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► **To cite this version:**

Ambroise Baril, Miguel Couceiro, Victor Lagerkvist. An Algebraic Approach Towards the Fine-Grained Complexity of Graph Coloring Problems. 2021. hal-03424133v1

**HAL Id: hal-03424133**

**<https://hal.science/hal-03424133v1>**

Preprint submitted on 10 Nov 2021 (v1), last revised 18 Feb 2022 (v4)

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# An Algebraic Approach Towards the Fine-Grained Complexity of Graph Coloring Problems

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**Abstract**—In this paper we are interested in the fine-grained complexity of determining whether there is an homomorphism from an input graph  $G$  to a fixed graph  $H$  (the  $H$ -coloring problem). The starting point is the observation that these problems can be formulated in the language of CSPs, and that (partial) polymorphisms of binary relations are of paramount importance in the study of complexity classes of such CSPs.

Thus, we first investigate the expressivity of binary symmetric relations  $E_H$  and their corresponding (partial) polymorphisms  $\text{pPol}(E_H)$ . For irreflexive graphs we observe that there is no pair of graphs  $H$  and  $H'$  such that  $\text{pPol}(E_H) \subseteq \text{pPol}(E_{H'})$ , unless  $E_{H'} = \emptyset$  or  $H = H'$ . More generally we show the existence of an  $n$ -ary relation  $R$  whose partial polymorphisms strictly subsume those of  $H$  and such that  $\text{CSP}(R)$  is  $\mathcal{NP}$ -complete if and only if  $H$  contains an odd cycle of length at most  $n$ . Motivated by this we also describe the sets of total polymorphisms of every nontrivial clique, every odd cycle, as well as certain cores. We finish the paper with some noteworthy questions for future research.

## I. INTRODUCTION

This paper is a contribution to the better understanding of the fine-grained complexity of constraint satisfaction problems (CSPs) [9]. In a *constraint satisfaction problem* (CSP), given a set of variables  $X$  and a set of constraints of the form  $R(\mathbf{x})$  for  $\mathbf{x} \in V^k$  and some  $k$ -ary relation  $R$ , the objective is to assign values from  $X$  to a domain  $V$  such that every constraint in  $C$  is satisfied. This problem is usually denoted by  $\text{CSP}(\Gamma)$ , with the additional stipulation that every relation occurring in a constraint comes from the set of relations  $\Gamma$ , and it is typically phrased as the decision problem of determining whether there exists an assignment respecting all constraints.

Specifically, we are interested in the so-called  *$H$ -COLORING problem* [7]<sup>1</sup>. Recall that a function  $f: V_G \rightarrow V_H$  is said to be a *homomorphism* between the two graphs  $G$  and  $H$  if it “preserves” the edge relation, that is, if for every edge  $(u, v) \in E_G$ , we have  $(f(u), f(v)) \in E_H$ . In that case, we use the notation  $f: G \rightarrow H$ . For each graph  $H$ , the homomorphism notion naturally entails the following decision

problem, which is equivalent to the problem  $\text{CSP}(\{E_H\})$  (henceforth written  $\text{CSP}(E_H)$ ).

**$H$ -COLORING PROBLEM.** *Given a graph  $G$ , decide whether there is a homomorphism  $f: G \rightarrow H$ .*

Clearly, the  $H$ -COLORING problem subsumes the well-known  $k$ -COLORING problem,  $k \geq 1$ , that asks for a coloring of the vertices of a graph using at most  $k$  colors such that each pair of adjacent vertices are assigned different colors. Indeed, it corresponds to the case where  $H = K_k$ , the complete graph (clique) of size  $k$ .

Hell and Nešetřil [7] showed that the  $H$ -COLORING problem is in  $\mathcal{P}$  (the class of problems decidable in polynomial time) whenever  $H$  is bipartite, and it is  $\mathcal{NP}$ -complete otherwise. Our goal is to bring some light into the actual exponential-complexity of the  $H$ -COLORING problem when  $H$  is non-bipartite. On the one hand, there are already some strong upper-bounds results on the fine-grained complexity of  $k$ -COLORING for  $k \geq 3$ . Björklund *et al.* [1] prove that  $k$ -COLORING is solvable in time  $O^*(2^n)$  (i.e.,  $O(2^n \times n^{O(1)})$ ) where  $n$  is the number of vertices of the input graph. Fomin *et al.* [5] also prove that  $C_{2k+1}$ -COLORING is solvable in time  $O^*\left(\binom{n}{n/k}\right) = O^*((\alpha_k)^n)$ , with  $\alpha_k \xrightarrow[k \rightarrow \infty]{} 1$ , and improved algorithms are also known when  $H$  has bounded *tree-width* or *clique-width* [5], [15]. On the other hand, lower bounds by Fomin *et al.* [4] rule out the existence of a uniform  $2^{O(n)}$  time algorithm under the exponential-time hypothesis.

We notice, however, that there is a lack of general tools for describing fine-grained properties of CSPs, and in particular we lack techniques for comparing  $\mathcal{NP}$ -hard  $H$ -COLORING problems with each other, e.g., via size-preserving reductions. We explore these ideas through an algebraic approach, by investigating algebraic invariants of graphs. For this purpose, viewing  $H$ -COLORING as  $\text{CSP}(E_H)$  is quite useful as it allows us to use the widely studied theory of the complexity of  $\text{CSP}(\Gamma)$ , since the former is just the special case when  $\Gamma = \{R\}$  is a singleton containing a binary, symmetric relation. In particular, it was shown that the fine-grained complexity of  $\text{CSP}(R)$  only depends on the so-called *partial polymorphisms* of  $R$  [9], [3]. Briefly, a *polymorphism* is a higher-arity homomorphism

<sup>1</sup>Throughout this paper, we assume that all graphs are finite, simple (no loops) and undirected. Every graph  $H$  is defined by its set  $V_H$  of vertices and its set  $E_H$  of edges.

from the relation to the relation itself. Additionally, a polymorphism that is not necessarily everywhere defined is known as a *partial polymorphism*, and we write  $\text{Pol}(R)$  (respectively,  $\text{pPol}(R)$ ) for the set of all (partial) polymorphisms of a relation  $R$ . If  $H$  is a graph, then we by  $\text{pPol}(H)$  simply mean the partial polymorphisms of the edge relation  $E_H$ . It is then known that partial polymorphisms correlate to fine-grained complexity in the sense that if  $\text{pPol}(R) \subseteq \text{pPol}(R')$  and if  $\text{CSP}(R)$  is solvable in  $O^*(c^n)$  time for some  $c > 1$  then  $\text{CSP}(R')$  is also solvable in  $O^*(c^n)$  time [9].

Thus, describing the inclusion structure between sets of the form  $\text{pPol}(H)$  would allow us to relate the fine-grained complexity of  $H$ -COLORING problems with each other, but, curiously, we manage to prove that *no* non-trivial inclusions of this form exist, suggesting that partial polymorphisms of graphs are not easy to relate via set inclusion. As a follow-up question we also study inclusions of the form  $\text{pPol}(H) \subseteq \text{pPol}(R)$  when  $R$  is an arbitrary relation, and manage to give a non-trivial condition based on the length of the shortest odd cycle of  $H$ . Concretely, we prove that it is possible to find an  $n$ -ary relation  $R$  with  $\text{pPol}(E_H) \subsetneq \text{pPol}(R)$  where  $\text{CSP}(R)$  is  $\mathcal{NP}$ -complete, if and only if  $H$  contains an odd-cycle of length at most  $n$ . This result suggests that the size of the smallest odd-cycle is an interesting parameter when regarding the complexity of  $H$ -COLORING. As observed above, the smaller  $\text{pPol}(E_H)$  is, the harder  $\text{CSP}(E_H)$  (and thus  $H$ -COLORING) is. In other words, the greater the smallest odd-cycle of  $H$  is, the easier the  $H$ -COLORING problem is. This fact supports the already known algorithms presented in [5].

Despite this trivial inclusion structure, it could still be of great interest to provide a succinct description of  $\text{pPol}(H)$  for some noteworthy choices of non-bipartite, core  $H$ . As a first step in this project we concentrate on the total polymorphisms of  $H$ , and conclude that *projective graphs* [11] appear to be a reasonable class to target since the total polymorphisms of projective cores are *essentially at most unary*. While we do not manage to give a complete description of projective graphs (which would resolve an open conjecture by Okrasa and Rzażewski [13]) we manage to prove that several well-known families of graphs, e.g., cliques, odd-cycles, and other core graphs, are projective. Importantly, our proofs uses the algebraic approach and are significantly simpler than existing proofs, and suggest that the algebraic approach might be a cornerstone in completely describing projective graphs.

This paper is organized as follows. In Section II, we recall the basic notions and preliminary results needed throughout the paper. We investigate the order structure of classes of graph (partial) polymorphisms in Section III where we show the aforementioned main results. In Section IV we focus on projective and core graphs and present several representation results. We conclude with a discussion on the ongoing research and observe a few consequences of our results in the form of open conjectures.

## II. PRELIMINARIES

Throughout the paper we use the following notation.

For any  $n \in \mathbb{N}$ ,  $[n]$  denotes the set  $\{1, \dots, n\}$ . For every set  $V$ ,  $n \geq 1$  and  $t = (t_1, \dots, t_n) \in V^n$ ,  $t[i]$  denotes  $t_i$ , and given a relation  $R \subseteq [k]^n$  for some  $k \geq 1$ , we write  $\text{ar}(R)$  for its arity  $n$ . For all  $m \geq 1$  and  $i \in [m]$ , we write  $\pi_i^m : V^m \rightarrow V$  for the *projection* on the  $i$ -th coordinate (the set  $V$  will always be implicit in the context).

For  $H$  a graph and  $V \subseteq V_H$ , we denote by  $H[V]$  the graph induced by  $V$  on  $H$ . We use the symbol  $\uplus$  to express the disjointed union of sets, and  $+$  to express the disjointed union of graphs.

For a unary function  $f$ , and an  $m$ -ary function  $g$  we write  $f \circ g$  for their *composition* that is defined by  $(f \circ g)(x_1, \dots, x_m) = f(g(x_1, \dots, x_m))$ , for every  $(x_1, \dots, x_m) \in V^m$ . Also, for  $k \geq 3$ ,  $K_k$  and  $C_k$  denote respectively the  $k$ -clique and the  $k$ -cycle.

### A. Graph homomorphisms and cores

For two graphs  $G$  and  $H$  a function  $f: V_G \rightarrow V_H$  is a *homomorphism* from  $G$  to  $H$  if  $\forall (u, v) \in E_G, (f(u), f(v)) \in E_H$ . In this case,  $f$  is also called an  $H$ -*coloring* of  $G$ , and we denote this fact by  $f: G \rightarrow H$ . The graph  $G$  is said to be  $H$ -*colorable*, which we denote by  $G \rightarrow H$ , if there exists  $f: G \rightarrow H$ . For a graph  $H$ , the  $H$ -COLORING problem thus asks whether a given graph  $G$  is  $H$ -colorable.

**Theorem 1** ([7]).  *$H$ -COLORING is in  $\mathcal{P}$  whenever  $H$  is bipartite, and it is  $\mathcal{NP}$ -complete, otherwise.*

A key notion in the proof of Theorem 1 is the notion of a *graph core*: let  $\text{core}(H)$  be the smallest induced subgraph  $H'$  of  $H$  such that  $H \rightarrow H'$ . The graph  $H$  is said to be a *core* if  $H = \text{core}(H)$ . Note that the core of a graph  $H$  is unique up to isomorphism and that the problems  $H$ -COLORING and  $\text{core}(H)$ -COLORING are equivalent. Thus, for both classical and fine-grained complexity, it is sufficient to consider  $\text{core}(H)$ -COLORING. Moreover, it is not difficult to verify that cliques and odd-cycles are cores. Notice that a graph  $H$  is a core if and only if every  $H$ -coloring of  $H$  is bijective.

For two graphs  $G$  and  $H$ , we define their *cross product*  $G \times H$  as the graph with vertex-set  $V_{G \times H} = V_G \times V_H$  and edge-set

$$E_{G \times H} = \{((u_1, v_1), (u_2, v_2)) \mid (u_1, u_2) \in E_G, (v_1, v_2) \in E_H\}.$$

Clearly, for graphs  $A, B$  and  $C$ , we have that  $(V_A \times V_B) \times V_C$  and  $V_A \times (V_B \times V_C)$  are in bijection and thus, up to isomorphism, the cross product is associative. Hence, for each  $m \geq 1$ , we can define  $H^m = \underbrace{H \times \dots \times H}_{m \text{ times}}$ .

### B. Polymorphisms, pp/qfpp-definitions

Even though the previous definitions apply only to graphs, *i.e.*, binary symmetric and irreflexive relations, we will need to introduce the following notions for relations  $R$  of arbitrary arity.

**Definition 2.** Let  $V$  be a finite set,  $n, m \geq 1$  be integers, and let  $R \subseteq V^n$  be an  $n$ -ary relation on  $V$ . A partial function  $f : \text{dom}(f) \rightarrow V$ , with  $\text{dom}(f) \subseteq V^m$ , is said to be a partial polymorphism of  $R$  if for every  $n \times m$  matrix  $A = (A_{i,j}) \in V^{n \times m}$  such that for every  $j \in [m]$ , the  $j$ -th column  $A_{*,j} \in R$  and for every  $i \in [n]$ , the  $i$ -th row  $A_{i,*} \in \text{dom}(f)$ , the column  $(f(A_{1,*}), \dots, f(A_{n,*}))^\top \in R$ . In the case when  $\text{dom}(f) = V^m$ ,  $f$  is said to be a total polymorphism (or just a polymorphism) of  $R$ . We denote the sets of total and partial polymorphisms of  $R$  by  $\text{Pol}(R)$  and  $\text{pPol}(R)$ , respectively.

Every (partial) function over a set  $V$  is a (partial) polymorphism of both the empty relation (denoted by  $\emptyset$ ) and the equality relation  $\text{EQ}_V = \{(x, x) \mid x \in V\}$  over  $V$  (or simply EQ when the domain is clear from the context).

For a graph  $H$ , we sometimes use  $\text{pPol}(H)$  and  $\text{Pol}(H)$  instead of  $\text{pPol}(E_H)$  and  $\text{Pol}(E_H)$ , where  $E_H$  is viewed as a binary relation over the domain  $V_H$ . Note that  $\text{Pol}(H)$  is exactly the set of  $H$ -colorings of  $H^m$  for  $m \geq 1$ , and that  $\text{pPol}(H)$  is exactly the set of  $H$ -colorings of the induced subgraphs of  $H^m$  for  $m \in \mathbb{N}$ .

**Definition 3.** Let  $R$  be a relation over a finite domain  $V$ . An  $n$ -ary relation  $R'$  over  $V$  is said to have a primitive positive-definition (*pp-definition*) w.r.t.  $R$  if there exist  $m, m', n' \in \mathbb{N}$  such that

$$R'(x_1, \dots, x_n) \equiv \exists x_{n+1}, \dots, x_{n+n'}: \\ R(\mathbf{x}_1) \wedge \dots \wedge R(\mathbf{x}_m) \wedge \text{EQ}(\mathbf{y}_1) \wedge \dots \wedge \text{EQ}(\mathbf{y}_{m'}) \quad (1)$$

where each  $\mathbf{x}_i$  is an  $\text{ar}(R)$ -ary tuple and each  $\mathbf{y}_i$  is an binary tuple of variables from  $x_1, \dots, x_n, x_{n+1}, \dots, x_{n+n'}$ . Each term of the form  $R(\mathbf{x}_i)$  or  $\text{EQ}(\mathbf{y}_j)$  is called an atom or a constraint of the pp-definition (1).

In addition, if  $n' = 0$ , then in (1) is called a *quantifier-free primitive positive-definition* (qfpp-definition) of  $R'$ . Let  $\langle R \rangle_{\exists}$  and  $\langle R \rangle$  be the sets of qfpp-definable and of pp-definable, respectively, relations over  $R$ .

**Theorem 4** ([14]). Let  $R$  and  $R'$  be two relations over the same finite domain. Then (1)  $R' \in \langle R \rangle_{\exists}$  if and only if  $\text{pPol}(R) \subseteq \text{pPol}(R')$  and (2)  $R' \in \langle R \rangle$  if and only if  $\text{Pol}(R) \subseteq \text{Pol}(R')$ .

### C. CSPs and polymorphisms

We now recall the link between the complexity of CSPs and the algebraic tools described in the previous section (recall that the  $H$ -COLORING problem is the same problem as  $\text{CSP}(E_H)$ ).

**Theorem 5** ([8]). Let  $R$  and  $R'$  two relations over the same finite domain where  $\text{Pol}(R) \subseteq \text{Pol}(R')$ . Then  $\text{CSP}(R')$  is polynomial-time many-one reducible to  $\text{CSP}(R)$ .

Let  $R$  be a relation over a finite domain  $V$ . Define:  $\text{T}(R) = \inf\{c > 1 : \text{CSP}(R) \text{ is solvable in time } O^*(c^n)\}$ , where  $n$  is the number of variables in  $\text{CSP}(R)$ , and  $O^*(v_n) = O(v_n \times n^{O(1)})$ .

**Theorem 6** ([9]). Let  $R$  and  $R'$  be relations over a finite domain  $V$ . If  $\text{pPol}(R) \subseteq \text{pPol}(R')$ , then  $\text{T}(R') \leq \text{T}(R)$ .

These two theorems motivate our study polymorphisms of graphs: since  $\text{CSP}(E_H)$  is the same problem as  $H$ -COLORING, key information about the fine-grained complexity of  $H$ -COLORING is contained in the set  $\text{pPol}(E_H)$ .

## III. THE INCLUSION STRUCTURE OF PARTIAL POLYMORPHISMS OF GRAPHS

In this section we study the inclusion structure of sets of the form  $\text{pPol}(H)$  when  $H$  is a graph with  $V_H = V$  for a fixed, finite set  $V$ . In other words, we are interested in describing the set

$$\mathcal{H} = \{\text{pPol}(H) \mid H \text{ is a graph over } V\}$$

partially ordered by set inclusion. Here, one may observe that the requirement that  $V_H = V_{H'} = V$  is not an actual restriction. Indeed, if  $V_{H'} \subsetneq V$ , then we can easily obtain a graph over  $V$  simply by adding isolated vertices, with no impact on the set of partial polymorphisms.

### A. Trivial inclusion structure

Our starting point is to establish  $\text{pPol}(H) \subseteq \text{pPol}(H')$  when  $H, H'$  are non-bipartite graphs, since it implies that (1)  $H$ -COLORING and  $H'$ -COLORING are both  $\mathcal{NP}$ -complete, and (2)  $\text{T}(H') \leq \text{T}(H)$ , i.e., that  $H'$ -COLORING is not strictly harder than  $H'$ -COLORING.

Inclusions of this kind e.g. raise the question whether there exist, for every fixed finite domain  $V$ , an  $\mathcal{NP}$ -hard  $H$ -coloring problem which is (1) maximally easy, or (2) maximally hard. As we will soon prove, the set  $\mathcal{H}$  does not admit any non-trivial inclusions, in the sense that  $\text{pPol}(H) \subseteq \text{pPol}(H')$  implies that either  $H = H'$  or  $E_{H'} = \emptyset$ , for all  $\text{pPol}(H), \text{pPol}(H') \in \mathcal{H}$ .

**Theorem 7.** Let  $H$  and  $H'$  be two graphs with the same finite domain  $V_H = V_{H'} = V$ . Then  $\text{pPol}(H) \subseteq \text{pPol}(H')$  if and only if  $H = H'$  or  $E_{H'} = \emptyset$ .

*Proof.* To prove sufficiency, assume that  $H = H'$  or that  $H'$  has no edges. Then  $\text{pPol}(H) = \text{pPol}(H')$  or  $\text{pPol}(H) \subseteq \text{pPol}(H')$  since in the latter case  $\text{pPol}(H')$  contains every partial function.

To prove necessity, assume that  $\text{pPol}(H) \subseteq \text{pPol}(H')$ . Then, by Theorem 4,  $E_H$  qfpp-defines  $E_{H'}$ . However, the only possible atoms using  $E_H$  and two variables  $x$  and  $y$  are: (1)  $E_H(x, x)$  and  $E_H(y, y)$ , which cannot appear by irreflexivity, unless  $E_{H'} = \emptyset$  and (2)  $E_H(x, y)$  and  $E_H(y, x)$ , which are equivalent by symmetry. Also, if the qfpp-definition would contain an equality constraint  $\text{EQ}(x, y)$ , then  $E_{H'}$  would not be irreflexive, unless  $E_{H'} = \emptyset$ . Hence, any qfpp-definition of  $E_{H'}$  either (1) contains  $E_H(x, x)$ ,  $E_H(y, y)$  or  $\text{EQ}(x, y)$ , meaning that  $E_{H'} = \emptyset$ , or (2) only contains  $E_H(x, y)$  or  $E_H(y, x)$ , meaning that  $H = H'$ .  $\square$

### B. Higher-arity inclusions

As proven in Theorem 7, the expressivity of binary irreflexive symmetrical relations is rather limited, in the sense that  $\mathcal{H}$  does not admit *any* non-trivial inclusions. It is thus natural to ask whether anything at all can be said concerning inclusions of the form  $\text{pPol}(H) \subseteq \text{pPol}(R)$  when  $R$  is an arbitrary relation. In particular, under which conditions does there exist an  $n$ -ary  $R$  such that  $\text{pPol}(H) \subsetneq \text{pPol}(R)$ , given that  $H$ -COLORING and  $\text{CSP}(R)$  are both  $\mathcal{NP}$ -complete? We give a remarkably sharp classification and, assuming that  $\mathcal{P} \neq \mathcal{NP}$ , we prove that an  $n$ -ary relation  $R$  with the stated properties exists if and only if  $H$  contains an odd-cycle of length  $\leq n$ .

The following definition and lemma are particularly useful when establishing our classification.

**Definition 8.** *Let  $n, m \geq 1$  be integers,  $H$  be a graph,  $R$  be a relation of arity  $n$  over  $V_H$ , and let  $M = (M_{i,j})$  be a  $n \times m$  matrix of elements of  $V_H$ . We say that  $M$  is an  $R$ -wall for  $E_H$  if:*

- 1)  $\forall j \in [m], (M_{1,j}, \dots, M_{n,j})^\top \in R$ , and
- 2)  $\forall (i, i') \in [n], \exists j \in [m], (M_{i,j}, M_{i',j})^\top \notin E_H$ .

In the following lemma, we say that, for a relation  $R$ , that  $\text{CSP}(R)$  is *trivial* if every instance of  $\text{CSP}(R)$  is satisfiable. Clearly, if  $\text{CSP}(R)$  is trivial, it is not  $\mathcal{NP}$ -complete (even if  $\mathcal{P} = \mathcal{NP}$ ).

**Lemma 9.** *Let  $H$  a graph and let  $R$  be an  $n$ -ary relation over  $V_H$ . Suppose that  $\text{pPol}(H) \subseteq \text{pPol}(R)$  and that there exists an  $R$ -wall  $M$  for  $H$ . Then,  $\text{CSP}(R)$  is trivial.*

*Proof.* Using property 2) of Definition 8, it is easy to check that any partial function  $f$  whose domain is the set of rows of  $M$  is in  $\text{pPol}(H)$ . In particular,  $f$  can be chosen to be of constant value  $a \in V_H$ . Then, from  $\text{pPol}(H) \subseteq \text{pPol}(R)$  it follows that  $f \in \text{pPol}(R)$ . Combining this with property 1) of Definition 8, we conclude that  $(a, \dots, a)^\top \in R$ . Since the valuation sending all variables to  $a$  satisfies any instance of  $\text{CSP}(R)$ , the proof is now complete.  $\square$

We now propose a construction of an  $R$ -wall for a graph  $H$  without odd-cycles of length at most  $n := \text{ar}(R)$ , and such that  $\text{pPol}(H) \subsetneq \text{pPol}(R)$ .

**Lemma 10.** *Let  $H$  be a graph without odd-cycles of length  $\leq n$ , and let  $R \neq \emptyset$  be an  $n$ -ary relation such that  $\text{pPol}(H) \subseteq \text{pPol}(R)$ . If  $\forall (x_1, \dots, x_n) \in (V_H)^n, R(x_1, \dots, x_n) \implies E_H(x_1, x_2)$ , then  $R$  qfpp-defines  $E_H$ .*

*Proof.* Suppose that  $R(x_1, \dots, x_n) \implies E_H(x_1, x_2)$ , and let  $(a_1, \dots, a_n) \in R \neq \emptyset$ . Since  $H$  has no odd-cycle of size  $\leq n$ ,  $\{a_1, \dots, a_n\}$  induces a bipartite graph in  $H$ : there exists a partition  $A \uplus B$  of  $\{a_1, \dots, a_n\}$  such that  $E_{H[\{a_1, \dots, a_n\}]} \subseteq (A \times B) \uplus (B \times A)$ . For  $(x, y) \in E_H$ , define  $f: \{a_1, \dots, a_n\} \rightarrow V_H$  by  $f(a_i) = x$ , if  $a_i \in A$ , and  $f(a_i) = y$ , if  $a_i \in B$ . Since  $(x, y) \in E_H$ , we have that  $f \in \text{pPol}(H)$ , and since  $\text{pPol}(H) \subseteq \text{pPol}(R)$ , we also have that  $f \in \text{pPol}(R)$ . As  $(a_1, \dots, a_n) \in R$ , it follows  $(f(a_i))_{1 \leq i \leq n} \in R$ .

This proves that  $E_H(x, y) \implies R(\mathbf{x}_{A,B}(x, y))$ , where  $\mathbf{x}_{A,B}(x, y)[i] = x$  if  $a_i \in A$  and  $\mathbf{x}_{A,B}(x, y)[i] = y$  if  $a_i \in B$ . Reversely,  $R(x_1, \dots, x_n) \implies E_H(x_1, x_2)$  and  $(a_1, a_2) \in E_H$  causes  $R(\mathbf{x}_{A,B}(x, y)) \implies E_H(x, y)$ . Hence,  $E_H(x, y) \equiv R(\mathbf{x}_{A,B}(x, y))$ , and  $R$  qfpp-defines  $E_H$ .  $\square$

**Lemma 11.** *Let  $n \geq 1$ ,  $H$  be a graph without odd-cycles of length  $\leq n$ , and let  $R \neq \emptyset$  be an  $n$ -ary relation such that  $\text{pPol}(H) \subsetneq \text{pPol}(R)$ . Then, for all  $(i, i') \in [n]^2$  with  $i < i'$ , there is  $(x_1^{(i,i')}, \dots, x_n^{(i,i')})^\top \in R$  with  $(x_i^{(i,i')}, x_{i'}^{(i,i')})^\top \notin E_H$ .*

*Proof.* We show only the existence for  $i = 1$  and  $i' = 2$ ; the other cases can be proven similarly. For the sake of a contradiction, suppose that  $\forall (x_1, \dots, x_n) \in (V_H)^n, (x_1, \dots, x_n) \in R \implies (x_1, x_2) \in E_H$ . By Lemma 10 we have  $E_H \in \langle R \rangle_{\neq}$ , and by Theorem 4,  $\text{pPol}(R) \subseteq \text{pPol}(E_H)$ . This contradicts our hypothesis that  $\text{pPol}(H) \subsetneq \text{pPol}(R)$ .  $\square$

This leads to the following corollary whose proof provides a simple construction of an  $R$ -wall for graph  $H$  in the conditions of Lemma 11.

**Corollary 12.** *Let  $n \geq 1$ ,  $H$  be a graph without an odd-cycle of length  $\leq n$ , and let  $R \neq \emptyset$  be an  $n$ -ary relation such that  $\text{pPol}(H) \subsetneq \text{pPol}(R)$ . Then there is an  $R$ -wall for  $H$ .*

*Proof.* Using the notation of Lemma 11, we can take the  $n \times \frac{n(n-1)}{2}$  matrix  $M$ , whose  $\frac{n(n-1)}{2}$  columns are the  $(x_1^{(i,i')}, \dots, x_n^{(i,i')})^\top$ , for each  $(i, i') \in [n]^2$  with  $i < i'$ .  $\square$

In the following theorem we need to assume that  $\mathcal{P} \neq \mathcal{NP}$  simply because if  $\mathcal{P} = \mathcal{NP}$ , then the relation  $R = \emptyset$  trivially satisfies the stated conditions since  $\text{CSP}(R)$  would be  $\mathcal{NP}$ -complete. Furthermore, we only consider non-bipartite graphs since otherwise  $H$ -COLORING is in  $\mathcal{P}$ .

**Theorem 13.** *Assume  $\mathcal{P} \neq \mathcal{NP}$ . Let  $H$  be a non-bipartite graph, and  $k$  be the length of the smallest odd-cycle of  $H$ . Then there exists a  $n$ -ary relation  $R$  with  $\text{pPol}(H) \subsetneq \text{pPol}(R)$  and for which  $\text{CSP}(R)$  is  $\mathcal{NP}$ -complete if and only if  $k \leq n$ .*

*Proof.* We sketch the most important ideas.

Suppose first that  $k > n$ . In this case,  $H$  does not have an odd-cycle of length  $\leq n$ . Again for the sake of a contradiction, suppose that such a relation  $R$  exists. Note that  $R \neq \emptyset$  since  $\text{CSP}(R)$  is  $\mathcal{NP}$ -complete. Using Corollary 12, there exists an  $R$ -wall for  $H$ . Then, by Lemma 9,  $\text{CSP}(R)$  is trivial. This contradicts the fact that  $\text{CSP}(R)$  is  $\mathcal{NP}$ -complete, and thus such a relation  $R$  does not exist.

Suppose now that  $k \leq n$ . Define  $R(x_1, \dots, x_n) \equiv E_H(x_1, x_2) \wedge E_H(x_2, x_3) \wedge \dots \wedge E_H(x_{k-1}, x_k) \wedge E_H(x_k, x_1)$ . Since  $k$  is the length of the smallest odd-cycle of  $H$ , it follows that  $R = \{(x_1, \dots, x_n) \mid \{x_1, \dots, x_k\} \text{ induces a } k\text{-cycle}\}$  (the variables  $x_{k+1}, \dots, x_n$  are inessential). Note that this is not true when  $k$  is even.

We then proceed as follows. Since  $E_H$  qfpp-defines  $R$ , we have that  $\text{pPol}(H) \subseteq \text{pPol}(R)$ , by Theorem 4. Also, the inclusion is strict since, for any edge  $(x, y)$  of  $H$ , the function  $f : \{x, y\} \rightarrow V_H$  that maps both  $x$  and  $y$  to any  $a \in V_H$ , belongs to  $\text{pPol}(R) \setminus \text{pPol}(H)$ . Indeed,  $f \in \text{pPol}(R)$  because  $\{x, y\}^m \cap R = \emptyset$ , since it is impossible to form an odd-cycle with only  $x$  and  $y$ .

To prove that  $\text{CSP}(R)$  is  $\mathcal{NP}$ -complete, consider  $C_k(H)$ , the subgraph of  $H$ , with  $V_{C_k(H)} = V_H$ , and where each edge of  $H$  that does not belong to a cycle of length  $k$  has been removed. Note that as  $H$  contains a  $k$ -cycle,  $C_k(H)$  also contains a  $k$ -cycle. Hence,  $\text{CSP}(E_{C_k(H)})$ , which is the same problem as the  $C_k(H)$ -COLORING problem, is  $\mathcal{NP}$ -hard since  $C_k(H)$  is not bipartite (by Theorem 1).

It is easy to see that  $R$  pp-defines  $E_{C_k(H)}(x_1, x_2) \equiv \exists x_3, \dots, x_n, R(x_1, x_2, x_3, \dots, x_n)$ . From Theorem 4 and 5, it then follows that  $\text{CSP}(R)$  is  $\mathcal{NP}$ -hard. In addition, it is  $\mathcal{NP}$ , thus showing its  $\mathcal{NP}$ -completeness.  $\square$

#### IV. PROJECTIVE AND CORE GRAPHS

In this section we study the inclusion structure of sets of total polymorphisms. We are particularly interested in graphs  $H$  with small sets of polymorphisms since, intuitively, they correspond to the hardest  $H$ -COLORING problems. This motivates the following definitions.

An  $m$ -ary function  $f$  is said to be *essentially at most unary* if it is of the form  $f = f' \circ \pi_i^m$  for some  $i \in [m]$ . Larose [10] says that a graph  $H$  is *projective* if every *idempotent polymorphism* (i.e.,  $f(x, \dots, x) = x$  for every  $x \in V_H$ ) is a projection. Okrasa and Rzażewski [13] shows that the polymorphisms of a core graph  $H$  are all essentially at most unary if and only if  $H$  is projective. Since it is sufficient to study cores in the context of  $H$ -COLORING, determining whether  $H$  is projective is particularly interesting.

In this section we use the algebraic approach for proving that a given graph is a projective core, that is, both projective and a core. As we will see, this enables simpler proofs than those of [10], and suggests the possibility of completely characterizing projective cores.

Using the following theorem, our proofs of projectivity can be seen as reductions from cliques.

**Theorem 14** ([2],[12]). *For  $k \geq 3$ ,  $K_k$  is projective.*

Let  $\mathfrak{S}_k$  be the set of permutations over  $[k]$ . It then follows that  $\text{Pol}(K_k) = \{\sigma \circ \pi_i^m \mid \sigma \in \mathfrak{S}_k, m \geq 1, i \in [m]\}$ . Corollary 15 below implies that the graphs we will consider in this subsection are projective cores.

**Corollary 15.** *Let  $H$  be a graph on  $[k]$  with  $k \geq 3$ . Then  $E_H$  pp-defines the relation  $\text{NEQ}_k = \{(x, x') \in V_H \mid x \neq x'\}$  if and only if  $H$  is a projective core.*

*Proof.* First observe that  $\text{NEQ}_k = E_{K_k}$ . From Theorem 4 and using the definitions of cores and of projective graphs, we thus have that the following assertions are equivalent:

- 1)  $\text{NEQ}_k \in \langle E_H \rangle$ ;

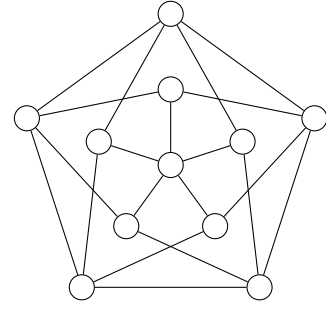


Figure 1. The Grötzsch graph

- 2)  $\text{Pol}(H) \subseteq \text{Pol}(K_k)$ ;
- 3) all polymorphisms of  $H$  are essentially at most unary, and all unary polymorphisms of  $H$  are bijective;
- 4)  $H$  is a projective core.  $\square$

Following exact steps in the proof of Corollary 15, we can obtain the following result.

**Corollary 16.** *Let  $G$  and  $H$  be two graphs on the same set of vertices, with  $G$  projective (respectively, a core), and such that  $E_H$  pp-defines  $E_G$ . Then  $H$  is also projective (respectively, a core).*

Pp-definitions thus explains the property of being projective (respectively, a core). We hope that this viewpoint helps to discover new classes of projective graphs. For example, Corollary 15 enables a much simpler proof of the following theorem by Larose [10].

**Theorem 17** ([10],[11]). *Let  $k \geq 3$  be an odd integer. The  $k$ -cycle  $C_k$  is a projective core.*

*Proof.* We claim that

$$\begin{aligned} \text{NEQ}_k(x, x') &\equiv \exists x_2, \dots, x_{k-2} : E_{C_k}(x, x_2) \wedge E_{C_k}(x_2, x_3) \\ &\quad \wedge \dots \wedge E_{C_k}(x_{k-3}, x_{k-2}) \wedge E_{C_k}(x_{k-2}, x'). \end{aligned}$$

To see this, note that for any two vertices  $x$  and  $x'$  in  $C_k$ ,  $x \neq x'$  if and only if there exists an odd-path from  $x$  to  $x'$  of size  $< k$  (since  $k$  is odd). In other words,  $x \neq x'$  if and only if there exists a  $(k-2)$ -path from  $x$  to  $x'$  (by going twice through the same edge as many times as necessary,  $k-2$  being odd). By Corollary 15, it then follows that  $C_k$  is a projective core.  $\square$

There are also other examples of cores that are projective, other than  $k$ -cliques for  $k \geq 3$  and  $k$ -cycles. For instance, Okrasa and Rzażewski [13] proved that the so-called *Grötzsch graph* (see Figure 1) is a projective core.

**Theorem 18.** *The Grötzsch graph is a projective core.*

*Proof.* We provide an alternative proof using our algebraic framework. Let  $E_G$  be the set of edges of the Grötzsch

graph. Note that the Grötzsch graph has 11 vertices. We can see that  $E_G$  pp-defines  $\text{NEQ}_{11}$ :

$$\text{NEQ}_{11}(x, x') \equiv \exists x_2, x_3: E_G(x, x_2) \wedge E_G(x_2, x_3) \wedge E_G(x_3, x').$$

From Corollary 15 it follows that the Grötzsch graph is a projective core.  $\square$

Complements  $\overline{C_k}$  of odd-cycles of length  $k \geq 5$  are also projective cores. Since  $\overline{C_5} = C_5$  has already been studied, we take a look at  $\overline{C_{2p+1}}$ , for  $p \geq 3$ . The following result is an immediate corollary of Larose [10], but we give a algebraic proof using Corollary 15.

**Theorem 19.**  $\overline{C_{2p+1}}$  is a projective core for  $p \geq 3$ .

*Proof.* It is not difficult to see that

$$\text{NEQ}_{2p+1}(x_1, x_4) \equiv \exists x_2, x_3, w_1, \dots, w_{p-2} : R_1 \wedge R_2 \wedge R_3,$$

where

- 1)  $R_1 = \bigwedge_{i \in [3]} E_{\overline{C_{2p+1}}}(x_i, x_{i+1})$ ,
- 2)  $R_2 = \bigwedge_{i \in [4], j \in [p-2]} E_{\overline{C_{2p+1}}}(x_i, w_j)$ , and
- 3)  $R_3 = \bigwedge_{(j, j') \in [p-2], j < j'} E_{\overline{C_{2p+1}}}(w_j, w_{j'})$ .

The result then follows from Corollary 15.  $\square$

## V. CONCLUSION AND FUTURE RESEARCH

### A. Concluding remarks

In this paper, we have investigated the inclusion structure of the sets of partial polymorphisms of graphs, and proved that for all pairs of graphs  $H, H'$  on the same set of vertices,  $\text{pPol}(H) \subseteq \text{pPol}(H')$  implies that  $H = H'$  or  $E_H = \emptyset$ . Since this inclusion structure is trivial, it is natural to generalize the question and investigate inclusions of the form  $\text{pPol}(H) \subseteq \text{pPol}(R)$ , where  $H$  is a graph, but where  $R$  is an arbitrary relation. We deemed the case when  $\text{CSP}(R)$  was  $\mathcal{NP}$ -complete to be of particular interest since the problem  $\text{CSP}(R)$  then bounds the complexity of  $H$ -COLORING from below, in a non-trivial way. We then identified a condition depending on the length of the shortest odd cycle in  $H$ , and proved that there exists a such an  $n$ -ary relation  $R$  if and only if  $H$  does not have an odd cycle of length  $\leq n$ . In an attempt to better understand the algebraic invariants of graphs, we then continued by studying total polymorphisms of graphs, with a particular focus on projective graphs, where we used the algebraic approach to obtain simplified and uniform proofs.

### B. Future research

Okrasa and Rzażewski [13] observed that a graph  $H$  that can be expressed as a disjoint union of two non-empty graphs  $H_1$  and  $H_2$  is not projective, since it admits the binary polymorphism  $f$  defined by  $f|_{V_{H_1}} = (\pi_1^2)|_{V_{H_1}}$  and  $f|_{V_{H_2}} = (\pi_2^2)|_{V_{H_2}}$ . The same holds for the *cross-product* of non-trivial graphs  $H = H_1 \times H_2$  (in which case the graph is said to be decomposable), with the binary polymorphism  $f((x, y), (x', y')) \mapsto (x, y')$ . Okrasa and Rzażewski also

noticed the existence of disconnected/decomposable cores, such as  $G + K_3/G \times K_3$ , where  $G$  is the Grötzsch graph from Figure 1. These observations resulted in the following conjecture.

**Conjecture 1** ([13]). *Let  $H$  be a connected non-trivial core on at least 3 vertices. Then  $H$  is projective if and only if it is indecomposable.*

It would be interesting to see if our algebraic approach can settle this conjecture. In fact, by Corollary 15, Conjecture 1 is equivalent to:

**Conjecture 2.** *Let  $H$  be a connected core on  $k \geq 3$  vertices. Then,  $H$  is indecomposable if and only if  $\text{NEQ}_k \in \langle E_H \rangle$ .*

To advance our understanding of the fine-grained complexity of  $H$ -COLORING, it would also be interesting to settle the following question.

**Question 20.** *Let  $H$  a projective core. Describe  $\text{pPol}(H)$ .*

For instance, is it possible to relate  $\text{pPol}(H)$  with the *treewidth* of  $H$ ? More generally, are there structural properties of classes of (partial) polymorphisms that translate into bounded width classes of graphs [6]? These questions constitute topics that we are currently investigating.

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