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1	The false aperture problem:
2	Global motion perception without
3	integration of local motion signals
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- 16 Conference on Visual Perception (Allard & Arleo, 2016a). This study was not preregistered and
- 17 the data are available upon request to the corresponding author.
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22 Abstract

23 Early direction-selective neurons in the primary visual cortex are widely considered to be the 24 main neural basis underlying motion perception even though motion perception can also rely on 25 attentively tracking the position of objects. Because of their small receptive fields, early 26 direction-selective neurons suffer from the aperture problem, which is assumed to be overcome 27 by integrating inputs from many early direction-selective neurons. Because the perceived motion 28 of objects sometimes depends on static form information and does not always match the mean 29 direction of local motion signals, the general consensus is that motion integration is form-30 dependent and complex. Based on the fact that early direction-selective neurons respond to 31 motion only within a short temporal window, the current study used stroboscopic motion to test 32 their contribution to motion perception of objects. For conditions under which the perceived 33 motion was impaired by stroboscopic motion, the perceived motion matched the mean direction 34 of local motion signals and was form-independent. For classic conditions under which the 35 perceived motion could not be explained by a simple form-independent averaging of local motion 36 signals, neutralizing the contribution of early direction-selective neurons using stroboscopic 37 motion had little impact on the perceived motion, which demonstrates that the perceived motion 38 relied on position tracking, not on early direction-selective neurons. When the perceived motion 39 relies on position tracking, assuming that motion perception relies on early direction-selective 40 neurons can lead to erroneously postulate the existence of complex or form-dependent integration 41 of inputs from early direction-selective neurons.

42 Keywords: Motion perception; Aperture problem; Direction-selective neurons; Position tracking;
43 Stroboscopic motion; Global motion

44 Introduction

45 Since Hubel and Wiesel (Hubel & Wiesel, 1959, 1962) recorded early direction-selective neurons 46 in the primary visual cortex, such neurons are considered to be the main neural basis underlying 47 motion perception. Early direction-selective neurons are sensitive to motion energy within their 48 receptive fields (Adelson & Bergen, 1985; Emerson, Bergen, & Adelson, 1992) and within a short 49 temporal window (<100 msec; Bair & Movshon, 2004; Baker & Braddick, 1985; Braddick, 1973; 50 Georgeson & Harris, 1990; Morgan & Ward, 1980). However, because their receptive fields are 51 small, they suffer from the famous aperture problem (Adelson & Movshon, 1982; Fennema & 52 Thompson, 1979; Marr & Ullman, 1981; Wallach, 1935; Wuerger, Shapley, & Rubin, 1996): local 53 motion signals are often ambiguous and do not necessarily reveal the global motion direction of 54 the object (e.g., Video 1). The general consensus is that this aperture problem is overcome by 55 integrating local motion signals into a global moving object (e.g., global motion stage in Figure 1), 56 but the precise nature of this integration remains highly debated (see various models cited below). 57 However, here we show that the perceived global direction of simple objects that would generally 58 be assumed to be processed by early direction-selective neurons did not rely on early direction-59 selective neurons.



60

Figure 1. Motion processing based on local motion signals. An object moving in a direction (here a partially occluded black diamond moving from left to right) often induces local motion signals in different directions (aperture problem, Video 1). Local motion signals can be detected by early direction-selective neurons and can be integrated at a global motion stage to solve the aperture problem. Various integration strategies have been suggested (see text).

66 The simplest motion integration strategy consists in a vector averaging (or summation) of local 67 motion signals detected by early direction-selective neurons (Adelson & Movshon, 1982; Amano, 68 Edwards, Badcock, & Nishida, 2009; Weiss, Simoncelli, & Adelson, 2002). However, the 69 perceived motion direction of an object often does not match the mean direction of local motion 70 signals. A classic example is a drifting tilted line (Wallach, 1935; Wuerger et al., 1996), which can 71 be perceived as moving in its veridical direction when viewed entirely and perceived as moving in 72 a direction orthogonal to the line orientation when viewed through an aperture (Video 1). The 73 difference between the perceived motion direction and the mean direction of local motion signals 74 has led to the general consensus that, at least under some conditions, the aperture problem is overcome by an integration process (i.e., global motion stage in Figure 1) more complex than vector 75 76 averaging. It has been suggested that the visual system could rely on non-ambiguous local motion 77 signals such as corners or line terminators (extracted by end-stopped cells (Caplovitz & Tse, 2007; 78 Lorenceau, Shiffrar, Wells, & Castet, 1993; Pack, Gartland, & Born, 2004; Pack, Livingstone, 79 Duffy, & Born, 2003; Shimojo, Silverman, & Nakayama, 1989; Ullman, 1979)), select the most 80 reliable local motion signals (Nowlan & Sejnowski, 1995), compute the intersection of constraints 81 (Adelson & Movshon, 1982; Nakayama & Silverman, 1988), compute differentiation between 82 local motion signals (Anstis, 2012; Braddick, 1993) or use predictive coding based on some prior 83 assumptions for the binding of segments (e.g., a regular shape is more probable than a random one) 84 and local motion signals (Perrinet & Masson, 2012).

85 Furthermore, the perceived motion does not always depend solely on motion information as 86 different stimuli containing the same motion information and differing only on spatial information 87 can result into radically different motion percepts. For instance, the perceived motion direction of 88 a partially occluded object can depend on the disparity (Shimojo et al., 1989), visibility (Lorenceau 89 & Alais, 2001; Lorenceau & Shiffrar, 1992) and shape (McDermott, Weiss, & Adelson, 2001) of 90 stationary occluders. Famously, the global motion percept of a rotating diamond having its corners 91 occluded can be easily perceived when the occluders are visible (e.g., first sequence in Video 4), 92 but not when they blend with the background (Lorenceau & Alais, 2001; Lorenceau & Shiffrar, 93 1992) (e.g., first sequence in Video 5). Varying the visibility of the stationary occluders by only 94 changing their color or luminance can drastically affect the perceived motion. Furthermore, altering 95 the spatial configuration of moving parts without altering the vector mean of local motion signals 96 can also drastically impair the global motion percept (Lorenceau & Alais, 2001) (e.g., first 97 sequence in movie Video 6). These form-motion interactions were taken as evidence that motion 98 integration (i.e., global motion stage in Figure 1) may not only be complex, it can also be form-99 dependent as whether the local motion signals are integrated into a global moving object or not

100 would depend on spatial information (e.g., depth, shape, luminance, color and spatial101 configuration).

102 In sum, the common assumption that the perceived global motion relies on inputs from early 103 direction-selective neurons led to the general consensus that the aperture problem is sometimes 104 solved by complex or form-dependent integration of local motion signals (Figure 1). Although 105 early direction-selective neurons are assumed to be the main underlying neural basis of motion 106 perception, motion perception does not necessarily rely on early direction-selective neurons as the 107 position of a feature can be attentively tracked (Cavanagh, 1992) and the existence of a position-108 based motion system that does not rely on early direction-selective neurons is well established 109 (Allard & Faubert, 2013a, 2016; Anstis & Mackay, 1980; Cavanagh, 1992; Lu & Sperling, 2001; 110 Seiffert & Cavanagh, 1998; Smith, 1994; Ullman, 1979). However, tracking a feature faces the 111 same aperture problem as early direction-selective neurons when the motions of features are 112 ambiguous (e.g., global direction of the diamond cannot be inferred by tracking an edge in Figure 113 1). Thus, motion integration would be required to solve the aperture problem for some stimuli (e.g., 114 Figure 1) whether ambiguous local motion of features is extracted by early direction-selective 115 neurons or tracked. However, there is no reason why the position of a simple feature (e.g., edge) 116 could be tracked, but not the position of a global shape (e.g., diamond, Figure 2). Given that a shape 117 can be localized in space based only on spatial information (e.g., a static shape can be localized in 118 space), the position of the global shape could be tracked in which case the perceived global motion 119 would not rely on the integration of local motion signals so there would be no aperture problem to 120 solve. The first experiment of the current study investigated the contribution of early direction-121 selective neurons and position tracking to the perceived motion of a simple object: a spot. The 122 second experiment investigated which of these motion systems is responsible for the famous form-

- 123 motion interaction described above and typically taken as evidence of a form-dependent integration
- 124 of inputs from early direction-selective neurons.



- 126 Figure 2. Motion processing based on tracking the position of a global object. The perceived trajectory of an
- 127 object can be computed without first extracting local motion signals (as in Figure 1). An object can be
- 128 localized by integrating local form information (e.g., edges) and its global shape can then be tracked.

129 Experiment 1: Reverse-phi and attentional resolution

- 130 The first experiment investigated how we perceive the motion direction of spots rotating around a
- 131 fixation point (left panel of Figure 3).

132 Methods

133 **Observers**

Seven naïve observers with normal or corrected-to-normal vision participated in each experiment.
Only a few participants were necessary because the goal was to objectively confirm strong
subjective perceptual effects. Note that the reader can directly experience the strong perceptual
effects reported in the results section by viewing the videos provided in supplementary material.
The experimental procedures were approved by the Comité de Protection des Personnes IIe de
France V in accordance with the Code of Ethics of the World Medical Association (Declaration of Helsinki). Informed consent was obtained from participants prior to the experiment.

141 Apparatus

142 Stimuli were presented on a 22.5-inch LCD monitor designed for psychophysics (VIEWPixx)

143 with a refresh rate of 120 Hz. The viewing distance was 50 cm resulting in spatial resolution of

144 32 pixels per degrees of visual angle (dva). The monitor was the only source of light in the room

145 (50 cd/m²). The output intensity of each color gun was carefully linearized (gamma correction).

146 Stimuli and procedure

Observers were asked to report the rotating direction (clockwise or counterclockwise) of spots along an annulus of 8 dva (Figure 3) from a fixation point. The spots were blobs following a windowed cosine function of 1 dva. The ring of spots was rotated by 2.8 degrees (i.e., 0.39 dva) every 150 msec for a duration of 1200 msec. This saccadic motion at 6.7 Hz (1/150 msec) is slightly different from continuous motion, but is nevertheless expected to strongly activate early direction-selective neurons. The lapses between position updates (150 msec) could not be varied over a large range as it needed to be more than 100 msec to enable stroboscopic motion with gaps
of at least 100 msec (see below). The rotating speed was maximized by displacing the spots by
2.8 degrees (0.39 dva) at every step, which is a quarter of the smaller distance between spots at
the highest density (32 spots). A step size of half the distance between spots would have caused
ambiguous motion.

To investigate the contribution of the tracking system, the ability to perceive motion was investigated for different spot densities: 1, 2, 4, 8, 16 and 32 spots uniformly distributed on an annulus. Position tracking is inefficient when the number of feature to attend is above the spatial resolution of attention (Allard & Faubert, 2013a, 2016), which corresponds to about 14 spots distributed on an annulus (Intriligator & Cavanagh, 2001).

163 To investigate the contribution of the energy-based motion system, two manipulations were 164 performed. The contrast polarity of the spots (black or white) was either constant during a trial 165 (i.e., phi motion), or alternated at each displacement causing motion energy to be reversed (i.e., 166 reversed-phi motion; Anstis, 1970; Anstis & Rogers, 1975), which reverses the preferred motion 167 direction of early direction-selective neurons (Clark, Bursztyn, Horowitz, Schnitzer, & Clandinin, 168 2011; Emerson, Citron, Vaughn, & Klein, 1987; Livingstone & Conway, 2003). The second 169 manipulation consisted in presenting the spots continuously during the trial or stroboscopically 170 (25 msec followed by a 125 msec temporal gap) as illustrated in Figure 3. In both cases, the spots 171 were displaced every 150 msec. Early direction-selective neurons are known to be sensitive to 172 energy motion occurring within their receptive fields and within a temporal window shorter than 173 about 100 msec (Bair & Movshon, 2004; Baker & Braddick, 1985; Braddick, 1973; Georgeson & Harris, 1990), so a temporal gap of 125 msec neutralizes (or at least severely compromise) their
contribution to motion perception.

The resulting 24 conditions (6 spot densities times 2 types of motion (phi or reversed-phi) times 2 display conditions (continuous or stroboscopic)) were each presented 5 times in a pseudo-random order within a block. Each observer performed 8 blocks of trials for a total of 40 trials per condition.

180 This study was not preregistered and the data are available upon request to the corresponding181 author.

182 **Results & discussion**

183 When the spot density was considerably below attentional resolution (up to 4 spots, see Figure 3 184 and Video 2), motion was perceived in their veridical direction: reversing energy direction (i.e., 185 reverse-phi motion) and/or compromising the contribution of motion detectors sensitive to 186 motion only within a short temporal window (i.e., stroboscopic motion) had little impact on the 187 perceived motion direction (performance near 100% in all cases). Results were drastically 188 different when the spot density was considerably above attentional resolution (i.e., 32 spots, see 189 Video 3) in which case reversing energy direction reversed the perceived motion direction 190 (performance near 0%, filled grey symbols in Figure 3) and stroboscopic motion compromised 191 motion perception (performance near chance, open symbols). Although the distance between 192 spots was the lowest in the 32-spot configuration, lateral interaction (e.g., Chemla et al., 2019) 193 between spots is unlikely the cause because the distance was nevertheless relatively large (1.57 194 dva) and the results were expected based on the properties of early direction-selective neurons

195 (reverse-phi reverses their preferred response direction and their temporal window is shorter than



196 100 msec).

198 Figure 3. Perceived motion direction of apparent motion. Left panel represents the four motion conditions, 199 each tested with 1, 2, 4, 8, 16 and 32 spots uniformly distributed around an annulus of 8 degrees of visual 200 angle of eccentricity. Right panel represents the results averaged across observers. Filled and open symbols 201 represent continuous and stroboscopic motion, respectively. Black and grey symbols represent phi motion 202 (constant contrast polarity) and reverse-phi motion (alternating contrast polarity at each motion 203 displacement), respectively. Error bars represent standard error of the mean (lower than symbols where not 204 visible). Perceiving motion in the opposite direction as the position displacement would have resulted in a 205 performance at 0%. Perceiving no net motion would have resulted in performance near chance (dashed thin 206 horizontal line). Dashed thin vertical line represents approximated attentional resolution (Intriligator & 207 Cavanagh, 2001).

The fact that no motion was perceived with 32 spots under stroboscopic stimulation (performance near chance and observers reported no motion sensation as can be appreciated in the second and fourth sequences of Video 3) implies that the contributions of direction-selective neurons and position tracking were both negligible in these conditions. Presenting the same 32-spot stimuli continuously led to perceived motion in the energy direction: phi motion was perceived in the 213 veridical direction (first sequence in Video 3, black solid line in Figure 3) and reverse-phi motion 214 (Anstis, 1970; Anstis & Rogers, 1975) was perceived in the opposite direction (third sequence in 215 Video 3, grey solid line in Figure 3). The fact that no motion was perceived with 32 spots under 216 stroboscopic stimulation and motion was perceived in the energy direction under continuous 217 stimulation, confirms that stroboscopic motion successfully impaired the contribution of early 218 direction-selective neurons. Indeed, these results are consistent with the facts that early direction-219 selective neurons operate within a short temporal window (Bair & Movshon, 2004; Baker & 220 Braddick, 1985; Braddick, 1973; Georgeson & Harris, 1990; Morgan & Ward, 1980) and that 221 reverse-phi indeed reverses their direction selectivity (Clark et al., 2011; Emerson et al., 1987; 222 Livingstone & Conway, 2003). Thus, there is no doubt that early direction-selective neurons were 223 the neural basis underlying motion perception in the continuous 32-spot conditions (as generally 224 assumed) and that stroboscopic motion compromised the contribution of early direction-selective 225 neurons (as expected).

226 Given that early direction-selective neurons did not considerably contribute to motion perception 227 under stroboscopic stimulation, stroboscopic motion can be used to estimate the contribution of a 228 position tracking strategy. Position tracking considerably contributed to motion only when the 229 spot density was considerably below attentional resolution of about 14 elements (Intriligator & 230 Cavanagh, 2001). Indeed, when the contribution of early direction-selective neurons was 231 minimized (stroboscopic motion, open symbols in Figure 3), motion was correctly perceived 232 when the spot density was lower than attentional resolution (i.e., up to 4 or 8 spots depending on 233 participants; Video 2) and not when density was considerably above attentional resolution (i.e., 234 32 spots; Video 3). These results show that position tracking considerably contributed to motion

perception only when spot density was markedly below attentional resolution, which is consistentwith previous findings (Allard & Faubert, 2013a, 2016).

237 Consequently, continuous reverse-phi motion was perceived as moving in its veridical direction 238 up to 4 or 8 spots, and perceived in the opposite direction with 32 spots. With 32 spots, motion 239 was perceived in the opposite direction undeniably because reverse-phi reverses the direction 240 selectivity of early direction-selective neurons (Clark et al., 2011; Emerson et al., 1987; 241 Livingstone & Conway, 2003). Up to 4 or 8 spots, however, the perceived motion relied on 242 position tracking as motion was perceived in the veridical direction, not the preferred direction of 243 early direction-selective neurons. These results therefore suggest that an object can be attentively 244 tracked despite its polarity flickering over time, which is consisting with the fact that tracking can 245 operate despite the object changing its color and shape (Cavanagh, Arguin, & von Grünau, 1989; 246 Kolers & Pomerantz, 1971; Kolers & von Grünau, 1976). Around the attentional resolution (i.e., 247 16 spots), there was a high inter-subject variability (large error bars in Figure 3) compared with 248 the stroboscopic conditions. Interestingly, in this condition, the two motion systems would 249 similarly contribute to motion, but in opposite directions. The high inter-subject variability 250 suggests different observers had considerably different thresholds at which the contribution of the 251 two motion systems would be counterbalanced. Note that although performance is near chance 252 level, it does not imply that no motion was perceived (as for stroboscopic motion at 32 spots) as 253 motion perception could be perceived in both directions (i.e., transparent motion) or a bistable 254 motion percept could be perceived (alternating directions).

Note that the current experiment was conducted under some specific parameters. The eccentricity
was set to 8 dva, but the findings likely generalize to a wide range of eccentricity for a given

257 number of spots as spatial resolution scales with eccentricity (Intriligator & Cavanagh, 2001; e.g., 258 viewing Videos 2 and 3 at different distances has little impact on the perceived motion). Also, 259 saccadic motion was used instead of continuous motion, but the results confirm that this stimulus 260 causes a strong motion response in early direction-selective neurons as the observers could easily 261 discriminate the direction of motion when the contribution of position tracking was neutralized 262 with 32 spots (performance at 100%). The use of this saccadic motion, which was necessary for 263 stroboscopic and reverse-phi motion, forced the use of a relatively slow speed (2.6 dva/s) so it is 264 not possible to know if the perceptual effects would generalize to higher speeds. Nonetheless, we 265 should expect the contribution of position tracking to decrease with increasing speed.

266 **Experiment 2: Motion integration**

In the second experiment, we investigated how local information is integrated into a global
moving object using a stimulus classically used to probe motion integration: a rotating diamond
with static occluders hiding its corners (Lorenceau & Alais, 2001; Lorenceau & Shiffrar, 1992;
McDermott et al., 2001). As in the first experiment, stroboscopic motion was used to neutralize
the contribution of early direction-selective neurons. To minimize the contribution of a position
tracking strategy, the same stimuli were viewed peripherally where tracking relatively small
position displacements is difficult (Allard & Faubert, 2016).

274 Method

275 **Observers**

276 The same observers participated to the second experiment.

277 Apparatus

The same apparatus was used with the exception that the viewing distance was 100 cm resultingin spatial resolution of 64 pixels/dva.

280 Stimuli and procedure

281 Observers were asked to discriminate the rotating direction (clockwise vs counterclockwise) of 282 the position of a shape by pressing one of two keyboard keys. The stimuli for continuous motion 283 were similar to the ones used in previous studies (Lorenceau & Alais, 2001; Lorenceau et al., 284 1993), but we used smaller stimuli and smaller amplitude of motion to facilitate the neutralization 285 of position tracking in peripheral vision. The stimulus was visible for 1100 msec during which 286 the shape rotated by a complete cycle around a radius of 0.0625 dva (i.e., ~ 3 minutes of arc = 4 287 pixels), with a random initial global position. The corners of the shape were occluded by three 288 vertical occluders. Given that each line segment was perceived through a narrow opening 289 between two occluders, the motion of a single line was ambiguous (i.e., aperture problem, Video 290 1) and perceiving the global motion therefore required some integration. It has been shown 291 (Lorenceau & Alais, 2001) that the perception of the global motion depends on the visibility of 292 the occluders and the shape of the moving object. The visibility of the occluders was altered by 293 changing their brightness, which was either grey (50 cd/m^2 , in which case they fused with the 294 background) or light grey (55 cd/m^2). The baseline shape was a diamond (more precisely, a 295 square rotated by 45 degrees) to which the distance between two parallel segments was 2 dva. 296 Two shapes were used: a closed shape (i.e., diamond) and an open shape (i.e., chevron 297 (Lorenceau & Alais, 2001)), which was obtained by swapping the positions of the two bottom 298 lines. The line segments of the rotating shape were black with a width profile following a 299 windowed cosine function of 0.3 dva. The size of the occluders was 0.75 by 3.6 dva separated by

300 gaps of 0.67 dva so that the center of the occluders were in line with the mean left, center and301 right corner positions.

To investigate the contribution of energy-based processing, motion was either continuous (displaced every 33 msec) or stroboscopic (appeared for 33 msec with 100 msec gaps). The global motion speed was kept constant to 0.9375 Hz in both cases so that the object was moved by a complete cycle during the 1100 msec presentation.

To investigate the contribution of the position tracking motion system, the stimulus was viewed either at fixation or at 20 degrees of eccentricity to the right of a fixation point. Position tracking of oscillating motion over a short spatial range is undeniably more difficult in the periphery (Allard & Faubert, 2016), which was confirmed by the results presented below.

310 In sum, there were 4 binary independent variables resulting into 16 conditions: shape of the 311 object (diamond/chevron), brightness of the occluders (visible/invisible), motion type 312 (continuous/stroboscopic) and eccentricity (central/peripheral). To avoid position uncertainty, 313 eccentricity was constant within a block. To avoid triggering strong transients not related to the 314 moving stimulus, the occluders were constantly displayed throughout a block (even between 315 trials) and its brightness did not vary within a block. The other two variables (shape and motion 316 type) were interlaced within blocks resulting into 4 different blocks (visible/invisible occluders X 317 central/peripheral) each interlacing 4 different conditions (diamond/chevron X 318 continuous/stroboscopic). A block was composed of 80 trials in which the 4 conditions were 319 presented 20 times in a random order. Each of the four blocks was performed twice in a pseudo-320 random order, resulting in a total of 40 trials for each of the 16 conditions.

321 **Results & discussion**

322 The results were consistent with the known form-motion interaction taken as evidence that spatial 323 information affects motion integration (Lorenceau & Alais, 2001; Lorenceau & Shiffrar, 1992): 324 the global motion of the continuously rotating diamond viewed centrally was easily perceived 325 when the occluders were visible (first light-grey column in Figure 4, first sequence in Video 4), 326 but not when they were invisible (same brightness as the background) or when the spatial 327 configuration was altered to form an open shape (second to fourth light-grey columns, first 328 sequences in Videos 5 to 7; note that for open shapes, discriminating the global motion direction 329 seems easier in the videos than in the testing conditions with a shorter presentation time and 330 interlaced with other conditions). Critically, however, a similar form-motion interaction was also 331 observed under stroboscopic stimulation compromising the contribution of early direction-332 selective neurons (dark-grey columns, second sequences of the same movies). Thus, the global 333 motion percept depended critically on form information (i.e., brightness of occluders and spatial 334 configuration) and not on the type of motion (i.e., continuous vs stroboscopic).



Figure 4. Perceived motion direction requiring integration of local information. Proportion of correct answers
(mean across observers) for judging the rotating direction of various stimuli (diamond and chevron shapes
with visible and invisible occluders; see Videos 4 to 7). Error bars represent standard error of the mean.
Perceiving no motion would have resulted in performance near chance (50%, horizontal line).

335

340 The opposite pattern of results was observed when the same stimuli were viewed peripherally 341 where tracking relatively small position displacements is difficult (Allard & Faubert, 2016) 342 (bottom graph of Figure 4). Global motion was efficiently perceived for continuous motion 343 (light-grey columns), but not for stroboscopic motion (dark-grey columns), whether the occluders 344 were visible or not and for the two shape configurations. Thus, in central vision, the perception of 345 global motion strongly depended on form information for both types of motion, whereas in the 346 peripheral vision, the perception of global motion critically depended on the type of motion and 347 was independent of form information.

348 This double dissociation confirms that stroboscopic motion impaired the contribution of early 349 direction-selective neurons and presenting the stimulus in the periphery impaired position 350 tracking. Indeed, when stroboscopic motion was viewed peripherally, no motion was perceived (dark-grey columns in bottom graph of Figure 4), which can be appreciated by peripherally 351 352 viewing the second sequences of Videos 4 to 7. This absence of motion perception implies that 353 the contribution of early direction-selective neurons and position tracking to the global motion 354 percept were both negligible. On the other hand, motion was efficiently perceived when 355 presenting the same stimuli continuously (light-grey columns, first sequences of same movies), 356 which indisputably results from the contribution of early direction-selective neurons as generally 357 assumed. When early direction-selective neurons were not contributing to motion (stroboscopic 358 motion), rotation of the diamond with visible occluders was easily perceived at fixation (first dark 359 column, top graph), but not in the periphery (first dark column, bottom graph), implying that the 360 tracking efficiently operated at fixation, but not in the periphery.

361 Given that some properties of early direction-selective neurons vary with eccentricity, it is 362 tempting to wonder if these differences could explain the different patterns of results observed 363 between central and peripheral vision. However, different properties in early direction-selective 364 neurons cannot explain the differences observed between central and peripheral vision because 365 the perceived motion in central vision did not rely on early direction-selective neurons. Indeed, 366 one property of early direction-selective neurons that does not vary with eccentricity is their 367 temporal integration time (Baker & Braddick, 1985), so that stroboscopic vision with temporal 368 gaps of at least 100 msec neutralizes the contribution of early direction-selective neurons in 369 central and peripheral vision (as confirmed in peripheral vision, see above). Indeed, the results 370 strongly suggest that the perceived motion in central vision relied on position tracking, not early

direction-selective neurons. Nevertheless, it could be useful to neutralize position tracking in central vision where the perceived motion is form-dependent. This could be done using high temporal frequencies above the temporal resolution of attention (Allard & Faubert, 2013b) or using a spatially dense stimulus such as concentric diamonds.

Note that Lorenceau and Alais (2001) obtained a similar pattern of results with continuous motion (perceived motion was form-dependent in central vision and not in peripheral vision) using different stimulus parameters: their stimuli were larger, the rotation amplitude was greater, different speeds were evaluated and the peripheral stimulus was presented at a lower eccentricity (12 dva compared with 20 dva in the current study). Thus, although the current study did not investigate over a large set of parameters, the effects likely generalize over a wide range of parameters.

382 General discussion

383 With continuous motion under conditions in which position tracking was expected to efficiently 384 operate (i.e., up to 4 spots in experiment 1 and in central viewing in experiment 2), the perceived 385 motion critically depended on form information (brightness of occluders and spatial configuration 386 in experiment 2) and not on the direction of motion energy (phi vs reverse-phi in experiment 1). 387 Neutralizing the contribution of early direction-selective neurons using stroboscopic motion 388 under these conditions had little impact on the perceived motion as the perceived motion also 389 critically depended on form information and not on the direction of motion energy. These results 390 reveal the existence of a motion system that does not rely on early direction-selective neurons 391 (position tracking) and is form-dependent. Conversely, under conditions in which the 392 contribution of position tracking was expected to be neutralized (32 spots in experiment 1 and

393 peripheral viewing in experiment 2), the perceived motion was independent of form information 394 (experiment 2) and critically depended on the direction of motion energy (experiment 1). The fact 395 that no motion was perceived when neutralizing the contribution of early direction-selective 396 neurons using stroboscopic motion under these conditions (both experiments) confirms that the 397 visual system relied on early direction-selective neurons as generally assumed.

398 The widely held assumption that the visual system relies on early direction-selective neurons to 399 judge the motion direction of objects can lead to a false aperture problem when the visual system 400 rather mainly relies on position tracking: how are inputs from early direction-selective neurons 401 integrated to explain the perceived motion direction of objects? Forcing a solution to this false 402 problem could lead to erroneously postulate the existence of some kind of integration of inputs 403 from early direction-selective neurons. For instance, consider the continuous motion conditions 404 for which the perceived motion cannot be explained by a simple vector averaging of inputs from 405 early direction-selective neurons: continuous motion up to 4 spots in experiment 1 and 406 continuous motion viewed centrally in experiment 2. Assuming that the perceived motion 407 direction relied on early direction-selective neurons would have led to the conclusion that the 408 integration of inputs from early direction-selective neurons must be complex (i.e., not a simple 409 averaging of inputs from early direction-selective neurons) to explain the perceived motion in the 410 veridical direction even when the motion energy is reversed (experiment 1) and must be form-411 dependent to explain that the perceived motion depended on spatial information (experiment 2) as 412 famously inferred (e.g., a form-based veto on motion integration; Lorenceau & Alais, 2001). 413 However, the same patterns of results were observed when the contribution of early direction-414 selective neurons was neutralized with stroboscopic motion, which reveals the existence of 415 another motion system (position tracking) that is form-dependent and independent of the

416 direction of motion energy. Before investigating how inputs from early direction-selective 417 neurons are integrated to explain the perceived motion, it is first necessary to determine if the 418 perceived motion relies on early direction-selective neurons. The results in the current study 419 show that, for many simple moving stimuli, the widely held assumption that the perceived motion 420 relies on early direction-selective neurons is questionable and cannot be taken for granted a 421 priori. If the perceived motion does not rely on early direction-selective neurons, then the 422 question of how inputs from early direction-selective neurons are integrated to explain the 423 perceived motion is a false problem.

424 As mentioned in the introduction, a classical example of the aperture problem is a drifting tilted 425 line (Video 1). When the line is perceived as moving in its veridical direction (e.g., horizontally 426 when viewing the entire line in Video 1), the perceived motion cannot be explained by a simple 427 vector averaging of inputs from early direction-selective neurons, as the mean of local motion 428 signals is oblique. Indeed, the motion direction of a drifting line viewed through an aperture is 429 ambiguous as it is consistent with many motion directions ranging over 180 degrees, but the 430 perceived motion direction through an aperture is usually perpendicular to the line (e.g., oblique 431 motion is perceived when viewing the line through an aperture in Video 1). Based on the 432 assumption that the perceived motion relies on inputs from early direction-selective neurons, this 433 example is typically taken as compelling evidence of an integration process more complex than 434 vector averaging. However, neutralizing the contribution of early direction-selective neurons with 435 stroboscopic stimulation has little impact on the perceived motion (see Video 8), which implies 436 that the veridical motion direction of the line can be perceived by tracking its position. As a 437 result, there is a motion system that does not rely on early direction-selective neurons and that 438 can extract the veridical motion direction of the tilted line, so this classical example does not

439 necessarily imply the existence of complex integration of inputs from early direction-selective440 neurons.

441 The furrow illusion (Anstis, 2012) has also been taken as evidence of complex integration of 442 local motion signals. In the furrow illusion, a spot moves in a direction that differs from the mean 443 local motion signals. In central vision, motion is perceived in the veridical motion direction, 444 whereas in the periphery, motion is perceived in the direction of the mean local motion signals. 445 The illusion in the periphery is trivial to explain and is not disputed: the motion percept is the 446 result of a simple vector averaging of inputs from early direction-selective neurons. For central 447 vision, Anstis (2012) concluded that the perceived veridical motion must be the result of a 448 complex integration of inputs from early direction-selective neurons. This conclusion is based on 449 the implicit assumption that the perceived motion relies on the integration of inputs from early 450 direction-selective neurons. However, motion can be perceived in the veridical direction even 451 when neutralizing the contribution of early direction-selective neurons with stroboscopic motion 452 (Allard & Faubert, 2016), which implies the existence of a motion system that does not rely on 453 early direction-selective neurons and can extract the veridical motion direction of the spot. 454 Furthermore, Allard and Faubert (2016) found that using a stimulus configuration that impairs 455 position tracking (crowding) enhanced the furrow illusion, which suggests that position tracking 456 plays an important role in perceiving the veridical motion direction. Consequently, in the furrow 457 illusion, there is no need to postulate the existence of complex integration of inputs from early 458 direction-selective neurons to explain the perceived veridical motion in central vision because 459 there is another motion system (position tracking) that can explain it.

460 In sum, in these examples (reverse-phi stimulus up to 4 spots, form-dependent rotating square in 461 central vision, drifting tilted line, furrow illusion in central vision), similar motion phenomena 462 were observed when neutralizing the contribution of early direction-selective neurons with 463 stroboscopic motion. These results demonstrate that there is another motion system that does not 464 rely on early direction-selective neurons (position tracking) responsible for these motion 465 phenomena so they do not imply the existence of a particular kind of integration of inputs from 466 early direction-selective neurons. To prove the existence of some kind of integration of inputs 467 from early direction-selective neurons, it is necessary to demonstrate that the motion 468 phenomenon cannot be explained by another motion system (e.g., position tracking). The 469 perceived motion cannot simply be assumed a priori to rely on early direction-selective neurons.

470 The ideal stimulus to probe the integration of inputs from early direction-selective neurons is 471 therefore one that cannot be processed by position tracking. Interestingly, the current study did 472 not find evidence that the integration of inputs from early direction-selective neurons was 473 complex or form-dependent. Consider the conditions under which only position tracking was 474 neutralized: continuous motion with 32 spots in experiment 1 and continuous motion with 475 peripheral viewing in experiment 2. Observers perceived motion in the mean direction of motion 476 energy (experiment 1) and the perceived global motion was form-independent (experiment 2). 477 Consequently, when the perceived motion was not based on position tracking, the perceived 478 motion relied on the integration of inputs from early direction-selective neurons (as generally 479 assumed), but motion integration was simple (e.g., vector average) and form-independent. In 480 other words, when the visual system necessarily relied on early direction-selective neurons, the 481 solution to the aperture problem simply consisted in averaging the inputs from early direction-482 selective neurons; we found no evidence of complex or form-dependent integration of inputs

from early direction-selective neurons. However, the current study investigated a limited number of conditions, which is not sufficient to conclude that there is no complex or form-dependent integration of inputs from early direction-selective neurons. There may be other conditions that could reveal the existence of complex or form-dependent integration.

487 Although the perceived motion sometimes clearly relies solely on the contribution of early 488 direction-selective neurons or position tracking, both could contribute to the perceived motion in 489 other conditions. The combination of both contributions could take different forms. The 490 contribution of one motion system could dominate (e.g., continuous reverse-phi motion up to 4 491 spots in experiment 1 is dominated by position tracking). Conflicting motion interpretations could 492 also result in transparent motion in which both motion percepts are superimposed (e.g., Solomon 493 & Sperling, 1994) or the motion the perceived motion could be bistable, alternating between the 494 two possible interpretations (which is the subjective impression we observed when viewing the 495 reverse-phi stimulus with 16 spots). When the two motion interpretations are not incompatible, 496 the visual system could combine them (e.g., furrow illusion that gradually shifts from position 497 tracking in central vision to early direction-selective neurons in peripheral vision (Allard & 498 Faubert, 2016)). The visual system may also try to combine the two interpretations and apply a drastic correction when the resulting percept diverges too much from reality (e.g., peripheral 499 500 reverse-phi motion, Lorenceau & Cavanagh, 2020).

In a recent review, Shiffrar (2017) concludes that the solution to the aperture problem often
involves a form-dependent integration of local motion signals. The strongest evidence for this
claim was the rotating diamond showing that the perceived global motion percept is formdependent (i.e., second experiment of the current study with continuous motion in central vision).

505 Based on the implicit assumption that the perceived global motion relied on the integration of 506 inputs from early direction-selective neurons, this form-dependent motion perception was 507 therefore taken as evidence of a form-dependent integration of local motion signals: the global 508 form interpretation of the stimulus (global form stage in Figure 2) would affect the integration of 509 local motion signals (global motion stage in Figure 1). As described above, however, the current 510 study found that the perceived form-dependent global motion for a similar stimulus was based on 511 position tracking, which does not require motion integration, so these results cannot be taken as 512 evidence of a form-dependent integration of local motion signals.

513 The fact that the global motion percept based on position tracking was form-dependent is not 514 surprising as the ability to track the position of a global shape (Figure 2) obviously depends on 515 the ability to perceive the global shape. The diamond was perceived as a global moving shape 516 when the occluders were visible and as four independent segments when the occluders were invisible (Videos 4 and 5, respectively), which shows that the visibility of occluders affected the 517 518 global form interpretation of the stimulus. When the global form integration stage interpreted the 519 stimulus as a single global shape (diamond; Video 4), then tracking the position of the global 520 shape was easy, but when the global form integration stage rather interpreted the stimulus as four 521 independent segments or two pairs of segments (Video 5), then there was no unified global 522 percept and tracking the position of an unperceived global shape was meaningless (and hard). 523 Consequently, the fact that the perceived motion of a global shape depends on the form 524 interpretation of the global shape would have been surprising if the visual system relied on early 525 direction-selective neurons (because it implies that form processing affects motion integration), 526 but is trivial to explain given that the visual system relied on position tracking (because we 527 cannot track a global shape that is not perceived).

528 Since tracking the position of a global object does not necessarily require the integration of local 529 motion signals (e.g., Figure 2), sometimes there may be no aperture problem to solve. Indeed, the 530 famous aperture problem is known to be a consequence of the properties of early direction-531 selective neurons having small receptive fields (i.e., apertures). If the perceived motion of an 532 object is based on tracking its global shape, then it does not rely on the integration of local 533 motion signals so there is no aperture problem to solve. In such a case, the perceived motion 534 would not rely on motion integration, but on form integration enabling to localize an object, and 535 the critical processing stage regarding the motion perception of objects would not be motion 536 integration, but form integration. Since we can localize static objects, the existence of a global 537 form integration process is undeniable, but how objects are localized in space is beyond the scope 538 of the present study.

539 In conclusion, early direction-selective neurons suffer from the famous aperture problem. Based 540 on the assumption that early direction-selective neurons are the underlying neural basis for 541 judging the motion direction of objects, the consensus is that the integration of inputs from early 542 direction-selective neurons is complex and form-dependent. However, the current study found 543 that for stimuli classically used to provide evidence of complex and form-dependent integration 544 of inputs from early direction-selective neurons, neutralizing the contribution of early direction-545 selective neurons using stroboscopic motion had little impact on the perceived motion direction, 546 which demonstrates the existence of a motion system that does not rely on early direction-547 selective neurons (e.g., position tracking) and explains the perceived motion. Note that the 548 current study suggests that the motion system that does not rely on early direction-selective 549 neurons could simply consists in tracking the position of an object localized by the spatial 550 system, which we refer to as position tracking (Figure 2). Indeed, given that a shape can be

551 localized in space based only on spatial information (e.g., a static shape can be localized in 552 space), the position of an object should be easy to track. Nonetheless, other motion models that 553 are not based on early direction-selective neurons are also possible such as feature tracking (e.g., 554 Seiffert & Cavanagh, 1998), attention-based tracking (Cavanagh, 1992), saliency-based (third-555 order) motion system (Lu & Sperling, 2001), or long-range suppressive waves (Chemla et al., 556 2019). On the other hand, for particular conditions under which motion perception undeniably 557 relied on early direction-selective neurons, no complex or form-dependent motion integration 558 was observed, which suggests that, for the stimuli used in the current study, the integration of 559 inputs from early direction-selective neurons was simple and form-independent. The aperture 560 problem (how are inputs from early direction-selective neurons integrated to explain the global 561 motion percept?) is a false problem if the perceived global motion does not rely on the integration 562 of local motion signals (e.g., tracking the position of an object).

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Supplementary Information

Video 1. Aperture problem

Through an aperture, the motion direction of a line is ambiguous and is usually perceived in a direction orthogonal to its orientation (here diagonally, first sequence). Without the aperture, the line is usually perceived as drifting in its veridical direction (here horizontally, second sequence). Early direction-selective neurons are sensitive to motion within a small receptive field equivalent to a small aperture. Assuming that the perceived motion of the line is based on early direction-selective neurons sensitive to motion of the line, the aperture problem consist in determining how the visual system recover the veridical motion direction.

Video 2. Four rotating spots

The motion was either forward phi-motion (first two sequences) or reverse-phi motion (last two sequences), and either continuous (first and third sequences) or stroboscopic (second and fourth sequences). Observers had to report the rotating direction (here, always clockwise).

Video 3. Thirty-two rotating spots

Legend as in Video 2, but for 32 spots.

Video 4. Rotating diamond with visible occluders

The motion was either continuous (first sequence) or stroboscopic (second sequence). Observers had to report the rotating direction of the global shape position (here, always clockwise).

Video 5. Rotating diamond with invisible occluders

Legend as in Video 4, but with occluders the same brightness as the background.

Video 6. Rotating chevron with visible occluders

Legend as in Video 4, but for a chevron shape.

Video 7. Rotating chevron with invisible occluders

Legend as in Video 4, but for a chevron shape with occluders the same brigthness as the background.

Video 8. Drifting tilted line

Oblique line drifting to the right. The motion is continuous and stroboscopic (first and second sequence, respectively).