

Functional trait effects on ecosystem stability: assembling the jigsaw puzzle

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1	Functional trait effects on ecosystem stability:
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43 Abstract

44 Under global change, how biological diversity and ecosystem services are maintained in time 45 is a fundamental question. Ecologists have long argued about multiple mechanisms by which 46 local biodiversity might control the temporal stability of ecosystem properties. Accumulating 47 theories and empirical evidence suggest that, together with different population and community 48 parameters, these mechanisms largely operate through differences in functional traits among 49 organisms. We review potential trait-stability mechanisms together with underlying tests and 50 associated metrics. We identify different trait-based components, each accounting for different 51 stability mechanisms, that contribute to buffering, or propagating, the effect of environmental 52 fluctuations on ecosystem functioning. This comprehensive picture, obtained by combining 53 different puzzle pieces of trait-stability effects, will guide future empirical and modeling 54 investigations. 55 56

57

58 Keywords

- 59 compensatory dynamics; community weighted mean; functional diversity and redundancy;
- 60 insurance effect; trait probability density
- 61
- 62

63 Biotic mechanisms of stability: a jigsaw puzzle

64 As biodiversity is declining at an unprecedented rate, a particularly urgent scientific challenge 65 is to understand and predict the consequences of biodiversity loss on multiple ecosystem 66 functions [1-3] (see Glossary). Temporal stability of the functioning of ecosystems is critical 67 to both intrinsic and human purposes (Box 1, Fig. 1). Temporal stability can be defined as the 68 ability of a system to maintain, through time, multiple ecosystem properties in relation to 69 reference conditions. Key elements of stability (Box 1, Fig. 1) are, for example, inter-annual 70 constancy in ecosystem properties, but also resistance and recovery from environmental 71 change and perturbation. Stability is maintained by populations, communities and ecosystems 72 that can buffer the effects of environmental variation, thus retaining ecosystem functions such 73 as productivity, carbon sequestration, pollination etc. The idea that greater biodiversity 74 stabilizes natural communities and ecosystems [i.e. diversity begets stability, 4,5] has led to a 75 long-running debate on the relationship between species diversity and stability [6,7].

76 At the same time, the understanding that the functioning of ecosystems depends on 77 species' functional traits, rather than only on species diversity per se, is becoming a 78 dominant paradigm [1.8–10]. Trait-based approaches, in combination with classical taxonomic 79 approaches, have been developed to unravel species coexistence mechanisms [11-13] and 80 predict ecosystem functions and services at a given point in time [1,14]. The mechanisms by 81 which biodiversity affects temporal stability (Box 2) also operate through differences between 82 organisms in terms of their functional traits (Fig. 1). However, scattered evidence and diverse 83 methods exploring these links have prevented a more complete view of the complex 84 relationships between traits and stability. One potential limitation is that the concepts of 85 stability and their drivers remain a major source of Babylonian confusion and disagreement 86 among scientists today [15]. Stability, including its underlying mechanisms, is a multifaceted 87 concept (Box 1 and 2), and understanding it requires connecting a wide variety of drivers 88 across various scales. Some seminal works have paved the road for future research [16,17], 89 but connecting these scattered puzzle pieces remains an essential step for developing 90 comprehensive conceptual and quantitative trait-based frameworks. In this review, we 91 analyse the main pieces of the biodiversity- and trait-stability puzzle and explore connections 92 between these pieces that can generate integrative conceptual and quantitative approaches 93 for future research (Fig. 1 and Fig. 2). 94

97 Stability components

96

98 The term stability is frequently used and misused in ecology [15]. This term is generally 99 associated with either the ability of an ecosystem to minimize the variability over time of one 100 of its elements or recover it quickly after a perturbation. While specific ecosystem functions or 101 services are most often assessed, stability also includes a species' population size or 102 community composition. Scholars have either focused on ecosystem fluctuations around 103 some 'equilibrium' condition, under stochastics environmental fluctuations, or ecosystem 104 response to specific perturbations. This distinction underpins the debate on how to classify 105 and quantify different stability components [7,18]. Among multiple approaches, scholars have 106 identified three main types of stability components (Fig. 1), which can be further refined [19-107 21].

108 The first component is temporal variability or constancy. Constancy describes the 109 extent of natural fluctuations of an ecosystem property when environmental conditions and 110 disturbance levels fluctuate within historical ranges and without the occurrence of extreme 111 events. In these conditions, ecosystem properties fluctuate around a reference condition 112 ('baseline'). Constancy can be measured by the inverse of the coefficient of variation (CV) 113 of an ecosystem property. Other approaches avoid the confounding effects of long-term 114 temporal trends on CV, which can arise due to directional species temporal turnover 115 [22,23]. This is often the case when ecosystems are subjected to long-term environmental 116 change (e.g. increasing drought).

117 The second component is **resistance**, the ability to maintain a given ecosystem 118 function when subjected to a perturbation by a substantial environmental stress or 119 disturbance. It can be quantified as the (inverse of the) deviation from the reference level of 120 the ecosystem function of interest. Resistance can be also estimated as the ability of an 121 ecosystem to buffer long-term environmental changes [24].

The third component is **recovery**, the ability of an ecosystem property to return to its reference condition after being subjected to a perturbation. This can be quantified in different ways, for instance, as the time required by an ecosystem property to return to a baseline [21] or the extent of recovery at a given time after perturbation. More definitions of stability components exist, together with different quantification methods. For example, **resilience** includes both resistance and recovery, although in some cases it is equated only with recovery [6,16,20,21].

137 Stability mechanisms

136

138 The hypothesis that biodiversity can increase ecosystem stability has generated a great 139 debate in ecology [5,6]. Biotic effects are expected to modulate various components of 140 stability within a given trophic level via several ecological mechanisms. Three distinct 141 mechanisms involve functional traits: (a) dominant species, (b) compensatory dynamics, and 142 (c) insurance. These mechanisms are expected to be differently associated with different 143 components of stability (Fig. 1). The first two mechanisms have been related to constancy 144 [28]. However, the effect dominant species have also been related to resistance and recovery 145 after perturbations, together with the insurance effect [6,16,29]. Other mechanisms operate. 146 for example, at the landscape level [16,30], but local biodiversity effects, addressed here, are 147 arguably the first crucial link.

The **dominant species effect** reflects the effect of communities' most abundant species through their overwhelming influence on multiple ecosystem functions. The coefficient of variation (CV) in total community productivity [i.e. inverse of constancy, 28,31], reflects fluctuations of individual species' populations and can be influenced directly by the weighted average of the CVs of individual species' abundances.

Compensatory dynamics occur when temporal fluctuations in the abundance of some species are offset by fluctuations of others [6,32]. Compensatory dynamics decrease synchrony among species and can be interpreted as the effect of varying species-specific responses to environmental fluctuations, species interactions and stochastic events. The positive effect of species richness on stability, increasing with independent fluctuations and lower synchrony between species, is called the `**averaging**` or `portfolio` effect [6,32].

159 Differing species-specific environmental sensitivities can also underpin the insurance 160 effect. Various definitions of the insurance effect exist. Here, following McCann [6], we first 161 distinguish the insurance effect from compensatory dynamics by whether pronounced 162 perturbation events are considered (Box 1) where an insurance effect characterizes the 163 ability of an ecosystem to resist and recover after a perturbation. A perturbation can cause a 164 decline or loss in some dominant species. The insurance effect implies the presence of some 165 subordinate species being 'redundant' (i.e. with similar ecosystem effects but differing 166 sensitivity to perturbations; see Puzzle piece 5) with the declining dominant species 167 [20,33,34]. These 'redundant' species can replace the formerly dominant species and 168 maintain ecosystem functioning. Note that this directional substitution is distinguishable from 169 a regular fluctuation between dominant species expected under compensatory dynamics, 170 which also do not necessarily follow perturbations. Redundancy can support both higher 171 resistance and recovery. In practice, distinguishing perturbations from historical variability and 172 environmental stochasticity in local conditions can be arbitrary, but the identification of any 173 specific perturbation is essential for assessing mechanisms of resistance and recovery [35]. 174

175 **Puzzle Piece 1: effects of dominant species' traits**

176 According to the 'mass-ratio hypothesis' [36], dominant species in a community, through their 177 traits, exert the strongest effect on ecosystem functions at a given time (called 'immediate' 178 effects). Such dominant species' traits do not have only immediate effects. One of the two 179 main drivers of constancy is how stable populations are within a community, expressed as 180 average species-level population stability weighted by species' relative abundances [28]. The 181 constancy of species populations has been related to species traits [37-39]. Moreover, 182 resistance and recovery of community biomass after perturbation have been related to the 183 functional traits of the dominant species in a community, rather than to species diversity itself 184 [29]. As such, variation in trait values of dominant species in a community can bridge the gap 185 between temporal population dynamics, community properties and ecosystem stability.

186 Recent studies have shown that key trade-offs in functional traits between species, 187 such as the leaf economics spectrum [10], or dormancy [39-41] in plants, can help predict 188 both community and population stability [37,42]. One extreme of the leaf economics spectrum 189 trade-off comprises species with faster relative growth rate and faster acquisition of resources 190 (acquisitive species). The other extreme defines species with slower growth but, potentially, 191 a greater ability to store resources and thus better withstand extreme events and stressful 192 periods (conservative species). It remains unclear, however, whether above-ground trade-193 offs such as the leaf economics spectrum are mirrored by dormancy or below-ground trade-194 offs [43]. Below-ground storage organs, including non-structural carbohydrate reserves [44], 195 could help buffer population growth (i.e. where species accumulate resources in more 196 favorable years and use them to compensate growth in less favorable years [12]). Similarly, 197 seed dormancy, as a bet-hedging strategy, increases population constancy by reducing short-198 term reproductive success in favor of longer-term risk reduction [40]. Expanding trade-off 199 mechanisms to various organisms, the classic r/K selection theory [45] already predicted a 200 general differentiation between r-type species, with higher relative growth rate, colonization 201 and dispersion but with lower temporal population stability, and K-type species, with lower 202 relative growth rate but more stable populations.

203 Based on these trade-offs, it is expected that communities dominated by slow-204 growing, conservative species are more stable over time and will be more resistant to 205 extreme events [7,46,47]. First, the few existing results generally confirm the expectations 206 that more conservative species have more stable populations [41,48], e.g. characterized by 207 higher leaf dry matter content (LDMC) and lower relative growth rates [37]. Second, 208 communities dominated by plant species with greater LDMC are more stable, in terms of both 209 composition and overall biomass [49,50]. A global meta-analysis on sown biodiversity 210 experiments showed that the increase in fast-growing species (with an acquisitive leaf 211 economy) destabilizes community biomass through time [17]. These studies showed the 212 relevance of Community Weighted Mean (CWM) traits (i.e. average of trait values weighted 213 by species abundance), and hence of dominant species [51].

216 Puzzle Piece 2: compensatory dynamics through species dissimilarity

217 Constancy is also influenced by the synchrony in the fluctuations of different populations 218 within communities [28]. While it is generally accepted that a decrease in species synchrony 219 increases stability of ecosystem properties, the mechanisms generating synchrony, or a lack 220 thereof, are more controversial. Synchrony between species is generally attributed to similar 221 species responses to environmental fluctuations [52,53]. Hence, species with similar 222 adaptations to the environment (i.e. similar response traits; see Puzzle Piece 5) should 223 fluctuate synchronously, and species with different adaptations can fluctuate independently or 224 compensate for each other [3,11]. Note that compensatory dynamics are sometimes broadly 225 associated with any deviation from a perfect synchrony between species due to independent 226 fluctuations (sometimes called asynchrony), while compensation exceeding averaging 227 effects arises from negative (sum of) covariance between species (anti-synchrony).

228 A pattern of compensatory dynamics could result also from biotic interactions, a 229 hypothesis that has caused controversy. For example, competition among functionally 230 similar species could create a pattern where two species prevail over each other in alternating 231 fashion species can alternatively prevail over the other [54]. This effect could destabilize 232 individual populations (e.g. increasing community CV). However, the potentially negative 233 covariance between species due to competition could compensate this effect and even result 234 in an increased constancy at the community level [12,55]. Competition might also generate 235 compensatory dynamics through environment-species interactions; for example, 236 environmental conditions that shift species' competitive abilities will decrease synchrony 237 among functionally dissimilar species [56]. Facilitation, especially in severe environments, 238 can increase constancy when some plants buffer microhabitat environmental fluctuations for 239 other plants [57]; however, its role in synchrony between populations remains to be clarified. 240 Compensatory dynamics could be also driven by other biotic interactions such as intransitive 241 competition [58], i.e. in a rock-paper-scissors game between species with different traits.

242 The relationship between species synchrony and species pairwise trait 243 dissimilarity, could provide a mechanistic view on the drivers of compensatory dynamics 244 (Box 2). There is increasing empirical evidence that greater trait similarity between species is 245 associated with greater synchrony [3,59-61]. As such, an increase in community functional 246 diversity should lead to greater constancy. Support for a decrease in synchrony with 247 increasing functional diversity has been found in beetle communities [62] and in manipulated 248 plant communities [56,63]. The recent study by Craven et al. [17] failed to detect any marked 249 effect of plant functional diversity, expressed only for the leaf economics spectrum, on 250 synchrony, but detected an effect of phylogenetic diversity, as in Cadotte et al. [64]. This is 251 possibly because the relationship between pairs of species and their synchrony is better 252 appreciated on the basis of multiple traits [3,62]. Phylogenetic diversity can be considered 253 as a proxy of multi-trait diversity and unmeasured traits [65]. It should be noted that classic 254 species coexistence theories, like limiting similarity and niche complementarity, also predict

- that multi-trait dissimilarity could allow species coexistence by decreasing competition for
- similar resources, and improve ecosystem multifunctionality [13]. In a temporal context, multi-
- trait dissimilarity could cause, beside these effects, compensatory dynamics.
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260 Puzzle Piece 3: redundancy and the insurance effect

261 The 'insurance effect' requires the presence of multiple species with a similar effect on 262 ecosystem functioning but different sensitivities to specific perturbations. Authors have thus 263 stressed the importance of **functional redundancy**, i.e. the presence of multiple species with 264 a similar effect on a given ecosystem function, as an important recovery and resistance 265 mechanism [16]. A quick recovery can be obtained, for example, when a subordinate species, 266 with similar effects on ecosystem functioning, but different environmental sensitivity, rapidly 267 replaces lost dominant species [33]. For instance, a conservative stress-tolerant species 268 could replace a more acquisitive species during an extreme drought event, thus maintaining 269 equal ground cover and soil stabilization. Likewise, a generalist pollinator species could 270 maintain pollination, usually realized by a specialist species, after an unusually early spring or 271 a fire [66]. These species replacements would not count as regular alternation of dominant 272 species (compensatory dynamics), but long-term compositional changes following a given 273 perturbation, including extinction events. Another important difference between insurance and 274 compensatory dynamics is the selection of relevant functional traits. While, as we saw above, 275 compensatory dynamics reflect adaptation to both multiple abiotic and biotic conditions, for 276 which a multivariate trait dissimilarity (or phylogenetic distance) between species is important, 277 insurance can be ideally tested by selecting species' adaptation to a specific perturbation.

There is evidence that low functional redundancy in fish communities increases ecosystem vulnerability to environmental changes [67]. It is also expected that greater diversity in resource use between species lowers the requirement for multiple species in order to maintain stability in each ecological function [68], i.e. a lower number of species is required to maintain redundancy if these species have sufficiently different environmental sensitivities.

283 Although these effects seem very promising, there are methodological difficulties in 284 quantifying functional redundancy. Functional redundancy should ideally reflect how many 285 different species there are for a given functional role in a community, and it is thus not fully 286 covered by measures of functional diversity alone [69-71]. Measures of the functional role of 287 rare species, in this sense, are increasingly getting attention [72]. A common approach is to 288 define the number of species within functional groups [e.g. 16,33]. However this depends on 289 the definition of functional groups in a community [34,67]. While in some cases, e.g. nitrogen-290 fixing species, their delineation is rather straightforward, assigning species to separate groups 291 is often unfeasible or context-dependent since traits often vary continuously rather than 292 supporting any division into clear-cut groups [73,74]. New approaches have therefore been 293 proposed to quantify functional redundancy without defining functional groups. However, 294 problems remain with existing metrics as they correlate too tightly with measures of species

- diversity or functional diversity, raising doubts on their actual value [69–71]. Future
- 296 developments for quantifying functional redundancy are thus required, especially to account
- for the potential of subordinate species to replace dominant species in a community in the
- 298 face of specific perturbations.

300 Trait Probability Density

299

Trait-related stability mechanisms can be assessed by using different facets of traitabundance distributions within and across species. Trait-abundance distributions are broadly referred to as **Trait Probability Density (TPD).** The TPD reflects the relative abundance of trait values at a given study scale. It can be described by the different statistical 'moments' of trait distributions (e.g. mean, variance, skewness, kurtosis) on multiple scales, from individuals to ecosystems. A great variety of measures exist to characterize multiple TPD components, which is not bound to given mathematical approaches [69,75,76].

308 By integrating species abundances and traits, TPD has the potential for connecting 309 population and community dynamics, and thus scaling up temporal variations in species 310 populations to ecosystem functioning and its stability (Fig. 1). TPD in a local community is the 311 result of both random and deterministic processes governing changes in species' relative 312 abundances from the local species pool [77; Fig. 1] and trait variability within species (Box 313 4). Temporal changes in TPD components can thus affect stability and can be assessed 314 together with taxonomy-based measures such as species richness and evenness or 315 synchrony between species, which are also known to influence stability [28,31] (see 316 'Connecting pieces with TPD: data analysis considerations'). Changes in TPD build up from 317 population to community trait structure and different tests can be run, at different scales, to 318 track the effect of these changes on stability (Box 3 Figure I). 319 320 [[see Figure I for Box 3 in the specific file]]

- Figure I. Different components of Trait Probability Density (TPD), at different scales, with
 reference to their potential test and possible stability mechanisms (Domi = dominant species
 effect; Insur = insurance effect; Comp = compensatory dynamics effect). Note that spatial
 TPD changes within a site or landscape can be also visualized similarly to the case of
 'Change within a community'; in that case, however, spatial heterogeneity in TPD (ΔTPD)
 should result in an overall more stable ecosystem functioning [16,30].



332 **Puzzle Piece 4: response and effect traits**

333 A decisive puzzle piece modulating different trait-stability mechanisms is the trait response-334 effect framework [78], as originally suggested by Oliver et al. [16]. Which species will 335 increase or decrease in response to both environmental fluctuations, including disturbances, 336 and biotic interactions depends on their 'response traits', i.e. traits that affect the fitness of 337 species for given ecological conditions, including prevailing interactions [10]. For example, 338 plant traits related to drought tolerance, such as succulence [74], or the ability to retain water 339 in isopods [79], are traits associated with greater fitness of species in drier conditions [80,81]. 340 Similarly, species with traits associated with a higher intrinsic relative growth rate will recover 341 more guickly from environmental perturbations [16] but will also be less constant in 342 abundance over time [29,37].

Some functional traits also have the potential to affect other trophic levels and
multiple ecosystem functions [10,14,25]. Effect traits are therefore those traits of an
organism that impact prevailing ecosystem processes and functions. For example, mandible
strength will determine the extent and type of food consumed in grasshoppers [82].
Flammability traits in plants modify the fire regime and generate eco-evolutionary feedback
[83]. Secondary compounds in leaves not only offer defense against herbivory but might slow
down litter decomposition [84], while floral traits will affect pollination [25].

350 The covariation, or the overlap, between response and effect traits has been 351 identified as the key mechanism by which environmental changes can be translated into 352 ecosystem function changes [78,85]. The response-effect framework, however, has been 353 only rarely assessed in the context of stability [16]. For example, accounting for both 354 response and effect traits has been advocated as an important aspect in estimating functional 355 redundancy [34]; however empirical tests are scarce [but see 86]. More broadly, with greater 356 trait overlap between response and effect traits, changes in environmental conditions will 357 more likely propagate into ecosystem functions, thus decreasing stability. This effect, 358 however, will depend on the stability mechanisms under consideration and the TPD 359 components considered (Fig. 2 and below).

360 It should be noted that it can be difficult to understand to what extent a trait is purely a 361 response or an effect trait, or both. Whether a given trait operates as a response or effect trait 362 depends on the environmental context and ecosystem functions of interest. Traits that are 363 related to the processing of resources (e.g. gas exchange, water and nutrient uptake in 364 plants, or body size in animals) will be likely both response and effects traits for 365 corresponding functions of biogeochemical cycling. But these traits will not affect, at least

- directly, some other ecosystem functions. For example, leaf traits associated with several
- 367 functions of resource use do not directly affect pollination, which depends on flower traits,
- 368 although they might be correlated through phylogeny [25].
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- 370
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372 The puzzle comes together: buffering vs. propagating

373 Oliver et al. [16] already stressed the central importance of the interplay between response 374 and effect traits for the insurance effect. Here, by expanding this, we illustrate how this 375 interplay represents the centerpiece for translating, via TPD, population and community 376 changes to ecosystem functioning, integrating different stability components and their 377 underlying mechanisms. The central concept bringing this puzzle together is that 378 environmental fluctuations and perturbations cause changes in population abundances, and 379 possibly intraspecific phenotypic variation (Box 4), modifying the local TPD for response traits 380 $(\Delta TPD_R; Fig. 1).$

381 To what extent do these changes cause a modification in ecosystem functioning 382 (ΔEF) ? For the sake of simplicity, we will distinguish two extreme situations (Fig. 2): (i) 383 communities 'buffering' the effect of environmental change (i.e. with very small 384 consequences for ecosystem functions, small ΔEF , greater stability) vs. (ii) communities 385 '**propagating**' environmental change effects on ecosystem functions, (a large ΔEF , lower 386 stability). In general terms, a community will be buffering, or conversely propagating, a given 387 environmental change depending on the extent of change in response traits. A small change 388 in TPD for response traits (Δ TPD_R) will likely result in small changes in ecosystem functions 389 (small ΔEF) because of limited changes in effect traits. The larger the ΔTPD_{B} , the greater the 390 chance that some effect traits will change as well and, hence, increase the change in 391 'propagation' effects (marked ΔEF). Further, this propagation will be stronger with a tighter 392 overlap between response and effect traits, i.e. a high $\rho(R_T, E_T)$. Let us consider now a few 393 scenarios as examples of the flow from population abundances to ΔEF , depending on the 394 magnitude of ΔTPD_R and $\rho(R_T, E_T)$. In the following we unpack how these scenarios 395 determine how much communities buffer or propagate effects of environmental changes on 396 ecosystem functions, and thus how the different puzzle pieces can come together.

397

398 a) Stable dominants

399 The first scenario is when small changes in composition within a local community follow a 400 given environmental change (scenario 1, Fig. 2). This could happen both under natural 401 environmental variability in an ecosystem at equilibrium or following a perturbation. An 402 example of this pattern was observed in plant communities dominated by conservative 403 species after an extreme drought event [29]. These communities were able to buffer (i.e. high 404 resistance) environmental variations [87], likely because of the buffered population growth of 405 the dominant species. Buffered population growth is a key mechanism of coexistence in 406 fluctuating environments, also described as the 'storage effect' [12], where conservative 407 species can withstand unfavorable years, for example, due to resource storage [88]. We can 408 thus expect small changes in all facets of TPD, and thus stability in multiple ecosystem

409 functions (low ΔEF), independently of whether species have overlapping response and effect 410 traits.

412 b) Compensatory dynamics

413 Compensatory effects are usually considered to be a key mechanism of constancy [6] but 414 may also destabilize ecosystem functioning. Constancy in ecosystem functioning can be 415 obtained when 'inconstant' populations of species with similar effect traits periodically (for 416 example, on a yearly basis) 'compensate' for each other (scenario 2, in Fig. 2), i.e. they 417 negatively covary in time (anti-synchrony). As we discussed above, theoretical [11] and 418 empirical evidence [3,59-62] suggests that anti-synchrony will occur between species with 419 different response traits (moderate to high ΔTPD_R , and overall high FD in a community; case 420 1 in Fig. 2), reflecting different environmental preferences. Constancy in ecosystem 421 functioning will then occur only if effect traits and response traits are dissociated (high FD in 422 response traits, low in effect traits). Otherwise changes in species composition will propagate 423 into changes in effect traits and cause ΔEF . Imagine a case where the ecosystem function of 424 interest is pollination, and two anti-synchronous species have different response traits (e.g. 425 different LDMC) and also different effect traits (e.g. flower types with access to different 426 pollinators; case 2 Fig. 2), i.e. high $\rho(R_T, E_T)$. In this case we can expect a periodic change in 427 ΔEF both in decomposability and pollination. Anti-synchrony could also occur between 428 functionally similar species (low ΔTPD_{R}) after temporal changes in the competition hierarchy 429 [3,11; case 2 in scenario 2, Fig. 2.58]. In this case, buffering is more likely, especially if effect 430 and response traits overlap.

431

432 c) Insurance effect

433 The insurance effect can be particularly important in the case of replacement of a dominant 434 species by an otherwise subordinate species following some exceptional perturbation [e.g. 435 fire or drought, 89]. Such directional species replacements (different from periodic 436 replacement in compensatory effects above) are expected between species with different 437 adaptations to given perturbations (and, likely, a high ΔTPD_R in specific traits; a replacement 438 between species with similar response traits following an extreme climatic or disturbance 439 event is not likely). An insurance effect is again possible only if response and effect traits are 440 dissociated (case 1, scenario 3, Fig. 2). It should be noted that greater species diversity 441 should increase the odds that more species will have different adaptations to perturbations 442 [53]. At the same time the greater the diversity in ΔTPD_R , the lower the species richness 443 needed to buffer an ecosystem process [68]. For this reason, functional redundancy between 444 dominant species and subordinate species, key for the insurance effect, is expected to reflect 445 the interplay of both species diversity and functional diversity across species. Note also that in 446 scenario 3, Fig. 2, it can happen that some functional traits may exert some insurance effects, 447 but the total population abundance can still decrease. Some ecosystem functions, such as 448 soil stability and formation, could be thus affected by the perturbation, simply as a 449 consequence of reduced plant cover (see next section).

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452 **Connecting the pieces with TPD: data analysis considerations**

453 Connecting different biotic drivers of stability is a tall challenge and remains a critical gap in 454 our understanding of trait effects on ecosystem stability. Approaches similar to path analysis 455 can provide a way forward for testing causal and cascading connections among the functional 456 make-up of populations and communities and those of communities and ecosystem 457 properties [17,31,56,62,90]. Existing studies have already considered a selection of TPD 458 components to explain specific components of stability [3,17,31,56,62,90]. It is thus important 459 to select predictors and tests based on the mechanism(s) of stability considered (Fig. 1) and 460 the specific scale of interest (Box 3 for specific tests). As discussed above, trait selection is also a key step that depends on the stability mechanism under evaluation (response traits) 461 462 and the ecosystem function considered (effect traits). A useful set of trait-based predictors 463 includes (i) CWM for studies on dominant species and insurance effects, (ii) functional 464 dissimilarity between dominant species (i.e. for functional diversity) for compensatory 465 dynamics and (iii) functional redundancy between sets of dominant and subordinate species 466 for an insurance effect.

467 In these approaches it is important to connect TPD components with key predictors 468 that are usually considered when assessing the biodiversity-stability puzzle [17,28,31,62]; 469 this also depends on the biotic mechanisms of stability being tested (Box 1 and 2). As we saw 470 above, key measures are synchrony between species for compensatory dynamics, and 471 population CV for dominant species effects. The overall community CV is the most frequently 472 used measure for compensatory dynamics. For compensatory dynamics, under certain 473 conditions, the number of species in a community is mathematically associated with the CV of 474 total community abundance [averaging/portfolio effect, 6]. Evenness in species' abundances, 475 or the relationship between species abundances and their variability (mean-variance scaling). 476 can both indirectly affect the CV of total community abundance in different ways [28]. Total 477 community abundance and overyielding (i.e. species in mixtures being more productive than 478 in monocultures, thus increasing total abundance) both affect CV. These, and other predictors 479 and interdependencies, are essential for appropriately modeling biodiversity effects on 480 constancy, even if they do not reflect ecological mechanisms per se [28].

In biodiversity experiments that manipulating species number, species richness can appear as an important direct driver of multiple components of TPD and stability [17]. In natural conditions, however, both species richness and TPD can be rather seen as a result of assembly mechanisms determined by local conditions. In these cases, compensatory dynamics is related to synchrony, and underlying trait dissimilarity [3], rather than to species richness itself [32,91]. On the contrary, the case of the insurance effect shows the relevance

- of directly considering indices such as species richness together with TPD dimensions [71].
 Combining species richness and functional diversity can thus be useful to estimate functional
- 488 Combining species richness and functional diversity can thus be useful to estimate functional 489 redundancy while new indices of functional redundancy are being developed.
- 490 Different parameters of population abundances are other important drivers in the 491 causal relationships between biodiversity and stability of ecosystem functions. For example,

492 either mean population variability or total abundance, and sometimes both, are considered in 493 causal relationships. Both the ranking in population abundances and average population 494 stability vary depending on species' response traits within the local species pool and these 495 traits directly affect indices such as CWM and functional diversity. Moreover, some ecosystem 496 functions will also depend directly on the total abundance of local populations, for example, 497 through its effect on the denominator of CV. Two communities with identical distributions of 498 trait values could have very different total abundances of individuals, especially since TPD is 499 generally based on species relative abundances [69]. A greater absolute abundance in one 500 community, while keeping all other TPD components fixed, could imply direct effects on 501 ecosystem functions, for instance greater resource use, greater productivity etc. Total 502 population abundance can thus modulate ecosystem stability directly, or even indirectly via 503 changes in TPD components.

504 Environmental conditions might also modulate ecosystem functioning directly, not 505 only indirectly through changes in TPD components. For example, in their meta-analysis 506 across biodiversity experiments manipulating sowing species diversity, Craven et al. [17] 507 showed the direct effects of environmental conditions (both their mean and heterogeneity) on 508 stability of productivity, independently of the biotic predictors considered. Additionally, within 509 given locations, environmental effects may primarily operate indirectly through changes in 510 TPD components.

511 An important practical decision when using taxonomic or functional components of 512 diversity as predictors of stability is at what point in time they should be computed. Authors 513 usually consider an average species richness, or an average FD, across the studied period 514 when assessing constancy through CV [3, 17, 62]. The accumulated species richness, or 515 overall FD, across the whole period, has also been considered, although they tend to be 516 correlated with average values [3]. These correlations, however, might be weak when 517 communities undergo gradual species turnover, or after a strong perturbation, which would 518 change species composition and species richness over time [92]. In these cases, detrending 519 methods should be considered [23,93]. For resistance and recovery, the optimal approach is 520 to compute biodiversity indices before and at various stages after the perturbation under 521 study.

BOX 4

524 Future puzzle pieces: intraspecific trait variability

525 Most of the examples and studies reviewed so far refer to the effect of species diversity and 526 trait dissimilarity between species, including trait trade-offs between species. However, most 527 of the mechanisms act first on the variability of local populations, and therefore cause 528 phenotypic differences within and between populations of a species. Intraspecific trait 529 variability (ITV) could theoretically affect stability [16]. At the same time, it is important to 530 differentiate between 'spatial' intraspecific trait variability (among individuals) and 'temporal' 531 intraspecific trait variability (between seasons or years, including plasticity and micro-532 evolutionary processes). Both these expressions of ITV are components of TPD [69]. 533 Changes in time, or space, in TPD can be caused by ITV and species turnover, or more 534 frequently by both [94].

535 ITV caused by genetic variation within the population can affect its stability 536 [95,96]. For example, individuals within a population with more conservative traits could have 537 more stable growth or reproduction over time while individuals with less conservative 538 strategies would be less resistant, but exhibit faster recovery [97]. Hence a population with 539 both 'types' could be maintained, overall more constantly in time, in fluctuating conditions. 540 Wright et al. [98] hypothesized that higher intraspecific trait variability could confer greater 541 stability within species, possibly through an insurance mechanism, although compensatory 542 mechanisms between different genotypes could also occur [99].

543 Another mechanism concerning the effect of intraspecific trait variability on stability is 544 phenotypic variation across generations. This can be caused by either selection of different 545 phenotypes over time or transgenerational plasticity. Zuppinger-Dingley et al. [100] and 546 Latzel et al. [101] highlighted the importance of within-species trait variability and year-to-year 547 trait-adjustment effects on ecosystem stability and the influence of both selection and 548 transgenerational plasticity on ITV. Ultimately, selection across generations can increase 549 functional diversity in a community [100]. Interactions between species within a given season 550 can stabilize communities via transgenerational effects in plant traits in the following growing 551 seasons [102]. Thus, these within-species adjustments seem to be an important, yet largely 552 unexplored, component of the stability of communities. However, the empirical testing of 553 intraspecific trait variability effects on stability will be challenging, particularly if we are not 554 able to separate the cause of phenotypic variation. We should be also aware that high 555 intraspecific trait variability might be a consequence, instead of the cause, of population 556 instability. For example, seasonal drought will probably have a spatially non-homogenous 557 effect on plants, causing high levels of intraspecific variability in some traits, and, at the same 558 time, this will also affect the population size, causing temporal variability. 559 560 561

563 **Concluding remarks**

564 Several biotic mechanisms affect the different components of ecosystem stability. Theoretical 565 and empirical evidence is accumulating suggesting that these biotic mechanisms are affected 566 by different components of the trait-probability distributions within local species pools. Future 567 studies therefore need to consider differences in trait values within and between species 568 when assessing how different biotic mechanisms affect stability (see Outstanding Questions). 569 We argue that conceptual and terminological clarity would provide a more complete picture of 570 the effects of biodiversity on stability by connecting the pieces of the trait-stability puzzle.

571 Different tests are possible depending on the ecological scale considered (Box 3). 572 and the interplay between population dynamics and community properties (Fig. 2) is key in 573 determining biodiversity effects on the stability of ecosystem functioning. Comprehensive 574 frameworks testing biodiversity effects on ecosystem properties need to combine multiple 575 types of metrics (Fig. 1), not necessarily trait-based, which are also selected depending on 576 the stability mechanisms under scrutiny. Variation in the local populations can result in overall 577 changes in community structure, which may, or may not, propagate into changes in 578 ecosystem functioning. The strength of this propagation depends on the extent of trait 579 changes and the degree of overlap between response and effect traits - an idea which has, 580 so far, not been fully incorporated in analyses linking biodiversity and stability. Including 581 response and effect trait analyses can also help to improve the quantification of functional 582 redundancy, which is essential for testing the insurance effect. In the future, attention should 583 also be paid to the potential for intraspecific trait variability in affecting local population 584 stability, via intraspecific adjustments (Box 4). While here we have reviewed mechanisms of 585 stability within given trophic levels, trait mechanisms acting across trophic levels will be 586 central to future developments for assessing the stability of multi-trophic ecosystem functions. 587 Finally, the framework and concepts synthetized in this review should be applicable to 588 different types of environments, and under different global-change scenarios. This will enable 589 us to assess to what degree the effects of different biotic mechanisms of stability vary along 590 gradients of habitat severity and habitat heterogeneity and in response to different types of 591 perturbations.

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603 Figure 1. Changes in population abundance, in response to deterministic and 604 stochastic events, build up into different changes at the community level (e.g. TPD, 605 species richness, synchrony) and affect different components of ecosystem stability 606 (variation of a given ecosystem function in time, i.e. ΔEF , with higher ΔEF implying lower 607 stability). The effects of biodiversity can be summarized as three main biotic mechanisms 608 together with their corresponding set of trait-related measures. The response to deterministic 609 and stochastic events can be expressed in terms of response traits (R_T) and translates into 610 changes in community traits structure (broadly defined as 'ATPDR', i.e. community Trait 611 Probability Density in terms of response traits). The overlap between response and effect 612 traits (E_{T}) will result in changes in different stability components and in changes in different 613 biotic stability mechanisms. Details about several elements of the figures can be found in 614 specific sections of the manuscript (e.g. Box 1 etc.). Drawings at the bottom of the figure are 615 from freepik.com.



617 Figure 2. Effect of the overlap between response and effect traits, expressed as their 618 covariation [i.e. $\rho(R_T, E_T)$], on the modulation of different biotic effects that control 619 ecosystem function stability. Variation in response traits at the community level (ΔTPD_R 620 changes in community Trait Probability Distribution in terms of response traits) may or may 621 not result (propagating vs. buffering respectively) in changes in ecosystem function (ΔEF), 622 increasing the chance of greater Δ EF. Three scenarios are considered (see section The 623 puzzle comes together: buffering vs. propagating' for details), and each one results in 624 different species composition changes, i.e. fluctuations of two species in time (sp1 and sp2), 625 and their sum (Total). In the 'stable dominant' scenario (scenario 1) the dominant species. 626 remains stable after some environmental change or perturbation, while the subordinate 627 species decreases and then recovers. In the 'compensation' scenario (scenario 2) the two 628 species alternate regularly in dominance. In the 'change of dominant' scenario (scenario 3), 629 related to an insurance effect, the subordinate species replaces the dominant species after a 630 perturbation causing long-term compositional changes. Within each scenario we consider two 631 cases: (1) one in which the two species have different response traits (R_T, e.g. one species 632 has high leaf dry matter content, LDMC, and another has low LDMC), and (2) one in which 633 the two species in a community have similar response traits. Within each of these two cases, 634 species can have both similar or different effect traits (E_T) for different ecosystem functions, 635 referring to the example in figure, leaf type and flower would be traits associated with different 636 functions, e.g. decomposition rate and pollination rate. For each scenario, a specific 637 projection of the propagation intensity scheme is reported in the lower part of the figure 638 (vertical lines in panels at the bottom of the figure indicate how Case 1 and 2 affect stability; 639 Case 1 and 2 have different effects only in scenario 2). The labels 'low' and 'high' summarize 640 the extent of Δ TPD components. See details in the main text. Drawings of leaves and flowers 641 are from freepik.com. 642



648 Glossary

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650 <u>Asynchrony:</u> deviation from a perfect synchrony in species' fluctuations.

651 <u>Anti-synchrony:</u> prevailing negative covariance between species' fluctuations (e.g. negative 652 synchrony).

653 <u>Averaging/portfolio effect:</u> Link between an increase in species number and the decrease in

the coefficient of variation (CV) of community abundance in the case of independent speciesfluctuations.

Buffering: the ability of a system to maintain given ecosystem functions despite species
turnover. It is the opposite of propagation.

658 <u>Community weighted mean (CWM):</u> The average of trait values weighted by the relative

- abundance of each species in a community.
- 660 <u>Compensatory dynamics:</u> The changes in the relative abundance of some species that are
- 661 offset, or compensated for, by changes in the relative abundance of other species.
- 662 <u>Constancy</u>: A stability component describing how invariable (i.e. as inverse of temporal
- 663 variability) ecosystem properties are in a given period without particularly extreme events.

664 <u>Dominant trait effect:</u> The effect exerted by the dominance of species with particular traits,

665 which governs how a community as a whole responds to environmental fluctuations and

affects ecosystem functioning. It is linked to the mass-ratio-hypothesis. It can be quantified by

the community weighted mean (CWM).

668 <u>Ecosystem property:</u> Broadly defined as any measurable component of an ecosystem or its

- 669 constituent components, including ecosystem functioning, population abundances, species670 composition, species diversity etc.
- 671 <u>Effect traits:</u> Any characteristic of an organism that has repercussions for environmental 672 conditions, community properties, ecosystem processes or functions.

673 Functional diversity: The extent of trait differences among a set of organisms. It is commonly

674 quantified with multiple indices reflecting, for example, average trait dissimilarity or the volume

- 675 of trait space occupied by a set of species.
- 676 <u>Insurance effect:</u> A system's ability to buffer the effect of perturbations on community or
- 677 ecosystem processes by the replacement of species by others with similar effect traits. It
- 678 depends on functional redundancy.
- 679 <u>Intransitivity competition:</u> Interactions among species in a community in which they do not
- 680 follow a linear hierarchy but a 'stone–scissor–paper' game without a single best competitor.

- 681 Pairwise trait dissimilarity: Functional trait differences between pairs of species in terms of
- 682 given traits or multiple traits. Sometimes a phylogenetic distance (e.g. length of branches
- 683 connecting two species in a phylogenetic tree) is used as well.

684 <u>Perturbation</u>: A relatively sudden change in environmental conditions or in the disturbance

- regime beyond the range of the historical variability (e.g. exceptional fire, flood or drought).
- 686 <u>Propagation:</u> The process by which species turnover is directly translated into changes in 687 ecosystem function.
- 688 <u>Recovery:</u> The ability for a population, community or ecosystem function to reorganize and
- return to its reference condition after it has been affected by a perturbation.
- 690 <u>Redundancy</u>: The degree to which species perform similar functions. Communities with high
- 691 redundancy are expected to be able to lose species without great changes in ecosystem
- 692 functions due to replacement of dominant species by subordinate species with similar effect
- 693 traits.
- 694 <u>Resilience:</u> The degree to which an ecosystem function can resist or recover rapidly from 695 perturbations.
- 696 <u>Resistance:</u> The ability of an ecosystem to remain unchanged when being subjected to a 697 perturbation. It is inversely proportional to *vulnerability*.
- 698 Synchrony: The pattern that occurs when most of the species in a community respond in the
- same manner to variation in abiotic and biotic conditions, leading to concordant species
- 700 fluctuations.
- 701 <u>Trait Probability Density (TPD):</u> Distribution of trait values of an ecological unit, from the
- individual to ecosystem level, in a given functional space. Such trait distributions can be the
- 703 basis of multiple indices and components.
- 704 <u>Turnover:</u> The rate or magnitude of change in species composition, e.g. replacement of
- 705 species and their abundances in space or time.
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