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The update of the line positions and intensities in the line list of carbon dioxide for the HITRAN2020 spectroscopic database

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Abstract:	This paper describes the updates of the line positions and intensities for the carbon dioxide transitions in the 2020 edition of the HITRAN spectroscopic database. The new line list for all 12 naturally abundant isotopologues of carbon dioxide replaces the previous one from the HITRAN2016 edition. This update is primarily motivated by several issues related to deficient HITRAN2016 line positions and intensities that have been identified from laboratory and atmospheric spectra. Critical validation tests for the spectroscopic data were carried out to find problems caused by inaccuracies in CO2 line parameters. New sources of data were selected for the bands that were deemed problematic in the HITRAN2016 edition. Extra care was taken to retain the consistency in the data sources within the bands. The comparisons with the existing theoretical and semi-empirical databases (including ExoMol, NASA Ames, and CDSD-296) and with available experimental works were carried out. The HITRAN2020 database has been extended by including additional CO2 bands above 8000 cm ⁻¹ , and magnetic dipole lines of CO2 were introduced in HITRAN for the first time by including the v ₂ +v ₃ band in the 3.3- μ m region. Although the main topic of this article are line positions and intensities, for consistency a recent algorithm for the line-shape parameters proposed in Hashemi et al. JQSRT (2020) was reapplied (after minor revisions) to the line list.
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Highlights

- The new HITRAN2020 line list for all 12 naturally abundant isotopologues of carbon dioxide replaces the previous one from the HITRAN2016 edition;
- Evaluation of the HITRAN CO₂ line lists by comparison to laboratory and atmospheric spectra below 8310 cm⁻¹ was carried out;
- The updates made for the bands having mixed CDS and UCL-IAO line intensities in the HITRAN2016 line list below 8000 cm⁻¹ are presented;
- The CO₂ bands in the (1.4-2.1) μm region were improved;
- New CO₂ bands above 8000 cm⁻¹ were added to the HITRAN2020 edition from the new high-temperature UCL-4000 ¹²C¹⁶O₂ line list (ExoMol database) and the CRDS spectra for the ¹⁶O¹²C¹⁸O isotopologue;
- The magnetic dipole 01111-00001 band of the ¹²C¹⁶O₂ isotopologue in the 3.3 μm region was introduced into HITRAN for the first time;

1 **The update of the line positions and intensities in the line list of carbon dioxide**
2 **for the HITRAN2020 spectroscopic database**

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Abstract

This paper describes the updates of the line positions and intensities for the carbon dioxide transitions in the 2020 edition of the HITRAN spectroscopic database. The new line list for all 12 naturally abundant isotopologues of carbon dioxide replaces the previous one from the HITRAN2016 edition. This update is primarily motivated by several issues related to deficient HITRAN2016 line positions and intensities that have been identified from laboratory and atmospheric spectra. Critical validation tests for the spectroscopic data were carried out to find problems caused by inaccuracies in CO₂ line parameters. New sources of data were selected for the bands that were deemed problematic in the HITRAN2016 edition. Extra care was taken to retain the consistency in the data sources within the bands. The comparisons with the existing theoretical and semi-empirical databases (including ExoMol, NASA Ames, and CDS-296) and with available experimental works were carried out. The HITRAN2020 database has been extended by including additional CO₂ bands above 8000 cm⁻¹, and magnetic dipole lines of CO₂ were introduced in HITRAN for the first time by including the $\nu_2+\nu_3$ band in the 3.3- μm region. Although the main topic of this article are line positions and intensities, for consistency a recent algorithm for the line-shape parameters proposed in Hashemi et al. JQSRT (2020) was reapplied (after minor revisions) to the line list.

1. Introduction

A plethora of critical applications drives a constant demand for ever higher-quality spectroscopic parameters of carbon dioxide (CO₂) transitions. Atmospheric CO₂ is the key gaseous contributor to the greenhouse effect in the terrestrial atmosphere. Its continuous increase in the atmosphere over the last two centuries from anthropogenic sources is considered the principal driver of climate change. The Martian and Venusian (and those of many rocky exoplanets) atmospheres consist mostly of carbon dioxide with mole fractions of over 95%. Monitoring CO₂ is important in combustion. Currently, there are several satellite-based observatories, including GOSAT[1–3], GOSAT-2 [4], OCO-2 [5,6], OCO-3 [7], MIPAS [8], and ACE [9] as well as ground-based networks TCCON [10] and NDACC [11], monitoring atmospheric CO₂. Accurate interpretation of the data from these missions is necessary to make informed decisions

71 regarding controlling of the anthropogenic contribution to the greenhouse effect and ultimately
72 the climate change. All aforementioned CO₂ remote sensing activities depend on the reliability
73 of the reference CO₂ spectroscopy.

74 The HITRAN molecular spectroscopic database is the widely recognized standard
75 providing the spectroscopic data for atmospheric applications. Among many other molecules, it
76 includes the necessary calculated and experimental parameters for all naturally abundant
77 isotopologues of carbon dioxide. The HITRAN2016 [12] CO₂ line list for 12 stable isotopologues
78 contains 559874 transitions. Most of the issues related to spectral completeness (at least below
79 8000 cm⁻¹) and insufficient accuracy of line parameters were resolved in HITRAN2016 [12].
80 However, there is still a lot of room for improvement to meet the ever-increasing demands of
81 the scientific community. Since the release of the HITRAN2016 database[12], many new
82 experimental and theoretical data have become available, and they can be used as sources for
83 improving line positions, line intensities, and line-shape parameters of CO₂. The HITRAN-related
84 updates, including the CO₂-air and CO₂-CO₂ line-shape parameters together with the speed
85 dependence of the broadening parameters, their temperature-dependent exponents, the full
86 and first-order line mixing as well as their temperature dependencies have been published in
87 Hashemi et al. [13].

88 In the present work, we will mainly focus on the issues associated with deficiencies in line
89 positions and intensities in HITRAN2016. Most of these problematic cases have been identified
90 in new laboratory and atmospheric spectra, mainly from the Kitt Peak National Observatory,
91 MkIV balloon, cavity ring down spectroscopy (CRDS) measurements, and the Total Carbon
92 Column Observing Network (TCCON) [10,14–16]. A general review of the CO₂ bands affected by
93 these issues was performed and alternative sources of data for each CO₂ problematic band were
94 considered. Apart from new experimental data, the additional resources for improvements were
95 provided by two recent comprehensive CO₂ line lists, namely the 2019 version of the semi-
96 empirical CDSD-296 [17] list and the ExoMol variational nuclear motion line list [18], hereafter
97 referred to as UCL-4000 Using these spectroscopic data, we improved and extended the CO₂ line
98 lists for all 12 stable isotopologues of carbon dioxide.

99 The updates of the line positions, intensities, and line-shape parameters were
100 incorporated into the carbon dioxide line list of the 2020 edition of the HITRAN spectroscopic
101 database [16]. The remainder of this paper is organized as follows. In Section 2, we present an
102 overview of CO₂ line lists in the HITRAN2016, ExoMol, Ames, and CDS-296 spectroscopic
103 databases. In Section 3, we describe evaluations of the HITRAN CO₂ line list based on laboratory
104 and atmospheric measurements between 670 and 8310 cm⁻¹. For wavenumbers below 8000 cm⁻¹,
105 we discuss line intensity updates to the HITRAN2016 line list for bands provided by the Carbon
106 Dioxide Spectroscopic Databank (CDS) based on global fits of semi-empirical models to
107 measurements and those obtained by the *ab initio* calculations of University College London
108 (UCL). In Section 4, we discuss new measurements with sub-percent relative uncertainty [19–22]
109 that were used to improve some CO₂ bands in the 1.4 to 2.1-μm region. In Section 5, we present
110 new CO₂ bands above 8000 cm⁻¹ that have been included in the HITRAN2020 edition from the
111 latest high-temperature line list of the ExoMol [18] database (with appropriate cut-off) for the
112 main CO₂ isotopologue and the CRDS spectra [14] for the ¹⁶O¹²C¹⁸O isotopologue. The line
113 parameters of the ν₂+ν₃ magnetic dipole band of the ¹²C¹⁶O₂ isotopologue, which have been
114 introduced into HITRAN for the first time, will be presented in Section 6. The revision which
115 updates the air- and self-broadened line-shape parameters of CO₂, described in Ref. [13], will be
116 discussed in Section 7. The presentation of the final version of the HITRAN2020 CO₂ line list will
117 be given in Section 8, followed by closing discussion and conclusions in Section 9.

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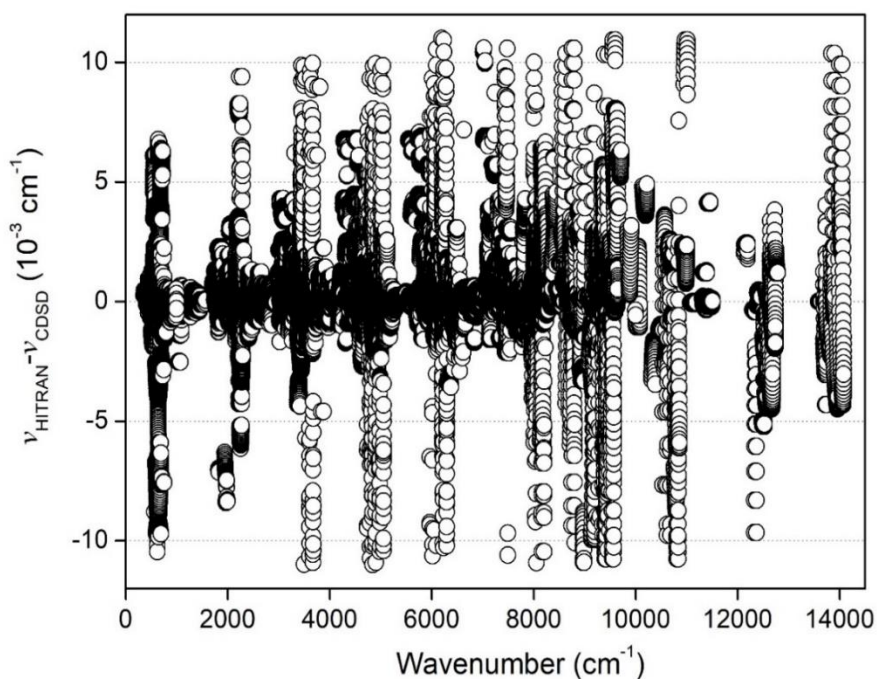
119 **2. Review of the current carbon dioxide line lists**

120 The present section describes the status of the most currently used spectroscopic
121 databases of carbon dioxide: HITRAN2016 [12], NASA Ames [23], and the recent ExoMol [18]
122 and CDS-296 [17] line lists. New laboratory and atmospheric spectra helped to expose several
123 problems in the HITRAN2016 CO₂ line list occurring in various spectral regions (for more details,
124 see Section 3). The CDS-296, ExoMol, and NASA Ames line lists were used to update, extend or
125 improve the line positions and intensities, including a number of problematic bands, in the 2020
126 version of the HITRAN spectroscopic database [24].

127 The CO₂ line list for the 12 stable isotopologues in the 2016 version of HITRAN [12]
128 contained 559874 CO₂ transitions and covered the wavenumber range of 0.757-14075.298 cm⁻¹.
129 The HITRAN2016 data for the ¹⁴C¹⁶O₂ radioactive isotopologue in HITRAN2016 were provided as
130 static files rather than through the relational-database structure featured in HITRAN*online* [25]
131 . The HITRAN2016 carbon dioxide line list, below 8000 cm⁻¹, comprised CDS line positions [26]
132 and *ab initio* line intensities from UCL [27–29] except for the bands that were identified as
133 "sensitive"[27], where CDS (circa 2015) intensity values were preferred. Most of the line
134 parameters for wavenumbers in the region above 8000 cm⁻¹ were taken from the 2015 version
135 of the CDS-296 database [26]. An extended and improved version of the CDS for atmospheric
136 applications (CDS-296) was published in 2019 [17]. This database contains a computed line list
137 based on global modelling of the line positions and intensities using the method of effective
138 operators [30,31]. The global model of the CO₂ absorption spectrum is a polyad model with a
139 block diagonal structure, each polyad being characterized by the polyad number $P = 2V_1 + V_2 + 3V_3$
140 (where V_i are the vibrational quantum numbers). For each CO₂ isotopologue, the effective
141 Hamiltonians and effective dipole moment parameters were fitted to measured line positions
142 and intensities, respectively. More than 530000 spectral lines for the 12 stable isotopologues of
143 CO₂ covering the 345-14075 cm⁻¹ spectral range were included in this database. The reference
144 temperature is 296K, and the intensity cut-off is 10⁻³⁰ cm/molecule. A comparison between the
145 line positions of common lines from the HITRAN2016 and CDS-296 [17] databases versus
146 wavenumber in the 0-14075 cm⁻¹ spectral region is presented in **Fig. 1**. This plot shows the good
147 agreement between the line positions from HITRAN2016 (mostly based on the previous CDS
148 version [26]) and those from the new version of the CDS-296 [17]. As a result, most of the line
149 positions for the 12 CO₂ isotopologues between 345 and 14075 cm⁻¹ in HITRAN2020 have been
150 updated using the CDS-296 [17] line positions. It should be noted that the slightly updated
151 version of the CDS-296 database (uploaded at ftp.iao.ru as pub/CDS-296/cds-
152 296_version_1.rar) was used. This version differs from the CDS-296 published in Tashkun et al.
153 [17] in the following ways: (i) the $\Delta P = 6$ region of the ¹⁶O¹²C¹⁸O isotopologue was recalculated
154 using the new set of effective dipole moment parameters; (ii) a total of 226 lines with vibrational
155 quantum number $\Delta l_2 = 4$ of the ¹²C¹⁶O₂, ¹³C¹⁶O₂, and ¹⁶O¹²C¹⁸O isotopologues were added. The

156 lower-state energies and the uncertainty codes were also transferred from the CDSD-296 line list
157 to the HITRAN2020 line list.

158 The recent high-temperature line list from the ExoMol database (named UCL-4000) for
159 the main isotopologue of CO₂ (¹²C¹⁶O₂) was published in Yurchenko et al. [15]. This line list
160 contains almost 2.5×10⁹ transitions involving about 3.5×10⁶ states. The wavenumber range of the
161 CO₂ list is from 0 to 20000 cm⁻¹ with the lower-state energies up to 16000 cm⁻¹ and $J \leq 202$. These
162 results were generated using UCL's *ab initio* dipole moment surface (DMS) [32] and the semi-
163 empirical potential energy surface (PES) Ames-2 [22] with the variational program TROVE [33].
164 The UCL-4000 line list was converted to HITRAN format and compared with the HITRAN2016 line
165 list. As a result, more than 3600 spectral lines above 8000 cm⁻¹ were added to the HITRAN2020
166 spectroscopic database [24] (see Section 5).



181

182 **Fig. 1.** Differences between the line positions from the HITRAN2016 [12] and CDSD-296 [17]
183 databases.

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185 The NASA Ames line lists for 13 CO₂ isotopologues were published by Huang et al. [23].
186 They were computed at 296 K using the empirically refined Ames-2 potential energy surface and
187 pure *ab initio* DMS-2 dipole moment surface with $J \leq 150$ and the lower-state energy up to 24000
188 cm⁻¹. Critical validation tests of the available spectroscopic data were carried out using the
189 existing theoretical and semi-empirical databases, including ExoMol, NASA Ames, and CDSD-296
190 [17,18,23] and with published experimental works [14,34–42] .

191 Accordingly, the present work allowed revealing some problems with the HITRAN2016
192 CO₂ line list and thus allowed improving and extending the line lists for all 12 stable isotopologues
193 in the final version of the HITRAN2020 CO₂ line list.

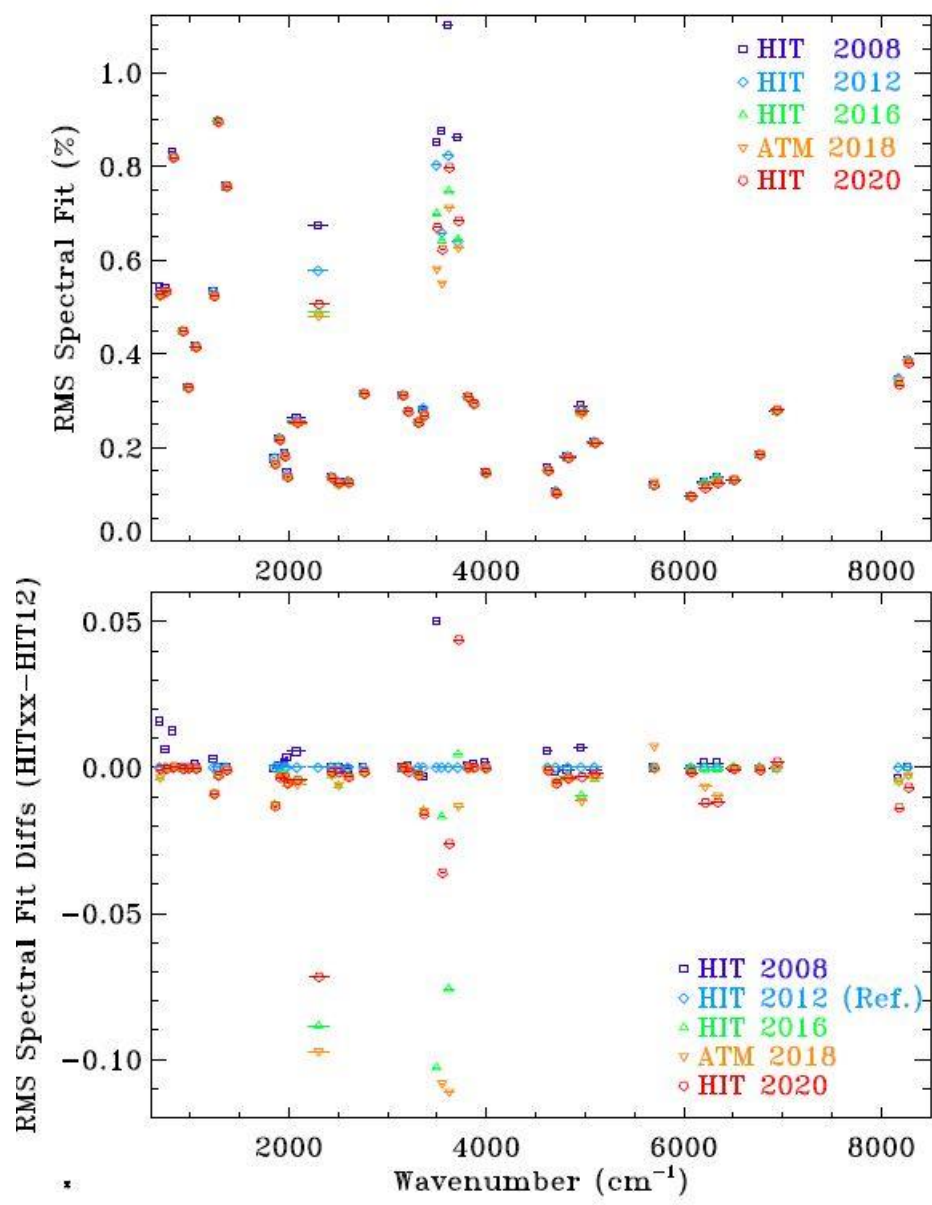
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195 **3. Evaluation of the HITRAN CO₂ line lists by laboratory and atmospheric spectra**

196 In this section, we present the general review of problematic bands identified in new
197 laboratory and atmospheric spectra, mainly those obtained from the Kitt Peak National
198 Observatory, MkIV balloon, CRDS, and TCCON [10,14–16]. Most of the issues have been
199 associated with deficient line positions and intensities for which critical validation tests were
200 performed and alternative sources of data for each problematic band were suggested.

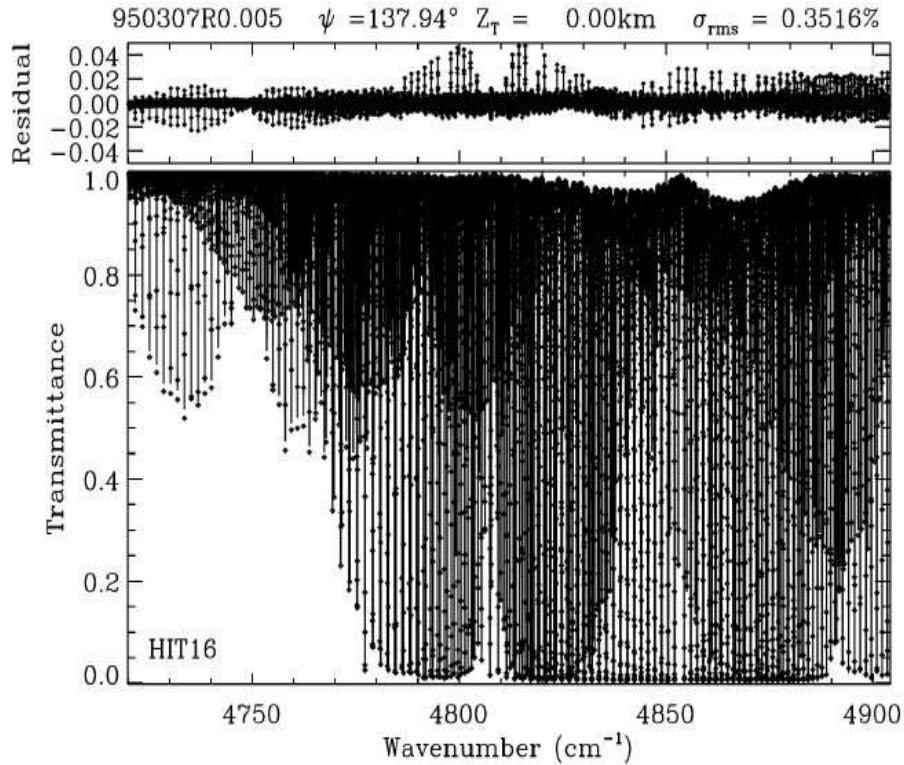
201 Several CO₂ line lists, including HITRAN 2008 [43], HITRAN2012 [44], and HITRAN2016
202 [12], were evaluated by fitting laboratory (mainly Kitt Peak) and atmospheric solar absorption
203 spectra (MkIV and TCCON) between 670 and 8310 cm⁻¹ [15,16]. The studied region was divided
204 into several windows, most encompassing at least one complete CO₂ absorption band or a sub-
205 branch. The GFIT spectral fitting algorithm [45] was used in all cases assuming a Voigt line profile
206 and no line mixing. The line lists were evaluated in terms of the RMS fitting residuals and the
207 window-to-window consistency of the retrieved gas amounts. There was no analysis of the
208 separate isotopologues. The results showed progressive overall RMS fit improvements through
209 use of each successive HITRAN version. This analysis showed that the HITRAN2020 CO₂ line list is
210 substantially better than all previous HITRAN versions. In particular, in HITRAN2016, there were
211 a few regions where it is worse than a previous version in terms of RMS fitting residuals (around
212 1800 cm⁻¹, 4800 cm⁻¹, and 6400 cm⁻¹). As an example, **Fig. 2** shows the absolute RMS residuals of
213 the fits for 41 windows averaged over the 137 Kitt Peak and 12 JPL laboratory spectra in the 670-

214 8310 cm^{-1} spectral region. The pressure range is from 0.013 kPa-93 kPa (0.1 Torr to 700 Torr)
215 except for 2 Kitt Peak spectra (1.71 kPa and 1.89 kPa) covering 600-1400 cm^{-1} . However, in this
216 plot, the HITRAN2020 line_list gives worse fits in the 3730 cm^{-1} window in Kitt Peak lab spectra
217 than most previous line_lists. This is due to the SBHWs being too small in this region (the Kitt Peak
218 lab spectra include some with 200 Torr of pure CO_2). This SBHW issue has no effect on fits to
219 atmospheric spectra. The large discrepancies were also identified in the 4800 cm^{-1} regions, where
220 the HITRAN2016 line list was worse than previous editions in terms of RMS fitting residuals. The
221 same situation in this region was observed in the MkIV balloon spectra (low pressure). The Kitt
222 Peak laboratory spectrum revealed rotationally-dependent errors at the 10-15% level in the *ab*
223 *initio* intensities for the 40002-01101 band of the $^{12}\text{C}^{16}\text{O}_2$ isotopologue. Fits to a Kitt Peak
224 laboratory spectrum in the 4800 cm^{-1} region and zooms into four large residuals are presented
225 in **Fig.3**. Also, it was shown that the scatter factor of the 40002-01101 band is slightly elevated
226 (1.4 to 1.7). In addition to that, for low J , around $J=1$ to 3, there is a visible spike in the scatter
227 factor, which suggests a J -localized resonance. Indeed, by analyzing predictions within the
228 effective operator model [30], it was found that the 40002 and 21113 vibrational levels of the $P=$
229 8 polyads are interacting through a Coriolis interaction. This interaction causes systematic
230 problems with the *ab initio* intensities [27] for the transitions involving either of these two
231 vibrational states.



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Fig. 2. The upper panel shows the absolute value of the mean *RMS* fit for 5 different CO₂ line lists: HIT2008: HITRAN2008 [43]; HIT2012: HITRAN2012 [44], HIT2016: HITRAN2016[12], ATM2018: 523230 CO₂ lines [15], HIT2020: HITRAN2020 [24]. The lower panel shows the differences from HITRAN2012 [44].



239

240 **Fig.3.** The fits to a Kitt Peak laboratory spectrum in the 4800 cm⁻¹ region using the HITRAN2016
 241 linelist [12]. The positive residuals arise from the 40002-01101 band of the ¹²C¹⁶O₂ isotopologue.

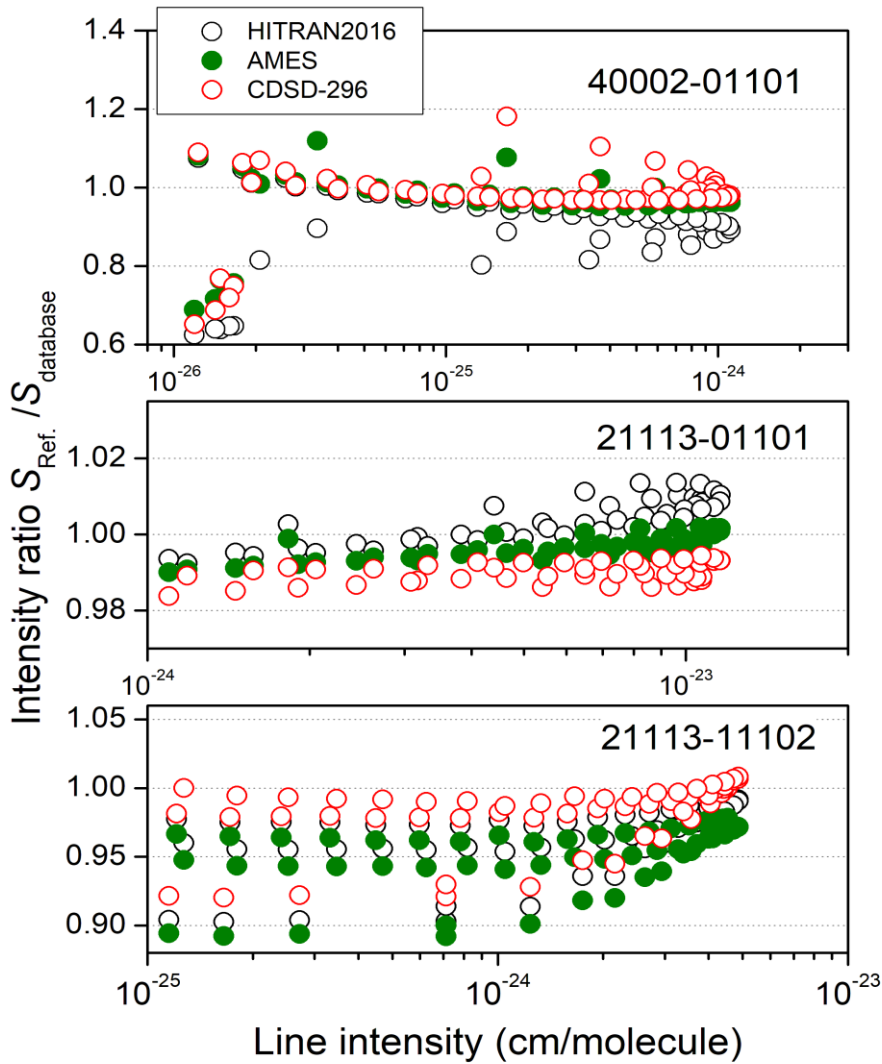
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244 For the HITRAN2016 CO₂ line list, there are 24 bands involving the 40002 and 21113
 245 vibrational levels affected by Coriolis interaction. To quantify the impact of this perturbation on
 246 intensities, validation tests for the 40002 - 01101, 21113 - 01101, and 21113 - 11102 bands of
 247 the ¹²C¹⁶O₂ isotopologue were carried out using the published experimental values [34,35] and
 248 data from the various carbon dioxide spectroscopic databases [12,17,23] (**Fig. 4**). This comparison
 249 showed that the CDSD-296 line intensities [17] are preferable for the 40002 - 01101 and 21113 -
 250 11102 bands whereas, in the case of the 21113-01101 band, the *ab initio* intensities from AMES
 251 [23] are the best choice. However, because of the lack of measurements we could not make
 252 similar comparisons for the numerous remaining bands affected by these Coriolis interactions.
 253 We hope that new experimental data will become available for further validation tests of bands
 254 involving the 40002 and 21113 vibrational levels.

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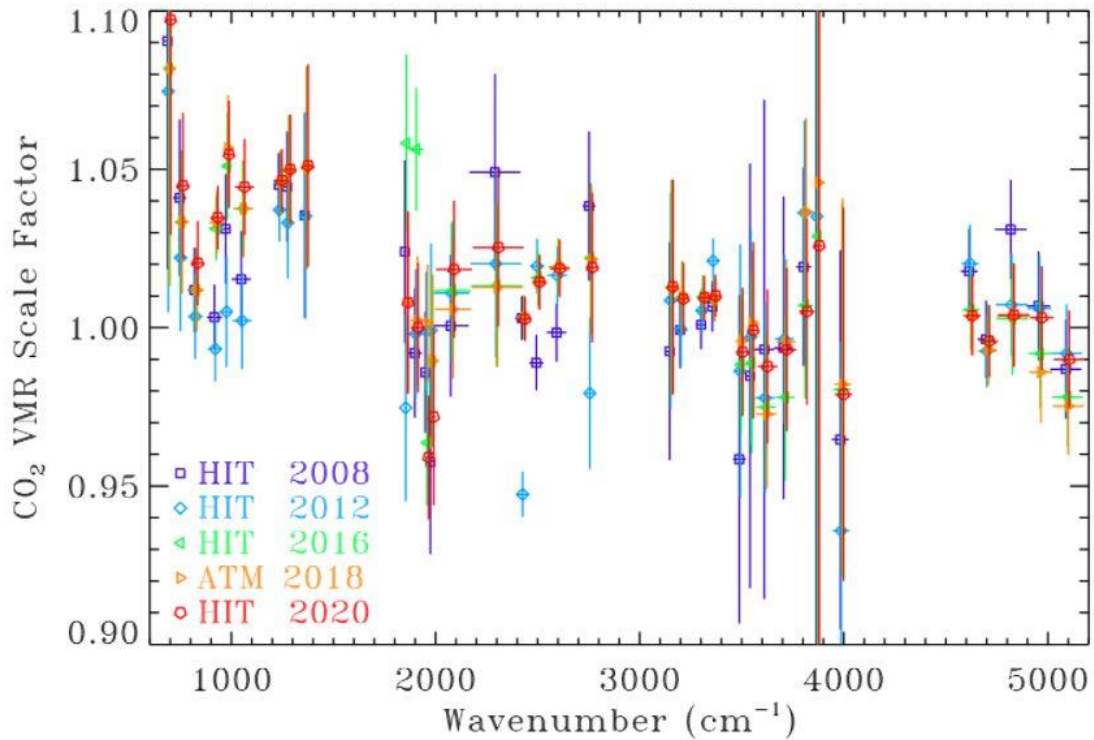


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Fig.4. Ratio of the experimental line intensities of the 40002 - 01101, 21113 - 01101, and 21113 - 11102 bands (centers: 4808 cm^{-1} , 4809 cm^{-1} , and 3544 cm^{-1} , respectively) from Refs [34,35] to those from the various CO_2 spectroscopic databases [12,17,23] .

282 In the 1800-2000 cm^{-1} spectral region, CO_2 retrievals of mixing ratio based on the
 283 HITRAN2016 database produces CO_2 retrieved amounts that are 5% larger than those based on
 284 previous line lists. The VMR Scale Factors (VSF) obtained by averaging each retrieved single-
 285 spectrum VSF value over all 19 MkIV balloon spectra that were fitted for each window are plotted
 286 versus wavenumber in **Fig. 5**. The MkIV instrument simultaneously records the region 600 cm^{-1}

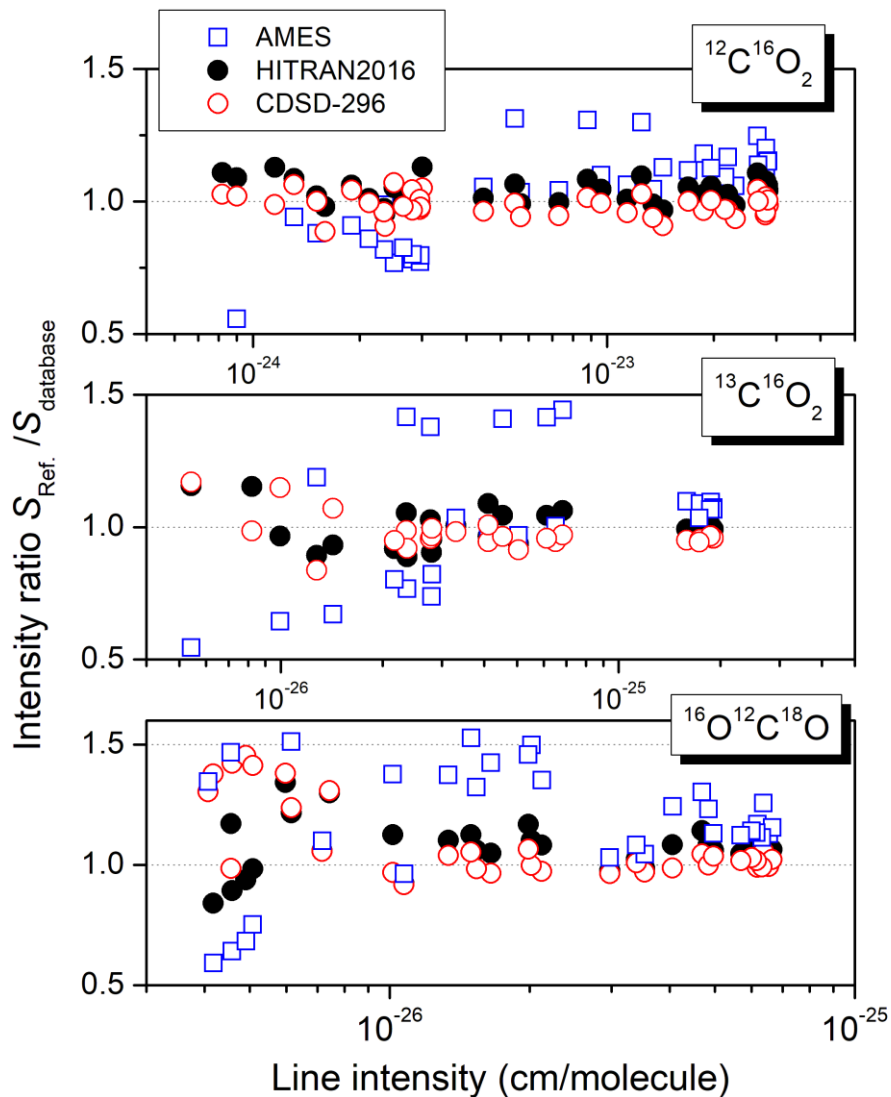
287 to 5650 cm^{-1} , so derived VSFs should have good window-to-window consistency. The upper circle
 288 indicates anomalously high HITRAN2016 values in the 1800-2000 cm^{-1} spectral region
 289 corroborating observation in laboratory spectra.
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291
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 293 **Fig.5.** The VMR Scale Factors (VSF) obtained by averaging each retrieved single-spectrum VSF
 294 value over all 19 MkIV balloon spectra that were fitted for each window. The measured VSF
 295 values are plotted *versus* wavenumber. See the designations of the databases in the caption of
 296 Fig. 2.

297
 298 It was found that the most significant deviations in this region correspond to the 11102 -
 299 00001 band near 1933 cm^{-1} . The 11101 - 00001 and 11102 - 00001 bands borrow intensities from
 300 the strong 00011 - 00001 band *via* Coriolis interaction. It was shown in Ref. [17] that the *ab initio*
 301 AMES line intensities [23] for the 11101 - 00001 band deviate considerably from the
 302 observations. A comparison of the 11102 - 00001 line intensities with the published
 303 measurements [36,37] and the different CO_2 databases [12,17,23] for the $^{12}\text{C}^{16}\text{O}_2$, $^{13}\text{C}^{16}\text{O}_2$, and
 304 $^{16}\text{O}^{12}\text{C}^{18}\text{O}$ isotopologues is presented in **Fig. 6**. Replacement of line intensities of the CO_2 bands
 305 considered in the HITRAN2016 database with CDSD-296 [17] rectifies the above-mentioned
 306 discrepancy in the 1800-2000 cm^{-1} region. For consistency, the line intensities of the 11101 -

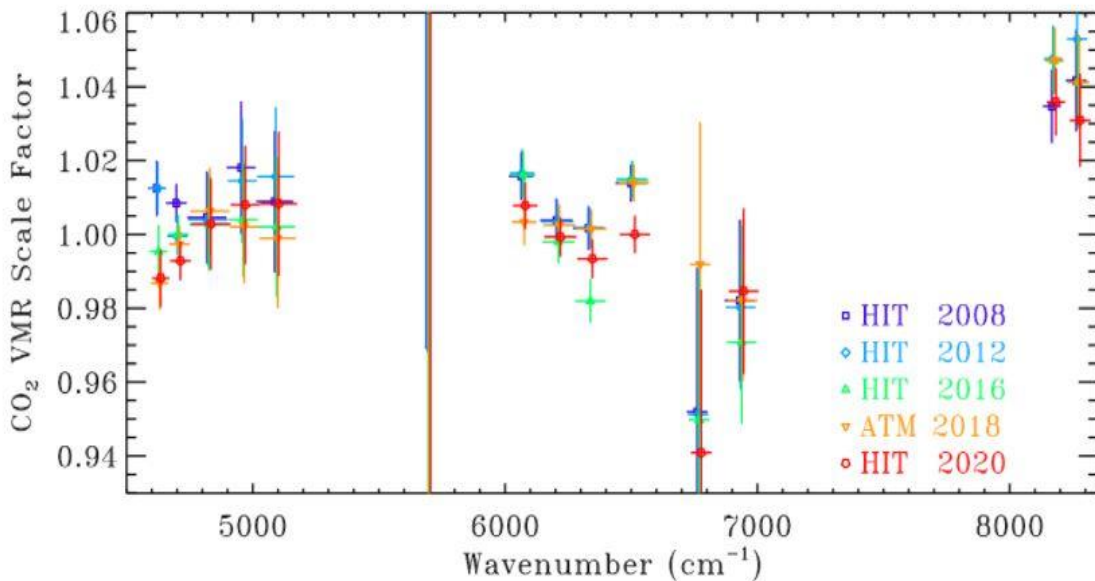
307 00001 band for other CO₂ isotopologues were also replaced by the intensities from the CDSD
 308 database in the HITRAN2020 line list. The 20003-01101 and 12202-01101 hot bands of ¹²C¹⁶O₂
 309 located near 1900 cm⁻¹ were also increased by 5% to match the ATM values [15]. The intensity
 310 origin for these bands in HITRAN2016: 20003-01101 – UCL *ab initio* [27] and 12202-01101 – UCL
 311 *ab initio* and CDSD2015 [26,27]. Also, the comparison of the ATM and CDSD2019 line intensities
 312 showed a deviation up to 30% for the 20003- 01101 band of the ¹²C¹⁶O₂ isotopologue.
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314
 315 **Fig. 6.** The comparison of line intensities between the published measurements from Refs [36,37]
 316 and the different CO₂ databases [12,17,23] for the 11102-00001 bands of the ¹²C¹⁶O₂, ¹³C¹⁶O₂,
 317 and ¹⁶O¹²C¹⁸O isotopologues with centers: 1933 cm⁻¹, 1897 cm⁻¹, and 1903 cm⁻¹, respectively.

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The TCCON observations considered here cover the 3950 to 9500 cm^{-1} spectral region. These measurements are made with a Fourier transform spectrometer with an InGaAs detector and optical path difference (OPD) of 45 cm, corresponding to a resolution of 0.02 cm^{-1} . Use of the HITRAN2016 line lists reduces the CO_2 retrieved from the 6220 and 6338 cm^{-1} windows by 0.5% and 1.5% respectively, by comparison to results obtained with the other line lists, thus introducing a new inconsistency of 1.5%. TCCON CO_2 windows are circled in **Fig.7**. This issue is associated with the 30012 - 00001 and 30013 - 00001 line parameters and is discussed in detail in Section 4.



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Fig.7. Retrieved VMR Scale Factors (VSF) obtained by averaging single-spectrum based on fitting each spectral window from the TCCON spectra. Results are plotted versus wavenumber. See the designations of the databases in the caption of Fig. 2.

The comparison of the fits to 136 Kitt Peak lab spectra with those modeled using the HITRAN2016 CO_2 line list shows large residuals in the 3500 and 4800 cm^{-1} spectral windows due to inaccuracies of line positions of the 10012-0001, 20012-00001, and 20013-00001 bands of the $^{13}\text{C}^{16}\text{O}_2$ isotopologue. The comparison showed that the HITRAN2012 [44] line positions for the 20012-00001 and 20013-00001 bands led to better residuals than those of the HITRAN2016 and CDSD-296 [17] databases. As a result, the line positions of the 20012 - 00001 and 20013 - 00001 bands of the $^{13}\text{C}^{16}\text{O}_2$ isotopologue were replaced with the corresponding HITRAN2012 line positions into the HITRAN2020 CO_2 line list. In the case of the 10012-00001 band, we subtracted

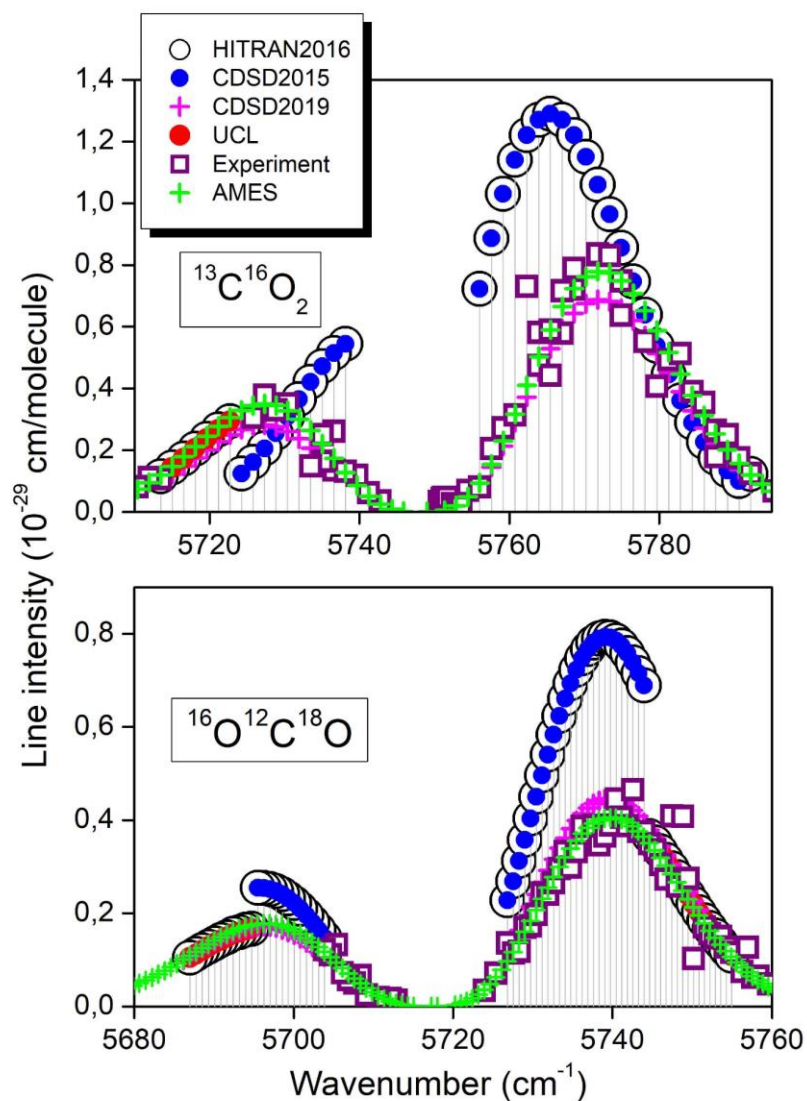
340 0.0009 cm⁻¹ from the CDS [17] line positions used for this band in HITRAN2020 to match the
341 ATM values [15].

342 Also, inaccuracies in the positions of some ¹⁶O¹²C¹⁸O lines of the 01121-01101 hot band
343 (P13e, P14e, P14f, Q12f, Q13e, Q13f, R11e, R12e, and R12f) were evidenced in the HITRAN2016
344 line list between 4600 and 4625 cm⁻¹. This issue was identified by comparison with fits to Kitt
345 Peak spectra and was solved by replacing the line positions with positions from the CDS-296
346 database [17] in the HITRAN2020 CO₂ line list.

347 As mentioned above, below 8000 cm⁻¹, most line intensities in the HITRAN2016 database
348 came from the UCL-IAO line lists [27–29] which are based on *ab initio* calculations. Because of
349 excessive uncertainty in the *ab initio* line positions, the HITRAN 2016 line list is, in general, a
350 combination of the CDS- based line positions [26] and the UCL variational line intensities [27–
351 29]. In the case of the so-called "sensitive bands" (as defined by Zak et al. [27–29]) *ab initio*
352 intensities were replaced with those from CDS when available. This substitution resulted in
353 some cases where transitions in a given band would have intensities from both *ab initio*
354 calculations as well as those from CDS. Although this approach was justified in many cases, a
355 number of inconsistencies in the rotational distribution of intensities in HITRAN2016 were
356 revealed in CRDS spectra of ¹⁸O and ¹³C enriched and natural CO₂ near 1.74 μm [39,40,46]. In
357 these works, the anomalies involve the perpendicular bands of the Δ*P*= 9 series of transitions. As
358 shown in **Fig 8**, the mixing of CDS and UCL *ab initio* intensities for the 41104-00001 bands of
359 ¹²C¹⁶O₂ and ¹³C¹⁶O₂ isotopologues leads to an apparent inconsistency in the rotational
360 dependence with strong intensity variation between successive *J* values or even missing
361 transitions. A similar situation was found in the case of the 41104-00001 band of ¹⁶O¹²C¹⁸O
362 isotopologue (See **Fig. 9**). A comparison of the measurements from Refs [39,40,46] to various
363 carbon dioxide spectroscopic databases is presented in **Fig. 10**. As can be seen from this figure,
364 the AMES intensity values for this band are all close to the experimental ones. As a result, for
365 HITRAN2020, the AMES intensities of the 41104-00001 bands of the ¹²C¹⁶O₂, ¹³C¹⁶O₂, and
366 ¹⁶O¹²C¹⁸O isotopologues were preferred to the mixed intensity values in the HITRAN2016 line list.

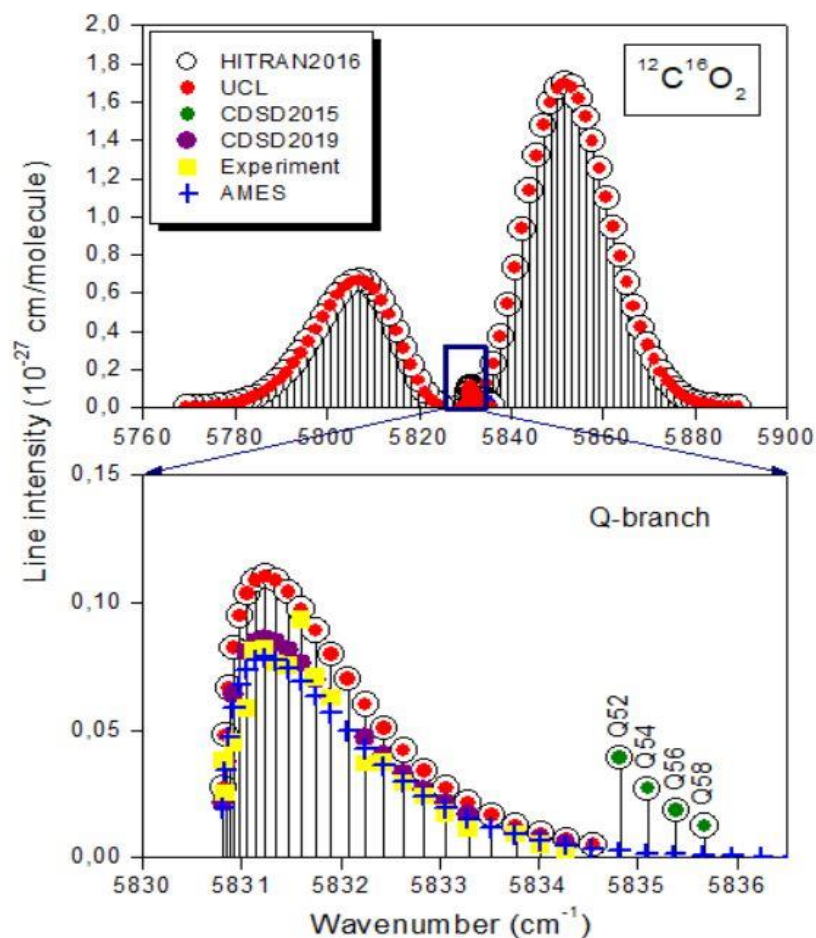
367 Similar validation tests using the values available in the literature and from the different
368 CO₂ databases were carried out for other bands affected by the problem due to the mixing of

369 intensities of CDSD and *ab initio* origin in the HITRAN line list. These bands were systematically
370 searched (see Fig. 5 of Ref.[39]), and when problems were identified, alternative sources of data
371 for each such bands were suggested. For the $^{12}\text{C}^{16}\text{O}_2$, $^{13}\text{C}^{16}\text{O}_2$, $^{16}\text{O}^{12}\text{C}^{18}\text{O}$, and $^{16}\text{O}^{13}\text{C}^{18}\text{O}$
372 isotopologues, we present in Table 1 the set of bands that were checked and affected by this
373 issue along with the alternative intensity sources for these bands used in HITRAN2020.
374 The substitution of the suggested intensity sources for the bands presented in Table 1 was
375 performed for the corresponding bands of all the CO_2 isotopologues in HITRAN2020 where
376 experimental data exists. In the absence of experimental reference data, the replacement of the
377 intensity source was performed only for the corresponding band of the specific isotopologue. In
378 the case of the 31104e-01101e and 11112e-11101e hot bands of the $^{16}\text{O}^{12}\text{C}^{18}\text{O}$ and $^{13}\text{C}^{16}\text{O}_2$
379 isotopologues, respectively (see **Fig.11**), we cannot offer an alternative source for replacing the
380 mixed line intensities, since there is no experimental data to verify them. Nevertheless, we kept
381 the HITRAN2016 line intensities for the 31114e-01101e band of $^{16}\text{O}^{12}\text{C}^{18}\text{O}$ isotopologue in
382 HITRAN2020. In the future we will consider using UCL *ab initio* [28] line intensities for this band.
383 In the case of the 11112e-11101e hot band of the $^{13}\text{C}^{16}\text{O}_2$ isotopologue, the UCL and CDSD-296
384 databases [17,27] give similar line intensities although there is an inconsistency in the rotational
385 dependence with substantial intensity variation between successive J values of the P branch.
386 Validation of this band is a challenge for future experiments.
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 390 **Fig. 8.** Comparison of the CRDS measurements (purple squares) of the 41104 - 00001 band of
 391 $^{13}\text{C}^{16}\text{O}_2$ [46] and $^{16}\text{O}^{12}\text{C}^{18}\text{O}$ [40] to various databases: HITRAN2016 [44], CDS2015
 392 [26], CDS2019 [17], UCL [27,28], and AMES [23] line lists.

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 398 **Fig.9.** Overview comparison of the Q-branch of the 41104 - 00001 band of $^{12}\text{C}^{16}\text{O}_2$ [39] to the
 399 HITRAN2016[12], CDSD-296 (CDSD2015) [26], CDSD-296 (CDSD2019) [17], UCL [27] and AMES
 400 [23] line lists. The lower panel highlights the Q branch where the HITRAN2016 list uses UCL and
 401 CDSD2015 as intensity sources for J below 50 and above 52, respectively, leading to the observed
 402 intensity jump between $Q(50)$ and $Q(52)$. The CRDS measurements [39] validate the AMES
 403 intensity values which were taken as the unique source for HITRAN2020 of this band.

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412 **Table 1.** The bands for the $^{12}\text{C}^{16}\text{O}_2$, $^{13}\text{C}^{16}\text{O}_2$, $^{16}\text{O}^{12}\text{C}^{18}\text{O}$, and $^{16}\text{O}^{13}\text{C}^{18}\text{O}$ isotopologues where
413 intensities were from mixed sources in HITRAN2016 and the new sources of intensities chosen
414 for HITRAN2020.
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Band	Band center (cm^{-1})	Intensity origin		Experimental works
		HITRAN2016	HITRAN2020	
$^{12}\text{C}^{16}\text{O}_2$ isotopologue				
21103-02201	1846.33	[26,27]	[26,27]	-
13302-02201	1907.85	[26,27]	[27]	-
20002-01101	2003.76	[26,27]	[17]	[41]
21102-10002	2054.72	[26,27]	[27,28]	-
22201-11101	2120.50	[26,27]	[17]	[42]
40002-21103	2295.65	[26,27]	[27]	-
30004-01101	3125.10	[26,27]	[17]	[34]
31104-10002	3131.52	[26,27]	[27]	-
22203-01101	3156.20	[26,27]	[27]	-
31103-10002	3306.48	[26,27]	[27]	-
22202-01101	3342.10	[26,27]	[27]	-
23302-02201	3344.01	[26,27]	[27]	-
31102-10001	3365.31	[26,27]	[17]	[34]
30002-01101	3398.45	[26,27]	[27]	-
21101-00001	3501.45	[26,27]	[27]	-
40002-11102	3544.64	[26,27]	[27]	-
01121-10002	4031.07	[26,27]	[27]	-
31104-00001	4416.15	[26,27]	[17]	[35]
41103-10001	4613.12	[26,27]	[27]	-
25501-02201	4696.61	[26,27]	[27]	-
10021-01101	5346.31	[26,27]	[17]	[47]
50006-01101	5572.65	[26,27]	[27]	-
50005-01101	5768.12	[26,27]	[27]	[39]
42204-01101	5805.64	[26,27]	[17]	[39]
41104-00001	5830.79	[26,27]	[23]	[39]
50004-01101	5920.94	[26,27]	[27]	-
50003-01101	6057.89	[26,27]	[27]	[48]

$^{13}\text{C}^{16}\text{O}_2$ isotopologue				
04421-01101	1946.12	[26,29]	[27,28]	-
11112-11101	2110.13	[26,27]	[27]	-
$^{16}\text{O}^{12}\text{C}^{18}\text{O}$ isotopologue				
31104-30004	616.12	[26,28]	[17,28]	-
21101-10002	2195.21	[27,28]	[28]	-
21101-00001	3454.63	[27,28]	[28]	-
31104-01101	3677.44	[26,28]	[28]	-
$^{16}\text{O}^{13}\text{C}^{18}\text{O}$ isotopologue				
12202-01101	1856.75	[26,28]	[28]	-

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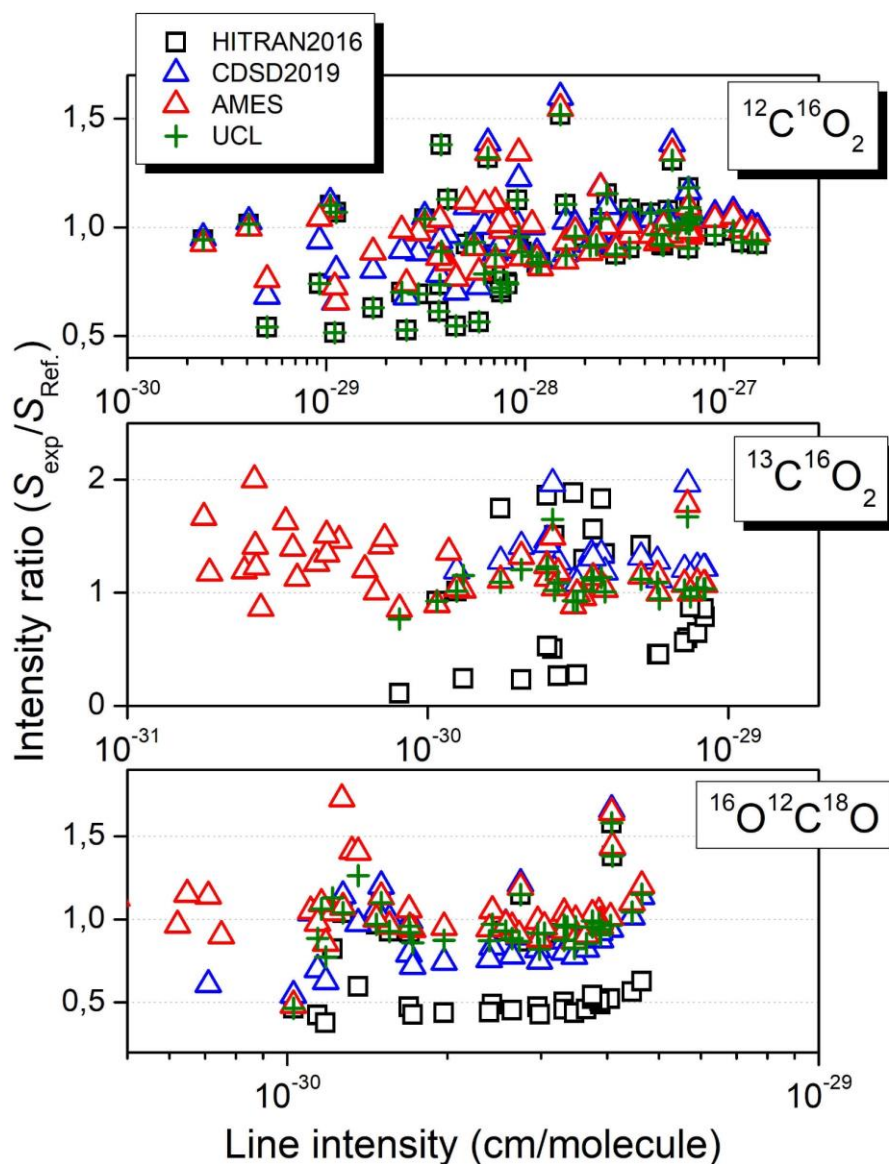
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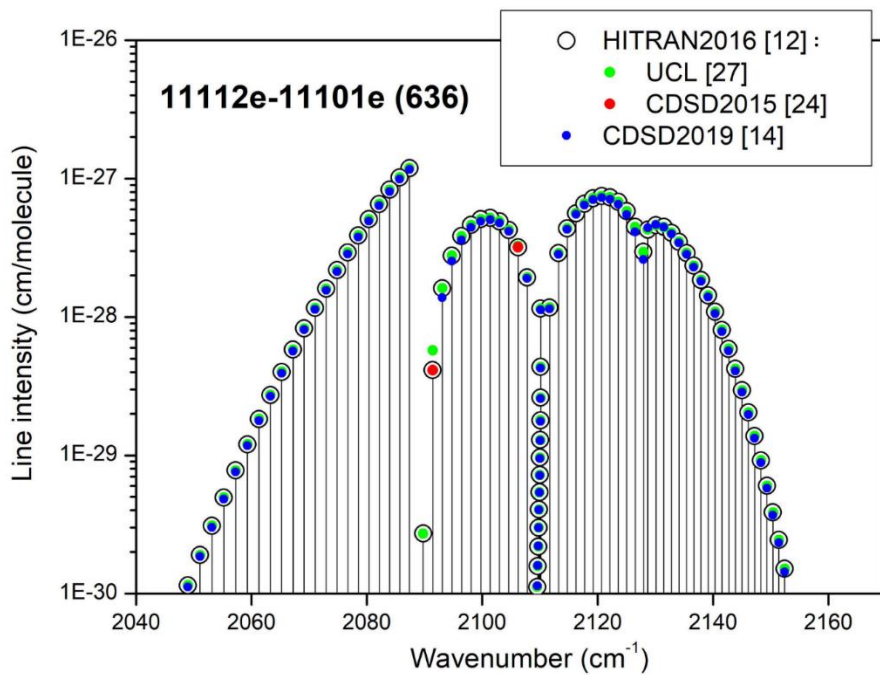
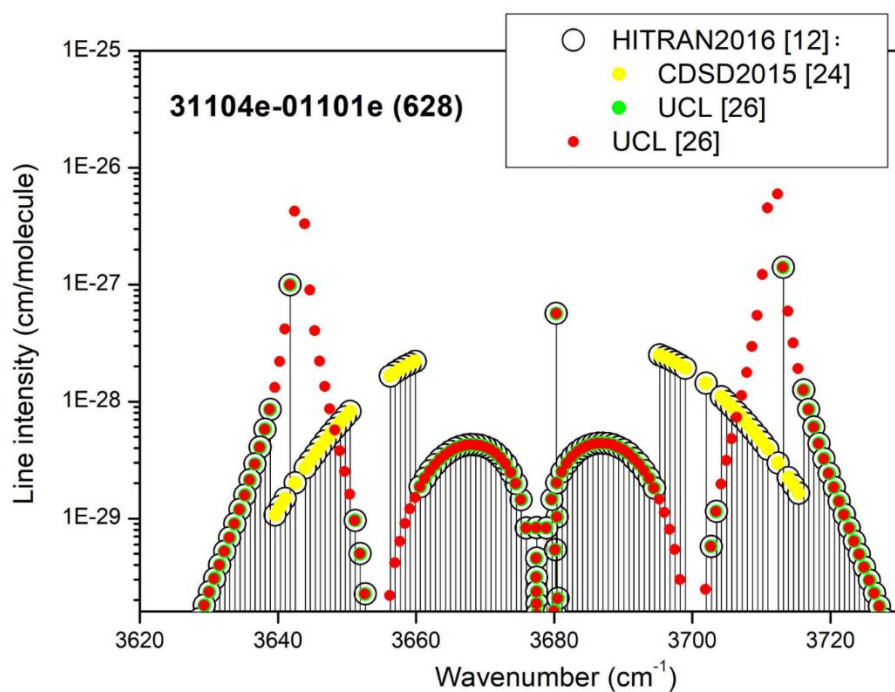
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 431 **Fig.10.** Ratios of the measured line intensities of the 41104 - 00001 band of the $^{12}\text{C}^{16}\text{O}_2$, $^{13}\text{C}^{16}\text{O}_2$
 432 and $^{16}\text{O}^{12}\text{C}^{18}\text{O}$ [39,40,46] isotopologues to the HITRAN2016 [12], CDS2019 (CDS2019) [17], UCL
 433 [27,28] and AMES [23] line lists.

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 444 **Fig. 11.** The 31104e-01101e and 11112e-11101e hot bands of ¹⁶O¹²C¹⁸O (628) and ¹³C¹⁶O₂ (636)
 445 isotopologues, respectively, having the mixed line intensities in the HITRAN2016 database [12].
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450 **4. New experimental data with sub-percent uncertainty**

451 Accurate line parameters of the spectral lines are generally required for the most
452 demanding atmospheric applications. In particular, the accurate values of the line intensities with
453 uncertainties as low as 0.3%-0.5% [49] are necessary for forward models used in retrievals of CO₂
454 concentrations from some remote sensing missions. A number of very accurate measurements
455 have become available after the release of HITRAN2016 in the NIR region: the most recent CRDS
456 measurements from NIST [19,20] and FTS measurements from DLR reported in Birk et al. [22]
457 (with the corresponding measurements and line parameter database provided on Zenodo [21]).
458 The results obtained in these works were used to improve the HITRAN line intensities of the
459 ¹²C¹⁶O₂ isotopologue.

460 The accurate CRDS line intensity measurements for the 3001*i*-00001 (*i* = 2-4) bands
461 reported by Long et al. [19] were used to refine the calculated HITRAN2016 CO₂ band intensities
462 near 1.6 μm. This region is actively used for spectroscopic measurements of atmospheric CO₂
463 concentrations. For instance, the 30013-00001 band is targeted by the OCO-2 and OCO-3
464 missions [5,7], while the 30012-00001 band is used for the LIDAR missions [50]. It was shown in
465 Ref. [19] that the band-integrated CRDS intensity measurements and the *ab initio* calculations
466 of Zak et al. [27] agree at the 0.06% level for the 30013-00001 and 30014-00001 bands, but
467 there is a systematic discrepancy of about 1.1% for the 30012-00001 band. We note that the
468 HITRAN2016 line intensities are from the *ab initio* UCL results [27], and they have relative
469 uncertainties < 2 % for the 30012 - 00001 and 30013 - 00001 bands and < 5 % for the 30014 -
470 00001 band, respectively. In contrast, the current CO₂ spectroscopic databases [17,23] show a
471 significant spread in their line intensities at about or above 1%. Following these results [19], the
472 HITRAN2016 line intensities for the 3001*i*-00001 (*i*=2-4) bands were scaled to the recommended
473 band-dependent scaling constant factors, while preserving the original *J*-dependence of the *ab*
474 *initio* calculations. The comparison of the HITRAN2016 and HITRAN2020 line intensities of the
475 30012-00001 band of ¹²C¹⁶O₂ isotopologue to the experimental values reported in Long et al. [19]
476 is plotted in **Fig.12**.

477 In Fleurbaey et al. [20], it was shown that a constant scaling of 1.0069±0.0002 of
478 HITRAN2016 values in the 20013-00001 band of CO₂ near 2.06 μm is consistent with the

479 experiment. Therefore, we have performed this scaling in HITRAN2020. In Refs [19,20], the
480 reported relative uncertainty in intensity is better than 0.1%, thus the uncertainty code 8 (< 1%)
481 for the line intensities was given for the corresponding bands in the HITRAN2020 line list.

482 Bruker IFS 125HR Fourier-transform spectrometer measurements have been conducted
483 at the German Aerospace Center (DLR) to measure pure carbon dioxide transmittance spectra in
484 the 6000–7000 cm^{-1} spectral region, including the 30011 - 00001, 30012 - 00001, 30013 - 00001,
485 30014 - 00001, and 00031 - 00001 bands [22]. In this work, line intensity uncertainties of 0.15%
486 were reported. The scaling factor 1.0061 was used for the HITRAN2016 line intensities of the
487 30011 - 00001 band of the $^{12}\text{C}^{16}\text{O}_2$ isotopologue to match with DLR measurements [22]. The
488 30013 - 00001 and 30014 - 00001 HITRAN2016 line intensities and NIST measurements were
489 found to be in good agreement with the DLR measurements. However, for the 30012 - 00001
490 band, the differences outside of the stated uncertainties with both NIST measurements and
491 HITRAN2016 were reported. The difference for the 30012-00001 band was 1.6%, whereas for
492 Long et al. [19] the difference was about 1%. It should be noted that these differences are outside
493 the stated uncertainty budget. In addition, the DLR data showed systematic differences of
494 relative line intensities to HITRAN2016 within the 3001*i*-00001 (*i*=2,3) bands on the order of 0.3%.

495 In the HITRAN2016 edition, the line intensities of the 00031–00001 band of the $^{12}\text{C}^{16}\text{O}_2$
496 isotopologue near 1.4 μm used CDSD-296 [26] as an intensity source because this band was
497 identified as "sensitive" in the *ab initio* calculations [27]. The comparison of the CDSD line
498 intensities with the DLR measurements [20] showed rotationally-dependent deviations up to 4%.
499 To improve the 00031–00001 line intensities in the HITRAN2020 line list, we scaled the UCL line
500 intensities [27] by the factor of 1.1217 to match the line intensities reported by Birk et al. [22]
501 (See **Fig. 12**). It should be noted that two lines (P20 and R18) were absent in the UCL line list [27],
502 so that the intensities of these corresponding lines were updated from Birk et al. [22].

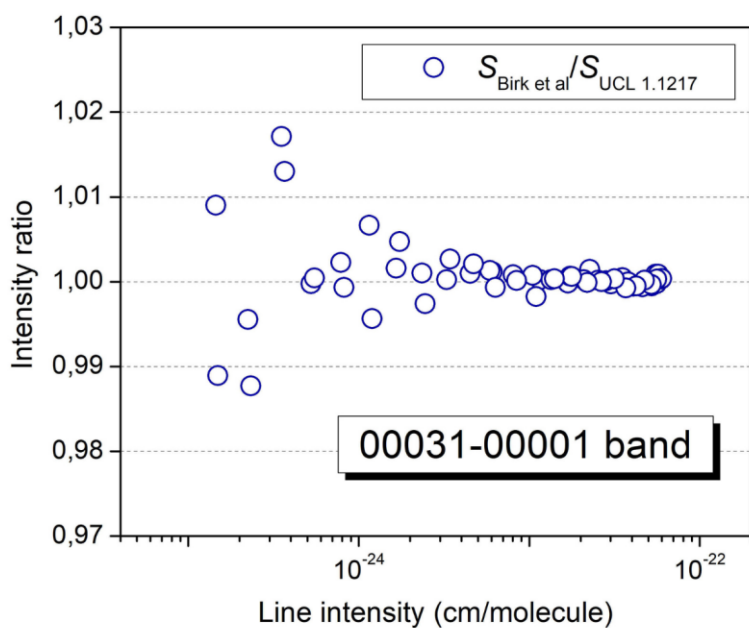
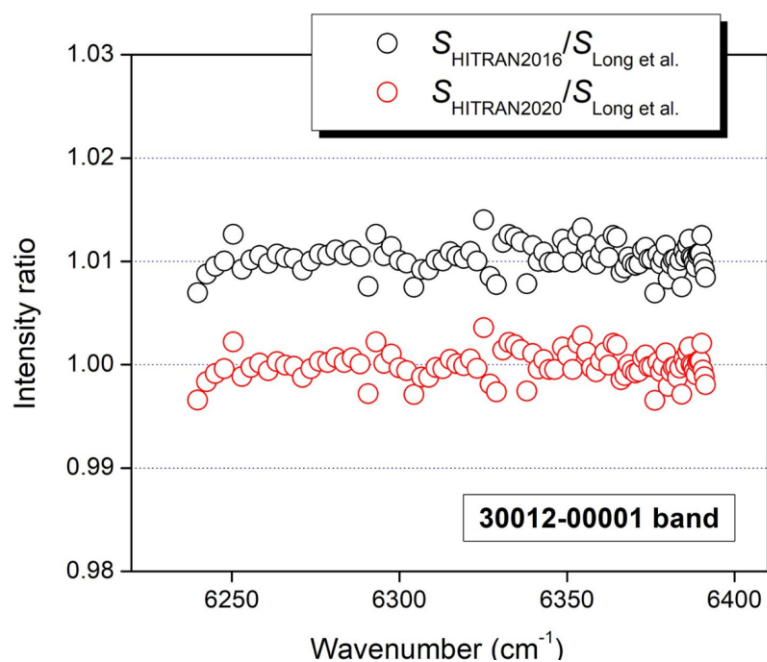
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 509 **Fig. 12.** *Upper panel:* Comparison of the HITRAN2016 and HITRAN2020 line intensities of the
 510 30012-00001 band of $^{12}\text{C}^{16}\text{O}_2$ isotopologue to the experimental values measured by Long et al.
 511 [19]. *Lower panel:* Comparison of the measured line intensities reported in Birk et al. [22] and the
 512 UCL intensities scaled by the factor of 1.1217 for the 00031-00001 band of the $^{12}\text{C}^{16}\text{O}_2$
 513 isotopologue.
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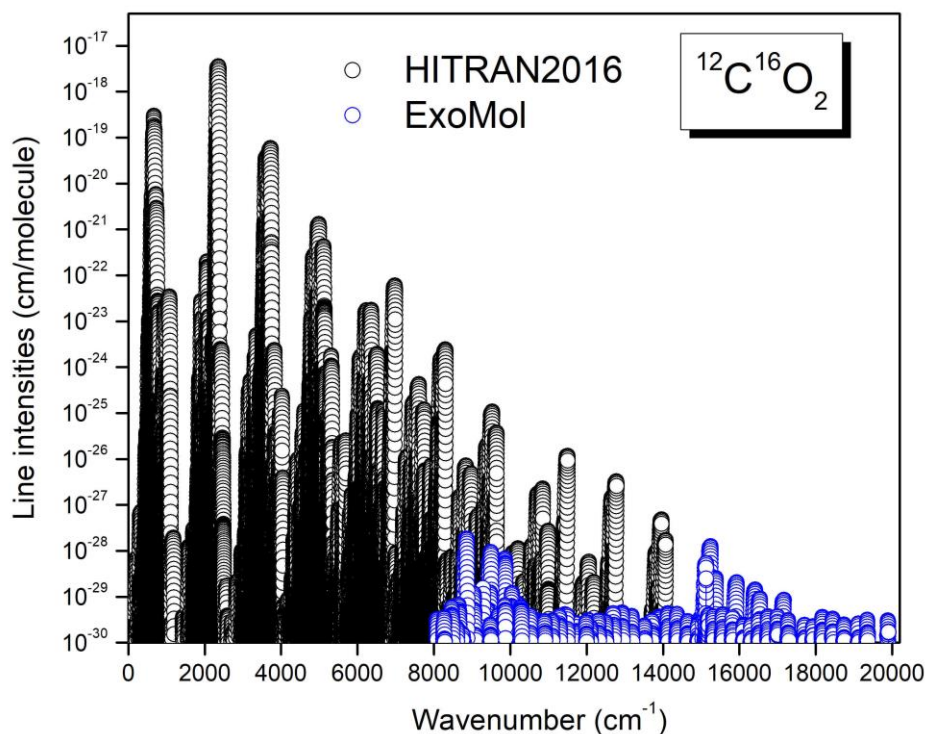
515 The weak 10032 - 10002 and 01131 - 01101 hot bands of the $^{12}\text{C}^{16}\text{O}_2$ isotopologue located
516 near 6900 cm^{-1} were also compared to the DLR measurements [22]. It was found that the
517 HITRAN2016 line intensities of the 10032 - 10002 band should be scaled by a factor of 1.1346
518 while the line intensities of the 01131 - 01101 band having the intensity origin from CDS-296K
519 [26] should be scaled by a factor of 1.0022.

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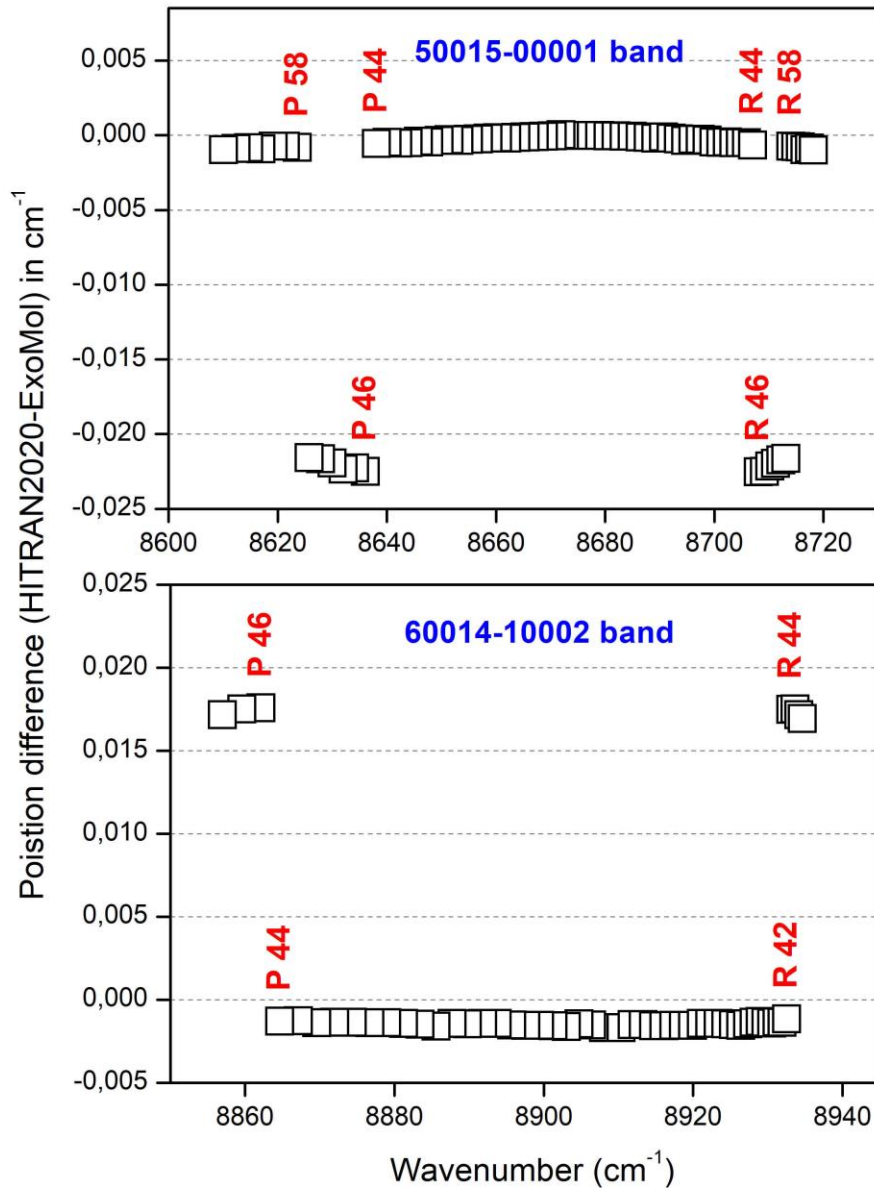
521 **5. New bands above 8000 cm^{-1}**

522 The recent high-temperature ExoMol UCL-4000 line list containing almost 2.5×10^9
523 transitions at $T=4000\text{K}$ for the $^{12}\text{C}^{16}\text{O}_2$ isotopologue was published in Ref. [18]. To compare this
524 line list with HITRAN2016, we converted UCL-4000 to HITRAN format at 296 K and applied an
525 intensity cut-off of $10^{-30}\text{ cm/molecule}$ using the ExoMol_to_HITRAN.py program downloaded
526 from <http://exomol.com/software/>[51]. This code transfers the position, intensity, Einstein A,
527 lower state energy, and upper/lower level statistical weights into the correct location for a
528 HITRAN default format ".par" file. It works by looking at the "trans" file to get the upper and
529 lower state ID. We edited this program to extract the m_1, m_2, l_2, m_3 HITRAN quantum numbers
530 from the ExoMol files. In the case where the states were not assigned, the vibrational
531 assignments were replaced with "-2-2-2-20". When it was possible, we also performed additional
532 assignments using the CDS-296 [17] and Ames [23] databases. **Fig. 13** gives an overview
533 comparison of the HITRAN 2016 line list to the UCL-4000 line list for the $^{12}\text{C}^{16}\text{O}_2$ isotopologue
534 from 0 to 20000 cm^{-1} . It should be noted that the $^{12}\text{C}^{16}\text{O}_2$ line list in HITRAN2016 was limited to
535 the spectral range 158.302 to 14075.298 cm^{-1} . In **Fig.13**, only the new bands from the UCL-4000
536 line list, which are missing in the HITRAN spectroscopic database, are presented. They are
537 highlighted in blue. Most of these bands are located above 8000 cm^{-1} . Over 3600 spectral lines
538 with line intensities from 1.8×10^{-28} to $9.9 \times 10^{-30}\text{ cm/molecule}$ from the UCL-4000 line list were
539 included in the HITRAN2020 spectroscopic database. The line positions in the UCL-4000 dataset
540 [18] are calculated from the energy levels derived from the HITRAN2016 database. Due to
541 diversity of data in HITRAN some inconsistencies could occur when deriving upper state energy
542 levels, which could result in the deviations. It is important to point out that the UCL-4000 line list
543 has an issue regarding the matching of line positions. As an example, this case is shown in **Fig.**

544 **14**, where the comparison of the line positions for the 50015 - 00001 and 60014 - 10002 bands
 545 of the $^{12}\text{C}^{16}\text{O}_2$ isotopologue is presented. We traced the source of the discrepancies in UCL-4000
 546 to the procedure used to substitute the variational (upper) state energies with the empirical
 547 values. The substituted energies were taken from HITRAN2016, either as the lower state energy
 548 term values E'' or as $E' = E'' + \nu$ (where ν is the transition wavenumber). The examples of these
 549 upper state energies, including those shown in **Fig. 14**, were affected by inconsistency of the
 550 original line positions from different sources. Most of such inconsistency cases identified in the
 551 present analysis have been improved in HITRAN2020 and will be propagated to UCL-4000 after
 552 the release of HITRAN 2020. In case of the small number of states (~ 20) that are still affected by
 553 small inconsistencies in HITRAN 2020's upper states, all for the transitions well above the 8000
 554 cm^{-1} , the CDSD synthetic data [17] will be used.



555
 556 **Fig. 13.** Overview comparison of the HITRAN2016 [12] and ExoMol UCL-4000 [18] carbon dioxide
 557 line lists in the 0-20000 cm^{-1} spectral region.
 558



559
 560 **Fig. 14.** Difference between the HITRAN2020 and UCL-4000 ExoMol line positions for the 50015
 561 - 00001 and 60014 - 10002 bands of the main CO₂ isotopologue.
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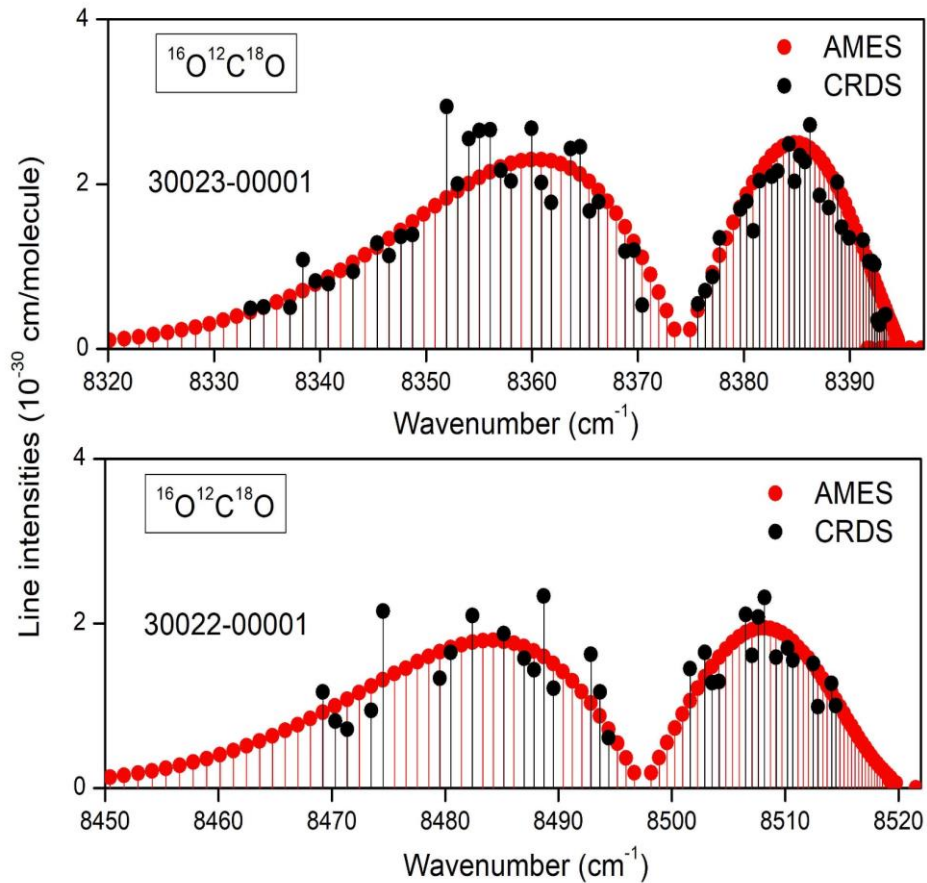
563 The weak 30022 - 00001 and 30023 - 00001 bands of the ¹⁶O¹²C¹⁸O isotopologue with
 564 band centres as 8374.23 cm⁻¹ and 8497.45 cm⁻¹, respectively, were missing in the HITRAN2016
 565 [12] and CDS-296 [17] spectroscopic databases. These bands were assigned in the analysis of
 566 CRDS spectra of natural CO₂ near 1.18 μm [14] and included in the new CO₂ line list. We also

567 calculated the energy levels (thereby obtaining line positions) for the 30022 - 00001 and 30023 -
568 00001 bands of $^{16}\text{O}^{12}\text{C}^{18}\text{O}$ up to $J_{\text{max}}=34$ using the spectroscopic constants of the lower and upper
569 state obtained in Refs [14,52] and equation (1).

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571
$$F_v(J) = G_v + B_v J(J+1) - D_v J^2(J+1)^2 + H_v J^3(J+1)^3 \quad (1)$$

572 where G_v is the vibrational term value, B_v is the rotational constant, D_v and H_v are the centrifugal
573 distortion constants, J is the angular momentum quantum number.

574 Also, it was shown in Ref. [14] that the R-branch intensities of the very weak 00041 -
575 01101 hot band of the $^{12}\text{C}^{16}\text{O}_2$ isotopologue, which are missing in CDSD-296 [17], are in good
576 agreement with the NASA Ames intensities [23] while HITRAN2016 values were largely
577 overestimated. For the HITRAN2020 database, the line intensities of the 30022-00001, 30023-
578 00001, and 00041-01101 bands were updated using the line intensities from NASA Ames [23].
579 **Fig.15** shows the good agreement between the CRDS line intensities from Ref. [14] and the
580 calculated values from the AMES line list [23]. The uncertainty codes for line positions and
581 intensities were updated to 4 for these bands in the HITRAN2020 line list, corresponding to (\geq
582 0.0001 cm^{-1} and $< 0.001 \text{ cm}^{-1}$) and ($\geq 10 \%$ and $< 20 \%$), respectively.



583

584 **Fig.15.** Comparison CRDS [14] and AMES [23] line intensities of the 30022 - 00001 and 30023 -
 585 00001 bands of the $^{16}\text{O}^{12}\text{C}^{18}\text{O}$ isotopologue.

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588 **6. Addition of magnetic dipole band of $^{12}\text{C}^{16}\text{O}_2$ at 3.3 μm**

589 The HITRAN2020 database has been extended by including the 01111 - 00001 ($\nu_2+\nu_3$)

590 magnetic dipole band of the principal isotopologue of carbon dioxide. These line parameters

591 were introduced into HITRAN for the first time; all previous editions of HITRAN provided only CO_2

592 electric dipole transitions. Interestingly, the first observation of the $\nu_2+\nu_3$ band has been reported

593 at 3.3 μm in the atmosphere of Mars [53] by the ExoMars Trace Gas Orbiter ACS instrument [54].

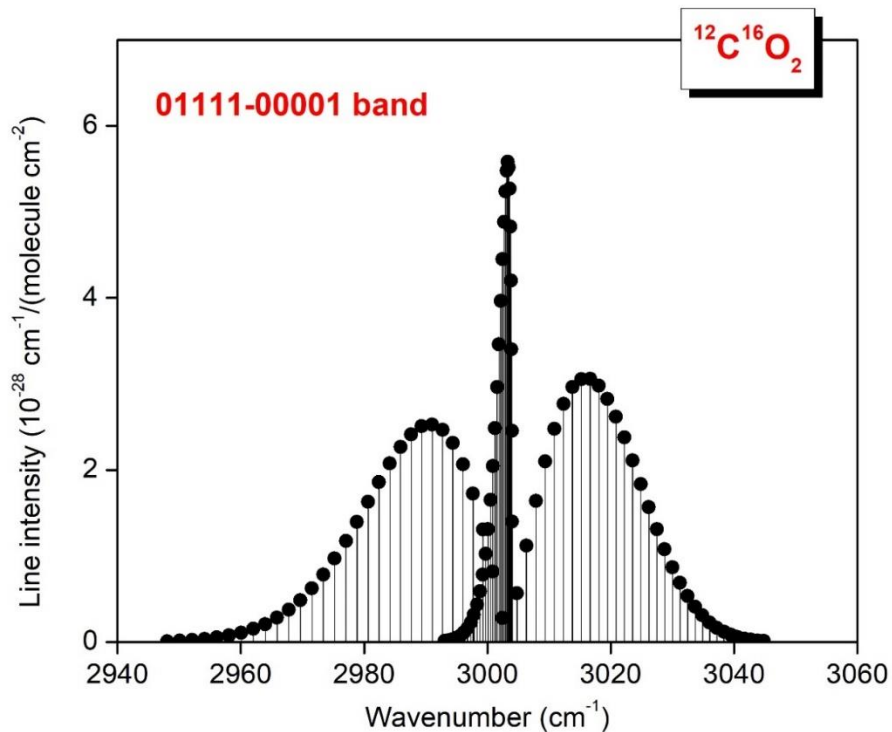
594 This band is forbidden as an electric dipole absorption. However, it is allowed through electric

595 quadrupole and the magnetic dipole mechanisms, which are typically much weaker than those

596 allowed through the electric dipole mechanism. The maximum line intensities in the 01111-
597 00001 band are on the order of 6×10^{-28} cm/molecule. The detailed studies of this band providing
598 the selection rules for the vibration-rotation transitions are presented in Refs [53,55]. The
599 vibrational transition magnetic dipole moment of the 01111-00001 band was fitted to the line
600 intensities measured with a Bruker IFS 125 HR FTS and a 30 m base multipass gas cell of the
601 V.E.Zuev Institute of Atmospheric Optics SB RAS [56]. The line positions and intensities of this
602 band were computed using the vibrational transition magnetic dipole moment and the set of the
603 effective Hamiltonian parameters reported by Majcherova et al. [57]. We included the calculated
604 line parameters up to $J=64$ of the 01111-00001 band of $^{12}\text{C}^{16}\text{O}_2$ in the HITRAN2020 line list. The
605 calculated line intensities were consistent with an intensity cut-off 10^{-30} cm/molecule at 296K.
606 The calculated line intensities agree well with the values measured independently by Optical-
607 Feedback-Cavity Enhanced Absorption Spectroscopy (OFCEAS) in Fleurbaey et al. [58] for five R-
608 branch lines of this band (R26-R32 and R36). An overview of the 01111-00001 magnetic dipole
609 band of the main CO_2 isotopologue with band center 3004.012 cm^{-1} is displayed in **Fig. 16**. The
610 corresponding uncertainty codes for the line positions and intensities were used for the 01111-
611 00001 band of $^{12}\text{C}^{16}\text{O}_2$: code 4 (≥ 0.0001 and < 0.001) and code 4 ($\geq 10\%$ and $< 20\%$), respectively.
612 It should be noted, that to distinguish the magnetic dipole transitions in the traditional HITRAN
613 ".par" format, the letter "m" was introduced into the quantum field dedicated to upper state
614 rotational ("local") quanta (see HITRAN2004 paper [59]).

615 We should also mention that in their OFCEAS study of the $\nu_2+\nu_3$ band, Fleurbaey et al. [58]
616 reported together with the detection of the magnetic dipole lines, the measurement of weaker
617 electric quadrupole of the same $\nu_2+\nu_3$ band. This detection was made possible by accurate *ab*
618 *initio* predictions of the E2 line intensities $^{12}\text{C}^{16}\text{O}_2$ in the 0-10000 cm^{-1} range [60]. Overall, the *ab*
619 *initio* intensities of the very weak quadrupole lines are validated by this OFCEAS values (maximum
620 line intensities on the order of 10^{-28} cm/molecule). There is also evidence for these quadrupole
621 lines in the ACS Mars spectrum [60]. The weak electric quadrupole lines are not included in the
622 present version of the HITRAN database and will be considered for forthcoming editions.

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Fig. 16. The 01111-00001 magnetic dipole band of the main CO₂ isotopologue [56,58]. The band center of this band is 3004.012 cm⁻¹.

629

7. The line-shape parameters for the HITRAN2020 CO₂ line list

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A major update of the line-shape parameters of CO₂ broadened by air and CO₂ is described in Ref. [13]; this involved both enhancing the HITRAN database and improving completeness. A systematic extrapolation method was introduced for producing the air- and self- half width (broadening) Voigt profile parameters for unmeasured transitions_ [61], based on the measurements reported in Ref. [62] The temperature-dependent exponents of the air- and self-broadening parameters were generated based on existing measurements and a semi-empirical calculation method using the measurements given in Refs [63,64]. Air- and self-pressure shifts for every line of CO₂ were calculated using the semi-empirical approach proposed by Hartmann [65] and fits using carefully selected experimental data.

639

Besides providing the standard 160-character ".par" parameter, the HITRAN2020 database will include additional parameters for the speed-dependent Voigt (SDV) profile [66,67]

640

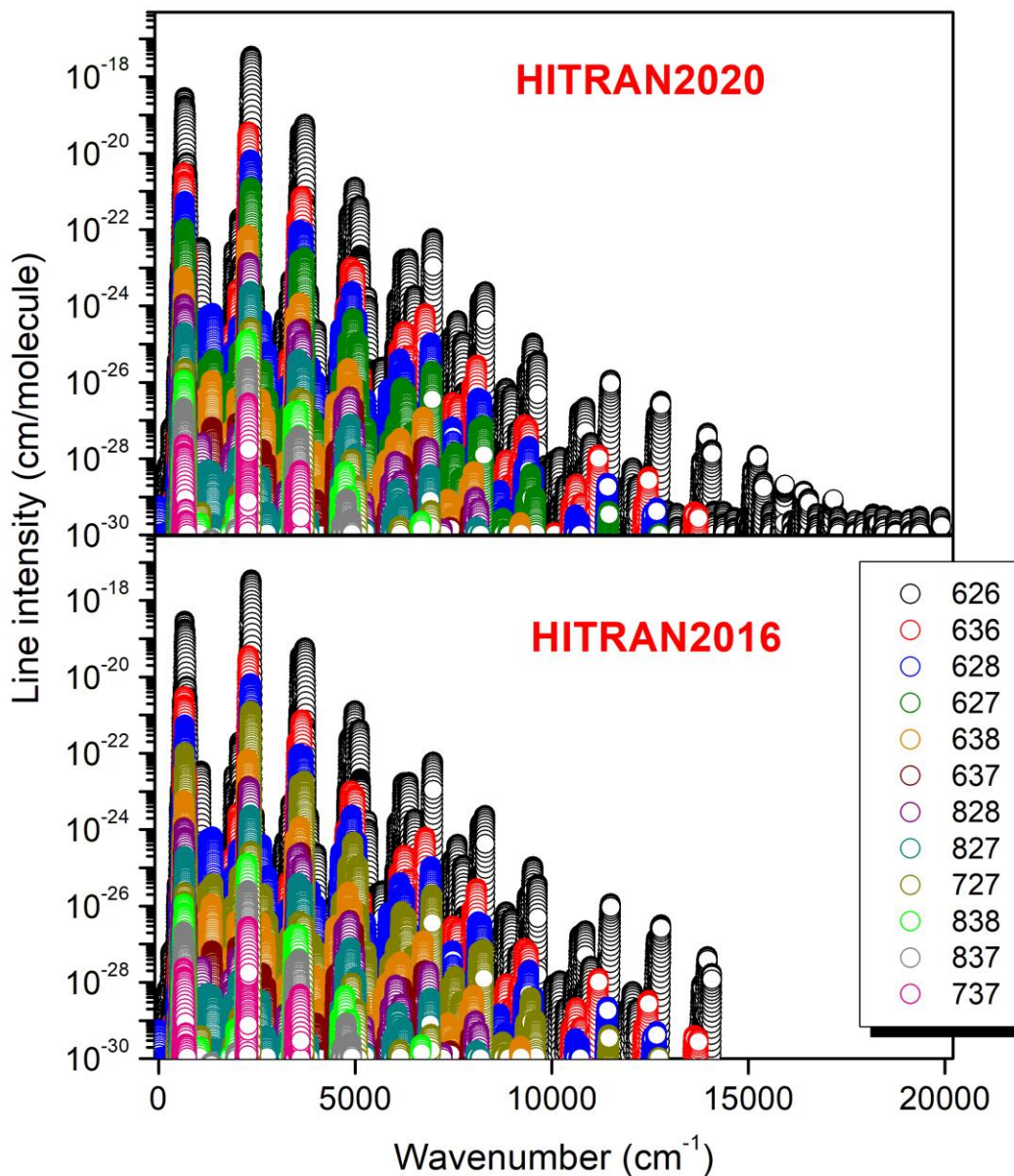
641 in a separate set. The list of the SDV parameters was provided in Table 1 of Ref. [13]. The air- and
642 self-speed dependence of the broadening parameters with their temperature dependence will
643 be added for all the lines of CO₂, and they can be downloaded using customized output format
644 on www.hitran.org. One can also retrieve these parameters with the HITRAN Application
645 Programming Interface (HAPI) [68] using the SDVoigt parameters group.

646 The CO₂ line-mixing package, developed by Lamouroux et al. [69] and available at
647 HITRAN*Online*, was updated using the new spectroscopic parameters obtained in the present
648 study and the parameters found in Ref. [13]. The full and the first-order line-mixing parameters
649 have been calculated with the latter being and the first-order line-mixing parameters will be
650 provided for every line of CO₂ in HITRAN. The new data were verified using different sets of
651 laboratory spectra to compare with the absorption coefficient calculated by the line-mixing
652 package program. The uncertainties better than 0.5% were achieved for the regions examined
653 compared to HITRAN2016 (we refer to figures 16-19 in Ref. [13]), which should be beneficial for
654 CO₂ retrieval missions, including OCO-2, ACE, and GOSAT.

655

656 **8. Overview summary of the HITRAN2020 CO₂ line list**

657 The HITRAN2020 line list for the twelve stable isotopic species of carbon dioxide contains
658 545084 transitions. It covers the spectral range from 0.757 cm⁻¹ to 19908.186 cm⁻¹ with $J \leq 128$
659 and with the lower-state energies up to 6533.030 cm⁻¹. Most of the line positions were replaced
660 with line positions from CDS-296 [17]. For the problematic line intensities identified in new
661 laboratory and atmospheric spectra, critical validation tests were performed to improve their
662 accuracy of the CO₂ lists. The line-shape parameters of CO₂ broadened by air and CO₂ were
663 updated. A summary of the CO₂ line list in the HITRAN2020 edition compared to the HITRAN2016
664 is given in **Table 2**. The HITRAN2020 carbon dioxide line list generated in HITRAN format is
665 available on the HITRAN*Online* website www.hitran.org. and Supplementary material of this
666 paper. An overview of the line lists in HITRAN2020 and HITRAN2016 is plotted in **Fig.17**.



667

668 **Fig. 17.** An overview of the HITRAN2016 and HITRAN2020 line lists for the 12 stable CO₂
 669 isotopologues: ¹²C¹⁶O₂(626), ¹³C¹⁶O₂(636), ¹⁶O¹²C¹⁸O(628), ¹⁶O¹²C¹⁷O(627), ¹⁶O¹³C¹⁸O(638),
 670 ¹⁶O¹³C¹⁷O(637), ¹²C¹⁸O₂ (828), ¹⁸O¹²C¹⁷O(827), ¹²C¹⁷O₂(727), ¹³C¹⁸O₂(838), ¹⁸O¹³C¹⁷O(837), and
 671 ¹³C¹⁷O₂(737) (The numbers in parentheses are the AFGL shorthand code for the isotopologues).
 672

673 **Table 2.** Comparison of HITRAN2020 and HITRAN2016 line lists for the 12 stable CO₂ isotopologues.

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Formula	AFGL code	Abundance	HITRAN2016			HITRAN2020		
			Number of lines	Spectral region (cm ⁻¹)	Q(296K)	Number of lines	Spectral region (cm ⁻¹)	Q(296K)
¹² C ¹⁶ O ₂	626	0.984204	173024	158.302-14075.298	286.094	174446	158.302-19908.186	286.094
¹³ C ¹⁶ O ₂	636	0.011057	70577	332.649-13734.963	576.644	69870	332.649-13734.963	576.644
¹⁶ O ¹² C ¹⁸ O	628	0.003947	127850	1.473-12677.181	607.713	122140	1.473-12677.182	607.713
¹⁶ O ¹² C ¹⁷ O	627	7.339890×10 ⁻⁴	77941	0.757-12726.562	3542.610	73942	0.757-12726.562	3542.610
¹⁶ O ¹³ C ¹⁸ O	638	4.434460×10 ⁻⁵	43782	2.945-9212.609	1225.270	41058	2.945-9212.608	1225.270
¹⁶ O ¹³ C ¹⁷ O	637	8.246230×10 ⁻⁶	25175	9.086-8061.741	7140.024	23607	9.086-8061.739	7140.024
¹² C ¹⁸ O ₂	828	3.957340×10 ⁻⁶	10522	482.813-8162.743	323.424	10498	482.814-8162.752	323.424
¹⁷ O ¹² C ¹⁸ O	728	1.471800×10 ⁻⁶	15878	491.181-8193.172	3766.044	14623	498.617-8193.172	3766.044
¹² C ¹⁷ O ₂	727	1.368470×10 ⁻⁷	6518	535.384-6932.693	10971.91	6493	535.384-6932.693	10971.91
¹³ C ¹⁸ O ₂	838	4.446000×10 ⁻⁸	2916	539.626-6686.983	652.242	2926	539.620-6686.983	652.242
¹⁷ O ¹³ C ¹⁸ O	738	1.653540×10 ⁻⁸	4190	549.473-4914.496	7593.900	3980	549.473-4914.496	7593.900
¹³ C ¹⁷ O ₂	737	1.537500×10 ⁻⁹	1501	575.853-3614.084	22129.96	1501	575.853-3614.084	22129.96
Total			559874			545084		

675

676 **Note:** AFGL code is the shorthand notation for the isotopologue, abundance is the terrestrial value assumed by HITRAN, and Q(296

677 K) is the partition sum at the reference temperature of 296 K.

678

679 9. Conclusion

680 This work presents an improved and extended version of the HITRAN2020 spectroscopic
681 database for the carbon dioxide molecule. The database includes updates of the line positions
682 and intensities described in this work, and line shape parameters (described in Hashemi et al.
683 [13]) for 12 stable CO₂ isotopologues. Critical validation tests for the spectroscopic data, including
684 the comparisons with the most advanced theoretical and semi-empirical databases [17,18,23,27]
685 and accurate experimental measurements, were carried out. Evaluation of the HITRAN CO₂ line
686 lists by comparison to laboratory and atmospheric spectra below 8310 cm⁻¹ and the description
687 of the updates made for the bands having mixed CDS and UCL-IAO line intensities in the
688 HITRAN2016 line list below 8000 cm⁻¹ are presented. Recent experimental measurements with
689 sub-percent uncertainty [19–22] were used to improve the CO₂ bands in the (1.4–2.1) μm region.
690 Several new CO₂ bands (more than 3600 transitions) above 8000 cm⁻¹ were added to the
691 HITRAN2020 edition from the new high-temperature UCL-4000 ¹²C¹⁶O₂ line list from the ExoMol
692 [18] database. Also, the updated CO₂ line list has been extended by including new experimentally
693 observed bands [14] corresponding to the ¹⁶O¹²C¹⁸O isotopologue. The magnetic dipole 01111-
694 00001 band of the ¹²C¹⁶O₂ isotopologue in the 3.3 μm region was introduced into HITRAN for the
695 first time. Updated self- and air-broadened line shape parameters of CO₂, as described in Ref.
696 [13] and involved in the enhancement of the HITRAN databases, were also revised. These updates
697 of the CO₂ line parameters in HITRAN2020 are expected to have an important impact on the
698 capabilities of current and future remote-sensing missions. The updated line lists for 12 CO₂
699 isotopologues are available as Supplementary material of this paper or can be downloaded from
700 the HITRAN website (www.hitran.org).

701

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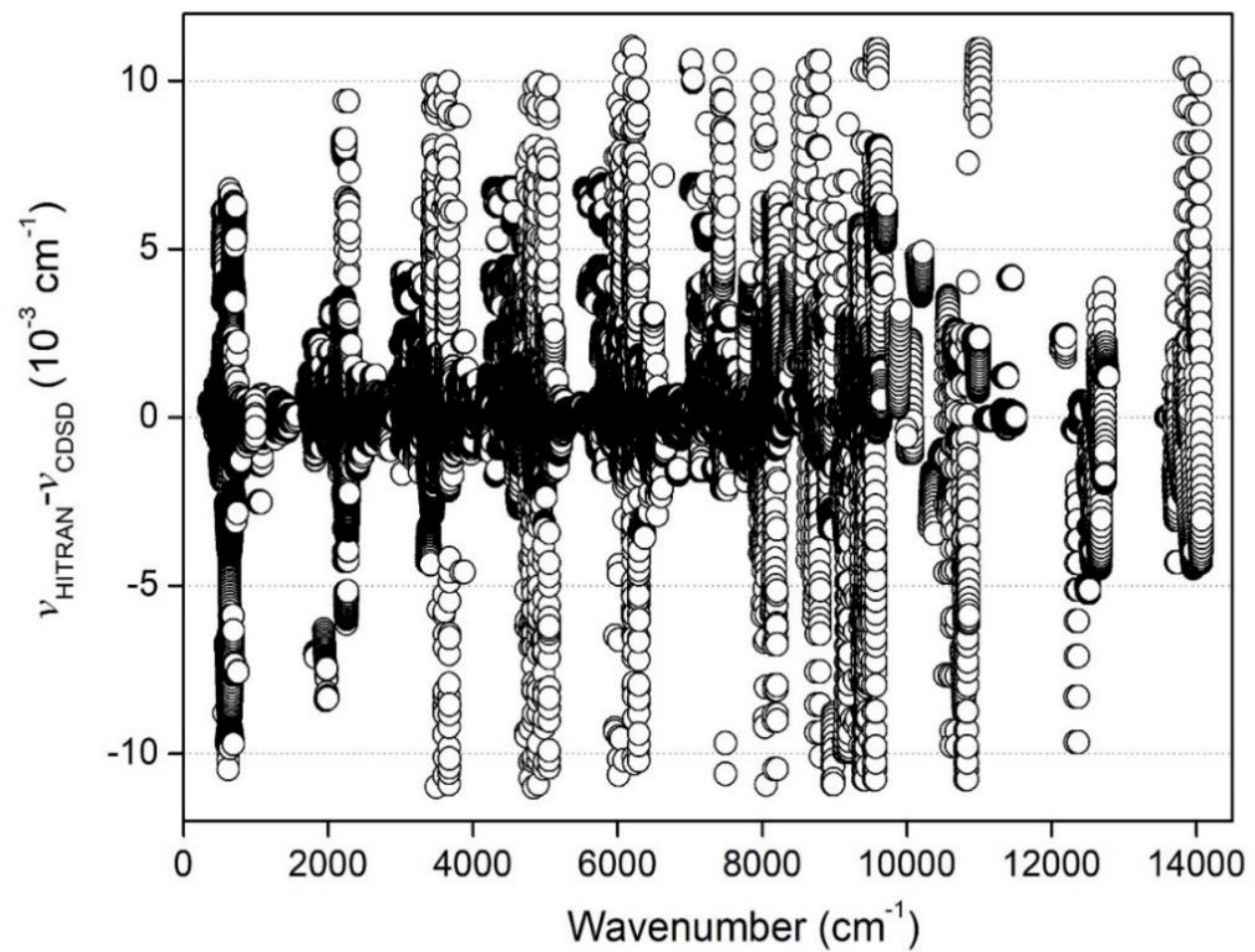
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Fig. 2.

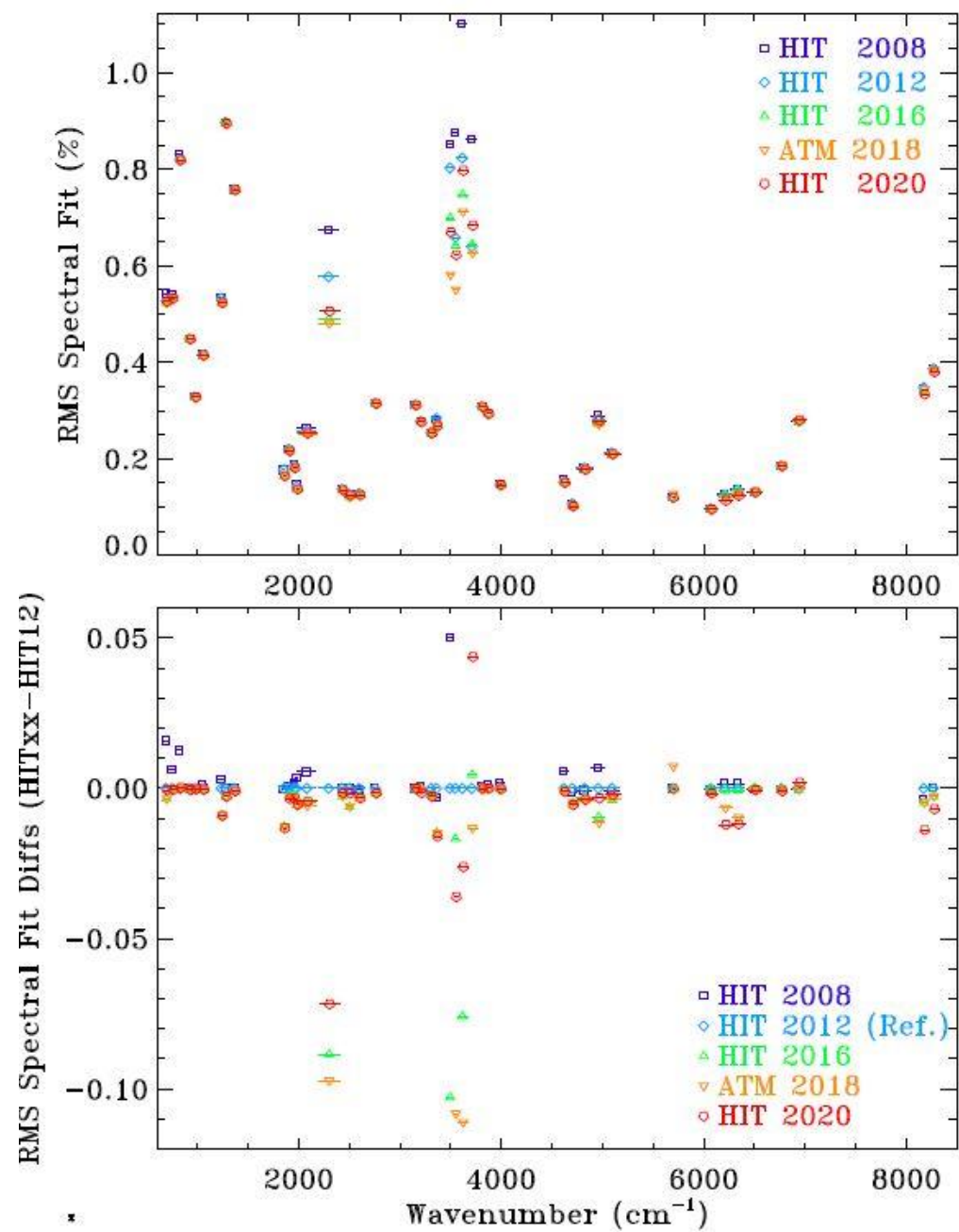


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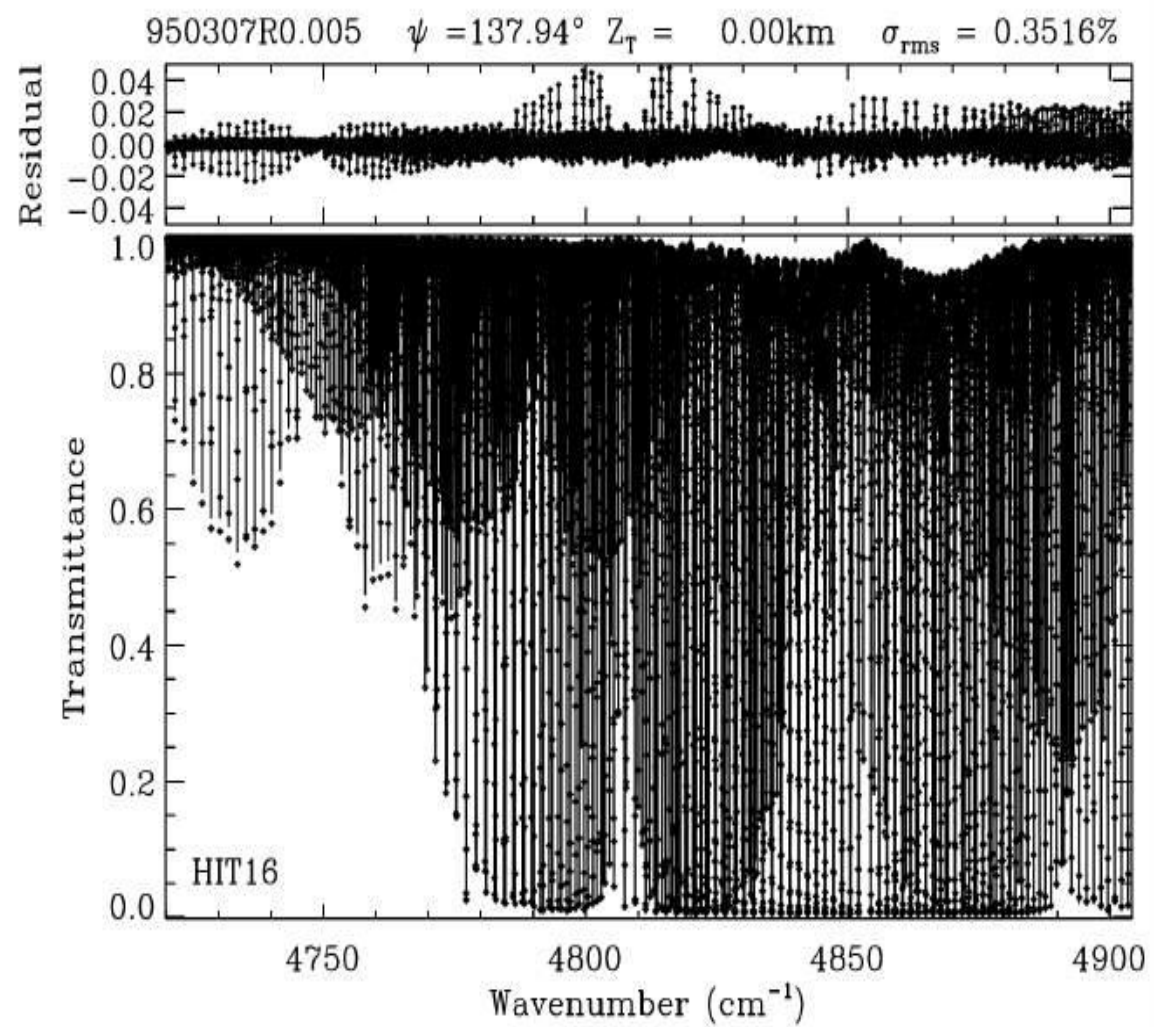


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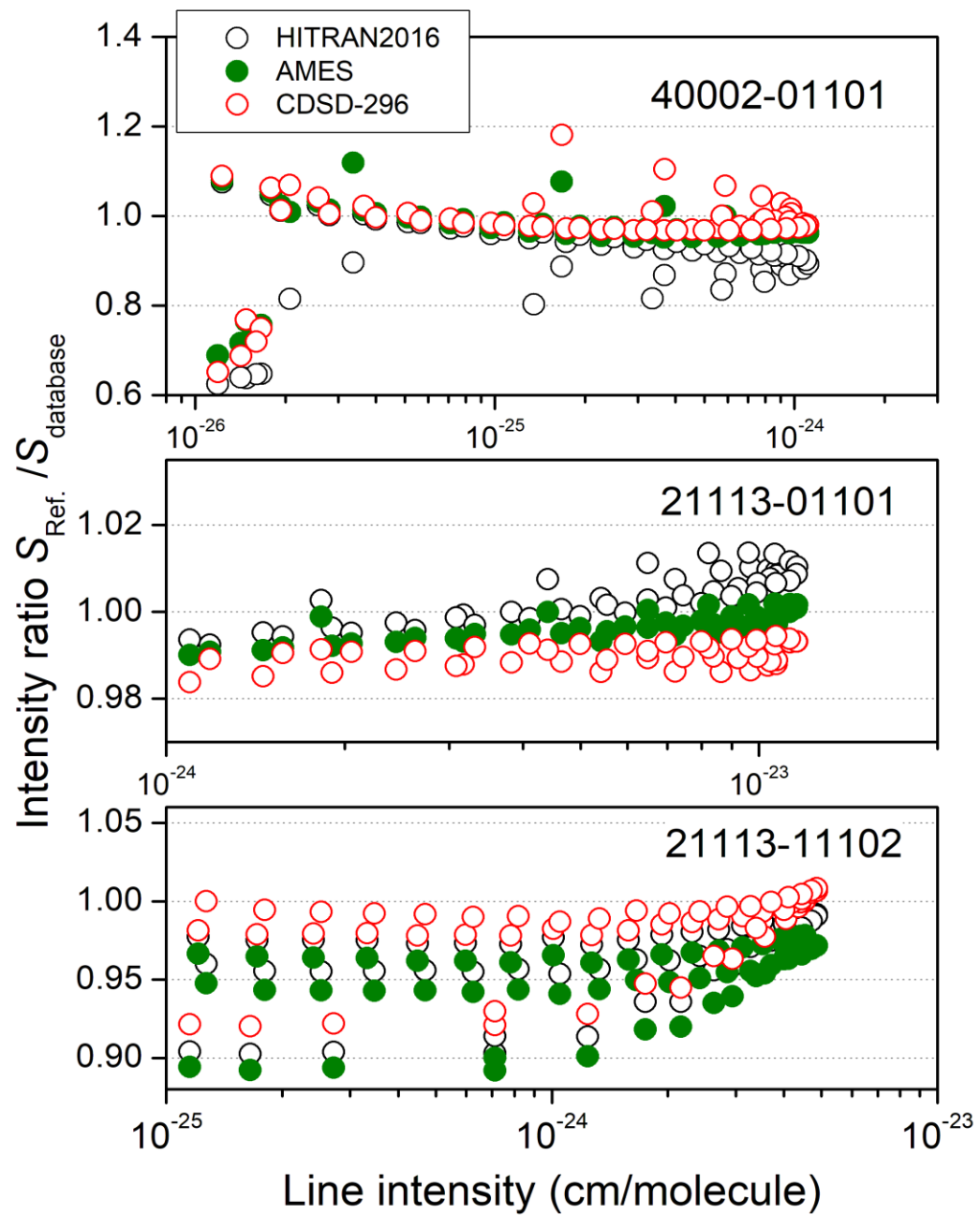


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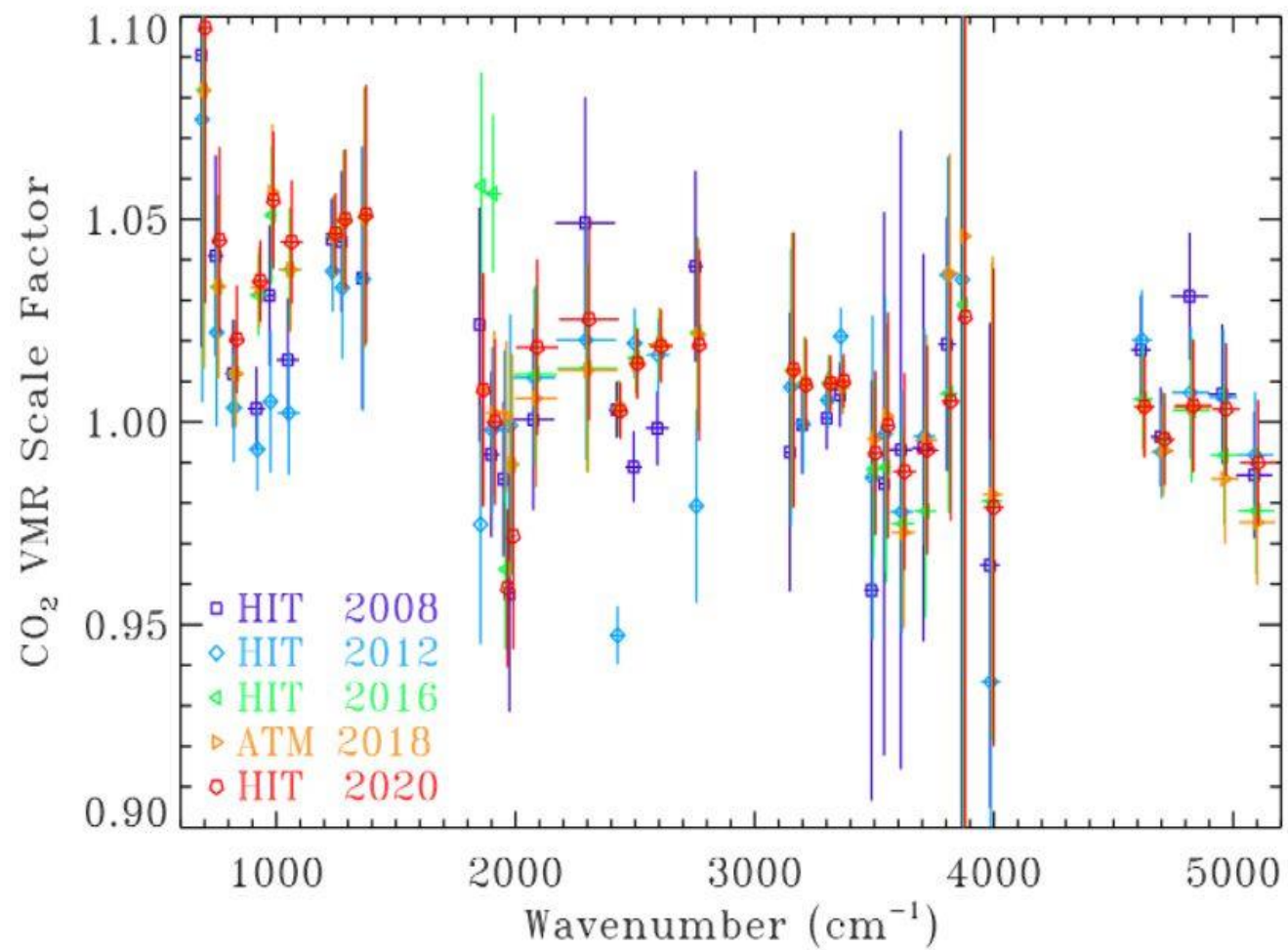


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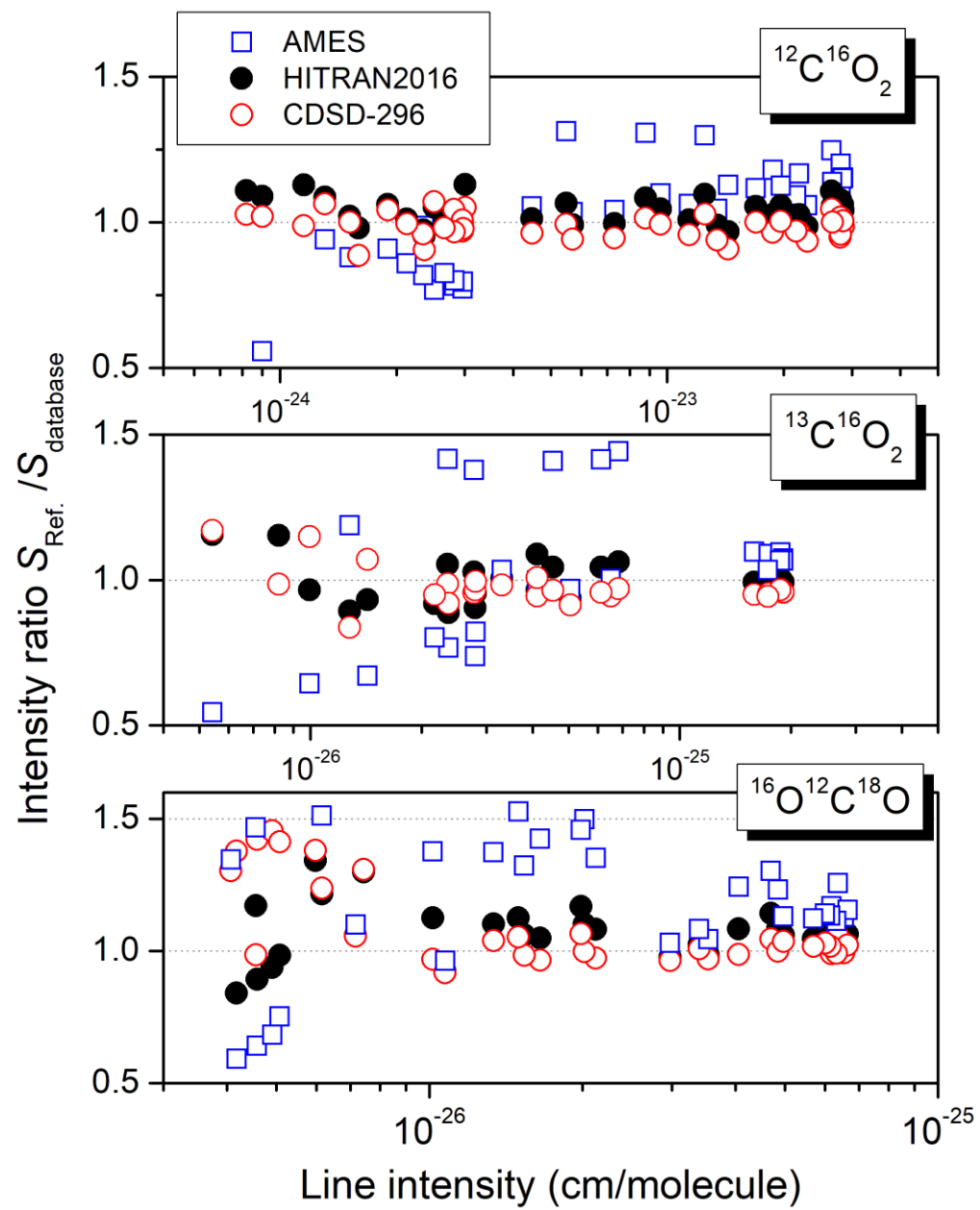


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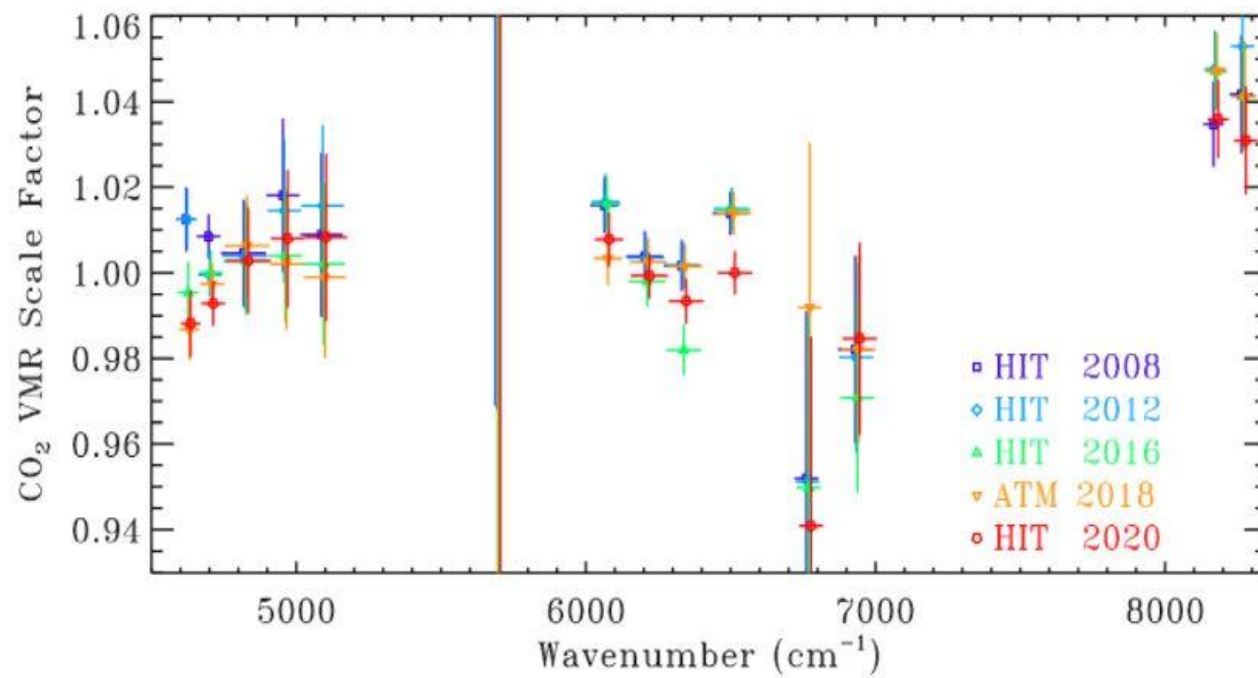


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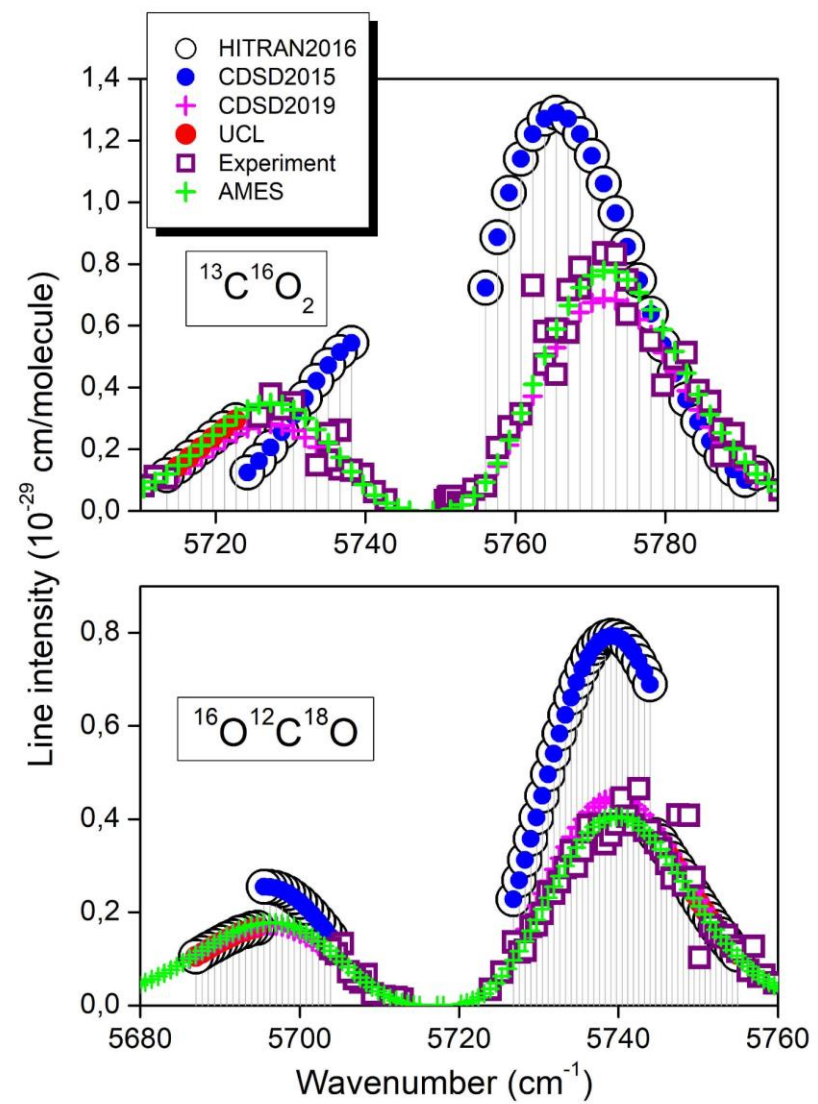


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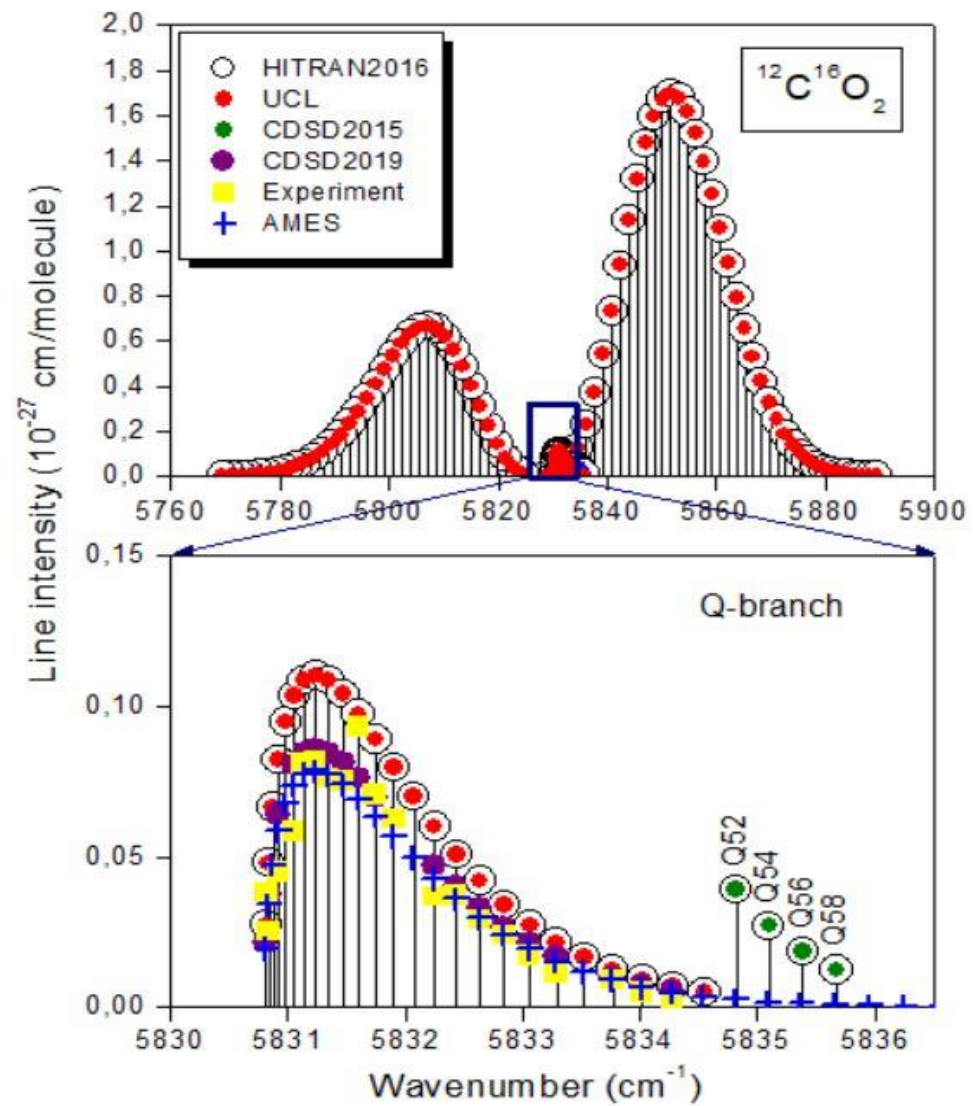


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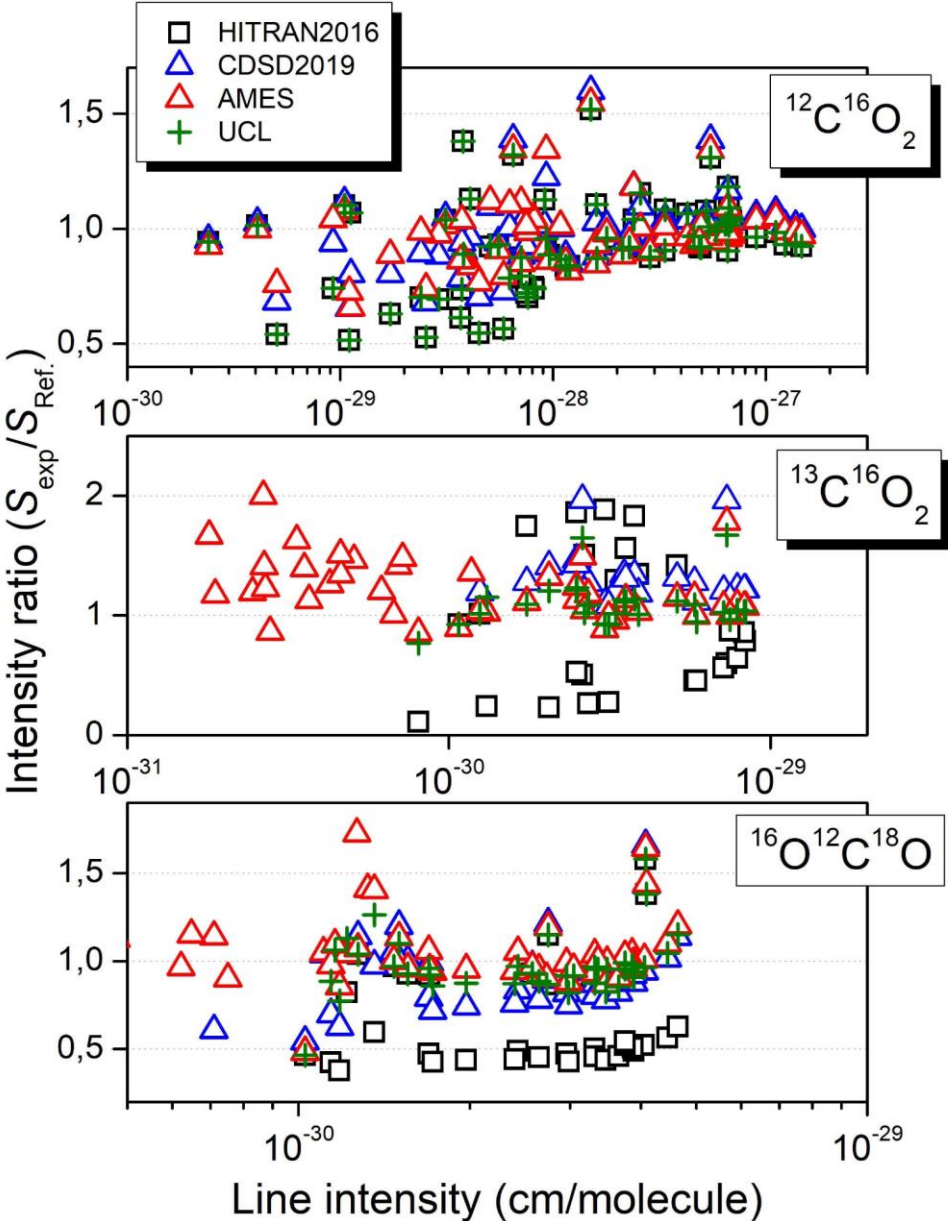


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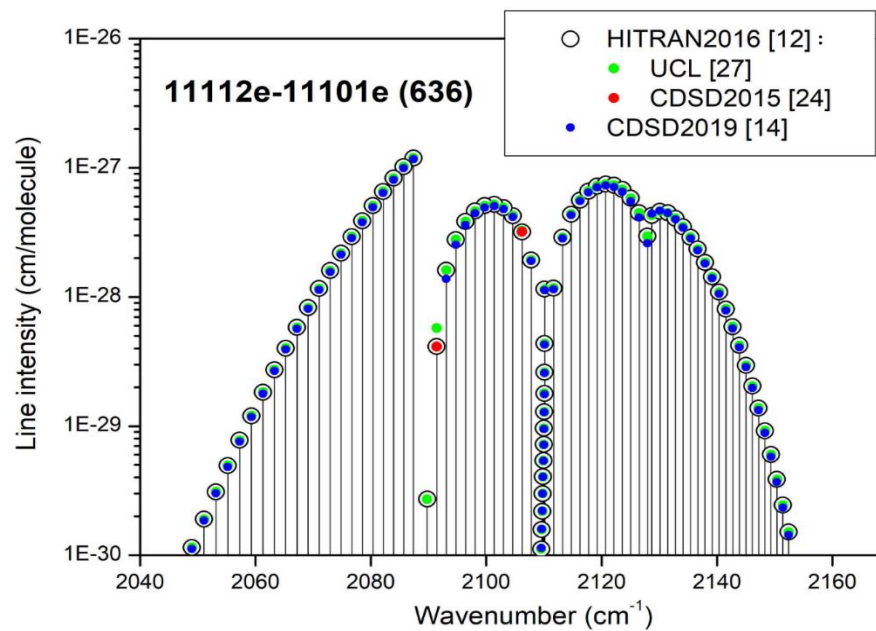
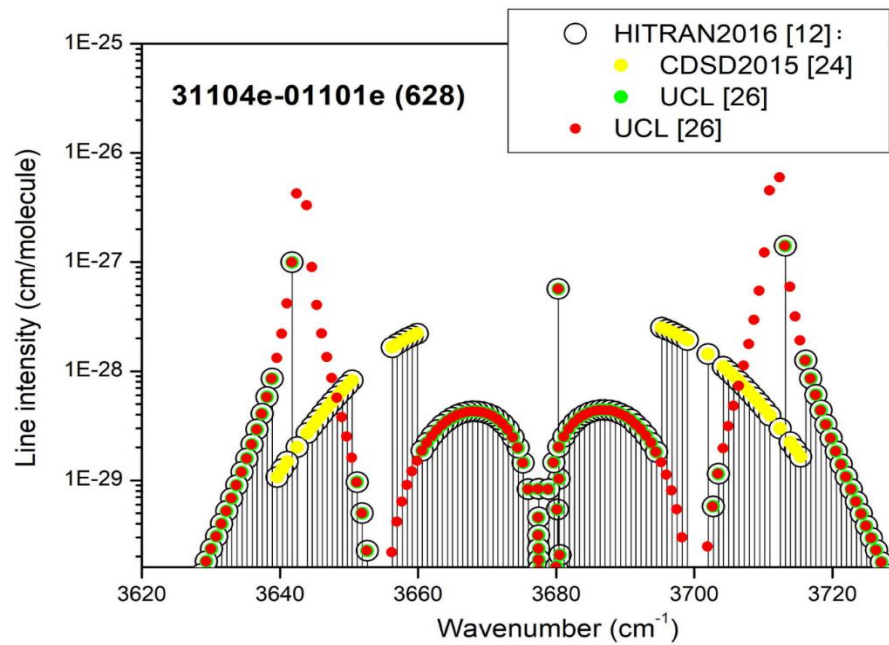


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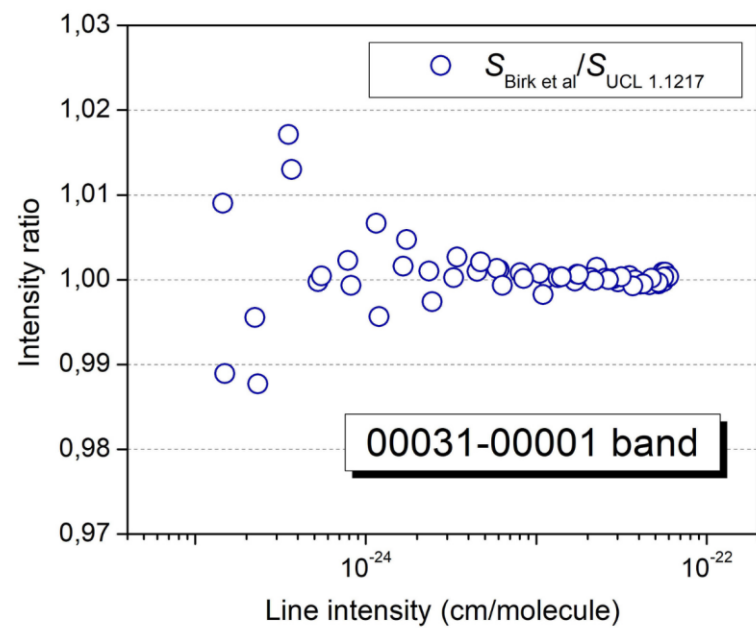
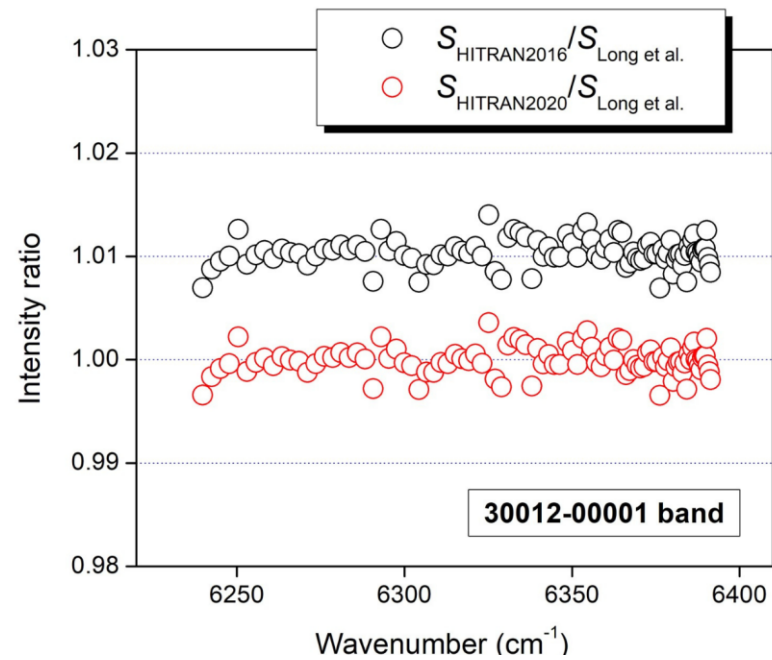


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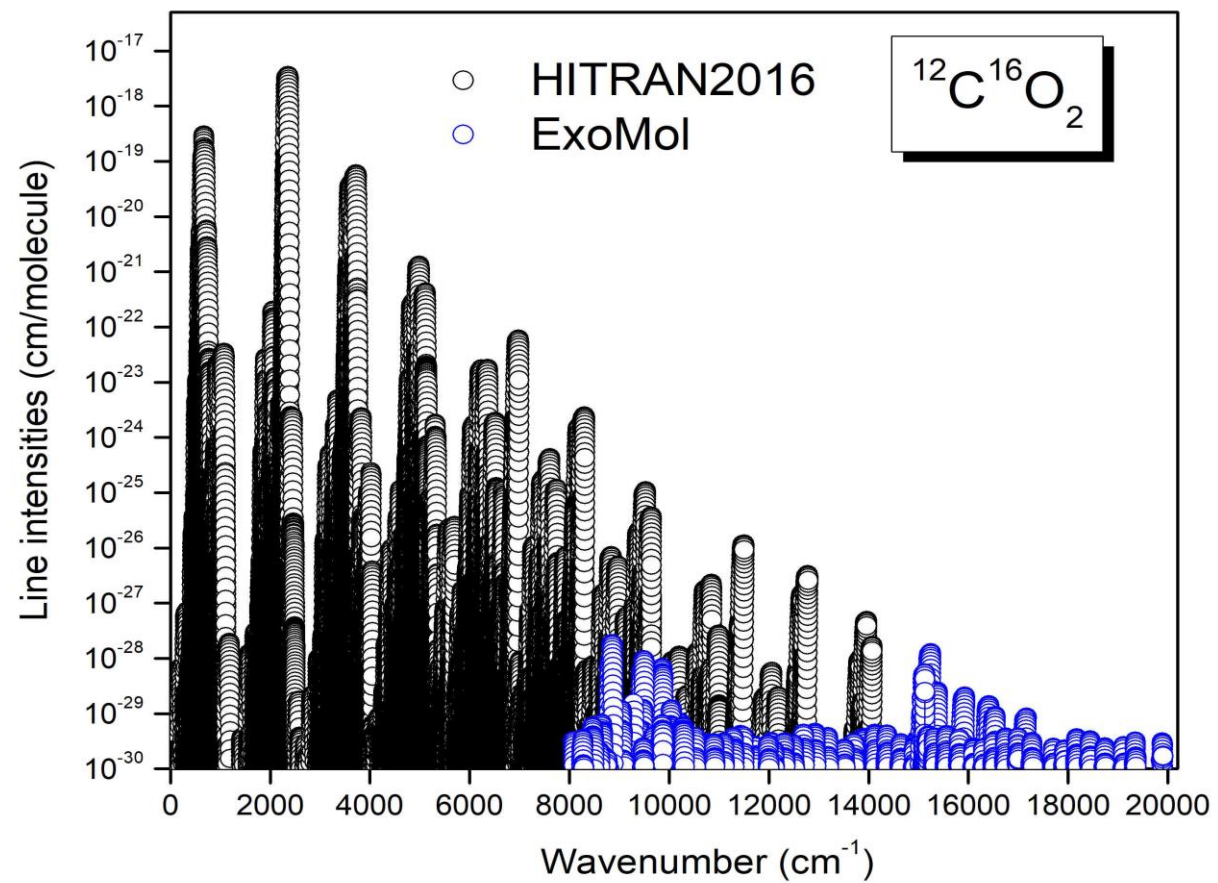


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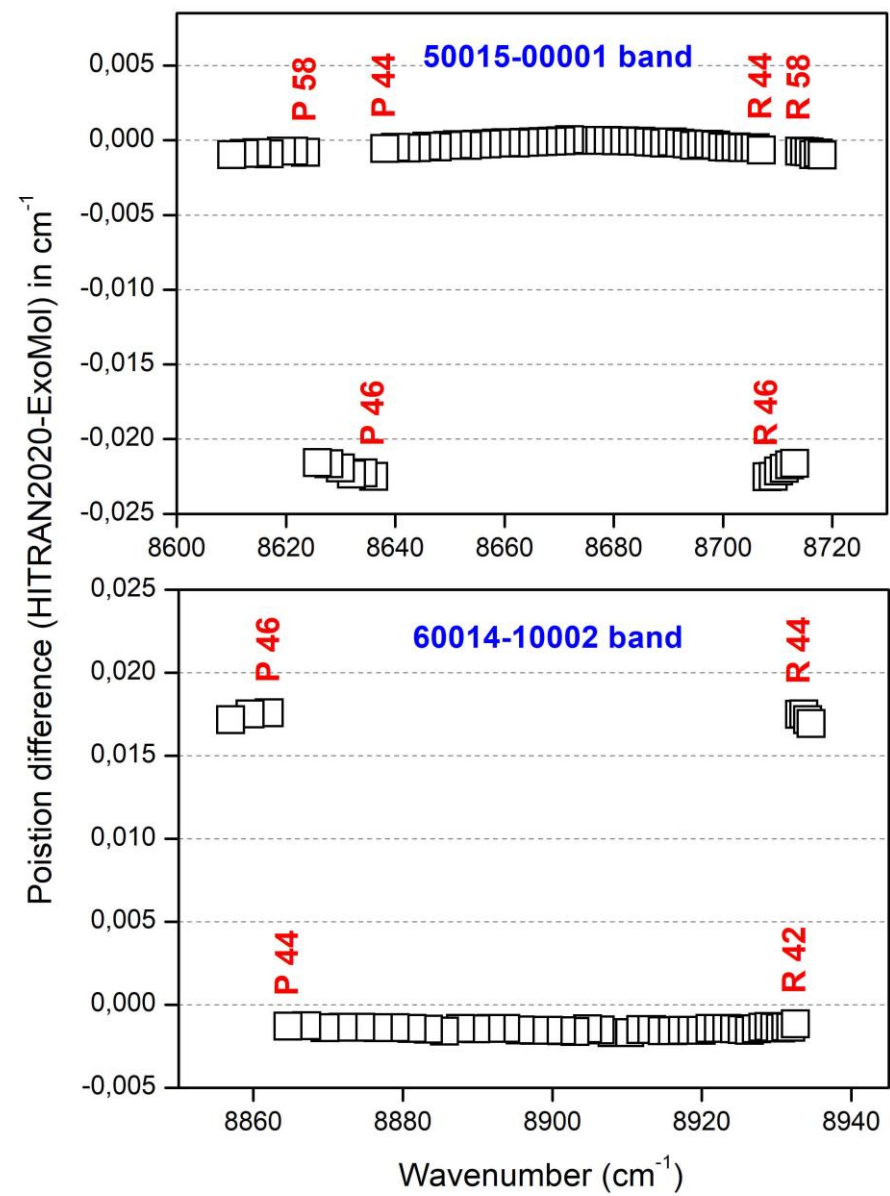


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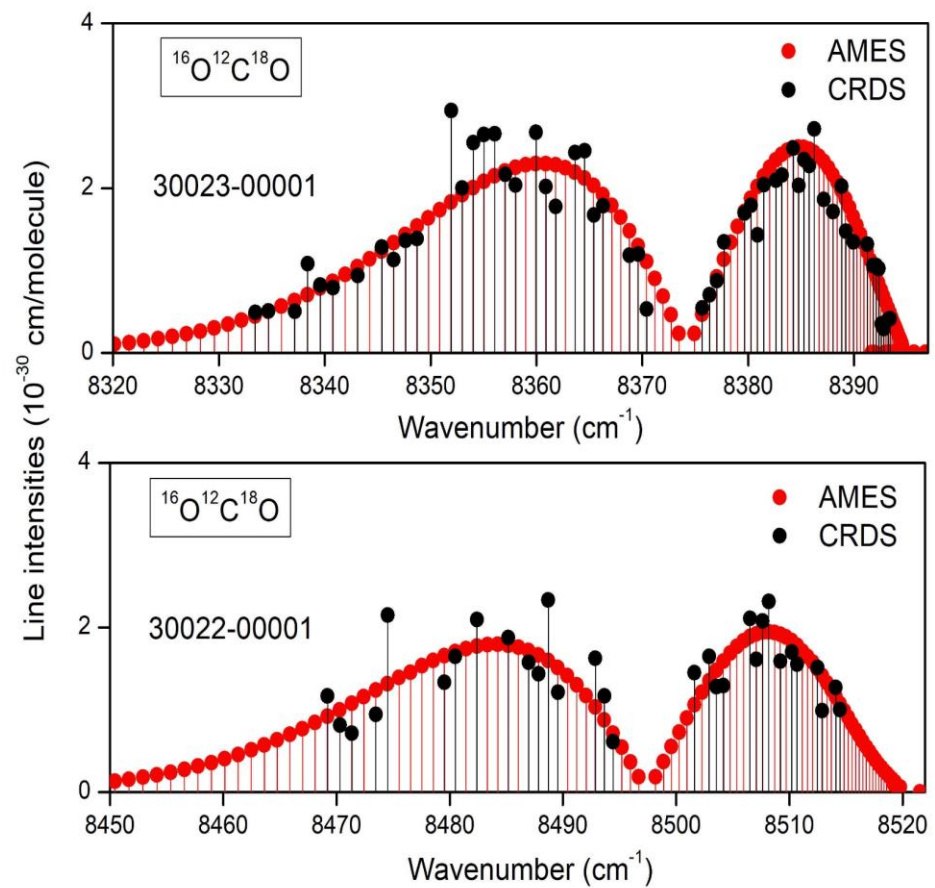


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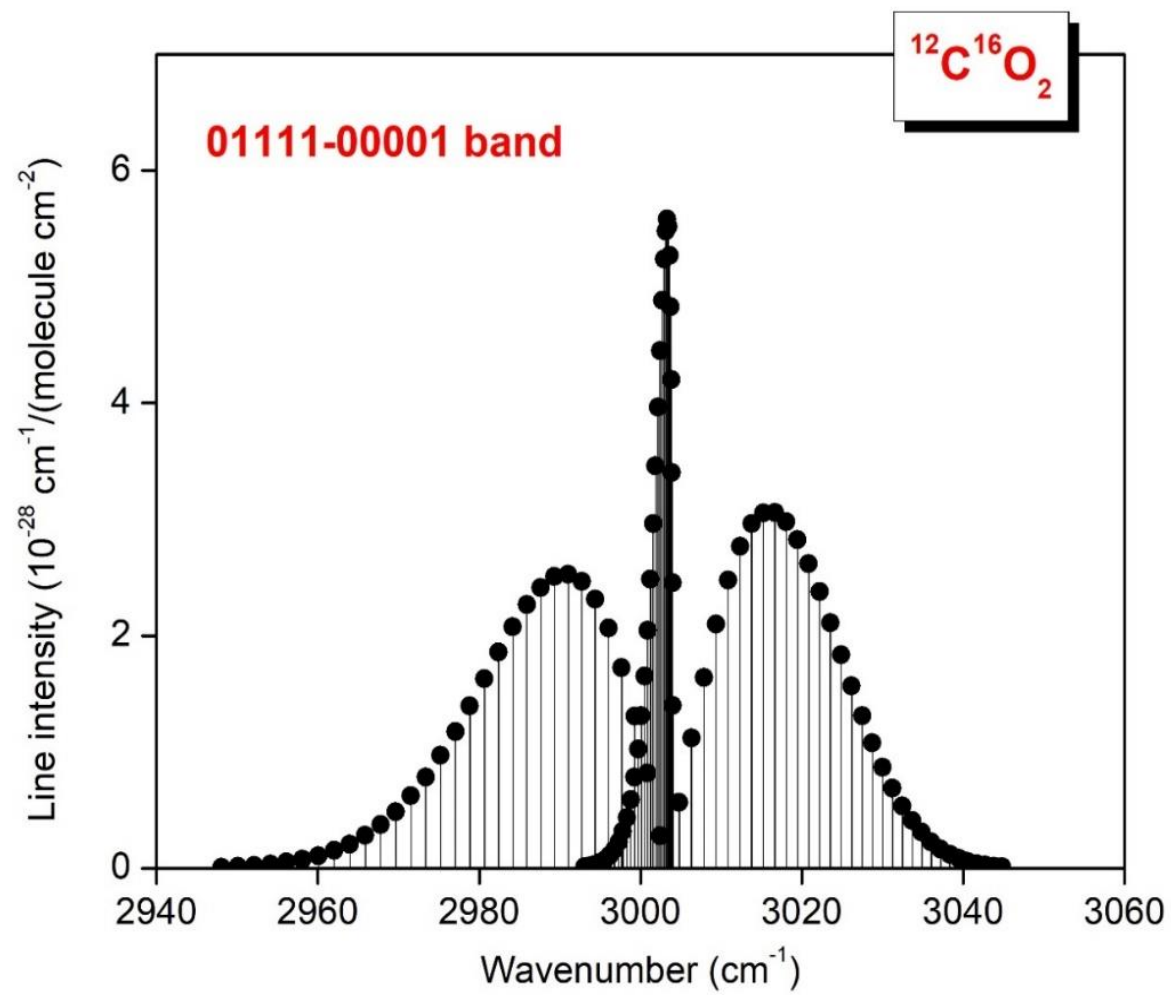
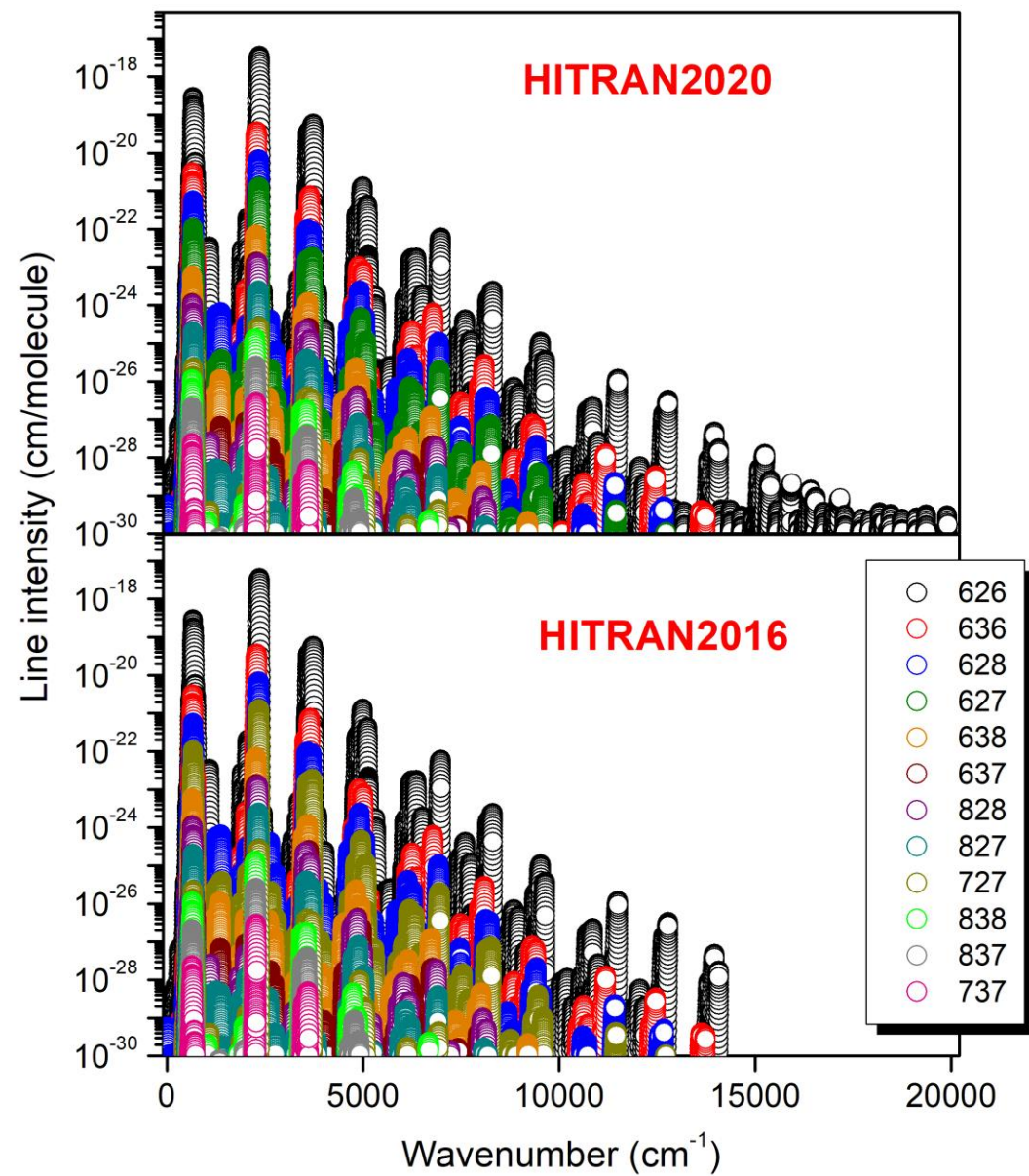


Fig. 17.



Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Author Statement

E.V.Karlovets: Formal analysis, Methodology, Software, Writing - original draft., I.E.Gordon: Supervision, Conceptualization, Methodology, Writing - review & editing, L.S.Rothman: Writing - review & editing, R. Hashemi: Data curation, Writing - review & editing, R.J.Hargreaves: Software, G.C. Toon: Data curation, Software, A.Campargue: Data curation, Writing - review & editing, V.I. Perevalov: Data curation, Writing - review & editing, P. Čermák: Software, M.Birk: Data curation, G.Wagner: Data curation, J.T.Hodges: Data curation, Writing - review & editing, J. Tennyson: Writing - review & editing, S.N.Yurchenko: Data curation, Writing - review & editing.

