjacent to the LVF over the last three decades is well documented from campaign mode and continuous GPS, levelling data and InSAR (e.g. Champenois et al., 2012; 98 Chen et al., 2012; Lee et al., 2005; Yu & Kuo, 2001). This wealth of data and analysis provides 99 100 an outstanding opportunity to study the factors that control the seismic and aseismic fault sections near the surface. Here we go one step further and perform laboratory friction 101 measurements on samples from the LVF collected and described by Thomas et al. (2014b) and 102 compare the results with geodetic and seismological observations on fault rheology along the 103 LVF (Thomas et al., 2014a; Thomas et al., 2017). We thus offer a comprehensive comparison 104 between laboratory-derived properties of surface-derived samples, their mineralogy, and the 105 observed geodetic behaviour of an active fault system that is rarely achieved. 106

107 2 The Longitudinal Valley Fault in Taiwan: Tectonic setting

108 The LVF borders the Coastal Range in Taiwan (Figure 1a), which results from the 109 accretion of the Luzon Arc and its forearc basin to the exhumed metamorphic basement of

passive Chinese continental margin during the arc-continent collision in the last 7 m.y. (Huang et 110 111 al., 2006; Liu et al., 2000; Suppe, 1984; Teng, 1981). With regard to the geology, five rock units can be distinguished in the Coastal Range. The Tuluanshan Arc Formation and the Fanshuliao 112 volcanoclastic deposits are pre-collision island-arc lithofacies, whereas the Paliwan lithic flysch, 113 the Peinanshan and Wuho conglomerates (molasse), and the Lichi mélange are later syn/post-114 collision lithofacies (Figure 1a). The accreted Miocene-Pliocene volcanic islands that form the 115 Tuluanshan Formation are distributed all along the Coastal Range and they usually form 116 mountain ridges, covering over 1/3 of the area. The Paliwan and the Fanshuliao Formations 117 correspond to the remnant of forearc and intra-arc basins. They are subdivided in two 118 stratigraphic layers to reflect the variation of sedimentary sources with time. The late Miocene 119 to early Pliocene Fanshuliao Formation, conformably overlies the Tuluanshan volcanics with a 120 slight interfingering contact. The arc islands seems to have been the major source of these 121 sedimentary rocks, with some contribution from the non-metamorphic cover sequences of the 122 proto-Taiwan island (Teng et al., 1988). The Plio-Pleistocene Paliwan Formation conformably 123 overlies both the Fanshuliao and the Tuluanshan Formations. Its mineralogical content favours a 124 more predominant contribution of the low-grade metamorphic exposed Central Range, over the 125 one from the arc-islands, as a source for the sedimentary deposits. The Lichi Formation, which 126 crops out with variable thickness (up to ~ 2 km) on the western side of the Coastal Range (Figure 127 1a), is a characteristic block-in-matrix mélange with an argillaceous matrix that displays 128 129 slickensided surfaces. The exotic blocks inside the Lichi mélange vary in size (millimeters to kilometers) and lithology. Arc products (from the Luzon arc), ophiolites, and sedimentary rocks 130 can be found. The origin of the Lichi mélange has been highly debated (see Thomas et al., 2014b 131 for a thorough discussion). Here, we favour a tectonic collision origin, where the protolith of the 132 mélange is the forearc basin (Fanhsuliao), and the exotic blocks have been incorporated during 133 the early stage of the collision. A detailed description of the mineralogical contents of each 134

135 formation, together with a more exhaustive bibliography can be found in Thomas et al. (2014b).

136 **3 Methods**

- 137 3.1 Experimental methods
- *3.1.1 Sample material*

139 Two field surveys and one core sampling from shallow drilling have been conducted to study the different units of the Coastal Range. A total of 73 samples were collected (Thomas et 140 al, 2014b). For this study, we selected five field samples (Figure 1b, Table 1), 3 from the 141 142 partially locked section (LVF1, LVF21, LVF34) and two from the creeping section (LVF4 and LVF22). LVF1 and LVF34 are from a section of the LVF that is overall creeping, but these 143 samples were collected within an area that was inferred to be partially locked based on the 144 geodetic analysis (Figure 1b and 1d). Using mineralogical and structural analysis they were 145 assigned to the Paliwan and Fanshuliao Formations, respectively (cf. Section 2). LVF21 also is 146 from the Paliwan Formation, but sampled further north. LVF4 and LVF22 are both from the 147 Lichi mélange. Sample LVF4, in particular, was collected within the exhumed part of the fault 148 gouge and compared, using an electron probe micro-analyzer, with a borehole core sample 149 collected a few cm away from the fault. They both showed similar microstructures and mineral 150 phases (Thomas et al., 2014b). Moreover, none of the 73 collected samples showed much 151 evidence for metamorphism in agreement with the shallow exhumation (less than 2 km) (Shyu et 152

- al., 2006) and relatively cold conditions (temperature less than 450°C in the 0–30 km depth
- range) inferred from the thermokinematic modeling of Simoes et al. (2007). Consequently, the
- samples collected within the exhumed fault zone are likely representative of the ongoing
- deformation along the LVF at a relatively shallow depth (a few kilometers). Finally, for
- 157 comparison purposes (see Discussion), we also used the KGa-1b kaolinite standard from the
- 158 Clay Minerals Society and a mixture of LVF21 and KGa-1b.

159 **Table 1.** List of samples used in this study, including their location, the formation they were

taken from and their composition. Qtz = quartz, Plag = plagioclase, K-fsp = K-feldspar, Calc = Calcite, Mus/ill = muscovite/illite, Chl = chlorite, Kao = kaolinite.

51	Calche, Mus/III – muscovne/Inne, Chi – chiorne, Kao – kaoinne.								
	Sample	Location	Formation	Fault section	Composition				

Sample	Location	Formation	Fault section	Com	positio	1				
				Qtz	Plag	K-fsp	Calc	Mus/ill	Chl	Kao
	23°29'11" N									
LVF1	121°23'58" W	Paliwan	Locked	43	16	3	2	27	9	0
	23°10'5" N									
LVF4	121°14'44" W	Lichi	Creeping	33	14	4	0	31	8	11
	23°38'51" N									
LVF21	121°27'40" W	Paliwan	Locked	28	13	3	0	43	14	0
	23°3'7" N									
LVF22	121°12'12" W	Lichi	Creeping	32	16	2	0	30	9	12
	23°29'6" N									
LVF34	121°24'18" W	Fanshuliao	Locked	59	16	2	3	15	5	0
KGa-1b ^a	N.A.	N.A.	N.A.							96
88:12	N.A.	N.A.	N.A.							
LVF21:KAO				24	11	3	0	37	12	12

162 *Note*. ^aObtained from the Clay Minerals Society. ^bLVF21 and KGa-1b mixed by weight in proportion 88:12.

With regard to the preparation of the samples, the tendency of clays to flocculate implies 163 that sieving them usually leads to a predominance of a smaller grain size than the sieve opening 164 size. We therefore focused on a consistent preparation method rather than a sieving size. After 165 using a hammer to generate mm-sized particles, we crushed each sample for up to 5 seconds in a 166 steel disk mill. The sample powders were subsequently treated in a Retsch PM100 ball mill with 167 agate jar, at 400 rpm for 5 minutes. We finally sieved the samples at 250 µm to test for the 168 presence of anomalously large pieces. Inspection of one of the samples (LVF1) showed that this 169 procedure resulted in a powder of which virtually all particles passed through a 125 µm sieve. 170

A small fraction of each sample was next treated for XRD analysis by wet crushing in a McCrone mill for 10 minutes and drying in an oven at 60°C. The dried material was then gently disaggregated using an agate pestle and mortar. Subsequent XRD analysis showed the presence of quartz, plagioclase, K-feldspar, muscovite/illite and chlorite in all samples, sometimes with additional calcite (LVF1 and LVF34) or kaolinite (LVF4 and LVF22) (Table 1). The total phyllosilicate content varied between 20% (LVF34) and 57% (LVF21).

177

3.1.2 Experimental apparatus and procedure

Friction experiments were performed using a triaxial deformation apparatus, described in detail by Mitchell and Faulkner (2008) and Faulkner and Armitage (2013). In this apparatus, the sample is located between two grooved, L-shaped sliders that allow fluid access into the sample via porous plates (Sánchez-Roa et al., 2016). Servo-controlled fluid systems control the confining and pore fluid pressure, while axial loading occurs via a servo-controlled gear train. The sample assembly plus vessel are externally heated, via three external furnaces, controlled within 0.1°C. The axial load cell located at the bottom of the vessel is water-cooled to reduce
thermal drift.

Samples of 20 x 36 mm were prepared by pre-pressing 1.2 grams of dry powder on one 186 of the sliders at ~5 MPa for several seconds at room temperature, yielding a thickness of just 187 over 1 mm. Silicon rubber fillers were used to accommodate the displacement via shear of the 188 189 sample. The sample-slider combination was wrapped in a thin sheet of PTFE to allow easy insertion in the jacket, consisting of PVC for the room temperature experiments and Viton rubber 190 for the experiments at elevated temperature. A thin disk of PTFE was inserted at the top of the 191 jacketed sample-slider set to allow for small lateral displacements of the sliders during any gouge 192 layer compaction. 193

194

3.1.3 Experimental conditions

195 Shear experiments were performed at a confining pressure of 100 MPa and pore fluid pressure of 40 MPa, i.e. an effective normal stress of 60 MPa, at room temperature, 120°C and 196 170°C. The pressure conditions represent a depth of approximately 3.8 km, assuming a 197 198 hydrostatic fluid pressure. The temperatures of 120°C and 170°C represent depths of about 5.0 and 6.4 km, respectively, based on the thermokinematic model by Simoes et al. (2007; see also 199 Thomas et al., 2017). Although our experimental conditions correspond to depths shallower than 200 those associated with seismicity on the LVF (e.g. Thomas et al., 2017), the range of temperatures 201 202 considered here allow exploration of trends with temperature/depth and are thus likely to provide insight relevant for a large portion of the LVF. 203

During pressurizing and depressurizing of the setup, care was taken to maintain the 204 effective normal stress below 60 MPa. The experiments at elevated temperature were left to 205 equilibrate overnight. After a run-in of 2.5 mm at 0.3 µm/s, we applied velocity steps of 3 and 206 0.3 µm/s, each for 0.75 mm, yielding a total displacement of 6.25 mm. The slow run-in velocity 207 was chosen to avoid any pore pressure effects due to shear-enhanced compaction (Faulkner et al., 208 2018). Load point displacement (resolution $\pm \sim 0.5 \ \mu m$), confining pressure ($\pm 0.01 \ MPa$), pore 209 fluid pressure (± 0.01 MPa), axial load (± 0.05 kN) and temperature ($\pm 0.1^{\circ}$ C) were measured 210 and the corresponding signals logged at a frequency of 2 Hz. 211

212

3.1.4 Data processing

Axial load was converted to shear stress τ , which was subsequently used to determine the 213 apparent coefficient of friction via $\mu = \tau / \sigma_{eff}$, where σ_{eff} is the effective normal stress defined as 214 the difference between the normal stress σ and the pore fluid pressure P_f , i.e. $\sigma_{eff} = \sigma - P_f$. Note 215 that we ignore cohesion and, following Behnsen and Faulkner (2012), jacket strength. 216 Comparison of the axial force output pre- and post-experiment, under unloaded conditions, 217 indicated a small drift of the measurement over the duration of an experiment mostly likely due 218 to minor laboratory temperature fluctuations. We corrected the data for this drift, assuming a 219 linear drift of the force with displacement. We explored the effect of assuming a linear drift with 220 221 time versus with displacement but found no significant difference. The velocity dependence of friction was interpreted using the rate and state dependent friction (RSF) theory (Dieterich, 1978; 222 1979; Ruina, 1983) with the empirical Dieterich type ("slowness") formulation: 223

224
$$\tau = \mu \sigma_{eff} = \left[\mu_0 + a \ln \left(\frac{v}{v_0} \right) + b \ln \left(\frac{v_0 \theta}{d_c} \right) \right] \sigma_{eff} \quad \text{with} \quad \frac{d\theta}{dt} = 1 - \frac{v \theta}{d_c} \tag{1}$$

(e.g. Marone, 1998). Here, θ is an internal state variable, *a* represents the magnitude of the instantaneous change in μ upon a step change in sliding velocity from a value V_0 to a value V eV_0 , *b* reflects the magnitude of the change in μ during subsequent evolution to a new steady state value over a critical sliding distance d_c , and μ_o is the friction coefficient measured at velocity V_0 . At a constant slip velocity V, the state variable θ evolves to the steady state (ss) value:

231
$$\frac{d\theta}{dt} = 1 - \frac{V\theta}{d_c} = 0 \qquad \text{i.e.,} \qquad \theta_{ss}(V) = \frac{d_c}{V} \qquad (2a)$$

Substituting equation (2a) into equation (1) and rearranging yields for the corresponding steady state shear stress τ_{ss} :

234
$$\tau_{ss} = \mu_{ss}\sigma_{eff} = \left[\mu_0 + (a-b)\ln\left(\frac{v}{v_0}\right)\right]\sigma_{eff}$$
(2b)

For changes in steady state friction coefficient ($\Delta \mu_{ss}$) resulting from a stepwise change in velocity, and keeping σ_{eff} constant, equation (1) yields:

237
$$\Delta \tau_{ss} = \Delta \mu_{ss} \sigma_{eff} = (a-b) \left[\ln \left(\frac{V_2}{V_0} \right) - \ln \left(\frac{V_1}{V_0} \right) \right] \sigma_{eff}$$
(3a)

238 i.e.,
$$(a - b) = \frac{\Delta \mu_{ss}}{\ln(\frac{V_2}{V_1})} = \frac{\Delta \mu_{ss}}{\Delta \ln V}$$
 (3b)

(e.g. Marone, 1998). Positive (a-b) values indicate an increase in steady state friction coefficient with increasing velocity, i.e. velocity-strengthening, which precludes nucleation of unstable slip, whereas negative (a-b) values signify velocity-weakening and the potential to nucleate unstable slip (e.g. Scholz, 1998). We used the non-linear least squares numerical fitting routine including apparatus stiffness developed by Noda and Shimamoto (2009) for fitting of the velocity steps to obtain separate values for *a* and *b*, and d_c (see also Sánchez-Roa et al., 2016).

245 3.2 Microstructural methods

The slider-sample setup was dried overnight at 80°C before taking the sliders apart and recovering the wafer of material, which often broke in multiple pieces. Oriented pieces were subsequently impregnated under vacuum and sections for microstructural investigation were prepared. An FEI Quanta FEG 650 Scanning Electron Microscope (SEM) with an Oxford Instruments X-max 150^N Energy Dispersive X-ray (EDX) detector was used to examine the polished sections. The SEM was operated at low vacuum (0.82 Torr) at 20kV, and the samples were analysed uncoated.

- 253 3.3 Geodetic methods
- 254

3.3.1 Kinematic analysis and determination of slip history

Using the dense set of geodetic, remote sensing and seismological data available, Thomas et al. (2014a) performed a kinematic analysis on the LVF. The study spans the period from 1992 to 2010, and applies the PCAIM technique (Kositsky & Avouac, 2010) to obtain timeseries of slip at depth with the same temporal resolution provided by the data, i.e., daily. The analysis encompassed the 2003 Chengkung earthquake, as well as the pre- and postseismic period. It was determined that, in the southern section of the LVF, a large fraction (80–90%) of slip is aseismic whereas the northern part is almost fully locked. This is illustrated by the interseismic coupling (ISC) map displayed in Figure 1b. ISC quantifies the degree of locking of the fault: if ISC = 1,

the fault patch is fully locked, if ISC = 0 the patch is creeping at the long-term slip rate. The

latter was determined by using the Euler pole formalism to describe the long-term motion of the C_{1}

Coastal Range (CoR) and Central Range (CeR) block, which bound the Longitudinal Valley
 Fault to the east and west, respectively (Supporting Figure S1a). The coupling map shows in

particular that creep was inferred at the surface along the southern portion of the LVF, where it

seems to correlate with the occurrence of the Lichi mélange (Figure 1a). Thomas et al. (2014a)

also found that the 2003 Chengkung earthquake occurred on a fault patch which had remained

270 partially locked in the interseismic period. Moreover, the seismic rupture failed to reach the 271 surface and afterslip occurred around the area that ruptured seismically. Figure S2, in the

supplementary material, displays the pre-, co- and post-seismic inversion models used in this

study and Figure S3 gives the corresponding resolution maps of these models.

274

3.3.2 Method to derive mechanical properties from geodetic observations

The inferred time series of slip at depth obtained from the kinematic analysis can further be used to derive some of the fault friction properties (e.g. Thomas et al., 2017). In Supporting Figure S4 we display the time series of 15 patches that are used later in this work to make a comparison with the laboratory-derived values (cf. Section 5.3).

To derive the frictional properties using the time series, we first assume that the LVF fault obeys a rate-and-state friction law with the aging form of the state variable evolution as defined in equation (1). Knowing the change in stress resulting, for example, from a nearby coseismic event, and knowing the slip velocity before and after the mainshock, one can derive the frictional parameter $(a-b)\sigma_{eff}$ for each creeping patch using equations (3):

284
$$(a-b)\sigma_{eff} = \frac{\Delta\tau_{ss}}{\Delta\ln V}$$
(4a)

However here, unlike for laboratory experiments, for which we have a control on the applied stresses, the earthquake might have induced a change in the effective normal stress. Therefore, following (e.g. Perfettini & Avouac, 2004; cf. equation (2)), we replace $\Delta \tau_{ss}$ by the the static Coulomb stress change (Δ CFF), leading to :

289
$$(a-b)\sigma_{eff} = \frac{\Delta CFF}{\Delta \ln V} = \frac{\Delta \tau_{ss} - \mu_0 \Delta \sigma_{ss}}{\ln\left(\frac{V^+}{V_{int}}\right)}$$
(4b)

with the geomechanical convention that normal stress is positive in compression. However, as 290 shown by Thomas et al. (2017; Figure 4), it is worth mentioning that $\mu_0 \Delta \sigma_{ss}$ is significantly 291 smaller than $\Delta \tau_{ss}$. Moreover, with this method, the implied assumption is that the fault has 292 reached a steady state condition. It is a fair supposition since the data we used to infer $(a-b)\sigma_{eff}$ 293 294 have a resolution of one day, which is not precise enough to sample the early increase of postseismic slip rate that reflects the adjustment of the state variable. Changes in shear stress 295 induced by the main shock are inferred using the kinematic slip model of the 2003 Chengkung 296 297 earthquake for a rake corresponding to the long-term motion of the fault . V_{int} is directly retrived form the secular interseismic model (see Figure S2a and Supplementary Text S1 and S2 for more 298 details) and V^+ are then determined by fitting the timeseries of slip U(t) at depth on each patch 299 with the analytical solution derived by Perfettini and Avouac (2004): 300

301
$$U(t) \approx V_{int} t_r \ln\left(1 + \frac{V^+}{V_{int} t_r} t\right)$$
(5)

where t_r is the relaxation time. In this model, the elastic interactions between 302

303 neighbouring creeping patches during postseismic motion are neglected. This method is by

definition only applicable to patches displaying velocity-strengthening behaviour during the 304

afterslip and for which a positive Coulomb stress change can be assumed. Note that, although (a-305

b) can be derived for lab-data in which σ_{eff} was imposed (equation (3b)), the absence of accurate 306

- information on variation of σ_{eff} with depth, along the LVF, means that we can only reliably 307
- extract the product of (a-b) and σ_{eff} from geodetic data. 308

309 **4 Results**

- 310 4.1 Mechanical data derived from laboratory experiments
- A list of experimental conditions and key mechanical data is provided in Table 2. 311

Table 2. List of experiments, conditions and key data. P_c = confining pressure, P_f = pore fluid 312

pressure, V_{run-in} = starting slip velocity, before the onset of velocity-stepping, D_{run-in} = 313

displacement before the onset of velocity-stepping, $V_{step} = slip$ velocity imposed during velocity-314

stepping, D_{step} = displacement during velocity-stepping, μ_{yield} = friction coefficient at the yield point, μ_{stepl} = final friction coefficient 315

point, μ_{final} – final include coefficient.										
Experiment	Sample	P_c	P_f	Τ	V _{run-in}	D _{run-in}	V _{step}	D _{step}	μ_{yield}	μ_{final}
		(MPa)	(MPa)	(°C)	(µm/s)	(mm)	(µm/s)	(mm)		
LVF1_RT	LVF1	100	40	22	0.3	2.5	3-0.3-3-0.3-3	0.75	0.46	0.47
LVF4_RT	LVF4	100	40	22	0.3	2.5	3-0.3-3-0.3-3	0.75	0.28	0.28
LVF21_RT	LVF21	100	40	22	0.3	2.5	3-0.3-3-0.3-3	0.75	0.44	0.44
LVF22_RT	LVF22	100	40	22	0.3	2.5	3-0.3-3-0.3-3	0.75	0.32	0.33
LVF34_RT	LVF34	100	40	22	0.3	2.5	3-0.3-3-0.3-3	0.75	0.51	0.56
LVF4_120C	LVF4	100	40	120	0.3	2.5	3-0.3-3-0.3-3	0.75	0.31	0.33
LVF34_120C	LVF34	100	40	120	0.3	2.5	3-0.3-3-0.3-3	0.75	0.55	0.64
LVF4_170C	LVF4	100	40	170	0.3	2.5	3-0.3-3-0.3-3	0.75	0.30	0.33
LVF21_170C	LVF21	100	40	170	0.3	2.5	3-0.3-3-0.3-3	0.75	0.50	0.55
LVF34_170C	LVF34	100	40	170	0.3	2.5	3-0.3-3-0.3-3	0.75	0.53	0.61
KAO_RT	KGa-1b	100	40	22	0.3	2.5	3-0.3-3-0.3-3	0.75	0.35	0.29
LVF21_KAO_RT	88:12	100	40	22	0.3	2.5	3-0.3-3-0.3-3	0.75	0.41	0.44
	I VF21·KGa-1h									1

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4.1.1 Mechanical properties of samples with different origins

Friction curves for samples from both the partially locked and creeping section at room 319 temperature are shown in Figure 2a. For all samples, μ increases rapidly at the first ~0.5 mm of 320 displacement, at which point apparent yielding occurs, at μ values of 0.44-0.51 for samples from 321 the partially locked section versus 0.28-0.32 for the samples from the creeping section. Apparent 322 323 vielding is followed by sliding at near steady state friction levels with superimposed small variations related to steps in the sliding velocity. The shape of the stress strain curve suggests 324 that pore pressure transients did not develop within the gouge during loading to yield. None of 325 the characteristic features, such as marked strain weakening followed by prolonged strain 326 hardening, associated with the transients were seen in the recorded data (see Faulkner et al., 327 2018). All samples display velocity-strengthening behaviour, but only samples from the partially 328 locked section show a clear peak friction value followed by a decay to a new steady state friction 329 330 coefficient following a step in slip velocity (i.e. a well resolvable direct and evolution effect);

samples from the creeping section lack the peak in friction. Final friction coefficients reached are
 0.44-0.56 and 0.28-0.33 for samples from the partially locked and creeping section.

The velocity-strengthening behaviour is quantified in Figure 3. At room temperature, 333 values of a are smaller for samples from the creeping section than from the partially locked 334 section (cf. Supporting Figure S5), varying between 0.0034 for sample LVF4 from the creeping 335 336 section to 0.0102 for LVF34 from the partially locked section. Similarly, values of b are smaller for samples from the creeping section and larger for samples from the partially locked section, 337 reaching a minimum of -0.0074 for LVF22 from the creeping section and a maximum value of 338 0.0076 for LVF34 from the partially locked section. The critical slip distance d_c shows somewhat 339 more variability for samples from the different fault sections at room temperature, but generally 340 larger values for samples from the creeping section (up to 145 µm for LVF22) than from the 341 partially locked section (down to 4.54 μ m for LVF34). Finally, (*a-b*) values at room temperature 342 are all positive, supporting the velocity-strengthening behaviour inferred from Figure 2. The 343 samples from the creeping section show more pronounced velocity-strengthening behaviour, 344 reaching values as high as 0.0122 for LVF22, while the samples from the partially locked section 345 show closer to neutral velocity-dependence, with values as low as 0.001 for LVF34. 346

4.1.2 Effect of temperature

The effect of temperature on the frictional behaviour of selected samples is shown in 348 Figure 2b. Friction curves at 120°C and 170°C are very similar to those at room temperature, but 349 reaching higher steady state μ values, up to 0.64 and 0.61 at 120°C and 170°C for samples from 350 351 the partially locked section and up to 0.33 at both 120°C and 170°C for samples from the creeping section. As at room temperature, steps in the slip velocity result in small changes in the 352 friction coefficient, showing a clear peak in the friction coefficient immediately after a velocity 353 step only for the samples from the partially locked section. Velocity-dependence as a function of 354 temperature is quantified in Figure 3. 355

Figure 3 shows slightly positive trends for a as a function of temperature, for both LVF4 356 from the creeping section and LVF34 from the partially locked section. Sample LVF21, on the 357 other hand, which showed a spread of values at room temperature, shows on average slightly 358 reduced a values at 170°C. Values of b tend to be similar at higher temperatures as at room 359 temperature with a somewhat larger spread for LFV34. No trends can be observed for d_c as a 360 function of temperature. In contrast, values of (a-b) show clear trends with temperature, where 361 (a-b) values for LVF4 from the creeping zone become more positive with increasing 362 temperature, while those for LVF34 from the partially locked zone decrease with temperature 363 and become negative at 120°C and 170°C, which indicates velocity-weakening behaviour. 364 Sample LVF21 shows only a minor decrease in (a-b) values and still shows velocity-365

366 strengthening behaviour at 170°C.

367 4.2 Microstructural observations

Figure 4a-e shows microstructures of the samples used in this study, all sheared at room temperature conditions. Both samples derived from the partially locked and creeping sections of the LVF show clasts of quartz and feldspar embedded in a clay matrix with little texture. Sample LVF1 (partially locked section; Figure 4a) contains clasts of up to about 100 μ m in size and some indistinct P- and Y-shears (terminology after Logan et al., 1992). Sample LVF21 (partially locked section, Figure 4b) shows slightly smaller clasts (up to ~70 μ m) and a larger fraction of clay than LVF1, consistent with its composition (Table 1). Some of the clay in LVF21 is

375 concentrated in clusters and P and R₁ Riedel shears can roughly be distinguished. Sample LVF34

376 (partially locked section, Figure 4c) is relatively rich in clasts, which reach sizes of just over 100

 μ m diameter, and does not show shear bands. The samples from the creeping section, LVF4 and LVF22 (Figure 4.4 and a) share relative barries from the samples from the same latter of the same latter of

LVF22 (Figure 4d and e) show relatively small clasts of up to several tens of μ m and some weak

379 P-, Y- and occasionally R_1 Riedel shears.

- 380 4.3 Mechanical data derived from geodetic observations
- 381

4.3.1 Mechanical properties of subsurface patches

To perform the slip inversion, the LVF was subdivided into 660 patches of 3.16×3.16 382 km. The displayed time-series are therefore an average of the slip happening over a $\sim 10 \text{ km}^2$ 383 area. Using the method described in Section 3.3, we derived the mechanical properties for all the 384 patches displaying a positive coulomb stress change (Supporting Figure S1b) and postseismic 385 slip rate greater than for the interseismic model (see Supporting Figure S2). For each patch the 386 387 kinematic analysis provides the time evolution of slip along the direction of the slip vector predicted by the block motion of the Coastal Range relative to the Central Range (e.g., 388 Supporting Figure S4). To derive the parameter $(a-b)\sigma_{eff}$, we computed the ΔCFF from the 389 coseismic model derived by Thomas et al. (2014a), using the Coulomb3 software (Lin & Stein, 390 2004). We estimated the change in stress for a rake that corresponds to the direction of the slip 391 vector predicted by the block motion of the Coastal Range relative to the Central Range, 392 assuming a constant strike equal to the average fault strike of 23.4° (Supporting Figure S1b). We 393 then fitted the time evolution of slip following equation (5) to get V^+ . We finally applied 394 equation (4b) to derive $(a-b)\sigma_{eff}$ (Supporting Figure S1c). We directly used the time-series 395 396 inferred for each patch, and not some average over the neighbouring patches, because of the good resolution of the inversion models. Indeed, up-dip from the coastline, the resolution is 397 generally smaller than 5 km (see Supporting Figure S3). Near the fault trace, the resolution is as 398 good as 511 m for the interseismic model, 590 m for the coseismic model and 509 m for the 399 postseismic model. As consequence, we consider that the slip happening over a ~ 10 km² area is 400 well-resolved, and so are the inferred $(a-b)\sigma_{eff}$ values, for the patches on the west part of the 401 coastline. 402

More specifically, the mechanical properties for the patches at the location of the five 403 404 samples (square symbols) are displayed in Figure 5 and summarized in Supporting Table S1. Patches 526, 616 and 511, which correspond respectively to the Paliwan (LVF1, LVF21) and the 405 Fanshuliao (LVF34) samples, show values ranging from ~0.01 to 0.08 MPa. Presuming σ_{eff} is 406 known, the friction parameter (a-b) can be determined. A common assumption is to calculate σ_{eff} 407 for a given rock density, here 2700 kg/m³, assuming a hydrostatic pore fluid pressure 408 (Supporting Figure S6b). For the patches near the surface, this gives an average value of 27.1 409 MPa. Consequently, the inferred (a-b) values for patches 526, 616 and 511 are very close to 410 velocity neutral: 0.0003 to 0.0029 (Supporting Figure S7). These results are consistent with the 411 high values of coupling (Figure 1c-d) inferred for those patches. On the other hand, patches 331 412 and 271 display values one order of magnitude higher. They sample the creeping zone and 413 correspond to the Lichi mélange (samples LVF22 and LVF4). Both patches return a value of 0.4 414 MPa for the parameter $(a-b)\sigma_{eff}$ (Figure 5), which leads to (a-b) = 0.0149 (Supporting Figure S7), 415 assuming hydrostatic pore pressure. Using our experimental data, a direct comparison with the 416 417 frictional properties obtained from field-derived samples is now possible.

4.3.2 Effect of temperature

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To infer the impact of temperature on the friction parameter $(a-b)\sigma_{eff}$, using the kinematic 419 analysis, we assumed that the lithology varies little with depth and we used the patches in the 420 vicinity of the collected samples. We explored two cases: we computed the friction parameters 421 for the next two patches from that corresponding to the sample location following (i) a profile 422 423 perpendicular to the fault (circle symbols in Figure 5), or (ii) following the slip vector predicted by the block motion of the Coastal Range relative to the Central Range (Supporting Figure S1a 424 for the slip direction and diamond symbols in Figure 5). The exact location of these patches is 425 given in Figure 1c-f. This choice is motivated by several reasons. First, the kinematic analysis of 426 the LVF has demonstrated that the slip vector direction varies over the seismic cycle, showing a 427 predominant dip-slip motion during the co-seismic periods and a predominant strike-slip motion 428 over the pre- and post-seismic period (Thomas et al., 2014a). Secondly, the inferred direction of 429 the long-term slip vector on the LVF is inevitably influenced by the lack of data on the hanging 430 wall (at sea) and it should be taken with caution. By exploring these two cases we therefore 431 encompass the possible range of values for a transpressive fault. The temperature corresponding 432 to the depth of the considered patches is directly derived from the thermokinematic model of 433 Simoes et al. (2007). The temperature-depth profile, for the center section of the LVF, is 434 displayed in Supporting Figure S6c. 435

From north to south, the samples collected in the partially locked sections are LVF21, 436 LVF1 and LVF34 respectively. For sample LVF21, based on geodesy, the inferred values of (a-437 $b\sigma_{eff}$ at temperatures up to 189°C stay close to 0 MPa (0.0 to 0.03 MPa), which yields a 438 maximum value of 0.0005 for (a-b), i.e. velocity-neutral behaviour. Further south (sample 439 LVF1), we observe two different trends depending on which slip profile we follow. Inferring the 440 impact of temperature by following a profile perpendicular to the strike leads to an increase of 441 $(a-b)\sigma_{eff}$ with temperature from 0.04 at 32°C to 0.39 MPa at 189°C (Figure 5, circle symbols), 442 which leads to a maximum value of (a-b) = 0.0043. Whereas patch 512, that follows the long-443 444 term slip direction, stays close to velocity neutral, i.e. $(a-b)\sigma_{eff} = 0.09$ MPa and (a-b) = 0.0014. No values could be estimated for patch 498 which displays a postseismic slip rate lower than the 445 preseismic. The discrepancy between these estimates can be explained by the fact that, based on 446 the interseismic coupling map, patches 527 and 528 sample a creeping zone (ISC ≤ 0.11) 447 448 whereas patch 512 corresponds to a partially locked zone (ISC = 0.45), like patch 526. Such a difference in coupling number could reflect a change in lithology, contradicting the initial 449 450 assumption we made that the same material prevails when following a profile perpendicular to the fault. If this explanation is the most straightforward, it is important to underline that a higher 451 ISC coupling could also reflect how close a patch is to a fully locked asperity of significant size 452 (e.g. Bürgmann et al., 2005, Fig. 9), even though the patch samples a zones with velocity-453 strengthening frictional properties. In that case, the difference in the inferred $(a-b)\sigma_{eff}$ values 454 between patches 527/528, and patch 512 could be related to the simple model we use, that 455 ignores stress interaction between neighboring patches. Finally, for sample LVF34, only 456 estimates for the along-dip profile could be computed, as the other patches displayed a 457 postseismic slip rate lower than the preseismic. Patch 512 ($T = 94^{\circ}$ C) and patch 513 ($T = 189^{\circ}$ C) 458 return a value of $(a-b)\sigma_{eff} = 0.09$ and 0.14 MPa respectively, which corresponds to (a-b) = 0.0014459 and 0.0013 if we assume a hydrostatic pore pressure. 460

The patches corresponding to the two Lichi mélange samples displayed higher $(a-b)\sigma_{eff}$ values in general (Figure 5). For the patches in the vicinity of sample LVF4, we observe a slight 463 increase of $(a-b)\sigma_{eff}$ with temperature when we follow the along-dip profile, from 0.40 MPa at

- 464 32°C to 0.45 MPa at 94°C and 0.46 MPa at 189°C. This corresponds to the estimated values of
- 465 0.0419, 0.0069 and 0.0042 respectively for (a-b) (Supporting Figure S7). On the other hand, if
- 466 we follow the long-term slip direction, the inferred $(a-b)\sigma_{eff}$ are significantly higher: 1.63 MPa at 467 94°C and 1.04 MPa at 189°C. The corresponding (a-b) values are 0.0247 and 0.0095. The
- 468 second Lichi mélange sample, LVF22, was collected within an area which is more
- heterogeneous in terms of slip behaviour (see interseismic coupling map in Figure 1b).
- Following the along-dip profile, patch 272 ($T = 94^{\circ}$ C) and patch 273 ($T = 189^{\circ}$ C) return (a-b) σ_{eff}
- values of 0.91 and 0.41 MPa respectively, which translate to (a-b) values of 0.0138 and 0.0038.
- For the profile following the slip vector, the inferred friction parameters are close to the value
- inferred near the surface, i.e. 0.46 MPa for patch 257 ($T = 94^{\circ}$ C) and 0.30 MPa for patch 243 (T
- 474 = 189°C). The corresponding (a-b) values are 0.0070 and 0.0027 respectively. Thus, an increase
- of the friction parameter $(a-b)\sigma_{eff}$ with temperature (Figure 5), as for the patches relevant for
- LVF4, is followed by near velocity-neutral behaviour at 189°C. Since the patches 243 and 273 are adjacent to the partially locked section that ruptured during the 2003 Chengkung earthquake,
- 4/7 are adjacent to the partially locked section that ruptured during the 2003 Chengkung earthqua
 478 this might reflect a change in lithology rather than the impact of increasing temperature.
- this might reflect a change in hthology father than the impact of increasing temperature.

479 **5 Discussion**

480

5.1 Comparison between samples from the partially locked versus creeping section

Our friction experiments on samples from the LVF show a remarkable difference in the 481 behaviour of samples derived from the partially locked versus the creeping sections. Particularly, 482 the samples from the creeping section (LVF4 and LVF22) are noticeably weaker than those from 483 the partially locked section (LVF1, LVF21 and LVF34) with friction coefficients on average 484 being 0.19 lower for the former (Figure 2, Table 2). In addition, the velocity-dependent response 485 of samples from the two different locations is different, with only the samples from the partially 486 locked section showing clear peaks in friction upon a step-change in the slip velocity (Supporting 487 Figure S5). Samples from the creeping section do not show such a peak, which is quantified by 488 negative b values (Figure 3). Furthermore, with increasing temperature, the RSF response of 489 samples from the creeping versus partially locked section differs, with an increase in the 490 magnitude of velocity-strengthening behaviour for the sample from the creeping section, as 491 opposed to a decrease in the magnitude of velocity-strengthening behaviour or even the onset of 492 velocity-weakening behaviour with increasing temperature for the samples from the partially 493 locked section. This is consistent with the findings by Ikari et al. (2011) that weak gouges, with 494 coefficients of friction $\mu < 0.5$, exhibit predominantly stable sliding behaviour, whereas strong 495 gouges, with $\mu \ge 0.5$, exhibit both stable and unstable slip. 496

497

5.2 Deformation mechanisms for samples from partially locked versus creeping sections

Inspection of Table 1 suggests that the different frictional behaviour of samples from the creeping versus the partially locked section may be related to the composition of the two groups of samples. Notably, both samples from the creeping section (LVF4 and LVF22) contain 11-12% of kaolinite, while none of the samples from the partially locked section (LVF1, LVF21 and LVF34) contain kaolinite. We investigated the effect of kaolinite on the frictional behaviour of LVF via the additional experiments shown in Figures 6. Particularly, we added 12 wt% of

kaolinite to sample LVF21, which was the sample from the partially locked section that was

505 otherwise most similar in composition to those from the creeping section (calcite is present in all 506 these samples).

Figure 6 shows curves of the friction coefficient versus load point displacement for 507 LVF21, which does not contain natural kaolinite, LVF22, which naturally contains 12% 508 kaolinite, and, as comparison, LVF21 to which 12 wt% of kaolinite is added (88:12 509 510 LVF21:KAO), as well as near-pure kaolinite. The addition of kaolinite to LVF21 slightly reduces the friction coefficient at load point displacements up to about 4 mm, after which the 511 friction coefficients of LVF21 with and without added kaolinite become indistinguishable. The 512 friction coefficient of 88:12 LVF21:KAO is 0.13 higher than that of LVF22 at all displacements. 513 The friction coefficient of near-pure kaolinite shows, in contrast to the curves for the LVF 514 samples, a clear peak around the yield point, followed by a drop in the friction coefficient to 515 values just below 0.3, which is only 0.03-0.04 lower than the friction coefficient for LVF22. 516 Both 88:12 LVF21:KAO and near-pure kaolinite show a minor peak in the friction coefficient 517 upon a step-change in slip velocity, which is distinctly different from LVF22 and somewhat 518 similar to LVF21. Supporting Figure S8 shows the RSF parameters of the same sample set as 519 was plotted in Figure 6, as a function of the kaolinite content. Though the data are limited, no 520 trends in the RSF parameters with the kaolinite content can be observed. Notably, LVF21, 88:12 521 LVF21:KAO and near-pure kaolinite all have very similar a, b and (a-b) values. This is in 522 523 contrast to LVF22, which has smaller a and b values and larger (a-b) values than all the other samples (Supporting Figure S8). Values of d_c take a wide range, with the largest values being 524

525 attained by LVF22.

Based on the data shown in Figure 6 and Supporting Figure S8 we infer that the addition 526 of kaolinite to LVF21 does not significantly change its frictional behaviour. Thus, the addition of 527 kaolinite to the sample from the partially locked section does not change its behaviour to become 528 similar to that of samples from the creeping section. We therefore investigated the microstructure 529 of the sample with artificially added kaolinite, 88:12 LVF21:KAO, shown in Figure 4f, and 530 531 compared it with that of the natural samples. The microstructure of 88:12 LVF21:KAO is very similar to that of the natural samples (both from the partially locked and creeping sections) in 532 that it does not show a clear texture; only some indistinct shear bands can be seen. A notable 533 difference of the microstructure of 88:12 LVF21:KAO compared to LVF22 and LVF4 is that the 534 kaolinite that was added in 88:12 LVF21:KAO, is present in clusters (Figure 4f; Supporting 535 Figures S9 and S10). Such clusters of kaolinite were not observed in the compositionally 536 equivalent sample to 88:12 LVF21:KAO, i.e. LVF22. No kaolinite could be distinguished in 537 LVF22, suggesting that it is present as dispersed, fine grains. This observation has two 538 539 implications. Firstly, it shows that a difference in the distribution of kaolinite in compositionally 540 equivalent gouges can result in distinctly different frictional behaviour (cf. 88:12 LVF21:KAO and LVF22 in Figure 6). Secondly, it suggests that a possible explanation for the frictional 541 behaviour of LVF materials, both in the lab and in nature, may be the presence and distribution 542 of kaolinite. This is consistent with previous studies in which clay minerals were inferred to 543 control fault behaviour (e.g. Collettini et al., 2009; Lockner et al., 2011; Moore & Rymer, 2007). 544 Finally, note that Wu et al. (2020) recently suggested that the observed creeping behaviour of the 545 LVF at shallow depths may be related to gouge amorphization. Our data does not allow for 546 identification of possible amorphous material. 547

548 5.3 Relationship between geodetic and laboratory data

To make a direct comparison between the experimental dataset and the results from the 549 kinematic inversion, we plotted the laboratory values (a-b) times the effective normal stress on 550 Figure 5 (triangle symbols). As described in Section 3.1, shear experiments were performed at an 551 effective normal stress of 60 MPa. Since we do not have a direct measurement of the effective 552 stress distribution along the LVF, this comparison is more robust than plotting the laboratory (a-553 b) values against the (a-b) values derived from geodesy and an assumed effective normal stress 554 profile. Such a comparison can nevertheless be found in Supporting Figure S7 for completeness. 555 We note that our comparison does not account for any possible variations of the fault frictional 556 properties or interseismic slip rate with time. We have also not taken into account any possible 557 effects of pore fluid pressure transients following seismic slip on the effective normal stress. 558 Furthermore, we note that all our experiments were performed at a pore fluid pressure of 40 559 MPa, approximating to hydrostatic conditions, while pore fluid pressures in reality may divert 560 from hydrostatic. Den Hartog and Spiers (2013) measured a relatively small effect of pore fluid 561 pressure on frictional strength and stability of clay-rich gouges, suggesting that our comparison 562 may still be relevant for different pore fluid pressure conditions. 563

The primary point to emphasize is that, despite the fact that patches used for our 564 kinematic inversion represent the average behaviour of a 10 km² area, laboratory experiments 565 and inferences from the kinematic analysis are in very good agreement. Indeed, laboratory 566 experiment values of $(a-b)\sigma_{eff}$ vary from -0.28 to 0.73 MPa. If we compare the mean value 567 obtained from the experiments and the values derived from the patches at the location of the 568 samples, the difference is less than 13%. For example, the mean laboratory value for sample 569 LVF21 is 0.12 MPa, the corresponding inference for patch 616 is 0.08 (12% difference). Values 570 are summarized in Supporting Table S2 and displayed in Figure 5. 571

We next compare the trend with temperature for sample LVF21 and sample LVF34, from 572 the partially locked sections, and sample LVF4 from the creeping zone. Based on the laboratory 573 data, sample LVF21 from the Paliwan Formation displays a slight decrease in $(a-b)\sigma_{eff}$, from 0.20 574 MPa ($T = 22^{\circ}$ C) to 0.12 MPa ($T = 170^{\circ}$ C), if we take the average. We observe a similar trend for 575 576 the values inferred from geodesy. Differences between the two datasets are less than 13% if we compare the results at similar temperature. Note that velocity-neutral values are not necessarily 577 inconsistent with high value of coupling (Figure 1b). Materials displaying such behavior are very 578 579 sensitive to change in stresses and can appear both, in geodesy, as creeping or locked patches, depending on how close they are to locked asperity. The Fanshuliao sample LVF34 also displays 580 a negative trend but $(a-b)\sigma_{eff}$ becomes negative at 120 and 170°C for the experiments. On the 581 582 other hand, values derived from the kinematic inversion show a slight increase in $(a-b)\sigma_{eff}$, and because of the applied method, they are necessarily positive. Nevertheless, they stay close to 583 zero. Finally, the Lichi mélange sample LVF4 displays higher experimentally derived values that 584 increase with increasing temperature (from 0.39 MPa to 0.55 MPa). The values inferred from 585 geodesy, following the along-dip profile (circle symbols in Figure 5), are in very good agreement 586 (same trend, difference $\leq 10\%$). On the contrary, the values derived following the long-term slip 587 vector are in complete disagreement with the laboratory data (no trend, up to 107% difference). 588 The most likely explanation for this discrepancy is a variation of lithology with depth, which was 589 not accounted for in the kinematic inversion. 590

591 Overall, the two datasets agree remarkably well despite the different scale at which the 592 friction parameters were inferred (from 20 mm to 10 km^2) and the coarse thermokinematic model used to infer the temperature at depth (Supporting Figure S6). First, and probably the most

- important point, is that the comparison supports the upscaling between laboratory experiments
- and numerical modelling of fault slip. Indeed, and rightfully so, the use of laboratory derived
- friction parameters, defined at a millimeter scale, to infer fault displacement (and sometimes
- hazard assessment) at hundreds of kilometre scale, has always been a matter of debate.
 Moreover, the similarity between the results underline the high potential of such a
- 598 Moreover, the similarity between the results underline the high potential of such a 599 comprehensive approach in assessing hazard. A careful sampling campaign combined with a
- geodetic analysis of an active fault would allow for the development of predictive models of
- fault slip that include laboratory-derived frictional values at near-in situ conditions from the
- 602 collected samples. This in turn could help to overcome the poor temporal resolution of
- 603 geophysical data.

604 6 Conclusions

605 In this work we compared laboratory-determined frictional parameters of surface-derived samples from along the length of the LVF in Taiwan with the observed slip behaviour and with 606 frictional parameters obtained geodetically. We performed shear experiments at an effective 607 normal stress of 60 MPa, at room temperature, 120°C and 170°C and at slip velocities of 0.3-3 608 µm/s on 3 samples from the partially locked section and 2 samples from the creeping section of 609 the LVF. In addition, timeseries of slip at depth along the LVF previously derived by Thomas et 610 al. (2014a) from geodetic, remote sensing and seismological data were used to determine (a-611 $b\sigma_{eff}$ at the patches corresponding to our sample locations. Our conclusions are as follows: 612

- At room temperature, the frictional behaviour of samples from the partially locked
 At room temperature, the frictional behaviour of samples from the partially locked
 versus creeping section of the LVF is distinctly different, with the former showing
 higher friction coefficients, larger values of *a* and *b* and smaller but still positive
 values of (*a-b*).
- At 120°C and 170°C, friction coefficients are higher than at room temperature, for
 both samples from the partially locked and creeping sections. Values of (*a-b*) become
 more positive with increasing temperature for sample LVF4 from the creeping zone,
 whereas they become less positive for samples from the creeping zone (LVF34 and
 LVF21), reaching negative values at 120°C and 170°C for LVF34.
- 6223. The microstructures of the samples after the shear experiments show little difference623between the samples from the partially locked versus the creeping section, displaying624clasts of quartz and feldspar embedded in a clay matrix with little texture.
- 4. At room/surface temperature, geodetically derived values of $(a-b)\sigma_{eff}$ derived for the 625 patches corresponding to the location of the samples used for the experiments are an 626 order of magnitude higher for the patches corresponding to the creeping zone than 627 those for patches corresponding to the partially locked zone. At increased 628 temperature, the values of $(a-b)\sigma_{eff}$ of patches corresponding to the creeping section 629 are in general higher than those for patches corresponding to the partially locked 630 section and dependent on the slip profile selected (perpendicular to the fault or 631 following the long-term slip vector). 632
- Addition of 12 wt% of kaolinite to LVF21 from the partially locked section to make it compositionally equivalent to LVF22 from the creeping section, did not significantly

635change its frictional behaviour. Microstructural observations suggest that the presence636and distribution of kaolinite may affect the frictional behaviour.

6. Overall, the frictional parameters derived from the experiments and those from
modelling the geodetic observations of postseismic slip transients are in remarkable
agreement, which supports the commonly used upscaling between laboratory
experiments and numerical modelling of fault slip.

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854 **Figure captions**

Figure 1. (a) Geological map of eastern Taiwan (Thomas et al., 2014b), modified from Wang

- and Chen (1993). The Coastal Range is composed of three accreted Mio-Pliocene volcanic
- islands (Tuluanshan Formation), three remnants of Plio-Pleistocene forearc basins and intra-arc
- basins (Fanhsuliao, Paliwan and Shuilien conglomerate), and the Pliocene collision Lichi

- mélange, which is related to the collision between the Luzon arc and the continental margin of
- 860 South China. The Peinanshan and the Wuho are post-collision conglomerates. Central Range
- formations that border the Longitudinal Valley, include slates and schist. CF = Chimei Fault;
- LVF = Longitudinal Valley Fault; CeR = Central Range; CoR = Coastal Range. (b) Interseismic coupling (ISC) distribution (Thomas et al., 2014a) and location of the samples used in this study.
- coupling (ISC) distribution (Thomas et al., 2014a) and location of the samples used in this study
 The ISC quantifies the degrees of locking of the LVF fault (ISC = 1, the fault patch is fully
- S1a). Black star indicates the epicenter of the 2003 Chengkung earthquake (Chung et al., 2008).
- 867 (c-f) Zoomed-in sections of the interseismic coupling map to display the location of the patches
- used to infer the frictional parameters on the fault, for this study.
- **Figure 2**. Friction coefficient versus displacement for selected samples from the partially locked
- (indicated with "locked" in figure) and creeping zone (LVF1, LVF21 and LVF34 versus LVF4
- and LVF22, respectively), at room temperature only (a) and elevated temperatures (b). The
- stepwise change in slip velocity is indicated by vertical ticks at the top of the diagrams.
- **Figure 3**. Rate and state friction parameters versus temperature for the samples investigated
- here. (a) direct effect a, (b) evolution effect b, (c) critical sliding distance d_c and (d) slip stability
- parameter (a-b). Values for velocity steps with an increase in velocity are shown as upward
- pointing triangles, while values for velocity downsteps are shown as downward pointing
- triangles. Closed symbols represent second state variables (b and D_c).
- **Figure 4**. Microstructures of samples sheared in this study. (a)-(c) are microstructures after
- experiments LVF1_RT (a), LVF21_RT (b) and LVF34_RT (c) performed on samples derived
- from the partially locked section (indicated with "locked" in the figure). (d) and (e) are
- microstructures after experiments LVF4_RT (d) and LVF22_RT (e) on samples derived from the
- creeping section. (f) is the microstructure after experiment LVF21_KAO_RT, performed on
- sample LVF21 from the partially locked section with added kaolinite to become compositionally
- similar to sample LVF22 from the creeping section (see Discussion). Shear sense is dextral in all micrographs as shown. Shear band nomenclature after Logan et al. (1992) and shown in (a).
- micrographs as shown. Shear band nomenciature after Logan et al. (1992) and shown in (a).
- **Figure 5**. Variation of the friction parameter $(a-b)\sigma_{eff}$ with temperature for laboratory-derived
- data (open triangle symbols) and inferred values using times series of slip at depth (solid
- symbols). For the latter, the corresponding temperature at the centre of the patches is based on
- the thermokinematic model of Simoes et al. (2007), as provided in Supporting Figure S6c.
- 890 Colored square symbols correspond to the patches at the location of the samples used for
- experiments. For comparison, values inferred at the neighbouring patches are given (black
- squares). Colored circle and diamond symbols correspond to the patches following an along-dip
- and a long-term slip vector profile, respectively (see Figure 1c-f for location). Grey background
 emphasizes the samples collected within a high-rate creeping zone.
- **Figure 6**. Friction coefficient versus displacement for samples with varying amounts of
- kaolinite: 0% for LVF21, 100% for pure kaolinite, 12% mixed kaolinite for 88:12 LVF21:KAO,
 and 12% natural kaolinite for LVF22 RT.
- 898

Figure1.



Figure2.



Figure3.



100 150 50 Temperature (°C) $\times 10^{-3}$ 100 150 50 Temperature (°C)







LVF1 LVF21 LVF22 LVF34 Figure4.



Figure5.



Figure6.

