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### Radical Cation Transfer in a Guanine Pair: an Insight to the G-Quadruplex Structure Role using Constrained DFT/MM

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Abstract DNA G-Quadruplex are highly sensitive to oxidation as their structures include  $\pi$ -stacked guanine quartets allowing fast hole transfer between the nucleobases. These transfers can be described using vertical energy gap and electronic coupling between the different diabatic states at play in a guanine pair. Using classical molecular dynamics simulation and the constrained DFT/MM implementation in deMon2k, we determine these quantity for all the interacting guanine pairs of six G-quadruplex structures including one to four quartets and corresponding to different DNA folding. We then described an uni-directional transfer within a quartet, with high electronic coupling and vertical energy gap values, which contrasts with the hole transfer between  $\pi$ stacked guanine, bi-directional and corresponding to smaller charge transfer parameters. The influence of the geometrical parameters on the electronic coupling is explored, while the external or internal position of the guanine may impact its oxidation probability according to the vertical energy gaps.

Keywords G-Quadruplex  $\cdot$  Guanine Oxidation  $\cdot$  Charge Transfer  $\cdot$  Constrained DFT  $\cdot$  QM/MM

#### **1** Introduction

DNA G-quadruplexes consist in a noncanonical folding[1] of guanine-rich DNA sequences. Blocks of four interconnected guanines are stacked and trap metallic

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cations which contribute to the G-quadruplex stability. Such structures can be found everywhere along the chromosomes but they are very important in the chromosome extremity, called telomere[2, 3]. Telomeres contain a non-coding DNA sequence and are dedicated to genetic information protection along the cell life and division[4, 5]. Other G-quadruplexes are present in oncogenes promoters such as c-Myc[6], or used by different pathogens in their regulatory process[7]. Consequently, G-quadruplexes represent a therapeutic target and numerous ligands have been proposed to regulate gene expression or telomerase activity[8]. They are also very sensitive to oxidation by UV-light or oxidative stress: guanine has the lowest ionization potential among the nucleobases and can be easily oxidized to form a radical cation guanine. Once oxidized, the highly reactive guanine can undergo several chemical transformation, such as deprotonation, formation of 8-oxoguanines or cross-links proteins or other molecules[9, 10, 11, 12, 13, 14].

Experimentally, the oxidation of the G-quadruplex structure can be induced using molecular redox partners or UV radiation absorption[11, 15, 16, 12]. The life time of the radical cation guanine, which competes with the deprotonation of a guanine and the formation of a neutral species, reaches the millisecond timescale while the cation disappears in few microsecond in normal B-DNA.[16] In addition to the guanine-rich sequence, G-quadruplex structures benefits from their folding[17, 18, 19, 20] that allows numerous charge transfer within a quartet or between them. As the deprotonation can occur only on the radical cation guanine, the fast transfer of this latter from one guanine to the other may decrease the probability of a proton transfer.

The tunnelling and incoherent hopping charge transfers are triggered by the vertical energy gap and the electronic coupling between the different redox partners. Indeed, the charge transfer rate for nonadiabatic transfer can be expressed as:

$$k_{ET} = \frac{2\pi}{h} H_{DA}^2 F C \tag{1}$$

where  $H_{DA}$  is the electronic coupling between the diabatic electronic states and FC the Franck-Condon factor[21]. The energy gaps  $\Delta E$  between the different redox states enter in the Franck-Condon factor, and, when all the vibrational frequencies are relatively small, this factor can be expressed as a function of the free enthalpy of reaction  $\Delta G^0$  and the reorganization energy  $\lambda$ . In the linear response approximation, these two parameters can be determined directly from the vertical energy gap:

$$\Delta G^0 = \frac{1}{2} (\Delta E_f + \Delta E_i) \tag{2}$$

$$\lambda = \frac{1}{2} (\Delta E_f - \Delta E_i) \tag{3}$$

A consistent evaluation of the driving force and the reorganization energy in a biological system supposes a conformational sampling of the two considered diabatic states.

PDB 7	Гороlogy	Sequence $(5' \rightarrow 3')$	Origin
2kf8 [24]         E           143d[25]         A           1xav[26]         F           2gku[27]         F           6qjo[28]         L           6gz6[29]         I	Basket Anti-parallel Parallel Hybrid-1 Left handed Left handed	GGGTTAGGGTTAGGGTTAGGGT AGGGTTAGGGTTAGGGTTAGGG TGAGGGTGGGT	Human telomere Human telomere Proto-oncogene promoter Modified human telomere synthetic Synthetic

 Table 1
 Different G-Quadruplex structures



Fig. 1 Chemical representation of the two guanine pairs considered as charge Donor-Acceptor couple in gas phase or in QM/MM using cDFT. The HOMO orbitals of the neutral pairs in gas phase calculated at PBE0 (50% Hartree Fock exchange)/Aug-cc-PVTZ level of theory also represented (picture rendered using vmd,[33] isovalue of 0.03).

Some computational studies have already explored the influence of the quartets arrangement and stacking in the hole transfer properties using QM or QM/MM simulations[22, 23]. While the QM/MM approach focused on a tetrameric parallel-stranded G-quadruplex only,[23] several conformations have been considered in the QM study,[22] with an impact on the transfer, especially on the electronic coupling, but taking into account only two quartets from the G-quadruplex.

In this study, we compare the impact of different G-Quadruplex sequences and conformations (listed in Table 1) using a combination of molecular dynamics simulation (MD) and single point constrained DFT[30, 31] (cDFT)/MM calculation.[32] We define two type of guanine pairs, represented in Figure 1, one involving two guanines from a quartet linked by hydrogen bonds, the other one consisting in two  $\pi$ -stacked guanines from two different quartets. We intend to obtain a first evaluation of the influence of the geometry and the environment, including water molecules and nucleobases that are not involved in a quartet, to two charge transfer parameters, namely the diabatic vertical energy gap and the electronic coupling.

#### 2 Computational Methods

#### 2.1 Molecular Dynamics Simulations

We selected six G-quadruplex structures that cover different foldings of the DNA strand (see Table 1). All the simulations were performed using Amber 20 package[34] and the Amber parmbsc1[35] force fields for nucleobases. Each G-quadruplex is solvated in TIP3P[36] water rectangular box which dimensions allow a solvent layer of 12 Å around the DNA structure. Sodium cations were added to ensure neutrality. A minimization was run, followed by a heating procedure (heating from 0 to 300 K in 30 ps with a timestep of 1 fs). Then, a last equilibration run of 1 ns and a 1  $\mu$ s production run were carried out in the NPT ensemble, using the Langevin thermostat and the Berendsen barostat at 300 K and 1 atm. A timestep of 2 fs was used as covalent bonds involving a hydrogen were constrained using the SHAKE algorithm. Periodic boundary conditions were used, allowing the treatment of the long-range electrostatic interactions with the Particle Mesh Ewald (PME) algorithm[37]. For non-covalent interactions, a cutoff of 12 Å was used.

The trajectories were analysed using the cpptraj tool from amber20 package, [38] first through the hierarchical agglomerative approach for clustering, based on the RMSD of the solute. Some of the representative structures of the obtained cluster are given in Figure S1 in Supporting information. The distance and the twist angle, defined as the dihedral angle involving the center of the quartet and the N9 of each guanine in the considered  $\pi$ -stacked pair, are then calculated for the representative structure of the selected clusters.

#### 2.2 Constrained DFT calculations

All QM and QM/MM calculations were performed using deMon2K[39] and the implementation of CDFT within[40]. PBE0[41] with 50 % of Hartree-Fock exchange was used with the augmented cc-pVTZ basis[42] set and Grimme correction for dispersion[43], as recommended by previous benchmarks.[44] The GEN-A2 auxiliary basis set was employed.[45] The charge constraint was calculated to fit an iterative Hirshfeld atomic charge distribution.[46]

First, a pair of  $\pi$ -stacked or hydrogen bonded guanines (see Figure 1) was optimized at neutral state to get a gas phase reference. Then, the vertical energy gap and the electronic coupling was calculated for a positively charged system using cDFT. The charge difference was constrained to be equal to one, while one guanine is defined as the electron donor D and the other as electron acceptor A. The donor-acceptor exchange allows the determination of the diabatic vertical energy gap by subtracting the energy of final  $(D^+A)$ and initial  $(DA^+)$  state and the electronic coupling between the two electronic states (Equation 4).

$$\Delta E = E_{D^+A} - E_{DA^+} \tag{4}$$

The electronic coupling is determined after the orthogonalization of the cDFT  $2 \times 2$  diabatic Hamiltonian into the basis spanned by the eigenvectors of an operator reflecting the charge difference between D and A as described in ref [47].

Secondly, single point QM/MM calculations were performed on the main structures obtained from cluster analysis of each trajectories using the QM/MM module of deMon2k[32]. For each calculation, the purine ring of two guanines were considered in the QM zone (see Figure 2). A hydrogen link atom was positioned in the N9-C1' bond. The MM area encompasses the atoms in a sphere of 25 Å around the center of the box, and the interactions between the QM and MM systems are treated with an electrostatic embedding. No geometry optimization has been performed. The vertical energy gap and the electronic coupling of the transfer of the radical cation between two  $\pi$ -stacked or two hydrogen bonded guanines were calculated for each possible pair of the six systems. To increase the size of the QM zone, we added a third purine ring using the same QM/MM boundary treatment in order to get one guanine from each quartet of the 143d structure.



Fig. 2 Representation of the different QM/MM systems for hydrogen bonded pairs and  $\pi$ -stacked pairs with 2 or 3 QM guanines: QM guanines are in red, link atom in orange and the classical part including nucleobases, cations and water molecule is in cyan.

#### **3** Results and Discussion

3.1 Guanine pairs in gas phase

In order to evaluate the impact of the G-quadruplex environment on the charge transfer parameters, we first apply the cDFT approach on a guanine pair in gas phase. Two systems are defined, extracted for a G-quadruplex structure: a pair of  $\pi$ -stacked guanines and a pair of hydrogen bonded guanines (see Figure 1). A diabatic state is defined by the localization of the positive charge

**Table 2** cDFT diabatic electronic coupling  $H_{DA}$  and vertical energy gap ( $\Delta E$ ) for hydrogen bonded and  $\pi$ -stacked guanine pairs optimized at the neutral state.

	$H_{DA}(eV)$	$\varDelta E(eV)$
H-bond $\pi$ -stacked	$0.895 \\ 0.052$	$\begin{array}{c} 0.204 \\ 0.017 \end{array}$

on one guanine fragment, which is ensured by the constraint on the charge localization.

In the hypothesis of a fast charge transfer between the two guanines, the system does not have time to relax and the neutral conformation represent a suitable starting for the diabatic parameters calculation. If the charge transfer occurs in the Marcus theory framework, the optimization of each diabatic state, and even better, some dynamical simulations in each diabatic wells, would be required. However, in guanine-rich DNA, the radical cation moves relatively fast and therefore a neutral conformation can be a consistent starting point to quantify charge transfer parameters.

The diabatic vertical energy gap and electronic coupling between the  $G^{\bullet+}G$ and  $GG^{\bullet+}$  for both  $\pi$ -stacked and hydrogen bonded pairs are reported in Table 2. Considering first the hydrogen bonded pair, we obtain a large electronic coupling value and also a relatively high energy gap (but lower than the coupling). In this pair, despite the similar chemical nature of the two partners, the atoms involved in the interactions differ according to the guanine, if it donates its H2 and H1 protons or if it accepts them via its O6 and N7 atoms. The vertical energy gap indicates that the proton acceptor is the most easily oxidized guanine (guanine A in Figure 1), in agreement with the localization of the HOMO, mostly on the proton donor guanine (B in Figure 1). Furthermore, on the neutral pair, a charge difference of more than 0.43 is observed (see Table S1 in SI), with a more positive guanine A. When applying the constrained charge difference of 1, the guanine A can accept the entire positive charge whereas the charge is split between the two guanines when B is supposed to be the electron acceptor (see Table S1 in SI). The hole transfer thus occurs in one way, following the proton donation: from the proton donor guanine to the acceptor one.

On the contrary, the  $\pi$ -stacked pair presents a very small vertical energy gap, which is consistent with the fact that the geometry is much more symmetric. The neutral HOMO (Figure 1) is delocalized on the two guanines, with a slight predominance on one of them which is not reflected by the atomic charges difference (0.014 see Table S2 in SI). When the charge difference is constrained, each guanine can be fully oxidized with a charge of 1.00 spread out on their respective purine ring. This transfer is thus bi-directional, mostly symmetric, with a relatively high electronic coupling compared to the energy gap. However, the G-quadruplex environment can distort this symmetry and induce a preferential positive charge localization, which will be explored using QM/MM protocol.

**Table 3** Average and standard deviation values of the cDFT diabatic electronic coupling  $H_{DA}$  and the absolute value of vertical energy gap ( $|\Delta E|$ ) for the different hydrogen bonded guanine pairs in a given G-quadruplex structure (cluster 0 only).

	$H_{DA}(eV)$	$\mid \varDelta E \mid (\mathrm{eV})$
2kf8	$0.524 \pm 0.300$	$1.012 \pm 0.619$
143d	$0.671 \pm 0.161$	$1.063 \pm 0.684$
1xav	$0.648 \pm 0.176$	$0.916 \pm 0.503$
2gku	$0.659 \pm 0.169$	$0.966 \pm 0.504$
6qjo	$0.592 \pm 0.251$	$0.899 \pm 0.394$
6gz6	$0.586\pm0.215$	$0.900 \pm 0.540$

3.2 Charge transfer in G-Quadruplex structures

1  $\mu$ s classical MD simulations were performed starting from the X-Ray or NMR structures of the different G-quadruplexes we have selected, in order to sample the conformational space of the diverse DNA foldings. None of the trajectories shows large fluctuations from its starting structure. The layout of the different quartet stays similar all along the simulations, while the adjacent nucleobases can adopt different conformations, highlighted by cluster analysis of the trajectories (see Figure S1). Among these conformational fluctuations, some involve a possible  $\pi$ -stacking of a nucleobase with a quartet which can be otherwise in contact with solvent. Consequently, the close environment of some external guanines can be strongly modified during the molecular dynamics simulation whereas the central quartets undergoes a stable interaction network. As the redox properties are sensitive to the electrostatic field, the impact of these different conformations must be considered.

In the following, we thus report QM/MM calculations on the representative structure of the main cluster of each trajectory and on structures where some guanine close environment is modified. Similarly to gas phase calculation, we consider  $\pi$ -stacked or hydrogen bonded guanine pairs. First, only the guanines involved in the pair are considered in the QM zone while the other nucleobases and the water are modeled using the classical force field parameters. The electronic couplings and vertical energy gaps, averaged on all pairs of a given G-quadruplex are reported in Tables 3 and 4 (while the value for each pair is given is SI, Tables S3 to S14).

The averaged electronic coupling and vertical energy gap values for hydrogen bonded pairs are similar for all G-quadruplex structures. They also fall in the same range as gas phase values (Table 2), meaning hundreds of meV, with a wide distribution (about half of the average value); however the electronic coupling is lower and the vertical energy gap higher than in gas phase, suggesting that the conformations of guanine pairs within the G-quadruplex structure are less favorable to charge transfer than the optimized structure in gas phase. Within one pair, the hydrogen bonds network triggers the preferential localization of the charge as observed in gas phase: the proton acceptor guanine is always the most likely oxidized guanine with respect to the sign of the vertical

**Table 4** Average and standard deviation values of the cDFT diabatic electronic coupling  $H_{DA}$  and the absolute value of vertical energy gap ( $|\Delta E|$ ) for the different  $\pi$ -stacked guanine pairs in a given G-quadruplex structure (cluster 0 only).

	$H_{DA}(eV)$	$\mid \Delta E \mid (eV)$
2kf8 143d 1xav 2gku 6qjo 6gz6	$\begin{array}{c} 0.041 \pm 0.030 \\ 0.060 \pm 0.042 \\ 0.075 \pm 0.036 \\ 0.091 \pm 0.049 \\ 0.066 \pm 0.045 \\ 0.094 \pm 0.056 \end{array}$	$\begin{array}{c} 0.116 \pm 0.138 \\ 0.189 \pm 0.126 \\ 0.304 \pm 0.165 \\ 0.295 \pm 0.192 \\ 0.291 \pm 0.232 \\ 0.371 \pm 0.228 \end{array}$



Fig. 3 Electronic coupling  $H_{DA}$  between the hydrogen bonded pairs of all G-quadruplexes as a function of O6-H1 distance. Exponential fitting (in red) corresponds to an exponential factor of -0.957  $\pm$  0.295 eV<sup>-1</sup> and a prefactor of 4.297 eV.

energy gap. The large value of this latter impedes a backward transfer to the proton donor guanine. Despite this one way transfer, the square arrangement of the quartet allows an oxidation of the four guanines. Then, other parameters can play a role in the tuning of charge transfer. For instance, a decrease of the hydrogen bond distances N7-H2 or O6-H1 leads to an increase of the electronic coupling, as shown in Figures 3 and 4. In agreement with the mono-exponential decay observed for electronic coupling with D-A distance, we fit our data with an exponential curve. We get similar exponential decay factor around -0.95 eV<sup>-1</sup> for the two fitting curves but with a relatively large error. Our fitting can suffer from a relative small sampling with two inconsistent points at very low coupling and from the multi-factorial origin of the electronic coupling values. The interactions with the environment and the other quartets can also influence the oxidation preference. The reorganization aspect of the guanines and their environment is not explored by our current calculations, but the wide distribution of the vertical energy gaps underlines the high sensitivity of this transfer to the conformation of the guanine pair and its environment. This can be in agreement to the previously described low transfer rate within a quartet.[22]

The electronic coupling and the diabatic energy gap for the  $\pi$ -stacked pairs are lower (tens or few hundreds of meV) than the hydrogen bond ones (see Ta-



Fig. 4 Electronic coupling  $H_{DA}$  between the hydrogen bonded pairs of all G-quadruplexes as a function of N7-H2 distance. Exponential fitting (in red) corresponds to an exponential factor of -0.953  $\pm$  0.563 eV<sup>-1</sup> and a prefactor of 3.822 eV.

ble 4), as previously observed in gas phase. They are similar to the previously obtained values in other studies [23]. Considering these values, the transfer is possible in both ways, in agreement with the conductivity of G-quadruplexes or guanine rich DNA sequences.

All the G-quadruplexes structures present similar averaged electronic coupling values despite their different folding. The overlap of the  $\pi$  HOMO orbital of the two guanines is crucial for the efficiency of the hole transfer in a  $\pi$ -stacked pair and tunes the electronic coupling between the two redox states. Consequently, geometrical parameters such as the distance between the purine rings or some angles between them can modulate the electronic coupling value, as shown in the previous study from Lech *et al* for the twist and shift angles[22]. The Figure 5 represents the electronic coupling scale as a function of guanines distance and twist angle between them (not considering the direction of the rotation or the parallel/anti-parallel relative conformation of the ring). No obvious correlation can be drawn, even if the highest coupling values are obtained for a distance equal or shorter than 4 Å and a twist angle between 15 and 20 degrees. The twist angle distribution of our conformational set is relatively narrow (compare to the one previously published), which can mask the dependence of the electronic coupling on this angle.

Despite their similarities, the averaged vertical energy gaps in Table 4 present more discrepancy than the electronic coupling values. For instance, it is higher for 6gz6 structure, which contains four quartets, and lower for 2kf8, where only two full quartets are present (a guanine is missing in the third one). However, this difference cannot be explained by a larger vertical energy gap between the quartet 2 and 3 in 6qjo or 6gz6 structures: considering only these "internal-internal pairs", the absolute vertical energy gap is  $0.235 \pm 0.161$  eV, whereas it is  $0.285 \pm 0.200$  counting the "internal-external" pairs. This classification must be nevertheless modulated when other nucleobases, which do not belong to a quartet, have a  $\pi$ -stack interaction with the external quartet. The burying of the guanine also impacts the position of the positive charge when considering the vertical energy gaps with respect to their sign:



Fig. 5 Electronic coupling  $H_{DA}$  between the  $\pi$ -stacked pairs of all G-quadruplexes as a function of guanine-guanine distance and the twist angle between the two guanines.

most of the time, the state with the positive charge localized on the external guanine is preferred. The internal quartets suffer from the proximity of two cations instead of one whereas the presence of water molecules may help to stabilize the charge more easily than in the G-quadruplex internal quartets. Once again, the presence of a  $\pi$ -stacked thymine or adenine can tune this preference. The comparison of the different clusters with diverse  $\pi$ -stacking interactions does not provide a clear definition of their influence: for some pairs, the presence of a guanine or an adenine close to the external guanine decreases the preference for an external localization of the charge (see for example 143d G20G21, or the comparison between cluster 0 and 1 for 1xav G17G18), whereas it seems to play a reversal role for other pairs (A7 for 143d G20G21, A13 for 143d G21G22, A22 for 1xav G10G9, T13 for 2gku G11G10) (see Table S4, S5 and S6 in SI). This divergence may result from a lack of sampling or the vertical energy gap tuning by other parameters, not explored yet. This behavior deserves a more detail study, taking into account the solvent accessibility of each pair and a more dynamical simulations, allowing to sample  $\pi$ -stacking or water conformations that trigger the stabilization of the positive charges.

Taking together, our results on  $\pi$ -stacked pairs highlight the importance of the  $\pi$ - $\pi$  interactions within and around the redox pair. Because of the quantum nature of such interactions, a classical description of the other nucleobases involved in the  $\pi$ -stacked chain might be insufficient, but the cost of the QM/MM calculation dramatically increases with the number of atoms in the QM part. We report in Table 5 the comparison between the vertical energy gap for  $\pi$ -stacked pairs in 143d structure taking into account 2 or 3 guanines. For most

Table 5 Comparison between the vertical energy gaps from QM/MM calculations containing 2 or 3 guanines of a guanine column in the QM part for  $\pi$ -stacked pair in 143d structure.

Column	Pair	$ \Delta E $ (eV) 2 Guanines	$  \Delta E  $ (eV) 3 Guanines
G2G3G4	G3G2	0.201	0.143
	G4G3	-0.047	-0.099
G8G9G10	G8G9	-0.344	-0.446
	G9G10	0.358	0.315
G14G15G16	G15G14	-0.166	-0.197
	G16G15	-0.077	-0.125
G20G21G22	G20G21	-0.083	-0.221
	G21G22	0.311	0.325

of the pairs, the inclusion of a third guanine in the QM zone increases the vertical energy gap, except for G3G2 and G9G10 pairs. This augmentation ranges between 14 to 138 meV so less than the standard deviation observed for the vertical energy gap distribution. Consequently, increasing the QM zone influences the results but probably in a less important way than the fluctuation of the close environment. However, increasing the size of the QM zone impedes the increasing of the conformational sampling. Consequently, in order to improve the description of the charge transfer in G-quadruplex, one may favor an exhaustive conformational description instead of a better computational description.

Ideally, one should use a polarizable embedding to simulate electron motion as a polarizable environment can have dramatic effect on charge transfer parameters , notably the reorganization energy [48]. Such a QM/MM scheme has been also implemented in deMon2K [32, 49], and can be used instead of a larger QM zone, especially when one wants to simulate the charge transfer itself.

#### 4 Conclusion

In this work, we study the influence of the environment of a whole G-quadruplex on the redox properties (diabatic vertical energy gap and electronic coupling) of a pair of guanines, interacting by hydrogen bonds or  $\pi$ - $\pi$  interaction, using a cDFT/MM scheme. In gas phase, while the  $\pi$ -stacked pair is symmetric and no guanine is more easily oxidized, the hydrogen bonded pair presents a directionality of the charge transfer which favors the oxidation of the proton acceptor guanine instead of the proton donor. In a quartet, a symmetry is recovered and even though the directionality of the charge transfer remains, all the guanines can be oxidized thanks to their dual role as proton donor and acceptor. The high electronic coupling, partially tuned by the distances of the hydrogen bonds, should allow a relatively fast charge transfer; however the large discrepancy in the vertical energy gap suggests a strong dependence of the transfer to the geometry and the environment. Consequently, the reorganisation energy may represent a limiting factor for this transfer that must be further explored. Besides, the transfer within a quartet competes with a transfer through the  $\pi$ -stacked pairs. The inter-quartets transfer consists in a bi-directional transfer, with a smaller electronic coupling and vertical energy gap value but fluctuating in a less extended range. The relative position of the quartet and the solvent accessibility may tune the  $\pi$ - $\pi$  transfer to modify not only its rate but also the most likely oxidized guanine. Another parameter that must be explored in the future is the nature of the central cation.

Our study provides calculation at DFT level of charge transfers within different oxidized G-quadruplex. It paves the way to a more dynamical study, based on less expensive approaches, parameterized on these first results, to enhance our understanding of the role of the specific folding of G-quadruplex on the long lifetime of radical cation within these structures.

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#### **Conflict of interest**

The authors declare that they have no conflict of interest.

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