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# Design and Applications of Protein Delivery Systems in Nanomedicine and Tissue Engineering

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## 10 Abstract

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Proteins are biological macromolecules involved in a wide range of biological 11 12 functions, which makes them very appealing as therapeutics agents. Indeed, 13 compared to small molecule drugs, their endogenous nature ensures their biocompatibility and biodegradability, they can be used in a large range of 14 15 applications and present a higher specificity and activity. However, they suffer from unfolding, enzymatic degradation, short half-life and poor membrane permeability. To 16 overcome such drawbacks, the development of protein delivery systems to protect, 17 18 carry and deliver them in a controlled way have emerged importantly these last years. In this review, the formulation of a wide panel of protein delivery systems 19 either in the form of polymer or inorganic nanoengineered colloids and scaffolds are 20 21 presented and the protein loading and release mechanisms are addressed. A section is also dedicated to the detection of proteins and the characterization methods of 22 their release. Then, the main protein delivery systems developed these last three 23 years for anticancer, tissue engineering or diabetes applications are presented, as 24 well as the major in vivo models used to test them. The last part of this review aims at 25 presenting the perspectives of the field such as the use of protein-rich material or the 26 sequestration of proteins. This part will also deal with less common applications and 27 gene therapy as an indirect method to deliver protein. 28

## 29 Keywords

Protein therapeutics, Drug delivery systems, Nanoengineered carriers, Scaffolds,
 Growth factors, RNase, Insulin, Tissue Engineering, Cancer, Diabetes

## 32 Highlights

- A wide range of delivery systems was recently developed to control protein
   release
- Delivery systems consist typically of polymer or inorganic colloids and scaffolds
- Various experimental and theoretical methods allow to characterize the protein
   release

Main applications of these systems are cancer, tissue engineering and diabetes

## 41 **I Introduction**

Proteins are biomacromolecules existing in a very broad range of biological 42 processes and displaying remarkable functions such as biocatalysis, high affinity 43 molecular recognition, activation/inhibition of cell pathways [1], which makes them 44 very interesting therapeutics agents. Indeed, they present a unique therapeutic 45 richness compared to small molecule drugs, with higher spectra of specificity and 46 activity [2]. Thus, they have been studied for decades in various applications: for 47 instance, ribonuclease A (RNAse A) has been studied for the treatment of cancer, 48 49 growth factors are widely used for tissue engineering and insulin is still the molecule of choice to treat diabetes. Another advantage of using proteins as therapeutic 50 agents rather than other molecules is their endogenous nature which makes them 51 biocompatible and biodegradable. It is also important to notice that their degradation 52 products are already known and eliminated by the body, which also has the 53 advantage to limit importantly any potential toxicity of the treatment. 54

However, proteins are fragile conformational macromolecular structures, 55 susceptible to unfolding and to enzymatic degradation and they present a short half-56 life. Combined with poor membrane permeability limiting their cellular internalization, 57 it necessitates frequent administrations [1,2]. To overcome these challenges, protein 58 59 delivery systems have been developed these last years to protect, carry and release 60 therapeutic proteins in a sufficient dose and only at specific sites without altering their 61 bioactivity. These systems can be formulated in a wide range of dimensions, from nanocolloid to macroscopic scaffold sizes, with tailored shapes and physicochemical 62 features and they can be designed to control the protein release thanks to local or 63 external stimuli. Such control is essential to bring a longer efficiency of the treatment 64 65 but also to avoid a burst release leading to the loss of the majority of the cargo and to a locally high concentration of protein which can potentially induce detrimental effects 66 [3,4]. If this control usually aims at providing a continuous release, it is interesting to 67 notice that some research works are also performed to design delivery systems 68 responding to external fields (light, magnetic field) endowed with a pulsatile release, 69 aiming at triggering in time and location adjusted amounts of drug released in the 70 71 body [5,6].

In this review article, we detail the recent design and applications of protein 72 delivery systems in nanomedecine and tissue engineering, which has been very few 73 reviewed these last years, by providing an up-date of various impacting works (non-74 exhaustive list) achieved these last years in this area. In the first section of this 75 review, the fabrication techniques of the different protein delivery systems are 76 presented: microparticles (MPs), nanoparticles (NPs), hydrogels (HGs), fibers, films, 77 patches and macroporous scaffolds (MacPSs). This section also describes the 78 various loading and release possibilities by giving insights into the release 79 mechanism from drug delivery systems including natural diffusion, hydrolytic erosion 80 or local biochemical stimuli (pH, redox, enzymes). In a second section, the 81 characterization techniques and mathematical models commonly used to detect and 82 quantify proteins and to study the release mechanism are presented. Then, the three 83

following sections present the protein delivery systems split into three categories: circulating, implantable and covering systems that are mainly used for anticancer, tissue engineering and diabetes applications. The *in vivo* models used to evaluate the efficiency of these systems, as well as the main experimental results, are presented in a dedicated section while the last one will present emerging designs of proteins delivery systems. The **Figure 1** gives a global view into the kind of delivery systems presented in this review as well as their most common applications.



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Figure 1: Overview of the different protein delivery devices and their applications

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## 94 II Formulation and physico-chemistry

## 95 II.1 Fabrication techniques

Protein delivery systems are designed with range of dimensions and shapes –MPs
 orNPs, HGs, films or patches, fibers, MacPSs – as represented in Figure 2.
 Whatever the chosen formulation, it is also possible to load a macroscopic scaffold
 with MPs or NPs to improve its mechanical strength or to trigger drug release by local
 or external stimuli when using stimuli responsive MPs/NPs. In this section, the
 common fabrication techniques are reviewed for the different delivery devices.



## Macroporous scaffolds

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Figure 2: Overview of the different protein delivery devices

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## 104 II.1.1 Micro- and nano- particles

MPs and NPs are promising tools to deliver proteins as they have the adequate size to be internalized by cells and can then directly deliver proteins to the intracellular environment. Besides, these systems are highly tunable by chemical or physical processes. They can be made with organic or inorganic materials, in the form of plain particles or capsules, or even in more complex assemblies such as micelles or exosomes.

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## 113 *II.1.1.1 Emulsification*

The emulsion solvent evaporation is a simple and rapid fabrication method 114 allowing the synthesis of MPs and NPs. The basic principle of an emulsion is to form 115 droplets by mixing a first phase in a second immiscible one and then to eliminate the 116 solvent. An emulsifier, such as polysorbate, can be added to the preparation to 117 stabilize the emulsion. Even if this technique is very common to obtain poly(lactic-co-118 119 glycolic acid) (PLGA) [7] or chitosan (CHI) [8] particles, it can also be extended to many biological macromolecules as building blocks. For example, Rinker et al. 120 reported the synthesis of heparin MPs by mixing an aqueous solution of heparin in 121 corn oil, which is the classic water-in-oil emulsion technique [9]. More interestingly, 122 they used it again to entrap these heparin MPs in bigger MPs mainly composed of 123 poly(ethylene glycol) (PEG). Conversely, oil-in-water emulsion is also used to obtain 124 particles. Recently, this technique has been reported to be useful to obtain Eudragit 125 S100 polymer hollow MPs with pH-sensitive pores [10]. One big advantage of this 126 technique is the easy loading of drugs by simply blending it in the adequate phase. 127 128 For example, Galliani et al. reported the synthesis of PLGA/poly(ethylene imine) (PEI) NPs loaded with cross-linked aggregates (CLAs) made of bovine serum 129 albumin (BSA) or cross-linked enzymes aggregates (CLEAs) made of superoxide 130 dismutase (SOD). These CLAs or CLEAs were mixed with PLGA and PEI to obtain 131 the oil phase that was further added dropwise to a poly(vinyl alcohol) (PVA) aqueous 132 solution to obtain the NPs [11]. 133

Single emulsion can be used as it is or in combination with other processes such 134 as cross-linking [8,12] or external gelation [13]. Cross-linking CHI MPs is widely used 135 with the nature and amount of cross-linker being important parameters to control. 136 Recently, a study reported the chain length influence of dicarboxylic acid linkers on 137 138 the properties of CHI MPs [8]. The results showed that the increase of the carbon chain length induces an increase in size, swelling ratio, erosion, encapsulation 139 efficiency and loading capacity. For the release, the increase of the chain length 140 141 increased the initial burst release and cumulative release of BSA, which is in agreement with the higher swelling ratio and erosion associated with the 142 corresponding carbon chain length. Cross-linking has also been used to obtain 143 polypeptide-based gel particles to combine the features of NPs and HGs [12]. A wide 144 range of gel particles has been synthesized using water-in-oil emulsion further cross-145 linked with genipin, a much less toxic cross-linker as compared to the conventional 146 glutaraldehyde. Upon their characteristics, the study notably showed the efficient 147 encapsulation of BSA, myoglobin and lectoferrin and most importantly, it was 148 reported that their bioactivity was retained. The use of a disulfide cross-linking agent 149 showed the possibility to release BSA in the presence of glutathione, a naturally 150 reducing agent of the cytoplasm. Single emulsion can also be used in combination 151 with polymerization. As an example, Zhang et al. used the reverse emulsion 152 polymerization technique to obtain hydroxypropyl-β-cyclodextrin microspheres [14]. 153 More precisely, they emulsified the hydroxypropyl- $\beta$ -cyclodextrin which then 154 polymerized to form the microspheres. 155

Double emulsion technique is also operated to obtain MPs and NPs with the water-in-oil-in-water being the most used procedure and PLGA being the most commonly used polymer. A recent study aimed at tailoring PLGA MPs to obtain a controlled delayed release of the cytokine CCL25, a chemoattractant of mesenchymal stem cells (MSCs), by playing on the polymer molecular weight, chemical functionalization, emulsion type and amount of BSA excipient [15]. Another study reported the combination of lipids and PLGA to encapsulate and release

lysozyme, showing a better encapsulation efficiency and reduction of burst release of 163 this enzyme with a higher content of PLGA [16]. This technique has also been used 164 to integrate superparamagnetic iron oxide NPs (SPIONs) in the PLGA shell in core-165 shell particles encapsulating BSA [17]. The goal of this study was to exploit the 166 superparamagnetic properties of SPIONS to obtain a better cellular uptake and 167 immune response. This double emulsion process has been modified by Chen et al. 168 as solid-in-oil-in-water process to encapsulate BSA-loaded lecithin NPs in PLGA MPs 169 [7]. The term "solid" used here refers to the anhydrous reverse micelle made of BSA 170 171 surrounded by lecithin.

As said before, the advantages of the emulsion technique are its easy handling and the possibility to load the therapeutics by blending it within the phase of interest. Unfortunately, this can lead to denaturation because the therapeutics can be in contact with an unfavourable phase or can be damaged by the mechanical stress induced by the emulsion creation process (vortex, sonication) [10].

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## II.1.1.2 Polymer self-assembly

Another well-known technique to obtain MPs and NPs is the self-assembly based 179 on intra- or intermolecular interactions. In such formulations, the protein can be 180 trapped in the self-assembled system due to interactions with the polymer material or 181 the protein is one of the material that self-assembles to form the delivery system. Lv 182 et al. used this technique in a recent study where they reported the synthesis of a 183 library of dendrimers functionalized with fluoroalkyls and fluoroaromatics. The 184 objective was to obtain an efficient fluorodendrimer able to self-assemble via 185 fluorophilic effect, to entrap proteins through ionic interaction and to deliver it to the 186 cytosol [18]. More recently, a study reported the use of the self-assembling technique 187 to obtain metal-organic frameworks (MOFs) by mixing zinc ion with imidazole-2-188 carboxaldehyde and proteins. The study notably showed the effective intracellular 189 delivery of two enzymes involved in genetic material reactions: RNase A and 190 191 genome-editing Cas9 nuclease [19].

The cross-linking can also be part of the self-assembling process as in the ionic 192 gelation process. This technique is widely used to obtain CHI particles. Briefly, the 193 cationic form of CHI is mixed with anionic cross-linker, the most common one being 194 tripolyphosphate (TPP), and the ionic complexation leads to CHI gelation and 195 precipitation in particle form. Thus, the cross-linking of CHI by cationic/anionic 196 interaction is the self-assembling phenomenon leading to the formation of CHI 197 particles. CHI can be used as it is [20] or after functionalization, as reported by Song 198 et al. who synthesized carboxymethyl-β-cyclodextrin grafted CHI NPs (CMCD-g-CHI) 199 200 [21]. Another example of ionic gelation is the synthesis of gellan gum MPs with aluminium ions [22]. 201

Finally, self-assembly is the driving mechanism to obtain micelles which consists 202 in the spatial arrangement of amphiphilic species into a core-shell system where the 203 hydrophobic parts segregate within the core and the hydrophilic parts locate in the 204 shell. Meng et al. reported the synthesis of Pluronic F127 (PF127)/D-α-tocopheryl 205 polyethylene glycol succinate (TPGS) micelle by thin-film hydration to specifically 206 deliver proteins to the brain [23]. In another study, Gao et al. reported the 207 stabilization of recombinant human growth hormone (rhGH) by sugar glass NPs 208 obtained from inverse micelles [24]. 209

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II.1.1.3 Extrusion and spraying

Extrusion is a general technique in which the precursor solution is forced to go through a nozzle whose cross-section dictates the dimensions of the final material. This process has been used to obtain CHI/polyphosphoric acid (PPA) beads to encapsulate several proteins including BSA, insulin, casein hydrolysate and whey protein isolate (WPI) [25].

Spray drying is the extrusion way allowing the fabrication of drug powders. It 217 consists of mixing the interesting therapeutics with an excipient and to spray it 218 through an atomizer into a chamber where it will be dried by hot gas and precipitated 219 into nanopowder. Several factors influence the final product such as the composition 220 of initial solution and its temperature at several points of the process [26]. Wu et al. 221 specifically studied the effect of excipients on the encapsulation and release 222 properties of solid/lipid MPs obtained by spray drying. Insulin-phospholipid were then 223 formulated with several excipients: glycerol monostearate, distearate, tribehenate 224 and tristearate. The results showed that the spray drying process does not impact 225 insulin conformation and that triglycerides with long chain reduces the burst release 226 in a significant way compared to PLGA [26]. A possibility to play on the solvent is the 227 use of supercritical fluid-assisted spray drying (SASD), and notably supercritical CO<sub>2</sub> 228 (scCO<sub>2</sub>)-assisted spray drying, as it is supposed to reduce organic solvent quantities 229 and temperatures. This technique has been used to synthesize PLGA particles 230 containing BSA and L-leucine, which role was to enhance the dispersion of the 231 powder, for vaccine delivery to the lung [27]. 232

The electrospraying process, also known as electrodynamic spraying, is another 233 spraying method where a high electrical potential is applied to the atomizer, leading 234 to the formation of charged droplets that dry before reaching the collector. This 235 technique does not require chemical modifications and allows high encapsulation 236 efficiency, notably of sensitive molecules such as proteins as it does not expose them 237 238 to unfavourable solvents or shear stress as required in emulsions. As an example, 239 Chen et al. operated coaxial electrospraying to obtain murabutide and ovalbumin (OVA)-loaded acetalated dextran MPs with several degradation profiles [28]. 240

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## II.1.1.4 Microfluidics

Microfluidics is a pioneering technique to fabricate micro- and nano-materials 243 presenting several advantages. The mixing is notably changed compared to 244 245 conventional reactors as it is faster and more intensive, which leads to increased reaction kinetics and allows fast screening of experimental conditions. Besides, the 246 surface-to-volume ratio can be tuned by changing the microchannel size and shape 247 and the operations can be automatized, which reduces variations between 248 experiments [29]. It can then be used to obtain inorganic particles as well as 249 polymeric particles. For example, Hao et al. used this technique to synthesize hollow 250 spherical silica with sponge-like pores with a system containing two inlets [29] while 251 Foster et al. reported the use of microfluidics-based polymerization to obtain PEG 252 microgels [30]. More recently, Yu et al. designed a four inlets microfluidics systems to 253 254 synthesize PEI/CHI-coated alginate MPs [31]. The first two inlets were used to form water/protein core-alginate shell MPs, with CaCO<sub>3</sub> present in the alginate phase. The 255 third inlet contained only a mineral oil to drag the particles while the fourth inlet 256 contained the same mineral oil mixed with acetic acid. The acetic acid released the 257 calcium ions from CaCO<sub>3</sub>, leading to the internal gelation of the alginate shell. The 258 particles were then collected in a calcium chloride (CaCl<sub>2</sub>) solution to have an 259 external gelation of the alginate. The advantage of having the four inlets was then to 260

have a high control of the particle size and to introduce an internal gelation of alginate prior to the external gelation, the combination of both improving the stability of the particles.

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II.1.1.5 Synthesis and functionalization of inorganic colloids

Mesoporous silica nanoparticles (MSNs) are widely used in biomedical 266 applications. The silica synthesis was historically reported by Stöber et al. in 1968 267 [32], also known as the sol-gel process while the introduction of mesopores was 268 pioneered in the 1990's by Mobil Corporation laboratories. The sol-gel reaction 269 consists in the hydrolysis and condensation of a silica source, 270 mostlv tetraethoxysilane (TEOS), in the presence of a catalyst in a water/ethanol/ammonia 271 mixture. More precisely, this process leads to the formation of silica particles, and the 272 273 pores are obtained by the addition of a surfactant such as cetyltrimethylammonium bromide (CTAB) [33]. The reaction is influenced by the composition of the solvent 274 mixture, the surfactant used and the temperature. The process can also be adapted 275 276 in order to obtain different shape. For example, Deng et al. reported the synthesis of silica nanotubes using the emulsion linear-merging growth technique [34]. More 277 precisely, they operated the reaction in 1-pentanol, exploiting the formation and 278 assembly of water droplets to obtain the nanotube shape. An additional feature of 279 280 this work is that the authors could obtain an asymmetric chemical surface by using different silane molecules for the reaction. The use of a specific organosilane for the 281 synthesis to add a chemical function to silica material has also been reported by 282 Shao et al [35]. Of course, the chemical functionality can also be added after the 283 synthesis [36]. These last years in our groups, we have notably deeply investigated 284 the potential of isobutyramide binders grafted on silica surface [37,38] to non-285 covalently immobilize various types of proteins films for drug delivery [39] and 286 bioimaging [40,41]. It is also noteworthy that mesoporous particles can be used as 287 material without chemical modification. For example, Wang et al. used MSNs as a 288 building block to obtain supraparticles and used it as a BDNF delivery system for 289 hearing loss therapy [42]. 290

<sup>291</sup> CaCO<sub>3</sub> MPs also attracted attention in biomedical applications due to several <sup>292</sup> properties and notably the easy synthesis. Indeed, these particles can be obtained by <sup>293</sup> simple co-precipitation of CaCl<sub>2</sub> and sodium carbonate (Na<sub>2</sub>CO<sub>3</sub>) [43]. The use of <sup>294</sup> scCO<sub>2</sub> has also been developed, bringing better control of the MPs size [44].

Another technique to formulate mineral particles is ion etching. More precisely, it can be used as perforation etching to form porous particles [45,46] or as ion etching, as reported by Bae *et al.*, who used calcium ion etching to enlarge pores in cubic MSNs [47].

Finally, metallic or metal oxide particles are other kinds of particles presenting interesting properties for the delivery of drugs such as proteins. For example, iron oxide NPs were synthesized by annealing temperature directly inside the pores of MSNs to add a magnetic responsive property to the protein delivery system [33]. In another study, arginine-functionalized gold NPs were synthesized by phaseexchange reaction before being mixed with E-tagged protein to form nanoassemblies [48].

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*II.1.1.6 Template assisted method* 

Another common technique to obtain particles and capsules is the use of a 308 sacrificial template. The well-known technique to obtain capsules is the layer-by-layer 309 (LBL) assembly, where several layers of polymers are deposited around a template 310 thanks to intermolecular interactions. The strength of the assembly can be based 311 only on the force of the interactions, can be reinforced by cross-linking and 312 additionally tailored to be responsive to a local stimulus. This idea has been operated 313 by Yang et al. who added a thiol function to hyaluronic acid (HA) and CHI before 314 using them as LBL materials. The layers were then cross-linked by oxidation of the 315 thiol groups by horseradish peroxidase (HRP), leading to the formation of disulphide 316 bonds which are redox-responsive [43]. In this case, the sacrificial template was 317 CaCO<sub>3</sub> MPs, a material of choice to be used as template to formulate protein-loaded 318 particles or even protein particles as developed since decades by D.V. Volodkin et al. 319 [49,50]. 320

Silica particles are also a very common sacrificial template. For example, Tan *et al.* used mesoporous silica to synthesized poly(L-glutamic acid) (PGA) particles [51]. In this case, the PGA material infiltrated in the mesoporous silica before removal of the template, resulting in the obtention of porous particles. The isobutyramide functionalization of silica developed in our group has also been used to produce nano/micro protein capsules for different applications: drug delivery [52], biocatalysis [53] and siRNA delivery [54].

An interesting study also reported the use of proteins as a core template for the surrounding assembly of polymers, forming a kind of shell that was further crosslinked [55]. This approach is similar to the layer-by-layer self-assembly technique, This template can be removed to obtain hollow capsules. Similarly, the on calcium carbonate (CaCO<sub>3</sub>) MPs sacrificial template..

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## II.1.1.7 Cell exosome production

Cell production is the technique used to obtain exosomes, as they are nanosized extracellular vesicles and are produced by most of the cells. Usually, the cell line is transfected with the desired plasmid to produce exosome with specific functionalization. For example, HEK293T cells have been transfected with a plasmid encoding for PH20 hyaluronidase [56] in a study and with signal regulatory protein  $\alpha$ (SIRP $\alpha$ ) plasmid in another one [57]. The PH20 hyaluronidase and SIRP $\alpha$  were then included in the structure of the exosome.

## 342 II.1.2 Hydrogels

HGs are three-dimensional hydrophilic networks able to absorb a large amount of water. They are also highly tunable, notably on the viscoelastic point of view, making them very interesting in tissue engineering as they can be formulated to have the same mechanical properties of the damaged tissue. In this section, the different HG cross-linking strategies will be described.

348 II.1.2.1 Self-polymerized hydrogels

Self-polymerized HGs refer to HGs that are formed through polymerization of monomers or macromonomers with the creation of chemical cross-linking points. This technique has for example been used by Lima *et al.* who modified alginate with glycidyl methacrylate for further radical copolymerization with sodium acrylate and Nvinyl-pyrrolidone [58]. The technique can also be used with a pre-formed polymer as

developed by the group of Wei Dong. This group used salecan as one of the building 354 blocks of HGs and polymerized 2-(dimethylamino) ethyl methacrylate (DMAEMA) in a 355 solution containing salecan to obtain a salecan/PDMAEMA interpenetrating HG [59] 356 or grafted 2-acrylamido-2-methyl-1-propanesulfonic acid (AMPS) directly to salecan 357 to polymerize it and obtain salecan-g-PAMPS HG [60]. 358

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## II.1.2.2 Covalent cross-linking of pre-formed polymers

Here, the polymers are already formed and the cross-linking is induced. Two 361 possibilities appear: the addition of a cross-linker or the self-cross-linking of the 362 polymer. The work of Olthof et al. illustrates the first possibility as they used UV 363 irradiation of a mixture of oligo[(polyethylene glycol) fumarate]/ N-vinyl pyrrolidinone/ 364 bis[2-(methacryloyloxy) ethyl] phosphate to obtain chemical cross-linking [61]. The 365 main drawback of this technique is the possible toxicity and the reactivity of the 366 chemical cross-linker. The second possibility can be illustrated by the work of 367 McAvan et al., who used the deprotection of cysteine at the end of each arm of a 4-368 armed PEG to form intermolecular disulfide bonds by exposition of the thiol groups 369 [62]. In addition, Ma et al. reported the use of Schiff base reaction to obtain HA/ y-370 PGA HG [63] and Koshy et al. the use of the inverse electron demand Diels-Alder 371 reaction to have the spontaneous cross-linking between alginate-norbornene and 372 alginate-tetrazine [64]. 373

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## II.1.2.3 Physical hydrogels

Physical HGs are cross-linked by physical interactions, such as hydrogen bonding 376 377 [65,66], hydrophobic [67], electrostatic [65], van der Waals or even  $\pi$ - [66] interactions. This physical cross-linking can occur spontaneously or can be induced 378 by the change of environmental conditions, the most common one being the change 379 in temperature. This is the common conditions used to obtain whey protein HGs, as 380 the heating partially denatures the protein, leading to exposure of non-polar moieties 381 and then to the aggregation of the protein in the form of HG [68]. More recently, the 382 use of thermoresponsive particles that self-assemble into HG upon hydrophobic 383 interaction through heating has also been reported [69]. Another possibility to induce 384 physical cross-linking is a change of light intensity. For example, Wang et al. used 385 the ability of the C-terminal adenosylcobalamin binding domain (CarHC) of CarH 386 protein to tetramerize in the dark when binding to adenosylcobalamin (AdoB<sub>12</sub>) to 387 obtain an HG [70]. When exposed to light, the tetramer is disrupted and thus the HG 388 389 formulation loses its integrity.

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## II.1.2.4 Ionic gelation

Finally, HGs can be obtained through ionic gelation, which here means that the 392 cross-linker is an ion. This technique is the one already described to obtain some CHI 393 394 particle. It has been used by Hettiaratchi et al. to obtain alginate HG cross-linked with calcium sulfate [71] and also by Lokhande et al. to obtain κ-carrageenan HG cross-395 linked with potassium ions [72]. 396

#### 397 II.1.3 Fibers

Fibers are interesting drug delivery devices (DDS) notably in tissue engineering as 398 399 their interconnected porous structure can mimic the fibrous one of extracellular matrix (ECM) or even of neurons. They also have a high surface area and the ability to load
 several types of drugs and, more importantly, biological molecules.

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## II.1.3.1 Single electrospinning

Electrospinning is the most widely used technique to produce fibers due to many 404 advantages such as the simplicity, the cost-effectiveness and the adaptability to a 405 406 wide range of polymers either natural or synthetic [20,73]. In this fabrication technique, an electrical potential is applied to a polymer solution by forcing it to move 407 through a charged needle. The electrostatic force between the charged needle and 408 the grounded collector leads to the formation of a jet called a Taylor cone, and this jet 409 dries before reaching the collector, forming a fiber [74]. The parameters influencing 410 the results are mainly the polymer properties (molecular weight, conductivity, 411 412 viscosity), the voltage, the distance between the needle and the collector, and the size of the needle. This technique can be used with a single polymer solution [20] or 413 with a mixture of polymers [73]. The fibers can then be used as they are or treated 414 415 after synthesis. For example, oxygen plasma treatment can be used to increase the hydrophilicity of the fibers or to functionalize it with chemical functions [20,75]. 416

The shape of the collector is another interesting parameter to change. Most of the time, fibers are collected on a grounded plate or a cylinder, but it is also possible to collect them between two negatively charged plates or piers (spheres). This is reported as the two-pole air gap electrospinning [76,77]. This way of synthesis allows, for example, the fabrication of nerve conduit with the fibers aligned in the same direction of the conduit, which can help to direct axons growth [77].

Although electrospinning is easy to handle, it has a major disadvantage: it generally necessitates the use of an organic solvent to dissolve the polymer, which is not a good environment for sensitive molecules such as proteins [46].

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## II.1.3.2 Coaxial electrospinning

Coaxial electrospinning allows the synthesis of core-sheath fibers. Even though it 428 is more difficult to operate, it brings some advantages compared to single 429 electrospinning: first of all, it allows the use of different solvents, such as hydrophobic 430 and hydrophilic, allowing the loading of a wide range of molecules. Then, when a 431 therapeutic is loaded in the core fiber, the sheath can protect it from the environment, 432 allowing the loading of sensitive molecules. This kind of fiber is very interesting as it 433 impacts the release profile of the drug loaded in the core, but it also allows the 434 loading of different drug in the core and the sheath with different release kinetics 435 [78,79]. As for monolithic fibers, core-sheath fibers can be functionalized after 436 synthesis, as reported by Wang et al. who treated the fibers with cold atmospheric 437 438 plasma to increase the pore size and the hydrophilicity to improve cell attachment and proliferation [80]. 439

The integration of particles in such fibers has been reported in different ways: they have been blended in the core solution [79] or they have been electrosprayed on the fibers during the coaxial electrospinning process [81].

443 444 *II.1.3.3 Other techniques* 

A quite new method to synthesize fibers is the rotary jet spinning. This technique uses high-speed rotation to obtain aligned fibers. More precisely, the polymer is injected to a reservoir fixed on the shaft of a motor. When the motor is rotating, the polymer is extruded through a hole in the reservoir by centrifugal force, leading to the formation of a jet from which the solvent evaporates, forming a fiber. The properties of the fibers can then be controlled by the properties of the polymer solution itself, the diameter of the hole and the rotation speed [74,82]. As an example, this technique has notably been used to synthesize soy protein/cellulose nanofibers [82]. Compared to electrospinning, this technique has the advantage to not need an electrical field, which removes a stress applied to the polymer and its cargo [74].

Finally, fibers can also be synthesized by spray nebulization, as reported by Zuidema *et al.* to avoid the electrical field needed for electrospinning and the resulting stress induced to the loaded therapeutics [46].

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## II.1.4 Films and microneedle patches

Films are thin layers of material and are very interesting in the field of transdermal delivery as they can replace classical subcutaneous injections and notably reduce pain. Microneedles (MNs) patches are in the same family but present an array of micron-sized needles able to penetrate the epidermis with low depth, reducing pain and damages to the subcutaneous tissue.

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## II.1.4.1 Layer-by-layer assembly

The LBL process is a very suitable technique to incorporate proteins in a drug 467 delivery film as it is operated in soft conditions and on any template, whatever the 468 size, shape, porosity or surface chemistry, notably allowing a very high tunability of 469 the system [83-85]. The operation principle is to alternatively adsorb two materials on 470 a surface thanks to complementary interactions. Most of the time, two polymers are 471 adsorbed on a surface by alternative immersion in the polymer solutions. Regarding 472 these interactions, if electrostatic ones are the most common, the integrity of the 473 assembly can be due to other non-covalent (H-bond, van der Waals, hydrophobic), 474 covalent or even, bio-specific bonds [86,87]. 475

Regarding materials, and especially in the scope of this review, proteins can also 476 be used as a layer type of the system without any modification [85,88,89] or after 477 functionalization, as reported by Zhao et al. who PEGylated salmon calcitonin 478 (PEGsc) before use with tannic acid to obtain hydrogen-bonded LBL film [87]. In 479 addition, they can be used in combination with another polymer, as developed by 480 481 Straeten et al. Indeed, they reported the use of protein-polyelectrolyte complex, more precisely lysozyme-poly(styrene sulfonate) (PSS) complex, as building block to 482 synthesize an LBL film in combination with poly(allylamine hydrochloride) (PAH) 483 [83,84]. 484

Regarding the fabrication technique, adsorption by dipping method is almost the only one used, but inkjet-printing has also been reported with some advantages: it is a non-contact technique, meaning that the therapeutic is not in contact with the second material solvent or surfactant. Besides, it brings a high control of the coating through the formation of the droplets and this brings an economical feature as it can reduce the quantities of expensive therapeutics needed [89].

The LBL easily allows the tunability of the film properties, notably by the strength of the interactions, by the number of layers and by the possible cross-linking between layers [86].

494

### 495

## II.1.4.2 Solvent evaporation

Solvent evaporation is a very easy way to prepare films. The desired solution is simply poured on a surface, or even in a mold to have a specific shape, and dried [90–92]. This is mainly reported as the solvent casting method [91] but can also be
referred as the dry phase-inversion when the main component is a polymer, as this
means that the polymer solution turns into a gel phase by solvent evaporation [92].

502 *II.1.4.3 Molding* 

The molding process, which can also be referred as the casting process, is mainly 503 operated to fabricate MNs patches. The first male mold can be bought or fabricated 504 to obtain a specific MN shape and is usually done with poly(methylmethacrylate) 505 (PMMA), but metallic male mold has also been reported [93]. This male mold is used 506 507 to fabricate a female mold, sometimes named inverse MNs patch, most often with polydimethylsiloxane (PDMS) and this mold is finally used to fabricate the final MNs 508 patch with the desired polymer materials. During the process, high-speed 509 centrifugation can be used to ensure a complete filling of the mold with the polymer 510 solution [93–95]. These MNs patches can be done with only one polymer material or 511 several. For example, Liu et al. reported the fabrication of poly(vinylpyrrolidone) 512 (PVP) MNs patches in two steps: first the filling of the inverse MNs of the mold with 513 CaCO<sub>3</sub> MPs-loaded PVP solution, second the formation of the top layer with only 514 PVP solution [94]. In another hand, Seong et al. reported the fabrication of double-515 layer MNs patch with a swellable poly(styrene)-block-poly(acrylic acid) (PS-PAA) 516 external layer and a non-swellable PS internal layer [93]. 517

518 519

501

## II.1.4.4 Other techniques

520 Films and MNs patches can be obtained by other methods. For example, the use of cross-linking allows the formation of films from another kind of device. This has 521 been used by Zhang et al. who cross-linked a chitosan HG solution into a mold to 522 523 obtain a CHI HG film [96]. The cross-linking technique has also been operated by Qi et al. who first prepared zein fibers by electrospinning and then cross-linked the 524 matrix to obtain a fibrous film [97]. Films can also be naturally synthesized by 525 bacterial culture. For example, this has been exploited by Cacicedo et al. to obtain a 526 bacterial cellulose film, produced by Komagataeibacter hansenii as Levofloxacin 527 (Levo) delivery system [98]. 528

529 At last, the use of 3D printing has also been reported to fabricate MNs patches 530 with pyramid or spear MNs shape, further coated with insulin-sugar film by inkjet-531 printing [99].

532 533

## II.1.5 Macroporous scaffolds

534 MacPSs are 3D interconnected porous structures highly used in bone tissue 535 regeneration due to their strength and ability to support cell migration and 536 proliferation.

537 538

## II.1.5.1 Freeze-drying

Freeze-drying, also known as lyophilisation, is the most used technique to 539 fabricate MacPSs. The principle is to freeze the material and then to eliminate the 540 solvent by sublimation, which is the direct transition from solid state to gas state. 541 Quite obviously, the procedure is influenced by the pressure and temperature in the 542 freeze-drying chamber. The freeze-drying of materials can be done in a mold 543 544 [100,101] or not and with only one polymer material [102-105] or several [100,101,106,107]. The incorporation of particles can easily be done on this kind of 545 scaffold [100,101,105–107], but the integration of fibers has also been reported [104]. 546

Here again, freeze-drying can be combined with other techniques used in device 547 fabrication. Cross-linking is notably used to reinforce the final scaffold, and it can be 548 549 done before the freeze-drying treatment [102,106] or after it [104,108]. Other interesting combinations have been reported. For example, Li et al. fabricated a 550 device by freezing alternate layers of silk fibroin solution and silk fibroin MPs through 551 an LBL process before freeze-drying [105]. Another example is the one reported by 552 Wang et al. In their work, they first prepared a gelatin scaffold by freeze-drying and 553 cross-linking with glutaraldehyde. The scaffold was then functionalized with bacterial 554 cellulose by incubation in bacterial culture and with heparin via EDC/NHS reaction 555 [108]. 556

557 558

## II.1.5.2 Template assisted method

559 Template assisted method refers to a technique in which a template is used to give a specific structure to the scaffold and is then removed, leading to the other 560 designation: template-leaching technique. The template can be of any type as long 561 as they can be removed without affecting the fabricated scaffold. A very common 562 type of template is spheres to obtain highly porous scaffold. For example, the use of 563 sugar spheres has been reported to fabricate a hydroxyapatite (HAP) scaffold [14] by 564 extruding the HAP solution to a cylindrical mold containing the sugar spheres. The 565 resulting scaffold were then immersed in water and the sugar spheres were slowly 566 dissolved while the HAP sol gelated. The use of paraffin spheres has also been 567 reported to obtain a 3D gelatin nanofibrous scaffold by combining thermally induced 568 phase separation (TIPS) and this template-leaching technique [109]. In this case, the 569 spheres were removed by immersion in hexane. The template can be more complex, 570 as reported by Bastami et al. who used a polyurethane (PU) foam as template, cut in 571 572 a cubic shape with two orthogonal canals in the interior, to obtain a  $\beta$ -tricalcium phosphate (β-TCP) scaffold [110]. 573

574 575

## II.1.5.3 3D printing

576 Finally, 3D printing is also used to fabricate MacPSs as it allows good control of 577 the structure and good reproducibility. The synthesis of protein delivery devices made 578 of poly(lactic acid) (PLA) [111] or polycaprolactone (PCL) [112] using this technique 579 has been reported. Here again, the use of a mixture of material is also possible. As 580 an example, J. Lee and G. Kim reported the synthesis and characterization of 581  $\alpha$ -TCP/collagen (COL) scaffolds made by low-temperature printing and room-582 temperature printing [113].

583 584

585

II.2 Loading of protein and control of the release

## II.2.1 Integration of proteins in the system

The loading of proteins in a delivery system can be done by three techniques: blending, adsorption or covalent immobilization.

The blending technique consists of mixing the protein with the raw material constituting the scaffold (polymer, molecules, sol-gel precursor etc..) and then to formulate this material in the desired shape. If this technique is easy, it necessitates however having a good solubility of the protein in the material and a main drawback is the possible denaturation of the protein due to the fabrication process. For instance, the electrical stress induced by electrospinning when fabricating fibers is reported to induce such effect [46]. The adsorption technique is also very easy to operate. It only consists of incubating the DDS in the protein solution or to pipette the protein solution onto the system, and to let it diffuse and being adsorbed by the DDS. The main drawback linked to this technique is that the adsorption is a physical phenomenon which can be too weak to retain a large amount of proteins. In addition, burst release is often observed due to the desorption of proteins present at the surface of the DDS, which can induce locally high drug concentration and lead to side effects.

Finally, the immobilization process consists of the covalent linking of the protein to the material. This allows a very good anchor of the protein but it has also its drawbacks. It is necessary needed that the protein is not denaturated by the covalent immobilization which can potentially lead to a conformational change, or that the biological function (enzymatic, receptor, etc...) site is still available and active. Besides, this technique may lead to a few anchored proteins due to a low grafting yield or even low percentage of available reactive sites on the material. A representation of these loading technique is given in **Figure 3**.

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- II.2.2 Release of proteins
  - II.2.2.1 Generalities

Several mechanisms can be involved in the release of drugs from a delivery 613 system, alone or in combination. The first one is the desorption of drugs from the 614 surface, leading to a burst release. A possible solution to avoid this uncontrolled 615 release is to add standardized washings to the fabrication protocol to remove the 616 poorly bound proteins and only keep the strongly bound ones. The main drawback of 617 this technique is the reduction of the loading capacity, and the possible difficulty to 618 release the cargo. The challenge is then to design a delivery system that could 619 release the proteins in a controlled and efficient way due to specific stimuli. The 620 second mechanism is the diffusion of the drug through the matrix, which can be 621 helped by the swelling of this matrix, as it introduces more solvent inside the device. 622 The third one is the natural erosion of the system. Indeed, implantable systems are 623 often designed to degrade with a specific speed to let the place to new tissue. This 624 degradation rate leads to controlled delivery of the entrapped therapeutic. This 625 erosion can occur naturally or be driven by a sensitivity of the system to specific 626 conditions such as pH, Reactive Oxygen Species (ROS) concentration, glucose 627 concentration, etc... referred here as local stimuli. And the final one is the 628 degradation of the system due to external stimuli such as light (visible or near-629 infrared (NIR)), magnetic field or even mechanical stimuli [114]. 630

- These general mechanisms are represented in **Figure 3**.
- 632



633 634

Figure 3: Protein loading and release methods

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## II.2.2.2 Local stimuli

Local stimuli are due to the natural change of physiological conditions, such as the difference of the pH value in gastric fluid and intestinal fluid, to give only one example. If pH is the most commonly used stimuli, it is not the only one: redox, ROS, glucose and protease sensitivity are also used to design delivery devices. We detail these local stimuli in the next paragraphs.

- 642
- 643 i) pH

The most common used local stimulus is the pH, which effect can be non-644 destructive or destructive. The non-destructive effect implies that the change of pH 645 induces a change of interactions, which itself can induce a swelling/shrinking of the 646 647 system. Several MPs systems have been developed using this change of interaction, notably for delivery through the intestine pathway, such as acetylated CHI/pectin MPs 648 [115], alginate/CHI MPs [116] or even gellan gum MPs coated with retrograded 649 starch and pectin [22]. In this last case, the authors showed very low release in acidic 650 media and explained that it comes from the protonation of the carboxyl group of 651 gellan gum. This protonation promotes electrostatic interactions between the 652 polymers, making the organization tighter and then preventing insulin release. The 653 pH sensitivity has also been used by the group of Wei Dong, who introduced pH-654 sensitivity to salecan-based HGs by adding PDMAEMA or PAMPS. The PDMAEMA 655 notably brought pH-, ionic strength- and temperature-dependent swelling/shrinking 656 [59] while the PAMPS only induced pH-sensitivity, which played on electrostatic 657 interactions and so on swelling behaviour [60]. For the destructive effect, this means 658 that bonds are cleaved or that the change of pH leads to the degradation of the 659 system. For example, in Tian et al. work, the acidic pH cleaves the imine bond 660 between primary amine of BSA and aldehyde function grafted to the dendritic MSNs 661 [36]. In contrast, amino acid-modified CHI nanocapsules (NCs) and anionic 662 carboxymethyl starch/cationic guaternary ammonium starch NCs were decomposed 663 at pH 5.5 and 6.8 respectively [117,118]. The disruption of films has also been 664

reported. For example, He et al. reported the synthesis of an LBL film-coated MNs 665 patch with charge-invertible micelle as first layer. When pH is increased, the micelle 666 667 charge is inverted and the rest of the film is delivered from the MNs patch [88]. More recently, Straeten et al. reported the disruption of an LBL film due to a change of 668 interaction when pH is changed. The film is composed of PAH as weak polycation 669 and a complex PSS/lysozyme, with PSS being a strong polyanion. When pH is 670 decreased under the pKa of PAH, the interaction between PSS and PAH becomes 671 stronger and the film is disrupted, releasing lysozyme [84]. 672

673

## 674 ii) Redox and ROS

Most of the time, the redox-responsivity is induced in the system by a disulfide 675 bond. This can be added by the cross-linker itself [12] or by the addition of thiol 676 groups to the material to obtain a disulfide cross-linking [43,62]. This was the strategy 677 adopted by Yang et al. who thiolated CHI and HA prior to using them to fabricate LBL 678 679 NCs. The disulfide cross-linking then occurred through the action of HRP [43]. This redox sensitivity can also be introduced by diselenide bonds as reported by Shao et 680 al., who used bis[3-(triethoxysilyl)propyl]diselenide (BTESePD) as silica source to 681 add the diselenide bonds in MSNs [35]. Interestingly, this diselenide bond also 682 presents ROS-sensitivity, compared to disulphide bond that is only Redox-683 responsive. 684

ROS, such as hydrogen peroxide  $H_2O_2$ , hydroxyl radical <sup>•</sup>OH or even SOD <sup>•</sup>O<sub>2</sub>, 685 can be overproduced in some conditions like inflammation. Designing a ROS-686 responsive system then totally makes sense to treat some diseases. The diselenide 687 688 bond-containing MSNs described above is one design example of ROS-sensitivity. Another example, which combines this ROS-responsivity to glucose-responsivity, is 689 the one reported by Tong et al. They designed a tri-block copolymer made with PEG, 690 691 poly(phenylboronic acid) as glucose-sensitive block and poly(phenylboronic acid 692 pinacol ester) as H<sub>2</sub>O<sub>2</sub>-sensitive block. They used this triblock copolymer to form insulin and glucose oxidase (GOx)-loaded NPs. In presence of glucose, the glucose-693 sensitive part of the copolymer starts releasing the cargos. The GOx then interacts 694 with glucose, producing H<sub>2</sub>O<sub>2</sub> that reacts with the H<sub>2</sub>O<sub>2</sub>-responsive block of the 695 polymer, enhancing the release [95]. The glucose-responsivity, which can be 696 combined with ROS-responsivity as presented here or with other stimuli such as pH 697 [119], is notably very used to treat diabetes. 698

699 700

## iii) Protease enzyme

The last common stimulus inducing the degradation of delivery systems is the 701 presence of proteases [30,44,118,120,121]. This sensibility to protease can come 702 from the nature of the material, like the sensitivity of COL toward collagenase, or from 703 specific functionality added to the material. For example, Zhang et al. showed that 704 705 their starch-based NCs could be degraded under action of pH change in simulated gastric, intestinal and colon fluid (SGF, SIF and SCF respectively) but also under 706 pancreatic a-amylase degradation in SIF [118]. Regarding the addition of 707 functionality, Foster et al. specifically added protease degradable peptide as cross-708 709 linker to their MPs and modulated the degradation rate by modulating the ratio between degradable and non-degradable cross-link [30] while Ramalapa et al. added 710 a thrombin sensitive site in their protein cargo to obtain thrombin-mediated release 711 712 [44].

713

Of course, other kinds of stimuli can be exploited such as ionic strength [59,97], temperature [59] or even more specific ones such as adenosine triphosphate (ATP) [19], bacterial alkaline phosphatase (BAP), sodium hydrosulfite  $(Na_2S_2O_4)$  [66] or complementary DNA [96].

718 719

## II.2.2.3 External stimuli

An external stimulus can also be used to induce the protein delivery, such as light (visible or NIR), magnetic field or even mechanical stimulus. The next paragraphs will present some examples of these stimuli.

723 724 i) Liaht

In this case, the exposure of the system to light is the cause of the release. For 725 example, Wang et al. synthesized a light sensitive HG based on the light sensitivity of 726 CarHc tetramer [70]. More precisely, they functionalized elastin-like polymers (ELPs) 727 with CarHc, a protein binding domain, able to form tetramers in presence of AdoB<sub>12</sub> if 728 the solution is in the dark, leading to the formation of an HG with cross-linking points: 729 the tetramers. When the obtained HG is exposed to light, the tetramer is disrupted, 730 731 which degrade the HG. In this case, the light only leads to the degradation of the HG, but it can have multiple purposes as in the work reported by He et al. [122]. The 732 733 system described is composed of a nanocomplex of phenylboronic acid-modified RNase A (RNBC) and ketal cross-linked PEI coated with hematoporphyrin-734 conjugated HA. When the system is exposed to light, the irradiation of 735 hematoporphyrin leads to a high level of  $H_2O_2$  which kills cancer cells. In addition, 736 this high  $H_2O_2$  level cleaves the phenylboronic acid-RNase A bond, delivering the 737 protein which can also kill cancer cells. Thus the exposure of light has two 738 consequences: the production of  $H_2O_2$  and the release of RNaseA. 739

In the same kind of field, NIR irradiation can also be used as a stimulus. It has for 740 example been reported as stimulus in a transdermal delivery system. The system 741 described is a polysaccharide HG film loaded with gold nanorods. Due to the 742 photothermal characteristic of these nanorods, the NIR irradiation of the system leads 743 to an increase in temperature and then to an enhancement of the transdermal 744 delivery of protein [123]. Tuncaboylu et al. also used this stimulus in their work. They 745 designed a shape-memory tube made of PCL loaded with IR-26-dye. The NIR 746 irradiation of the tube leads to temperature increase and so to the shrinking of the 747 748 tube, delivering the protein loaded inside the tube [124].

749 750

# ii) Magnetic field

Another kind of external stimulus is the application of a magnetic field. This non-751 752 invasive technique, which allows deeper penetration than NIR irradiation, has been widely used to induce magnetic hyperthermia to kill cancer cells, but also as a 753 stimulus to release several types of drugs [125]. It has for example been used by 754 Omar et al. to control the release of mTFP-ferritin from silica-iron oxide NPs as a 755 proof of concept for the delivery of large proteins [33]. The suggested release 756 mechanism was that the application of the magnetic field leads to temperature 757 increase, disrupting the electrostatic interaction between the protein and the NPs. 758

759

## 760 *iii) Mechanical stress/action*

761 The last kind of external stimulus presented here is still not very developed: 762 mechanical stimulus. Even if not very used, it is easily applicable, notably in

mechanically active tissue such as cardiac tissue or cartilage tissue where it is 763 omnipresent [126,127]. As an example of reported systems, Xu et al. designed a 764 bioinspired ceramic composite sponge made of HAP and natural cornstarch and 765 tested its delivery properties under strain using bromophenol blue, BSA and fibroblast 766 [127]. In another hand, Zhang et al. reported the use of a piezoelectric-dielectric 767 composite film to release proteins. A piezoelectric material has the ability to convert 768 mechanical energy into electrical energy. The system developed converts the 769 mechanical stimulus such as press or massage into electrical energy, polarizing the 770 film and inducing shrinking or swelling of the layers, leading to an accelerated protein 771 delivery [126]. Furthermore, aside the protein delivery, the reversible enzyme 772 exhibition/embedding from LBL polymer films submitted to applied mechanical forces 773 774 was also developed for applications towards mechanically controlled biocatalytic 775 systems [128,129].

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## 779 III. Assessment of the protein release

The characterization of the loading and release capacities of a designed protein 780 delivery device is mandatory to present a complete characterization of the system. 781 Thus, this section aims at reviewing the detection and characterization of proteins 782 783 loading and release and at presenting the common mathematical models used to 784 characterize the release. It is interesting to notice that there are two possibilities to quantify the loaded amount of protein: indirect quantification by measuring the 785 unloaded amount of protein, the most commonly used, or destruction of the delivery 786 787 device to recover the loaded amount of protein.

## 788

#### **III.1 Characterization of the proteins: dosage, structure and imaging** III.1.1 UV-visible absorbance

III.1.1.1 Absorbance

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  - )

791 UltraViolet-visible absorbance is the measurement of the quantity of light absorbed 792 by a solution in the wavelength range of 200-800 nm. It is very easy to use and it 793 allows the quantification of proteins in a solution thanks to the Beer-Lambert law:

## $A = \varepsilon * l * c$

where A is the absorbance of the solution,  $\varepsilon$  is the molar extinction coefficient in L.mol<sup>-1</sup>.cm<sup>-1</sup>, I is the optical path length in cm and c is the concentration of the absorbing molecule in mol.L<sup>-1</sup>.

797 Absorbance has been reported as quantifying technique for a lot of proteins: BSA (around 280 nm) [27,36,58], WPI (200-320 nm) [25], casein hydrolysate (200-320 798 nm) [25], OVA (280 nm) [31], mTFP-ferritin [33], RNase A (280 nm) [35], black carrot 799 800 extract (530 nm, due to anthocyanins) [68], vancomycin chloride (280 nm) [73], lysozyme (295 nm) [83], insulin (276 nm) [95], GOx (450 nm) [95]. The peak at 280 801 nm is due to the aromatic ring in two amino acids: tryptophan and tyrosine. It is also 802 possible to use the absorbance due to the peptide bond. This bond absorbs strongly 803 at 190 nm, but for technical reasons, the absorbance is usually measured around 804 205 nm [130]. Unfortunately, the absorption phenomenon is sensitive to its 805 environment and thus, the absorbance value can largely vary between two proteins 806 even if they have the same molecular weight. 807

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## III.1.1.2 Bradford assay

810 The Bradford assay has first been reported by Marion M. Bradford in 1976 [131] and has widely been used since this moment to quantify proteins [132]. This assay 811 relies on the absorbance maximum shift of the Coomassie Blue G250 dye: the free 812 molecule is in a cationic red form with a maximum absorbance at 470 nm. When 813 binding to a protein, the molecule is in its anionic bleu form and the absorbance peak 814 is then at 595 nm. The complexation, due to electrostatic and hydrophobic 815 interactions [133], occurs more specifically with arginine and lysine residues of 816 817 proteins but it can also occur with histidine, tryptophan, tyrosine and phenylalanine due to their aromatic residues. This quantification method is very interesting as is not 818 too sensitive to the presence of reagents or biological sample components other than 819 proteins. However, the presence of detergents has to be controlled to avoid 820 interferences [132]. The detection limits can vary from a commercial kit to another but 821 usuallv ranging from 100 822 are to 1,500 µg.mL<sup>-1</sup>. It has to be noticed that the detection limits can be different when the 823 protocol is adapted to be used with microplates. It is very used to quantify BSA 824

[8,13,43,47] and insulin [13,59,60,93], but it is also used with other proteins such as 825 chymotrypsin, myoglobin, HRP [13], immunoglobulin G (IgG) and OVA [47]. 826

III.1.1.3 Bicinchoninic Acid assay

827 The BiCinchoninic Acid assay (BCA assay) has first been reported by Smith et al. 828 in 1985 [134]. The principle is to incubate the protein solution with Cu<sup>2+</sup> in alkaline 829 solution to have the reduction of Cu<sup>2+</sup> in Cu<sup>+</sup> by the peptide bonds of proteins, which 830 is a temperature-dependent reaction. Then, Cu<sup>+</sup> is chelated by two BCA molecules, 831 forming a purple complex having a maximum absorbance at 562 nm. The 832 advantages of this process is that is occurs in a single step and that it is not that 833 sensitive towards detergents, denaturing agents and other compounds that could be 834 present in the sample [135]. As for the Bradford assay, the detection limits can vary 835 from a kit to another but are usually ranging from 0.5 to 20  $\mu$ g.mL<sup>-1</sup>, with a possible 836 modification when using microplates to perform the test. This technique has also 837 been used for a wide variety of proteins: BSA [97,124], lysozyme [46,124], OVA, IgG 838 [124], rhGH [24], human chitin binding domain-modified beta-lactamase (ChBD-BlaP) 839 [44], PH20 hyaluronidase [56], SIRPa [57], cytochrome C [97], enhanced green 840 fluorescent protein (eGFP) [116], GOx [119], HA-modified RNase A [136], E7-bone 841 morphogenetic protein 2 (BMP2) [137] and rhBMP2 [138]. 842

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## III.1.2 Fluorimetry

## III.1.2.1 Intrinsic fluorescence

Fluorescence is an interesting property to operate to quantify proteins as it is very 846 easy to handle. Intrinsic fluorescence of proteins notably allows a direct 847 quantification, and this has been used for example to quantify GFP [19] and mCherry 848 [70]. The major drawback is the potential environmental sensitivity of the 849 fluorescence moiety. As an example, intrinsic fluorescence of BSA is due to two 850 tryptophan residues, Trp-212 and Trp-134. However, the fluorescence of these 851 residues is influenced by their environment [139]. Thus, it is tricky to use this property 852 to quantify BSA in solution, but it is very interesting to characterize the interaction 853 with other components. Fluorescence quenching of BSA has for example been used 854 855 to study the interaction of BSA with tuftsin [140], wogonin [141], glutathione [142] and more recently with lipidic NPs by applying the Stern-Volmer model [139]. It has also 856 been used by Cacicedo et al. to show the interaction between Levo and HSA, which 857 impacts the Levo release from bacterial cellulose film [98]. 858

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## III.1.2.2 Fluorescent labelling

To avoid any modification of the intrinsic fluorescence due to the environment or 861 protein conformational change, it is common to covalently attach a fluorescent label 862 to proteins and then measure the fluorescence due to this moiety. The most common 863 label is fluorescein isothiocyanate (FITC) and it has been linked to a wide variety of 864 proteins such as BSA [18,72], insulin [105], HRP [90], RNBC [122], OVA [123] and 865 Concanavalin A (ConA) [143]. Advantages of this dye are an easy grafting and a low 866 cost, however FITC is known to be highly sensitive to experimental parameters such 867 as pH or photobleaching. Hence, other more stable labelling molecules have also 868 been used on several proteins such as cyanine 5 on BSA, erythropoietin [69], RNBC 869 [122], hepatocyte growth factor dimeric fragment (HGFdf) [144] and tumor necrosis 870 factor-related apoptosis inducing ligand TRAIL [145], vivostag S750 on BMP2 [71], 871

Texas red [85] and fluorescein [86] on OVA, rhodamine on hirudin [145] and AlexaFluor on IgG [30].

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III.1.2.3 Fluorescamine assay

Fluorescamine has first been used by Udenfriend et al. in 1972 for the detection of 875 primary amines in the picomole range [146]. Fluorescamine is a non-fluorescent 876 molecule but reacts very rapidly (hundreds of milliseconds) with primary amines to 877 878 form a fluorescent molecule, while the excess reacts with water to form a nonfluorescent molecule (after some seconds). So this method is very interesting due to 879 the specific reaction with primary amines in proteins and peptides, its fast reaction 880 time and sensitivity [146]. In addition, a commercial protocols allows the use of only 2 881  $\mu$ L of solution with a sensitivity ranging from 8 to 500  $\mu$ g.mL<sup>-1</sup> [147]. The assay has 882 been used by Chen et al. to characterize the loading of murabutide and OVA in 883 acetalated dextran MPs as well as BSA release from these MPs [28] and J. Lee and 884 G. Kim to characterize the release of BSA from  $\alpha$ -TCP/COL scaffold [113]. 885

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III.1.3 Highly specific and sensitive assays III.1.3.1 ELISA

889 Enzyme-Linked ImmonuSorbent Assay has been developed by E. Engvall and P. Perlmann in 1971 [148]. ELISA uses the specific binding of antigen and antibodies 890 and the formation of colour due to enzymatic reaction. It involves several steps: first 891 the capture of the antigen we want to detect and quantify, second the addition of a 892 specific antibody tagged with an enzyme, third the addition of a substrate that will 893 give a colour product by reacting with the enzyme, fourth the analysis of the colour 894 895 intensity. Three main types of ELISA exist: direct ELISA, indirect ELISA and sandwich ELISA. 896

i) In direct ELISA, the antigen is adsorbed on the plate and an enzyme-tagged
 primary antibody is then linked to it before the substrate is added to obtain the colour.
 This technique is the simplest ELISA protocol but presents important disadvantages
 such as the possible modification of the primary antibody immunoreactivity due to its
 functionalization with an enzyme.

ii) The indirect ELISA protocol involves two antibodies: the antigen is adsorbed on
the plate surface, the primary antibody is linked to it and then an enzyme-tagged
secondary antibody specific to the primary antibody is added. The final steps, which
are the addition of a substrate and analysis of the signal, are similar. Even if this
technique has the disadvantages of adding a step in the protocol and of not
suppressing the risk of cross-reactivity, it presents many advantages such the wide
variety of secondary antibodies and the possibility to use different detection methods.

iii) Finally, in the sandwich ELISA, the plate used to adsorb the enzyme is coated 909 with an antibody. The antigen is adsorbed on this antibody before the same steps 910 than for an indirect ELISA are applied. Thus, the antigen is sandwiched between the 911 antibody coated on the plate and a primary antibody. As for indirect ELISA, it is 912 possible to use several detection methods. In addition, this protocol is highly 913 sensitive, as it can detect as low as some pg.mL<sup>-1</sup> of protein (protein type 914 dependant), and highly specific but more optimization is required to operate it 915 [149,150]. 916

In the domain of protein delivery device, ELISA is mainly used to detect sensitive proteins such as BMP2 [103,109], stromal cell-derived factor 1 (SDF-1) [14,104], chemokine CCL25 [15], neurotrophin-3 [76], vascular endothelial growth factor (VEGF) [100,108], transforming growth factor- $\beta$  (TGF- $\beta$ ) [20,113], basic fibroblast growth factor (bFGF) [89], β-nerve growth factor ( $\beta$ -NGF) [92]... It is also used for less sensitive proteins such as insulin [93,94], lysozyme [67] and OVA [92].

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## III.1.3.2 Radiolabeling

Radiolabeling consists of adding a radioactive label to proteins to detect and 925 quantify them. As the ELISA technique, it provides a high specificity and a high 926 sensitivity of the detection. The radiolabeling of proteins with <sup>125</sup>I and the use of a 927 gamma counter is the most used technique. Authors reported the guantification of 928 BMP2 [61], rhBMP2 [151], VEGF, FGF2 [152], BSA and ConA [143] using this 929 radiolabeling. In all these studies, the radioactivity decay was used to determine the 930 protein loading or *in vitro* release but *in vivo* release was also determined using <sup>125</sup>I-931 radiolabelled proteins. For example, D.B. Raina et al. used single photon emission 932 933 computed tomography to image their implanted system in rats and then used the signal to quantify the release [151]. In another study, Kuttappan et al. chose to excise 934 the skin where their implanted their DDS and then to quantify the release using a 935 936 gamma counter [152]. Farris et al. also reported the labelling of pEGFP-LUC with <sup>32</sup>P  $\alpha$ -dATP and the use of a scintillation counter to quantify the loading of this plasmid 937 DNA [153]. In this case, the radiolabeling of the protein was used because the 938 939 material constituting the DDS was autofluorescent.

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## III.1.4 Separative techniques

## III.1.4.2 High-Performance Liquid Chromatography

High-Performance Liquid Chromatography, or High Performance Liauid 943 Chromatography (HPLC) is based on the difference of affinity (polar/apolar) between 944 the solutes present in the mobile phase and the stationary phase in the column. It 945 leads to the separation of the solutes and then to their detection and also 946 quantification if the protocol is designed to do so. In the domain of protein delivery 947 devices, it is mainly used with a UV detector to detect the protein and then quantify 948 them. This has been used to quantify insulin [26,99,101,115], BSA [154], lysozyme 949 [16], antihypertensive peptide [91,155], Exendin-4 (Ex4) [119], P28 peptide [156], 950 and PEGsc [87]. Reversed-phase HPLC can also be used. In this case, the 951 stationary phase is non polar while the mobile phase is polar. This has been used to 952 953 detect insulin [22] and liraglutide [157] for example.

## III.1.4.3 SDS PAGE

SDS PAGE, the acronym for sodium dodecyl sulfate polyacrylamide gel 956 electrophoresis, is based on the different migration of proteins in a gel under an 957 electrical field due to their molecular weight. The principle is first to denature proteins 958 in presence of SDS. This is a detergent that breaks the weak interactions assuring 959 the protein assembly, so leading to a complete unfolding, and bringing a net negative 960 charge to the proteins. Then, the samples are deposited in wells present at the top of 961 the polyacrylamide gel and an electrical field is applied. The proteins migrate through 962 the gel thanks to the negative charge. The migration rate and distance depend on the 963 964 cross-linking density of the gel and of the protein molecular weight: the smaller the protein, the faster the migration rate and the longer the distance. A solution 965 containing protein markers with known molecular weights allows the identification of 966 the molecular weights of the separated proteins. This technique is mainly used to 967 detect proteins in complex systems thanks to this molecular weight-dependent 968 migration, but it can also be operated to quantify them when known concentrations of 969

the protein are deposited on the gel with the sample to analyze. In this case, after staining, the gel is imaged and the color intensity of all bands is analyzed with a software. This technique has been used by Dutta *et al.* to evaluate the release of lysozyme, RNase A and cytochrome C from polymeric particles [55] and by Shigemitsu *et al.* to evaluate the release of IgG, ConA and myoglobin from a supramolecular HG [66].

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## III.1.4.4 Western blot

Western blot is a technique aiming at detecting, identifying and quantifying 978 979 proteins in complex samples such as cellular samples. It involves three main steps: separation, transfer to a membrane and revelation. The separation step is most often 980 an SDS PAGE, involving all the same preparation and electrophoresis steps. Then, 981 982 the proteins are transferred to a membrane, like nitrocellulose, by placing the gel close to the membrane. This "assembly" is then placed between blotting papers and 983 an electrical field is applied, leading to the migration of proteins from the gel to the 984 985 membrane. This step allows the transfer of the gel result in more solid support. The revelation is then done first by blocking all the non-specific sites of the membrane 986 with BSA or milk proteins, for example, and then an indirect ELISA is operated to 987 988 detect specific proteins. The result gives bands with different intensities related to the protein concentration. At the end of the process, the membrane gives three 989 information: the presence or absence of the protein in the sample due to the absence 990 or presence of the band, the molecular weight of the protein due to the proteins 991 markers applied during the SDS PAGE step, and the concentration of the protein due 992 to the band intensity. It has for example been used to quantify the release of proteins 993 from bacterial inclusion body (BIB) [158], the encapsulation efficiency of neuregulin-1 994 (Nrg-1) from COL/poly(D-lysine) (PDL)-coated PLGA MPs [159], the OVA expression 995 from RAW264.7 cells after DA3/pOVA transfection [160] and the expression of 996 VEGF, notably with a transfer to a polyvinylidene difluoride (PVDF) membrane [161]. 997

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## III.1.5 Imaging techniques

## III.1.5.1 Fluorescence microscopy

Fluorescence microscopy is simply the use of the fluorescent property of a molecule or material for its observation with an optical microscope. The fluorescent labelling of proteins is often used to bring this fluorescence property. This technique can be used to evaluate the loading of proteins in a scaffold or its repartition within the scaffold [105,119,143,162,163] but it is also used to check *in vitro* cellular uptake [29] or even *in vivo* or *ex vivo* repartition of a protein drug in tissues or organs [71,145].

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## III.1.5.2 Confocal laser scanning microscopy

The confocal microscope has been described and patented by M. Minsky in 1957 1010 [164]. This type of microscope used the fluorescence of the sample. As the source of 1011 light is mainly a laser, it is then called confocal laser scanning microscopy (CLSM). 1012 1013 The principle is that the light source is directed to a specific point, which allows the acquisition of an image point-by-point by a scanning process. An important 1014 advantage of CLSM is that it is possible to control the depth of the field in the sample, 1015 which allows obtaining a three-dimensional image of the sample by combining the 1016 1017 images of several planes. This imaging technique is very used to observe the cellular uptake of fluorescently-labelled proteins in vitro and in vivo after the recuperation of 1018

the tissues and staining of cells [18,19,28,43,48,55,122,136,145,165]. But this has also been used to observe protein distribution in delivery devices [26,86,166,167] or in tissue after application of the delivery device. For example, Liu *et al.* used it to image FITC-insulin in skin after application of PVP MNs patch containing FITC-insulin loaded-CaCO<sub>3</sub> MPs [94] and Marciello *et al.* to visualize the FITC-insulin penetration into porcine vaginal mucosa after application of a sponge-like scaffold containing FITC-insulin-loaded CHI NPs [101].

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- III.1.6 Protein integrity
  - III.1.6.1 Circular dichroism

It is important to remember that the treatments applied to proteins to load them 1029 into delivery devices and then to release them may impact their integrity and activity. 1030 Most of the time, the preservation of their activity is assessed by checking their 1031 bioactivity through a specific test. For example, the bioactivity of BMP2 is assessed 1032 by measuring the alkaline phosphatase (ALP) activity as BMP2 enhances it. But to 1033 specifically check the protein integrity, so its conformation, the most common 1034 technique is circular dichroism (CD). CD is based on the fact that an optically active 1035 molecule will not absorb the right-hand and the left-hand circular polarized light in the 1036 same way. In proteins, there are several chromophores: the peptide bond, the 1037 aromatic amino acid side chain and the disulfide bond, which makes CD a very 1038 suitable technique to analyze proteins and more importantly, to obtain information on 1039 their conformation [168]. In protein delivery device domain, it is very used to compare 1040 the conformation of the native protein and the released protein from any device to 1041 check that the integrity has not been impacted by the loading and release processes. 1042 This has for example been used on eGFP [19], cytochrome C [55], BSA [43], OVA 1043 1044 [85], PEGsc [87], insulin [101], FITC-insulin [105], Ex4 [119], hirudin and TRAIL [145]. 1045

## III.1.6.2 CryoTEM

Cryogenic transmission electron microscopy (CryoTEM) is the observation by TEM 1047 1048 of samples kept at cryogenic temperatures. It notably allows the imaging of systems in their native form in solution. Thus, it is a very interesting technique to observe 1049 systems such as lipid-based NPs [139,169], liposomes [170–172] or even lipoplexes 1050 [173]. For example, Colombani et al. used CryoTEM to observe the impact of the 1051 composition of lipoplexes, made of cationic liposomes and DNA, and of solvent on 1052 the lipoplexes structure [173]. Of course, it can also be used to observe polymeric 1053 1054 NPs as reported by Dutta et al. who used this technique to observe CytochromeCpolymer nano-assemblies [55]. 1055

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## III.2. Modelization of drug release kinetics

Drug release kinetics is the application of mathematical models to the data obtained from the study of drug release. This section aims at describing the most used mathematical models and at giving some example of use.

- 1061 III.2.1 Presentation of the mathematical models
- 1062 III.2.1.1 Zero-order model

1063 The zero-order release model describes a constant release which means that the 1064 same amount of drug is released per unit of time, and this release process is 1065 independent of drug concentration. The equation is:

$$M_t = M_0 + K_0 * t$$

where  $M_t$  is the amount of drug dissolved at time t,  $M_0$  the amount of drug dissolved 1066 when t=0, so usually 0, and  $K_0$  is the zero-order constant release. The curve to plot 1067 when using this model is the percentage cumulative drug released (%CDR) in 1068 function of time and this curve has to be linear. This model can be used to describe 1069 1070 several types of systems such as transdermal delivery systems, matrix tablets with low soluble drugs or coated systems [174]. 1071

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## III.2.1.2 First-order model

The first-order model describes systems for which the release is dependent on the 1074 amount of drug remaining in the system, with a diminution of the drug released dose 1075 per unit of time. The equation describing this model is: 1076

$$\log M_t = \log M_0 + \frac{K_1 * t}{2.303}$$

where  $K_1$  is the first-order release constant. The curve to plot is  $log(M_1)$  in function of 1077 time, which gives a linear curve. The systems for which the release can be described 1078 by this equation are usually porous matrices containing hydrosoluble drugs [174]. 1079

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## III.2.1.3 Higuchi model

The Higuchi model is based on Fick's law and describes the diffusion of water-1082 soluble drugs and low water-soluble drug out of semi-solid and solid matrices. The 1083 simplified equation of this model is: 1084

$$M_t = K_H * \sqrt{t}$$

where  $K_{H}$  is the Higuchi dissolution constant. The curve to plot is the %CDR in 1085 function of the square root of time, which gives a linear curve [174]. 1086

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## III.2.1.4 Korsmeyer-Peppas model

The Korsmeyer-Peppas model has first been reported in 1983 by these authors to 1089 describe the release of drugs from hydrophilic polymeric matrices. The equation of 1090 1091 this model is:

$$\frac{M_t}{M_{\infty}} = K * t^n$$

where  $\rm M_{t}$  is the amount of drug released at time t,  $\rm M_{_{\rm \infty}}$  is the amount of drug released 1092 at infinite time, which is the total amount of drug loaded in the system, and so M<sub>1</sub>/M<sub>2</sub> 1093 represents the fraction of drug released at time t, K is the kinetic constant and n is the 1094 release exponent. This exponent can be determined only with  $M_t/M_{\infty}$ <0.6 and 1095 represents the release mechanism. If n=0.5, the release mechanism is the Fickian 1096 diffusion (only diffusion-controlled release), if 0.5<n<1.0, it is an anomalous non-1097 Fickian diffusion (mix of erosion-controlled release and diffusion-controlled release), if 1098 n=1.0 it is a zero-order release (Case II transport), and if n>1.0, it is a super Case II 1099 transport mechanism [175]. The curve to plot for this model is log(%CDR) in function 1100 of log(t). 1101

The Case II transport, or Case II diffusion denomination has been introduced by T. 1102 Alfrey et al. in 1966 to describe a specific type of non-Fickian diffusion [176]. The 1103 concept is that, when the solvent penetrates the polymer system, a sharp boundary is 1104 created between the swollen region and the un-swollen region, and that this 1105 boundary moves with time in a linear way. So it comes from the relaxation of the 1106 polymer due to the movement of molecule in the system [177]. 1107

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## 1109 III.2.1.5 Ritger-Peppas model

The Ritger-Peppas model has been reported by these authors in 1987 after experiments conducted on non-swellable [178] and swellable matrices [179] such as spheres, cylinders, discs, slabs and sheets. The general equation is the same than for the Korsmeyer-Peppas, and the determination of the n value has also to be done only for  $M_t/M_{\infty}<0.6$ , but the mechanism associated to the n value is different and

- reported in **Table 1**. The curve to plot is also log(%CDR) in function of log(t).
- **Table 1**: Release exponent and associated release mechanism for several types of matrices

Release exponent	n value	Drug release mechanism		
Thin film	Cylinder	Sphere		
Non-swellable matri	ces			
0.50	0.45	0.43	Fickian diffusion	
0.50 <n<1.00< td=""><td>0.45<n<1.00< td=""><td>0.43<n<1.00< td=""><td>Anomalous non-Fickian transport</td></n<1.00<></td></n<1.00<></td></n<1.00<>	0.45 <n<1.00< td=""><td>0.43<n<1.00< td=""><td>Anomalous non-Fickian transport</td></n<1.00<></td></n<1.00<>	0.43 <n<1.00< td=""><td>Anomalous non-Fickian transport</td></n<1.00<>	Anomalous non-Fickian transport	
1.0	1.0	1.0	Zero-order (Case II transport)	
Swellable matrices				
0.5	0.45	0.43	Fickian diffusion	
0.5 <n<1.0< td=""><td>0.45<n<0.89< td=""><td>0.43<n<0.85< td=""><td>Anomalous non-Fickian transport</td></n<0.85<></td></n<0.89<></td></n<1.0<>	0.45 <n<0.89< td=""><td>0.43<n<0.85< td=""><td>Anomalous non-Fickian transport</td></n<0.85<></td></n<0.89<>	0.43 <n<0.85< td=""><td>Anomalous non-Fickian transport</td></n<0.85<>	Anomalous non-Fickian transport	
1.0	0.89	0.85	Case II transport	

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## III.2.1.6 Peppas-Sahlin model

1119 The Peppas-Sahlin model has first been reported by these authors in 1989 [180] 1120 and refers to the following equation:

$$\frac{M_t}{M_{\infty}} = K_d * t^m + K_r * t^{2*m}$$

1121 where  $K_d$  is the diffusion constant,  $K_r$  is the relaxation constant and m is the purely 1122 Fickian diffusion coefficient for a controlled delivery device with any geometrical 1123 shape. The  $K_d$  constant represents the contribution of Fickian diffusion and the  $K_r$ 1124 constant represents the contribution of the relaxation in the release mechanism. The 1125 values and mechanisms to be considered for m are the ones reported in Table 1 for 1126 swellable matrices. The authors gave a methodology to analyse the release study 1127 results:

- 1128 1. Calculate the value of the aspect ratio=diameter/thickness of the device.
- 1129 2. Determine m: if aspect ratio<0.1, m=0.45 and if aspect ratio>100, m=0.50. If m 1130 is in between, use their article as a reference to determine m.
- 1131 3. Fit the first 60 % of the experimental data to the Peppas-Sahlin equation.
- 4. Calculate K<sub>d</sub> and K<sub>r</sub>.

1133 5. Use the following equation to determine the percentage of Fickian diffusion to 1134 the release mechanism F:

$$F = \frac{1}{1 + \frac{K_r}{K_d} * t^m}$$

1135 Although this model is more complex, it allows a finer characterization of the 1136 release mechanism.

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## III.2.2 How to use mathematical models?

Usually, the experimental data are fitted to the expected mathematical model, or the most relevant one or even a selection of several models. The best fit is then determined using the correlation coefficient. **Table 2** regroups some studies using mathematical models to describe their delivery device.

#### **Table 2**: Some example of mathematical models used on protein delivery devices

System	Material	Protein/ therapeutic moeity	Mathematical model tested	Ref
MPs	Reverse micelle lecithin NPs in PLGA MPS	BSA	Zero-order, modified Ritger-Peppas	[7]
MPs	Gellan gum coated with retrograded starch and pectin	Insulin	First order, Higuchi, Korsmeyer-Peppas	[22]
MPs	CHI/alginate	Vancomycin chloride	Ritger-Peppas, Higuchi, Peppas-Sahlin, zero- order, first order	[114]
HG	ΗΑ, γ-ΡGΑ	FITC-BSA	Zero-order, first order, Higuchi, Korsmeyer- Peppas, modified Korsmeyer-Peppas, Peppas- Sahlin, modified Peppas-Sahlin	[63]
HG	TEMPO-oxidized cellulose nanofibers and cationic gar gum	BSA	Ritger-Peppas	[65]
HG	WPI, xanthan, pectin, gum tragacanth	Black carrot extract	Fick's second law as reported by Crank et al.	[68]
Fiber	Sodium alginate, soy protein isolate, PEO	Vancomycin chloride	Ritger-Peppas	[73]
Fiber	CHI NPs-loaded PVA core – sodium alginate, POE sheath	BSA	Higuchi, Ritger-Peppas	[79]
Fiber	PCL-HAPn core-PCL or PVAc sheath, PLGA NPs simultaneous electrospraying	BMP2, HAPn	Korsmeyer-Peppas, Peppas-Sahlin	[81]
LBL film	PEGsc, tannic acid	PEGsc	Zero-order	[87]
MacPS	CHI NPs-loaded COL HG placed	rhBMP2	Zero-order, Higuchi	[110]

 1144
 In canals in porous TCP scatfold
 Image: CP scatfold
 Image: CP scatfold

 1144
 MPs microparticles; NPs nanoparticles; PLGA poly(lactic-co-glycolic acid); BSA bovine serum albumin; CHI chitosan; HG

 1145
 hydrogel; HA hyaluronic acid; γ-PGA poly(γ-glutamic acid); FITC-BSA fluorescein isothyocianate-BSA; TEMPO 2,2,6,6 

 1146
 tetramethylpiperidine-1-oxyl; WPI whey protein isolate; PEO poly(ethylene oxide); PVA poly(vinyl alcohol); PCL

 1147
 polycaprolactone; HAPn hydroxyapatite nanorods; PVAc poly(vinyl acetate); LBL layer-by-layer; PEGsc PEGylated salmon

 1148
 calcitonin, COL collagen; TCP tricalcium phosphate

## 1150 **IV Circulating systems**

1151 Circulating systems refer to protein delivery systems able to circulate in the body 1152 fluids to reach their target. They are developed for several decades for the treatment 1153 of cancer due to their ability to penetrate tumour cells and are also a good alternative 1154 to subcutaneous injection of insulin when designed for oral delivery. Some circulating 1155 systems have also been proposed for tissue engineering even if implantable systems 1156 are more common.

1157 IV.1 Cancer treatment

## 1158 IV.1.1 RNase delivery

Among the possible proteins studied to treat cancer, RNase A is interesting as it 1159 enters the cytosol and cleaves the intracellular RNA, preventing the synthesis of 1160 proteins and thus leading to cell death [181]. Several systems have then been 1161 developed to maximise the intracellular delivery of RNase A. For example, a study 1162 reported the covalent coupling of RNase A with HA to obtain two effects [136]. First, 1163 the increase of the RNase A negative charge, helping the complexation with the 1164 cationic lipid-like molecule EC16-80 to form protein/lipid-like NPs. Second, the 1165 targeting of cancer cells as HA can specifically bind to CD44 receptor, which is 1166 overexpressed in many solid tumours. The EC16-80/RNase A-HA had the best cell 1167 internalization result in A549 (lung) and MCF-7 (breast) cancer cell lines, exhibiting 1168 high and low CD44 expression respectively. An additional experiment showed the 1169 necessity of having the HA-CD44 binding to have cellular uptake and so, to have 1170 RNase A intracellular delivery and efficacy. These results are represented in Figure 1171 1172 4A.

1173 In another study, the specific cell internalization was assured by the coating of 1174 NPs with cancer cell membrane. In this case, the selective cellular uptake was 1175 induced by the cancer cell membrane type used to coat the NPs [35].

1176 He et al. also proposed an RNase A delivery system by caging this protein. As explained above in the external stimuli section, the system consists of the caging of 1177 RNase A by modifying it with H<sub>2</sub>O<sub>2</sub>-cleavable phenylboronic acid (RNBC). The RNBC 1178 was then encapsulated in KPEI NCs coated with hematoporphyrin-HA. The study 1179 showed that the use of HA allowed the high internalization of the system by cancer 1180 1181 cell lines highly expressing CD44. Furthermore, the authors demonstrated the synergistic activity of the system against cancer cells: the light exposure of the 1182 system leads to the production of ROS, which induces the release of RNase A, and 1183 1184 both leads to cancer cell death [122].



**Figure 4**: A. RNase A-HA/lipid-like NPs cell internalization via HA-CD44 interaction and efficiency on A459 and NCF-7 cancerous cell lines (adapted from article [136]). B. Schematic illustration of the bile acid pathways exploited by deoxycholic acid-modified nanoparticles to deliver insulin intracellularly (reproduced from article [182]). C. Interaction between nanosilicate and proteins, percent binding efficiency and protein release (adapted from article [183]).

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## IV.1.2 Caspase-3 delivery

Of course, RNase A is not the only interesting protein for cancer treatment. For 1193 1194 example, Wu et al. developed a system for the co-delivery of paclitaxel (PTX) and recombinant human caspase-3 (Casp-3) [117]. Casp-3 is a crucial protein for the 1195 1196 induction of apoptosis as it is able to cleave key structural proteins, leading to several apoptosis such as fragmentation. 1197 phenomenon causing DNA chromatin condensation and membrane bleeding [184]. The described system consists of 1198 linoleic acid droplet encapsulated in an amino-acid-modified CHI NC. The authors 1199 first optimized the system by functionalizing CHI with L-arginine, L-lysine or L-1200 phenylalanine and finally chose the L-arginine due to the higher stability of the 1201 system. The PTX was then loaded inside the linoleic acid droplet and the Casp-3 was 1202 adsorbed on the NC surface. The in vitro tests showed a higher percentage of 1203 apoptotic cells in the samples treated with Arg-CHI-NCs-PTX/Casp-3 (53.2 %) 1204 compared to samples treated with Arg-CHI-NCs-PTX (43.7 %) and Arg-CHI-NCs-1205 Casp-3 (20.0 %). 1206

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## IV.1.3 Anticancer protein delivery mediated by exosomes

Exosomes are also systems of interest for protein delivery systems as the protein can directly be integrated into the exosome building block by transfecting cells with adequate plasmid. For example, PH20 hyaluronidase-exosome (PH20-ExSM) has been produced by HEK293T cells [56]. HA can contribute to the tumorigenesis by interaction with different receptors, such as CD44, and by accumulation in tumours, which has notably been associated with tumour migration and chemoradioresistance. Thus, this system was expected to enhance tumour penetration via HA degradation, leading to enhanced tumour growth inhibition. The HA degradation capacity of the system has been assessed by checking the HA depletion in PC3 cells: the relative length clearly decreased in a PH20 dose-dependent manner (maximum decrease from 100% to ~ 20 %).

In another study, the use of exosome has been studied for immunotherapy, which 1220 is the stimulation of the immune system to cure a disease. More precisely, the 1221 delivery of SIRPa from exosome or ferritin nanocage has been studied for the 1222 blockage of CD47 activity, which is the inhibition of phagocytosis of tumour cells. 1223 Here again, HEK293T cells were used to produce SIRPa-ExSM [57]. A first in vitro 1224 experiment showed that the CD47 binding affinity on HT29 human colon 1225 adenocarcinoma cells was 5-fold higher for SIRPa-ExSM compared to SIRPa-ferritin 1226 nanocage (SIRPα-FN). In addition, the phagocytosis index of these HT29 cells was ~ 1227 1228 22.5 % for SIRPα-ExSM and ~ 6 % for SIRPα-FN. Altogether, these results showed that the SIRP $\alpha$ -ExSM was more efficient than SIRP $\alpha$ -FN to bind to CD47 in HT29. 1229 leading to an increase of the HT29 cells phagocytosis by inhibiting the CD47-1230 mediated "don't eat me" signal. 1231

#### 1232 1233

## IV.2 Diabetes treatment

1234 Insulin is almost the only protein used to treat diabetes type I with some 1235 exceptions. A quite common polymer material used to design new insulin delivery 1236 systems, and delivery systems in general, is CHI. Indeed, this biopolymer affords 1237 several advantages such as biodegradability and biocompatibility and importantly, 1238 also because it can self-assemble via electrostatic interactions with oppositely 1239 charged ions or molecules such as polysaccharides, proteins or nucleic acid. Of 1240 course, it can also be chemically modified before use.

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## IV.2.1 CHI as DDS material

For example, Maciel et al. reported the synthesis of MPs and NPs made of pectin 1243 and acetylated CHI playing on the acetylation degree (15.0 and 28.8 %) and the 1244 pectin /modified CHI ratio to act on the charge ratio [115]. After complete 1245 characterization, the release of insulin from MPs and NPs with both acetylation 1246 degrees but a unique charge ratio was evaluated in SGF (pH=1.2) and SIF (pH=6.8). 1247 The results showed no impact of the acetylation degree on the release process, 1248 which is pH-dependent: the cumulative amount of released insulin reached a 1249 maximum of 13 % in SGF and 89 % in SIF. Another study reported the modification 1250 of CHI with deoxycholic acid and then the synthesis of NPs by mixing the modified 1251 CHI, insulin and y-PGA [182]. The authors notably studied all the insulin delivery 1252 mechanism, more precisely how it goes from the gastric system to the blood 1253 circulatory system, illustrated in Erreur ! Source du renvoi introuvable.B. The NPs 1254 were first internalized through apical sodium-dependent bile acid transporter (ASBT)-1255 mediated endocytosis. Then, the endolysosomal escape of the NPs occurred leading 1256 to the crossing of the intracellular barrier. And finally, the trafficking and release of 1257 insulin were guided by the interaction between the NPs and ileal bile acid-binding 1258 protein (IBABP). 1259

1260 These two systems were developed for oral administration of insulin, a very 1261 interesting administration route to replace the classical subcutaneous injection.

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IV.2.2 Other biopolymers for insulin delivery

Oral administration of insulin was also the objective in other studies, such as the 1264 one conducted by Meneguin et al. [22]. These authors synthesized gellan gum MPs 1265 coated with retrograded starch and pectin in order to enhance the insulin protection 1266 against enzymatic degradation and thus to enhance its delivery. The results showed 1267 high protection against trypsin and  $\alpha$ -chymotrypsin with up to 80 % of insulin 1268 remaining intact when loaded inside the MPs compared to 3 % when delivered in the 1269 free form. Besides, the authors showed that the insulin permeability on Caco-2 cells 1270 was enhanced when delivered from MPs with a permeability rate between 42 and 67 1271 % compared to free insulin for which it was 25 %. More recently, Wang et al. used 1272 another strategy to obtain a good oral insulin delivery [170]. They designed an 1273 insulin-loaded cationic liposome on which they adsorbed BSA to obtain a protein 1274 corona around the liposome. The resulting PcCL system, for protein corona cationic 1275 liposome, showed a very good mucus-penetrating ability and also a very good 1276 cellular uptake in presence of trypsin. Indeed, the trypsin was necessary to gradually 1277 1278 degrade the BSA corona and then allow the cellular uptake of the remaining cationic liposome. 1279

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## 1281 IV.3 Tissue engineering

## IV.3.1 Bone and cartilage tissue

BMP2 is the protein drug of choice when designing a DDS for bone tissue 1283 engineering due to its osteogenesis property. Even if implantable systems are more 1284 developed to deliver this protein as it will be described in part V, some circulating 1285 systems have been reported in the literature. For example, a study reported the use 1286 of heparin NPs-loaded PEG MPs to deliver rhBMP2 after loading it by two methods: 1287 the "pre-fabrication loading" or "post-fabrication loading" which consists of loading the 1288 heparin NPs before PEG MPs formation or loading the final heparin NP/PEG MP 1289 system respectively, both done through adsorption [9]. This study showed that the 1290 pre-fabrication loading induced a similar C2C12 cell ALP activity while post-1291 fabrication loading induced 7-fold higher ALP activity compared to free rhBMP2. As 1292 both systems presented very low protein release, the ALP activity measurement 1293 showed that the PEG-based part of the system was able to sequester proteins and 1294 then to deliver them to induce a cellular response. This sequestration ability has also 1295 been shown for SDF-1a as proof of concept for proteins with different molecular 1296 weight. 1297

Other simplest systems have been developed, such as MPs fabricated with human 1298 1299 COL I-based recombinant protein [120]. The authors studied the impact of several parameters on the protein release ability, such as degree of chemical cross-linking, 1300 use of dehydrothermal cross-linking (dehydration by high temperature) and the size 1301 of the MPs. For example, the initial burst release was decreased from 23 % to 17 % 1302 when increasing the chemical cross-linking. When using dehydrothermal cross-1303 linking, this initial burst release represented 11 % of loaded protein. The measure of 1304 ALP activity in C2C12-BreLUC cells ensured the bioactivity of the released rhBMP2. 1305

Another reported simple system is disk-shaped nanosilicate, as illustrated in Erreur ! Source du renvoi introuvable.**C** [183]. This system has been studied for the loading and release of rhBMP2 and TGF- $\beta$ 3 individually. The efficient differentiation of hMSCs in osteoblast cells by treatment with nanosilicate/rhBMP2 was checked by evaluating the expression of osteocalcin (OCN), as it is an osteospecific marker, and the production of COL1A1 and mineralized matrix. Regarding the treatment of hMSCs with nanosilicate/ TGF- $\beta$ 3, their chondrogenic differentiation has been showed with the production of cartilage-like matrix by notably checking the amount ofsulphated glycosaminoglycans (GAGs).

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## IV.3.2 Nerve tissue

PLGA MPs have been used to obtain protein drug delivery MPs. For example, 1317 Santhosh et al. optimized the PLGA MPs size and porosity to obtain an optimal 1318 release profile of rhNrg-1\beta1 peptide for the treatment of spinal cord injury (SCI) [185]. 1319 The goal was to deliver rhNrg-1ß1 to promote the differentiation of neural precursors 1320 cells (NPCs) into myelinating olygodendrocytes as well as their survival, which could 1321 lead to cellular replacement and then to SCI repair. The in vitro study conducted on 1322 adult NPCs showed the successful differentiation of NPCs to oligodendrocytes. An in 1323 vitro study has also been performed on primary mixed astrocytes and microglia 1324 culture, as they play a key role in the central nervous system protection [186], to 1325 show that the treatment does not activate them and thus does not lead to 1326 1327 neuroinflammation and glial scar formation.

In another study, Zeng *et al.* synthesized multiple PLGA core- CHI single shell MPs for the delivery of glial cell line-derived neurotrophic factor (GDNF) as it is able to regenerate peripheral nerves [187]. The modulation of CHI concentration allowed the reduction of the initial burst release compared to PLGA MPs and also the neutralization of PLGA degradation product acidity. Besides, *in vitro* experiments showed the preservation of GDNF bioactivity after release by assessing the neuronal differentiation of PC12 cells.

Mixed micelles made of PF127 and TPGS were also proposed for specifically deliver proteins across the blood brain barrier (BBB) [23]. An *in vitro* model of BBB using brain capillary endothelial cells (BCECs) was used to evaluate the cellular uptake of Rhodamine123 (Rho123) used as a model, notably due to its fluorescence. The CLSM analysis showed a clear enhancement of cellular uptake when using PF127/TPGS mixed micelles compared to free Rho123 and even PF127 micelles.

1341 1342

## IV.3.3 Cardiac tissue

PLGA particles were also used for the regeneration of cardiac tissue after 1343 myocardial infarction (MI). For example, PLGA-PEG NPs have been proposed for the 1344 delivery of liraglutide [157]. Even if this protein was developed as a treatment for 1345 diabetes type II, it has multiple therapeutic effects for cardiac regeneration such as 1346 inhibition of myocardial apoptosis, attenuation of infarct size, promotion of 1347 angiogenesis and improvement of cardiac performance. The bioactivity of the 1348 released liraglutide was assessed in vitro by checking the phosphorylation level of 1349 AKT protein kinase in a culture of neonatal ventricular myocytes. The results showed 1350 no significant difference of AKT phosphorylation between the groups treated with 1351 liraglutide-loaded NPs and free liraglutide, showing that the bioactivity of the protein 1352 was retained. 1353

In another study, PLGA MPs were loaded with Nrg-1 and coated with COL/PDL before adipose-derived stem cells (ADSCs) have been adhered on the MPs surface [159]. The goal was to combine the cardiac regeneration effect of both ADSCs, by their secretion of growth factors such as VEGF and HGF, and Nrg-1, by its promotion of angiogenesis, cardiomyocyte proliferation and cell survival. The MPs presented an encapsulation efficiency of  $65\pm 2$  % and the released Nrg-1 was still bioactive as demonstrated by the proliferation of H9c2 cells.

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## IV.4 Gastrointestinal delivery

Gastrointestinal delivery is interesting to deliver proteins with enriched food to 1363 prevent some diseases or to treat diseases like inflammatory bowel disease (IBD). 1364 However, it is difficult to achieve due to several barriers such as enzymatic 1365 degradation and change of pH in the gastroinstestinal tract. 1366

To overcome these barriers and obtain an oral delivery system for protein delivery 1367 to colon, Zhang et al. modified cassava starch with chloroacetic acid and 3-chloro-2-1368 hydroxy-propyl-trimethyl ammonium chloride to obtain anionic carboxymethyl starch 1369 (CMS) and cationic guaternary ammonium starch (QAS) respectively [118]. Then, 1370 they use these new materials to produce LBL NCs and played on the degree of 1371 substitution and molecular weight of CMS to obtain several NCs. The release ability 1372 of these MPs was then tested with BSA in SGF, SIF and SCF (pH=7.2). The results 1373 showed that the NCs obtained with a lower degree of substitution and molecular 1374 weight were the best NCs for colon delivery. 1375

CHI/PPA beads were also developed for such application and tested for BSA, 1376 1377 WPI, insulin and casein hydrolysate encapsulation and release [25]. The authors could obtain very high encapsulation efficiency, more than 95 %, for all proteins 1378 except casein hydrolysate. The release studies showed good potential for delivery in 1379 the intestine as insulin and WPI were well retained in SGF and then delivered in SIF. 1380 More recently, Ling et al. studied alginate/CHI MPs for the intestinal release of 1381 bacterial effector protein AvrA/eGFP NPs for IBD treatment, as AvrA has anti-1382 inflammatory and anti-apoptotic activity [116]. In vitro studies showed the MP ability 1383 to protect protein NPs in SGF and to release them in SIF as well as the cellular 1384 uptake of ~65 % of released protein NPs by HeLa cells. 1385

## **IV.5 Vaccine delivery**

1388 Vaccine delivery is also an application for which MPs and NPs can be used. For example, Tavares et al. synthesized PLGA MPs in the form of dry powder for 1389 pulmonary vaccine delivery [27]. Their study aimed at evaluating the effect of BSA 1390 and L-leucine on the MPs characteristics, with BSA being a model antigen and L-1391 leucine a dispersibility enhancer for the SASD process. In vitro studies conducted on 1392 A549 cells showed no cytotoxicity of the system. 1393

1394 More recently, Chatzikleanthous et al. studied the use of cationic liposomes made 2-distearoyl-sn-glycero-3-phosphocholine 1395 of 1. (DSPC), cholesterol and dimethyldioctadecylammonium bromide (DDA) to deliver a GBS67-CpGODN 1396 complex [172]. GBS67 is a recognized antigen of Group B Streptococcus (GBS) 1397 bacteria, which activity can lead to serious infections in pregnant woman and 1398 newborn. GBS67 has notably been investigated as potential vaccine candidate 1399 1400 against GBS [188]. CpG oligodeoxynucleotide (CpGODN) is a Toll like receptor 9 (TLR9) agonist and its action leads to rapid immune responses that can inhibit or limit 1401 infections. The aim of the study was to obtain a new vaccine by covalently linking 1402 GBS67 and CpGODN prior to absorption on a liposome. The conjugation of both 1403 proteins led to a higher immune response compared to individual ones and more 1404 importantly a higher immune response with lower proteins doses. 1405 The

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1407 Table 3 sums up some interesting circulating systems and their main in vitro 1408 results.

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1410 Table 3: Interesting in vitro results obtained with circulating systems

oystem, material recent, ricrapedite ability material den main result	System/	Material	Protein /	Therapeutic ability	In vitro cell	Main result	Ref
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Application		therapeutic moeity		line model		
NPs Cancer	Cancer cell membrane coated MSNs containing diselenide bonds	RNase A	Cleaves RNA	Hec HeLa cells, Raw 264.7, Hbc MCF-7, MEF	Selective cellular uptake	[35]
NCs Cancer	Amino acid- functionalized CHI	Casp-3 PTX	Casp-3: apoptosis of tumor cells PTX: chemotherapeutic agent	Hcc HeLa cells	Highest apoptosis with the co-delivery	[117]
ExSM Cancer	PH20-modifed exosome from HEK293T cells	Hyaluronidase PH20 DOX	PH20: Hyaluronan degradation DOX: anti-cancer	PC3 cells	Reduction of HA production by cells	[56]
MPs Diabetes	Retrograded start/ pectin-coated Gellan gum MPs	Insulin	Hypoglycemic effect	Caco-2 cells Caco-2 cells monolayer	Insulin permeability on cells increased	[22]
NPs Bone tissue eng.	Disk-shaped nanosilicate	rhBMP2 or TGF-β3	rhBMP2: osteogenesis TGF-β3: chondrogenesis	hMSCs	Osteogenic or chondrogenic differentiation	[183]
MPs Nerve tissue eng.	PLGA particles in a single CHI MP	GDNF	Peripheral nerves regeneration	PC12 cells	Neuronal differentiation	[187]
Micelle Nerve tissue eng.	PF127 and TPGS	Rho123	Model molecule	BCECs	Enhanced cellular uptake in an <i>in vitro</i> model of BBB	[23]
MPs Cardiac tissue eng.	Adipose-derived SCs on PLGA/COL/ PDL	Nrg-1	Angiogenesis, cardiomyocyte proliferation	H9c2 cells	Released Nrg-1 is bioactive	[159]

1411 NPs nanoparticles; MSNs mesoporous silica nanoparticles; RNase A ribonuclease A; RNA ribonucleic acid; Hec HeLa cells 1412 human epithelial carcinoma HeLa cells; Raw 264.7 murine macrophages cells Raw 264.7; Hbc MCF-7 human breast cancer cell 1413 MCF-7; MEF mouse embryonic fibroblast; NCs nanocapsules, CHI chitosan; Casp-3 caspase-3; PTX paclitaxel; Hcc HeLa cells 1414 human cervical cancer HeLa cells; ExSM exosome; DOX doxorubicin; PC3 cells human PC3 prostate cancer cells; HA 1415 hyaluronic acid; MPs microparticles; Caco-2 cells Caco-2 human colon cancer cells; eng. Engineering; rhBMP2 recombinant 1416 human bone morphogenetic protein 2; TGF-β3 transforming growth factor-β3; hMSCs human mesenchymal stem cells; PLGA 1417 poly(lactic-co-glycolic acid); GDNF glial cell line derived neurotrophic factor; PC12 cells Rat pheochromocytome; PF127 1418 Pluronic ® F127; TPGS D-α-tocopheryl polyethylene glycol succinate; Rho123 Rhodamine 123; BCECs brain capillary 1419 endothelial cells; BBB Blood Brain Barrier; SCs stem cells; COL Collagen; PDL poly-D-lysine, Nrg-1 Neuregulin-1 1420

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# 1422 V Implantable matrices

Implantable matrices are mainly developed for tissue engineering, as they can be
designed to mimic the tissue characteristics and thus to improve cell adhesion,
migration and proliferation. Even if a huge amount of works focuses on bone tissue
engineering, implantable matrices are also suitable for the regeneration of cartilage,
nerve and cardiac tissues. Interestingly, implantable matrices have also emerged for
the treatment of diabetes and cancer.

1429 V.1 Bone tissue engineering

# 1430 V.1.1 Macroporous scaffolds

MacPSs have been widely developed for bone tissue engineering, in response to 1431 the need of a material that could replace autologous bone graft. Indeed, this latter 1432 has several disadvantages such as the limit of donor source or the risk of rejection. 1433 As MacPSs are solids and present a porous structure, they can support cell migration 1434 1435 and proliferation, making them very interesting in this field. As said previously, BMP2 is the therapeutic protein of choice for such application due to its ability to promote 1436 osteogenesis. The systems developed to deliver BMP2 can be separated in two 1437 categories: the first one consists of only one formulation type which can be made of 1438 organic, inorganic or a mixture organic/inorganic materials. The second category 1439 consists of the combination of several formulations such as the integration of 1440 nanostructures (MPs, NPs) into a scaffold. These ones are called nanocomposites 1441 1442 here.

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V.1.1.1 Single formulation: organic and inorganic material, alone or in combination

Organic MacPSs mainly consists of COL sponges. They have been studied for the 1446 release of BMP2, alone or with other drugs/proteins such as alendronate which 1447 1448 promotes osteogenic cells differentiation and supresses osteoclasts [102], or osteoprotegerin which inhibits osteoclast maturation, leading to the inhibition of new 1449 bone resorption [189]. It has also been used to compare the efficiency of BMP2 and 1450 BMP9 for bone regeneration [190]. Another organic MacPSs reported recently 1451 consisted of polydopamine-coated 3D printed PLA scaffold [111]. BMP2 was then 1452 loaded on the coated surface via adsorption and the obtained MacPSs could release 1453 it, allowing proliferation and osteogenesis differentiation of hMSCs. 1454

For inorganic-based MacPSs, Cao et al. proposed to use commercial  $\alpha$ -TCP 1455 scaffold for the loading and release of a BMP2 peptide via E7 domain [137]. The E7 1456 domain, a natural part of bone sialoprotein, was covalently linked to the BMP2 1457 peptide KIPKASSVPTELSAISTLYL and allowed the loading via its binding to Ca<sup>2+</sup> on 1458 the scaffold. Lin et al., on their side, reported the synthesis of mesoporous bioactive 1459 glass scaffold for the co-delivery of BMP2 and chemokine interleukine-8 (IL-8), due to 1460 its ability to recruit stem cells [191]. The scaffold allowed the burst release of IL-8, 1461 adsorbed on the PEG-coated surface, and the sustained release of BMP2, loaded 1462 inside the mesopores. 1463

Layered or sandwiched composite MacPSs, meaning that the final delivery system consists of a mixture of such organic and inorganic materials, have also been developed. For example, Cai *et al.* reported an HAP-COL scaffold able to release BMP2, leading to the adhesion, proliferation and differentiation of rat bone marrowderived MSCs [103]. Another example is the formulation of a mixture of gelatin, HAP and calcium sulphate in the form of cryogel for the delivery of BMP2 and zoledronic acid, another inhibitor of new bone resorption by the killing of osteoclast [151]. 1471 The case where nanostructures are formulated into another phase, to form 1472 nanocomposites MacPSs is developed more in details in the next paragraph.

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## V.1.1.2 Nanocomposites: nanostructures integrated in a scaffold

A very common way to obtain such nanocomposite MacPSs is to embed MPs/NPs particles in the scaffold or on the scaffold. Here also, we can classify the delivery systems depending on the organic/inorganic nature of the material.

For example, Wang et al. synthesized a scaffold made of CHI, agarose and gelatin 1478 in which they loaded CHI/heparin NPs for the delivery of BMP2 and SDF-1, which is 1479 a full organic-based scaffold [106]. Full inorganic-based scaffolds can also be done, 1480 as reported by Cui et al. who loaded P28 peptide in enlarged pores hollow MSNs 1481 1482 before embedding them in true bone ceramics. This system showed its ability to promote MC3T3-E1 cells proliferation and osteogenic differentiation in vitro due to 1483 the osteogenic activity of the BMP2 related P28 peptide [156]. Of course, the use of 1484 1485 organic and inorganic material together is common. For example, Yao et al. proposed the encapsulation of BMP2 in MSNs embedded in gelatin 3D nanofibrous scaffold 1486 further coated with deferoxamine-modified CHI [109]. This allowed the delivery of 1487 deferoxamine in addition to BMP2, a molecule able to activate the hypoxia-inducible 1488 factor-α and to trigger angiogenesis. The scaffold and BMP2 release are notably 1489 illustrated in Erreur ! Source du renvoi introuvable.A. 1490

The integration of the particles can be more complex. For example, Hettiaratchi *et al.* embedded heparin MPs in PCL nanofibers that were formulated as a mesh further placed around a COL sponge [192].

Of course, other material formulations can be embedded in a scaffold especially for the co-delivery of growth factors. Kuttapan *et al.* notably reinforced a gelatin scaffold containing silica-coated nanoHAP with oriented poly(L-lactic acid) (PLLA) fibrous yarns [152]. This system was studied for the co-delivery of VEGF+BMP2 and FGF2+BMP2 due to the angiogenesis property of VEGF and the ability of FGF2 to stimulate stem cell migration.

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1501 As seen above, BMP2 is not the only protein studied for bone tissue engineering: VEGF notably induces interest. It has for example been loaded in alginate MPs 1502 further embedded in a COL-HAP scaffold, combining the angiogenesis ability of 1503 1504 VEGF and the osteoinductive property of HAP [167]. In another study, it was loaded with L-ascorbic acid 2-phosphate, a derivative of ascorbic acid which is essential for 1505 COL biosynthesis, in avidin-modified PLGA NPs [100]. These NPs were then 1506 embedded in a polymer solution made of poly(vinyl acetate) (PVAc), CHI, PLLA and 1507 biotin-modified-PEI before freeze-drying. The good integration of the NPs in the 1508 polymer solution was due to the avidin-biotin conjugation. 1509

SDF-1 was also interesting due to its ability to promote cell migration and osteogenic differentiation. Then, Zhang *et al.* proposed an HAP scaffold coated with alginate [14]. This coating allowed the loading of SDF-1 and dexamethasone (DEX)loaded hydroxypropyl-β-cyclodextrin microspheres. The *in vitro* experiment showed the migration of rat bone marrow MSCs inside the scaffold and their osteogenic differentiation.

High-mobility group box 1 (HMGB1), an osteogenic cytokine, was also loaded in gelatin sponge, which was combined with MSCs sheets to increase fracture healing rate [193].



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 Figure 5: A. SEM pictures of the scaffold and resulting release profile of BMP-2 (adapted from article [109]). B. In vitro neurite outgrowth in presence of NGF unloaded and loaded microparticles (adapted from article [194]). C.
 Schematic illustration of the HG (adapted from article [144]) D. Schematic illustration of the HG and the release profile of the different proteins (reproduced from article [195])

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#### V.1.2 Fibers

Fibers are very interesting scaffolds for tissue engineering as their structure 1526 mimics the ECM and provide good support for cell adhesion, migration and 1527 proliferation. A very used material to design fibers for bone tissue engineering is PLA. 1528 alone or in combination. For example, Bhattarai et al. developed PLA/BMP2 core -1529 PLA/TUDCA sheath fibers to deliver two therapeutic agents: BMP2 and 1530 tauroursodeoxycholic acid (TUDCA), an angiogenic factor. The in vitro studies 1531 conducted on hMSCs and human umbilical vein endothelial cells (HUVECs) 1532 confirmed the ability of the system to promote angiogenic and osteogenic 1533 differentiation [196]. Regarding the combinations, it has notably been associated with 1534 PVA. Da Silva et al. notably studied the delivery of BMP2 from PVA/BMP2 core-PLA 1535 sheath fibers compared to monolithic PLA and PVA fibers [154] and Wang et al. 1536 evaluated the impact of cold atmospheric plasma treatment on BSA/PVA core-PLLA 1537 sheath fibers characteristics [80]. In these examples, the protein cargo was loaded 1538 only in the core of the fiber, but other possibilities have been explored. For example, 1539 Cheng et al. reported the synthesis of BMP2/PVA core- silk fibroin/PCL sheath fibers 1540 coated by CHI and connective tissue growth factor (CTGF), another angiogenic 1541 1542 growth factor, via LBL [197].

At last, in another study reported by Aragón *et al.*, PCL/HAP nanorods core-PCL or PVAc sheath fibers were decorated with BMP2-loaded PLGA NPs via simultaneous electrospraying during the coaxial electrospinning [81].

#### 1546 1547 V.1.3 Hydrogels

1548 HG systems have also been proposed for the delivery of BMP2 for bone tissue engineering. Alginate HG has notably been investigated in comparison with COL 1549 sponge [198] and as a delivery system for BMP-loaded heparin MPs [71]. In both 1550 studies, the bioactivity of the released BMP2 was retained as assessed by ALP 1551 1552 activity of MC3T3 cells and C2C12 cells respectively. Olthof et al. proposed another 1553 material. oligo[(polyethylene glycol) fumarate] bis[2-(methacryloyloxy) ethvl1 phosphate (OPF-BP), to obtain an HG. BMP2-loaded PLGA MPs were then loaded 1554 within the HG by adsorption [61]. 1555

Some studies also reported the use of glue. For example, Huber *et al.* investigated the possibility to use commercial demineralized bone matrix (DBM) putty as BMP2 delivery system to enhance the osteinductive ability of the DBM [199]. In another study, Enezei *et al.* compared the BMP2 and VEGF expression in dental stem cells after treatment with VEGF-loaded fibrin glue or VEGF-loaded fibrin glue treated with porous biphasic calcium phosphate [200].

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# V.2 Cartilage tissue engineering

V.2.1 Fibers

TGF- $\beta$  is a family of cytokines essential for fibrocartilage and cartilage formation, 1565 which make these cytokines proteins of choice for cartilage tissue engineering. Thus, 1566 several fibers have been designed for their delivery. Among the organic material, 1567 PCL is often used as building block due to its biocompatibility, good biodegradability 1568 and low interactions with cells (bio-inert material). For example, Qu et al. synthesized 1569 monolithic fibers composed of PCL, PLGA, BSA and TGF-B3 for the delivery of TGF-1570 β3 and this system allowed the proliferation and fibrochondrogenic differentiation of 1571 synovium-derived stem cells (SDSC) [201]. PCL fibers were also used as the support 1572 for LBL coating of TGF-β3-loaded CHI nanogels and polyanions. This study notably 1573 1574 aimed at showing the possibility to fabricate this construct and to test several polyanions for it (alginate, chondroitin sulfate, HA) [202]. Core-sheath fibers were 1575 1576 also proposed for this protein. For example, Wang et al. synthesized rhTGFβ3/BSA/PBS core – P(LLA-CL)/COL sheath fibers and showed that the bioactivity of 1577 TGF-B3 was retained after release by checking the production of COL type II and 1578 1579 GAGs by chondrocytes [203].

Inorganic nanofibers have also been studied for the delivery of TGF-β1 and growth differentiation factor-5 (GDF-5) due to their ability to induce human adipose stromal cell differentiation into intervertebral disk nucleopulpocytes. More precisely, the system was rod-shape colloidal silica nanofibers and the study assessed the bioactivity of the released proteins [204].

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# V.2.2 Macroporous scaffolds

A MacPS has been proposed for anterior cruciate ligament (ACL) regeneration. The scaffold consisted of silk mesh cross-linked to SDF-1-loaded COL sponge. The authors studied the effect of the SDF-1 release on ligament-derived stem/progenitor cells (LSPCs) and the results showed the SDF-1-induced recruitment of LSPCs inside the scaffold and formation of tendon tissue [104].

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  - V.3 Nerve tissue engineering V.3.1 Fibers

The use of fibers for nerve repair is very interesting as the structure of the fiber 1595 can direct the growth of neurons and enhance it. Whitehead et al. reported the 1596 synthesis of NGF-loaded PLGA MPs embedded in HA aligned fibers [194]. The 1597 system provided a mechanically good environment for dorsal root ganglia (DRG) 1598 growth. As illustrated in Erreur ! Source du renvoi introuvable.B, the aligned fibers 1599 enhanced the neurite outgrowth of DRG in presence of NGF-loaded MPs. A dual 1600 delivery scaffold has also been developed for such application [162]. The system 1601 consisted of PLLA fibers encapsulating B-NGF with VEGF adsorbed on its surface. 1602 This design allowed a fast release of VEGF as it was adsorbed on the surface and a 1603 slow continuous release of NGF, allowing the enhancement of neural differentiation 1604 of induced pluripotent stem cells-derived neural crest stem cells (iPSCs-NCSCs) in 1605 1606 vitro.

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# V.3.2 Hydrogels

HG is a good system for neural regeneration, as it is a good support in which cells 1609 can migrate and proliferate, which is also used to help the transplantation of cells. HG 1610 systems delivering proteins have been developed these last five years for these 1611 reasons [205]. One interesting system combining PLA nanofibers of different sizes 1612 1613 and self-assembling peptide-Fmoc/DIKVAV HGs in order to well mimic the ECM has been proposed [206]. The system was studied for the release of GDNF or brain-1614 derived neurotrophic factor (BDNF) individually, both known for their ability to 1615 increase neuron survival and metabolic activity. The study tried different loading: the 1616 GDNF was embedded in the fibers by blending while the BNDF was immobilized on 1617 the fibers surface through covalent bond. This led to different release profiles, which 1618 were a burst release for GDNF and a sustained release for BDNF. 1619

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# V.3.3 Macroporous scaffolds

Nguyen et al. proposed an hybrid scaffold delivering neurotrophin-3 (NT-3), which 1622 actions leads to neuronal survival, axonal sprouting and regeneration, and miR-222, 1623 a model microRNA which can promote severed axons regeneration by participating in 1624 the synthesis of proteins at distal axons [76]. The system consisted of poly ( $\varepsilon$  -1625 caprolactone-co-ethyl ethylene phosphate) (PCLEEP) nanofibers embedded in a 1626 COL solution prior to freeze-drying to obtain the scaffold. NT-3 was loaded inside the 1627 COL solution while the miR-222 was loaded in micellar NPs made of PCL-PEG and 1628 PCL-PPEEA (poly(ε -caprolactone)-block-poly( 2-aminoethyl ethylene phosphate)). 1629 1630 The system notably showed positive results in vivo, as it will be described in section 1631 VII.

1632 1633

# V.3.4 Films

A multifunctional film has also been proposed for the guidance of neuron growth. The study reported the synthesis of a PLLA film presenting longitudinal micropattern. The film was loaded with β-NGF on the surface following a gradient and with β-NGFpolyanhydride MPs. The surface loading allowed a fast release of the growth factor while the MPs allowed a controlled release. Altogether, it could guide and direct neurite outgrowth of PC12 cells [92].

To the best of our knowledge, this is the only example of auto-supported film delivering proteins developed these last years for implantation. However, films as protein delivery systems can be designed as coatings to be added on implants. For example, Sivak *et al.* compared the effect of silk-trehalose film loaded with 1644 chondroitinase ABC and/or GDNF inserted in a silk nerve conduit on the regeneration 1645 of rat sciatic nerve [207].

1646V.4 Cardiac tissue engineering1647V.4.1 Patches

The development of patches for cardiac tissue engineering is interesting due to 1648 several reasons. For example, O'Neill et al. developed an alginate MPs-loaded COL 1649 patch for the delivery of HGF and insulin-like growth factor-1 (IGF-1) from the MPs. 1650 These proteins induce the recruitment and proliferation of cardiac stem cells (CSCs). 1651 Then, the implantation of such system could promote the in vivo CSCs migration and 1652 proliferation at the infarct site and so avoid the implantation of *in vitro* cultured CSCs 1653 [208]. Fleischer et al., on their site, developed a system that could mimic the structure 1654 of cardiac tissue [209]. Their system consisted of an LBL patch incorporating cells, 1655 particles and drug. More precisely, the layers were made with albumin electrospun 1656 fibers further carved with a laser. One layer presented microchannels to orient the 1657 seeded endothelial cells, one layer presented microtunnels with side cages and was 1658 loaded with endothelial cells as well and VEGF-loaded PLGA NPs, for the VEGF 1659 1660 angiogenesis property, and the last layer was caged-like patterned and was loaded with DEX-loaded PLGA NPs, for the anti-inflammatory property of DEX. 1661

# V.4.2 Hydrogels

HGs have also been used to ensure a better delivery of growth factors after MI. 1664 Then, Steele et al. designed an HG for the delivery of HGFdf as it has anti-apoptotic, 1665 pro-angiogenic and cardio-protective properties [144]. More precisely, they designed 1666 a "Shear-thinning Hydrogels for Injectable Encapsulation and Long-term Delivery" 1667 named SHIELD, which is an HG able to support injection. Indeed, it turns to a 1668 solution state when submitted to shear force, and then turns back to HG state. The 1669 HG they developed is illustrated in Erreur! Source du renvoi introuvable.C. The 1670 shear-thinning/self-healing ability of the HG was assured by the P1-C7 peptidic 1671 molecular recognition and reversible binding. Co-delivery of several growth factors 1672 have also been proposed by Awada et al. [195]. They loaded a fibrin gel with tissue 1673 inhibitor of metalloproteinases 3 (TIMP-3) and two types of coacervate made with the 1674 synthetic polycation poly(ethylene argininylaspartate diglyceride) (PEAD) and 1675 heparin/protein. The protein was either bFGF-2 or SDF-1a. This system combines 1676 the cell recruitment ability of SDF-1 $\alpha$ , the angiogenesis property of bFGF2 and the 1677 ability of TIMP-3 to reduce ECM degradation. This system is illustrated in Erreur ! 1678 Source du renvoi introuvable.D. 1679

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# V.5 Other applications of implantable matrices

# V.5.1 Diabetes treatment

A possibility to treat diabetes type I is the use of islet transplantation, which then 1683 implies to find a good site of implantation without causing a high immune response. 1684 Thus, Liu et al. developed a system delivering the cytokine IL-33, which can have 1685 pro- or anti-inflammatory effect depending on its environment, to support cell 1686 transplantation in adipose tissue. The systems consisted of a disk-shape inner layer 1687 made of mannitol and IL-33-loaded PLGA MPs compressed between two outer 1688 layers of PLGA. The bioactivity of the released IL-33 was assessed by checking the 1689 production of IL-13 from naïve T cells [210]. 1690

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V.5.2 Cancer treatment

An HG delivery system has been proposed for cancer treatment to provide local 1693 drug delivery and overcome the possible difficulty of circulating systems to reach their 1694 target. The system was an oligopeptide HG encapsulating hirudin for its anti-1695 andiodenic property and TRAIL for its apoptosis property. More precisely, the HG 1696 was made of F-moc and FF-DOPA which the self-assembly was catalysed by the 1697 protease WQ9-2. The anti-angiogenic activity of the system was assessed on 1698 HUVECs and the apoptotic activity of TRAIL was assessed on human breast cancer 1699 cells MDA-MB-231 [145]. 1700

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#### V.5.3 Internal wound healing

Lokhande *et al.* proposed an injectable HG that could be used as hemostat to treat internal haemorrhage. This HG was made with  $\kappa$ -carrageenan and was reinforced with 2D nanosilicates. This addition of nanosilicate increased the hMSCs adhesion and spreading on the HG. For example, with 2 % nanosilicate, the area on which cells spread was increased by 400 %. The study also showed the ability of the system to accelerate blood clotting and to deliver VEGF, which led to enhanced wound healing in a scratch assay [72].

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1711 Some implantable matrices and their major *in vitro* results are summarized in 1712 **Table 4**.

System/ Application	Material	Protein / therapeutic moeity	Therapeutic ability	<i>In vitro</i> cell line model	Main result	Ref
MacPS Bone tissue eng.	PDA-coated PLA 3D printed wheel	BMP2	Osteogenesis	MG-63 hMSCs	Biocompatibility, osteogenesis	[111]
MacPS Bone tissue eng.	MesoCS NPs in PCL 3D-printed scaffold	BMP2	BMP2: osteogenesis MesoCS: bone-like apatite formation	WJMSCs	Osteogenic differentiation, calcium deposition	[112]
MacPS Bone tissue eng.	Commercial gelatin sponge	HMGB1	Osteogenesis	MSCs, MSCs sheets	STAT3-mediated osteogenic differentiation	[193]
Fiber Bone tissue eng.	PLGA-NPs on PCL/HAPn core– PCL or PVAc sheath	BMP2 and HAPn	PCL: osteoinduction and osteoconduction BMP2: osteogenesis HAPn: osteoconduction	Human osteoblasts	Enhanced bone markers expression (ALP, OCN, OPN)	[81]
HG Bone tissue eng.	Fibrin glue with or without porous BCP	VEGF	Angiogenesis	Dental stem cells	Higher osteogenesis and angiogenesis gene expression	[200]
Fiber Cartilage tissue eng.	PCL/PLGA/BSA fibers as a mesh	TGF-β3	Fibrochondrogenic differentiation, increases COL synthesis	SDSCs	Fibrocartilage-like matrix deposition	[201]
MacPS Cartilage tissue eng.	Knitted silk mesh cross-linked to COL sponge	SDF-1	Cell recruitment	LSPCs	Stem cell recruitment of the scaffold	[104]
Fiber Nerve tissue eng.	PLLA fibers used as nerve conduit	VEGF <sub>165</sub> β-NGF	VEGF: angiogenesis β-NGF: neurogenesis	iPSCs- NCSCs	Enhanced proliferation and neural differentiation	[162]
HG Nerve tissue eng.	PLA nanofibers in Fmoc/DIKVAV HG	GDNF BDNF	Increase neuron survival and metabolic activity	Primary CNCs culture	Increased metabolic activity	[206]
Film Nerve tissue eng.	PLLA porous film and polyanhydride MPs	β-NGF	Promote neurite outgrowth	PC12 cells	Guided neurite outgrowth	[92]
Patch Cardiac tissue eng.	Alginate MPs in COL patch	HGF IGF-1	HGF: cell migration IGF-1: cell proliferation and anti-apoptotic effect	c-Kit <sup>POS</sup> Cardiac Stem Cells	Cell migration and enhanced proliferation	[208]
HG Cardiac tissue eng.	SHIELD (PEG-P1 and C7-HGFdf)	HGFdf	Anti-apoptotic, pro- angiogenic and cardioprotective	NCm, HUVECs	Cell viability against hypoxia, angiogenesis	[144]

1713 **Table 4**: Interesting *in vitro* results obtained with implantable matrices

Fiber	CHI/PEO	Insulin	Hypoglycemic effect	3T3-L1	Released insulin is	[211]
Diabete					bioactive	
MacPS	PLGA	Cytokine IL-	Ani-inflammatory effect	Naïve T	Released IL-33 is	[210]
Diabete		33		cells	bioactive	
HG	Oligopeptides	Hirudin	Hirudin: anti-	MDA-MB-	Anti-tumor activity	[145]
Cancer	Fmoc-F and FF-	TRAIL	angiogenesis	231,	of the co-	
	DOPA		TRAIL: proapoptotic	MCF-10A	delivering system	
			agent	HUVECs		

1714 MacPS MacroPorous scaffold; eng. Engineering; PDA polydopamine; PLA poly(lactic acid); BMP2 bone morphogenetic protein 1715 2; MG-63 human osteoblast-like cell line; hMSCs human mesenchymal stem cells; MesoCS mesoporous calcium silicate; NPs 1716 Nanoparticles; PCL poly(caprolactone); WJMSCs Human Wharton's Jelly mesenchymal stem cells; HMGB1 high-mobility group 1717 box 1; PLGA poly(lactic-co-glycolic acid); HAPn hydroxyapatite nanorods; PVAc polyvinyl acetate; ALP alkaline phosphatase; OCN osteocalcin; OPN osteopontin; BCP biphasic calcium phosphate; VEGF vascular endothelial growth factor; BSA bovine 1718 1719 serum albumin; TGF-β3 transforming growth factor-β3; SDSCs synovium-derived stem cells; SDF-1 stromal cell derived factor-1720 1; LSPCs ligament-derives stem/progenitor cells; PLLA poly(L-lactic acid); β-NGF β-nerve growth factor; iPSCs-NCSCs induced 1721 pluripotent stem cells-derived neural crest stem cells; IGF-1 insulin-like growth factor-1; BDNF brain-derived neurotrophic factor; 1722 NSCs neural stem cells; Fmoc/DIKVAV fluorenylmethyloxycarbonyl capped aspartic acid-isoleucinelysine- valine-alanine-valine; 1723 GDNF glial cell-line derived neurotrophic factor; CNCs cortical neurons cell; MPs microparticles; PC12 cells Rat 1724 pheochromocytome; HGF hepatocyte growth factor; SHIELD Shear thinning Hydrogels for Injectable Encapsulation and Long-1725 term Delivery; HGFdf hepatocyte growth factor dimeric fragment; NCm neonatal cardiomyocytes; HUVECs human umbilical vein 1726 endothelial cells; CHI chitosan; PEO poly(ethylene oxide); 3T3-L1 preadipocyte cells; TRAIL tumor necrosis factor-related 1727 1728 apoptosis inducing ligand; MDA-MB-231 human breast cancer cells; MCF-10A human normal breast epithelial cells

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# 1731 VI Covering systems

1732 Covering systems refer to systems that are aimed at being deposited on a body 1733 surface. Such systems are mainly used to regenerate damaged skin tissue, but can 1734 also be used as transdermal delivery system to cross the skin barrier or even as 1735 transbuccal delivery systems.

1737 VI.1 Tissue healing

# 1738 VI.1.1 Fibers

1736

As fibers mimic the ECM architecture, they are a system of choice to deliver proteins to regenerate the skin. Neovascularization is an important phenomenon to reach tissue regeneration, which makes VEGF a very good growth factor to deliver as it promotes angiogenesis.

Thus, Zigdon-Giladi et al. synthesized PEO/VEGF core-PCL/PEG sheath fibers to 1743 1744 improve angiogenesis [212]. The authors notably studied the effect of PEG quantity on the pore size of the shell and release profile of VEGF. The results showed that the 1745 pore size and density increase with increased PEG quantity. The release profile 1746 1747 presented typically a burst release followed by a sustained release. The authors showed the possibility to tune the sustained release time by playing on the PEG 1748 quantity: the continuous release increased from 4 to 18 h by decreasing the PEG 1749 amount from 3 % to 0.25 %. 1750

VEGF has also been co-delivered with TGF-B3 [163]. In this study, PLGA 1751 nanofibers were coated with heparin/protein (VEGF or TGF)-PEAD coacervate. This 1752 coating strategy was operated to avoid the exposition of the growth factors to the 1753 electrospinning formulation conditions. The bioactivity of the released growth factors 1754 was assessed by checking the proliferation of human dermal fibroblast and tubule 1755 formation of HUVECs. The results showed the higher biological response of 1756 nanofibers loaded with the dual-drug coacervate, compared to the sample treated 1757 with VEGF-coacervate-loaded fibers and free TGF-B3 (Coa-V-Free T) and to the 1758 sample treated with TGF-B3-coacervate-loaded fibers and free VEGF (Coa-T-Free 1759 V). The capillary tubule total length was around 13 mm for the dual-drug coacervate-1760 loaded fibers while it was around 9 mm for the Coa-V-Free T and around 7 mm for 1761 1762 the Coa-T-Free V in the tubule formation experiment with HUVECs.

As the migration and proliferation of fibroblasts are important phenomena for 1763 wound healing, the delivery of PDGF-BB for this application is a good strategy to 1764 investigate as it can promote these phenomena. Thus, Piran et al. embedded PDGF-1765 1766 BB-loaded CHI NPs in PCL nanofibers for wound healing and evaluated its effect on fibroblast migration and proliferation [213]. The results showed a chemotaxic 1767 behaviour more pronounced toward PDGF-BB-containing scaffold and a three-fold 1768 higher expression of the Arp2 gene when cells were seeded on PDGF-BB-containing 1769 scaffold. This gene is involved in cell protrusion, a phenomenon occurring during cell 1770 migration. Altogether these results showed the ability of the PDGF-BB-containing 1771 scaffold to promote cell migration. 1772

1773 1774

# VI.1.2 Films

A certain interest in the design of films for wound healing is growing, notably because it can cover the wound and then protect it from the environment.

Thus, Qi *et al.* developed a zein-based film [97]. These authors notably modified zein with citric acid and acetic anhydride to modify its hydrophilicity. The fibrous film was then cross-linked with sodium hexametaphosphate, which gave it the ability to swell in pure water and phosphate buffer solution like an HG. The obtained films
were completely characterized and their protein adsorption and release ability were
tested with BSA and cytochrome C due to their opposite charge. The experiments
showed better results with the positively-charged cytochrome C.

On their side, Mandapalli et al. proposed an LBL film made of CHI and sodium 1784 alginate for the co-delivery of the growth promoting agent epidermal growth factor 1785 (EGF) and the TGF- $\beta$  small interfering RNA (TGF- $\beta$  siRNA) [214]. This siRNA is 1786 supposed to silent the TGF-B gene expression, which will stop the COL production. 1787 1788 This will avoid scar formation during the healing as it is due to a surproduction of COL caused by a surpopulation of fibroblast and a decrease of collagenase within 1789 the wound site. The two therapeutics were added to the film as layers in the LBL 1790 1791 process and the study compared their individual and co-delivery. The unloaded LBL film notably showed good cell adhesion of A431 epidermal keratinocytes without 1792 bacterial colony formation as tested with Escherichia coli (E. coli) and 1793 1794 Staphylococcus aureus (S. aureus). The efficiency of the loaded LBL film was assessed in vivo and will be described in the next section. 1795

More recently, Zhang et al. reported the development of a complex LBL film which 1796 is mechanically sensitive [126]. The system consists of a first layer being a 1797 piezoelectric-dielectric film, the mechano-sensitive part of the system. More precisely, 1798 this layer is made with the piezoelectric polymer poly(vinylidene fluoride-co-1799 hexafluoropropylene) (PVDF-HFP) filled with 4-azidotetrafluorobenzoic acid (TFB)-1800 modified rGO (graphene oxide). Then, LBL adsorption was performed on this layer, 1801 first with PAH and MSNs and second with PAH and 4,4'-Diazostilbene-2,2-disulfonic 1802 acid disodium salt (DAS). This PAH/DAS part was further cross-linked under UV light 1803 1804 and the system was then loaded with positively charged methylene blue (MB), negatively charged sodium fluorescein (SF), zwitterionic peptide RhB-SGSGRGD or 1805 the enzyme catalase (CAT). The results showed the ability of the film to accelerate 1806 1807 the drug release under mechanical stimuli, whatever the cargo as it can be seen in Figure 6A. More importantly, the CAT activity, which is the disruption of  $H_2O_2$  into 1808  $H_2O$  and  $O_2$ , was retained. Indeed, the viability of human lung fibroblast cells 1809 (HLFCs) exposed to  $H_2O_2$  was increased by 10-30 % after treatment with the CAT-1810 loaded film. 1811



1812

**Figure 6**: A. Release of several proteins by a piezoelectric-dielectric film with and without finger-pressing (adapted from article [126]). B. Schematic illustration of the fabrication of the patch and the release pathways (reproduced from article [95]).

1816

1817 VI.1.3 Hydrogels

A study reported the development of liposome/gelatin methacrylate composite HG 1818 for the delivery of SDF-1 $\alpha$  to induce cells migration to the wound site [171]. The use 1819 of type B gelatin assured the biocompatibility of the system, as it is a denatured form 1820 1821 of COL, and its protease-induced degradability. As for the use of liposome to encapsulate SDF-1a, it was operated to better control the release of the protein drug. 1822 In vitro experiment showed that the system retained SDF-1a activity as it enhanced 1823 hMSCs migration and induced mTOR signalling activity. This was interesting as the 1824 mTOR pathway is involved in the stimulation of cells metabolism, migration and pro-1825 healing protein production. 1826 1827

## 1828 VI.1.4 Macroporous scaffolds

A MacPS scaffold has been designed for wound healing, combining the ability of 1829 silk fibroin particles to protect sensitive molecules, the ability of silk fibroin scaffold to 1830 promote wound healing by supporting cell growth and several functionalities of insulin 1831 [105]. Indeed, insulin can stimulate the migration and proliferation of keratinocytes as 1832 well as tube formation of endothelial cells, a typical angiogenesis phenomenon 1833 1834 improving wound healing. The system was made by LBL of silk fibroin solution and insulin core-silk fibroin shell MPs and showed improved wound closure in scratch 1835 assay operated with HaCaT cells and EA. Hy926 cells, which are human keratinocyte 1836 cell line and human endothelial cell line respectively. 1837

1838 1839

## VI.2 Transdermal drug delivery

MNs patches are widely developed for transdermal delivery, and notably for the 1840 treatment of diabetes as it allows the delivery of drugs without damaging the tissue, 1841 bleeding and pain. The protein drug can then be directly loaded in the system, as 1842 proposed by Seong et al. who designed an MNs patch with bullet-shaped MNs made 1843 of two layers [93]. The external layer was made of swellable PS-PAA while the 1844 internal layer was made of non-swellable PS. In vitro experiment showed that 60 % of 1845 the loaded insulin was released and that 70 % of the released insulin retained its 1846 structure integrity. 1847

In another study, the release of loaded proteins was ensured by a charge-1848 invertible polymer micelle [88]. The MNs patch was made with PLLA and was then 1849 with the charge-invertible polymeric micelles made 1850 coated of poly(2-(diisopropylamino) ethyl methacrylate - block - methacrylic acid) (P(DPAEMA-b-1851 MAA)). Then, LBL was operated with proteins (lysozyme, OVA or Cy5-OVA) and 1852 polymer (polyamine or PAA). This system was able to deliver the LBL film within one 1853 1854 minute to the epidermis due to the charge-inversion of the micelle which disrupts the cohesion between the MNs patch and the LBL film. 1855

The therapeutic protein can also be loaded into particles embedded in the MNs 1856 patch. For example, Liu et al. simply loaded insulin in CaCO<sub>3</sub> MPs that they 1857 embedded in a PVP MNs patch [94]. But more complex system has also been 1858 reported: Chen et al. proposed an alginate MNs patch integrating mineralized 1859 particles loaded with either GOx or Ex4, a glucagon-like peptide-1 receptor agonist 1860 able to induce insulin production by  $\beta$ -cells [119]. More precisely, GOx was 1861 immobilized in copper phosphate mineralized particles, while Ex4 was loaded in 1862 calcium phosphate mineralized particles. These different integrations of the protein 1863 drugs allowed the release of Ex4 while keeping the glucose-responsive GOx inside 1864 the MNs patch. Another example is illustrated in Erreur! Source du renvoi 1865 introuvable.B. In this example, insulin and GOx were loaded in glucose- and H<sub>2</sub>O<sub>2</sub>-1866 responsive particles made of PEG, poly(phenylboronic acid) (glucose-sensitive 1867 block), and poly(phenylboronic acid pinacol ester) (H2O2-sensitive block). These 1868 particles were then embedded in the MNs of a PVP/PVA MNs patch [95]. 1869

At last, a light responsive system has also been reported for transdermal protein delivery. Indeed, Haine *et al.* added PSS/PAH-coated gold nanorods to a transparent HG film made of gellan gum, chondroitin sulfate and HA. The objective was to use the photothermal property of gold nanorods to enhance the protein delivery to the skin under NIR irradiation [123].

1875

1876 VI.3 Transbuccal drug delivery

The buccal mucosa is a great route for drug administration as it is easy to reach, 1877 allows the use of non-invasive systems and is estimated to be 4,000 more permeable 1878 than skin. Delivering drug through buccal mucosa also avoid losing drug because of 1879 the enzymatic activity and different pH conditions met through the gastrointestinal 1880 tract. These properties have been exploited by Lancina et al. to design a transbuccal 1881 delivery system for insulin as a solution to avoid subcutaneous injection or infusion 1882 pump in the treatment of diabetes [211]. The delivery system consisted of 1883 CHI/PEO/insulin electrospun fibers and the authors notably studied the impact of 1884 PEO quantity on fibers characteristics. The increase of PEO content induced a 1885 decrease of the diameter of the fibers and an increase of the release kinetics. The 1886 activity of released insulin was assessed by checking the AKT phosphorylation of 1887 3T3-L1 preadipocyte cells, which was significantly increased. 1888

Delivery through the buccal mucosa has also been exploited for the delivery of 1889 anti-hypertensive peptide (AhP) by the group of M. Pintado. In a first study, they 1890 loaded AhP in PLGA NPs further embedded in a guar-gum film (GfNP) [155]. The 1891 permeability of the system was assessed in an in vitro model of the epithelium of 1892 buccal mucosa using TR146 buccal cells. The results showed that the free AhP had 1893 a faster permeation than AhP-loaded GfNP but that the final amount of AhP found 1894 within the cell monolayer was higher for the GfNP system. In another study, they 1895 loaded the AhP in CHI MPs further embedded in CHI film [91]. Experimental designs 1896 were used to obtain optimal CHI MPs and CHI film and TR146 cells were also used 1897 1898 as human buccal epithelium model. The optimal MPs had a diameter of 2.5 µm and a loading efficiency of 76 % and the optimal film was composed of 0.79 % of CHI, 6.74 1899 % of sorbitol and 0.82 % of citric acid (w/v). The viability assay on TR146 cells 1900 1901 showed no cytotoxicity of the system.

1902

1903 The systems and their major *in vitro* results are summarized in **Table 5**.

1904 Table 5: Interesting in vitro results obtained with covering systems

System/ Application	Material	Protein / therapeutic moeity	Therapeutic ability	<i>In vitr</i> o cell line model	Main result	Ref
Fiber Tissue healing	Coacervate- coated PLGA fibers	VEGF TGF-β3	VEGF: angiogenesis, reduction of necrosis TGF- β3: angiogenesis	CCL-64, hDFBs, HUVECs	Bioactivity of growth factors retained	[163]
Fiber Tissue healing	CHI NPs in PCL fibers	PDGF-BB	PDGF-BB: cell proliferation, fibroblast migration CHI: anti-inflammatory	Fibroblast cells	Improved cell mass growth and cell movement	[213]
Film Tissue healing	CHI and Sodium alginate organized in layers	EGF and/or TGF-β siRNA	EGF: growth promoting TGF-β siRNA: reduction of COL production	A431 cells, E. coli, S. aureus	Cytocompatible, prevents bacterial colony formation	[214]
HG Tissue healing	Nanosilicate in ĸ-carrageenan	VEGF	Angiogenesis	hMSCs, HUVECs	Enhanced wound healing in a scratch assay	[72]
MacPS Tissue healing	Silk fibroin MPs in silk fibroin sponge	Insulin	Angiogenesis, migration and proliferation of keratinocytes	HaCaT cells, EA. hy926 cells	Stimulation of cell migration	[105]
Patch Transdermal drug delivery	Polymeric vesicles in PVP/PVA patch	Insulin and GOx	Insulin: hypoglycemic effect	MCF-7 cells	Cytocompatibility of the loaded polymeric vesicles	[95]
Film Transbuccal drug delivery	PLGA NPs in guar-gum film	AhP	Anti-hypertensive	TR146 cell line	Improved permeation through cell multilayer	[155]

PLGA poly(lactic-co-glycolic acid); VEGF vascular endothelial growth factor; TGF-β3 transforming growth factor-β3; CCL-64 Mink lung epithelial cell line; hDFBs human dermal fibroblasts; HUVECs human umbilical vein endothelial cells; CHI chitosan; 1907 NPs nanoparticles; PCL polycaprolactone; PDGF-BB platelet-derived growth factor-BB; EGF epidermal growth factor; TGF-β siRNA transforming growth factor-β small interfering ribonucleic acid; A431 human epidermoid carcinoma cells; *E. coli Escherichia coli*; *S. aureus Staphylococcus aureus*; hMSCs human mesenchymal stem cells; MPs microparticles; HaCaT
immortal human keratinocyte line; EA. hy926 human endothelial cell line; PVP poly(vinylpyrrolidone); PVA poly(vinylalcohol);
GOx glocuse oxidase; MCF-7 cells human breast adenocarcinoma cells; AhP anti-hypetensive peptideTR146 human buccal
carcinoma cell line

# 1915 VII *In vivo* studies

1917

1916VII.1 Circulating systems

VII.1.1 Cancer treatment

The use of cancerous-cells xenograft tumour-bearing mice is the dominant model 1918 to evaluate the efficiency of particle systems on tumours. Then, several cell lines can 1919 be used. For example, 4T1 xenograft tumour-bearing BALB/c mice were used as a 1920 model to test the efficiency of RNBC-loaded KPEI nanocomplex coated with HA-1921 hematoporphyrin (KHHR) [122]. As illustrated in Erreur ! Source du renvoi 1922 introuvable.A, the KHHR system stopped the tumour growth, compared to KHHB 1923 where RNBC is replaced by BSA, KHR where there is no hematoporphyrin, and PBS. 1924 This model has also been used to test the efficiency of PH20-ExSM together with 1925 PC3 xenograft tumour-bearing BALBc nu/nu mice. The results showed 83 % 1926 1927 inhibition of the PC3 tumour growth and a reduction of the 4T1 tumour volume [56].

HeLa xenograft has also been used and showed the enhanced anti-cancer efficiency of diselenide bridge-containing MSNs when there were coated with cancer cell membrane [35]. Unzueta *et al.* chose the subcutaneous CXCR4+ colorectal cancer xenograft model applied in female Swiss nu/nu mice to confirm the specific accumulation of their T22-GFP-H6 BIB *in vivo* (study presented in perspective due to its originality) [158].

HT29 xenograft tumour-bearing male BALB/c nu/nu mice and CT26.CL25 xenograft tumour-bearing BALB/c mice were also used by Cho *et al.* to evaluate the anti-cancer activity of their SIRPα-ExSM, showing a delayed tumour growth in the HT29 xenograft model and the inhibition of the CT26.CL25 xenograft tumour growth [57].



1939

**Figure 7**: A. Tumor volume and survival rate of mice treated with different particle systems (adapted from article [122]). B. Micro-CT images of calvarial defect 8 weeks post-implantation and volume of new bone (adapted from article [138]). C. Schematic representation of the glucose-responsive MNs patch (reproduced from Article [119])

1943 1944

#### VII.1.2 Diabetes treatment

In the case of diabetes, the disease is usually induced by injection of a chemical. 1945 For example, Meneguin et al. used alloxan to induce diabetes in Wistar rats to test 1946 the efficiency of their insulin-loaded gellan gum MPs coated with retrograded starch 1947 and pectin. The results showed a reduction of glucose up to 7 h post-injection with a 1948 maximum reduction up to 51.02 % [22]. Another example is the study of Fan et al., 1949 who induced diabetes in Sprague-Dawley (SD) rats with streptozotocin to confirm the 1950 absorption mechanism of their insulin-loaded deoxycholic acid-modified CHI NPs. 1951 1952 The mechanism was confirmed and the study showed a slower but longer reduction of blood glucose level notably compared to free insulin [182]. 1953

1954 1955

#### VII.1.3 Tissue engineering

A medial meniscal transection (MTT) injury has been reported in SD rats to test the ability of TSG-6-loaded heparin MPs to reduce cartilage damage for osteoarthritis treatment. TSG-6 is the tumour necrosis factor-alpha stimulated gene 6, it has antiinflammatory property as well as the capacity to inhibits the plasmin responsible for 1960 ECM degradation in osteoarthritis joints. The evaluation of cartilage thickness, 1961 volume and attenuation showed the higher efficiency of TSG-6 when released by the 1962 heparin MPs compared to free TSG-6 [215].

In the domain of nerve tissue engineering, Santhosh *et al.* applied severe clip compression SCI at mid-thoracic level in SD rats to test their rhNrg-1β1-loaded PLGA
 MPs. The results showed that the system reduced scar formation and
 neuroinflammation and promoted oligodendrocytes and axons preservation [185].

For cardiac tissue engineering application, the ligation of the left anterior 1967 descending (LAD) coronary artery is guite common for *in vivo* experiment. This model 1968 has been applied in SD rats to check the efficiency of liraglutide-loaded PLGA-PEG 1969 NPs [157]. The injection of these NPs led to the improvement of cardiac functions, 1970 1971 the attenuation of infarct size, the preservation of wall thickness, the promotion of angiogenesis and the prevention of cardiomyocyte apoptosis. Of course, it is not the 1972 only model used. For example, Díaz-Herráez et al. used a chronic MI model applied 1973 1974 in SD rats to study their system, which was Nrg-1-loaded PLGA/COL/PDL MPs with adipose-derived stem cells adhered on their surface [159]. The study showed the 1975 synergistic effect of the stem cells and the Nrg-1, leading to better 1976 neovascularization. The presence of Nrg-1 also stimulated cardiomyocyte 1977 proliferation and altogether, these effects led to better heart regeneration. 1978

1979 1980

## VII.1.4 Inflammatory bowel disease

Ling *et al.* tested their alginate/CHI MPs in two *in vivo* models [116]. They first used C57BL/6 mice to check the small intestine uptake of eGFP NPs-loaded MPs and this showed that the system could reach the total gastrointestinal tract. Then, they checked the efficiency of the AvrA-NPs-loaded MPs in a dextran sulfate sodium (DSS) colitis mouse model. This study showed the efficiency of the system by showing the reduction of weight loss and of Disease Activity Index.

1987 1988

1990

#### VII.2 Implantable matrices

#### 1989

#### VII.2.1 Bone tissue engineering VII.2.1.1 Macroporous scaffolds

Bone defect is the most common model used to evaluate the ability of MacPSs to 1991 induce bone regeneration, and among the possible ones, calvarial defect seems to 1992 be the most chosen. It has for example been used in SD rats to check the co-delivery 1993 of rhBMP2 and alendronate by COL sponge. The results showed a greater 1994 regeneration with the co-delivery system, with the new bone presenting a dense 1995 structure with less fatty marrow tissue compared to the rhBMP2 only-loaded COL 1996 sponge [102]. This model has also been applied in Wistar rats by Quinlan et al. [167] 1997 and by Kuttapan et al. [152]. Indeed, Quinlan et al. chose this model to study the 1998 effect of their COL/HAP system containing VEGF-loaded alginate MPs. The 1999 2000 implantation of the system led to the enhancement of angiogenesis and thus to better osteogenesis [167]. As for Kuttappan et al. they chose it to study their gelatin scaffold 2001 containing silica-coated nanoHAP and oriented PLLA yarns. As said previously, the 2002 authors studied the delivery of VEGF+BMP2 and FGF2+BMP2. The in vivo studies 2003 showed that both co-delivery induced angiogenesis and bone formation without 2004 differences between the two groups [152]. 2005

Finally, the calvarial bone defect model has been applied in New Zealand (NZ) white rabbit by Kim *et al.* to check their system: a 3D-printed HAP scaffold coated with a PCL solution containing rhBMP2-loaded PLGA NPs. The study showed

improved bone regeneration as it can be seen in Erreur! Source du renvoi 2009 introuvable.B [138]. NZ white rabbits have been used in other studies with a radius 2010 critical bone defect. Bone regeneration with this model has been observed after 2011 implantation of true bone ceramic containing P28-loaded enlarged pores MSNs [156] 2012 and after implantation of BMP2/IL-8-loaded mesoporous bioactive glass. This system 2013 was also implanted in tight muscle pouches of mice as an ectopic bone formation 2014 model [191]. This study showed the ability of the system to recruit stem cells and to 2015 have the formation of cartilage due to IL-8 and the formation of bone due to BMP2. 2016

Other bones can support osteotomy. Thus, Hettiaratchi et al. used a femoral 2017 defect in SASCO SD rats to study the effect of their COL sponge surrounded by PCL 2018 nanofiber mesh containing BMP2-loaded heparin MPs. The result showed no 2019 2020 difference in heterotopic ossification, probably due to interactions between heparin and BMP2 and heparin and proteins present in the serum [192]. As for Xue et al., 2021 they created a radial defect in SD rats to study their HMGB1-loaded gelatin sponge 2022 2023 combined with MSCs sheets. The implantation of the system showed an enhanced fracture healing promoted by HMGB1 via the STAT3 pathway [193]. 2024

MacPSs implantation can also be realized in other tissues. For example, 2025 implantation in muscle has already been mentioned above. The abdominal muscle 2026 pouch model has been used in SD rats to check the osteoconductivity and 2027 osteoinductivity of rhBMP2/zoledronic acid-loaded gelatin/HAP/calcium sulphate 2028 cryogel. The results showed that zoledronic acid allowed the reduction of the rhBMP2 2029 dose and that their co-delivery led to bone formation [151]. It has also been used to 2030 check the osteoinductive property of SDF-1/Dex@CDMs-loaded HAP scaffold [14]. 2031 This scaffold was notably implanted in dorsal muscle of beagles and the results 2032 showed the cell recruitment and vascularization into the scaffold and the cell 2033 differentiation leading to the formation of mineralized tissue. Subcutaneous 2034 implantation has also been used in Wistar rats and athymic nude mice. In the first 2035 2036 case, a PLLA nanofibrous scaffold, coated with HAP and grafted with BMP2-loaded liposomes, was implanted and induced bone formation [75]. In the second case, a 2037 CHI/agarose/gelatin scaffold containing CHI/heparin NPs was implanted after loading 2038 with SDF-1 to check its ability to recruit MSCs [106]. 2039

Finally, the ACL reconstruction model has been used in NZ white rabbit to check the ability of osteoprotegerin/BMP2-loaded COL sponge to promote tendon-bone healing [189]. This tendon-bone healing was improved with the reduction of bone tunnel enlargement and an enhanced formation of fibrocartilage and COL fibers at the tendon-bone interface.

# VII.2.1.2 Fibers

2045

2046

The ability of some fibrous systems to promote bone formation has been tested in 2047 vivo with subcutaneous implantation or implantation in a calvarial bone defect. Both 2048 models were used by Cheng et al. to test CTGF/CHI-coated BMP2/PVA core-silk 2049 fibroin/PCL sheath fibers [197]. The first experiment was subcutaneous implantation 2050 in the abdominal midline of nude mice and showed the ability of the system to 2051 promote angiogenesis and osteogenesis. Then, the system was implanted in 2052 2053 calvarial bone defect created in C57BL/6 mice and showed its ability to promote osteogenesis with ~ 70 % of newly formed bone compared to other groups who 2054 presented maximum ~ 50 %. The calvarial bone defect model has also been used by 2055 Bhattarai et al. in NZ white rabbits to check their BMP2/PLA core-TDCU/PLA sheath 2056 fibers. The results showed higher blood vessel and bone formation after the 2057 implantation of the loaded fibers [196]. 2058

# 2060 VII.2.1.3 Hydrogels

HGs were also tested in vivo. A femoral bone defect created in SD rats has 2061 notably been used by Krishnan et al. and by Hettiaratchi et al. In the first case, the 2062 authors have assessed their alginate HG in comparison with commercial COL 2063 sponge to deliver rhBMP2 [198]. Their goal was to evaluate if the alginate HG could 2064 2065 prevent heterotopic bone formation when a high dose of rhBMP2 is delivered. The results showed that the implantation of the alginate HG, compared to COL sponge, 2066 led to the formation of a higher volume of bone but with the same volume of 2067 heterotopic bone. The authors suggested that the high dose of rhBMP2 may be 2068 sufficient to induce the heterotopic bone formation. In the second case, the system 2069 studied was an alginate HG loaded with BMP2-loaded heparin MPs and contained in 2070 2071 a PCL nanofiber mesh tube [71]. The study notably compared this system with BMP2-loaded alginate HG. The results showed that the loading in the heparin MPs 2072 allowed higher retention of BMP2 in the bone defect but led to a lower volume of 2073 2074 newly formed bone. It is interesting to notice that this system was primarily tested in vivo by subcutaneous implantation in SD rats to evaluate some properties of the 2075 scaffold, such as the retention of BMP2 in the system in vivo and the ability of the 2076 2077 system to form mineral tissue.

Subcutaneous implantation was also the model chosen by Olthof et al. to check 2078 their OPF-BP HG containing BMP2-loaded PLGA MPs [61]. More precisely, they did 2079 a comparison between this system, BMP2-loaded PLGA MPs and BMP2-loaded 2080 OPF-BP HG. The systems showed different release profiles and the HG alone, with 2081 its burst release, showed better bone formation. Last model presented here, the 2082 sheep drill hole defect model applied in Merino-mix sheep by Huber et al. to test their 2083 2084 BMP2-loaded demineralized bone matrix putty. The results confirmed the ability of the system to induce bone healing [199]. 2085

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# VII.2.2 Cartilage tissue engineering

The ACL reconstruction model has been applied in NZ white rabbit to check the ability of SDF-1-loaded COL sponge surrounded by silk mesh to regenerate bonetendon in combination with intra-articular injection of LSPCs. The experiment showed tendon regeneration and bone tunnel healing with reduction of osteoarthritis [104].

# VII.2.3 Nerve tissue engineering

A common way to test if a system is efficient for nerve tissue regeneration is to 2094 induce nerve defect. For example, B. Xia and Y. Lv created critical sized defect in SD 2095 rats' sciatic nerve to test their VEGF/β-NGF-loaded PLLA fibrous nerve conduit [162]. 2096 The results showed a synergistic effect of both protein drugs leading to 2097 2098 neovascularization and nerve healing. Another example is the hemi-incision model at cervical level 5 in SD rats' spinal cord created by Nguyen et al. [76]. Their system 2099 was an NT-3-loaded COL scaffold containing aligned PCLEEP nanofibers in which 2100 miR-222-loaded micelles were embedded. The results showed direct axon 2101 regeneration and remyelination without inflammation or scar tissue formation. 2102

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#### 2104

# VII.2.4 Cardiac tissue engineering

The previously described LBL patch containing endothelial cells, VEGF-loaded PLGA NPs and DEX-loaded PLGA NPs was tested *in vivo*. More precisely, it was implanted subcutaneously in SD rats' back. The results confirmed the angiogenesisof the system by showing infiltration of new blood vessels inside the patch [209].

Two HGs were also tested in an in vivo MI model induced by ligation of the LAD 2109 coronary artery in rats. The first system, tested in SD rats, consisted of fibrin HG 2110 loaded with TIMP-3 and two types of coacervate, containing either FGF2 or SDF-1a 2111 [195]. The results showed good tissue repair thanks to revascularization, stem cell 2112 homing and preservation of cardiomyocytes. The implantation of the system also led 2113 to the reduction of inflammation, fibrosis, ECM degradation and ventricular dilation. 2114 The second system, tested in Wistar rats, was the HGFdf-loaded SHIELD HG. The 2115 results showed that the implantation of the system could improve angiogenesis and 2116 reduce fibrosis, limiting adverse remodelling [144]. 2117

2118 2119

# VII.2.5 Other applications: cancer and diabetes treatment

Like for particles systems, the efficiency of implantable matrices against cancer can be evaluated in xenograft tumour-bearing mice. Thus, Jiang *et al.* tested their hirudin/TRAIL-loaded oligopeptide HG in such tumour model, induced by injection of MDA-MB-231 cells in nude mice. [145]. The synergistic anti-tumour effect of the codelivery system was assessed, with a tumour inhibition ratio of ~ 64 % compared to saline treatment.

In the fate of diabetes treatment, IL-33-loaded PLGA scaffolds were implanted in C57BL/6 mice after induction of diabetes by injection of streptozotocin [210]. The results showed that the transplantation of allogeneic islet led to the increase of graft protective T cell population, the decrease of destructive T cell population but delayed the islet engraftment.

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# VII.3 Covering systems

- VII.3.1 Tissue healing
- 2134 VII.3.1.1 Fibers

A first nanofiber system was tested *in vivo* by applying the skin flap model in mice 2135 [163]. This system consisted of coacervate-coated PLGA nanofibers, with the 2136 coacervate containing PEAD, heparin and VEGF and/or TGF-B3. The experiment 2137 showed a synergistic effect with the co-delivery leading to better skin flap survival 2138 with reduction of necrosis and improvement of blood perfusion. Another system 2139 consisting of VEGF/PEO core-PCL/PEG sheath fibers was tested in vivo by 2140 subcutaneous implantation in severe combined immunodeficiency (SCID) mice. This 2141 experiment confirmed the ability of the system to promote cell migration and improve 2142 angiogenesis [212]. 2143

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# VII.3.1.2 Film

A CHI/sodium alginate film was tested in an excisional wound healing model in C57BL/6 mice to check the effect of TGF- $\beta$  siRNA and EGF alone or together [214]. The single delivery of the siRNA could reduce the TGF- $\beta$  protein expression and thus reduce COL production while the single delivery of EGF improved wound contraction. The co-delivery of these proteins accelerated wound healing and decreased scar formation due to the reduction of COL production.

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#### VII.3.1.3 Macroporous scaffold

A MacPS made by LBL of silk fibroin sponge and insulin-loaded silk fibroin MPs was tested in diabetic SD rats [105]. The diabetes was induced by injection of streptozotocin and full-thickness wounds were created on rats' back for the treatment
with the scaffold. The experiment showed that the system significantly accelerated
the wound closure healing by accelerating wound closure, COL deposition and
vascularization.

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# VII.3.2 Transdermal drug delivery

2162 As said before, transdermal delivery is widely looked for the treatment of diabetes. Thus, streptozotocin-induced diabetic SD rats were used to test several systems. The 2163 first one was the PVP/PVA MNs patch containing insulin/GOx-loaded glucose-and 2164 H<sub>2</sub>O<sub>2</sub>-responsive vehicles [95]. This experiment showed that the system could reduce 2165 the blood glucose level with a slower rate but could maintain it in the normoglycemic 2166 level for 4 h, against 1 h for injection of insulin. In addition, the return to the initial 2167 blood glucose level was also slower than for injection of insulin (more than 12 h post-2168 treatment against 7 h post-injection). This model was also used to test PVP MNs 2169 patch containing insulin-loaded CaCO<sub>3</sub> MPs. [94]. Here again, the blood regulation 2170 was slower but longer with the system. The insulin relative bioavailability, which is the 2171 percentage of insulin absorbed intact by the circulatory system when delivered by the 2172 DDS compared to subcutaneous injection, was evaluated at 96.6 %. Another 2173 possibility to study the efficiency of a delivery system to treat diabetes is to use 2174 C57BL/6 db/db mice, as it is an *in vivo* model for diabetes type II. This model has 2175 been chosen by Chen et al. to test their system illustrated in Erreur ! Source du 2176 renvoi introuvable.C: an alginate MNs patch containing GOx and Ex4 loaded in 2177 mineralized particles. This study confirmed the ability of the system to regulate blood 2178 glucose level [119]. 2179

Healthy animals were also used to test MNs patches. For example, Seong et al. 2180 used both SD rats and C57BL/6 J mice to evaluate the ability of their double-layered 2181 MNs patch to deliver insulin [93]. The results confirmed the possibility to use the 2182 2183 patch as painless transdermal delivery system as it showed a prolonged release of 2184 insulin and the decrease of blood glucose level. Another system was tested by application on the ear of healthy C57BL/6 mice [88]. The system was PLLA MNs 2185 patch coated with a charge-invertible polymer and an LBL film of OVA and polymer. 2186 The results showed that the film was delivered to the epidermis in only 1 min and that 2187 2188 OVA was delivered in a sustained manner over 3 days, leading to an increased immune response. Male ddY mice were also used without any induced disease to 2189 confirm the improved transdermal delivery of FITC-OVA, used as a model protein, 2190 due to the photothermal property of PSS/PAH-coated gold nanorods embedded in 2191 gellan gum/chondroitin sulfate/HA film [123]. 2192

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Some systems and their major results obtained by *in vivo* experiments are summarized in **Table 6**.

2196 **Table 6**: Interesting *in vivo* results

System/ Application	Material	Protein / therapeutic moeity	Therapeutic ability	<i>In vivo</i> model	Main result	Ref
CIRCULATING SYSTEMS						
NPs	RNBC in KPEI	RNase A	RNase A: cleaves RNA	4T1 tumour	Tumour growth	[122]
Cancer	nanocomplex	Hematopor-	Hematoporphyrin: ROS	in mice	stopped	
	coated PS-HA	phyrin	production			
ExSM	Exosome from	SIRPα	CD47 antagonist	HT29 or	Tumour growth	[57]

Cancer	HEKT293T cells			CT26.CL25 tumor in	delayed (HT29) or inhibited	
MPs Diabetes	Retrograded start/ pectin-coated	Insulin	Hypoglycemic effect	Alloxan diabetes in	Maximum glucose reduction up to	[22]
MPs Bone tissue eng.	Desulfated heparin	TSG-6	Anti-plasmin and anti- inflammatory properties	MMT injury in rats	Reduction of cartilage damage	[215]
MPs Nerve tissue eng.	PLGA	rhNrh-1β1	Differentiation of NPCs into myelin-forming oligodendrocytes	Severe clip- compression SCI in rats	Reduction of scar formation, axons preservation	[185]
MPs Cardiac tissue eng.	Adipose-derived SCs on PLGA/ COL/PDL MPs	Nrg-1	Angiogenesis, cardiomyocyte proliferation, cell survival	Chronic MI model in rats	Synergistic effect inducing better heart regeneration	[159]
IMPLANIABL						
MacPS Bone tissue eng.	Alginate MPs in COL/HAP scaffold	rhVEGF <sub>165</sub>	rhVEGF <sub>165</sub> : angiogenesis HAP: osteoinductive	Calvarial BD in rats	Enhanced angiogenesis and osteogenesis	[167]
MacPS Bone tissue eng.	CDMs-loaded HAP scaffold	DEX SDF-1	DEX: osteogenesis SDF-1: osteogenesis and cell migration	Implantation in dorsal muscle of beagles	Synergic effect: acceleration of bone formation	[14]
Fiber Bone tissue eng.	PVA core – silk fibroin/PCL sheath	BMP2 CTGF	BMP2: osteogenesis CTGF: angiogenesis	Calvarial BD model in mice	Improvement of bone regeneration by 43 %	[197]
HG Bone tissue eng.	Demineralized Bone Matrix putty	BMP2	Osteoinduction	Sheep drill hole defect model in Merino-mix sheep	Complete bone healing	[199]
MacPS Cartilage tissue eng.	Knitted silk mesh cross-linked to COL sponge	SDF-1 LSPCs	SDF-1: cell recruitment LSPCs: tendon-forming cells	ACL model in rabbits	Bone and tendon healing, osteo- arthritis reduction	[104]
Fiber Nerve tissue eng.	PLLA fibers used as nerve conduit	VEGF <sub>165</sub> β-NGF	VEGF: angiogenesis β-NGF: neurogenesis	Defect in rat sciatic nerve model	Enhanced neovascularization and nerve healing	[162]
HG Cardiac tissue eng.	Coacervate in fibrin gel	TIMP-3, FGF-2, SDF-1α	TIMP-3: reduction of ECM degradation FGF-2: angiogenesis SDF-1α: recruitment of progenitor cells	MI model in rats by LADCA ligation	Heart tissue regeneration	[195]
COVERING S	YSTEMS		<u>.</u>		•	
Fiber Tissue healing	PEO core – PCL/PEG sheath	rhVEGF <sub>165</sub>	Angiogenesis	Subcutane- ous mouse model in SCID mice	Cell migration and significant improvement of angiogenesis	[212]
Film Tissue healing	CHI and Sodium alginate organized in layers	EGF and/or TGF-β siRNA	EGF: growth promoting TGF-β siRNA: reduction of COL production	Excisional wound healing in mice	Synergistic effect: reduction of COL deposition and faster wound healing	[214]
MacPS Tissue healing	Silk fibroin MPs in silk fibroin sponge	Insulin	Angiogenesis, migration and proliferation of keratinocytes	Wound in rats Streptozoto- cin-induced diabetes	Accelerated wound healing	[105]
Patch Transdermal drug delivery	CaCO <sub>3</sub> MPs in PVP microneedles	Insulin	Hypoglycemic effect	Streptozocin diabete in rats	Slower and longer blood glucose regulation	[94]
Film Transdermal drug delivery	PSS/PAH-coated gold nanorods in gellan gum/chondroitin sulfate/HA film	FITC- ovalbumin	Model protein	ddY mice	Improved protein transdermal delivery thanks to skin heating	[123]

NPs nanoparticles; RNBC 4-nitrophenyl 4-(4,4,5,5-tetramethyl-1,3,2-dioxaborolan-2-yl)benzylcarbonate-modified RNase; KPEI
 ketal cross-linked polyethylenimine; PS-HA photosensitizer-hyaluronic acid; RNase A ribonuclease A; RNA ribonucleic acid;
 ROS Reactive Oxygen Species; 4T1 mouse mammary carcinoma cells; ExSM exosome; SIRPα signal regulatory protein α;
 HT29 human colon adenocarcinoma HT29 cells; MPs microparticles; TSG-6 tumor necrosis factor-alpha stimulated gene 6;
 MMT medial meniscal transection; PLGA poly(lactic-co-glycolic acid); rhNrh-1β1 recombinant human neuregulin-1β1 peptide;
 NPCs neural progenitor cells; SCI spinal cord injury; SCs stem cells; COL collagen; PDL poly(-D-lysine); Nrg neuregulin; MI

myocardial infarction; MacPS MacroPorous Scaffold; eng. Engineering; HAP hydroxyapatite; rhVEGF recombinant human vascular endothelial growth factor; BD bone defect; CDMs hydroxypropyl- β-cyclodextrin microspheres; DEX dexamethasone; SDF stromal cell-derived factor 1; PVA poly(vinylalcohol); PCL polycaprolactone; BMP2 bone morphongenetic protein 2; CTGF connective tissue growth factor; LSPCs ligament-derived stem/progenitor cells; ACL anterior cruciate ligament; PLLA poly(Lactic acid); β-NGF β-nerve growth factor; TIMP-3 tissue inhibitor of metalloproteinases-3; FGF-2 basic fibroblast growth factor; 2208 SDF-1α stromal cell-derived factor 1-α; LADCA left anterior descending coronary artery; PEO poly(ethylene oxide); PEG poly(ethylene glycol); SCID severe combined immunodeficiency; CHI chitosan; EGF epidermal growth factor; TGF-β siRNA transforming growth factor-β small interfering ribonucleic acid; PVP poly(vinylpyrrolidone); PSS poly(sodium 4-styrenesulfonate); PAH poly(allylamine hydrochloride); FITC fluorescein isothiocyanate

# 2213 VIII Perspectives

New strategies have emerged in the last years in the protein delivery system 2214 domain. A first one is to use protein-rich materials in order to deliver a pool of 2215 proteins instead of only one or two isolated proteins. Such a strategy should lead to 2216 the activation of all the required phenomena to treat a disease such as tissue 2217 2218 regeneration for example. On other one is to deliver plasmid DNA to induce the production of proteins by cells or RNA to modulate the expression of a specific gene. 2219 Finally, some authors studied the possibility to develop systems able to sequester 2220 proteins, to deliver them and recharge for the next delivery. These three strategies 2221 will be presented in this section, with the last part presenting other applications for 2222 which protein delivery systems can be designed. 2223

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#### VIII.1 Protein-rich materials

The use of protein-rich materials allows the delivery of a cocktail of proteins to the disease site, which can lead to the activation of several phenomena necessary to obtain proper healing. They can be used as a building block for the formulation of the material or only as the cargo.

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- 2231

#### VIII.2.1 Implantable systems for tissue regeneration

2232 Platelet-rich plasma (PRP) has already been studied to treat several types of injury, and has recently been investigated for the regeneration of bone tissue as it 2233 contains several useful growth factors. Among these, it is possible to find platelet-2234 derived growth factor (PDGF) which is a chemotactic signal for MSCs, VEGF that 2235 promotes angiogenesis, TGF-B1 that promotes MSCs osteogenic differentiation and 2236 COL expression and IGF-1, able to promote cell proliferation, and notably osteoblast 2237 proliferation. In a first study, PRP was integrated into a calcium deficient HAP/COL 2238 scaffold obtained by 3D-printing [113]. The release of TGF-  $\beta$ 1 and PDGF were 2239 notably monitored during 35 days and showed a sustained release of both growth 2240 factors. In vitro studies were also conducted by seeding preosteoblasts MC3T3-E1 2241 on the PRP-loaded scaffold and this showed a better cell growth and differentiation 2242 compared to seeding on the empty scaffold. In another study, PRP was integrated 2243 2244 into coaxial fibers [216]. More precisely, the fibers were composed of PRP and PVA in the core and silk fibroin and PCL in the sheath. The release profiles of VEGF, IGF, 2245 PDGF-BB and TGF- β were evaluated for 30 days and showed the delivery of a 2246 2247 higher dose of PDGF-BB in a sustained manner after a burst release of one day. The system was tested in vitro on bone MSCs and showed the highest migration, 2248 proliferation and differentiation of cells. Indeed, the number of mineralized nodules 2249 was the highest in the sample treated with the PRP-loaded fibers. The system was 2250 also implanted in a calvarial bone defect created in C57BL/6 mice, confirming the 2251 ability of the fibers to enhance bone regeneration. 2252

A platelet rich in growth factor (PRGF-Endoret) was used as building block to 2253 prepare an injectable HG for tissue regeneration to avoid the potential undesirable 2254 fibrin retraction [217]. PRGF-Endoret was chosen as the material for the HG due to 2255 2256 its biocompatibility and biodegradability but also because it is a growth factor reservoir able to release them. The release profiles of EGF, IGF-1, PDGF-AB and 2257 TGF- ß1 were notably studied and primary human dermal fibroblasts were used to 2258 test its cytotoxicity and ability to promote cell proliferation. This study showed the 2259 ability of the system to promote cell differentiation when seeded inside the HG as well 2260 as its ability to promote matrix production such as HA and COL type I. 2261

Another possibility is to use secretome which is a pool of bioactive molecules released by cells. Thus, Waters *et al.* loaded secretome secreted by human adiposederived stem cells in a gelatin HG containing Laponite® for the treatment of MI [218]. This system was tested *in vitro* and in an *in vivo* MI model in Fischer rats. The results showed the increase of angiogenesis, the reduction of scar formation and cardioprotection, enhancing cardiac functions.

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# VIII.2.2 Wound healing

Soy protein hydrolysate (SPH) has been used as building block with cellulose 2270 acetate to produce fibers for skin regeneration due to several reasons. First, soy 2271 protein contains several ECM-mimetic peptides that support tissue regeneration. 2272 Second, both materials are plant-based, not animal-derived or synthetic. And finally, 2273 they are able to mimic the ECM structure. The ability of the system to promote cell 2274 proliferation, migration and infiltration was tested with dermal fibroblast and its ability 2275 to enhance wound healing was assessed in an excisional wound splinting model 2276 applied in C57BL/6NCrl mice [82]. Human platelet lysate (hPL) is another material 2277 containing a pool of growth factors and cytokines able to induce regeneration. Thus, 2278 Pignatelli et al. integrated hPL in a silk fibroin solution to obtain a fibrous patch by 2279 2280 electrospinning [219]. The study showed the possibility to control hPL release by controlling fibroin crystallinity and the bioactivity of the release hPL was showed by 2281 the increased cell viability and proliferation of primary human dermal fibroblasts. Last 2282 example, Tansathien et al. used a Deer Antler Velvet (DAV) extract to treat skin 2283 [220]. More precisely, the DAV extract was integrated into a cream containing sponge 2284 microspicules. The ability of the system to promote cell proliferation was assessed in 2285 vitro with human normal foreskin fibroblasts and positive effect were observed by 2286 2287 application on the skin of healthy human volunteers.

2288 2289

# VIII.2.3 Cancer treatment

A specific protein can also be used to synthesize a DDS, as proposed by Unzueta 2290 et al. with their "death star-like approach" [158]. More precisely, they reported the 2291 synthesis of bacterial inclusion body (BIB) made of protein-based NPs (pNPs) to 2292 deliver the protein inside cancer cells as presented in Figure 8A. The pNPs were 2293 2294 formed by the self-assembling proteins T22-GFP-H6 or R9-GFP-H6, as T22 and R9 parts target CXCR4 receptor, which is overexpressed in colorectal cancer. The 2295 segments encoding for these proteins were transfected in E. coli, the bacteria then 2296 2297 produced these proteins, which self-assembled in pNPs and then in BIB. The specific CXCR4-mediated cellular uptake was assessed in HeLa cells treated with CXCR4 2298 inhibitor AMD3100. A clear inhibition of the cellular uptake was observed, as the 2299 median fluorescence intensity decreased from ~ 200 F.U to ~ 0 F.U in presence of 2300 AMD3100 for the T22-GFP-H6 system. This system was tested only with GFP as a 2301 2302 proof of concept but this part of it the self-assembling proteins could be adapted with other therapeutic proteins to have specific effect. 2303



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Figure 8 : A. Schematic presentation of the BIB and the protein delivery to cancer cell (reproduced from [158]). B.
 p53 and Caps-3 cell expression depending the delivery system (adapted from [221]). C. Schematic representation of the release and self-reloading of hydrogel (reproduced from [222])

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# VIII.2 Bioinduction of protein release through gene therapy (DNA, plasmid DNA, siRNA)

An emerging strategy is the transfection of cells with DNA, plasmid DNA (pDNA) or RNA. It is important to note that in this case, it is not a direct release of protein, but a way to make the organism produce the desired protein or to prevent it from producing some when the corresponding gene is silenced.

# VIII.1.1 Gene delivery (poofs of concept)

2317 Several materials can be used to deliver such molecules. For example, pEGFP-LUC, a plasmid encoding for firefly luciferase and GFP, was loaded in CHI NPs, 2318 themselves loaded in zein MPs [153]. Lipids are also designed to obtain gene 2319 2320 delivery systems. For example, Colombani et al. studied the influence of the linker function in the two lipids used to obtain lipoplexes when mixed with this type of 2321 molecule [173]. More precisely, they used parommomycin-based cationic lipid and 2322 imidazole-based helper lipid, both presenting a dioleyl backbone as the hydrophobic 2323 tail. The linker was an amide function or a phosphoramide function and they studied 2324 the effect of the linker nature on the lipoplexe (same or hybrid). They studied the 2325 formation of lipoplexes using pGWIZ-Luc (encoding for luciferase), pGWIZ-eGFP, 2326 mRNA (encoding for luciferase and GFP) and human anti-laminA/C siRNA. As for 2327 Patel et al., they tested 11 cationic and ionisable lipids to obtain lipid NPs able to 2328 2329 deliver mRNA to the retina [169].

Fibers were also designed for gene delivery or gene silencing. For example, Zhang *et al.* designed a gene vector made of a lipophilic tail, tetraphenylethene and a peptide containing four arginines named TR4 to self-assemble with pDNA, leading to the obtention of nanofibers [223]. As for Pinese *et al.*, they chose the load siRNA in MSNs-PEI and to coat PCL fibers with these NPs [224].

2335 If these systems were tested for general gene delivery or gene silencing 2336 application, some systems have been designed for specific applications.

2337 2338

## VIII.1.2 Bone tissue engineering

As BMP2 is very used for bone regeneration, delivering pDNA encoding for this 2339 protein completely makes sense when exploring gene delivery for this application. 2340 Thus, different scaffolds were developed to do so. For example, Tenkumo et al. 2341 proposed a nanoHAP/COL scaffold containing pBMP2-functionalized calcium 2342 phosphate NPs to enhance bone regeneration [225]. The system 2343 was subcutaneously implanted in Wistar rats' back and the results showed that it induced 2344 the release of a higher quantity of BMP2 and for a longer time compared to the 2345 system containing naked pBMP2 and BMP2 in solution. Raftery et al., on their side, 2346 evaluated if modified pBMP2 could be more efficient in enhancing bone formation 2347 [226]. Thus, they first evaluated the release of the plasmid from CHI NPs and its 2348 activity and then embedded these loaded CHI NPs in a COL/HAP scaffold for 2349 implantation in a calvarial bone defect created in Wistar rats. The results showed that 2350 the modification of the plasmid led to a higher production of BMP2 from cells and 2351 thus to a higher bone formation. 2352

Particles were also designed for such application: McMillan et al. integrated 2353 nanocomplexes composed of mineral-coated HAP MPs and lipofectamine/pDNA into 2354 MSC aggregates [227]. This work was based on the fact that MSC aggregates can 2355 form bone-like tissues when guided by addition of certain proteins in the culture 2356 media. The pDNAs were pBMP2 and pTGF-β1, delivered alone or in combination, 2357 and three porcine donors were used to form the bone-marrow-derived MSC 2358 aggregates due to possible variation of properties among donors. Globally speaking, 2359 the results showed the ability of the nanocomplexes to promote osteogenesis in the 2360 different MSC aggregates. 2361

2362 2363

# VIII.1.3 Cancer treatment

Specific pDNA can be used for cancer treatment. For example, Davoodi et al. 2364 designed core-shell particles to deliver p53 pDNA together with nultin-3a [221]. The 2365 p53 gene is the most inactivated or mutated gene in tumour tissues, which is 2366 problematic as the p53 protein activity leads to the apoptosis of tumour cells. 2367 Intracellularly, the p53 protein activity is regulated by the murine double minute 2 2368 (MDM2) protein which forms a complex with it to stop the apoptotic activity. However, 2369 MDM2 can be upregulated in cancer cells. Thus, the strategy adopted by Davoodi et 2370 al. was to use nutlin-3a to disrupt the MDM2-p53 protein complex and to deliver p53 2371 pDNA to promote p53 protein production. To deliver these therapeutics, they 2372 designed PLGA core-PDLLA (poly(D,L-lactic acid)) shell MPs. Prior to fabrication, 2373 2374 nutlin-3a and p53 pDNA-loaded β-cyclodextrin-graft-CHI NPs were mixed in the PLGA solution. The in vitro experiment showed a synergistic anti-tumour effect of 2375 nutlin-3a and p53 pDNA by a high production of p53 protein and Casp3 as presented 2376 in Figure 8B and a high intracellular ROS level. 2377

Another circulating system was proposed for anti-cancer application: poly(styrenealt-maleic anhydride) was grafted with PEG and two amino acids via disulphide bond to obtain a molecule able to self-assemble into micelles [165]. The disulphide bond
was bringing reduction-responsiveness and the two amino acids were histidine to
bring pH-responsiveness and arginine to bind to nucleic acids. The micelle was
loaded with doxorubicin and coated with polo like kinase 1 siRNA (PLK-1 siRNA).
The dual responsiveness and anti-cancer effect were then tested *in vitro* and *in vivo*.

An MNs patch has also been designed for cancer treatment [160]. The MNs patch 2385 was made with polycarbonate and was first immersed in dopamine solution to obtain 2386 polydopamine operated coating. LBL was then with the adjuvant 2387 а polyriboinosinic:polyribocytidylic (poly(I:C)), a TLR3 agonist able to activate natural 2388 cancer cell killer, and oligo sulfamethazine conjugated  $poly(\beta$ -amino ester urethane) 2389 (OSM-(PEG-PAEU)). The last layer was a coating of polyplexe made with pOVA as 2390 vaccine DNA and bPEI-deoxycholic acid (DA3) conjugate. The system was also 2391 tested in vitro and in vivo and the experiment showed its ability to induce OVA 2392 expression and to inhibit tumour growth in a metastatic cancer model. 2393

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# VIII.3 Sequestration of proteins

Some systems are designed to sequester proteins secreted by cells to better 2396 control the cellular environment. Such a strategy has been adopted by Rinker et al. to 2397 modulate chondrocytic differentiation [228]. They synthesized heparin MPs and PEG 2398 MPs and incubated them in ATDC5 cells transwell culture (2D) and in ATDC5 2399 spheroid culture (3D). A delay in differentiation was observed in presence of heparin 2400 MPS, and the analysis of the proteins contained in the MPs revealed that they 2401 sequestered insulin-like growth factor binding proteins (IGFBP)-3 and 5. An additional 2402 experiment consisting of incubating the cells with an inhibitor of IGFBPs confirmed 2403 that the delayed differentiation in presence of heparin MPs was due to protein 2404 sequestration. 2405

An HG was also designed for protein sequestration [222]. The goal was to obtain 2406 an HG able to deliver protein and to recharge itself with fresh protein. To do so, they 2407 2408 added an allyl sulfide moiety to an HG, which allowed the immobilization and release of protein via a thiol-ene reaction as showed by Figure 8C. More precisely, the HG 2409 was made with an eight-armed PEG-dibenzylcyclooctyne functionalized with a cell 2410 2411 adhesive azido-RGDS peptide and the azido allyl sulphide. The ability of the HG to release protein and to recharge itself has been assessed, notably with TGF-B1 which 2412 bioactivity was retained. 2413

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# 2415

# **VIII.4 Other possible applications**

Delivery to the retina has already been mentioned once, with a system delivering 2416 mRNA. Delplace et al., on their side, designed an HA/methylcellulose HG to deliver 2417 the ciliary neurotrophic factor (CNTF), known for its neuroprotective effect on retina 2418 [229]. More precisely, they modified CNTF with Src homology 3 (SH3) and 2419 methylcellulose with SH3 binding peptide to assure the immobilization of CNTF in the 2420 HG. In vitro experiment performed with TF1- $\alpha$  cells assessed the bioactivity of the 2421 released CNTF and experiment performed on C57BL/6 J mice confirmed the release 2422 of bioactive CNTF in vivo with the quantification of numerous markers. 2423

2424 Dai *et al.* proposed a system for inner ear therapy [230]. The systems consisted of 2425 interferon  $\alpha$ -2b (IFN  $\alpha$ -2b)-loaded PLGA NPs embedded in a CHI/ $\beta$ -2426 glycerophosphate thermosensitive HG. IFN  $\alpha$ -2b was chosen as interferons showed 2427 anti-viral and immunomodulatory effect in the treatment of sudden deafness. *In vivo* 2428 experiment was conducted by injecting the HG in the inner ear of albino guinea pigs 2429 and the results showed that the system increased the IFN  $\alpha$ -2b residence time in the 2430 inner ear by 3-fold compared to IFN  $\alpha$ -2b-loaded HG.

A protein delivery system has also been proposed for the treatment of short 2431 stature children, and more precisely for the delivery of rhGH [24]. Indeed, this 2432 disease can be caused by a deficiency in growth hormone and this one is known to 2433 promote longitudinal bone growth. Thus, Gao et al. synthesized poly(ester urea) 2434 nanofibers to deliver it and used sugar-glass NPs to stabilize it. The results showed 2435 that the nanofibers could release rhGH in a sustained manner for up to six weeks 2436 without production of acid degradation products. The bioactivity of the released rhGH 2437 was also assessed by checking the proliferation of rat node lymphoma cells (Nb2 2438 2439 cells).

2440 The last example presented here has been developed to treat MI. If the application has already been seen before, the authors developed a quite original system. They 2441 used MSC as the initial material and performed LBL on it with alginate and VEGF-2442 2443 loaded gelatin [161]. The objective was to exploit the tropism of MSCs to myocardial infarct zone to be sure that the system would go to the heart and deliver the cells and 2444 the encapsulated VEGF, thus enhancing angiogenesis and cardiac function. The in 2445 vitro experiment showed that the system was able to deliver VEGF in a sustained 2446 manner and had a tropism to SDF-1. An MI model was created in SD rats by 2447 punctually blocking the left coronary artery to check the system in vivo. The results 2448 showed that the system migrated to the myocardial infarct site, promoting 2449 angiogenesis and enhancing cardiac function, confirming that it is a promising 2450 material to treat MI. 2451

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# 2454 **IX Conclusions**

As seen through this review, a large range of delivery systems have been 2455 developed these last years for the specific delivery of proteins: MPs, NPs, HGs, 2456 fibers, films, patches and MacPSs, in a monolithic or composite formulation. All these 2457 2458 systems can be formulated using very well-established techniques, such as emulsion for NPs, layer-by-layer for films and patches or freeze-drying for MacPSs. 2459 Noteworthy, other techniques are emerging, such as the rotary jet spinning for the 2460 2461 formulation of fibers, which is interesting as it does not necessitate the application of 2462 an electrical field to the polymer solution and thus, eliminates a stress applied to the protein cargo. 2463

2464 These protein cargos can be loaded via three different techniques, which are 2465 diffusion, immersion and immobilization, and can then be released via three major mechanisms: diffusion, natural erosion via local stimuli (pH, ROS, redox, glucose, 2466 protease) and triggered degradation via external stimuli (visible and NIR light, 2467 magnetic field, mechanical stress). A wide range of characterization techniques is 2468 available to measure the amount of loaded and released proteins. In simple samples, 2469 techniques such as light absorption or fluorimetry can be sufficient. The ELISA test is 2470 also widely used for sensitive proteins due to its high specificity and high sensitivity. 2471 However, in case of more complex samples, such as biologic ones, composed of 2472 several proteins, a first separation step can be necessary, which notably led to the 2473 development of the Western blot technique. The release mechanism can then be 2474 modelled more finely thanks to mathematical methods. 2475

In this review, the DDSs have been categorized into three main types: circulating 2476 systems, implantable systems and covering systems. Circulating systems - which are 2477 MPs, NPs, capsules and exosomes - have mainly been developed for the treatment 2478 of cancer, and more precisely for the delivery of RNase A to cancer cells. However, 2479 some systems have been developed for the treatment of diabetes and tissue 2480 engineering. Regarding implantable systems, they are mostly developed for tissue 2481 engineering (bone, cartilage, nerve, heart) even if some systems were designed for 2482 the treatment of diabetes, cancer or internal wound healing. The formulations types 2483 found in this category are MacPSs, fibers, HGs, films and patches. As for covering 2484 systems - which often consist of films and patches, but can also be fibers and HGs -2485 they are mainly used for skin regeneration but are also used for transdermal and 2486 transbuccal delivery. 2487

At last, many of these systems have been tested *in vivo*, and the most common models have been presented here, such as xenograft tumour-bearing mice for cancer or bone critical defect for bone tissue engineering, as well as the major results associated to the experiment.

Finally, emerging routes for the design of new protein delivery systems have been presented in this review such as the use of protein-rich materials, to deliver a pool of proteins rather than a single protein, and the delivery of plasmid DNA or RNA to induce the production of proteins by cells directly at the injury site. Some interesting unusual applications of such delivery systems have also been shown in this last part.

As seen here, the main systems for therapeutic protein delivery were developed to respond by natural leaking or upon local biochemical stimuli. We expect that an emerging domain for future development in this field will be the remote release of proteins (or other fragile biomacromolecules) that may be induced remotely by external fields applied (magnetic field, near infra-red light or ultra sounds). In such approaches, a common mechanism is to convert the magnetic/phtonic/acoustic wave energy into a local heat. To achieve this, important developments should be achieved considered in the design of thermo-responsive interface suitable specifically for proteins immobilization/and release.

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# 2507

# 2508 Author contributions

Joëlle Bizeau: Conceptualization, Methodology, Writing - Original Draft, Damien
 Mertz: Conceptualization, Methodology, Writing - Review & Editing, Supervision,
 Funding acquisition.

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