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1                    Nonlinear dynamic analysis of three-dimensional  
2 bladed-disks with frictional contact interfaces based on cyclic  
3                    reduction strategies

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8 **Abstract**

9 In bladed disks, friction nonlinearities occurring within the contact between the blades and  
10 disk or between the blades and underplatform dampers are used to decrease the vibratory  
11 energy of the system and extend its lifespan. Modeling these nonlinearities and simulat-  
12 ing their effects correctly are challenging: complex nonlinear phenomena such as stick,  
13 slip and separation may occur in the contact zones. Efficient numerical methods must be  
14 used to compute the dynamics of the system in a reasonable amount of time. This paper  
15 proposes a new reduction methodology to tackle large cyclically symmetric finite-element  
16 models undergoing static preload from centrifugal effects and strong nonlinearities (fric-  
17 tion and separation). It combines the nonlinear identification of the possible interacting  
18 nodal diameters with a linear component mode synthesis procedure. Its performances are  
19 assessed through comparisons with some state-of-the art methods. Complex and realistic  
20 nonlinear finite-element models of bladed disks with underplatform dampers and dovetail  
21 or fir-tree blade roots are used. The Dynamic Lagrangian Frequency Time algorithm is  
22 employed to capture the nonlinear effects. Using the proposed new reduction methodol-  
23 ogy, the effect of underplatform dampers on bladed disk contact occurrence and damping  
24 efficiency is investigated.

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25 *Keywords:* Cyclic symmetry, Friction nonlinearities, Traveling and standing wave  
26 excitations, Harmonic Balance Method, Underplatform damper

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## 27 **1. Introduction**

28 Vibration is widely present in turbomachinery. Engineers seek to decrease its level  
29 in order to extend engine lifespan and reduce the cost of maintenance. Introducing fric-  
30 tion nonlinearities, such as contact between blades and disk or blades and underplatform  
31 dampers, is widely used as a damping mechanism. This paper will focus on these two  
32 different nonlinearities, considered either separately or combined together.

33 Different designs of friction dampers have been studied by Petrov [1, 2]. The work  
34 of Gola et al. [3] underlined the complex behavior of underplatform dampers. Yang and  
35 Menq [4] proposed an approach to design wedge dampers. Sanliturk et al. [5] and Pesaresi  
36 et al. [6, 7] provided experimental validation of their models. Many parametric simulations  
37 have been run to study the contact parameter [8] or the effect of centrifugal loading [9] on  
38 system dynamics. Firrone et al. [10, 11], and more recently Pesaresi et al. [6], underscored  
39 the importance of considering both the centrifugal effect and the external force within the  
40 nonlinear solver for an accurate response.

41 For efficient simulation of the dynamics of nonlinear structures composed of blades,  
42 disk and contact regions, three successive methodologies must be chosen: one to charac-  
43 terize the nonlinear effects occurring at the contact zones, one to reduce the size of the  
44 model usually constructed by finite elements and, finally, one to diminish the number of  
45 evaluations of the nonlinear forces. Numerous methodologies have been proposed to de-  
46 scribe the contact at frictional interfaces accurately. Zucca et al. [12] proposed strategies  
47 to model friction nonlinearities for 1D and 2D contact. Nacivet et al. [13] developed the  
48 Dynamic Lagrangian Frequency Time (DLFT) algorithm which employs Coulomb's law  
49 along with a Harmonic Balance Method (HBM) [14] and an Alternating Frequency Time

50 (AFT) [15] procedure. Mitra et al. [16] proposed a nonlinear reduced-order model (ROM)  
51 by using a basis formed by multiple levels of sticking and slipping cases.

52 The entire structural model under consideration is composed of nonlinear degrees of  
53 freedom (DOFs) at interfaces and linear ones everywhere else. To decrease the compu-  
54 tational time, it is interesting to reduce the number of linear DOFs. Different strategies  
55 have been developed over the years for this purpose. Classical component mode synthesis  
56 methods (CMS) [17] are widely employed in industry. Petrov [18] proposed an approach  
57 to reduce the evaluation of the dynamic stiffness matrix. Mashayekhi et al. [19] employed  
58 a similar approach for mistuned structures. Pourkiaee and Zucca [20] also provided re-  
59 duction strategies specific to the case of shrouded blade models. For cyclic symmetric  
60 systems, some of the aforementioned reduction methods can be employed in addition to  
61 the cyclic symmetric procedure [21, 22] which enables a drastic reduction in the numerical  
62 system to be solved. However, in the presence of nonlinearities, the nodal diameters get  
63 coupled [23] and the system may remain large.

64 This paper focuses on a nonlinear, perfectly cyclic, bladed disk structure modeled with  
65 a high number of finite elements. The most direct approach to account for the nonlinear  
66 effects would be to evaluate all the nonlinear forces for all sectors. This exact approach,  
67 which is necessary for mistuned structures [19], requires a very long computation time.  
68 However, other methods have been developed in the case of perfectly cyclic structures.  
69 Petrov [24] proposed a reduction methodology based on the assumption that both the de-  
70 formation and external forcing show the same wave shape. This work has then been widely  
71 used to study different effects due to, for instance, underplatform dampers [1] or fretting  
72 wear of the bladed disk [25]. However, this strategy is efficient only when the exter-  
73 nal force has a traveling wave shape. In a recent paper [26], we proposed a theoretical  
74 method to predict which nodal diameters get coupled in the presence of friction nonlin-  
75 earities, leading to a much-reduced nonlinear system. This work was merely validated on

76 a phenomenological model of a bladed disk, in which centrifugal load and loss of contact  
77 between solids were not studied. Another possible approach is the Component Nonlinear  
78 Complex Mode Synthesis (CNCMS) method, proposed by Joannin et al. [27, 28], which  
79 employs CMS reduction but also deals with the nonlinear evaluation by considering com-  
80 plex nonlinear modes calculated on a fixed-boundary sector.

81 In this paper, a new cyclic reduction method to tackle large nonlinear finite element  
82 models undergoing centrifugal load is developed. This strategy combines a linear compo-  
83 nent mode synthesis procedure [17] with a nonlinear nodal diameter reduction [26]. Sev-  
84 eral options were given in [26] to select the important nodal diameters. These options will  
85 all be considered in the new methodology. The proposed reduction method is employed  
86 and validated on a cyclic structure with dovetail attachment (the contact is described by  
87 frictional effects at interfaces but also by possible separation). Such level of validation is  
88 very scarce in the literature, even for a well-known method such as [24]. The authors in-  
89 tend, through this paper, to promote this new method as a possible reference methodology  
90 to assess the accuracy of new reduced-order models.

91 The different nonlinear methodologies used in this paper will be presented briefly in  
92 Section 2. Section 3 will compare their efficiency and accuracy when applied to a sim-  
93 plified bladed disk finite-element model. Finally, Section 4 provides some useful insights  
94 into the influence of underplatform dampers on the dynamics of a realistic bladed disk  
95 model.

## 96 **2. Methodologies**

97 This section presents the different reduction methodologies that will be employed later  
98 to study bladed disks composed of multiple solids: a disk,  $N$  blades, and possibly  $N$   
99 dampers, as illustrated in Figure 1 in the case of a structure with  $N = 8$  sectors and no  
100 dampers.

101 *2.1. Linear reduction*

102 When the structure is modeled with finite elements, we usually begin by reducing  
 103 the linear part of the system with classical CMS [17] methods. In this paper, the Craig-  
 104 Bampton procedure is employed [29]. The structure is broken down into master degrees  
 105 of freedom (DOFs)  $\mathbf{u}_m$  and slave DOFs  $\mathbf{u}_s$ .  $\mathbf{u}_s$  is reduced into  $n_\xi$  generalized coordinates,  
 106 noted  $\xi$  with the equation:

$$\begin{bmatrix} \mathbf{u}_m \\ \mathbf{u}_s \end{bmatrix} = \mathbf{R} \begin{bmatrix} \mathbf{u}_m \\ \xi \end{bmatrix} = \begin{bmatrix} \mathbf{I} & \mathbf{0} \\ \Psi & \Phi \end{bmatrix} \begin{bmatrix} \mathbf{u}_m \\ \xi \end{bmatrix} \quad (1)$$

107 where  $\mathbf{R}$  is the reduction matrix and is composed of linear static mode shapes  $\Psi$  (obtained  
 108 with a unit displacement for each master DOF), and linear modes  $\Phi$  obtained for  $\mathbf{u}_m = 0$ .  
 109 The matrix  $\mathbf{I}$  is the identity matrix. The static mode shapes and linear modes are obtained  
 110 respectively by

$$\Psi = -\mathbf{K}_{f,ss}^{-1} \mathbf{K}_{f,sm}, \quad (2)$$

$$(-\omega^2 \mathbf{M}_{f,ss} + \mathbf{K}_{f,ss}) \Phi = \mathbf{0}. \quad (3)$$

111 where  $\mathbf{M}_{f,ss}$ ,  $\mathbf{K}_{f,ss}$  and so on denote partitions (slave-slave DOFs) of the full mass and  
 112 stiffness matrices  $\mathbf{M}_f$  and  $\mathbf{K}_f$ . As illustrated with red lines in Figure 1, the nonlinear re-  
 113 gions can be located in the contact zone between the blades and the disk, for instance. In  
 114 these regions, different nonlinear phenomena may occur: stick, slip and/or separation [30].  
 115 To compute the nonlinear dynamics of the system, these DOFs must be kept as master  
 116 DOFs, as well as (at least) one observer node on which the external excitation will be ap-  
 117 plied. This standard reduction is widely used in the paper and is employed in the different  
 118 methodologies explained hereafter, whose accuracy will be studied in Section 3.

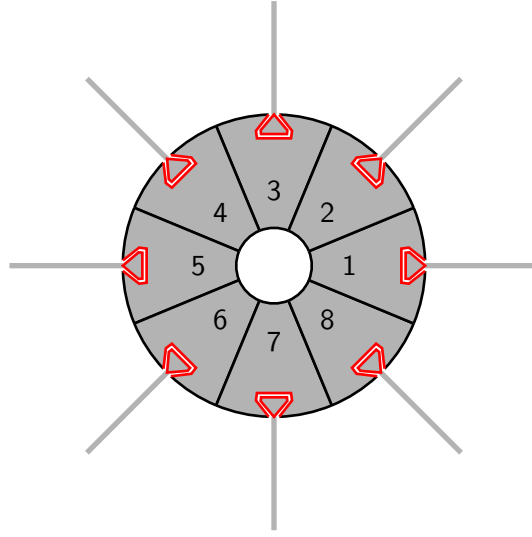


Figure 1: Cyclic symmetric structure with  $N = 8$  and nonlinear contact occurring at the blade root.

119 *2.2. Reference method*

120 A rotational speed  $\Omega$  was first applied to the different components, leading, among  
 121 other things, to a static preload that is retrieved from the ANSYS commercial FE soft-  
 122 ware. Applying the Craig-Bampton procedure to the full finite-element model results in  
 123 the following nonlinear system:

$$\mathbf{M}\ddot{\mathbf{u}} + \mathbf{C}\dot{\mathbf{u}} + \mathbf{K}\mathbf{u} + \mathbf{f}_{nl} = \mathbf{f}_{ext}, \quad (4)$$

124 where  $\mathbf{M}$  and  $\mathbf{K}$  are the mass and stiffness matrices obtained from the reduction procedure.  
 125 The damping matrix  $\mathbf{C}$  will be constructed in order to obtain a modal damping of  $5 \times 10^{-4}$   
 126 for the mode studied. The vector  $\mathbf{f}_{ext}$  contains both the static preload and dynamic external  
 127 excitation force;  $\mathbf{f}_{nl}$  denotes the nonlinear forces. As in [11, 6], the static and dynamic  
 128 loadings are coupled in the work presented in this paper. The external excitation force in  
 129 turboengines may follow a specific wave pattern because a given stage can be excited either  
 130 by previous stages due to the incoming air, or by a forward stage due to reverse airflow.

131 If the stages alternate between a rotating bladed disk and a fixed one, the excitation force  
 132 follows a traveling wave shape. This force  $\mathbf{f}_{\text{ext},j}$ , for a sector  $j$ , can be described with the  
 133 following equation

$$\mathbf{f}_{j,\text{ext}} = \mathbf{f}_{1,\text{ext}} \left( t - \frac{2\pi h_{\text{ex}}(j-1)}{\omega N} \right), \quad (5)$$

134 where  $N$  denotes the number of sectors,  $h_{\text{ex}}$  is the excitation wave number and  $\omega$  is the  
 135 relative speed between two stages. In other situations, the bladed disk may be excited by  
 136 a standing wave force which is then defined as the combination of waves traveling in the  
 137 opposite direction:

$$\mathbf{f}_{j,\text{ext}} = \frac{1}{2} \left( \mathbf{f}_{1,\text{ext}} \left( t - \frac{2\pi h_{\text{ex}}(j-1)}{\omega N} \right) + \mathbf{f}_{1,\text{ext}} \left( t + \frac{2\pi h_{\text{ex}}(j-1)}{\omega N} \right) \right). \quad (6)$$

### 138 2.3. Component Nonlinear Complex Mode Synthesis (CNCMS) method

139 Joannin et al. proposed a reduction approach called CNCMS to tackle both tuned and  
 140 mistuned cyclic structures with friction nonlinearities. Their initial work [27] presented  
 141 the methodology for a one-dimensional spring-masses model and was then extended for  
 142 3D finite-element models in [28]. In the latter paper, the CNCMS procedure was bro-  
 143 ken down into three steps: a classical Craig-Bampton reduction for each detuned sector  
 144 (see Equation (1)), a nonlinear Craig-Bampton procedure to recover the complex nonlin-  
 145 ear modes of a sector [31] and finally the use of interface mode reduction [17]. The final  
 146 superelement created was composed of  $N \times N_{\text{mode}} + N_{\text{interf}}$  complex unknowns (one con-  
 147 trol coordinate per sector multiplied by the number of nonlinear modes  $N_{\text{mode}}$  considered  
 148 supplemented by  $N_{\text{interf}}$  interface modes). It depended on the excitation frequency and was  
 149 employed in a synthesis procedure to calculate the forced response. The nonlinear forces  
 150 were synthesized from the nonlinear modes computed at the second reduction step [32].  
 151 The application of the CNCMS method in this paper will be slightly different in that we  
 152 will use the static preload due to the global rotation, whereas a uniform static preload was



153 applied in [28]. The CNCMS will be compared with other methods in Section 3 to evaluate  
 154 its accuracy and efficiency as a ROM methodology.

#### 155 2.4. Cyclic symmetry methodologies

156 For tuned cyclic structures, the problem can be written in terms of spectral compo-  
 157 nents, also called nodal diameters [33, 21, 22]. In a finite-element approach, combining  
 158 this change of variables with a Craig-Bampton procedure enables the creation of  $(K + 1)$   
 159 superelements (one for each nodal diameter) directly from a single sector; where  $K = \frac{N}{2}$   
 160 if  $N$  is even or  $K = \frac{N-1}{2}$  otherwise. These superelements contain master DOFs composed  
 161 of the nonlinear DOFs as well as an observer node. Compared to the reference method  
 162 presented in Section 2.2, this strategy allows us to decrease the Craig-Bampton computa-  
 163 tion time drastically because the reduction is applied to a single sector only. Compared to  
 164 the CNCMS procedure in Section 2.3, the cyclic property allows us to consider the cyclic  
 165 boundary as slave DOFs. In this paper, we will assume that the cyclic boundaries are  
 166 free of nonlinearities. For linear problems, the spectral formulation yields an uncoupled  
 167 system of equations [34] and is extremely advantageous. However, in the presence of non-  
 168 linearities, the equation of motion couples the different nodal diameters and can be written  
 169 as:

$$\tilde{\mathbf{M}}_k \ddot{\tilde{\mathbf{u}}}_k + \tilde{\mathbf{C}}_k \dot{\tilde{\mathbf{u}}}_k + \tilde{\mathbf{K}}_k \tilde{\mathbf{u}}_k + \mathbf{F}_k^T \mathbf{f}_{nl} = \tilde{\mathbf{f}}_{ext,k}, \quad \forall k \in \llbracket 0, K \rrbracket, \quad (7)$$

170 with  $\tilde{\mathbf{M}}_k$ ,  $\tilde{\mathbf{C}}_k$  and  $\tilde{\mathbf{K}}_k$  the mass, damping and stiffness spectral matrices of order  $k$ . The  
 171 vectors  $\tilde{\mathbf{u}}_k$ , and  $\tilde{\mathbf{f}}_{ext,k}$  denote the spectral displacement, nonlinear force and excitation force  
 172 of order  $k$ .  $\mathbf{F}_k^T$  denotes the Fourier transform associated with the  $k$ -th spectral component.  
 173 If all spectral components are considered up to  $K$ , the number of coupled nonlinear un-  
 174 knowns of (7) is equal to the number of equations in (4) and thus no reduction is obtained.  
 175 Moreover, the nonlinear forces must be evaluated for all sectors, a priori.

176 Different strategies, such as [24, 26], exist to decrease the size of (7) and reduce the  
 177 number of sectors for which the nonlinear forces must be evaluated. In a general manner,  
 178 after reduction the system becomes:

$$\tilde{\mathbf{M}}_k \ddot{\mathbf{u}}_k + \tilde{\mathbf{C}}_k \dot{\mathbf{u}}_k + \tilde{\mathbf{K}}_k \mathbf{u}_k + \mathbf{F}_k^T \mathbf{Q}(\mathbf{f}_{\text{nl},m}) = \tilde{\mathbf{f}}_{\text{ext},k}, \quad k \in k_m \quad (8)$$

179 where  $\mathbf{Q}$  is an operator which verifies

$$\mathbf{f}_{\text{nl}} = \mathbf{Q}(\mathbf{f}_{\text{nl},m}). \quad (9)$$

180 The operator  $\mathbf{Q}$  allows the number of sectors for which the nonlinear forces must be eval-  
 181 uated to be reduced: only a subset of the nonlinear forces, noted  $\mathbf{f}_{\text{nl},m}$ , is used to determine  
 182 the nonlinear forces on all sectors. The space  $k_m$  gathers the nodal diameters which in-  
 183 teract through the nonlinearities. The original approach of this paper is to combine the  
 184 Craig-Bampton reduction, explained in Section 2.1, with the different options proposed  
 185 in [26], referred to as Method 1 and Method 2 (the same terminology is employed in this  
 186 paper). The method developed in [24] also uses cyclic symmetry property. All these cyclic  
 187 methods define their own  $\mathbf{Q}$  and  $k_m$ . These are detailed next.

188 *Method 1.* For Method 1 [26], the set  $k_m$  is determined analytically by considering friction  
 189 nonlinearities. This method is highly robust as it is entirely theoretical and makes no  
 190 assumptions. The evaluation of the nonlinear forces is performed with

$$\mathbf{Q}(\mathbf{f}_{\text{nl},m}) = \mathbf{P} \mathbf{f}_{\text{nl},m} \quad (10)$$

191 where the matrix  $\mathbf{P}$  can be obtained by the real (Re) and imaginary (Im) parts of the Fourier  
 192 matrix and is equal to

$$\mathbf{P} = \begin{bmatrix} \mathbf{I}_{N_{\text{diam}}} \\ [\text{Re}(\mathbf{F}_2) \quad \text{Im}(\mathbf{F}_2)] [\text{Re}(\mathbf{F}_1) \quad \text{Im}(\mathbf{F}_1)]^{-1} \end{bmatrix}. \quad (11)$$

193 The terms  $\mathbf{F}_1$  and  $\mathbf{F}_2$  denote partitions of the Fourier matrix associated with the interacting  
 194 nodal diameters. While  $\mathbf{F}_1$  is the partition for the first  $N_{\text{diam}}$  sectors (equal to the number  
 195 of interacting nodal diameters, weighted by 2 if  $k \notin \{0, \frac{N}{2}\}$ ),  $\mathbf{F}_2$  is the partition for the  
 196  $N - N_{\text{diam}}$  sectors. Its full derivation is given in [26]. The term  $\mathbf{f}_{\text{nl},m}$ , in Equation (10),  
 197 gathers the nonlinear forces of the first  $N_{\text{diam}}$  sectors.

198 *Method of Petrov [24].* In [24], the set  $k_m$  is not known a priori (and is determined by  
 199 the resolution method, which is detailed in Section 2.5). This methodology is applicable  
 200 only if the structure is excited with a traveling wave excitation. It assumes that both the  
 201 deformation of the structure and associated nonlinear force have a traveling wave shape.  
 202 The operator  $\mathbf{Q}$  must therefore ensure that for a sector  $j \in \llbracket 1, N \rrbracket$

$$\mathbf{f}_{\text{nl},j} = \mathbf{f}_{\text{nl},1} \left( t - \frac{2\pi h_{\text{ex}}(j-1)}{\omega N} \right). \quad (12)$$

203 Evaluating the nonlinear forces for a single sector is thus sufficient to compute the non-  
 204 linear frequency forced response of the entire structure. This offers greater reduction than  
 205 Method 1, but only works for traveling wave excitation.

206 *Method 2.* Method 2 combines the analytical results of Method 1 (determination of the set  
 207  $k_m$ ) but also uses the assumption of traveling wave excitation, displacement, and nonlinear  
 208 forces ( $\mathbf{Q}$  must ensure Equation (12)).

## 209 2.5. Numerical solution methods and contact algorithm

210 The nonlinear system obtained by the methodologies presented in Sections 2.2 to 2.4  
 211 can be solved with different methods. We can cite, for instance, numerical time integration,  
 212 Asymptotic Numerical Methods (ANM) [35] or shooting methods [36]. In this paper, we  
 213 will employ the Harmonic Balance Method [37, 38] which is explained next.

214 The HBM can be broken down into a projection step and an orthogonalization step.  
 215 To solve the full nonlinear system (4), the projection stage seeks the solution as a Fourier  
 216 series up to an order  $N_h$ ,

$$\mathbf{u}(t) = \frac{1}{2} \left( \mathbf{c}_0 + \sum_{n=1}^{N_h} \mathbf{c}_n e^{ni\omega t} \right) + c.c, \quad (13)$$

217 where the coefficients  $(\mathbf{c}_n)_{n \in \llbracket 0, N_h \rrbracket}$  are the harmonics coefficients. The excitation frequency  
 218 is noted by  $\omega$ . The terms *c.c* represent the complex conjugate terms. The orthogonalization  
 219 step projects the system obtained after substituting the displacement  $\mathbf{u}$  written as in (13)  
 220 into (4). The projection is made on the exponential basis  $(e^{ni\omega t})_{n \in \llbracket 0, N_h \rrbracket}$ . Finally, we obtain  
 221 from (4) the system

$$\mathbf{Z}_n \mathbf{c}_n + \mathbf{c}_{\mathbf{f}_{nl}, n} = \mathbf{c}_{\mathbf{f}_{ext}, n}, \quad \forall n \in \llbracket 0, N_h \rrbracket, \quad (14)$$

222 where  $\mathbf{Z}_n = (ni\omega)^2 \mathbf{M} + (ni\omega) \mathbf{C} + \mathbf{K}$  is the dynamic stiffness matrix associated with the  
 223 harmonic  $n$ . The terms  $\mathbf{c}_{\mathbf{f}_{nl}, n}$ , respectively  $\mathbf{c}_{\mathbf{f}_{ext}, n}$ , represent the projection of the nonlinear  
 224 force, respectively the excitation force, on the exponential basis. In this paper, the excita-  
 225 tion is supposed to be mono-harmonic, hence  $\mathbf{c}_{\mathbf{f}_{ext}, n} \neq 0$  for  $n = 1$  only. In the following,  
 226 we give further explanations on how the HBM is applied in the different cyclic reduction  
 227 methodologies.

228 *Method 1.* Method 1 explained in Section 2.4 considers only the interacting nodal diame-  
 229 ters ( $k \in k_m$ ). To solve (8), the projection step of the HBM seeks the solutions as

$$\begin{aligned} \tilde{\mathbf{u}}_k &= \sum_{n=-N_h}^{N_h} \tilde{\mathbf{c}}_{k,n} e^{ni\omega t} && \text{for } k \notin \left\{0, \frac{N}{2}\right\} \\ \tilde{\mathbf{u}}_k &= \frac{1}{2} \left( \sum_{n=0}^{N_h} \tilde{\mathbf{c}}_{k,n} e^{ni\omega t} \right) + c.c && \text{for } k \in \left\{0, \frac{N}{2}\right\}. \end{aligned} \quad (15)$$

230 For  $k = 0$  and  $k = \frac{N}{2}$  (if  $N$  is even), the spectral component is a real quantity, whereas it is  
 231 a complex value for other  $k$ - values.

232 *Method of Petrov* [24]. Assuming a traveling wave shape (Equation (12)) with the HBM  
 233 expansion (Equation (13)) allows the harmonics number  $n$  to be paired with specific spec-  
 234 tral component  $\tilde{\mathbf{u}}_k$ . For each spectral component  $\tilde{\mathbf{u}}_k$ , its associated set of harmonics is  
 235 noted  $\mathcal{N}_k$  and is sought as,

$$\begin{aligned} \tilde{\mathbf{u}}_k &= \sum_{n \in \mathcal{N}_k} \tilde{\mathbf{c}}_{k,n} e^{ni\omega t} && \text{for } k \notin \left\{0, \frac{N}{2}\right\} \\ \tilde{\mathbf{u}}_k &= \frac{1}{2} \left( \sum_{n \in \mathcal{N}_k} \tilde{\mathbf{c}}_{k,n} e^{ni\omega t} \right) + c.c && \text{for } k \in \left\{0, \frac{N}{2}\right\}. \end{aligned} \quad (16)$$

236 By determining the non-zero values of the spectral components in Equation (16), we can  
 237 then determine the set  $k_m$  in Equation (8).

238 *Method 2.* The displacement obtained from Method 2 follows Equation (16). However  
 239 only the interacting nodal diameters obtained from [26] are considered, and hence some  
 240 harmonics are not retained in the expansion.

241 All these methods can be employed with different contact methodologies. In this paper,  
 242 we use a Schur condensation [39], which allows the different systems of equations to be  
 243 reduced to their relative nonlinear DOFs. The nonlinear forces are calculated using these  
 244 relative DOFs and with the Dynamic Lagrangian Frequency Time algorithm [13]. This  
 245 procedure assumes no regularization of the friction law and also handles the existence of  
 246 possible separation between the two bodies in the contact region. The contact/separation  
 247 between the body satisfies the following law:

$$\begin{cases} \mathbf{f}_{\text{nl},N}(t) \geq 0 & \text{repulsive force only} \\ \mathbf{x}_{r,N}(t) \geq 0 & \text{no penetration} \\ \mathbf{x}_{r,N}(t) \cdot \mathbf{f}_{\text{nl},N}(t) = 0 & \text{either no force or no contact} \end{cases}, \quad (17)$$

248 where the subscript  $N$  denotes the normal direction of the contact and  $\mathbf{x}_r$  represents the  
 249 relative displacement between the solids on the contact area. If the bodies are in contact,

250 then frictional effects are modeled using Coulomb's law,

$$\begin{cases} \|\mathbf{f}_{nl,T}\| < \mu |\mathbf{f}_{nl,N}| & \text{if } \dot{\mathbf{x}}_{r,T} = 0 \\ \mathbf{f}_{nl,T} = -\mu |\mathbf{f}_{nl,N}| \frac{\dot{\mathbf{x}}_{r,T}}{\|\dot{\mathbf{x}}_{r,T}\|} & \text{if } \|\dot{\mathbf{x}}_{r,T}\| > 0, \end{cases} \quad (18)$$

251 where the subscript  $T$  denotes the tangential directions. Appendix A details the numerical  
252 implementation of the DLFT.

### 253 2.6. Summary of the strategies

254 To summarize the different approaches, this section presents the various stages of re-  
255 duction, as well as the size of the final system. The features of the different methods are  
256 summarized in Table 1.  $N_{\text{dof,nl}}$  represents the relative number of nonlinear DOFs for one  
257 sector. For Method 1, the number  $N_{\text{diam}}$  is equal to the number of interacting nodal diam-  
258 eters (with a factor 2 in the case  $k \notin \{0, \frac{N}{2}\}$ ). Table 2 provides  $N_{\text{diam}}$  for different values  
259 of external excitations (combined with the static preload  $h_{ex} = 0$ ) and with  $N = 24$ .

260 The pairing employed in [24] is used for Method 2, but the harmonics which do not ex-  
261 cite a specific interacting nodal diameter are removed. Therefore the number of harmonics  
262 is reduced to  $N_{h,2}$  in Method 2. For the CNCMS, the complex nonlinear mode is evaluated  
263 with the HBM with  $N_h$  harmonics; however, the synthesis stage is only performed with the  
264 first harmonic.

Methodologies	Reduction stages	Number of unknowns	Type of excitation
Reference method	1 <sup>st</sup> : Craig Bampton	$N \times N_{\text{dof,nl}} \times (1 + 2N_h)$	Any
CNCMS	1 <sup>st</sup> : Craig Bampton 2 <sup>nd</sup> : Nonlinear Craig Bampton 3 <sup>rd</sup> : Interfaces Modes	$(N \times N_{\text{mode}} + N_{\text{interf}}) \times 2$	Any
Petrov's method [24]	1 <sup>st</sup> : Cyclic symmetry 2 <sup>nd</sup> : Craig Bampton 3 <sup>rd</sup> : Selection of the nodal diameter	$N_{\text{dof,nl}} \times (1 + 2N_h)$	Traveling
Method 1	1 <sup>st</sup> : Cyclic symmetry 2 <sup>nd</sup> : Craig Bampton 3 <sup>rd</sup> : Selection of the nodal diameter	$N_{\text{diam}} \times N_{\text{dof,nl}} \times (1 + 2N_h)$	Any
Method 2	1 <sup>st</sup> : Cyclic symmetry 2 <sup>nd</sup> : Craig Bampton 3 <sup>rd</sup> : Selection of the nodal diameter	$N_{\text{dof,nl}} \times (1 + 2N_{h,2})$	Traveling

Table 1: Specificities of the different methodologies.

265 The reduction offered by Method 1 is not as efficient as that with Petrov's method or  
266 Method 2, but it is exact for friction nonlinearities and can be employed for any excitation.  
267 Although the CNCMS requires additional reduction stages and is thus more complicated  
268 to implement, its performances seem interesting. It is also valid for any kind of excitations.

269 Moreover, except for the CNCMS, these methods can be employed with other reductions,  
 270 such as [18], rather than CMS methods. The objective of the next section is to provide  
 271 information on the accuracy of the different methodologies.

$\mathbf{h}_{\text{ex}}$	0	1	2	3	4	5	6	7	8	9	10	11	12
$N_{\text{diam}}$	1	13	7	5	4	13	3	13	3	5	7	13	2

Table 2: Number of interacting diameters  $N_{\text{diam}}$  for 24 sectors (see Appendix A in [26]).

### 272 3. Performance and accuracy of the methodologies

273 The different methodologies presented in Section 2 are benchmarked on a finite-element  
 274 model with a variable number of blades. The discretization used in these models makes it  
 275 possible to apply the reference method of the system presented in Section 2.2. A variable  
 276 number of blades is used to increase the modal density of the cyclic system and also to  
 277 increase the amount of nonlinear effect transmitted through the cyclic boundaries. This  
 278 study will give insights into the possible limitations of the different methods.

#### 279 3.1. Presentation of the simplified test case

280 The model studied is composed of a 100mm diameter disk and  $N$  blades (each of  
 281 length 60mm) with typical dovetail attachments. It was used in [25] to study fretting wear.  
 282 The number of blades varies between  $\{6, 12, 18, 24\}$ . Each contact interface is discretized  
 283 with 15 nodes ( $3 \times 5$ ). With 3 DOFs per node, and 2 contact interfaces, each of these  
 284 models contains 180 nonlinear DOFs, all situated at the blade/disk interfaces. Figure 2a  
 285 represents the model with  $N = 24$  blades. The full sector (see Figure 2b) is composed  
 286 of 25920 DOFs. The nonlinear interfaces are illustrated as green surfaces in Figures 2c  
 287 and 2d. A rotational speed of  $\Omega = 1000\text{rad s}^{-1}$  was applied on the system leading to a  
 288 static preload. The gyroscopic effect was assumed negligible and not taken into account



289 in the analysis. The inner region of the disk was clamped. An 0.32N excitation force  
290 was applied at the tip of the blade with either a traveling or a standing wave shape (see  
291 Equations (5) and (6)). The associated wave number was varied arbitrarily and is given in  
292 the numerical results. The nonlinear forces were evaluated with the DLFT algorithm with  
293 a friction coefficient of  $\mu = 0.3$ . The HBM procedure was employed with  $N_h = 3$  (which  
294 is a good compromise choice between accuracy and computation time, and is commonly  
295 used, for instance in [6]), and with the constant harmonic term (corresponding to  $\mathbf{c}_0$  or  $\tilde{\mathbf{c}}_{0,n}$   
296 in Equations (13), (15) or (16)).

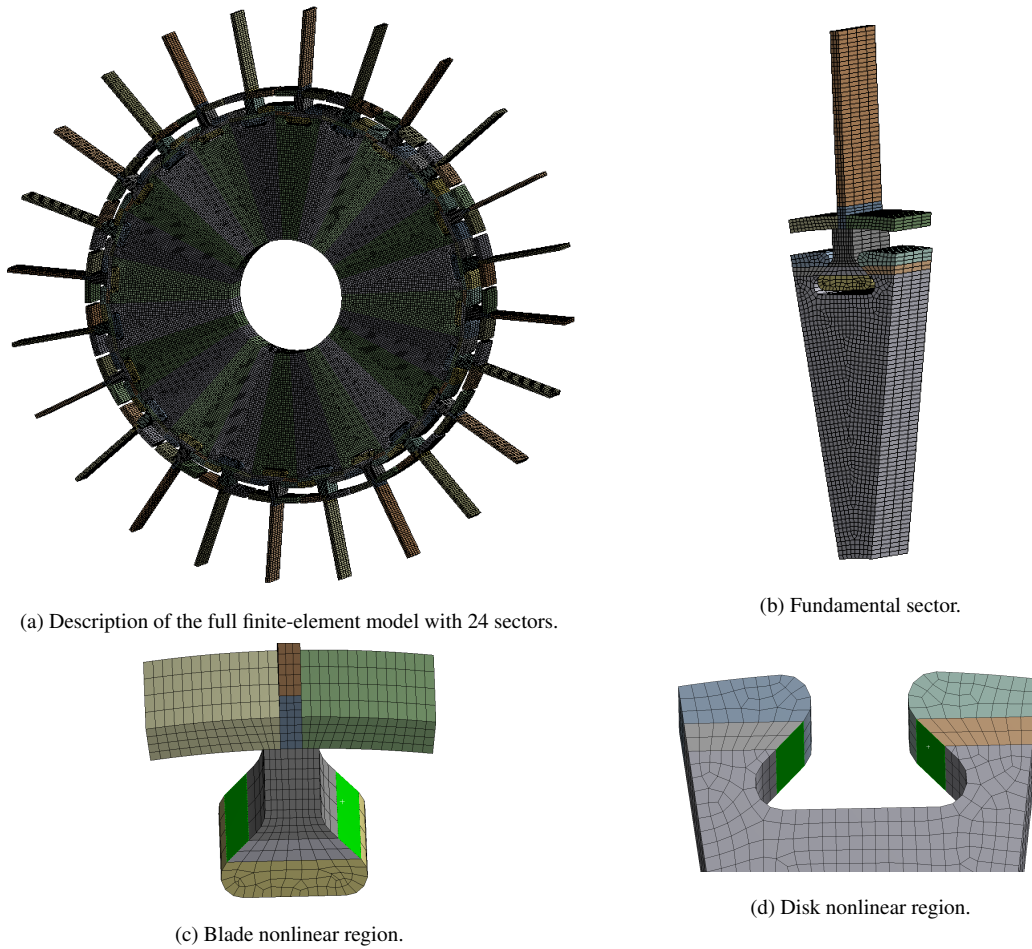


Figure 2: Structural mesh of the bladed disk model.

297 **3.2. Linear analysis**

298 A linear numerical simulation was first performed with the ANSYS commercial FE  
 299 software for the test case  $N = 24$  and an excitation on the third nodal diameter ( $h_{ex} = 3$ ).  
 300 Figure 3a represents the amplitude of the tip of the blade in the frequency range of the first  
 301 three modes of the system.

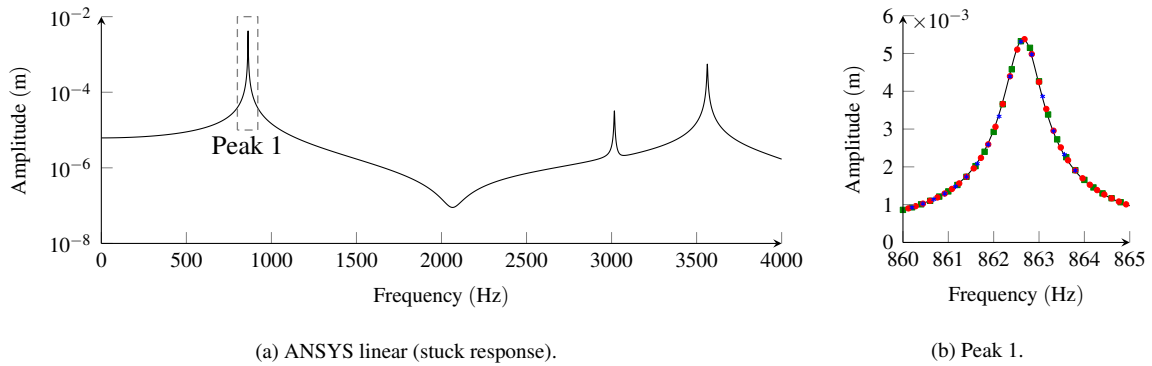


Figure 3: Linear response (—): ANSYS; (■): reference method; (●): Cyclic methods; (◆): CNCMS.

302 The first peak corresponds to the first flapwise flexural mode, the second is a combina-  
 303 tion between torsion and an edge flexion, and the third mode is the first torsion mode. In all  
 304 the following simulations, the first flexural mode of the system, situated around 860 Hz, is  
 305 studied. Each method employed a Craig-Bampton reduction with a sufficiently high num-  
 306 ber of modes, such that the dynamics of this mode were captured accurately, see Figure 3b.  
 307 The CNCMS method was run with the first linear mode and 300 interface modes and also  
 308 gives accurate prediction on the first flexural mode.

309 To capture the second and third linear peaks correctly, all three methodologies need  
 310 a greater number of Craig-Bampton modes. However, note that the CNCMS would also  
 311 need the computation of more linear modes, as well as additional interface modes.

### 312 3.3. Nonlinear numerical results

313 The following results present the amplitude at the tip of the blade for the different  
 314 sectors under harmonic forcing around the first linear flexural natural frequency.

#### 315 3.3.1. Traveling wave excitation

316 A traveling wave excitation was first applied to the system. Figure 4 illustrates the  
 317 results obtained with the reference method, the CNCMS approach (run with the first non-

318 linear mode and 300 interfaces modes), Petrov's methodology, Method 1 and Method 2  
319 for different numbers of blades and excitation wave numbers. All the sectors show the  
320 same frequency forced response, hence only a single curve per method is represented in  
321 [Figure 4](#).

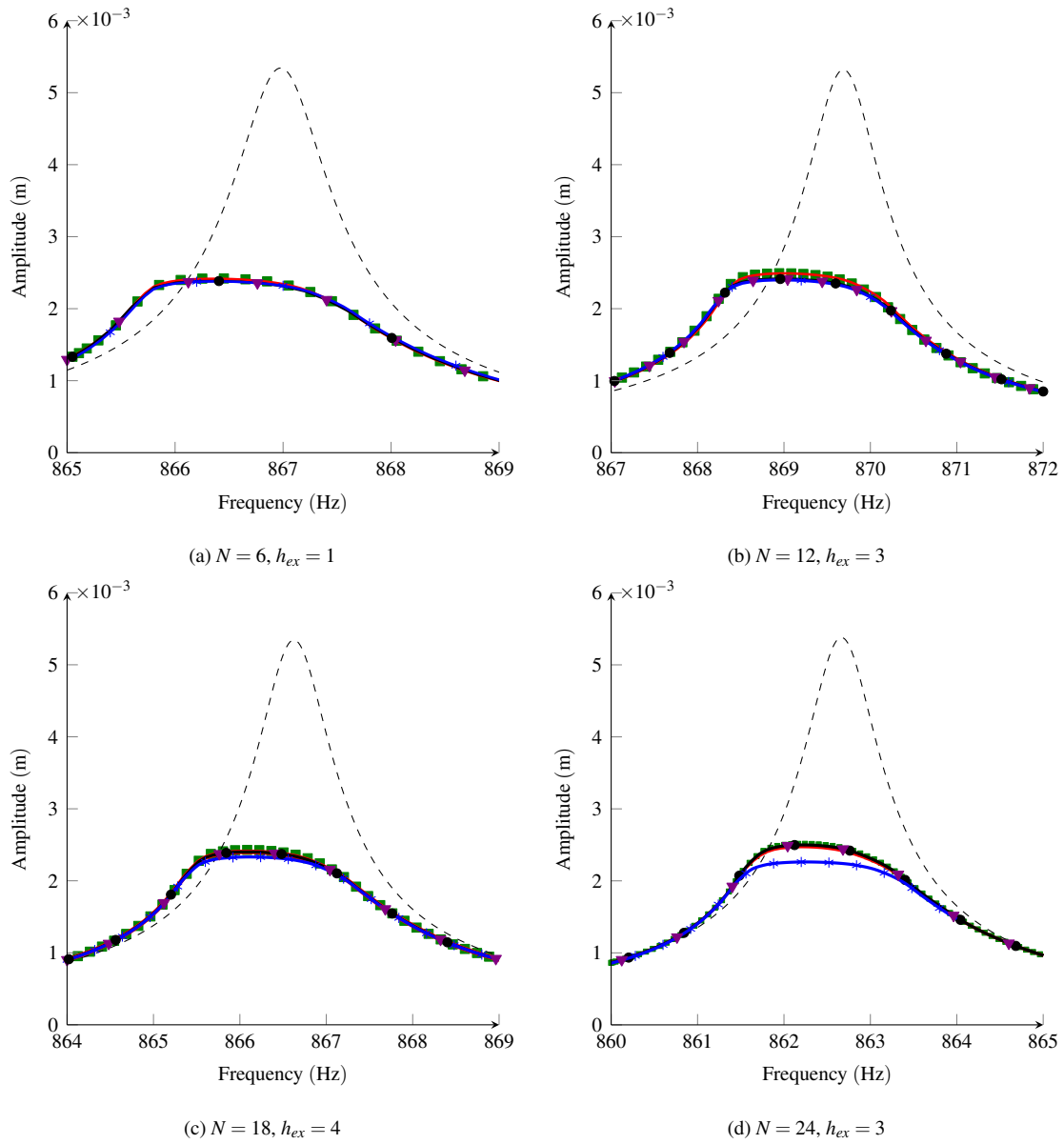


Figure 4: Frequency forced response under a traveling wave excitation for different numbers of sectors and excitation wave numbers. ( - - ): linear solution (fully stuck); (■): reference solution; (+): CNCMS; (▼): Petrov's method; (●): Method 1; (●): Method 2.

322

Figure 4 shows a similar behavior regardless of the number of sectors: due to friction

323 and separation of nodes at the different interface regions, the nonlinear amplitude is ap-  
 324 proximately half that of the linear response. The higher the number of sectors, the larger  
 325 the error becomes between the CNCMS procedure and the reference solution. This ob-  
 326 servation is highlighted in Table 3 in which the maximum relative errors are given. The  
 327 maximum error was calculated with

$$\text{error} = \max_j \left( \max_{\omega} \left( \left| \frac{u_{\text{ref},j} - u_{\text{RM},j}}{u_{\text{ref},j}} \right| \right) \right), \quad (19)$$

328 where  $u_{\text{ref},j}$  and  $u_{\text{RM},j}$  denote respectively the reference solution and the reduction method-  
 329 ology solution for a sector  $j$ . The inaccuracy of the CNCMS is due to the second stage  
 330 of reduction: the nonlinear Craig-Bampton. This step evaluates the nonlinear mode with  
 331 fixed boundaries and corrects this approximation by linear static mode shapes. For a high  
 332 number of sectors (the cyclic boundaries are close to the nonlinear regions) and a high level  
 333 of nonlinearity, the linear static mode shapes cannot transmit the nonlinear contributions  
 334 correctly to the neighbouring sectors.

Number of sectors	6	12	18	24
CNCMS	1.7%	3.6%	4.1%	10.4%
Petrov's method	1.4%	3.2%	1.2%	0.6%
Method 1	1.4%	3.2%	1.2%	0.6%
Method 2	0.2%	0.1%	1.2%	1.6%

Table 3: Relative error in the case of a traveling wave excitation.

335 For Method 1, Method 2 and Petrov's method, the responses are very accurate. A small  
 336 discrepancy may be observed in Figure 4b for Petrov's method (which corresponds to the  
 337 3.2% error in Table 3). This result is rather surprising, especially with the corresponding  
 338 0.1% error of Method 2. For this specific test case, both methodologies take into account

339 both the nodal diameter 0 (with the 0<sup>th</sup> harmonic) and nodal diameter 3 (with the 1<sup>st</sup> and  
340 3<sup>rd</sup> harmonics). Petrov's method also considers nodal diameter 6 with the 2<sup>nd</sup> harmonic.  
341 Therefore this additional unknown, which at first sight proposes more flexibility in the  
342 solution, creates a small error. It can be assumed that this result is specific to this test case  
343 in which the different assumptions may somehow offset one another.

### 344 3.3.2. *Standing wave excitation*

345 A standing wave excitation was now applied to the structure. Figure 5 presents the  
346 results obtained with the reference method, the CNCMS approach, and Method 1 (both  
347 Petrov's method and Method 2 are inappropriate with a standing wave excitation). Con-  
348 trary to the traveling wave case, the sectors present different levels of amplitude.

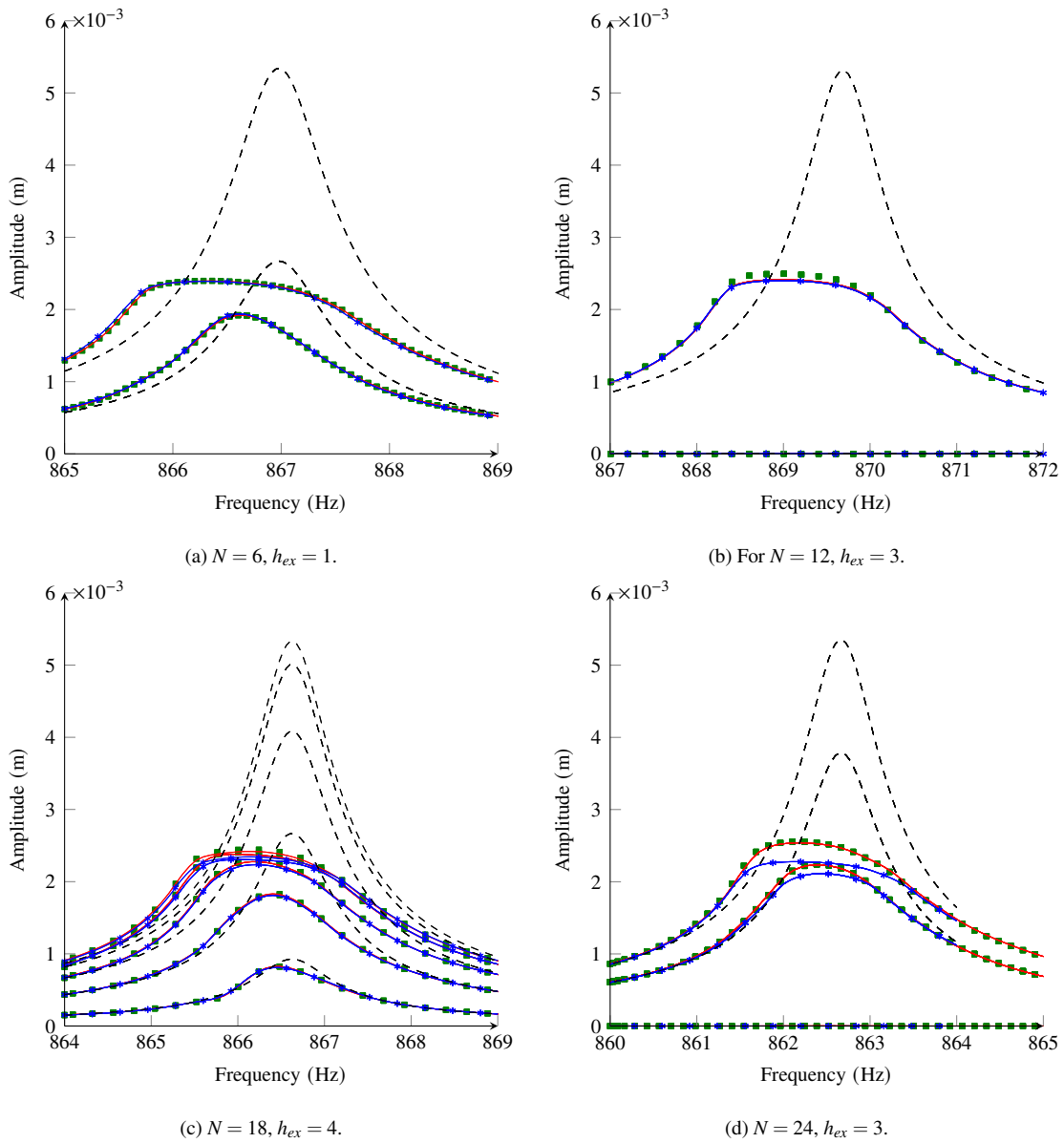


Figure 5: Frequency forced response under a standing wave excitation for different numbers of sectors and excitation wave numbers. ( - - ): linear solution (fully stuck); (■): reference solution; ( -+ ): CNCMS; ( - ): Method 1.

349 The amplitude responses and overall behavior of Figure 5 is quite different to those



350 shown in Figure 4 due to the different excitation. As will be explained in more detail  
 351 in Section 3.4, the way the energy is exchanged within the system between the nodal  
 352 diameters is different in the case of a traveling or a standing wave excitation. Similarly to  
 353 Figure 4, we observe that the relative error of the CNCMS gets higher as the number of  
 354 sectors increases. As depicted in Table 4, Method 1 is very accurate. The maximum level  
 355 of error reached for  $N = 12$  (3.2%) is explained next.

Number of sectors	6	12	18	24
CNCMS	2.5%	3.6%	4.1%	10.9%
Method 1	1.6%	3.2%	1.1%	0.6%

Table 4: Relative error for standing wave excitation.

356 Method 1 does not make any assumption on the shape of the solution. It is based on  
 357 an analytical development of nodal diameter interactions in the presence of friction non-  
 358 linearities. However, as the DLFT algorithm used in the simulations manages to capture  
 359 states of separation, the nodes may be in one of the three following states over a period:  
 360 totally separated (there is no contact, therefore Method 1 is exact), never separated (once  
 361 again Method 1 is exact as the nodes may be stuck or sliding), or undergoing a partial  
 362 separation (alternating between contact and separation over one period). For this last case,  
 363 Method 1 is not exact and may be inaccurate.

364 In order to provide a global view of the contact status of the nodes at the interface, Fig-  
 365 ure 6 presents the average status of all nodes over the period (discretized into  $n_{it}$  instants)  
 366 for Method 1 (illustrated using lines) and the reference method (depicted with square and  
 367 circle markers). The full lines (or squares) represent the percentage of nodes undergo-  
 368 ing separation (colored green), stick (colored red) and slip (colored blue) behavior. For

369 example, in the case of separation, this percentage is calculated with

$$\%separation = \frac{1}{n_{it}} \sum_{it=1}^{n_{it}} \left( \frac{1}{N_{\text{dof,nl}}} \sum_{\text{node}=1}^{N_{\text{dof,nl}}} (\text{contact}(it, \text{node}) == \text{separation}) \right) \times 100, \quad (20)$$

370 with  $\text{contact}(it, \text{node}) == \text{separation}$  equal to 1 if the contact of the node is separated at  
 371 time  $it$ , and 0 otherwise. Moreover, in Figure 6, the dotted lines (or circles) denote the  
 372 percentage of nodes undergoing a constant status over the entire period. The same color  
 373 code is used to represent this status. For the constant separation case for instance, it is  
 374 calculated with

$$\%separation_{\text{tot}} = \frac{1}{N_{\text{dof,nl}}} \sum_{\text{node}=1}^{N_{\text{dof,nl}}} (\text{contact}(\forall it, \text{node}) == \text{separation}) \times 100, \quad (21)$$

375 with  $\text{contact}(\forall it, \text{node}) == \text{separation}$  equal to 1 if the contact node is separated at all  
 376 times and 0 otherwise. The average percentage of stuck or sliding nodes is calculated in  
 377 a way similar to Equations (20) and (21). This post-treatment enables the overall contact  
 378 status of the system to be described. As an example, Figure 6c shows at 864Hz that  
 379 the nodes are mostly stuck (98% overall and about 50% are stuck at all time). Method  
 380 1 provides results close to the reference solution (small discrepancies are obtained for  
 381  $N = 12$  and  $N = 24$  but the overall trend is respected).

382 Furthermore this post-treatment enables the level of accuracy of Method 1 to be quan-  
 383 tified reasonably: if partial separation occurs (presented by a difference between the full  
 384 green line and the dotted green line in Figure 6) then it explains why Method 1 is no longer  
 385 exact. For instance, for  $N = 6, 12, 18$  and  $24$  sectors the nodes undergo respectively partial  
 386 separation at a maximum level of 6%, 8%, 1% and 0.2% (note that the dotted green lines  
 387 are equal to 0 for all numbers of sectors and hence no node is fully separated). A direct

388 correlation can then be made with Table 4: the error level increases with the percentage of  
 389 partial separation.

390 A similar analysis cannot be made to explain differences obtained with Method 2 in  
 391 Section 3.3.1. This method makes the additional assumption of a traveling wave shape  
 392 solution: the error obtained can therefore be due to a combination of different assumptions.

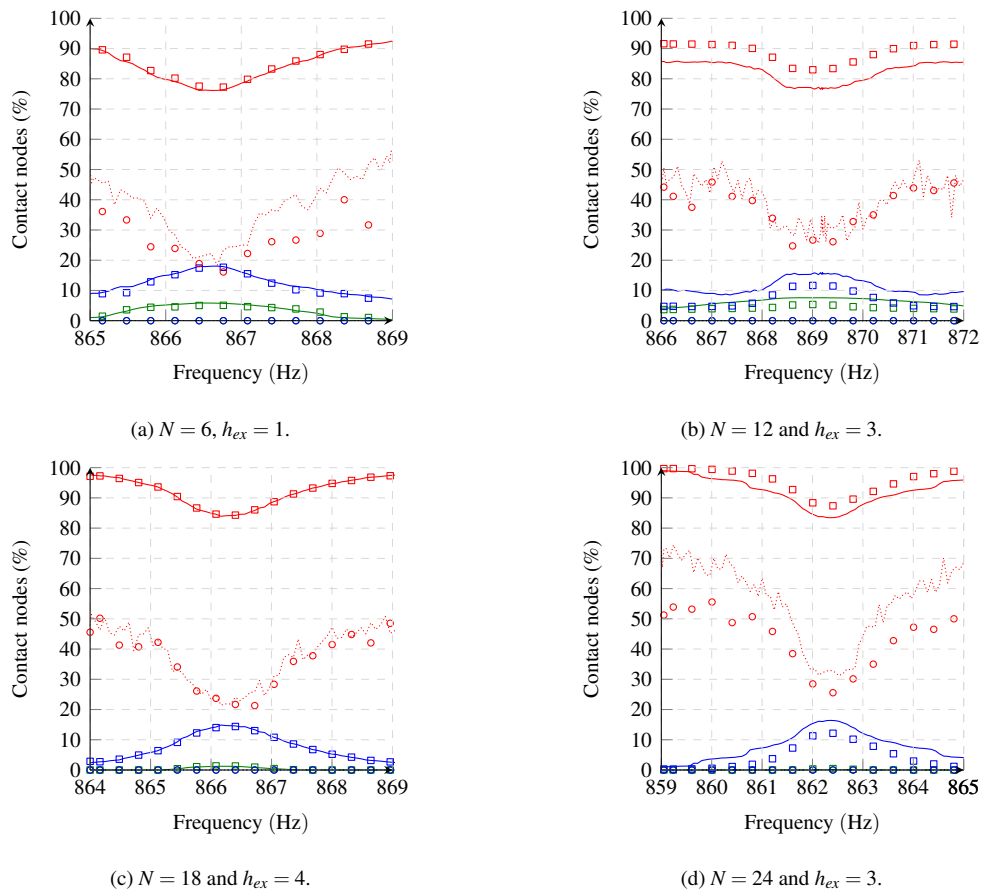


Figure 6: Average contact status of the nodes over a period. (—): separation; (—): stuck; (—): slip calculated with Method 1. For each contact status, (.....) with the same color code corresponds to the percentage of which the status does not change over time. (□), respectively (○), shows the results associated with (—), respectively (.....), but obtained with the reference solution (the same color code is used).

393 *3.4. Details on the spectral contents of the responses for the  $N = 24$  case*

394 While Figure 4d (obtained with a traveling wave excitation) showed a single level of  
395 amplitude for all sectors, Figure 5d (obtained with a standing wave excitation) shows dif-  
396 ferent levels. To better highlight why such differences occur, we now focus on the spectral  
397 content of the different responses. As demonstrated in [26], the friction nonlinearities cre-  
398 ate coupling between the zeroth, third and ninth nodal diameters (the external excitation  
399 follows a wave pattern with  $h_{ex} = 3$  and the static preload corresponds to a 0 diameter  
400 excitation). Those are the diameters illustrated in Figure 7. The reference solution also  
401 contains a negligible amplitude response (below  $1 \times 10^{-7}$  m) on other nodal diameters due  
402 to separation and these diameters are therefore not represented.

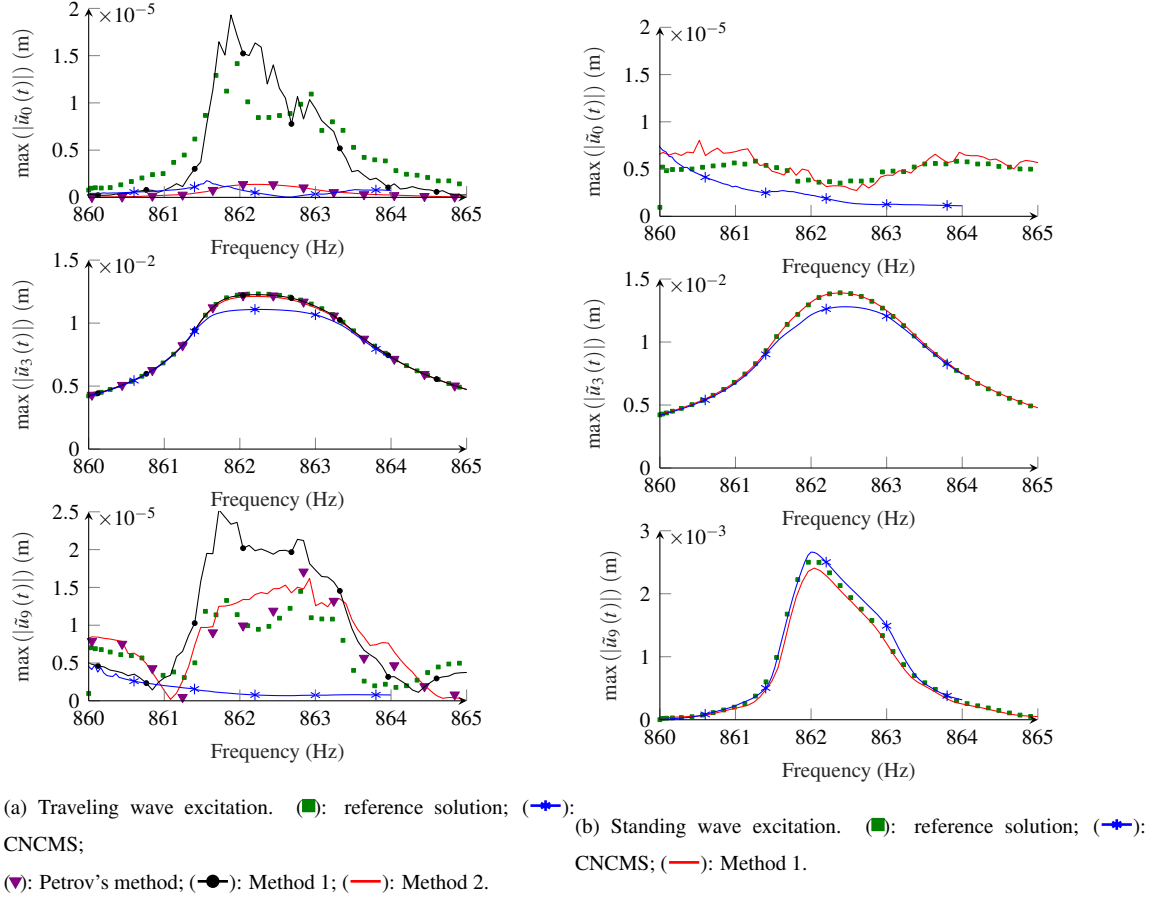


Figure 7: spectral contents of the responses illustrated in Figure 4d and 5d

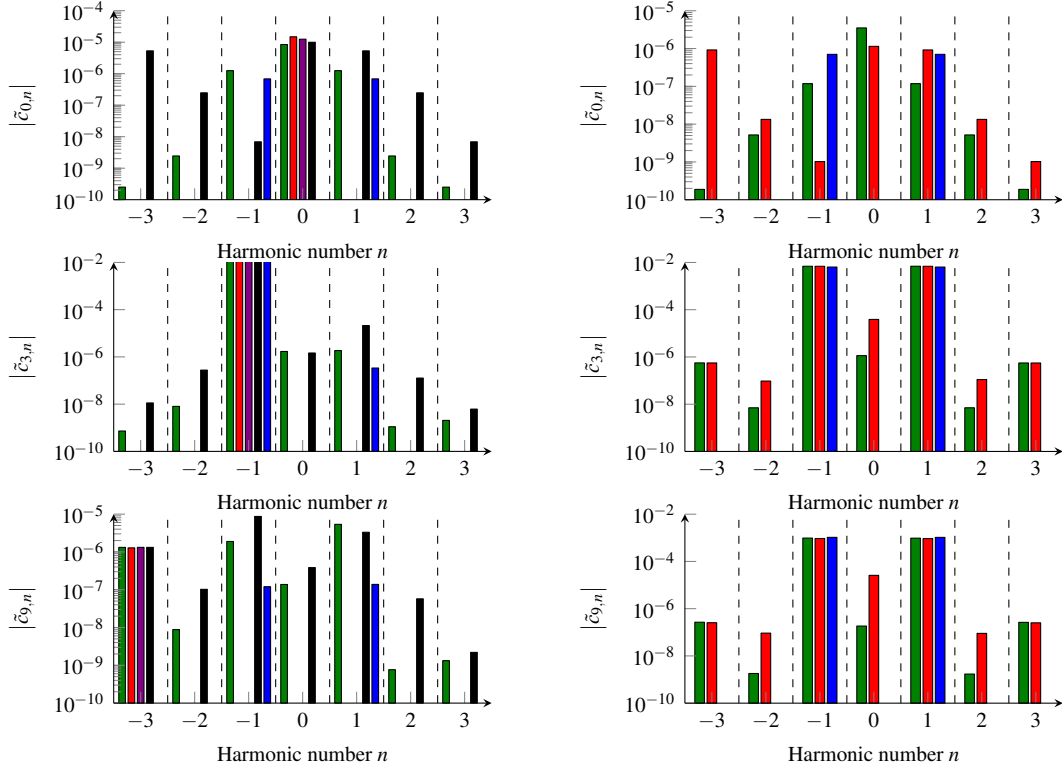
403 In the case of a traveling wave excitation, the different methods capture the trend of  $\tilde{u}_3$   
 404 correctly (except for the 10% error of the CNCMS) and give a correct order of magnitude  
 405 for  $\tilde{u}_9$ . However for  $\tilde{u}_0$ , the different methodologies (except Method 1) capture neither the  
 406 correct trend nor the order of magnitude.

407 Some details on the harmonics composition of these spectral components are provided  
 408 in Figure 8 at a specific frequency. Both Petrov's method and Method 2 assume a trav-  
 409 eling wave displacement which manages to capture the harmonic -1 of  $\tilde{u}_3$  correctly and  
 410 thus the overall behavior of the system. However, the harmonic content of the reference

411 solution clearly shows that the solution is not a pure traveling wave solution (as an exam-  
412 ple,  $\tilde{u}_9$  responds on the harmonics  $-1$  and  $1$  with an amplitude greater than the harmonic  
413  $-3$ ). Overall, Method 1 manages to capture most of the harmonics correctly. Some dis-  
414 crepancies can be observed, however these discrepancies are for low values of harmonics  
415 amplitudes and do not impact the amplitude of structural vibration (as underlined in Ta-  
416 ble 3).

417 In the case of a standing wave excitation, Method 1 manages to capture the dynamics  
418 of  $\tilde{u}_0$ ,  $\tilde{u}_3$  and  $\tilde{u}_9$  correctly, as observed in Figure 7b. The harmonics content, shown in  
419 Figure 8b, clearly exhibits a standing wave solution (because  $\tilde{c}_{k,n} = \tilde{c}_{k,-n}$ ).

420 From Figure 8a and 8b, we observe that the coupling between the third and ninth nodal  
421 diameters is 1000 times greater for a standing wave than for a traveling wave. Therefore it  
422 seems that nodal diameter interactions is facilitated when the structure is under a standing  
423 wave excitation.



(a) Traveling wave excitation (the color code matches the one of Figure 7a).  
 (b) Standing wave excitation (the color code matches the one of Figure 7b).

Figure 8: Harmonics contents of the responses given in Figure 7a and 7b calculated at 862.4Hz.

### 3.5. Performance of the methods

Tables 5 and 6 set out approximated computation times obtained with the different methodologies applied to the system with 24 sectors<sup>1</sup>. Only the computation time of the frequency forced response is provided. The preprocessing time is not included in Tables 5 and 6. The CNCMS method first required the evaluation of a nonlinear mode which took 27 min. However this mode has been computed only once and can be used for any excitation.

<sup>1</sup>The simulations were run on an Intel(R) Core(TM) i7-7700 @ 3.6 GHz computer

<b>Method</b>	<b>Number of unknowns</b>	<b>Computation time</b>
HBM full system	15120	5 h
CNCMS	648	12 min
Petrov's Method [24]	630	40 s
Method 1	3150	17 min
Method 2	450	24 s

Table 5: Performance of the methods in the case of a traveling wave excitation (with 24 sectors).

<b>Method</b>	<b>Number of unknowns</b>	<b>Computation time</b>
HBM full system	15120	6 h
CNCMS	648	13 min
Method 1	3150	18 min

Table 6: Performance of the methods in the case of a standing wave excitation (with 24 sectors).

431 In the case of a traveling wave excitation, Petrov's method and Method 2 are highly  
432 efficient: the computation time is greatly reduced compared to the reference method or  
433 the CNCMS method. Method 1 requires a longer computation time but offers more flex-  
434 ibility as it can handle any kind of excitation. The CNCMS approach also reduces the  
435 computation time but shows some inaccuracy for a high level of nonlinearity and a high  
436 number of sectors. However the capacity of the CNCMS to handle mistuning [34] makes  
437 it interesting with a low level of nonlinearity. Studying the effect of mistuning is beyond  
438 the scope of the current paper.

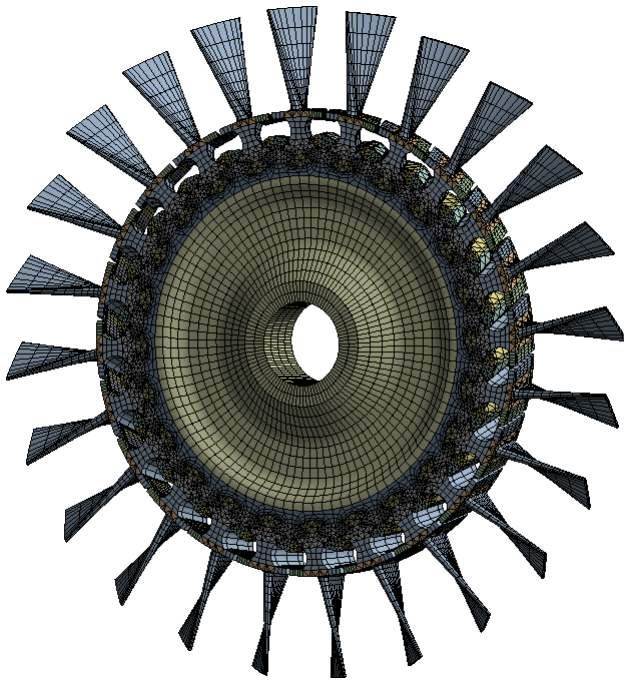


#### 439 **4. Damping mechanisms for a bladed disk with underplatform dampers**

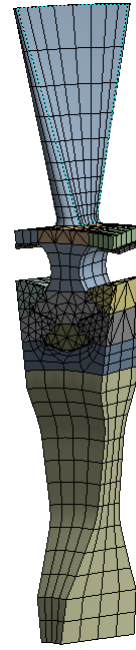
440 Section 3 showed that Method 1 and Method 2 are accurate and efficient as reduction  
441 models to tackle nonlinear finite-element models. Using these, we investigate in this sec-  
442 tion the influence of underplatforms dampers for a bladed disk with fir-tree attachment.  
443 These parameter studies could have not been performed without the existence and valida-  
444 tion of such high-standard reduction methods.

##### 445 *4.1. Presentation of the test case*

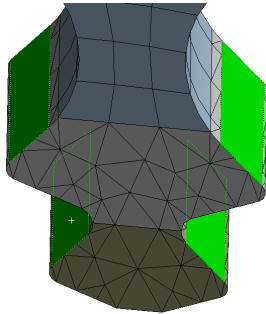
446 The finite-element model considered is shown in Figure 9 and is a realistic represen-  
447 tation of a compressor stage. Multiple nonlinear regions exist: the bladed disk fir-tree  
448 attachment (see Figure 9c where the nonlinear interfaces are in green) and the underplat-  
449 form dampers (see Figure 9d). The full sector is composed of 30840 DOFs (14430 for the  
450 blade, 14628 for the disk and 1782 for the underplatform dampers). Quadratic elements  
451 were employed for the analysis. The bladed disk contact is described with 432 nonlin-  
452 ear DOFs and the contact blade/dampers with 306 nonlinear DOFs. A rotational speed  
453 of  $\Omega = 2200 \text{ rad s}^{-1}$  is initially applied on the system. A  $4 \times 10^{-1} \text{ N}$  excitation force is  
454 applied at the tip of the blade with either a traveling or a standing wave shape (see Equa-  
455 tions (5) and (6)) with  $h_{ex} = 3$ . Three configurations were studied: a bladed disk with  
456 no dampers (the nonlinear contact occurs only between the blades and the disk, see Fig-  
457 ure 10a), a stuck bladed disk with dampers (the nonlinear contact occurs only between  
458 the dampers and the blades, see Figure 10b), and finally a full structure with blade, disk  
459 and dampers (nonlinear contact occurs between the blades, the disk and the dampers, see  
460 Figure 10c). The nonlinear forces were evaluated with the DLFT algorithm with a friction  
461 coefficient of  $\mu = 0.15$ . The HBM procedure is employed with  $N_h = 3$ .



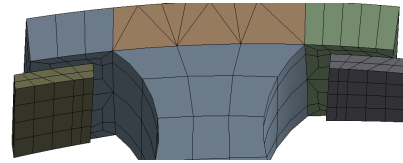
(a) Full structure.



(b) Fundamental sector.



(c) Fir-tree attachment.



(d) Underplatform dampers.

Figure 9: Details on the realistic finite-element bladed disk.

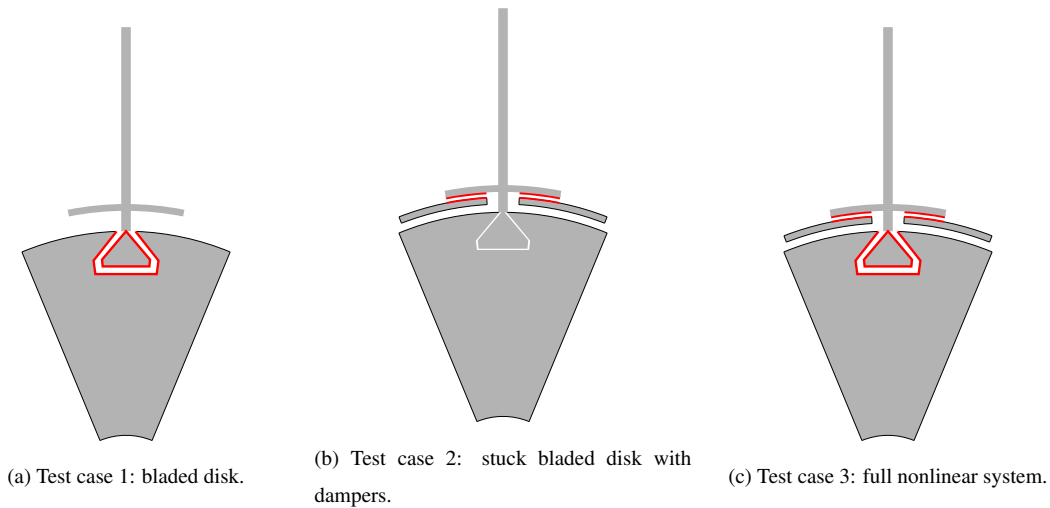


Figure 10: Illustration of the test cases considered. The nonlinear frictional interfaces accounted for are depicted in red.

#### 462 4.2. Numerical results on the standard test case

463 In the following, as in Section 3, two kinds of excitations were considered: a traveling  
 464 excitation and a standing excitation. As the reference solution presented in Section 2.2  
 465 is no longer computable on a standard computer due to the number of nonlinear DOFs,  
 466 we will then use respectively Method 2 and Method 1 to simulate the nonlinear dynamics  
 467 of the structure. In order to get full confidence in the results obtained with Method 2,  
 468 we also performed the same simulations with Petrov's method. The harmonics content of  
 469 each nodal diameter for the different methods are provided in Table 7. The excitation fre-  
 470 quency was varied around the natural frequency of the 1<sup>st</sup> flexural mode. Once again, the  
 471 frequency forced responses illustrated represent the displacement at the tip of the blades  
 472 for all sectors.

Nodal diameters	Petrov's method [24]	Method 2	Method 1
0	0	0	[[0, 3]]
3	1	1	[[0, 3]]
6	2	n/a	n/a
9	3	3	[[0, 3]]

Table 7: Harmonics content of the spectral displacements for the different methodologies.

#### 4.2.1. Traveling wave excitation

Figure 11 presents the frequency forced response of the three systems mentioned previously and illustrated in Figure 10. For the linear cases (stuck systems), represented by black dashed lines in Figure 11, we observe that the presence of dampers shifts the linear frequency towards high frequencies: the peak is reached at either 1538Hz (Figure 11a without dampers) or 1586Hz (Figure 11b and 11c with dampers). This result can simply be explained by the fact that the dampers add rigidity to the system. For the nonlinear bladed disk system, Figure 11a shows results similar to those presented in Section 3: the peak is damped by approximately 35% and is shifted to the low frequencies when the nonlinear effects are accounted for (friction and separation). For the stuck bladed disk with dampers, the results of which are illustrated in Figure 11b, the effects due to the nonlinearities are magnified: a shift of 8 Hz is observed and the damping is increased up to 55%. As Figure 11c (corresponding to the full system) is similar to Figure 11b, we can conclude that the nonlinear effects are mainly governed by the dampers and not by the blade root attachment.

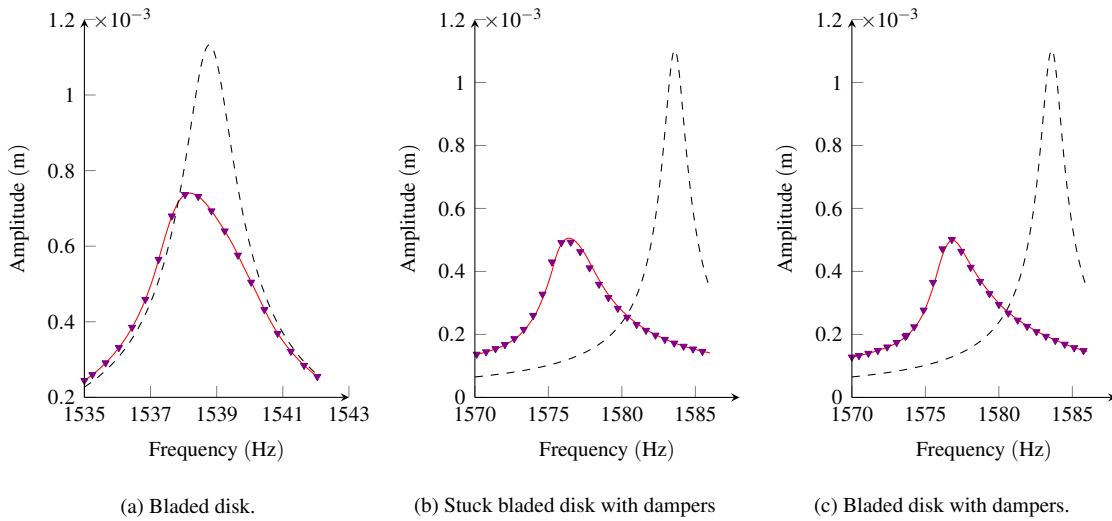


Figure 11: Frequency forced response under a traveling wave excitation. (---): linear solution (fully stuck); (▼): Petrov's method; (—): Method 2.

488 Figure 12 depicts the overall contact behavior of the three nonlinear systems whose  
 489 forced response, calculated with Method 2, is illustrated in Figure 11. To better highlight  
 490 how the nonlinear regions in the full system (blade/disk and blade/dampers) interact with  
 491 each other, the contact status for each region is illustrated separately in Figure 12c. Doing  
 492 so facilitates the comparison between Figure 12c and Figure 12a to study the bladed disk  
 493 contact and between Figure 12c and Figure 12b to study the blade/damper contact.

494 For the bladed disk (see Figure 12a), 5% of the nodes are totally separated. Moreover,  
 495 as only 2% (difference between the solid and dotted green lines) of the nodes undergo  
 496 partial separation, the results are expected to be accurate (see Section 3.3.2). The dynamics  
 497 are completely different in the case of a stuck bladed disk with dampers (see Figure 12b)  
 498 where 50% of the nodes are separated. At the resonant peak, more nodes undergo partial  
 499 separation (the difference between the solid and dotted green lines is approximately 10%)  
 500 and there are more nodes in the slipping phase than in the stuck phase (the blue line moves  
 501 above the red line in Figure 12b). Figure 12c describes the contact in the case of the

502 full nonlinear system. Overall, the behaviors match between Figure 12c, Figure 12a, and  
 503 Figure 12b. However, we do observe in Figure 12c that approximately 90% of the blade  
 504 nodes are stuck, whereas there is a decrease to 80% in Figure 12a. This expresses the fact  
 505 that the energy of the system is mainly lost in the dampers and thus the vibration in the  
 506 fir-tree attachment is reduced. Overall, we see that damper nonlinearity is of the upmost  
 507 importance to capture the global dynamics of the system, as well as the loss of vibratory  
 508 energy.

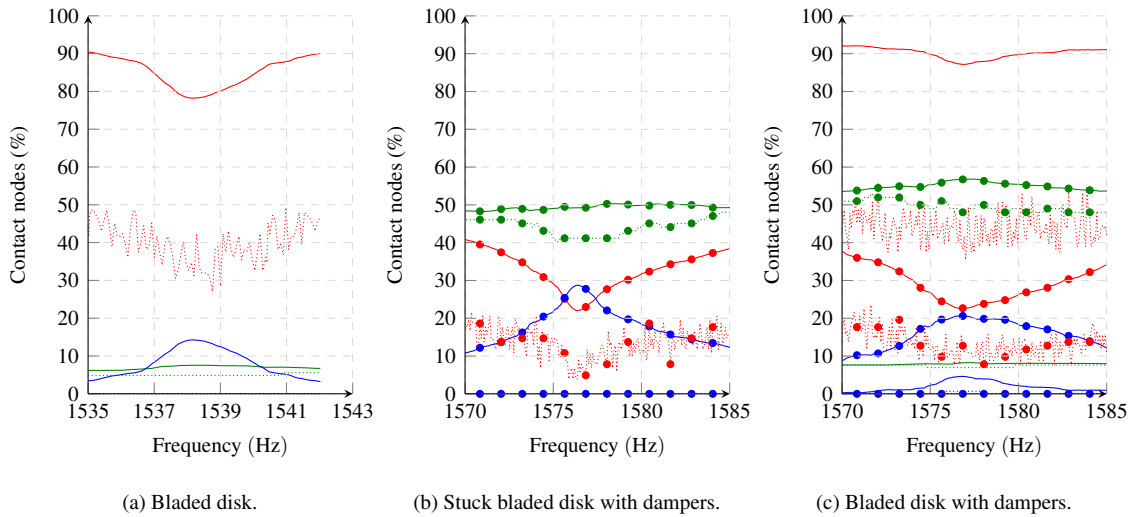


Figure 12: Average contact status of the nodes over a period, in the case of a traveling wave excitation, and with  $\mu = 0.15$ . (—): separation; (—): stuck; (—): slip for the blades/disk contact. (—●—) with the same color code corresponds to damper/blades contact. For each contact status, (.....) and (—●—), with the same color code, correspond to the percentage of which the status does not change over time.

#### 509 4.2.2. Standing wave excitation

510 A standing wave excitation was now applied to the different test cases and the associ-  
 511 ated frequency forced responses calculated with Method 1 are given in Figure 13. For the  
 512 three test cases, the amplitude of the tip of the blade on the first sector is globally the same  
 513 for both types of excitation (comparison between Figures 11 and 13).

514 The contact status of the three configurations over the same frequency range is pro-  
515 vided in Figure 14 and exhibits differences with the case of a traveling wave excitation.  
516 First, we observe that approximately 10% of the nodes are slipping in Figure 14a against  
517 15% in Figure 12a. This indicates that the traveling wave excitation facilitates the appear-  
518 ance of friction. This can easily be explained by the fact that for a traveling wave solution,  
519 all the sectors undergo the same movement as that presented in Figure 11a. However,  
520 for a standing wave solution, some sectors get a very small amount of energy and remain  
521 stuck. This effect is accentuated for the stuck bladed disk with dampers, where 15% of the  
522 nodes are slipping under a standing wave excitation (see Figure 14b) against 30% for the  
523 traveling wave excitation (see Figure 12b).

524 Another difference between the traveling and standing wave excitations is the number  
525 of separated nodes in the full nonlinear test case. Whereas the separation effect was largely  
526 dominant (approximately 55%) for the damper/blade contact in the case of a traveling  
527 wave excitation (see Figure 12c), the stuck phase predominates in the case of a standing  
528 wave excitation (see Figure 14c). As this observation was not made for the stuck bladed  
529 disk with dampers case (Figure 14b), we can expect this effect to come from the coupling  
530 between the standing wave excitation creating different levels of energy for the sectors and  
531 the nonlinearity between the blades and disk.

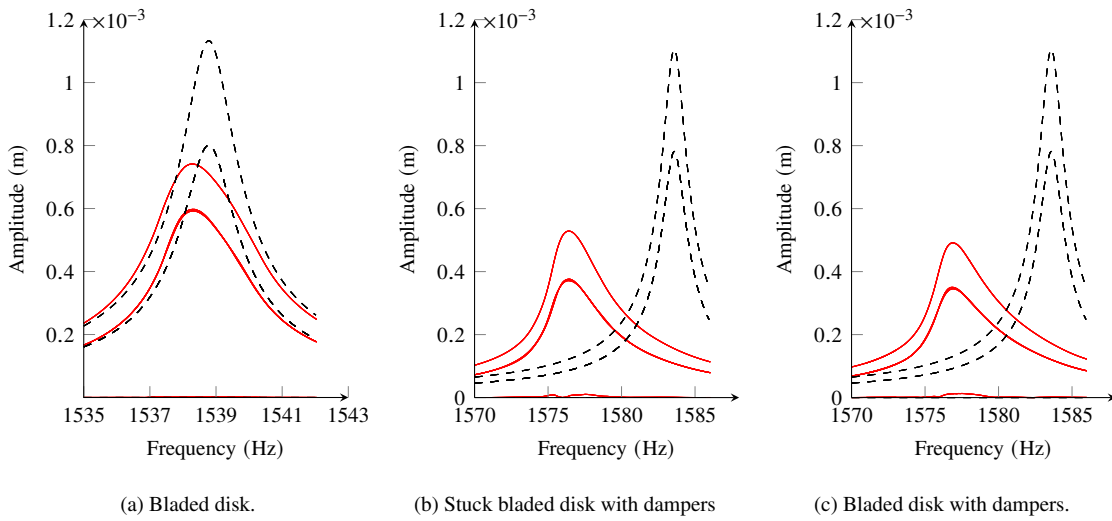


Figure 13: Frequency forced response in the case of a standing wave excitation. (---): linear solution (fully stuck); (—): Method 1.

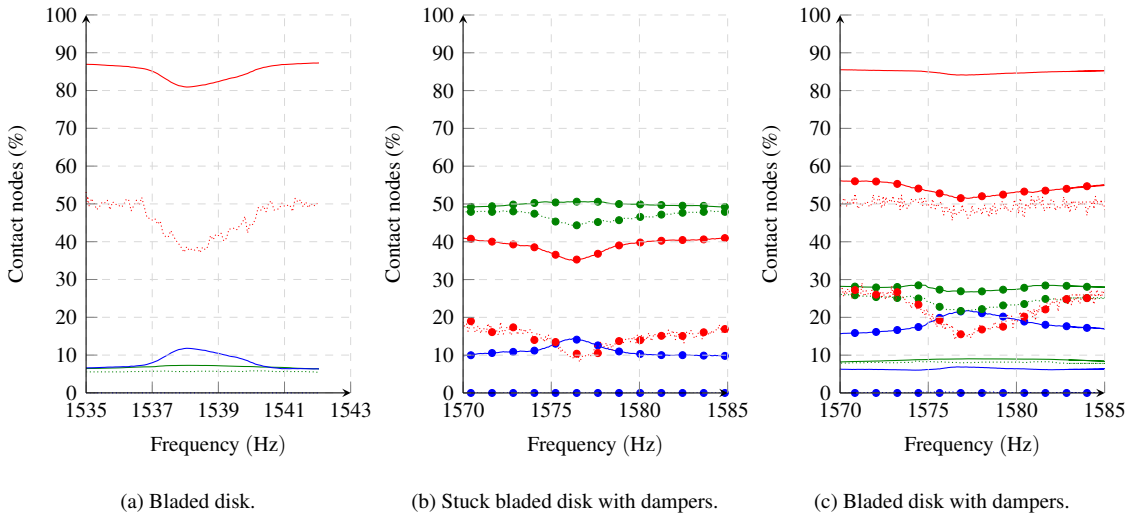


Figure 14: Average contact status of the nodes over a period, in the case of a standing wave excitation. The legend matches the one of Figure 12.



532 4.3. Details of the spectral components of the responses of the three test cases under a  
 533 standing wave excitation

534 In this section, we highlight the spectral components of the frequency forced responses  
 535 illustrated in Figure 13. These components are shown in Figure 15 for each test case.

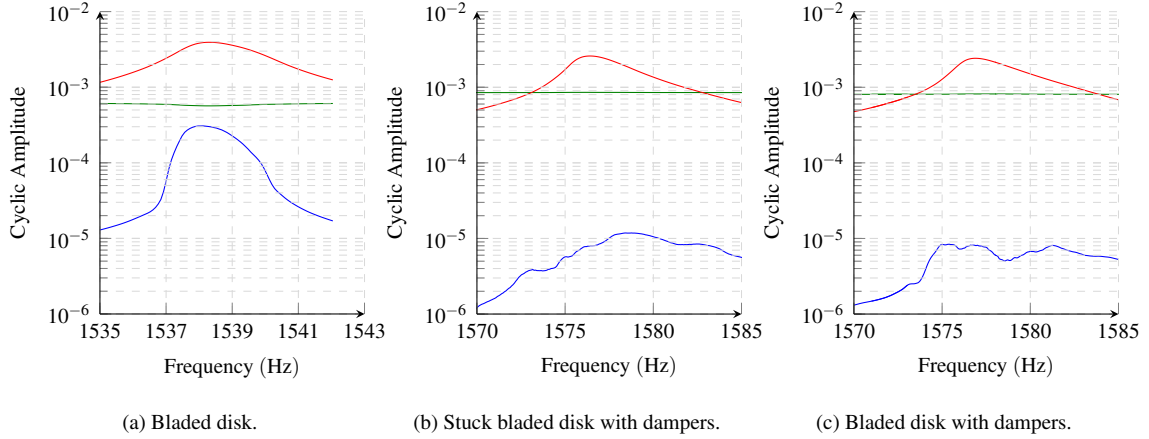


Figure 15: Spectral components of the responses shown in Figure 13 obtained with Method 1. (—):  $\tilde{u}_0$ , (—):  $\tilde{u}_3$  and (—):  $\tilde{u}_9$ .

536 As can be seen in Figure 15, the response of the 0—th nodal diameter shows only very  
 537 slight variations over the frequency range studied here. The static preload controls the  
 538 overall behavior and the small variations are due to nonlinear interactions. For the system  
 539 with dampers (Figures 15b and 15c), the amplitude of  $\tilde{u}_0$  is higher due to the additional  
 540 centrifugal loading of the dampers.

541 For the bladed disk case, illustrated in Figure 15a, the ninth and third nodal diameters  
 542 are coupled (the ratio between  $\tilde{u}_9$  and  $\tilde{u}_3$  is approximately 0.08). However, for the stuck  
 543 bladed disk with dampers (Figure 15b), this ratio is only approximately 0.0046. Therefore,  
 544 it appears from these results that the fir-tree attachment facilitates energy transfer between  
 545 nodal diameters.

#### 546 4.4. Influence of the friction coefficient

547 In this section, we study the impact of the friction coefficient on the nonlinear dynamics  
548 of the system. Three values of friction coefficient  $\mu$  are studied: 0.15, 0.3 and 0.45. For  
549 brevity, only a traveling wave excitation was applied. The standing wave excitation gives  
550 analogous results. The simulations were performed with Method 2 only.

551 Figure 16 shows the influence of  $\mu$  on the frequency response, focused around the  
552 first flexural mode for the three test cases. The results of Figure 16a are similar to those  
553 obtained in [1, 25]: as  $\mu$  increases, the amplitude of vibration increases and moves closer  
554 to the stuck linear response.

555 Contrary to the bladed disk system case, the stuck bladed disk with dampers case and  
556 the full nonlinear system case do not give results close to the fully-stuck linear system  
557 (illustrated in the curved black dashed line see Figure 16) as  $\mu$  increases. In fact, due to  
558 the static preload, some damper/blade interface nodes will always be separated no matter  
559 how high  $\mu$  is. To illustrate this separation phenomenon, a vertical black dashed line is  
560 represented in Figures 16b and 16c. It represents the linear resonant frequency (located  
561 at 1576.6Hz) of the system when all interface nodes that are not initially separated due to  
562 the static preload are imposed as being stuck. Naturally, the vibration of the system may  
563 change the contact status of these nodes to partial separation, and thus this vertical line  
564 does not represent the true asymptotic case.

565 For the  $\mu = 0.15$  case, it was observed in Section 4.2.1 that the dampers governed  
566 most of the dynamics of the system. However, the blue and green lines in Figure 16c  
567 (corresponding to  $\mu=0.3$  and  $\mu = 0.45$ ) show a different behavior from Figure 16b and thus  
568 present new dynamics of their own. To describe the system accurately, account must be  
569 taken of the entire structure and its inherent couplings occurring at the different interfaces.

570 Figure 17 illustrates the slipping state of the contact nodes for the three different values  
571 of  $\mu$  and for the three test cases. For the stuck bladed disk with dampers (see Figure 16b),

572 as  $\mu$  increases, the percentage of the slipping phase decreases from 30% to 15%. Less  
 573 energy is thus dissipated and this may explain the amplitude increase as  $\mu$  increases. The  
 574 number of separated nodes (not represented here for brevity) is constant overall at approx-  
 575 imately 50%. Figure 17c represents the slipping contact for the full system test case, we  
 576 still observe a decrease in the percentage of the slipping phase when  $\mu$  increases.

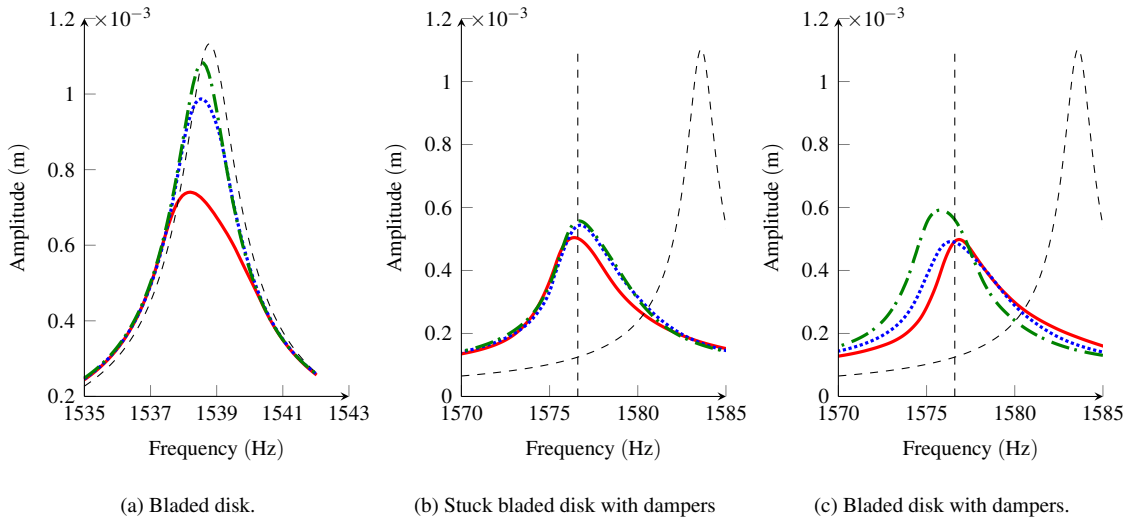


Figure 16: Frequency forced responses for different values of friction coefficients. (—):  $\mu = 0.15$ ; (.....):  $\mu = 0.3$ ; (-·-·):  $\mu = 0.45$ , (- -): linear response (fully-stuck system). The vertical black dashed line indicates the linear frequency obtained with dampers nodes partially separated (the remaining are considered stuck).

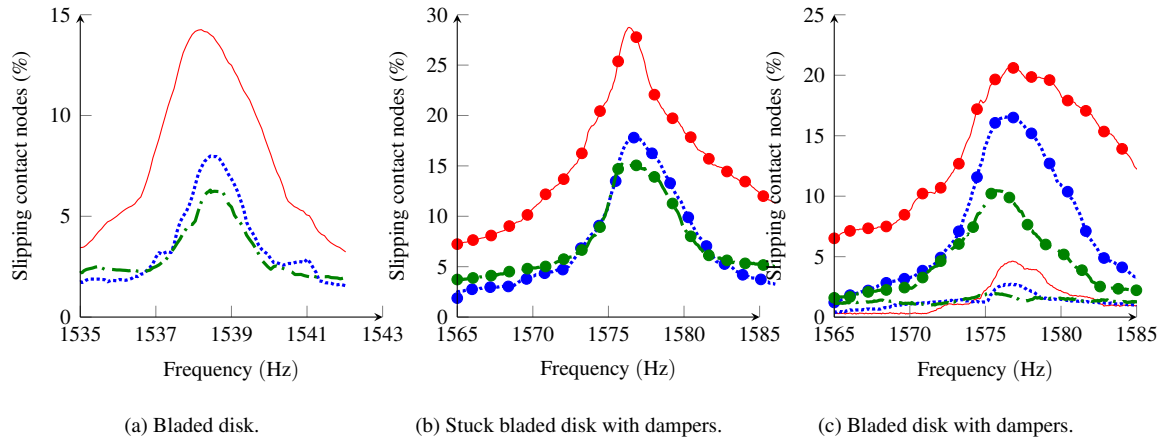
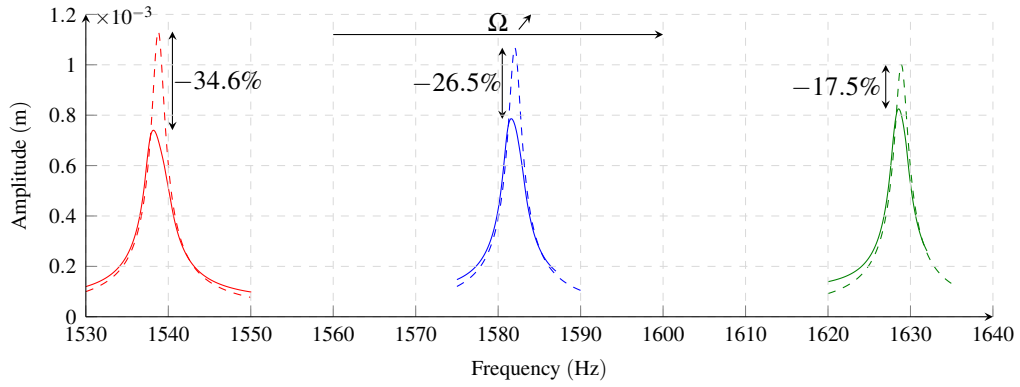


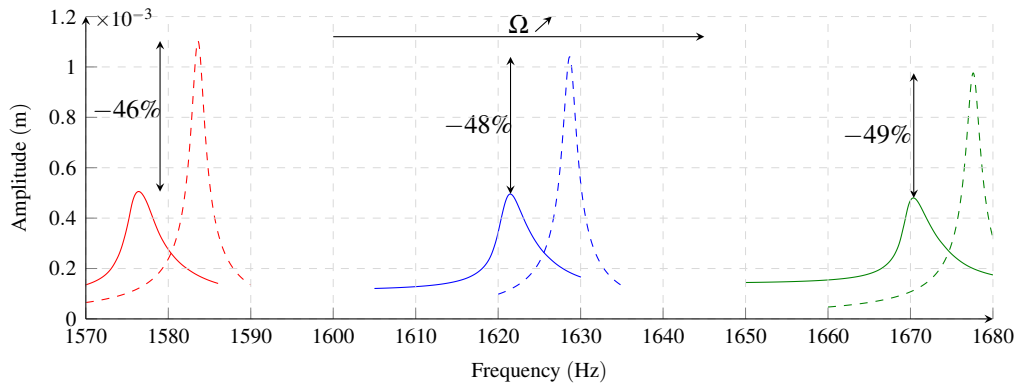
Figure 17: Average slipping contact status of the blades/disk interface nodes over a period for different friction coefficients. (—):  $\mu = 0.15$ ; (.....):  $\mu = 0.3$ ; (---):  $\mu = 0.45$ . The lines with (●) (and the same color code for different  $\mu$ ) represent the contact status of the damper/blade interface nodes.

#### 577 4.5. Influence of the static preload

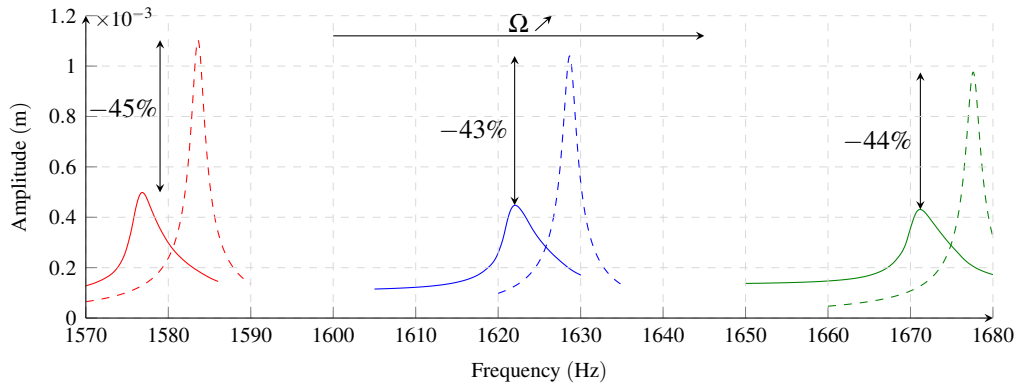
578 In this section, the friction coefficient was kept constant at  $\mu = 0.15$  and three values of  
 579 the rotational speed  $\Omega$  were considered:  $2200\text{rad s}^{-1}$ ,  $2500\text{rad s}^{-1}$  and  $2800\text{rad s}^{-1}$ . The  
 580 variation of the centrifugal force will impact both the frictional nonlinear forces and the  
 581 separation status. Frequency forced responses calculated with Method 2 were obtained for  
 582 the three test cases and with the three aforementioned rotational speeds. These are illus-  
 583 trated in Figure 18. Figure 19 represents the slipping status of the nodes for the different  
 584 static preloads and for each test case. To represent the slipping status of a test case for  
 585 all rotational speeds, the frequencies of each simulation have been normalized by their  
 586 respective resonant linear frequency.



(a) Bladed disk.



(b) Stuck bladed disk with dampers



(c) Bladed disk with dampers.

Figure 18: Frequency forced responses for different values of rotational speeds. (—):  $\Omega = 2200 \text{ rad s}^{-1}$ ; (—):  $\Omega = 2500 \text{ rad s}^{-1}$ ; (—):  $\Omega = 2800 \text{ rad s}^{-1}$ . (- -): linear responses (fully-stuck systems) with the same color code.

587 Figure 18 shows that, whatever the test case, the systems stiffens and the frequency of  
588 the first flexural mode increases when  $\Omega$  increases. The relative amplitude difference at  
589 the resonant peaks between the linear and the associated nonlinear systems are given as  
590 a percentage in Figure 18. For the bladed disk test case (see Figure 18a), the greater the  
591 rotational speed, the less dissipation occurs, and thus the higher is the nonlinear amplitude.  
592 With high rotational speeds, the different solids composing the global structure are pressed  
593 against each other and are thus less likely to slip or get separated. This is confirmed by  
594 Figure 19a. For  $\Omega = 2200\text{rad s}^{-1}$ , at the peak, almost 15% of the nodes are slipping,  
595 whereas this is approximately 10% for  $\Omega = 2800\text{rad s}^{-1}$ .

596 The behavior of the stuck bladed disk with dampers is different: the dissipation in-  
597 creases slightly with the rotational speed (see Figure 18b). Nevertheless we still observe,  
598 in Figure 19b, that 30% of the nodes are slipping for  $\Omega = 2200\text{rad s}^{-1}$ , but only 20% for  
599  $\Omega = 2800\text{rad s}^{-1}$ . Similarly to the bladed disk test case, higher loads lead to a greater  
600 number of nodes getting stuck. The increase in dissipation for the stuck bladed disk with  
601 dampers with  $\Omega$  can be explained by higher normal load at the interfaces which leads to  
602 the tangential interface force also being higher. There is a compromise to be made in  
603 terms of dissipation: on one hand, less dissipation occurs when more nodes get stuck as  
604  $\Omega$  increases; on the other hand the normal load and thus the tangential force increase as  
605  $\Omega$  increases. For the stuck bladed disk, the latter effect is predominant, whereas for the  
606 bladed disk it is the former.

607 For the full nonlinear system, the dissipation varies very slightly and it seems that the  
608 two effects cancel each other out.

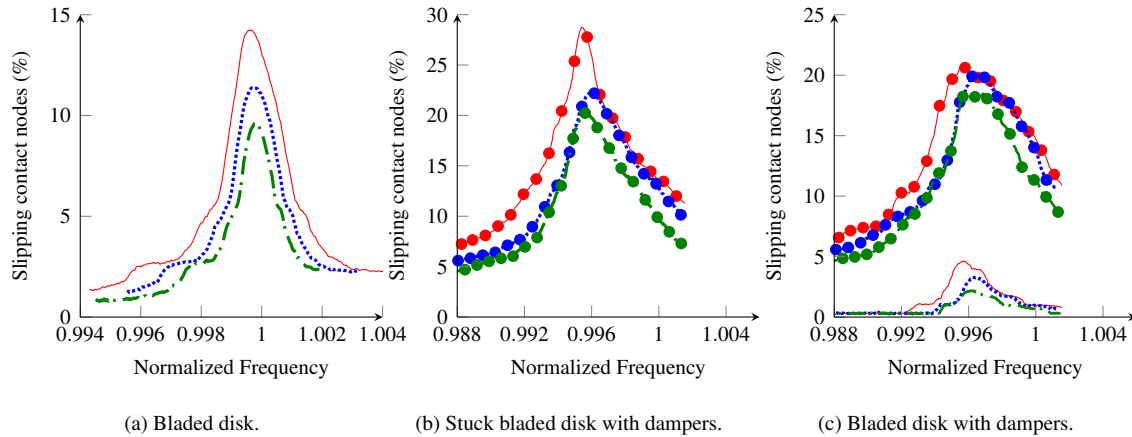


Figure 19: Average slipping status over a period. (—):  $\Omega = 2200 \text{ rad s}^{-1}$ ; (.....):  $\Omega = 2500 \text{ rad s}^{-1}$ ; (---):  $\Omega = 2800 \text{ rad s}^{-1}$  for the blades/disk interface. The lines with (●) are associated with the damper/blades interface (same color code and line style).

## 609 5. Conclusion

610 The combination of recent reduction methodologies for cyclic symmetric systems and  
 611 classical component mode synthesis were employed for the first time in realistic indus-  
 612 trial finite-element models under static and dynamic loading. The cyclic strategies showed  
 613 great accuracy, while reducing computation time significantly. Using these efficient re-  
 614 duction methods, the impact of dampers on a realistic compressor stage with a fir-tree  
 615 attachment was studied. Different values of friction coefficients or centrifugal speed were  
 616 used. The behavior of the full nonlinear system with frictional interfaces both at its root  
 617 and with underplatform dampers was shown to be highly complex and cannot simply be  
 618 interpolated from either the damper nonlinear contact or the bladed disk contact.

619 These recent methods may lack representativeness for shrouded blades when shock  
 620 dictates the dynamics of the system, as they are based on theoretical results obtained with  
 621 friction nonlinearities only. Moreover, they are valid only for tuned structures. Neverthe-  
 622 less, in their framework of capabilities, these recent methodologies are particularly well

623 suited to assessing the performance of a new ROM procedure created to handle a wider  
 624 range of systems, as was done with the CNCMS.

## 625 **Acknowledgements**

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 628 models.

### 629 **A. Dynamic Lagrangian Frequency Time method**

630 In [26], a DLFT algorithm in velocity was employed. Such algorithm is efficient but  
 631 does not converge when handling systems under static preload: the term  $\mathbf{c}_0$  of the dis-  
 632 placement (see Equation (13)) is removed from the algorithm due to the time derivative.  
 633 Therefore, in this paper, a DLFT algorithm in position is used. A Schur condensation, as  
 634 explained in [39], is applied on (14) and gives

$$\mathbf{Z}_r \mathbf{c}_r + \mathbf{c}_{f_{nl}} = \mathbf{c}_{f_{r,ext}}, \quad (\text{A.1})$$

635 where  $\mathbf{Z}_r$  is the relative dynamic stiffness matrix of the system,  $\mathbf{c}_r$  denotes the relative  
 636 harmonics components of the displacement,  $\mathbf{c}_{f_{nl}}$  corresponds to the harmonics of the non-  
 637 linear forces, and  $\mathbf{c}_{f_{r,ext}}$  contains the harmonics of the reduced external forces. The physical  
 638 nonlinear forces are sought as

$$\mathbf{c}_{f_{nl}} = \mathbf{c}_{f_{r,ext}} - \mathbf{Z}_r \mathbf{c}_r + \varepsilon (\mathbf{c}_r - \mathbf{y}_r), \quad (\text{A.2})$$

639 where  $\varepsilon$  is a penalty coefficient (the choice of this parameter is provided in [40]).  $\mathbf{y}_r$   
 640 represents the harmonics of the relative displacement of the system's interface and must  
 641 satisfy the contact/separation law as well as Coulomb's law. In the following, the algorithm  
 642 is divided into two parts: the normal nonlinear forces and the tangential nonlinear forces.



643 *A.I. Normal nonlinear forces*

644 The normal nonlinear force (denoted by the subscript  $N$ ) is separated into two parts:  
645 the optimized nonlinear forces (subscript  $u$ )

$$\mathbf{c}_{\mathbf{f}_{\text{nl},N,u}} = \mathbf{c}_{\mathbf{f}_{\text{r,ext},N}} - \mathbf{Z}_{r,N} \mathbf{c}_r + \boldsymbol{\varepsilon} \mathbf{c}_{r,N}, \quad (\text{A.3})$$

646 and the correction of the nonlinear forces (subscript  $x$ ) to satisfy the normal contact law  
647 (see Equation (17)):

$$\mathbf{c}_{\mathbf{f}_{\text{nl},N,x}} = -\boldsymbol{\varepsilon} \mathbf{y}_{r,N}. \quad (\text{A.4})$$

648 A prediction of the nonlinear forces (subscript  $pre$ ) is made by assuming that the solids  
649 are in contact,

$$\mathbf{c}_{\mathbf{f}_{\text{nl},N,pre}} = \mathbf{c}_{\mathbf{f}_{\text{nl},N,u}}. \quad (\text{A.5})$$

650 Following the AFT procedure [15], this prediction is projected in the discretized time  
651 domain of length  $n_{it}$  ( $t_n = \frac{nT}{n_{it}}$ , with  $n \in \llbracket 1, n_{it} \rrbracket$  and  $T$  the time period of the solution) and  
652 gives  $\mathbf{f}_{\text{nl},N,pre}$ . Two cases must be considered: if  $\mathbf{f}_{\text{nl},N,pre}(t_n) < 0$  (sticking case), then the  
653 prediction is correct (the solids are in contact), there is no correction and hence

$$\mathbf{f}_{\text{nl},N,x}(t_n) = \mathbf{0} \quad (\text{A.6})$$

654 otherwise if  $\mathbf{f}_{\text{nl},N,pre}(t_n) \geq 0$  (separation case), then the prediction is incorrect and must be  
655 corrected by

$$\mathbf{f}_{\text{nl},N,x}(t_n) = \mathbf{f}_{\text{nl},N,u}(t_n). \quad (\text{A.7})$$

656 Finally the nonlinear forces are equal to

$$\mathbf{f}_{\text{nl},N} = \mathbf{f}_{\text{nl},N,u} - \mathbf{f}_{\text{nl},N,x}. \quad (\text{A.8})$$

657 The harmonic coefficients of  $\mathbf{f}_{\text{nl},N}$  are then obtained with the AFT procedure.

658 *A.2. Tangential nonlinear forces*

659 For the tangential force (denoted by subscript  $T$ ), the nonlinear forces are also decom-  
660 posed into an optimized part,

$$\mathbf{c}_{\mathbf{f}_{\text{nl},T,u}} = \mathbf{c}_{\mathbf{f}_{r,\text{ext},T}} - \mathbf{Z}_{r,T} \mathbf{c}_r + \boldsymbol{\varepsilon} \mathbf{c}_{r,T}, \quad (\text{A.9})$$

661 and a correction part

$$\mathbf{c}_{\mathbf{f}_{\text{nl},T,x}} = -\boldsymbol{\varepsilon} \mathbf{y}_{r,T}. \quad (\text{A.10})$$

662 Both of these terms are projected in the discretized time domain and gives  $(\mathbf{f}_{\text{nl},T,u}(t_n))_{n \in \llbracket 1, n_{it} \rrbracket}$   
663 and  $(\mathbf{f}_{\text{nl},T,x}(t_n))_{n \in \llbracket 1, n_{it} \rrbracket}$ . The prediction is equal to

$$\mathbf{f}_{\text{nl},T,\text{pre}}(t_n) = \mathbf{f}_{\text{nl},T,u}(t_n) - \mathbf{f}_{\text{nl},T,x}(t_{n-1}). \quad (\text{A.11})$$

664 Initially, the solids are supposed to be stuck ( $\mathbf{f}_{\text{nl},T,x}(t_0) = \mathbf{0}$ ). For each discretized time  
665  $(t_n)_{n \in \llbracket 1, n_{it} \rrbracket}$ , the tangential forces are evaluated. If, at  $t_n$  the solids are separated then there  
666 is no tangential forces which implies

$$\mathbf{f}_{\text{nl},T,x}(t_n) = \mathbf{f}_{\text{nl},T,u}(t_n) \quad (\text{A.12})$$

667 If, at  $t_n$ , the solids are in contact then two cases must be distinguished: if  $\|\mathbf{f}_{\text{nl},T,\text{pre}}(t_n)\| <$   
668  $\mu |\mathbf{f}_{\text{nl},N}|$  then the solids are stuck and the prediction was correct:

$$\mathbf{f}_{\text{nl},T,x}(t_n) = \mathbf{f}_{\text{nl},T,x}(t_{n-1}). \quad (\text{A.13})$$

669 Otherwise  $\|\mathbf{f}_{\text{nl},T,\text{pre}}(t_n)\| \geq \mu |\mathbf{f}_{\text{nl},N}|$  then the solids are slipping and the correction is equal  
670 to

$$\mathbf{f}_{\text{nl},T,x}(t_n) = \mathbf{f}_{\text{nl},T,x}(t_{n-1}) + \mathbf{f}_{\text{nl},T,\text{pre}}(t_n) \left( 1 - \frac{\mu |\mathbf{f}_{\text{nl},N}(t_n)|}{\|\mathbf{f}_{\text{nl},T,\text{pre}}(t_n)\|} \right) \quad (\text{A.14})$$

671 Finally the nonlinear forces are equal to

$$\mathbf{f}_{\text{nl},T} = \mathbf{f}_{\text{nl},T,u} - \mathbf{f}_{\text{nl},T,x}. \quad (\text{A.15})$$

672 To free oneself from the initial assumption ( $\mathbf{f}_{\text{nl},T,x}(t_0) = \mathbf{0}$ ), the computation of the  
673 tangential nonlinear forces is done for two periods of time.

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