

# Ionizing radiation from AGNs at z > 3.3 with the Subaru Hyper Suprime-Cam Survey and the CFHT Large Area U-band Deep Survey (CLAUDS)

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### Ionizing radiation from AGNs at z > 3.3 with the Subaru Hyper Suprime-Cam Survey and the CFHT Large Area U-band Deep Survey (CLAUDS)

Ikuru Iwata <sup>6</sup>, <sup>1,2,3,4</sup>★ Marcin Sawicki, <sup>4</sup>† Akio K. Inoue <sup>6</sup>, <sup>5,6</sup> Masayuki Akiyama <sup>6</sup>, <sup>7</sup> Genoveva Micheva<sup>®</sup>, <sup>8</sup> Toshihiro Kawaguchi, <sup>9</sup> Nobunari Kashikawa, <sup>10</sup> Stephen Gwyn, <sup>11</sup> Stephane Arnouts, 12 Jean Coupon 13 and Guillaume Desprez 13

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#### ABSTRACT

We use deep and wide imaging data from the CFHT Large Area U-band Deep Survey (CLAUDS) and the Hyper Suprime-Cam Subaru Strategic Program (HSC-SSP) to constrain the ionizing radiation (Lyman Continuum, LyC) escape fraction from active galactic nuclei (AGNs) at  $z \sim 3$ –4. For 94 AGNs with spectroscopic redshifts at 3.3 < z < 4.0, we use their *U/i*-band flux ratios to estimate LyC transmission of individual AGNs. The distribution of their LyC transmission shows values lower than the range of LyC transmission values for IGM of the same redshift range, which suggests that LyC escape fraction of AGNs at z > 3.3is considerably lower than unity in most cases. We do not find any trend in LyC transmission values depending on their UV luminosities. Based on the photometry of stacked images we find the average flux ratio of LyC and non-ionizing UV photons escaping from the objects  $(f_{LVC}/f_{LVV})^{out} = 0.182 \pm 0.043$  for AGNs at 3.3 < z < 3.6, which corresponds to LyC escape fraction  $f_{\rm esc} = 0.303 \pm 0.072$  if we assume a fiducial intrinsic SED of AGN. Based on the estimated LyC escape fraction and the UV luminosity function (UVLF) of AGNs, we argue that UV-selected AGNs' contribution to the LyC emissivity at the epoch is minor, although the size of their contribution largely depends on the shape of the UVLF.

**Key words:** galaxies: active – galaxies: evolution – galaxies: high-redshift – intergalactic medium – cosmology: observations.

#### 1 INTRODUCTION

Active galactic nuclei (AGNs) and massive stars in star-forming galaxies are the two primary sources of hydrogen-ionizing radiation (Lyman Continuum, LyC hereafter) in the Universe. Understanding the relative contributions from these two populations to the ionizing photon budget over cosmic time is deeply connected to our understanding of AGN and star formation activity in galaxies at different epochs.

In light of measurements of the faint-end galaxy UV luminosity function (UVLF) at high redshift, it has been suggested that faint galaxies are the population primarily responsible for reionizing the Universe (e.g. Inoue, Iwata & Deharveng 2006; Robertson et al. 2013; Dressler et al. 2015; Finkelstein et al. 2015; Ishigaki et al. 2015). However, maintaining reionization is not easy even with the large number of faint galaxies that are observed: even if the UVLF is integrated beyond the current observing limits, relatively high ionizing photon escape fractions,  $f_{\rm esc}=10$ –20 per cent, are needed to keep intergalactic space ionized. In contrast, direct constraints on  $f_{\rm esc}$  for star-forming galaxies at  $z \sim 3$  infer relatively low average values of  $\lesssim 10$  per cent (e.g. Steidel et al. 2018; Iwata et al. 2019). This tension suggests that  $f_{\rm esc}$  in galaxies may be luminosity-dependent or

<sup>&</sup>lt;sup>1</sup>Subaru Telescope, National Astronomical Observatory of Japan, 650 North A'ohoku Place, Hilo, HI 96720, USA

<sup>&</sup>lt;sup>2</sup>TMT Project, National Astronomical Observatory of Japan, 2-21-1, Osawa, Mitaka, Tokyo 181-8588, Japan

<sup>&</sup>lt;sup>3</sup>Department of Astronomical Science, The Graduate University for Advanced Studies (Sokendai), 2-21-1, Osawa, Mitaka, Tokyo 181-8588, Japan

<sup>&</sup>lt;sup>4</sup>Department of Astronomy and Physics and Institute for Computational Astrophysics, Saint Mary's University, 923 Robie Street, Halifax, Nova Scotia B3H 3C

<sup>&</sup>lt;sup>5</sup>Department of Physics, School of Advanced Science and Engineering, Faculty of Science and Engineering, Waseda University, 3-4-1, Okubo, Shinjuku, Tokyo 169-8555, Japan

<sup>&</sup>lt;sup>6</sup>Waseda Research Institute for Science and Engineering, Faculty of Science and Engineering, Waseda University, 3-4-1, Okubo, Shinjuku, Tokyo 169-8555,

<sup>&</sup>lt;sup>7</sup>Astronomical Institute, Tohoku University, Aramaki, Aoba-ku, Sendai, Miyagi 980-8578, Japan

<sup>&</sup>lt;sup>8</sup>Leibniz-Institute for Astrophysics Potsdam, An der Sternwarte 16, D-14482 Potsdam, Germany

<sup>&</sup>lt;sup>9</sup>Department of Economics, Management and Information Science, Onomichi City University, Hisayamada 1600-2, Onomichi, Hiroshima 722-8506, Japan

<sup>&</sup>lt;sup>10</sup>Department of Astronomy, Graduate School of Science, The University of Tokyo, 7-3-1 Hongo, Bunkyo-ku, Tokyo 113-0033, Japan

<sup>&</sup>lt;sup>11</sup>NRC-Herzberg, 5071 West Saanich Road, Victoria, BC V9E 2E7, Canada

<sup>&</sup>lt;sup>12</sup>Aix Marseille Université, CNRS, Laboratoire d'Astrophysique de Marseille, UMR 7326, F-13388 Marseille, France

<sup>&</sup>lt;sup>13</sup>Astronomy Department, University of Geneva, Chemin d'Ecogia 16, CH-1290 Versoix, Switzerland

<sup>\*</sup> E-mail: ikuru.iwata@nao.ac.jp

<sup>†</sup> Canada Research Chair.

may increase at higher redshift (Inoue et al. 2006). Alternatively, an additional source of ionizing photons may be required.

Several studies based on the observations of the quasar UVLF have reported that the AGN contribution to the ionizing photon budget is minor (Willott et al. 2010; Onoue et al. 2017; Akiyama et al. 2018; Kulkarni, Worseck & Hennawi 2019). However, Giallongo et al. (2015) argued that the steep faint-end slope of the AGN UVLF they found for X-ray selected AGNs at 4 < z < 6.5 would imply that AGN could provide enough photons to keep the Universe ionized. This view is supported by Boutsia et al. (2018), who argued that, based on spectroscopy of faint AGNs in the COSMOS field, the number density of faint AGNs could be higher than found by earlier studies, and that, consequently, AGNs could make a substantial contribution to the ionizing photon budget (see also Giallongo et al. 2019; Grazian et al. 2020; Boutsia et al. 2021 for further reports on high AGN space density at  $z \gtrsim 4$ ). Clearly, an accurate determination of the AGN UVLF is critically important to give us a definitive evaluation of the AGN contribution to the ionizing photon budget. But another critical parameter to be understood here is the ionizing radiation escape fraction for AGNs.

Previous studies often assumed  $f_{\rm esc}=1$  on the supposition that ionizing photons emerging from the AGN can efficiently escape into the intergalactic space. However, studies of  $f_{\rm esc}$  for AGNs at z=3–4 based on direct measurement of their LyC have shown that this assumption could be wrong (Cristiani et al. 2016; Micheva, Iwata & Inoue 2017a; Grazian et al. 2018; Romano et al. 2019; Smith et al. 2020), although the number of AGN used in these studies is still small, except Cristiani et al. (2016) and Romano et al. (2019), who examined  $f_{\rm esc}$  of large numbers of bright quasars from SDSS at z>3.6. Given the potential importance of AGN in reionizing the Universe, it is therefore important to better constrain the AGN  $f_{\rm esc}$  and its dependence on AGN luminosity.

The goal of this paper is to constrain  $f_{\rm esc}$  based on a large sample of AGNs with a broad range of UV luminosity. Here, we turn to the Deep layer of the HSC Subaru Strategic Program (HSC-SSP; Aihara et al. 2018, 2019) that we combine with very deep *U*-band images from the Canada-France-Hawaii Telescope (CFHT) Large Area U-band Deep Survey (CLAUDS; Sawicki et al. 2019). These two surveys cover  $\sim 19 \text{ deg}^2$  with very deep  $u/u^* + grizy$  imaging to an unprecedented combination of area and depth; they span enough volume with sufficient sensitivity to contain a significant population of high-z AGNs with a wide range of luminosities (more than two orders of magnitude in flux at rest-frame 1400 Å). Together with a large spectroscopic sample of 94 AGNs at 3.3 < z < 4.0 that we assembled in these fields from the literature, the deep CLAUDS  $u/u^*$ -band images allow us to measure the ionizing flux escaping from each spectroscopically confirmed AGN, while the HSC-SSP photometry at longer wavelengths provides their non-ionizing UV luminosity.

Throughout this paper, we use AB magnitudes and assume the  $(\Omega_{\rm M},\,\Omega_{\Lambda},\,H_0)=(0.3,\,0.7,\,70~{\rm km~s^{-1}~Mpc^{-1}})$  cosmology.

#### 2 DATA

This study uses very deep observed-frame U-band<sup>1</sup> fluxes to determine the amount of ionizing photons escaping from AGN located at redshifts z > 3.3. Photometry at longer wavelengths is used to determine the intrinsic AGN luminosity that is needed to convert

the escaping ionizing flux into the ionizing photon escape fraction. Accurate redshifts are essential for the AGN to ensure that the U band contains only ionizing radiation. For these reasons, our data consist of a sample of AGNs with spectroscopic redshifts (described in Section 2.1) and photometry from two very deep, overlapping imaging surveys (Section 2.2).

#### 2.1 Sample AGNs

We use the imaging data from CLAUDS and HSC-SSP deep survey that consist of four independent fields (XMM-LSS, Extended-COSMOS, ELAIS-N1, and DEEP2-3) with areas of 4-6 deg<sup>2</sup> each and  $18.60 \text{ deg}^2$  in total and with *Ugrizy* imaging (Section 2.2). We compiled a list of AGNs in the fields from the literature in the redshift range between z = 3.3 and 4.0. Our list consists of 94 AGNs and contains only AGNs with redshifts marked as highly reliable based on detections of multiple emission lines. We excluded AGNs that are only listed in the catalogue of PRIMUS (Coil et al. 2011; Cool et al. 2013) even if their redshifts in the catalogue are between 3.3 and 4.0, as reliability of the redshift listed in the catalogue is not high for highredshift objects. In Tables 1–3, we give the positions, spectroscopic redshifts, absolute magnitudes at rest-frame 1450 Å, type of AGN [broad-line AGN ('BLA') or narrow-line AGN ('NLA')] in literature if available, references, and their designations in the references. For the AGNs in the SXDS/XMM-LSS field, we only use those at redshift larger than 3.4, as only  $u^*$ -band images are available in this field, and in the  $u^*$ -band image, there would be significant (>25 per cent) contributions from non-ionizing photons for objects at z < 3.4.

Fig. 1 shows the distribution of redshifts and absolute magnitudes at rest-frame 1450 Å  $M_{1450}$  for our sample of AGNs. We calculate the  $M_{1450}$  magnitude of each AGN through a K-correction procedure that uses the synthetic colour calculated from a fiducial quasar spectrum to infer the magnitude at rest-frame 1450 Å from the observed i-band magnitude. The fiducial spectrum comes from Lusso et al. (2015) and is based on the HST Wide Field Camera 3 spectra of 53 quasars at  $z\simeq 2.4$  with the correction of absorption by IGM. The K-correction calculation is expressed as

$$M_{1450} = i - DM + K, (1)$$

which we can expand as

$$M_{1450} = i + (m_{1450} - i)_{\text{synthetic}} - 5\log(d_L/10\text{pc}) + 2.5\log(1+z),$$
 (2)

where the synthetic colour  $(m_{1450} - i)_{\text{synthetic}}$  is the difference between magnitude at rest-frame 1450 Å and i-band magnitude for the fiducial AGN spectrum at the observed redshift, and  $d_L$  is a luminosity distance to the object. With cosmology fixed, the only uncertainty in equation (2) is due to the synthetic colour term, which could in principle be eliminated (as e.g. in Sawicki & Thompson 2006) by using the observed r magnitude (instead of our i) as observed-frame rcorresponds closely to rest-frame 1450 Å at our redshifts. However, we use the *i* band instead of the *r* band because at z > 3.4, the *r*-band filter could contain the Ly  $\alpha$  emission line and, consequently, the flux r-band flux density could strongly deviate from the continuum flux density. Never the less, while non-zero,  $(m_{1450} - i)_{\text{synthetic}}$  is still small and fairly constant, ranging over 0.15-0.22 mag depending on the object's redshift. The  $M_{1450}$  values we calculated are listed in Tables 1-3. The sample AGNs used in this study come from multiple catalogues in the literature and contain both X-ray selected and optically selected AGNs. We have compiled as many AGNs with reliable spectroscopic redshifts as possible, and there is no uniform selection criteria. Never the less, as Fig. 1 shows, there is no strong

<sup>&</sup>lt;sup>1</sup>Depending on the field, CLAUDS uses u- and u\*-band filters (Sawicki et al. 2019). We refer these two bandpass filters collectively as U band.

 Table 1. A list of sample AGNs in the SXDS/XMM-LSS field.

$\pm 0.003$ $u^*$ $\pm 0.003$ $u^*$ $\pm 0.003$ $u^*$ $\pm 0.003$ $u^*$ $\pm 0.003$ $\pm 0.003$ $u^*$ <						SXDS/X	SXDS/XMM-LSS					
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	П	RA (J2000)	Dec.(J2000)	Redshift	$M_{1450}$	U-i	$t_{ m Lyc}$	Filter	Type	$N/E^a$	$\mathrm{Ref.}^b$	Designation
34,057850         4,140,8925         3,1590         -24,082         > 501         <-0.017         u"         BLA         N         0,202           34,3439178         -3,394,5621         3,4220         -21,816         2,384,066         0,1144,0012         u"         BLA         N         0,010           34,3455919         -5,289,194         3,9806         -24,02         > 64,0         (-0.007)         u"         BLA         N         0,01           34,3655919         -5,289,194         3,9820         -24,337         > 54,0         <-0.007	S01	33.933 3920	-4.922 8250	3.5120	-24.604	$2.62 \pm 0.02$	$0.140 \pm 0.003$	n*	BLA	z	01,01	SXDS0016
3.43439178 $-5.3945621$ $3.4220$ $-2.1816$ $-2.38\pm0.06$ $0.114\pm0.012$ $u^*$ NLA         E $0.101$ 3.4.365919 $-5.2899194$ $3.9806$ $-2.2.367$ $-2.4002$ $-6.46$ $<-0.008$ $u^*$ BLA         N $0.010$ $3.4.365919$ $-5.2089259$ $3.5110$ $-2.2.367$ $>+7.00$ $0.285\pm0.003$ $u^*$ BLA         N $0.010$ $3.4.466743$ $-5.3992259$ $3.5110$ $-2.2.347$ $>+7.00$ $0.285\pm0.003$ $u^*$ BLA         N $0.010$ $3.4.466743$ $-5.246585$ $3.8870$ $-2.21409$ $>+3.85$ $<-0.0049$ $u^*$ BLA         N $0.101$ $3.4.661024$ $-5.2678686$ $3.5230$ $-2.2219$ $3.20\pm0.00$ $u^*$ BLA         N $0.101$ $3.4.61024$ $-5.2878614$ $3.641024$ $3.5230$ $-0.003$ $u^*$ BLA         N $0.101$ $3.5.221967$ $-5.2876$ $-5.2490$ $-5.2455$ $-5.2455$	S03	34.0578500	-4.1408925	3.5190	-24.082	>5.01	<-0.017	$n^*$	BLA	Z	02,02	SDSS021613.88-040827.2
34,365919 $-5.288$ 9194         3.9806 $-24,002$ $>646$ $<-0.008$ $u^*$ BLA         N         0.301           34,3632869 $-5.288$ 9194         3.9832 $-2.236$ $>4.70$ $<-0.017$ $u^*$ BLA         N         0.401           34,4466749 $-5.39$ 3.5110 $-2.4.33$ $1.99 \pm 0.00$ $0.285$ $0.049$ $u^*$ BLA         N         0.401           34,6180760 $-5.2645695$ 3.8370 $-21.409$ $>3.38$ $<-0.049$ $u^*$ BLA         N         0.401           34,6180760 $-5.264569$ 3.8370 $-21.40$ $0.2858$ $<-0.049$ $u^*$ BLA         N         0.401           34,6180740 $-5.264569$ 3.502 ± 0.00 $0.0724001$ $u^*$ BLA         N         0.101           34,814634 $-4.467967$ 3.7611 $-24.30$ 3.72 ± 0.02 $0.07324001$ $u^*$ BLA         N         0.101           34,814634 $-4.467964$ 3.4466 $-2.545$ 5.054 ± 0.02 $0.0324$ $u^*$	S07	34.343 9178	-5.3945621	3.4220	-21.816	$2.38 \pm 0.06$	$0.114 \pm 0.012$	$n^*$	NLA	田	01,01	SXDS0422
34,393,886 $-50073914$ 3,9832 $-22.567$ $>470$ $<0017$ $u^*$ BLA         N         04,01           34,446,6743 $-5.2992259$ 3,5110 $-24.33$ 1.99 ± 0.00 $<0.049$ $u^*$ BLA         N         04,01           34,446,6743 $-5.264$ 3,530 $-21.409$ $>3.85$ $<-0.004$ $u^*$ BLA         N         01,01           34,618,0760 $-5.264$ 3,530 $-22.149$ $>3.20$ $<-0.007$ $u^*$ BLA         N         01,01           34,610,24 $-5.267$ 3,640 $-23.62$ $<-0.007$ $u^*$ BLA         N         01,01           34,610,24 $-5.287$ 3,640 $-2.497$ 3,148 $<-0.004$ $u^*$ BLA         N         01,01           34,991,313 $-4.467$ $<0.004$ $<0.004$ $<0.002$ $<0.002$ $<0.002$ $<0.002$ $<0.002$ $<0.002$ $<0.002$ $<0.002$ $<0.002$ $<0.002$ $<0.002$ $<0.002$ $<0.002$ $<0.002$ $<0.00$	S10	34.365 5919	-5.2889194	3.9806	-24.092	>6.46	<0.008	$u^*$	BLA	Z	03,01	SXDS0459
$34,446  6743$ $-5.399  2259$ $3.5110$ $-24.313$ $1.99 \pm 0.00$ $0.288 \pm 0.003$ $u^*$ $b.$	S11	34.393 2860	-5.0873914	3.9832	-22.367	>4.70	<0.017	$n^*$	BLA	Z	04,01	VANDELS_UDS_199159
34.618 0760 $-5.2645695$ 3.8570 $-21.409$ $>3.85$ $< -0.049$ $u^*$ BLA         N         01.01           34.630498 $-4.7318160$ 3.6990 $-23.626$ $>5.93$ $< -0.007$ $u^*$ BLA         N         01.01           34.630442 $-5.287616$ 3.5690 $-22.219$ 3.200 $0.034 = 0.001$ $u^*$ BLA         N         01.01           34.8146314 $-4.460.9647$ 3.6400 $-22.455$ 5.05 $\pm 0.00$ 0.019 $\pm 0.001$ $u^*$ BLA         N         06.06           35.221.967 $-4.390.918$ 3.7148 $-26.084$ 3.00 $\pm 0.00$ $u^*$ BLA         N         06.06           35.221.967 $-4.390.7918$ 3.7148 $-26.084$ 3.00 $\pm 0.00$ $u^*$ BLA         N         0.00           35.221.967 $-5.865.564$ 3.8466 $-24.812$ $>5.75$ $< -0.005$ $u^*$ BLA         N         0.00           35.252.1967 $-5.646.562$ 3.5333 $-26.138$ $< -0.005$ $u^*$ BLA         N         0	S13	34.4466743	-5.3992259	3.5110	-24.313	$1.99 \pm 0.00$	$0.285 \pm 0.003$	$n^*$	I	Щ	05,-	IRS_AAOmega:AAO_SMA_047
$3.45307498$ $-4.7318160$ $3.6990$ $-23.626$ $>5.93$ $<-0.007$ $u^*$ BLA         N $01,01$ $3.4410242$ $-5.2876816$ $3.539$ $-22.219$ $3.00\pm0.06$ $0.078\pm0.001$ $u^*$ BLA         N $01,01$ $3.4410242$ $-5.2876816$ $3.5330$ $-22.219$ $3.00\pm0.00$ $0.019\pm0.001$ $u^*$ BLA         N $01,01$ $3.49145131$ $-4.480.947$ $3.6400$ $-25.485$ $5.05\pm0.001$ $u^*$ BLA         N $00.00$ $3.5221967$ $-4.380.947$ $3.6400$ $-25.482$ $3.00\pm0.00$ $0.119\pm0.001$ $u^*$ BLA         N $0.00$ $3.5221967$ $-3.6445562$ $3.3465$ $-24.812$ $-26.128$ $6.87\pm0.00$ $0.119\pm0.001$ $u^*$ BLA         N $0.00$ $3.55170$ $-3.644562$ $3.5333$ $-26.128$ $-6.005$ $u^*$ BLA         N $0.00$ $3.5651780$ $-3.364770$ $-3.544656$ $-3.534$ $-26.3$	S14	34.6180760	-5.2645695	3.8570	-21.409	>3.85	<0.049	$n^*$	BLA	田	01,01	SXDS0809
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	S15	34.6307498	-4.7318160	3.6990	-23.626	>5.93	<-0.007	$n^*$	BLA	Z	01,01	SXDS0824
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	S16	34.6410242	-5.2876816	3.5530	-22.219	$3.20 \pm 0.06$	$0.078 \pm 0.001$	$n^*$	BLA	Z	01,01	SXDS0835
34.9913131 $-4.480 9647$ $3.6400$ $-25.455$ $5.05 \pm 0.02$ $0.003 \pm 0.001$ $u^*$ BLA         N $06.06$ $35.2521967$ $-4.3907918$ $3.7148$ $-26.084$ $3.00 \pm 0.00$ $0.119 \pm 0.001$ $u^*$ BLA         N $0.007$ $35.4857567$ $-2.863564$ $3.8466$ $-24.812$ $>5.75$ $< -0.005$ $u^*$ BLA         N $0.007$ $35.6517807$ $-3.6446562$ $3.5333$ $-26.128$ $6.87 \pm 0.06$ $u^*$ BLA         N $0.007$ $35.6517807$ $-3.6446562$ $3.5333$ $-26.128$ $6.87 \pm 0.06$ $u^*$ BLA         N $0.007$ $35.6517807$ $-3.6446567$ $-3.667372$ $3.6533$ $-26.510$ $> 7.90$ $< -0.013$ $u^*$ BLA         N $0.0707$ $35.85836207$ $-3.4667372$ $3.8653$ $-26.510$ $> 7.90$ $< -0.013$ $u^*$ BLA         N $0.007$ $36.299247$ $-4.4644914$ $3.4905$ $-2.4330$	819	34.8146314	-4.4679767	3.7611	-24.070	$3.17 \pm 0.01$	$0.102 \pm 0.001$	$n^*$	BLA	Z	90,90	VIPERS:123049002
35.521967 $-4.3907918$ $3.7148$ $-26.084$ $3.00\pm0.00$ $0.119\pm0.001$ $u^*$ BLA         N $07.07$ 35.4857567 $-5.863554$ $3.8466$ $-24.812$ $>5.75$ $< -0.005$ $u^*$ BLA         N $07.07$ 35.6517807 $-3.6446562$ $3.5333$ $-26.128$ $6.87\pm0.06$ $u^*$ BLA         N $07.07$ 35.6517807 $-3.6446562$ $3.5333$ $-26.128$ $6.97\pm0.004$ $u^*$ BLA         N $07.07$ 35.651780 $-3.364772$ $3.8653$ $-26.510$ $>7.90$ $< -0.013$ $u^*$ BLA         N $07.07$ 36.058 660 $-5.486940$ $3.7790$ $-25.849$ $>8.20$ $< -0.013$ $u^*$ BLA         N $0.707$ 36.299 2517 $-44614914$ $3.4905$ $-25.39$ $2.15 \pm 0.01$ $0.125 \pm 0.012$ $u^*$ BLA         N $0.707$ 36.363 4943 $-4440.2011$ $3.8827$ $-24.330$ $-24.30$ $> -0.009$	S20	34.9913131	-4.4809647	3.6400	-25.455	$5.05 \pm 0.02$	$0.003 \pm 0.001$	$u^*$	BLA	Z	90,90	VIPERS:124045929
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	S22	35.2521967	-4.3907918	3.7148	-26.084	$3.00 \pm 0.00$	$0.119 \pm 0.001$	$n^*$	BLA	Z	07,07	N_19_46
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	S24	35.4857567	-5.8635564	3.8466	-24.812	>5.75	<0.005	$n^*$	BLA	Z	07,07	N_66_19
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	S25	35.651 7807	-3.6446562	3.5333	-26.128	$6.87 \pm 0.06$		$u^*$	BLA	Z	02,02	SDSS022236.43-033840.7
$35.7661834$ $-5.5874712$ $3.6719$ $-23.317$ $3.48 \pm 0.03$ $0.069 \pm 0.001$ $u^*$ BLA         N $07,07$ $35.8362607$ $-3.3067372$ $3.8653$ $-26.510$ $>7.90$ $< -0.013$ $u^*$ BLA         N $0.002$ $36.0558630$ $-5.4569240$ $3.7790$ $-25.849$ $>8.20$ $< -0.014$ $u^*$ BLA         N $0.002$ $36.0558630$ $-5.4569240$ $3.7790$ $-25.849$ $>8.20$ $< -0.014$ $u^*$ BLA         N $0.002$ $36.2992517$ $-4.4614914$ $3.4905$ $-25.798$ $2.15 \pm 0.01$ $0.227 \pm 0.004$ $u^*$ BLA         N $0.002$ $36.343493$ $-4.4762785$ $3.4147$ $-25.151$ $2.30 \pm 0.012$ $u^*$ BLA         N $0.05$ $36.4375630$ $-4.626875$ $3.5900$ $-23.752$ $>6.07$ $< -0.013$ $u^*$ BLA         N $0.5$ $36.4375630$ $-4.6266875$ $3.8600$ $-2.651$ $>5$							$-0.027 \pm 0.004$					
$35.8362607$ $-3.3067372$ $3.8653$ $-26.510$ $>7.90$ $<-0.013$ $u^*$ BLA         N $07,07$ $36.0558630$ $-5.4569240$ $3.7790$ $-25.849$ $>8.20$ $<-0.014$ $u^*$ BLA         N $07,07$ $36.2992517$ $-4.4614914$ $3.4905$ $-25.349$ $2.15\pm0.01$ $u^*$ BLA         N $07,07$ $36.3992517$ $-4.4614914$ $3.8527$ $-24.330$ $>6.49$ $<-0.009$ $u^*$ BLA         N $07,07$ $36.3414219$ $-4.4762785$ $3.4147$ $-25.151$ $2.30\pm0.012$ $u^*$ BLA         N $0.5,02$ $36.4375630$ $-4.6266875$ $3.5900$ $-23.752$ $>6.07$ $<-0.013$ $u^*$ BLA         N $0.5,02$ $36.4375630$ $-4.6266875$ $3.8600$ $-22.651$ $>5.02$ $<-0.013$ $u^*$ BLA         N $0.5,02$ $36.248525$ $-4.617493$ $3.7400$ $-22.356$ $>-2.91$ $<-0.037$	S30	35.7661834	-5.5874712	3.6719	-23.317	$3.48 \pm 0.03$	$0.069 \pm 0.001$	$u^*$	BLA	Z	07,07	N_97_11
$36.0558630$ $-5.4569240$ $3.7790$ $-25.849$ $>8.20$ $<-0.014$ $u^*$ BLA         N $02,02$ $36.2992517$ $-4.4614914$ $3.4905$ $-23.798$ $2.15\pm0.01$ $0.277\pm0.004$ $u^*$ BLA         N $0.707$ $36.3992517$ $-4.4614914$ $3.8527$ $-24.330$ $>6.49$ $<-0.009$ $u^*$ BLA         N $0.707$ $36.314219$ $-4.4762785$ $3.4147$ $-25.151$ $2.30\pm0.012$ $u^*$ BLA         N $0.5,02$ $36.4315219$ $-4.4626875$ $3.5900$ $-23.752$ $>6.07$ $<-0.013$ $u^*$ BLA         N $0.5, 36.4315630$ $-4.6266875$ $3.8600$ $-23.752$ $2.76\pm0.01$ $0.158\pm0.001$ $u^*$ BLA         N $0.5, 36.2285575$ $-4.2239797$ $3.5700$ $-22.651$ $>5.02$ $<-0.034$ $u^*$ BLA         N $0.5, 36.9744832$ $-4.6017493$ $3.7407$ $-22.356$	S31	35.836 2607	-3.3067372	3.8653	-26.510	>7.90	<0.013	$n^*$	BLA	Z	07,07	N_11_3
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	S35	36.0558630	-5.4569240	3.7790	-25.849	>8.20	<-0.014	$n^*$	BLA	Z	02,02	SDSS022413.41-052724.8
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	S37	36.299 2517	-4.4614914	3.4905	-23.798	$2.15 \pm 0.01$	$0.227 \pm 0.004$	$u^*$	BLA	Z	07,07	N_50_45
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	S38	36.363 4943	-4.4420211	3.8527	-24.330	>6.49	<-0.009	$u^*$	BLA	Z	08,08	VVDSDEEP:020254576
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	S40	36.4314219	-4.4762785	3.4147	-25.151	$2.30 \pm 0.00$	$0.125 \pm 0.012$	$u^*$	BLA	Z	02,02	SDSS022543.53-042834.5
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	S41	36.437 5630	-4.6266875	3.5900	-23.752	>6.07	<0.013	$u^*$	I	Z	05,-	2XLSSdJ022545.0-043736
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	S42	36.461 1674	-4.3617302	3.8600	-23.675	$2.76 \pm 0.01$	$0.158 \pm 0.001$	$u^*$	BLA	Z	80,80	VVDSDEEP:020290592
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	S44	36.628 5575	-4.2239797	3.5700	-22.651	>5.02	<-0.004	$n^*$	I	Z	05,-	2XLSSdJ022630.8-041326
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	S48	36.9244832	-4.6017493	3.7400	-21.148	>2.91	<0.132	$u^*$	I	Щ	05,-	2XLSSdJ022741.9-043605
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	S49	36.9744979	-4.3889907	3.6260	-22.356	>4.16	<0.027	$u^*$	BLA	Z	80,80	VVDSDEEP:020277536
$37.510.2874$ $-4.522.1641$ $3.6581$ $-24.732$ $>5.64$ $<-0.005$ $u^*$ BLA N	S50	36.977 5822	-4.7598451	3.7407	-25.328	>7.17	<0.013	$u^*$	BLA	Z	02,02	SDSS022754.61-044535.5
	S52	37.5102874	-4.5221641	3.6581	-24.732	>5.64	<0.005	$u^*$	BLA	Z	07,07	N_105_36

 $a^{\prime}$ N' stands for a nuclear-dominated AGN, while 'E' stands for an extended AGN (see Section 3.2).

<sup>b</sup>References are as follows: The first reference is for redshift, and the second one is for AGN type. (01) Akiyama et al. (2015); (02) SDSS quasar catalogue DR14 (Pâris et al. 2018); (03) He et al. (in prep); (04) VANDELS DR2 (Pentericci et al. 2018); (05) (M. Akiyama, private communication); (06) VIPERS PDR2 (Cucciati et al. 2017); (07) Menzel et al. (2016); (08) VVDS (Le Fèvre et al. 2013).

COSMOS\_J095753.49+024736.1 COSMOS\_J095901.31+024418.8 XMMC\_149.85099+2.27609 XMMC\_150.00412+2.03904 XMMC\_150.20888+2.48202 XMMC\_150.20929+2.43844 XMMC\_150.29764+2.14830 XMMC\_149.86981+2.29391 XMMC\_150.24087+2.65873 XMMC\_149.87949+2.22567 PRIMUS\_110535 M12\_1511846 M12\_0790476 M12\_1208399 B18\_1938843 B18\_1730531 B18\_0664641 Designation L1040434 CCOS1505 C1432719 cid\_1672 L772453 cid\_1134 L862385 L768961 L179154 L405213 cid\_1656 CCOS784 L450105 lid\_1808 lid\_2189 L747071 lid\_1244 L368476 CCOS879 cid\_3293 L554731 10,10 13,13 12,12 12,12 14,13 14,14 13,13 15,15 13,13 14,13 12,12 12,09 11,13 12,09 12,12 13,13 11,11 11,11 11,11 11,11 11,11 14,14 14,14 14,14 14,14 11,11 15,15 4,12 11,11 11,11 NE" BLABLA BLA BLA BLA BLA BLA NLA BLA BLA BLA BLA BLA MLA BLA BLA BLA MLA BLANLA NLA BLA BLA BLA BLA BLA MLA Filter  $-0.001 \pm 0.007$  $0.178 \pm 0.006$  $0.031 \pm 0.000$  $0.116 \pm 0.000$  $0.339 \pm 0.013$  $0.182 \pm 0.004$  $0.202 \pm 0.001$  $0.104 \pm 0.000$  $0.172 \pm 0.001$ < 0.019 <-0.010 < 0.005 <0.180 < 0.050 <0.028 <-0.027 <0.189 <-0.007 <0.032 < 0.003 < 0.015 < 0.043 <0.047 < 0.032 < 0.022 < 0.053 < 0.108 <0.158 < 0.053 < 0.075 < 0.015 < 0.005 < 0.177 < 0.101 <0.431 < 0.032 COSMOS  $2.40 \pm 0.05$  $3.24 \pm 0.01$  $2.15 \pm 0.12$  $1.66 \pm 0.10$  $2.45 \pm 0.03$  $2.49 \pm 0.08$  $2.56 \pm 0.02$  $4.48 \pm 0.01$  $3.18 \pm 0.01$ >5.13 >4.64 >4.42 >6.24 >5.42 >4.52 >3.88 >3.81 >2.68 >4.80 >8.15 >2.74 >2.67 >4.33 >4.07 >2.72 >4.01 >6.64 >3.31 >3.98 >2.91 >3.01 -22.169 -21.920-24.064-20.957 -21.326-21.927-22.878-22.412-23.675-23.017-21.393-21.297 -21.882-20.424 -20.793-25.774 -22.578 -23.402 -21.376-20.122-25.123-21.426-20.338 -19.177-21.819-22.137-21.854-21.944-21.686-22.764-24.470-21.494-20.3763.5460 3.3330 3.5240 3.6300 3.3450 3.3600 3.3170 3.7170 3.3280 Redshift 3030 3.3260 3.7480 3.3600 3.6860 3.3710 3.3350 3.3430 3.3600 3.4100 3.8650 3.3560 3.7470 3.7580 3.8460 3.5120 3.4980 3.9860 3.9600 3.8400 .3100 3.4820 2.8820631 2.0388885 Dec.(J2000) 2.225 8072 .3761549 2.1487816 2.7933720 .603 0866 2.4816180 2.2940175 2.275 9378 2.021 4452 2.4659748 2.4819033 ..5208549 .6138443 .738 5464 .6590580 2.865 2090 2.2764026 .4329414 .8721154 2.6067261 2.4384687 2.6590184 .553 5407 .909 6446 3005428 .852 0637 .7616025 .809 1995 2.471 2961 2.8604351 5862527 .963 8991 .0747463 150.259 5269 149.755 4065 149.869 6906 150.004 3858 150.271 5836 150.297 2445 149.472 9034 149.533 7247 149.625 8478 149.695 9527 149.843 2151 149.845 7518 149.845 8637 49.849 4778 149.851 5634 149.879 2145 149.886 1225 149.894 2870 149.917 3822 150.042 6727 150.096 8272 150.113 9556 50.1164373 150.1303590 150.208 8406 150.208 9844 150.240 7920 150.251 8296 150.263 0206 150.267 1997 50.302 5734 50.3060182 150.344 5675 50.383 5833 149.782 0781 150.022 9091 150.300 7581 

 Table 2.
 A list of sample AGNs in the COSMOS field.

**Table 2** – continued

bt					J	COSMOS					
П	RA (J2000)	Dec.(J2000)	Redshift	$M_{1450}$	U-i	Lyc	Filter	Type	$NE^a$	$\operatorname{Ref}^b$	Designation
C46	150.394 1052	2.7178277	3.4870	-21.600	$1.55 \pm 0.03$	$0.492 \pm 0.006$	п	NLA	Э	11,11	lid_4112
C47	150.402 9080	1.8788719	3.5710	-20.394	$1.84 \pm 0.08$	$0.393 \pm 0.012$	п	NLA	Э	11,11	cid_2949
C49	150.439 9178	2.703 4886	3.4650	-22.510	$2.79 \pm 0.03$	$0.155 \pm 0.001$	п	BLA	Z	60,60	COSMOS_J100145.58+024212.6
C50	150.454 9406	1.9673839	3.4710	-21.548	>3.96	<0.053	п	BLA	Z	11,11	L441487
C51	150.550 8002	2.6828885	3.5600	-21.818	>4.11	<0.048	п	BLA	Z	13,13	M12_1628943
C52	150.638 4404	2.3913200	3.6500	-23.195	>5.04	<0.021	п	BLA	Z	13,13	M12_1159815
C53	150.703 7829	2.3699723	3.7490	-23.966	$3.28 \pm 0.02$	$0.112 \pm 0.000$	n	BLA	Z	12,12	XMMC_150.70394+2.36961
C54	150.717 0733	1.930 1231	3.5675	-22.732	$1.23 \pm 0.01$	$0.694 \pm 0.003$	п	BLA	田	11,11	L419634
C55	150.735 5581	2.1995513	3.4970	-25.257	$3.14 \pm 0.00$	$0.063 \pm 0.006$	$n^*$	BLA	Z	11,12	lid_1710
C56	150.737 1767	2.7225658	3.3020	-23.399	$2.29 \pm 0.01$	$0.186 \pm 0.009$	п	BLA	Z	12,09	COSMOS_J100256.92+024321.2
C57	150.782 2171	2.2850682	3.6260	-23.993	$3.79 \pm 0.03$	$0.046 \pm 0.001$	$n^*$	BLA	z	10,10	B18_0899256
C59	150.801 3187	1.6574845	3.7720	-23.212	>5.63	<0.013	п	ı	z	10,-	B18_0247934
C60	150.911 2639	1.9448424	3.6800	-24.963	$4.43 \pm 0.02$	$0.038 \pm 0.000$	п	BLA	z	03,03	VVDSWIDE:100359356
C61	150.943 0180	1.3197523	3.5570	-24.265	>6.63	<0.005	п	BLA	z	03,03	VVDSWIDE:100105943
C62	151.261 4791	1.4073308	3.4035	-25.349	$3.07 \pm 0.00$	$0.117 \pm 0.000$	n	BLA	Z	02,02	SDSS100502.75+012426.3

<sup>a</sup>-IN stands for a nuclear-dominated AGN, while 'E' stands for an extended AGN (see Section 3.2).

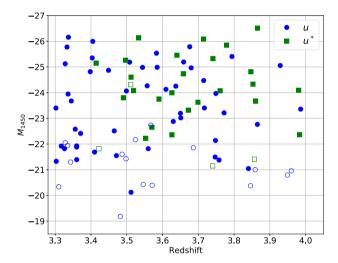
<sup>b</sup> References are as follows: The first reference is for redshift, and the second one is for AGN type. (02) SDSS quasar catalogue DR14 (Pâris et al. 2018); (03) VVDS Le Fèvre et al. (2013); (09) Trump et al. (2009); (10) Boutsia et al. (2010); (14) Hasinger et al. (2018); (15) Civano et al. (2012); (16) Vito et al. (2014).

Table 3. A list of sample AGNs in the ELIAS-N1 and the DEEP2-3 fields.

					E	ELIAS-N1					
ID	RA (J2000)	Dec.(J2000)	Redshift	$M_{1450}$	U-i	$t_{ m Lyc}$	Filter	Type	N/E <sup>a</sup>	Ref.b	Designation
E01	241.698 7255	54.580 4423	3.3370	-26.156	$2.86 \pm 0.00$	$0.119 \pm 0.003$	и	BLA	N	02	SDSS160647.69+543449.6
E03	242.392 1983	55.004 1942	3.5080	-25.186	$2.35 \pm 0.00$	$0.239 \pm 0.000$	и	BLA	N	02	SDSS160934.12+550015.1
E04	242.449 5213	55.428 5512	3.4050	-25.993	$2.06 \pm 0.00$	$0.299 \pm 0.000$	и	BLA	N	02	SDSS160947.88+552542.7
E05	242.781 0873	53.702 2729	3.9290	-25.054	>6.89	< 0.004	и	BLA	N	02	SDSS161107.45+534208.1
E07	242.930 1107	55.532 5680	3.5830	-25.534	>7.48	< 0.002	и	BLA	N	02	SDSS161143.22+553157.3
					]	DEEP2-3					
D03	350.970 5935	-0.6618659	3.5440	-24.981	>7.20	< 0.003	и	BLA	N	02	SDSS232352.94-003942.7
D04	351.141 3339	-0.9803717	3.5850	-24.985	$5.86 \pm 0.08$	$0.010 \pm 0.000$	и	BLA	N	02	SDSS232433.92-005849.3
D07	351.345 1664	-0.4108362	3.6590	-25.192	$4.67 \pm 0.02$	$0.030 \pm 0.000$	и	BLA	N	02	SDSS232522.84-002439.1
D08	351.489 4363	-0.9161884	3.7940	-25.405	$3.54 \pm 0.01$	$0.089 \pm 0.000$	и	BLA	N	02	SDSS232557.46-005458.3
D09	351.824 8088	0.096 0467	3.6760	-25.784	>7.92	< 0.002	и	BLA	N	02	SDSS232717.95+000545.7
D10	352.208 5031	0.683 0890	3.6370	-24.252	>6.68	< 0.005	и	BLA	N	02	SDSS232850.03+004059.0
D11	352.292 1745	0.227 2098	3.3980	-24.814	$2.17 \pm 0.00$	$0.269 \pm 0.000$	и	BLA	N	02	SDSS232910.12+001337.9
D12	352.705 7906	-0.0659099	3.4490	-24.868	$2.54 \pm 0.00$	$0.194 \pm 0.000$	и	BLA	N	02	SDSS233049.38-000357.2
D13	352.719 1885	-0.5862646	3.3360	-23.945	$2.62\pm0.01$	$0.153\pm0.004$	и	BLA	N	02	SDSS233052.60-003510.6

a'N' stands for a nuclear-dominated AGN, while 'E' stands for an extended AGN (see Section 3.2).

<sup>&</sup>lt;sup>b</sup>02: SDSS quasar catalogue DR14 (Pâris et al. 2018).

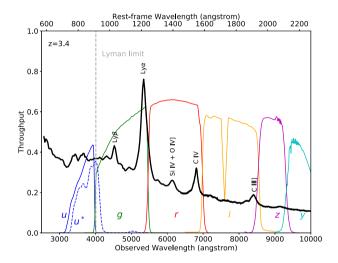


**Figure 1.** The redshift and absolute magnitude at rest-frame 1450-Å distribution of the sample AGNs. The blue points show the AGNs with *u*-band photometry, while the green squares show the AGNs with *u*\*band photometry. Filled symbols and open symbols represent nuclear-dominated and extended AGNs, respectively (see Section 3.2).

selection bias in terms of UV luminosity, and even in the higher redshift range, UV-faint AGNs are included in the sample.

#### 2.2 Photometric data

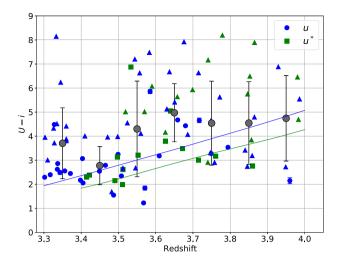
For photometry in g, r, i, z, and y bands, we use the HSC SSP S20A internal data release. We also tested photometry with the S18A data release that was processed using an older version of the pipeline and is identical to the second public data release (PDR2; Aihara et al. 2019). We confirmed that choice of the data release does not alter our findings on the LyC transmission from the sample AGNs significantly. At shorter wavelengths, we use the u- and  $u^*$ -band observations provided by CLAUDS (Sawicki et al. 2019). The median depth of the data is U = 27.1 AB ( $5\sigma$  in 2-arcsec apertures), and there is a  $1.36 \, \text{deg}^2$  sub-area in the COSMOS field with a median depth of U = 27.7 AB ( $5\sigma$  in 2-arcsec apertures). The median seeing size (FWHM) of the CLAUDS U-band data is  $0.92 \, \text{arcsec}$ , while for



**Figure 2.** Transmission curves for filters for HSC and MegaCam (solid line: u band, dashed line:  $u^*$  band) used in this study. Filter transmissions include reflectance of the primary mirror, throughputs of camera optics, CCD quantum efficiency, and typical atmospheric transmission. The  $u^*$ -band transmission curve shows a small red leak at  $\sim$ 5030 Å. A stacked mean spectrum of quasar by Lusso et al. (2015) with redshift z=3.4 (after correction of IGM attenuation) is shown with a thick black line. Lyman limit wavelength is indicated with a vertical dashed line.

the HSC SSP Deep + UltraDeep data, they are 0.81, 0.74, 0.62, and 0.71 arcsec for g, r, i, z, and y bands, respectively (Aihara et al. 2019).

In Fig. 2, throughput of the systems with these bandpass filters are shown in the rest-frame wavelengths for sources at z=3.4, along with the stacked quasar spectrum by Lusso et al. (2015). At z>3.4, the u band is free from non-ionizing photons, while for the  $u^*$  band, there are some contributions by non-ionizing photons for sources at z>3.4 because the filter transmission slope is shallower than the u band and there is also a small leak around  $\lambda=5030$  Å. In Section 3.3, we will correct for such effects of non-ionizing photons. For the COSMOS field all the objects except two have both u- and  $u^*$ -band images (the remaining two have only  $u^*$ -band image). We use the u band for those objects if both are available. For the objects in the ELIAS-N1 and DEEP2-3 fields, only u-band images are available, and in the SXDS/XMM-LSS field, only  $u^*$ -band images are available.



**Figure 3.** U-i colours of the sample AGNs, plotted against their redshifts. Upper triangles represent lower limit U-i colours for those without U-band detection. Larger black circles are average U-i colours and their standard deviations for  $\Delta z = 0.1$  bins. Blue and green solid lines show the expected colours using a fiducial intrinsic SED by Lusso et al. (2015), average IGM attenuation (Inoue et al. 2014), and LyC escape fraction  $f_{\rm esc} = 1.0$  for the u and  $u^*$  bands, respectively.

#### 3 ANALYSIS

#### 3.1 Photometry

The coordinates of the sample AGNs are taken from their positions in the HSC SSP data base, which is based on the iband images. For photometry in the HSC bands we use PSF model-based photometry [grizy]\_psfflux\_mag and its error [grizy]\_psfflux\_magerr in the forced catalogue of the data base. For u- and  $u^*$ -band images, we used PHOTUTILS (Bradley et al. 2019) to obtain 1.5-arcsec-diameter aperture photometry. Uncertainties were estimated with the help of artificial point sources inserted into the images. The procedure is described in Appendix A. If an object's flux density is more than three times higher than the  $1\sigma$  error of the image, we regard the object is detected in the u or u\* band, and use PSF model-based photometry in the HSC SSP data base, which employs the same algorithm used for photometry in HSC bandpass filters, as its *U*-band magnitude. Otherwise, the object is regarded as undetected in the U band, and 1.5-arcsec-diameter aperture photometry value is used to set the  $3\sigma$  upper limit of its flux density. We visually inspected postage stamp images of the all sample AGNs and found that there is no object that has significant detection in the U band with a spatial offset relative to the i band.

Finally, foreground Galactic dust extinction corrections were applied to all the photometric data. Here we used the dust reddening map by Schlegel, Finkbeiner & Davis (1998), with the calibration by Schlafly & Finkbeiner (2011), obtained from the NASA/IPAC IRSA web service.<sup>2</sup>

In Fig. 3, U-i colours of the sample AGNs are plotted against their redshifts. The average U-i colours of the sample AGNs are also plotted, in 0.1 redshift steps. When we calculate the average, we simply use the lower limit values for those not detected in the U band. Therefore, these average values should be taken as lower limits. In the figure we also show the expected U-i colours if

we use the stacked quasar spectrum by Lusso et al. (2015) as a fiducial intrinsic SED, apply average IGM attenuation at the redshift using a prescription given by Inoue et al. (2014), and assume  $f_{\rm esc} =$ 1.0. The expected colours increase along redshift, due to increased average IGM attenuation. The observed U - i colours of the sample AGNs roughly follow the trend of the expected colours, although there are large dispersions in the observed colours. As described in Section 2.1, the sample AGNs in this study come from multiple sources and no uniform selection criterion was adopted. Prochaska, Worseck & O'Meara (2009) examined u - g colours of SDSS quasars and found that u - g colours of  $z \approx 3.5$  quasars are systematically redder than those at  $z \approx 3.6$ . They argued that the SDSS colourselection criteria would introduce such selection bias. From Fig. 3, we do not see evidence for a bias of this type in terms of U-i colours for the sample AGNs in this study. The measured U - i colours of individual objects are given in Tables 1–3.

#### 3.2 Selection of nuclear-dominated AGNs

As described in Section 3.3, we need to assume an intrinsic SED of AGN to estimate the LyC transmission and escape fraction. For that purpose, we use the stacked quasar spectrum by Lusso et al. (2015) as a template of intrinsic AGN SED. If the flux of an AGN is not dominated by its nucleus but its host galaxy significantly contributes to its flux, we should use a different SED to properly estimate the LyC transmission. However, it is difficult to estimate the intrinsic SED when the nuclear photons do not dominate the SED. Thus we focus on sub-sample of AGNs dominated by nuclear emission. In order to do so, we use the difference between magnitudes in the i band measured by fitting PSF ( $m_{PSF}$ ) and those with photometry using galaxy surface density profile model fitting (CModel; Bosch et al. 2018),  $m_{CModel}$ . We use the following criterion to select nuclear-dominated AGNs:

$$m_{\rm PSF} - m_{\rm CModel} < 0.15. \tag{3}$$

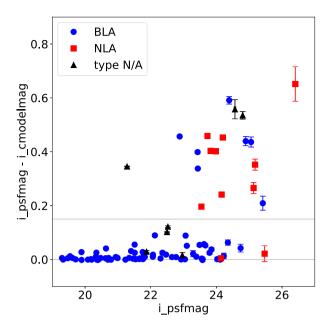
This criterion means that flux density measured with galaxy model fitting needs to be  $\sim\!\!15$  per cent or less larger than the flux density measured with PSF fitting. Although this criterion is arbitrary, it eliminates most of the AGNs that are classified as NLAs in literature among the sample AGNs. As shown in Fig. 4, all but two objects among 12 NLA AGNs have magnitude difference larger than 0.15, and through visual inspection, we find that the objects with magnitude difference larger than 0.15 generally show extended morphologies in the HSC images.

Among 94 sample AGNs, 74 objects satisfy this criterion. We refer this subsample as 'nuclear-dominated' AGNs, and this subsample is used to estimate LyC transmission and escape fraction.

#### 3.3 Estimation of LyC transmission

We use u- and  $u^*$ -band photometry to estimate LyC emissivity and transmission of the target AGNs. Rest-frame wavelength ranges traced by these photometric data vary depending on redshift of the source. For the u band, the rest-frame wavelength ranges traced by the filter with >50 per cent of the peak throughput are 800–924 and 688–795 Å for an object at z=3.3 and 4.0, respectively, and for the  $u^*$  band, they are 789–936 and 694–824 Å for an object at z=3.4 and 4.0, respectively. For the u band at z=3.3, about 90 per cent of photons have  $\lambda < 912$  Å (for both a template AGN SED and an SED that is flat in  $f_v$ ), and at  $z \ge 3.4$  all photons collected with this filter are LyC. On the other hand, for the  $u^*$  band, about 23 per cent of photons from a source at z=3.4 are non-ionizing UV photons, and due to a small red leak in the filter transmission around 5030 Å

<sup>&</sup>lt;sup>2</sup>https://irsa.ipac.caltech.edu/applications/DUST/.



**Figure 4.** Magnitude difference between magnitude based on galaxy model fitting (CModel) and magnitude with PSF fitting against PSF fitting magnitude. Blue circles and red squares represent AGNs classified in the reference literature as 'Broad-line AGN' (BLA) and 'Narrow-line AGN' (NLA), respectively, while black triangles are AGNs without BLA/NLA classification in the literature. A horizontal line at magnitude difference of 0.15 indicates the upper limit we adopted to select 'nuclear-dominated' AGNs in this paper.

(see Fig. 2), a few per cent of the photons collected with the filter is expected to be non-ionizing photons even for a source at z > 3.6. We need to correct for contributions from such non-ionizing photons to calculate LyC emissivity of the target AGNs.

LyC transmission for an object we want to know is defined as

$$t_{\rm LyC} = f_{\rm LyC}^{\rm obs} / f_{\rm LyC}^{\rm int}, \tag{4}$$

where  $f_{\rm LyC}^{\rm obs}$  and  $f_{\rm LyC}^{\rm int}$  are the observed and intrinsic LyC flux densities, respectively. Note that  $t_{\rm LyC}$  includes attenuation by both ISM and IGM. On the other hand, the escape fraction of LyC is the ratio of LyC luminosity going out from an object to that generated within the object:

$$f_{\rm esc} = \frac{(L_{\rm LyC})^{\rm out}}{(L_{\rm LyC})^{\rm int}},\tag{5}$$

and the relation between  $t_{LyC}$  and  $f_{esc}$  can be expressed as

$$f_{\rm esc} = t_{\rm LyC} \exp(\tau_{\rm LyC}^{\rm IGM}), \tag{6}$$

where  $\tau_{\rm LyC}^{\rm IGM}$  is the IGM opacity for the sightline of the object. Because  $\tau_{\rm LyC}^{\rm IGM}$  is unknown, we do not derive  $f_{\rm esc}$  for individual sources, but calculate only  $t_{\rm LyC}$ . We will estimate  $f_{\rm esc}$  using stacking analysis in Section 3.5.

Similar to  $t_{LyC}$ , transmission of non-ionizing UV photon is

$$t_{\rm UV} = f_{\rm UV}^{\rm obs} / f_{\rm UV}^{\rm int}. \tag{7}$$

If the U band contains non-ionizing photons, the sum of  $f_{\rm LyC}^{\rm obs}$  and  $f_{\rm UV}^{\rm obs}$  equals the observed flux densities in the u or  $u^*$  band,  $f_{\rm UV}^{\rm obs}$ . For  $f_{\rm LyC}^{\rm int}$  and  $f_{\rm UV}^{\rm int}$ , we use a mean  $z\sim 2.4$  QSO spectrum by Lusso et al. (2015) as an intrinsic SED. In Section 4.1, we discuss how our estimates of LyC transmission change if the assumed intrinsic SED is changed.

For AGNs observed with u-band filter at  $z \ge 3.4$ , all photons collected by u-band filter are LyC photons, and we can simply use equation (4) to calculate LyC transmission. For AGNs observed with  $u^*$ -band filter or those with u-band filter and at z < 3.4, we need to estimate the amount of non-ionizing UV photons in the observation and subtract it to obtain  $t_{\text{LyC}}$ :

$$t_{\rm LyC} = \frac{f^{\rm obs} - f_{\rm UV}^{\rm obs}}{f_{\rm LyC}^{\rm int}}.$$
 (8)

In order to estimate  $f_{\rm UV}^{\rm obs}$ , we need to take the fluctuation of  $t_{\rm UV}$ caused by the intervening IGM into account. An absorber at a redshift close to the source produces both the LyC absorption and the Ly  $\alpha$ absorption, and the degree of these absorption is determined by the opacity of the absorber. However, LyC photons travelling toward us can be also absorbed by an absorber at lower redshift due to Ly  $\alpha$  absorption. This makes a variation in the values of  $t_{LyC}$  for sightlines toward sources at a redshift with a certain value of Ly  $\alpha$ absorption, leading to an uncertainty in estimating  $t_{UV}$  and  $t_{LvC}$ . In order to consider the effect of such uncertainty, we use the results of Monte Carlo simulation of IGM transmission (Inoue & Iwata 2008) that generate 10 000 sightlines for redshifts consistent with the H<sub>I</sub> cloud distribution defined analytically by Inoue et al. (2014). First, we use the mean UV transmission  $t_{\text{UV}}^0$  from 10 000 realizations of the sightlines with the redshift of a sample AGN to make an initial estimate of LyC transmission,  $t_{LvC}^0$ :

$$t_{\rm LyC}^0 = \frac{f^{\rm obs} - f_{\rm UV}^{\rm int} \times t_{\rm UV}^0}{f_{\rm LyC}^{\rm int}}.$$
 (9)

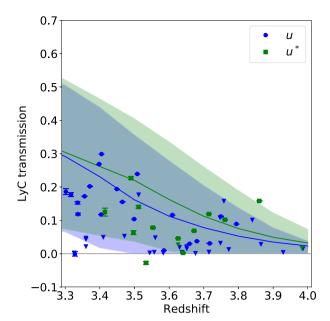
Then we extract 1000 instances from Monte Carlo realizations with  $t_{\rm LyC}$  in the range of  $t_{\rm LyC}^0 \pm 0.1.^3$  These simulated sightlines are used to get the distribution of  $t_{\rm UV}$ , and then to derive the mean value of  $t_{\rm LyC}$ , which is used as the best estimate of LyC transmission of the object. The standard deviation of  $t_{\rm LyC}$  values is added to the error estimate of  $t_{\rm LyC}$  in addition to the photometric errors.

In Tables 1–3,  $t_{\rm LyC}$  values calculated with this procedure are provided. Among 74 nuclear-dominated sample AGNs, 41 objects are undetected (i.e. have flux densities less than  $3\sigma$ ) in u- or  $u^*$ -band images. For these objects, we estimate the upper limit of  $t_{\rm UV}$  in the same manner as detected sources but using  $3\sigma$  upper limit flux density in the u or  $u^*$  band, and if the estimated  $f_{\rm UV}^{\rm obs}$ , which equals to  $f_{\rm UV}^{\rm int} \times t_{\rm UV}$ , exceeds the value of the  $3\sigma$  upper limit flux density (i.e. upper limit of  $t_{\rm LyC}$  is negative), we cannot put any constraint on  $t_{\rm LyC}$  for the object. There are 14 such cases.

#### 3.4 Distribution of LyC transmission

In Fig. 5, the distribution of LyC transmission ( $t_{\rm LyC}$ ) of the 60 sample AGNs (14 objects with negative  $t_{\rm LyC}$  upper limit among 74 objects are removed) is plotted against their redshifts. If  $u/u^*$ -band measured flux densities are below the  $3\sigma$  detection limit then the  $t_{\rm LyC}$  values based on the  $3\sigma$  limits are presented as long as the upper limit values are larger than the expected non-ionizing photon flux densities. Note that  $t_{\rm LyC}$  includes attenuation by IGM. As the redshift of a target AGN increases, the frequency of high IGM opacity along the sightline becomes higher, as indicated by the solid lines and shaded areas in

<sup>3</sup>Changing the range of  $t_{\rm LyC}$  from Monte Carlo realization does not affect the best estimate value of  $t_{\rm LyC}$  in most cases. By selecting sightlines with  $t_{\rm LyC}^0 \pm 0.15$  and  $\pm 0.20$  instead of  $t_{\rm LyC}^0 \pm 0.1$ , the changes in resultant best estimate values are less than 10 per cent for 69 and 60 objects out of the 74 sample AGNs, respectively. The largest change in  $t_{\rm LyC}$  is  $\sim$ 30 per cent.



**Figure 5.** LyC transmission for the nuclear-dominated sample AGNs, plotted against their redshifts. Blue circles are the values estimated using u-band photometry, and green squares are those using  $u^*$ -band photometry. Downward triangles are  $3\sigma$  upper limits for the AGNs without detection in the u or  $u^*$  band. The blue and green solid lines show the average IGM transmission for the u and  $u^*$  bands, respectively, from the Monte Carlo simulations, and shaded areas represent their 68 per centile fluctuations.

Fig. 5. The distribution of  $t_{\rm LyC}$  values for individual AGN also reflects this increase of IGM opacity with redshift. Note that the majority of the data points are under the average IGM transmission (solid lines), which means that the average  $f_{\rm esc}$  of the sample AGNs is smaller than unity.

To examine if there is a UV luminosity dependence on LyC transmission, in Fig. 6 t<sub>LyC</sub> values are plotted against AGN's absolute magnitudes at rest-frame 1450 Å. In the left-hand panel of the figure, we show the distribution of  $t_{LvC}$  values of the nuclear-dominated AGNs at 3.3 < z < 4.0. In the right-hand panel of the figure, we also show the distribution of AGNs at 3.3 < z < 3.6, a narrower redshift range where average IGM attenuation is relatively smaller than that for higher redshift. There are 38 AGNs in the redshift range. In both panels, we also plot the median and mean values in 0.5-mag bins, as well as the variation of median values estimated by bootstrap resampling. In the calculation of median and mean values and variations, we use  $t_{LyC}$  values based on 1.5-arcsec-diameter aperture photometry even if the values are negative, while we use  $3\sigma$ upper limits if objects are not detected in the U band when plotting t<sub>LvC</sub> of individual AGNs. No clear dependence on UV luminosity is seen in neither panel. In the next subsection, we further examine if there is any UV luminosity dependence on LyC radiation from AGNs, through stacking analysis.

In Fig. 6, there appears to be a gap in the distribution of  $t_{\rm LyC}$  for both sample AGNs at 3.3 < z < 4.0 and those at 3.3 < z < 3.6; a group of AGNs has  $t_{\rm LyC} \simeq 0.0$  while others have  $t_{\rm LyC}$  around 0.1–0.3. In Fig. 7, we show the histograms of  $t_{\rm LyC}$  of the sample AGNs. For those without  $>3\sigma$  detection in the U band, we adopt  $3\sigma$  upper limits of  $t_{\rm LyC}$ . We also show the histograms of LyC transmission of IGM, which is equivalent to the case when  $t_{\rm esc} = 1$ . Those are generated using the results of Monte Carlo realization of IGM distribution calculated for 10 000 sightlines per redshift in 0.1 step between  $t_{\rm LyC} = 1$ .

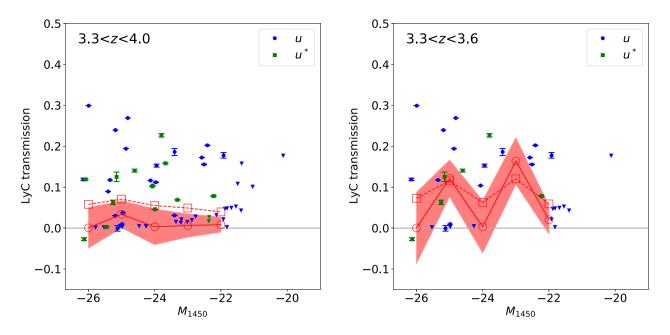
3.3 and 4.0, and are combined with weighted average based on the redshift distribution of the sample AGNs. From Fig. 7, we see that the  $t_{LvC}$  distribution of the sample AGNs is more clustered towards lower values than that of IGM, which is also clearly seen in Fig. 5. Such distribution indicates that LyC escape fraction of the sample AGNs is considerably less than unity in most cases. We also see that there is a second peak of  $t_{LvC}$  at  $\sim 0.2$  in the  $t_{LvC}$  distribution of the sample AGNs. It should be reminded that the bimodality of LyC escape fraction has been suggested in past studies on LyC escape of  $z \sim 3$  star-forming galaxies (e.g. Micheva et al. 2017b; Nakajima et al. 2020) and luminous quasars at 3.6 < z < 4.0 (Cristiani et al. 2016). The  $t_{LvC}$  distribution found in Figs 6 and 7 may imply that LyC escape fraction distribution of 3.3 < z < 4.0 AGNs studied here also has a bimodal distribution. Unfortunately, statistical significance is marginal due to a small number of the sample AGNs, and there is a non-negligible possibility that such bimodal distribution can be observed even if AGNs have a single  $f_{\rm esc}$  value or their intrinsic  $f_{\rm esc}$ distributes uniformly among certain values between 0 and 1, due to the variance of IGM attenuation. To confirm the bimodality of  $f_{\rm esc}$ , we need a larger sample of AGNs.

#### 3.5 Average LyC escape fraction from stacking analysis

We use the 38 objects in the redshift range 3.3 < z < 3.6 among the nuclear-dominated AGNs, including both with and without U-band detections, for stacking analysis. First,  $10 \times 10 \,\mathrm{arcsec^2}$  subsections of u- or  $u^*$ -band images centred at their i-band centroid positions are extracted. We mask objects (except the sample AGNs) detected by running SEXTRACTOR version 2.19.5 (Bertin & Arnouts 1996) with 5 connected pixels above  $2\sigma$  as a threshold. The local background value is estimated by getting a median value of the count distribution for a 30  $\times$  30 arcsec<sup>2</sup> area around the object after iterations of  $2\sigma$ clipping, and the value is subtracted from the image so that the median background value becomes zero. We then normalize the images with their 1.5-arcsec diameter aperture flux density at rest-frame 1450 Å. The flux density at rest-frame 1450 Å of an object is derived from  $m_{1450}$ , which is estimated in the way described in Section 2.1. We also correct for IGM attenuation by dividing the images with mean IGM transmission with  $u/u^*$  band at the object's redshift, using the transmission formulation by Inoue et al. (2014). The mean image is then generated by taking a mean of the array of counts for each pixel. Measurement of the integrated counts with 1.5-arcsec-diameter aperture gives the average  $(f_{LvC}/f_{UV})^{out}$ .

To search for indications of luminosity dependence on the LyC escape fraction, we also generate stacked images of two subsamples based on absolute UV magnitude. For this, we generate stacks with 18 objects and 20 objects with  $M_{1450} < -24$  and  $M_{1450} > -24$ , respectively. In Fig. 8, we show the stacked image with the full sample of 38 objects, as well as images for two subgroups.

In the stacking procedure, we assume the mean IGM attenuation for all objects. This assumption would be valid to estimate the mean flux ratio if the number of stacked objects is sufficiently large. We estimate the effect of fluctuation in IGM transmission by randomly selecting sightlines from Monte Carlo IGM realisations and taking averages of their IGM transmissions. With 10 000 tests, the standard deviation of the average IGM transmission is  $\sim$ 11 per cent for 38 sightlines and 15–17 per cent for 18 and 20 sightlines. These fluctuations are taken into account when we estimate the error in stacking analysis to derive average  $(f_{\rm LyC}/f_{\rm UV})^{\rm out}$ . Additionally, we execute bootstrap resampling of the images used for stacking and include the resulting scatter in the error budget of our measurements. It should be also noted that we ignore any possible deviation of



**Figure 6.** LyC transmission for the sample AGNs, plotted against their absolute magnitudes at rest-frame 1450 Å. The calculated LyC transmission values ( $t_{\rm LyC}$ ) based on *U*-band flux density measurements obtained through aperture photometry are plotted with filled circles (u band) and filled squares ( $u^*$  band). Downward triangles show  $3\sigma$  upper limits for the AGNs without detection in the u or  $u^*$  band. Left-hand panel: all the sample (nuclear-dominated) AGNs in the redshift range 3.3 < z < 4.0 are plotted. The open circles and open squares indicate median and mean values in 0.5-mag bins, respectively, and the shaded area represents the variation of the median values estimated by bootstrap resampling. These values are calculated using LyC transmission values based on aperture photometry, even for objects without  $>3\sigma$  detection in the U band. Right-hand panel: same as the left-hand panel, but only for sample AGNs in the lower redshift range 3.3 < z < 3.6.

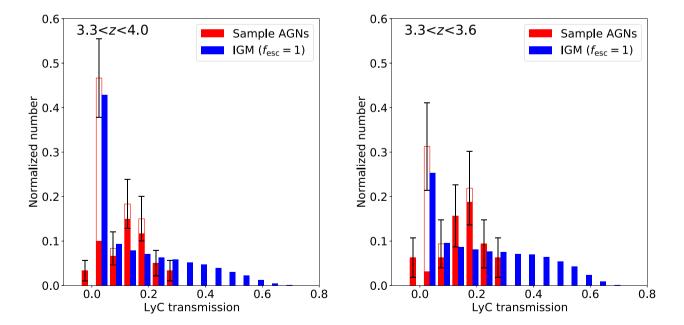
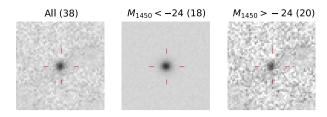


Figure 7. Histograms of LyC transmission for the sample AGNs. For both panels, normalized histogram of  $t_{LyC}$  for the sample (nuclear-dominated) AGNs and that of IGM LyC transmission at the same redshift range, which is derived from Monte Carlo realizations, are plotted. Filled bars in  $t_{LyC}$  histograms represent those with *U*-band detections, while open bars are for those without *U*-band detections where we adopt  $3\sigma$  upper limits. Error bars for  $t_{LyC}$  of the sample AGNs show the sizes of Poisson error. Left-hand panel: all the sample AGNs in the redshift range 3.3 < z < 4.0 are plotted. Right-hand panel: same as the left-hand panel, but only for sample AGNs in the lower redshift range 3.3 < z < 3.6.



**Figure 8.** Stacked LyC images (observed-frame U band). The images are normalized with UV flux density during stacking, and IGM attenuation has been corrected using the average value of IGM transmission at each object's redshift. The image sizes are  $10 \times 10 \,\mathrm{arcsec^2}$ . Left-hand panel: a stacked image using all of the 38 nuclear-dominated sample AGNs at 3.3 < z < 3.6. Middle panel: a stacked image using 18 objects in the UV absolute magnitude  $M_{1450} < -24$ . Right-hand panel: a stacked image using 20 objects in the UV absolute magnitude  $M_{1450} > -24$ .

average IGM opacity around the sample AGNs from the average value determined from the observations of Ly  $\alpha$  absorbers (Inoue et al. 2014). Strong ionizing radiation from AGNs may efficiently ionize neutral hydrogen surrounding them and make their surrounding environment more transparent to the ionizing radiation (proximity effect; e.g. Scott et al. 2000). On the other hand, AGNs may reside in the peaks of matter distribution of the universe and H I column density in the vicinity of the AGNs may be higher than the average. Prochaska et al. (2013) used close pairs of quasars at different redshifts to find an excess of Ly  $\alpha$  absorption in the transverse direction of  $z \sim 2$ quasars, with a correlation length of 12.5 Mpc for optically thick  $(N_{\rm H\,I} > 10^{17.3}~{\rm cm}^{-2})$  absorbers. Interestingly, Hennawi & Prochaska (2007) argued that such excess of absorbers is not observed in the the line-of-sight direction and claims anisotropic ionizing radiation of quasars. Although there are such possible complexities in the H<sub>I</sub> gas distribution in the vicinity of AGNs, we consider that it is fair to adopt the mean IGM attenuation to estimate  $f_{LyC}$  in this study because FWHMs of the filter transmission are 530 and 647 Å for u and  $u^*$  bands, respectively, which correspond to  $\sim$ 550 Mpc in comoving scale for an object at z = 3.4. We measure LyC transmission along such a long line of sight and therefore the effect of AGN environment in the H<sub>I</sub> column density would be insignificant.

Table 4 summarizes the measured values of average  $(f_{LVC}/f_{UV})^{out}$  for the 38 AGNs as well as bright and faint subgroups. Median stacked images are also generated, and their  $(f_{LVC}/f_{UV})^{out}$  values are also shown in the Table. The error sizes of bright and faint subsamples are larger than those of the stacking of all objects because the errors are dominated by fluctuations in bootstrap tests and IGM transmission rather than background noise, and a standard deviation with smaller number of sample objects is larger. We see no significant difference between  $(f_{LVC}/f_{UV})^{out}$  for the brighter subgroup and that for the fainter one, which is also suggested by the distribution of LyC transmission distribution of individual objects shown in Fig. 6. Here we do not take non-ionizing photons contained in  $u/u^*$ -band into account. Although the flux densities of non-ionizing photons from individual objects are unknown, if we assume the intrinsic SED (Lusso et al. 2015) and use the mean IGM attenuation (Inoue et al. 2014) at the redshifts of the sample AGNs, the expected normalized counts of non-ionizing photons in the stacked image is 0.013. The numbers of  $(f_{LVC}/f_{UV})^{out}$  shown in Table 4 would be smaller by  $\approx 0.01$  if we were able to subtract non-ionizing photons from the  $u/u^*$ -band images.

The measured  $(f_{\rm LyC}/f_{\rm UV})^{\rm out}$  value can be translated into LyC escape fraction  $f_{\rm esc}$  with an assumption of the intrinsic flux ratio between

LyC and UV (at rest-frame 1450 Å) by

$$f_{\rm esc} = \frac{\left(f_{\rm LyC}/f_{\rm UV}\right)^{\rm out}}{\left(L_{\rm LyC}/L_{\rm UV}\right)^{\rm int}}.\tag{10}$$

With the fiducial model SED, at the average redshift of the 38 sample AGNs (z = 3.44), the ratio between the flux traced with the u band and that at rest-frame 1450 Å is 0.601. The  $f_{\rm esc}$  values using this ratio as  $(L_{\rm LVC}/L_{\rm UV})^{\rm int}$  are also listed in Table 4.

We also examine how  $(f_{LvC}/f_{UV})^{out}$  varies if AGNs in different redshift ranges are used for stacking. In Table 4, we show  $(f_{LvC}/f_{UV})^{out}$ and  $f_{\rm esc}$  values for the case with a narrower redshift range (3.3 < z < 3.5) and the case in which the redshift range is shifted to higher redshift by  $\Delta z = 0.1$  (3.4 < z < 3.7). The  $(f_{LvC}/f_{UV})^{out}$  value for AGNs at 3.3 < z < 3.5 is higher than the value of those at 3.3 < z <3.6, and  $(f_{LvC}/f_{UV})^{out}$  value for AGNs at 3.4 < z < 3.7 is lower than the case with 3.3 < z < 3.6, although the differences are within standard deviations and thus are statistically insignificant. Possible causes of these differences would include the evolution of  $f_{\rm esc}$  (smaller  $f_{\rm esc}$  at higher redshift) and additional IGM attenuation with respect to the IGM model by Inoue et al. (2014) at higher redshift or at shorter wavelength. The size of the present AGN sample would not allow us to further investigate the redshift evolution of  $(f_{LVC}/f_{UV})^{out}$  and  $f_{esc}$ , but the readers should be aware of such uncertainties in  $(f_{LvC}/f_{UV})^{out}$ and  $f_{\rm esc}$  values in this study.  $(f_{\rm LyC}/f_{\rm UV})^{\rm out}$  does not depend on the shape of the intrinsic SED, while the conversion from  $f_{LVC}/f_{UV}$  to  $f_{esc}$ uses  $(L_{LyC}/L_{UV})^{int}$  (equation 10). We will further discuss the effect of changes in the assumed intrinsic spectrum in Section 4.1.

#### 4 DISCUSSION

#### 4.1 The effect of assumed intrinsic AGN SED

There is a large dispersion in the  $\lambda \lesssim 1200$  Å continuum slopes of AGNs reported in the literature. One cause of such differences is the difficulty in correcting for IGM absorption. However, there may also be intrinsic differences in the continuum slopes depending on the redshift and luminosity of the sample AGNs (see the detailed discussion in Lusso et al. 2015). Here we examine how our estimates of LyC transmission,  $t_{\rm LyC}$ , and LyC escape fraction,  $f_{\rm esc}$ , change when we alter our assumptions about the intrinsic AGN SED.

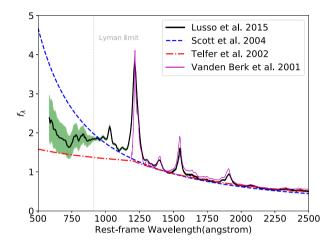
In addition to the baseline AGN SED that we used in Section 3, we now consider two continuum slopes from the literature that differ significantly from our baseline SED model and from each other at rest-frame wavelength  $\lambda \lesssim 1200$  Å. One is the slope reported by Telfer et al. (2002), which is based on HST UV spectra of 184 quasars at z > 0.33 (most of their sample AGNs are at z < 2.5). The fitted continuum slope for their composite spectrum is  $\alpha = -1.76$  $(f_{\nu} \propto \nu^{\alpha})$  for 500 Å $<\lambda \lesssim 1200$  Å and  $\alpha = -0.69$  for  $\lambda \gtrsim 1200$ Å. The other is the slope reported by Scott et al. (2004), which is based on *FUSE* spectra of  $\sim$ 100 AGNs at z < 1. This sample contains AGNs at lower redshifts than those in the samples used by Telfer et al. (2002) and Lusso et al. (2015); moreover, less luminous Seyfert 1 AGNs are included in this sample, while the samples of Telfer et al. (2002) and Lusso et al. (2015) contain only quasars. At  $\lambda \lesssim 1200 \text{ Å}$ , the continuum slope for this sample is  $\alpha = -0.56$ , which is much steeper than the slope reported by Telfer et al. (2002); at  $\lambda \gtrsim 1200$ Å, the slope is -0.83, which is similar to that of Telfer et al. (2002). In Fig. 9, we show the SED of Lusso et al. (2015) and the continuum slopes of Telfer et al. (2002) and Scott et al. (2004).

We calculated  $t_{\rm LyC}$  for our sample AGNs with the same procedure that we used in Section 3.3 but now changing the intrinsic SED from the Lusso et al. (2015) AGN spectrum to one of the two other SEDs

Table 4. Results of stacking analysis with AGNs.

	Number of	(f <sub>LyC</sub> /	$(f_{\rm UV})^{{ m out}a}$	$f_{\epsilon}$	b esc
	objects	Mean	Median	Mean	Median
			3.3 < z < 3.6		
All	38	$0.182 \pm 0.043$	$0.163 \pm 0.057$	$0.303 \pm 0.072$	$0.271 \pm 0.094$
$M_{1450} < -24$	18	$0.215 \pm 0.062$	$0.182 \pm 0.087$	$0.358 \pm 0.104$	$0.303 \pm 0.145$
$M_{1450} > -24$	20	$0.152 \pm 0.061$	$0.144 \pm 0.068$	$0.253 \pm 0.101$	$0.240 \pm 0.112$
			3.3 < z < 3.5		
All	25	$0.247 \pm 0.050$	$0.233 \pm 0.059$	$0.410 \pm 0.084$	$0.388 \pm 0.099$
			3.4 < z < 3.7		
All	37	$0.142 \pm 0.041$	$0.095 \pm 0.046$	$0.236 \pm 0.068$	$0.158 \pm 0.076$

<sup>&</sup>lt;sup>a</sup>Correction to IGM attenuation is made with assumption of mean IGM attenuation by Inoue et al. (2014). <sup>b</sup>Assuming the intrinsic  $f_{L_NC}/f_{UV} = 0.601$  based on the AGN SED by Lusso et al. (2015).



**Figure 9.** Model AGN SEDs normalized at rest-frame 1450 Å. The thick black line and the green shaded area are the  $z \sim 2.4$  quasar SED by Lusso et al. (2015), which is used as the fiducial SED in this study and its uncertainties. The blue dashed line and red dot–dashed line are continuum slopes fitted to the quasar composite spectra reported by Scott et al. (2004) and Telfer et al. (2002), respectively. The thin magenta line is a composite quasar spectrum based on SDSS spectroscopy reported by Vanden Berk et al. (2001) (only shown for wavelength > 1200 Å).

described above. The difference between  $t_{\rm LyC}$  obtained assuming the Lusso et al. (2015) SED and that with a different SED varies for each object. For the case with the continuum slope of Telfer et al. (2002)  $t_{\rm LyC}$  values become higher, up to 0.1, and with a median of 0.026. This happens because for the Telfer et al. (2002) SED the intrinsic LyC luminosity relative to the luminosity at 1450 Å is smaller than for the Lusso et al. (2015) SED. On the other hand, if we use the continuum slope from Scott et al. (2004), then the relative LyC luminosity of the intrinsic SED is higher than that for the Lusso et al. (2015) SED, and, consequently, the  $t_{\rm LyC}$  values become smaller, up to 0.17, with a median difference of 0.033.

In these tests with the UV slopes by Telfer et al. (2002) and Scott et al. (2004), we use continuum slopes as intrinsic SEDs, without including emission lines. To check for the effect of emission lines we also run a test using the quasar composite spectrum by Vanden Berk et al. (2001), which is based on spectra of SDSS quasars. This SED is also shown in Fig. 9. Because this composite spectrum is not corrected for IGM absorption, at  $\lambda < 1200$  Å, we use the continuum slope of Telfer et al. (2002), namely  $\alpha = -1.76$ . In this case,  $t_{\rm LyC}$  values become larger than those we obtained with the Lusso et al.

(2015) SED by a median value of 0.046, with a maximum difference of 0.23.

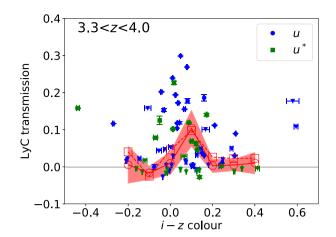
These tests indicate that by altering the assumed intrinsic SED, the estimate of  $t_{\rm LyC}$  will change, and in most cases the variation is less than 0.1, though there are some cases where the estimate varies by ~0.2. Lusso et al. (2015) provide uncertainties of their stacked spectrum estimated through bootstrap, and they are shown in Fig. 9. As seen in the figure, the variations of SEDs in wavelengths shorter than the Lyman limit by considering UV slopes by Telfer et al. (2002) and Scott et al. (2004) are larger than the uncertainties in Lusso et al. (2015) spectrum. The possible changes in  $t_{\rm LyC}$  due to uncertainties of Lusso et al. (2015) spectrum will be smaller than the variations considered above. The intrinsic SED could possibly be different from AGN to AGN, and in order to precisely determine the intrinsic SED at rest-frame  $\lambda \lesssim 1200$  Å, a high dispersion spectrum is required to identify absorption by intervening H I clouds, which is not available for our sample AGNs.

When we calculate average LyC escape fractions  $f_{\rm esc}$  using stacked images, we use the intrinsic flux ratio between the LyC and UV (equation 10) spectral regions. The intrinsic flux ratios for the continuum slopes from Scott et al. (2004) and Telfer et al. (2002) are 0.738 and 0.455, respectively, while it is 0.601 for the Lusso et al. (2015) SED. Therefore, the mean  $f_{\rm esc}$  value for our 38 AGNs at 3.3 < z < 3.6 will be 0.247 and 0.400, respectively, if we adopt the slopes by Scott et al. (2004) and Telfer et al. (2002).

Recently, Vanden Berk et al. (2020) claimed that, based on *GALEX* photometry of SDSS quasars, EUV ( $\lambda \lesssim 1000$  Å) slope of these quasars are  $-2.90 \pm 0.04$ , much redder than those reported by Telfer et al. (2002), Scott et al. (2004), and Lusso et al. (2015). If the intrinsic relative flux density of LyC to non-ionizing UV photon is smaller than the fiducial model considered in this study, the LyC escape fraction could be higher, although LyC emissivity of the sample AGNs (which is discussed in Section 4.4 will not change.

We also examine whether there is a trend that AGNs with redder rest-frame UV slope, which might be caused by attenuation by dust, show smaller LyC transmission compared to those with bluer UV slope. We use i-z colour as an indicator of rest-frame UV slope of the sample AGNs,<sup>4</sup> and do not find a significant correlation between the observed i-z colours and the estimated LyC transmission values. In Fig. 10, we show the distribution of LyC transmission

<sup>&</sup>lt;sup>4</sup>Here the effect of emission lines on observed broad-band flux densities is ignored. The *i*-band contains C IV emission line when the object is between  $3.4 \lesssim z < 4.0$  (see Fig. 2), and the variance of its strength may affect the observed i-z colour.



**Figure 10.** Distribution of i - z colour and LyC transmission for the nuclear-dominated sample AGNs at 3.4 < z < 4.0. The open circles and open squares indicate median and mean values in 0.05 i - z colour bins, respectively, and the shaded area represents the variation of the median values estimated by bootstrap resampling.

against the observed i-z colours. We see that there are fewer objects with relatively large LyC transmission among those with larger i-z colours, and it may be caused by the presence of dust attenuation. However, median and mean values do not indicate any statistically significant correlation between the i-z colours and the LyC transmission values.

#### 4.2 Difference between broad-line and narrow-line AGNs

The distribution of material in the vicinity of the AGN may play a role in helping or hindering the escape of ionizing radiation. Because BLAs and NLAs are thought to represent different AGN viewing angles, differences between these two types of AGN can help us determine if geometry plays a role in the escape of ionizing radiation.

The source of ionizing radiation from an AGN could be the accretion disc surrounding its supermassive black hole, and if NLAs are those AGNs in which a dusty torus obscures the broad-line region from our direct observation, then ionizing radiation from NLAs could be heavily attenuated by the intervening material. Consequently, we might see a difference in average  $f_{\rm esc}$  (and hence the distribution of  $t_{
m LyC}$  values for individual sources) between BLAs and NLAs. With this goal in mind, we split our AGN sample and search for a difference in measured LyC transmission between the broad-line AGN (BLA) subsample and narrow-line AGN (NLA) subsample. As described in Section 3.2, we select AGNs that are classified as 'nucleardominated' by examining a difference between PSF magnitude and magnitude with galaxy model (CModel) fitting to estimate LyC transmission and to carry out stacking analysis, and all but two of 12 AGNs classified as NLA in literature are excluded. Here we use all of the 94 sample AGNs that include 20 AGNs classified as extended and all of the 12 NLAs, and carried out the analysis to calculate  $t_{LvC}$  in the same manner as described in Section 3.3, assuming the intrinsic SED to be the fiducial AGN SED by Lusso et al. (2015). For *U*-band photometry, we use PSF magnitudes for both nuclear-dominated and extended AGNs, to examine LyC transmission from their central AGN that is expected to be a point-like source. In contrast to these results, Micheva et al. (2017a) examined LyC emission from 14 AGNs in the SSA22 field in which half are type II AGNs, and found

that none of the 7 type II AGNs has detectable LyC emission, while LyC from two type I AGNs are detected.

Fig. 11 shows  $t_{\rm LyC}$  and absolute UV magnitudes for the 94 sample AGNs, with classifications as BLA (blue), NLA (red), and those without type information in the literature (grey). Unfortunately, because the number of NLAs in our sample is small, we cannot reach a solid conclusion at present. However, we see that some of the highest  $t_{\rm LyC}$  values are for NLAs, which is at odds with the naive idea that NLAs may be AGNs with small LyC escape fractions due to circum-AGN obscuration. Such trend is also seen when we divide the sample into 'nuclear-dominated' and 'extended'; the AGNs with extended morphology that are detected in u- or  $u^*$ -band filters appear to show relatively high LyC transmission.

Fig. 12 shows the distribution of  $t_{\rm LyC}$  and i-z colour for the sample AGNs, including NLAs and those with extended morphology. We see that many of the AGNs with large LyC transmission and red i-z colour are extended, and some of them are classified as NLAs. It would be reasonable to consider that stellar populations of the host galaxies contribute significantly to UV flux densities in extended AGNs, and because LyC escape fraction of star-forming galaxies at  $z\sim 3$  selected by their rest-frame UV colours is generally believed to be small (less than 10 per cent; e.g. Steidel et al. 2018; Iwata et al. 2019), it is difficult to understand why extended AGNs show higher LyC transmission. To make further progress on this issue larger AGN samples, particularly those of NLAs, are needed.

#### 4.3 Comparison with previous studies

Cowie, Barger & Trouille (2009) used GALEX FUV measurements to search for LyC from X-ray selected AGNs in a  $0.9 \, \mathrm{deg^2}$  field. They found that only small fraction of X-ray selected AGNs in the redshift range between 0.9 and 1.4 have detectable ionizing flux. They argue that the presence of absorbers along the line of sight will extinguish the ionizing radiation. Cowie et al. (2009) also claimed that only broad-line AGNs among their 32 sample AGNs have detectable ionizing flux, which is at odds with our finding (see Section 4.2). They used an ionizing to non-ionizing flux ratio to estimate the contribution to the ionizing background radiation and concluded that AGNs provide insufficient ionizing radiation at z > 3.

Micheva et al. (2017a) used 14 AGNs in a redshift range 3.06 < z < 4.0 in the SSA22 field to search for LyC radiation with *Subaru* Suprime-Cam narrow-band imaging. Their UV absolute magnitude ranges from -25 to -19 and many of them are faint AGNs. Among the four LyC candidates, two show offsets from the peak positions of non-ionizing UV, and their LyC radiation could come from stellar sources or they may be contaminated by foreground sources. The  $f_{\rm esc}$  of the remaining two sources are estimated to be 0.31 and 0.73 when the median IGM attenuation of their redshift is assumed.

Grazian et al. (2018) used deep optical spectra of 16 AGNs at 3.6 < z < 4.2. The  $M_{1450}$  of their sample AGNs ranges from -25.14 to -23.26, which is fainter than typical SDSS quasars but brighter than UV luminosity ranges of the sample AGNs in Micheva et al. (2017a) and this study. They detect LyC from all of their sample AGNs, and derived the mean  $f_{\rm esc}=0.74$ . They found no significant difference between  $f_{\rm esc}$  of their sample AGNs and the values for bright quasars. The method they use to determine  $f_{\rm esc}$  is different from the one used in Micheva et al. (2017a) and this study; they used a flux ratio  $f_{\nu}(900)/f_{\nu}(930)$  as  $f_{\rm esc}$  and used mean flux between rest-frame 892 and 905 Å and that for 915 and 945 Å, respectively, for  $f_{\nu}(900)$  and  $f_{\nu}(930)$ . The  $f_{\rm esc}$  values Grazian et al. (2018) reported tend to be higher than the values we present in this study, and the difference may come from the different way to estimate  $f_{\rm esc}$ .

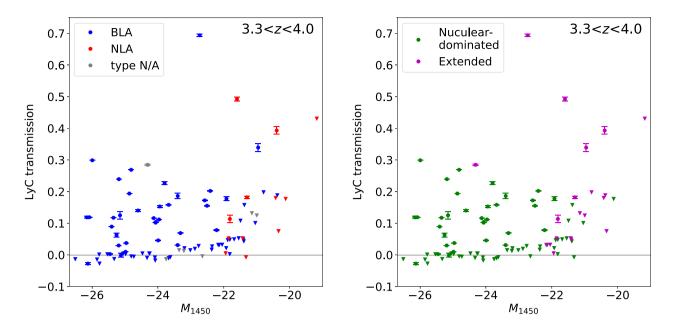
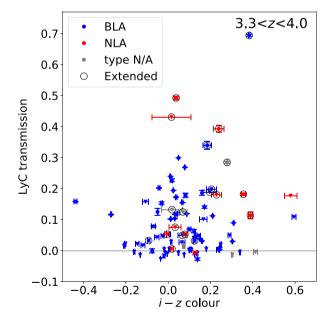


Figure 11. Left-hand panel: LyC transmission  $t_{\rm LyC}$  for the sample AGNs at 3.3 < z < 4.0 plotted against their absolute magnitudes at rest-frame 1450 Å. Solid circles are the measured  $t_{\rm LyC}$ , and downward-pointing triangles are  $3\sigma$  upper limits for the AGNs without detections in the u or  $u^*$  band. BLAs and NLAs are shown with blue and red symbols, respectively, while AGNs without type information in the literature are shown with grey symbols. Right-hand panel: same as the left figure, but the sample is divided by whether the UV flux from the object is dominated by photons from its nucleus or significant photons come from its host galaxy.



**Figure 12.** Distribution of i-z colour and LyC transmission for the sample AGNs at 3.4 < z < 4.0. Blue circles and red symbols represent BLAs and NLAs, respectively, and open black circles indicate AGNs with extended morphology in the i band.

The study by Romano et al. (2019) is based on a large sample of QSO spectra obtained by SDSS DR14. They used 2508 QSOs at  $3.6 \le z \le 4.6$  with UV absolute magnitude range  $-29.0 \le M_{1450} \le -26.0$ , which include objects at higher redshifts and with brighter UV luminosity range than those used in this study. Their method to measure  $f_{\rm esc}$  is the same as Grazian et al. (2018). They found  $f_{\rm esc}$ 

 $0.49 \pm 0.36$ , which they concluded to be consistent with the result by Grazian et al. (2018).

Smith et al. (2020) used *HST* WFC3/UVIS imaging of the GOODS North and South and the ERS fields to examine LyC emission from galaxies and AGNs with spectroscopic redshifts at 2.26 < z < 4.3. There are 17 AGNs in their sample. The rest-frame UV absolute magnitudes of most of them is  $M_{1500} > -22$ , fainter than the sample AGNs in this study. Only one among them is detected with the best estimate  $f_{\rm esc} \simeq 28$ –30 per cent.

Our results confirm the fact reported in these previous studies that the average  $f_{\rm esc}$  of high-redshift AGNs is considerably smaller than unity. Although we find no clear luminosity dependence in LyC transmission or LyC escape fraction, we would need a larger sample of faint AGNs in order to further study the existence of luminosity dependence. Also, we need sample of AGNs at different redshift ranges with comparable size to investigate redshift evolution.

## 4.4 Implications for contribution by AGNs to cosmic reionization

We can calculate the contribution of AGNs to the volume-averaged ionizing radiation emissivity, by integrating the LyC luminosity over a range of UV luminosities:

$$\epsilon_{\rm LyC} = \int (f_{\rm LyC}/f_{\rm UV})^{\rm out} L_{\rm UV} \phi_{\rm UV} dL_{\rm UV}, \tag{11}$$

where  $L_{\rm UV}$  is the non-ionizing UV luminosity and  $\phi_{\rm UV}$  is the number density of AGNs in the luminosity range (luminosity function). We use the UVLF (double power-law fit) for the quasar sample at  $3.6 \lesssim z \lesssim 4.2$  based on the HSC SSP wide-layer imaging data by Akiyama et al. (2018), with an assumption that there is no evolution in UVLF in the redshift range of the sample AGNs. The mean redshift of the 38 nuclear-dominated sample AGNs at 3.3 < z < 3.6 used to calculate

**Table 5.** LyC emissivity of  $z \sim 3.5$  AGNs using our measured LyC escape fraction  $0.303 \pm 0.072$  with three different UVLFs, compared with the ionizing background radiation at  $z \sim 3.2$  based on QSO Ly  $\alpha$  forest observations reported by Becker & Bolton (2013).

Integration range		$\epsilon_{\rm LyC}$ (10 <sup>24</sup> erg s	$^{-1}  \text{Hz}^{-1}  \text{Mpc}^{-3})$	
	Akiyama et al. (2018)	Masters et al. (2012)	Giallongo et al. (2015)	Becker & Bolton (2013) <sup>a</sup>
$-26 < M_{1450} < -20$	$0.450 \pm 0.160$	9.19	1.72	
$-27 < M_{1450} < -18$	$0.558 \pm 0.203$	1.16	2.01	
$-\infty < M_{1450} < \infty$	$0.620 \pm 0.230$	1.43	2.21	$8.15^{+13.38}_{-5.29}$

<sup>&</sup>lt;sup>a</sup>LyC emissivity based on Ly  $\alpha$  forest observations.

 $(f_{\rm LyC}/f_{\rm UV})^{\rm out}$  and  $f_{\rm esc}$  through the stacking analysis in Section 3.5 is 3.44, and we use the value to calculate luminosity in equation (11). In Table 5, we show the calculated  $\epsilon_{\rm LyC}$  with three integration ranges. One is  $-26 < M_{1450} < -20$ , which is roughly the UV absolute magnitude range of the sample AGNs, and the second one is  $-27 < M_{1450} < -18$ , an extended range, and the other is to integrate over the entire luminosity range. Here we use  $(f_{\rm LyC}/f_{\rm UV})^{\rm out}$  values in Table 4 depending on  $M_{1450}$ . If we use a single  $(f_{\rm LyC}/f_{\rm UV})^{\rm out}$  value of 0.182 independent of absolute UV magnitude,  $\epsilon_{\rm LyC}$  decreases about  $\sim$ 5 per cent from the values in Table 5.

Becker & Bolton (2013) reported  $\epsilon_{\rm LyC}$  from all sources based on QSO Ly  $\alpha$  forest observations over 2 < z < 5. The nominal value at z = 3.2 is  $\epsilon_{\rm LyC} = 8.15^{+13.38}_{-5.29} \times 10^{24}$  erg s<sup>-1</sup> Hz<sup>-1</sup> Mpc<sup>-3</sup>. Their error estimates include both statistical and systematic errors that could arise during the course of the inference. Our estimate of the contribution from AGNs to the LyC emissivity based on the UVLF by Akiyama et al. (2018) is 5.5–7.6 per cent of the nominal value, depending on the integration range of the UVLF. Our results suggest that if LyC escape fraction of faint AGNs beyond the luminosity range we study here remains the same level as those we examine, the AGNs are the minor contributor to the background ionizing radiation in the Universe at  $z \sim 3.5$ .

The estimate of contribution in LyC emissivity by faint AGNs largely depends on the assumed UVLF, especially on its faint-end slope. The estimate of contribution in LyC emissivity by faint AGNs depends on the assumed faint-end slope of the UVLF, and the reported faint-end slopes of z = 3-4 AGNs in the literature are largely different (see fig. 21 of Akiyama et al. 2018). In Table 5, in addition to the emissivity values using the UVLF by Akiyama et al. (2018), we also list values using the UVLF by Masters et al. (2012) (for  $z \sim 3.2$  quasars) and that by Giallongo et al. (2015) (for AGNs at z = 4-4.5), assuming no evolution of the UVLF. Because these UVLFs predict higher number density of faint AGNs, the emissivity values using these UVLFs are two to five times higher than the values with the UVLF by Akiyama et al. (2018). Also, because the redshift interval of the results by Giallongo et al. (2015) we use in this study is z = 4-4.5, if we adopt a number density evolution  $\phi(z) \propto 10^{-0.38z}$  suggested by Schindler et al. (2019), the number density at z = 3.4 will be a factor of  $\sim 2$  higher. In such case, the LyC emissivity by AGNs could be as high as ~40-50 per cent of the nominal value at z = 3.2 by Becker & Bolton (2013).

Giallongo et al. (2015) argued that, based on their selection of faint AGN candidates in the GOODS-S field with photometric redshift and X-ray detection, the number density of faint AGNs at 4 < z < 6.5 is much higher than those estimated from the existing luminosity function reported in literature, and suggested that LyC emissivity by AGNs could provide ionizing photons sufficient to keep the IGM ionized. For the redshift range 4 < z < 4.5, their estimate of  $\epsilon_{\rm LyC}$  is  $1.15 \times 10^{25} {\rm erg \, s^{-1} \, Hz^{-1} \, Mpc^{-3}}$ , which exceeds the nominal value of  $\epsilon_{\rm LyC}$  from all sources at  $z \sim 4$  reported by Becker & Bolton (2013)  $(9.62 \times 10^{24} {\rm erg \, s^{-1} \, Hz^{-1} \, Mpc^{-3}}$ ; see also Boutsia et al. (2021) for

the updated UVLF and estimates on the contributions to the LyC emissivity by faint AGNs). We should note that recent results of quasar UVLF at  $z \sim 4$  and higher based on HSC SSP (Akiyama et al. 2018; Matsuoka et al. 2018) suggest fewer number density of faint AGNs. Also, our study suggest  $f_{\rm esc}$  of high-z AGNs could be 0.2–0.4 (Table 4), much smaller than unity that Giallongo et al. (2015) assumed. If  $f_{\rm esc}$  of AGNs at  $z \gtrsim 4$  continues to be less than unity as found in this study for 3.3 < z < 4 AGNs, it further reduces the contribution to the volume-averaged ionizing radiation by AGNs at higher redshift.

Akiyama et al. (2018) argued that the difference between the UVLFs of rest-frame UV selected AGNs and those of X-ray selected AGNs may come from the fact that the latters are dominated by obscured AGNs in the fainter part that are missed in the UV-selected sample selection. Because LyC emission examined in this study is that from nuclei of the sample AGNs, it would be reasonable to use the UVLF of UV-selected AGNs to estimate LyC emissivity of the nuclear activities in the AGNs at the epoch. However, we should be cautious that, since we do not find a trend that LyC transmission estimates of NLA or AGNs with extended UV emission are smaller than BLA or nuclear-dominated AGNs (see Fig. 11), contributions to LyC emissivity from obscured AGNs or AGNs whose UV emission is dominated by those from host galaxies could be non-negligible.

#### 5 CONCLUSIONS

In this paper, we assembled a sample of AGNs with spectroscopic redshift between 3.3 and 4.0 in the four independent fields of the Deep layer of the HSC SSP to constrain their LyC escape fraction ( $f_{\rm esc}$ ). Among the 94 AGNs, we select 74 nuclear-dominated AGNs based on i-band photometry. We use deep U-band data from CLAUDS to directly measure observed LyC flux densities. Our findings can be summarized as follows:

- (i) With a sample of 74 nuclear-dominated AGNs spanning in the UV absolute magnitude range  $-26 \lesssim M_{1450} \lesssim -20$ , we do not find significant trend in  $f_{\rm esc}$  with their UV luminosities.
- (ii) By stacking 38 AGNs in the redshift range 3.3 < z < 3.6 and assuming mean IGM attenuation and intrinsic LyC/UV flux ratio, we find the mean  $f_{\rm esc}$  value of  $0.303 \pm 0.072$ . The mean  $f_{\rm esc}$  values for luminous AGNs ( $M_{1450} < -24$ ) and faint AGNs ( $M_{1450} > -24$ ) are  $0.358 \pm 0.104$  and  $0.253 \pm 0.101$ , respectively. The difference between the value for luminous AGNs and that for faint AGNs is not significant.
- (iii) When we assume the UVLF by Akiyama et al. (2018) and use the average  $f_{\rm esc}$  values, the contribution to the LyC emissivity by AGNs at  $z\sim3.5$  is  $\simeq5-8$  per cent. The estimate of contribution to the LyC emissivity by AGNs largely depends on the assumed UVLF, but if  $f_{\rm esc}$  remains much less than unity, by adopting the UVLF with flat faint-end slope recently reported (Akiyama et al. 2018; Matsuoka et al. 2018), it is suggested that LyC emission from nuclei of AGNs at

higher redshift would be a minor contributor to the volume-averaged ionizing radiation (i.e. not a major player of the cosmic reionization).

(iv) We do not see a geometric effect on  $f_{\rm esc}$  (no obvious BLA versus NLA difference), though a large NLA sample is needed to be definitive.

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#### DATA AVAILABILITY

Hyper Suprime-Cam *Subaru* Strategic Program Public Data are available at https://hsc-release.mtk.nao.ac.jp/. The data underlying this paper will be shared on reasonable request to the corresponding author.

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## APPENDIX A: MAGNITUDE ERROR ESTIMATES

For HSC SSP data, error values from the data base output ([grizy]\_psfflux\_magerr) are used as  $1\sigma$  errors PSF modelbased magnitude errors. We compared the magnitude errors from the data base with those computed using SEXTRACTOR and confirmed that the errors by these two independent software agree reasonably well. For CLAUDS CFHT/MegaCam u- and u\*-band photometry, we use 1.5-arcsec-diameter aperture photometry to determine if an object is detected or not. Aperture magnitude errors are estimated as follows. First, for each patch where a target AGN resides, we generate a template point spread function by selecting bright point sources in the patch and combine them after normalization (about 60-80 sources are used for each patch). Then 1000 dummy point sources with a spatial profile based on the template PSF with Poisson noise fluctuation are added to the image. We measure their aperture counts with 1.5-arcsec diameter aperture. The standard deviation of these values gives  $1\sigma$  error for point sources with a magnitude. This procedure is repeated for magnitude range 21.0-28.5 with a 0.5magstep for each patch. The magnitude error of each AGN in our sample was determined by interpolating results of these simulations. For objects with  $>3\sigma$  signal in aperture photometry, we use PSF model-based photometry for CLAUDS data in the HSC SSP data base to obtain flux ratios between the U and i bands.

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