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# Rubidium abundances in solar metallicity stars

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## ABSTRACT

**Context.** Rubidium is one of the few elements produced by the neutron capture *s*- and *r*-processes in almost equal proportions. Recently, a Rb deficiency ( $[\text{Rb}/\text{Fe}] < 0.0$ ), amounting to a factor of about two with respect to the Sun, has been found in M dwarfs of near-solar metallicity. This stands in contrast to the close-to-solar  $[\text{Sr}, \text{Zr}/\text{Fe}]$  ratios derived in the same stars. This deficiency is difficult to understand from the point of view of observations and of nucleosynthesis.

**Aims.** To test the reliability of this Rb deficiency, we study the Rb and Zr abundances in a sample of KM-type giant stars across a similar metallicity range, extracted from the AMBRE Project.

**Methods.** We used high-resolution and high signal-to-noise spectra to derive Rb and Zr abundances in a sample of 54 bright giant stars with metallicities in the range of  $-0.6 \lesssim [\text{Fe}/\text{H}] \lesssim +0.4$  dex, via spectral synthesis in both local and non-local thermodynamic equilibrium (LTE and NLTE, respectively). We also studied the impact of the Zeeman broadening in the profile of the Rb I at  $\lambda 7800$  Å line.

**Results.** The LTE analysis also results in a Rb deficiency in giant stars, however, it is considerably lower than that obtained in M dwarfs. However, once NLTE corrections are performed, the  $[\text{Rb}/\text{Fe}]$  ratios are very close to solar (average  $-0.01 \pm 0.09$  dex) in the full metallicity range studied here. This stands in contrast to the value found for M dwarfs. The  $[\text{Zr}/\text{Fe}]$  ratios derived are in excellent agreement with those obtained in previous studies in FGK dwarf stars with a similar metallicity. We investigate the effect of gravitational settling and magnetic activity as possible causes of the Rb deficiency found in M dwarfs. Although the former phenomenon has a negligible impact on the surface Rb abundance, the presence of an average magnetic field with an intensity that is typical of that observed in M dwarfs may result in systematic Rb abundance underestimations if the Zeeman broadening is not considered in the spectral synthesis. This may explain the Rb deficiency in M dwarfs, but not fully. On the other hand, the new  $[\text{Rb}/\text{Fe}]$  and  $[\text{Rb}/\text{Zr}]$  versus  $[\text{Fe}/\text{H}]$  relationships can be explained when the Rb production by rotating massive stars and low-to-intermediate mass stars (these latter also producing Zr) are considered, without the need to deviate from the standard *s*-process nucleosynthesis in asymptotic giant branch stars, as suggested previously.

**Key words.** stars: abundances – stars: late-type – nuclear reactions, nucleosynthesis, abundances

## 1. Introduction

The chemical evolution of galaxies can be traced through abundance determinations in long-lived FGK dwarfs belonging to various stellar populations. According to theory, these stars preserve unaltered in their atmospheres the original chemical composition of the cloud from which they formed. Today there are a number of large spectroscopic surveys in the Milky Way that are devoted mainly to the study of these stars, such *Gaia*-ESO (Gilmore et al. 2012; Jackson et al. 2015), GALAH (De Silva et al. 2015; Buder et al. 2018), AMBRE (de Laverny et al. 2013), APOGEE (Ahumada et al. 2020), and others, providing a huge quantity of spectroscopy data. Together with the accurate distances and kinematic information determined by the *Gaia* mission (Gaia Collaboration 2018), along with accurate stellar age estimations from large asteroseismic surveys (e.g., Miglio et al. 2021), these studies are revolutionising the current understanding of the Milky Way history. However, abundance analyses of FGK dwarfs do not always allow for a straightforward determination of the abundances for some

elements. This is the case for several heavy elements ( $A > 70$ ) produced mainly by neutron capture reactions through the *s*- and *r*-processes in different astrophysical scenarios (see e.g., Busso et al. 1999; Käppeler et al. 2011; Thielemann et al. 2017; Cowan et al. 2021). The universal low abundances of these elements and the physical parameters of the atmospheres of FGK dwarfs usually make their available spectroscopic lines very weak, which in addition are often heavily blended, particularly in stars with near-solar metallicity or higher (see e.g., Jofré et al. 2019). This problem is aggravated when medium-resolution spectra are used ( $R \lesssim 20\,000$ ) as in the surveys mentioned above.

Rubidium is among of the elements affected by this issue. Analyses of the rubidium abundance in the Solar System show that the neutron capture *s*- and *r*-processes are roughly equally responsible for the synthesis of this element (e.g., Sneden et al. 2008; Prantzos et al. 2020). The astronomical detection of Rb mainly relies on two resonance Rb I lines at  $\lambda\lambda$  7800 and 7947 Å. In solar-like stars, these Rb lines are weak and heavily blended, which makes it difficult to obtain an accurate determination of the Rb abundance. In fact, a controversy had hung over

the study of photospheric Solar Rb abundance until recently (Goldberg et al. 1960; Lodders & Palme 2009; Asplund et al. 2009; Grevesse et al. 2015). To date, only two Rb abundance studies FGK-type stars have been carried out, namely, those of Gratton & Sneden (1994) and Tomkin & Lambert (1999). These authors derived Rb abundances in a sample of metal-poor disc and halo stars, and by considering the results in both studies together<sup>1</sup> they found that the [Rb/Fe] versus [Fe/H] relationship behaves, at low metallicity ( $[\text{Fe}/\text{H}] < -1^2$ ), similarly to that of [Eu/Fe], that is, showing an approximately constant [Rb/Fe] ratio as a typical  $r$ -process element. However, the behaviour of [Rb/Fe] at higher metallicities ( $[\text{Fe}/\text{H}] > -0.5$ ) was not studied in full because of the issues noted above.

An alternative to using FGK dwarfs for Galactic chemical tagging is using M dwarfs. Thanks to their ubiquity and very long main-sequence lifetimes, abundance determinations in M dwarfs are a powerful and complementary tool for studying the formation and chemical enrichment of the Galaxy. Their potential in this area is only beginning to be explored (see e.g., Souto et al. 2020; Birky et al. 2020). Because of their low effective temperature ( $T_{\text{eff}} \lesssim 3800$  K), the spectra of M dwarfs usually show strong Rb I lines, which can be easily identified out of a forest of molecular absorptions (mainly TiO), even in metal-rich stars. Very recently Abia et al. (2020; hereafter, Paper I) derived Rb abundances, for the first time, in a sample of nearby M dwarfs in the metallicity range  $-0.5 \lesssim [\text{Fe}/\text{H}] \lesssim +0.5$  using very-high-resolution visual and near-infrared CARMENES spectra (Quirrenbach et al. 2018; Reiners et al. 2018). In this study Sr, and Zr – two neighbour elements with mainly a  $s$ -process origin – were also derived as cross-check elements with which to evaluate the reliability of abundance determinations in M dwarfs. In fact, while the [Sr, Zr/Fe] ratios derived by these authors were in excellent agreement with those observed in FGK dwarfs of a similar metallicity (e.g., Battistini & Bensby 2016; Delgado Mena et al. 2017), they found [Rb/Fe] ratios that are systematically lower than solar (i.e.  $[\text{Rb}/\text{Fe}] < 0.0$ ) by a factor two on average, as well as a possible trend of increasing [Rb/Fe] ratios for  $[\text{Fe}/\text{H}] > 0.0$ . These are surprising results that have never previously been found for any other heavy element at similar metallicities. These authors discussed several possible explanations for these findings in terms of deviations from local thermodynamic equilibrium (LTE, Korotin 2020), an anomaly of the Rb abundance in the Solar System (e.g., Walker et al. 2009; Ritchey et al. 2018), the stellar activity in M dwarfs, and deviation from the standard  $s$ -process nucleosynthesis scenario for Rb in asymptotic giant branch (AGB) stars (Cristallo et al. 2009; Karakas et al. 2010; Cristallo et al. 2018), but no plausible solution was found<sup>3</sup>. In Paper I, it was ultimately suggested that additional Rb abundance measurements in FGK dwarfs and giants of near solar metallicity, as well as a more detailed evaluation of the impact of stellar activity on abundance determinations in M dwarfs, were urgently needed to confirm or disprove these findings.

In the present study, we derive Rb abundances from high-resolution spectra in a sample of nearby and bright K and M stars

located on the subgiant and giant branches and with metallicities close to solar. We also determine their Zr abundance – an element with predominantly main  $s$ -process origin – as a cross-check to the analysis. For this purpose, we use high signal-to-noise template spectra of 54 giants provided by the AMBRE Project. Our aim is to study the reliability of the low [Rb/Fe] ratios found in the previous study on nearby M dwarfs of similar metallicity to attain a better understanding of the evolution of the Rb abundance in the Galaxy and to put constraints on the role played by the  $s$ - and  $r$ -processes in the galactic Rb budget.

The structure of this paper is as follows. The observational material and analysis is presented in Sect. 2, where the data acquisition is briefly described. We also discuss the atmospheric parameters used in this study, the line lists, and the derivation of the abundances from the spectra, together with an evaluation of the observational and analysis uncertainties. In Sect. 3, we present our main results. We then compare the results with recent nucleosynthesis models via a state-of-the-art galactic chemical evolution model for the Solar neighbourhood. We also briefly discuss gravitational settling and magnetic activity as possible explanations of the Rb deficiency found in M dwarfs. Section 4 summarises the main conclusions of this study.

## 2. Observations and analysis

### 2.1. The stellar sample

We looked for ESO-archived UVES spectra collected with the RED860 setup (appropriate for the observation of the Rb I lines around  $\lambda\lambda$  7800 Å and 7947 Å), which have already been analysed within the framework of the AMBRE Project (de Laverny et al. 2013). These spectra have been automatically parametrised within AMBRE using the projection method MATISSE (Recio-Blanco et al. 2006), trained on a specific grid of high-resolution synthetic spectra (de Laverny et al. 2012). The parametrisation of the AMBRE-UVES sample is detailed in Worley et al. (2016). It provides, among other details, the stellar radial velocity, the signal-to-noise ratio (S/N), as well as the main atmospheric parameters: effective temperature  $T_{\text{eff}}$ , the surface gravity ( $\log g$ ) and the mean metallicity [M/H], adopted hereafter as an estimate of [Fe/H] and, the enhancement in  $\alpha$ -elements with respect to iron ( $[\alpha/\text{Fe}]$ ). A quality-flag of the stellar parametrisation, based on the computation of a  $\chi^2$  between the observed and reconstructed spectra at the derived stellar parameters, has also been estimated.

Within these parametrised AMBRE-UVES spectra, we selected only cool ( $T_{\text{eff}} < 4500$  K) stars belonging to the red giant and sub-giant branches ( $\log g < 3.0$ ) with  $S/N > 100$ , to make sure that the Rb lines are clearly detectable. Only spectra with a good parametrisation flag ( $\leq 1$ ) were also considered. With these criteria, an initial sample of 80 objects were selected. However, we filtered again the sample excluding those objects with peculiar spectral types (e.g., R-stars, Ap-stars, symbiotics, etc.), those belonging to stellar clusters, and those that might be placed in the AGB phase<sup>4</sup>, all this according to the SIMBAD database. The final selected spectra set consisted of 54 giant stars of spectral types K and M with  $S/N > 150$ , most of them widely studied in the literature for other purposes (see Table A.1).

<sup>1</sup> We note that Gratton & Sneden (1994) derived upper limits for the Rb abundance in some of the stars in their sample.

<sup>2</sup> Here we follow the standard abundance notation,  $[\text{X}/\text{H}] = \log(\text{X}/\text{H})_{\star} - \log(\text{X}/\text{H})_{\odot}$ , where X/H is the abundance by number of the element X, and  $\log \epsilon(\text{X}) \equiv \log(\text{X}/\text{H}) + 12$ .

<sup>3</sup> The [Rb/Fe] ratios derived by the Tomkin & Lambert (1999) in K dwarf stars, which have slightly larger masses than M dwarfs, apparently do not show any systematic difference when compared with the ratios derived in G dwarfs and giants in their stellar sample.

<sup>4</sup> It is indeed very well known that AGB stars may show Rb enhancements produced by the in-situ operation of the  $s$ -process (Abia et al. 2001; García-Hernández et al. 2006).

## 2.2. Determination of Rb and Zr abundances

In a first step, we adopted the stellar parameters derived by [Worley et al. \(2016\)](#). We refer to this study for a detailed description of the method. We also initially adopted, for all the stars, a microturbulence parameter, namely,  $\xi = 1.7 \text{ km s}^{-1}$ , which is a typical value for giant stars. We built a model atmosphere for each star, interpolating within the grid of MARCS model atmospheres by [Gustafsson et al. \(2008\)](#) for the corresponding stellar parameters. Then we compared a synthetic spectrum calculated in LTE with the Turbospectrum v19.1 code ([Plez 2012](#)) with the observed spectrum of each star in specific spectral ranges to check the validity of the stellar parameters. These ranges were about fifty angstroms centred at  $\lambda \lambda \sim 6700$ , and  $7100 \text{ \AA}$  and the full range  $\lambda \lambda 7750\text{--}8100 \text{ \AA}$ . Obviously, they include the  $\lambda 7800$ , and  $\lambda 7947 \text{ \AA}$  Rb I lines that are of interest here, but also several metallic and molecular lines (TiO and CN) in addition. These metallic lines serve as a check of the metallicity initially adopted in the atmosphere model, while the molecular lines were used to estimate the C/O ratio, which critically determines the shape of the spectrum for stars cooler than  $T_{\text{eff}} \lesssim 4000 \text{ K}$ .

Synthetic spectra were convolved with a Gaussian function having a FWHM in the range  $6\text{--}9 \text{ km s}^{-1}$ , to account for the instrumental profile and macroturbulence. The atomic line list was taken from the VALD3 database adopting the corrections performed within the *Gaia*-ESO survey ([Heiter et al. 2015b, 2021](#)) in the wavelength ranges studied. Additional corrections to the  $\log gf$  values of specific atomic lines were made based on a comparison of a synthetic spectrum with the observed spectrum of Arcturus ([Hinkle et al. 1995](#)). Molecular line lists were provided by B. Plez<sup>5</sup> which include several C- and O-bearing molecules (CO, CH, CN, C<sub>2</sub>, HCN, TiO, VO, H<sub>2</sub>O) and a few metallic hydrides (FeH, MgH, CaH). As mentioned above, for the stars with  $T_{\text{eff}} \lesssim 4000 \text{ K}$ , the C/O ratio plays an important role in the shape of the spectrum and, in fact, determines the intensity of a veil of TiO lines present in the spectral regions of the Rb I lines, which may depress the spectral pseudo-continuum there to a significant degree. To estimate this ratio, we proceed as follows:

Firstly, we scaled the CNO abundances to the initial metallicity adopted since  $[\text{C,N,O/Fe}] \approx 0.0 \text{ dex}$  is fulfilled for stars with near-solar metallicity as those studied here. It is only for a few stars in the sample with a mild metal-deficiency (see Table A.1) that we adopted some oxygen enhancement according to the accepted relationship  $[\text{O/Fe}] \approx -0.36[\text{Fe/H}] \text{ dex}$  (see e.g., [Edvardsson et al. 1993](#)). According to [Worley et al. \(2016\)](#), the overwhelming majority of the stars studied here show no  $\alpha$ -enhancement or a very low level ( $[\alpha/\text{Fe}] \lesssim 0.1 \text{ dex}$ ). We checked that an  $\alpha$ -enhancement within this range of values has no effect on the analysis.

Then the carbon abundance was estimated using some weak CN lines in the  $\lambda 8000 \text{ \AA}$  region (see e.g., [Brown & Wallerstein 1989](#)). Because these lines are slightly sensitive to the  $^{12}\text{C}/^{13}\text{C}$  ratio, we adopted a  $^{12}\text{C}/^{13}\text{C} \sim 20$  ratio (this value is typically observed in giant stars of near solar metallicity after the first dredge-up; see e.g., [Charbonnel 1994](#)) for all the stars, except for those for which measurements were available in the literature. Ideally, to determine the carbon abundance from CN lines, the N abundance should be known a priori from an independent

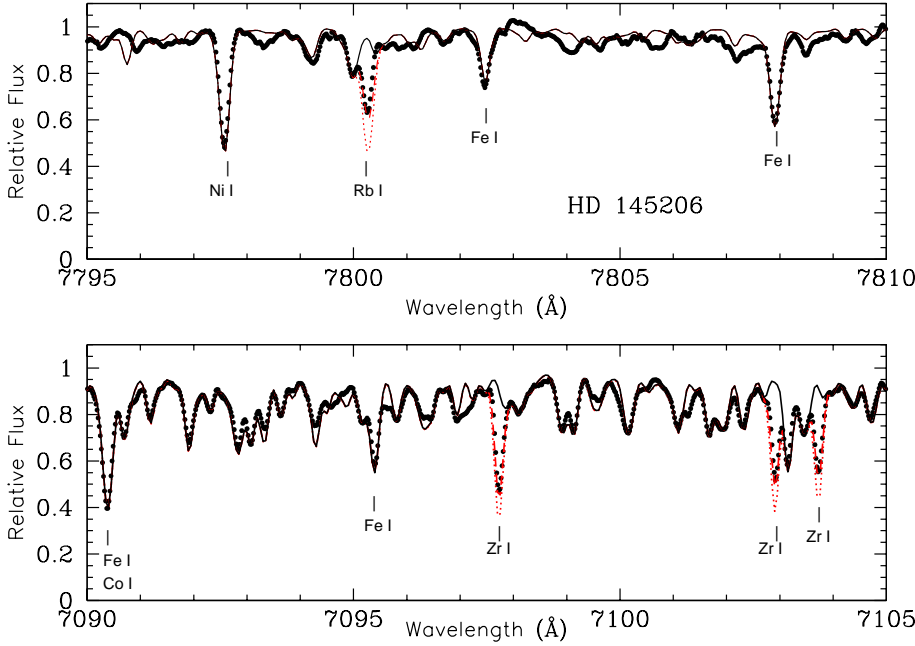
spectral analysis (e.g., NH or NI lines), but unfortunately the available spectral region in our spectra do not contain any such lines, nor there are accurate N abundance determinations available in literature for most of the stars in our sample. Therefore, we adopted the N abundance scaled with the stellar metallicity. We note however, that the CN lines used are not very sensitive to moderate variations of the N abundance, particularly in stars with  $T_{\text{eff}} > 4200 \text{ K}$ . We checked that changes up to  $\pm 0.2 \text{ dex}$  in the N abundance adopted and variations of the  $^{12}\text{C}/^{13}\text{C}$  value within 30%, have a minimal impact in the determination of Rb and Zr abundances. With this carbon abundance, the oxygen abundance was estimated from fits to TiO lines mainly in the  $\lambda 7100 \text{ \AA}$  region.

Finally, once the C/O ratio was estimated, we determined again the carbon abundance from the  $\lambda 8000 \text{ \AA}$  region and the operation was repeated until convergence was reached. For most of the stars, a few iterations were needed. We estimate an uncertainty in C/O from 0.05 to 0.1, depending on the specific stellar parameters: in the cooler stars of the sample, the uncertainty is lower since CN and TiO lines become more intense as the effective temperature decreases and are more sensitive to changes in the carbon or the oxygen abundance, respectively. Considering this uncertainty, most of the C/O ratios derived are close to the photospheric solar value,  $(\text{C/O})_{\odot} = 0.57 \pm 0.04$  ([Lodders 2019](#)) or slightly lower; the latter result being expected following the operation of the first dredge-up (see Table A.1).

For most of the stars, we find a good agreement between observed and synthetic spectra in all the spectral ranges mentioned above. This procedure served also as a test to validate the stellar parameters of the stars according to the estimations within the AMBRE Project. However, for some stars, small discrepancies between observed and theoretical spectra were detected, indicating that some stellar parameters derived in AMBRE may slightly depart from the ones that better fit the shorter wavelength ranges of the present study, in particular, the  $T_{\text{eff}}$ . For these stars, we searched for other estimations of the stellar parameters in the most recent literature and tested them in the same way as described above until an agreement between the observed and theoretical spectrum was found. The average effective temperatures that was finally adopted differed from those in AMBRE by  $\sim -53 \pm 100 \text{ K}$ , on average (AMBRE minus this study). The mean difference with the effective temperatures estimated from two-micron sky survey (2MASS) colours is found to be equal to  $6 \pm 100 \text{ K}$  (2MASS minus this study). The final differences with respect to AMBRE were  $-0.06 \pm 0.30 \text{ dex}$ , and  $-0.08 \pm 0.20 \text{ dex}$  for  $\log g$  and the average metallicity, respectively. We point out that such departures are in agreement with the typical external errors reported by the AMBRE Project. Moreover, it is important to note that the reported differences in the parameters can also be explained by the different line lists, analysis procedure, and spectral ranges considered in [Worley et al. \(2016\)](#) and this study.

The next step was to adjust the determination of the stellar (average) metallicity to our analysis. To do that we used a number of weak metallic lines available in the spectral ranges mentioned above, in particular the Ti I lines at  $\lambda \lambda \sim 7791.34, 7949.15, 8068.23$ , and  $8069.79 \text{ \AA}$ ; the Fe I lines at  $7095.50, 7802.47, 7807.90, 7941.08$ , and  $7945.84 \text{ \AA}$ ; and the Ni I lines at  $7788.93$  and  $7797.58 \text{ \AA}$ . The weakness of these lines should minimise possible deviations from LTE. In addition, their proximity to the heavy element (Rb and Zr) lines may reduce systematic effects introduced by the uncertain location of the pseudocontinuum when deriving the elemental ratios with

<sup>5</sup> These molecular line lists are publicly available at <https://nextcloud.lupm.in2p3.fr/s/r8pXijD39YLzW5T>, where detailed bibliographic sources can be also found.



**Fig. 1.** Comparison of the observed (black dots) and synthetic spectra for the M4.0 III star HD 145206 in the spectral region around  $\lambda\lambda 7800 \text{ \AA}$  (top panel) and  $\lambda\lambda 7100 \text{ \AA}$  (bottom panel). In both panels the continuous black line is a synthetic spectrum with no Rb or Zr, while red dashed and dotted lines show theoretical spectra with  $\log \epsilon(\text{Rb}) = 2.35$  and  $2.6$  (top panel), or  $\log \epsilon(\text{Zr}) = 2.7$  and  $3.0$  (bottom panel), respectively. Some metallic lines are marked and labelled. In the  $\lambda\lambda 7100 \text{ \AA}$  region the pseudo-continuum is reduced mainly due to the contribution of a TiO veil.

respect to the average metallicity ( $[X/M]^6$ ), since this uncertainty should cancel out. The theoretical fits to these metallic lines also served us to adjust the microturbulence parameter. In general our metallicities agree within the uncertainty ( $\pm 0.15$  dex) with those initially adopted. Nevertheless, when a difference larger than  $0.15$  dex was found, we recalculated a model atmosphere with the new metallicity and repeated the derivation of the metallicity until convergence was reached. Table A.1 summarises the final stellar parameters adopted; last column indicates the specific bibliographic source for each star. We note that the average metallicity  $[M/H]$  and microturbulence velocity shown may not match the value given in the specific reference quoted in Table A.1.

Finally, the abundances of Rb, and Zr were determined by spectral synthesis fits to the corresponding spectral features. For rubidium, we use the very well-known resonance lines at  $\lambda\lambda 7800$  and  $7947 \text{ \AA}$ , taking into account the hyperfine structure of these lines (see Paper I) and the oscillator strengths from Morton (2000). We adopted the meteoritic  $^{85}\text{Rb}/^{87}\text{Rb} = 2.43$  ratio (Lodders 2019). Unfortunately, the isotopic splitting is tiny and does not allow the derivation of this ratio from our spectra. Concerning zirconium, our main abundance indicator was the Zr I line at  $\lambda 7098 \text{ \AA}$  and, as secondary lines those at  $\lambda\lambda 7103$ , and  $7104 \text{ \AA}$ . In particular, these latter lines were very useful in the coolest stars of the sample where the Zr I  $\lambda 7098 \text{ \AA}$  line may be severely blended with TiO lines. Oscillator strengths for these lines were taken directly from the VALD3 database, with some small corrections after comparison with the observed spectra of Arcturus using the stellar parameters for this star according to Ryde et al. (2009). Eventually, we adopted the solar LTE abundances recommended by Lodders (2019) for Rb (2.47) and Zr (2.58). We also used the solar photospheric abundances recommended by this author for all the other elements. Figure 1 shows an example of theoretical fits (black and red lines) to the spectral regions of the  $\lambda 7800 \text{ \AA}$  Rb I line (top panel) and the Zr I lines (bottom panel) in a representative star of the sample. Fits

<sup>6</sup> Since for near-solar metallicity stars, it holds that  $[\text{Ni}/\text{Fe}] \approx [\text{Ti}/\text{Fe}] \approx 0.0$ , in the following we refer indistinctly to  $[M/H]$  or  $[\text{Fe}/H]$  as the stellar metallicity.

to some of the metallic lines used for the determination of the average metallicity are also shown. A small depression of the continuum mainly due to TiO molecule is particularly apparent in the spectral region of the Zr I lines.

### 2.3. Abundance uncertainties and NLTE corrections

The two main sources of error in the abundances are observational (i.e. related to the S/N of the spectrum) and analysis errors caused by the uncertainties in the adopted model atmosphere parameters. The scatter of the abundances provided by individual lines of the same species is a good guide to measurement error. When possible ( $\sim 70\%$  of the stars), we found excellent agreement between the Zr abundances derived from the three lines, typically with a dispersion of less than  $0.08$  dex. This agreement is in contrast to the differences ( $\geq 0.10$  dex) found in the Rb abundance derived from the two lines. In particular that derived from the Rb I  $7947 \text{ \AA}$  line is systematically larger by about this amount. A similar figure was found by Tomkin & Lambert (1999) and Yong et al. (2006) (the latter in M13 and NGC 6352), which led them to exclude this line from their analyses in similar stars than here. Thus, we also decide to exclude the  $\lambda 7947 \text{ \AA}$  Rb I line from the analysis in this study. We note that a fine agreement between the Rb abundance derived from the two lines was found in Paper I: typically we found a dispersion of only  $\pm 0.02$  dex (see Table 2 in Paper I.) Since the stars studied here are systematically hotter than the M dwarfs in Paper I and the effect of telluric lines is very small at the location of this line, we suppose that this discrepancy might be caused by an unknown blend with an atomic line with a moderate excitation energy. A detailed study on the formation of these Rb lines in stars of different spectral types is required to shed light on this long-standing problem.

The error caused by uncertainties in the adopted stellar parameters can be estimated by modifying them by the quoted errors in the analysis of a typical star in the sample and checking the effect on the abundance derived for each species. To do this, we adopt the uncertainties estimated in the AMBRE project (Worley et al. 2016) since for most of the stars, we adopt the stellar parameters derived in this survey (see Table A.1), namely:  $\pm 100 \text{ K}$  in  $T_{\text{eff}}$ ,  $\pm 0.2$  dex in  $\log g$ ,  $\pm 0.2 \text{ km s}^{-1}$  in  $\xi$ ,  $\pm 5\%$  in  $C/O$ ,

and  $\pm 0.15$  dex in  $[\text{Fe}/\text{H}]$ . For a typical giant star in the sample with parameters  $T_{\text{eff}}/\log g/[\text{Fe}/\text{H}] = 4050/1.5/0.0$ , we find that the abundances derived are mostly affected by the uncertainty in  $T_{\text{eff}}$ :  $\pm 0.07$  and  $\pm 0.14$  dex for Rb, and Zr, respectively. Uncertainties in the gravity, metallicity, microturbulence, and the C/O ratio are relatively low for Rb, namely:  $\pm 0.03$ ,  $\pm 0.05$ ,  $\pm 0.05$  and  $\pm 0.04$  dex, respectively, while they are rather significant for Zr:  $\pm 0.10$  and  $\pm 0.15$  dex for gravity and metallicity, respectively. However, the above quoted uncertainties in the microturbulence and C/O have almost no effect on the Zr abundance. Adding these uncertainties together quadratically, we estimated a total uncertainty in  $[\text{X}/\text{H}]$  of  $\pm 0.15$  dex for Rb, and  $\pm 0.23$  dex for Zr. These estimates include the continuum location uncertainty (about 1–2%) as an independent source of error and, in the case of Zr, the typical dispersion ( $\pm 0.04$  dex) around the mean abundance value when more than one line was used. Nevertheless, the abundance of these elements relative to average metallicity,  $[\text{X}/\text{Fe}]$ , holds the most interest. This ratio is more or less sensitive to the uncertainties in the atmospheric parameters depending on whether changes in the stellar parameters affect the heavy element abundance and metallicity in the same or opposite sense. In our case, we estimated total uncertainties of  $\pm 0.12$  dex and  $\pm 0.20$  dex for the  $[\text{Rb}/\text{Fe}]$  and  $[\text{Zr}/\text{Fe}]$  ratios, respectively. Certainly the internal (relative) errors within the sample studied would be smaller.

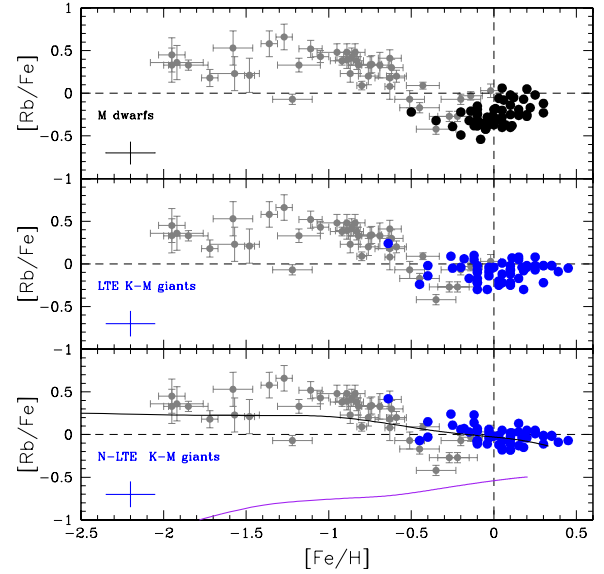
On the other hand, the structure of the atom of Rb is very similar to that of other alkaline elements, such as Na and K. It is very well-known that the resonant lines of these alkaline elements are affected by deviations from LTE (Bruls et al. 1992). Recently Korotin (2020; see also Paper I) estimated the LTE deviations in the formation of the Rb lines as a function of the effective temperature, gravity, metallicity, microturbulence, and  $[\text{Rb}/\text{Fe}]$  ratios in dwarf and giant stars. This study shows that the NLTE corrections (in the sense  $\Delta_{\text{NLTE}} = N_{\text{NLTE}} - N_{\text{LTE}}$  abundances) vary in a non trivial way depending on the stellar parameters. Here, we have estimated NLTE corrections to the Rb abundances derived from the  $\lambda 7800 \text{ \AA}$  line star by star according to Korotin (2020). The corrections are shown in Table A.1 (column seven) where it can be seen that they can be positive or negative depending on the stellar parameters, and may reach up to  $-0.15$  dex for stars with  $T_{\text{eff}} \sim 4000 \text{ K}$  and  $[\text{Rb}/\text{Fe}] > 0.0^7$ . We refer to Korotin (2020) for a detailed discussion on this topic. It is worth noting that the Solar NLTE Rb abundance found in this paper is 2.35, which is in excellent agreement with that found in meteorites (Lodders 2019). Unfortunately there is a very limited information in the literature concerning the NLTE corrections for the LTE Zr abundance derived from different lines, although it appears that for solar metallicity stars, they may be small (see Velichko et al. 2010).

### 3. Results and discussion

Table A.1 shows the final Rb and Zr abundances derived in our stars. When more than one Zr line was used, the abundance value quoted is the average.

Figure 2 shows the observed  $[\text{Rb}/\text{Fe}]$  vs.  $[\text{Fe}/\text{H}]$  relationship obtained in our stars (middle and bottom panels, blue dots) compared with that obtained in M dwarfs in Paper I (top panel, black dots). In the three panels, we also included the  $[\text{Rb}/\text{Fe}]$  ratios obtained by Gratton & Sneden (1994) and Tomkin & Lambert (1999) (grey dots) in metal-poor GK dwarfs

<sup>7</sup> In Paper I, we showed that the average NLTE Rb abundance corrections in M dwarfs is  $\sim -0.15$  dex. However, the  $[\text{Rb}/\text{Fe}]$  ratios derived remain equal respect to the LTE analysis since the NLTE corrections are almost compensated by the Solar NLTE abundance, which is 0.12 dex (2.35) lower than the LTE value (2.47).



**Fig. 2.**  $[\text{Rb}/\text{Fe}]$  vs.  $[\text{Fe}/\text{H}]$  diagram for M-dwarfs studied in Paper I (top panel, black dots, LTE abundances), and for KM-type giants (blue dots) in this study in LTE (middle panel) and NLTE (bottom panel). The grey dots with error bars in the three panels are the  $[\text{Rb}/\text{Fe}]$  ratios derived in halo and disc giant and dwarf stars by Gratton & Sneden (1994) and Tomkin & Lambert (1999). A typical error bar in the  $[\text{Rb}/\text{Fe}]$  ratios in Paper I and this study is shown in the bottom left corner of each panel. Upper limits in the Rb abundances are omitted in the figure. In the bottom panel, solid curves are theoretical GCE predictions by Prantzos et al. (2018, 2020): black line includes the contributions from LIMS and r-process stars, and the  $r$ -process, while magenta line include only LIMS (see text for details).

and giants<sup>8</sup>. Firstly, focusing on the LTE Rb abundances (middle panel), it is apparent that the  $[\text{Rb}/\text{Fe}]$  vs.  $[\text{Fe}/\text{H}]$  relation derived is nearly flat in the full metallicity range studied (excluding the moderate metal-poor giant HD 1638, see Table A.1), showing a small deficiency with respect to the solar value: average  $[\text{Rb}/\text{Fe}] = -0.07 \pm 0.11$  dex, which is compatible with  $[\text{Rb}/\text{Fe}] \approx 0.0$  within the error bar. Furthermore, no trend with the increasing metallicity is seen. This clearly stands in contrast to the relationship obtained for M dwarfs in Paper I, where a systematic deficiency by a factor two (on average) with respect to the Sun and a hint of increasing  $[\text{Rb}/\text{Fe}]$  with metallicity were obtained (see top panel in Fig. 2). This is even more evident when NLTE Rb abundances are considered (bottom panel, blue dots). Furthermore, in this case the dispersion of the  $[\text{Rb}/\text{Fe}]$  at a given  $[\text{Fe}/\text{H}]$  diminishes significantly around the solar value (average  $[\text{Rb}/\text{Fe}] = -0.01 \pm 0.09$  dex). As a consequence, the  $[\text{Rb}/\text{Fe}]$  ratio behaves very similarly to that observed for  $[\text{Eu}/\text{Fe}]$  (Battistini & Bensby 2016; Delgado Mena et al. 2017; Forsberg et al. 2019) – Eu being an almost pure  $r$ -process element – at least up to  $[\text{Fe}/\text{H}] \sim -2.0$  dex: meaning that it is a nearly constant  $[\text{Rb}/\text{Fe}]$  ratio for  $[\text{Fe}/\text{H}] \lesssim -1.0$  dex and then experiences a smooth decrease to reach  $[\text{Rb}/\text{Fe}] \approx 0.0$  at solar metallicity. We note that the  $[\text{Rb}/\text{Fe}]$  versus  $[\text{Fe}/\text{H}]$  seems totally flat at  $[\text{Fe}/\text{H}] > 0.0$ , however, the  $[\text{Eu}/\text{Fe}]$  ratios apparently become negative for metallicities that are larger than solar (see e.g., Battistini & Bensby 2016). This would imply that at this

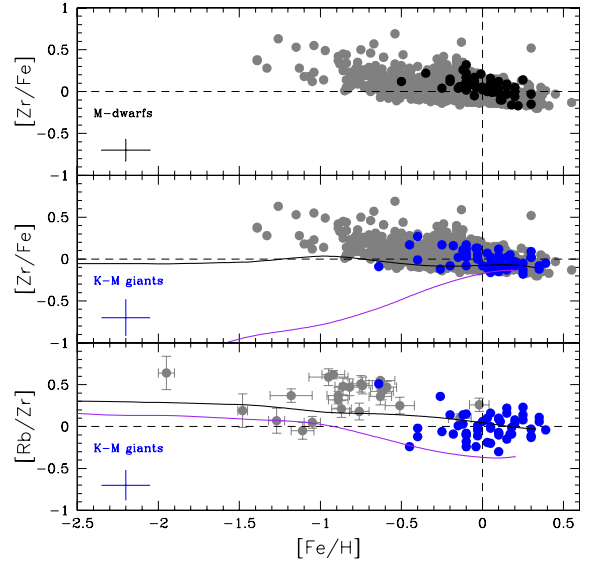
<sup>8</sup> The  $[\text{Rb}/\text{Fe}]$  ratios in these studies have been scaled to the Solar LTE Rb abundance adopted here. For the typical atmosphere parameters in their stellar sample, NLTE corrections for these stars are within 0.07–0.10 dex (negative), however, the corresponding  $[\text{Rb}/\text{Fe}]$  ratios would change only slightly due again to the lower NLTE Solar Rb abundance.

metallicity, Rb has different contributing sources than Eu; more Rb abundance determinations at metallicity larger than solar are needed to confirm this figure.

Figure 2 (bottom panel) compares the observed relationship with model predictions from a galactic chemical evolution (GCE) model of Prantzos et al. (2018, 2020), which includes Rb contributions from low-and-intermediate mass stars (LIMS), rotating massive stars (RMS), and the  $r$ -process (black continuous line). We note that the GCE model from Prantzos et al. (2018) used here is a one-zone model tailored for the Solar neighbourhood. It is meant to reproduce the evolution of the chemical composition of the local gas, reaching a final metallicity (at age 0 Gy) of  $[\text{Fe}/\text{H}] \sim +0.1$ , slightly above the metallicity of the local gas and of the youngest stars, for instance, in Orion. Therefore, is not well-suited for dealing with the super-metallicity stars currently found in the Solar neighbourhood, as those shown in Figs. 2 and 3. It is widely accepted that stars of super-solar metallicities locally observed are attributed to radial migration: they are formed in the inner disk (which is characterised by a different chemical evolution history and reached supersolar metallicities early on) and they have migrated at  $\sim 8$  kpc. As a consequence, they do not have to be young many of them could have ages as large as  $\sim 4$  Gy. Several models deal with those issues (see e.g., Minchev et al. 2013; Kubryk et al. 2015). However, our one-zone model used here is sufficient for our discussion as long as we do not enter the super-solar metallicity regime<sup>9</sup>. According to this GCE model, the production of Rb through the weak  $s$ -process in RMS is critical to account for the observed relationship, particularly at solar metallicity. We note that the contribution only by LIMS through the main  $s$ -process (magenta line in Fig. 2) is clearly not enough, which is as expected according the  $\sim 50\%$   $s$ - and  $r$ -process origin for the bulk Rb abundance observed in the Solar System (see Prantzos et al. 2018, 2020, for a detailed discussion on the stellar yields adopted). The  $[\text{Rb}/\text{Fe}]$  vs.  $[\text{Fe}/\text{H}]$  relationship obtained is now nicely reproduced without invoking any non-standard nucleosynthesis process for Rb for metallicities close to solar in contrast to our suggestion in Paper I.

Figure 3 shows the  $[\text{Zr}/\text{Fe}]$  vs.  $[\text{Fe}/\text{H}]$  relationship derived in our stars (middle panel, blue dots) compared with the relation obtained in the most recent similar analyses in GK dwarfs by Battistini & Bensby (2016) and Delgado Mena et al. (2017) (grey dots in top and middle panels). We also make a comparison with the results obtained in Paper I for Zr (top panel, black dots) in M dwarfs. From this figure, it is evident that the  $[\text{Zr}/\text{Fe}]$  vs  $[\text{Fe}/\text{H}]$  behaviour obtained here is almost identical to that for M dwarfs in the metallicity range studied, and both are indistinguishable from that derived in GK dwarfs. We note a slight tendency for  $[\text{Zr}/\text{Fe}]$  to decrease with metallicity, even for  $[\text{Fe}/\text{H}] > 0$ , as has previously been found (Battistini & Bensby 2016; Delgado Mena et al. 2017; Forsberg et al. 2019). This supports the Zr abundances derived in M dwarfs in Paper I, but puts some doubts on the reliability of Rb abundances obtained there.

On the other hand, the observed  $[\text{Zr}/\text{Fe}]$  vs.  $[\text{Fe}/\text{H}]$  relationship is also nicely accounted by the GCE model of Prantzos et al. (2018) when all the contributing sources for Zr are considered (black curve in Fig. 3). Again, LIMS are not sufficient (magenta curve in Fig. 3) to adjust the observed trend, although their contribution at  $[\text{Fe}/\text{H}] \sim 0.0$  is more important in the case of Zr than of Rb. This agrees with the  $\sim 82\%$   $s$ -process contribution to the abundance of this element in the Solar Sys-



**Fig. 3.**  $[\text{Zr}/\text{Fe}]$  vs.  $[\text{Fe}/\text{H}]$  diagram for the M dwarfs in Paper I and the stars studied here. *Top and middle panels:* same as Fig. 2 for LTE  $[\text{Zr}/\text{Fe}]$  vs.  $[\text{Fe}/\text{H}]$  for M dwarfs (*top panel*, black dots) and for KM-type giants (blue dots, *middle panel*). Grey dots are the  $[\text{Zr}/\text{Fe}]$  ratios derived by Battistini & Bensby (2016) and Delgado Mena et al. (2017), both in thin and thick disc dwarf stars. *Bottom panel:*  $[\text{Rb}/\text{Zr}]$  vs.  $[\text{Fe}/\text{H}]$  for the stars in this study (blue dots) when using NLTE Rb abundances. Grey dots correspond to the giants and dwarfs stars analysed in common by Gratton & Sneden (1994), Tomkin & Lambert (1999), and Mishenina et al. (2019). A typical error bar in the abundance ratios is shown in the bottom left corner of each panel. For the data in the literature (grey dots) the error bars have been omitted for clarity. Upper limits in the Zr abundance have been also omitted. Continuous solid lines in *middle and bottom panels* are the GCE predictions as in Fig. 2.

tem (see e.g., Prantzos et al. 2020). A possible increasing trend was recently reported of the  $[\text{Zr}/\text{Fe}]$  ratio (and of other  $s$ -elements; Y, Ba, La, Ce) with age in super-solar metallicity stars belonging to young open cluster (see e.g., Maiorca et al. 2012; Mishenina et al. 2015; Magrini et al. 2018). Our GCE predictions cannot reproduce this apparent increase of the  $[\text{Zr}/\text{Fe}]$  ratio at very young age. It has been argued that the  $i$ -process or a non standard  $s$ -process nucleosynthesis in low-mass AGB stars (or both) might explain this observational trend (see references in the studies above). In any case, if we plot  $[\text{Zr}/\text{Fe}]$  versus time according to our GCE model, we obtain an almost flat curve from 12.5 to 0 Gyr age around  $[\text{Zr}/\text{Fe}] \sim 0.0$ , which is fully compatible with the observational results by Magrini et al. (2018, see their Fig. 9) obtained for the stars belonging to the thin disk; from their kinematic properties, we deduce that the overwhelming majority of the stars studied here belong to the thin disk. However, this apparent increase of the  $[\text{X}/\text{Fe}]$  ratios of  $s$ -elements in young open cluster is still rather controversial: at least, as far as the  $[\text{Ba}/\text{Fe}]$  ratio concerns, this trend has been shown to be correlated with the stellar activity of young stars and to not be nucleosynthetic in origin (see Reddy & Lambert 2017), placing serious doubts on the reliability of this increasing trend with age. In fact, we already addressed this issue in Paper I (at the end of Sect. 3) when discussing the observed trend of increasing  $[\text{Rb}/\text{Fe}]$  vs.  $[\text{Fe}/\text{H}]$  in metal-rich stars – a trend which we discard in this study.

Finally, the bottom panel in Fig. 3 shows the  $[\text{Rb}/\text{Zr}]$  ratios derived here against  $[\text{Fe}/\text{H}]$ . This figure should be compared with the equivalent Fig. 8 in Paper I for M dwarfs. Similarly to that figure in Paper I, Fig. 3 shows that as metallicity increases, the  $[\text{Rb}/\text{Zr}]$  diminishes and cluster around  $[\text{Rb}/\text{Zr}] \sim 0.0$  on average

<sup>9</sup> A note of caution here: if some nucleosynthesis effect depends on metallicity (e.g., secondary elements from  $s$ -process) then the effect should show up clearly as function of metallicity, independently of the stellar age.

for  $[\text{Fe}/\text{H}] \sim 0.0$ , although with a much lower dispersion than that obtained in [Paper I](#). This dispersion is consistent with the uncertainties in the present analysis. The decrease in the  $[\text{Rb}/\text{Zr}]$  ratio for increasing metallicity is clearly due to the increasing relevance of the contribution of low-mass stars in the production of Rb and Zr through the main  $s$ -process, for which the  $^{13}\text{C}(\alpha, n)^{16}\text{O}$  is the main neutron source. When this neutron source is at work,  $[\text{Rb}/\text{Zr}] < 0.0$  is expected at metallicities close to solar (see e.g., [Lambert et al. 1995](#); [Abia et al. 2001](#)), as shown by the GCE model (magenta line). However, when the Rb production in RMS is included in the GCE model, the full observed (average) relationship can be nicely reproduced as shown in [Fig. 3](#) (black line). Therefore, from [Figs. 2 and 3](#), we can conclude that the observed evolution of the  $[\text{Rb}, \text{Zr}/\text{Fe}]$  ratios at metallicities close to solar can be understood within the frames of our current understanding of the Rb and Zr production in rotating massive, and low and -intermediate mass stars through the weak and main  $s$ -process nucleosynthesis, respectively.

We consider why M dwarfs with near solar metallicity apparently show Rb deficiencies with respect to the Solar value and whether this assumption is, in fact, credible. In [Paper I](#), we discussed various issues that might account for this (NLTE effects, stellar activity, or an anomalous Rb abundance in the Solar System), but no satisfactory explanation was found. Here, we address this issue again, with a discussion of the impact of gravitational settling and the existence of a magnetic field of moderate intensity in the surface of M dwarfs.

### 3.1. Gravitational settling

A comparison of evolutionary tracks ([Bressan et al. 2012](#); [Tang et al. 2014](#); [Baraffe et al. 2015](#)) for M dwarfs of  $0.4\text{--}0.7 M_{\odot}$  and  $Z \sim Z_{\odot}$  in the  $T_{\text{eff}} - \log g$  diagram shows that the M dwarfs studied in [Paper I](#) have ages of  $\sim 5$  Gy in average (see [Passegger et al. 2018](#)). It is likely that there are older M dwarfs within this sample, but evolutionary tracks for different masses and metallicities become rather degenerate in age for ages larger than  $\sim 2$  Gy. This fact, together with the  $T_{\text{eff}}$  and  $\log g$  uncertainties, make an accurate age estimate difficult. In any case, and depending on the specific stellar mass and metallicity, for such old ages the surface chemical composition of these stars may have been altered due to gravitational settling, with differential depletion for some metals. In fact, it is very well known that the current surface chemical composition of the Sun is different from that in the proto-solar nebulae 4.56 Gy ago ([Lodders 2003](#)). In particular, [Piersanti et al. \(2007\)](#) showed that the proto-solar Rb abundance was a  $\sim 8\%$  higher than the present Solar photospheric value due to the operation of gravitational settling. For stellar masses lower than the Sun and  $Z \sim Z_{\odot}$ , larger surface Rb depletion would occur at an age  $\sim 5$  Gyr. However, this depletion would affect in a similar way to the neighbouring elements Sr, Y, and Zr, thus, no relative effect between Rb and these elements would be expected<sup>10</sup>. This is at odd with that observed in [Paper I](#) where no hint for any Sr or Zr depletion was found. Furthermore, we note that stars in the mass range  $0.4\text{--}0.7 M_{\odot}$  have about  $\sim 0.1 M_{\odot}$  in their convective envelope near the turn-off at solar metallicity. This acts as a good buffer to changes in the surface composition keeping everything near the surface nicely stirred up: that is, the thickness of the convective envelope of a

typical M dwarf at solar metallicity should prevent any changes by gravitational settling from being significant. We are compelled to conclude, therefore, that gravitational settling is probably not the cause of the observed Rb deficiency in M dwarfs.

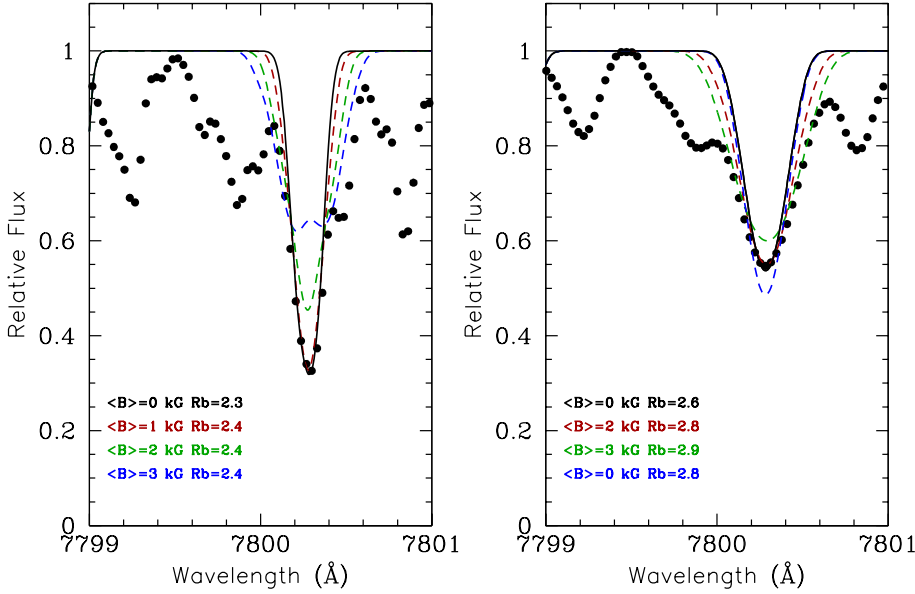
### 3.2. Zeeman broadening

On the other hand, in [Paper I](#), we qualitatively discussed the effect of magnetic fields in the profile of the spectral lines with large Landé factors (as the Rb resonance lines) in active M dwarfs. The affected lines appear shallower and broader, and this may affect the abundance determination. In fact, in [Paper I](#), we identified three stars (J11201-104, OT Ser, and J18174+483), with strong activity levels based on their  $H_{\alpha}$ -emission as a proxy for activity indicator. For instance, [Schweitzer et al. \(2019\)](#) derived an average field intensity  $\langle B \rangle \sim 3$  kG in OT Ser. By comparison with non-active stars of the same spectral type, we confirmed that in these three stars the Rb lines were indeed affected by Zeeman broadening and that the Rb abundances derived were amongst the lowest derived ( $[\text{Rb}/\text{Fe}] < -0.30$  dex) in the stellar sample. Here, we address this issue in a more quantitative sense.

The existence of strong magnetic fields in M dwarfs is known since [Saar & Linsky \(1985\)](#) based on the analysis of high-resolution spectroscopy infrared spectra. Several recent studies report field strength measurements in the range from 0.8 to 7.3 kG (see e.g., [Shulyak et al. 2019](#)), although the spectroscopic requirements for detecting subtle signatures of the Zeeman broadening may be satisfied for only a small number of the brightest active M dwarfs. Furthermore, the interpretation of these signatures become ambiguous as soon as the stellar rotational velocity exceeds  $\sim 5$  km s<sup>-1</sup> since line profile details are washed out by the rotational Doppler broadening. This makes it impossible to probe magnetic fields in faster-rotating and, presumably, most magnetically active M dwarfs. A detailed discussion on the effects of magnetic fields in the spectrum of M dwarfs is obviously beyond the scope of this study. Our aim here is only to quantify how the Rb abundance derived may be affected by the presence of an average magnetic field in a typical M dwarf, even in those which are considered as non-active where no Zeeman broadening is seen on the profile of the spectroscopic lines that potentially could be affected. An excellent review on magnetic fields in M dwarfs together with the observational techniques used for its determination can be found in [Kochukhov \(2021\)](#).

The synthesis of the RbI lines under the presence of a magnetic field needs to be carried out under the approximation of the intermediate Paschen-Back effect, using the proper hyperfine and Zeeman effective Hamiltonians (see, e.g., [Asensio Ramos et al. 2007](#)). The proximity of the hyperfine energy levels produces interferences among the magnetic sub-levels when a magnetic field is present, so that it is necessary to resort to the diagonalisation of the full Hamiltonian for computing the wavelength shift of every magnetic component on the Zeeman pattern. The synthesis is done by solving the polarised radiative transfer equation for the Stokes vector ( $I, Q, U, V$ ) using the DELOPAR method ([Trujillo Bueno 2003](#)). The emission vector and the propagation matrix is computed under the assumption of LTE using the expressions found in Sect. 9.1 of [Landi Degl'Innocenti & Landolfi \(2004\)](#) for different orientations of the magnetic field vector. Rubidium contains two main isotopes with non-negligible abundance (see above). Both isotopes have different nuclear spins ( $^{87}\text{Rb}$  has  $I = 3/2$ , while  $^{85}\text{Rb}$  has  $I = 5/2$ ) and the isotopic shifts of their energy levels is smaller than the width of the line,

<sup>10</sup> We note that the isotope  $^{87}\text{Rb}$  would be depleted in a larger factor ( $\sim 20\%$ ) because its radiative decay ( $t_{1/2} \sim 4.92 \times 10^{10}$  y) into  $^{87}\text{Sr}$ . However, the abundance of this isotope represents only  $\sim 27\%$  of the total Rb abundance.



**Fig. 4.** Comparison of the observed spectrum (black dots) at the location of the  $\lambda 7800$  Å of Rb I line for the M dwarfs G244-77 (non-active, *left panel*) and OT Ser (active, *right panel*) studied in [Paper I](#), with synthetic spectra (continuous and dashed lines) computed with different average magnetic field intensities in the line of sight and Rb abundances (as labelled). Only the Rb I line is included in the spectral synthesis (see text for details).

so that both need to be considered as blends when computing the opacities. The line at  $\lambda 7800$  Å of interest here is a hyperfine multiplet produced by the transition  $^2S_{1/2} - ^2P_{3/2}$ . The isotopic shifts  $\delta = E_{85} - E_{87}$ , that is, the energy difference for a given level between the level for  $^{85}\text{Rb}$  and  $^{87}\text{Rb}$  are:  $\delta(^2S_{1/2}) = 164.35$  MHz, and  $\delta(^2P_{3/2}) = 86.31$  MHz, respectively ([Aldridge et al. 2011](#)). The hyperfine effective Hamiltonian was parametrised in terms of the magnetic-dipole and electric-quadrupole hyperfine structure constants. Since the effect of the electric-quadrupole constant is almost negligible, we only use the magnetic-dipole constant. We adopted the following values for  $^{87}\text{Rb}$ :  $A(^2S_{1/2}) = 3417.341$  MHz,  $A(^2P_{1/2}) = 406.2$  MHz and  $A(^2P_{3/2}) = 84.845$  MHz, obtained from [Safronova & Safronova \(2011\)](#). For  $^{85}\text{Rb}$  we use:  $A(^2S_{1/2}) = 1011.910$  MHz,  $A(^2P_{1/2}) = 120.721$  MHz and  $A(^2P_{3/2}) = 25.0091$  MHz, obtained from [Arimondo et al. \(1977\)](#). Finally, the Landé factor of each fine structure level is obtained by assuming LS coupling, which gives  $g(^2S_{1/2}) = 2$ ,  $g(^2P_{1/2}) = 2/3$  and  $g(^2P_{3/2}) = 1.3362$ .

Figure 4 compares the observed spectrum of a non active M dwarf (G244-77, *left panel*) and an active one (OT Ser, *right panel*) (see [Schweitzer et al. 2019](#)) – both stars studied in [Paper I](#) – at the position of the Rb I  $\lambda 7800$  Å line, with synthetic spectra computed considering different Rb abundances and average magnetic field intensities in the line of sight computed as described above. Only the Rb I line has been included in the spectral synthesis. For the active star OT Ser (*right panel*), synthetic spectra were convolved with a rotational profile (together with the instrumental profile) since this star shows  $v \sin i > 2 \text{ km s}^{-1}$  ([Reiners et al. 2018](#)). From Fig. 4, it can be clearly appreciated that the profile of the Rb I line in OT Ser is much broader and shallower than in the non-active star G244-77 (*left panel*), considering that both stars have very similar stellar parameters (see Table 1 in [Paper I](#)). This is due to the combined effect of rotation and a strong magnetic field in OT Ser. For a given Rb abundance (2.6) and assuming an average field  $\langle B \rangle = 0$ , the computed synthetic spectrum clearly fails to fit the wings of the line despite it does fit the core; while when an average field similar to that observed ( $\sim 2$  kG, see above) is included, the fit improves considerably. What matters here is the difference in the Rb abundance between both cases: from the figure,

it becomes evident that this difference may be up to 0.2–0.3 dex, in the sense of higher Rb abundance when magnetic field is included in the synthesis. We note that this value is roughly the average systematic Rb deficiency with respect to the solar value found in M dwarfs in [Paper I](#). Interesting enough, Fig. 4 (*left panel*) shows that even for a non-active M dwarf as G244-77, considering a weak magnetic field ( $\sim 1$  kG) in the spectral synthesis may imply a Rb abundance difference up to  $\sim 0.1$  dex higher than in the case with no magnetic field. We note that the observational threshold for the detection of an average magnetic field in M dwarfs is roughly 1 kG (see e.g., [Kochukhov 2021](#)). Therefore, even in M dwarfs considered as non-active with non-apparent Zeeman broadening in the spectrum, the resonance Rb I lines may be indeed affected by an average weak magnetic field and, as a consequence, Rb abundances may be underestimated. The exact amount of this effect would depend on the orientation of the average magnetic field along the line of sight, which is rather difficult to discern observationally. Figure 4 shows the case of maximum effect occurring when the magnetic field is parallel to the line of sight: the larger the inclinations, the deeper the core of the  $7800$  Å line becomes, so that the abundance difference with respect to the absence of magnetic field is reduced correspondingly. Then, for an expected uniform distribution of magnetic field inclinations, with respect to the line of sight in a given sample of observed M dwarfs with different levels of activity, we would expect a uniform distribution of Rb abundance corrections, which would be within the range of  $\sim 0.0$ – $0.3$  dex (increase) in the case of the M dwarfs studied in [Paper I](#). This would considerably assuage the difficulty in explaining the Rb deficiency found, although this would not fully resolve the issue. Obviously, magnetic fields also exist at the surface of KM-type giants (see e.g., [Aurière et al. 2015](#)) but their intensity is much smaller (a few tenths of Gauss) than those observed in M dwarfs and, therefore, these effects would be negligible.

#### 4. Conclusions

We compiled a sample of 54 bright K- and M-type giant stars with metallicity close to solar. New Rb and Zr abundances are derived from the high-resolution, high-S/N spectra parametrised

by Worley et al. (2016) within the AMBRE Project. Our aim is to test the reliability of the Rb deficiency recently found in a sample of M dwarfs in a similar metallicity range by Abia et al. (2020; Paper I). Based on the observational data analysed, our main conclusions can be summarised as follows.

1. The LTE [Rb/Fe] ratios derived in our sample stars show, on average, a slight deficiency with respect to the solar value, namely,  $[Rb/Fe] \approx -0.07 \pm 0.11$  dex; nevertheless, it is smaller than that found in Paper I. However, when a NLTE analysis is carried out, this deficiency disappears and the [Rb/Fe] ratio clusters around the solar value with a small dispersion,  $[Rb/Fe] \approx -0.01 \pm 0.09$  dex. This stands in contrast to the results among M dwarfs for Rb, published in Paper I.

2. The [Zr/Fe] ratios derived are very similar to the most recent determinations in FGK dwarfs of similar metallicity, which support our analysis for Rb.

3. As a consequence, the [Rb/Fe] and [Rb/Zr] versus [Fe/H] relationships obtained in the metallicity range studied can be explained via a chemical evolution model for the Solar neighbourhood when the Rb production by rotating massive stars and low-and-intermediate mass (AGB) stars (the latter stars also producing Zr), are considered according to the yields from Limongi & Chieffi (2018) and Cristallo et al. (2015), respectively, without the need for any deviation from the standard  $s$ -process nucleosynthesis in AGB stars, contrary to what was suggested in Paper I.

4. We explore whether gravitational settling and magnetic activity may be the cause of the Rb deficiency that was previously reported for M dwarfs. While the first phenomenon would have little impact on the surface Rb abundance in these stars, we show that when the Zeeman broadening is included in the spectral synthesis for the typical average magnetic field intensity observed in M dwarfs, the Rb abundances derived may increase significantly. This can explain, but not fully, the discrepancy between the Rb abundances derived in solar metallicity M dwarfs and KM-type giants.

Thus, we conclude that although abundance analysis in M dwarfs properly illustrates its value for Galactic chemical evolution studies, attention must be paid when deriving elemental abundances from spectral atomic lines formed in the upper layers of their atmospheres, whether or not they are affected by magnetic activity. This is important, for instance, for future spectroscopic follow-up observations of the PLATO mission, among others. More generally, the complexity of the physical processes influencing Rb abundance estimates illustrated here demonstrates the importance of carefully considering all the stellar physical properties in any spectral analysis. This is particularly true for large-scale surveys dealing with a variety of stellar types.

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*Note added in proof.* After this article was accepted, we were aware of the paper by Takeda (2021). We note that the [Rb/Fe] vs. [Fe/H] relation obtained by this author agrees nicely with that derived in this study.

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## Appendix A: Table

**Table A.1.** Stellar parameters and abundances derived in the sample of stars.

| Star                    | $T_{\text{eff}}$ (K) | $\log g$ | [M/H] | $\xi$ (km s <sup>-1</sup> ) | C/O  | $\log \epsilon(\text{Rb})_{\text{LTE}}$ | $\Delta_{\text{NLTE}}$ (dex) | $\log \epsilon(\text{Zr})$ | Reference <sup>(a)</sup> |
|-------------------------|----------------------|----------|-------|-----------------------------|------|---|------------------------------|----------------------------|--------------------------|
| HD 1638                 | 4138                 | 1.25     | -0.64 | 1.8                         | 0.36 | 2.07                                    | 0.06                         | 1.85                       | 1                        |
| HD 5544                 | 4443                 | 2.20     | 0.05  | 1.5                         | 0.61 | 2.30                                    | -0.06                        | 2.47                       | 1                        |
| HD 11643                | 4412                 | 2.09     | 0.25  | 1.6                         | 0.59 | 2.70                                    | -0.09                        | 2.70                       | 1                        |
| HD 12642                | 3826                 | 0.78     | 0.11  | 2.0                         | 0.60 | 2.65                                    | -0.17                        | 2.65                       | 1                        |
| HD 17361                | 4477                 | 2.34     | 0.10  | 1.8                         | 0.43 | 2.50                                    | -0.03                        | 2.60                       | 1                        |
| HD 18884                | 3796                 | 0.68     | -0.45 | 1.8                         | 0.53 | 1.78                                    | 0.05                         | 2.30                       | 2                        |
| HD 29139                | 3814                 | 1.00     | -0.03 | 1.9                         | 0.59 | 2.30                                    | -0.02                        | 2.55                       | 1                        |
| HD 31421                | 4440                 | 2.13     | -0.10 | 1.5                         | 0.55 | 2.27                                    | -0.09                        | 2.65                       | 1                        |
| HD 61603                | 3809                 | 1.04     | 0.09  | 1.8                         | 0.57 | 2.50                                    | -0.16                        | 2.65                       | 1                        |
| HD 65354                | 3903                 | 0.51     | -0.10 | 1.7                         | 0.54 | 2.20                                    | -0.02                        | 2.51                       | 1                        |
| HD 71160                | 4100                 | 1.70     | 0.30  | 1.8                         | 0.61 | 2.75                                    | -0.12                        | 2.97                       | 3                        |
| HD 72505                | 4553                 | 2.50     | 0.25  | 1.8                         | 0.65 | 2.65                                    | -0.02                        | 2.78                       | 4                        |
| HD 74088                | 4020                 | 1.69     | -0.26 | 1.8                         | 0.59 | 2.30                                    | 0.03                         | 2.20                       | 3                        |
| HD 78479                | 4418                 | 2.20     | 0.35  | 1.7                         | 0.54 | 2.80                                    | -0.11                        | 2.81                       | 4                        |
| HD 79349                | 3884                 | 1.79     | 0.15  | 1.8                         | 0.52 | 2.67                                    | -0.14                        | 2.60                       | 5                        |
| HD 81797                | 3977                 | 1.14     | 0.03  | 1.6                         | 0.63 | 2.30                                    | -0.04                        | 2.68                       | 1                        |
| HD 83240                | 4400                 | 2.47     | 0.10  | 1.6                         | 0.52 | 2.45                                    | -0.06                        | 2.53                       | 1                        |
| HD 90862                | 3899                 | 1.07     | -0.40 | 1.7                         | 0.54 | 1.93                                    | -0.01                        | 2.17                       | 1                        |
| HD 93813                | 4310                 | 1.87     | 0.05  | 1.6                         | 0.58 | 2.40                                    | -0.08                        | 2.57                       | 6                        |
| HD 95208                | 4131                 | 1.16     | -0.04 | 1.4                         | 0.59 | 2.13                                    | 0.07                         | 2.67                       | 5                        |
| HD 95849                | 4472                 | 1.17     | 0.18  | 2.1                         | 0.63 | 2.57                                    | 0.02                         | 2.74                       | 4                        |
| HD 102212               | 3812                 | 0.86     | -0.10 | 2.0                         | 0.30 | 2.15                                    | 0.01                         | ...                        | 1                        |
| HD 102780               | 3900                 | 1.60     | -0.11 | 1.6                         | 0.58 | 2.07                                    | 0.07                         | 2.55                       | 3                        |
| HD 107446               | 4100                 | 1.24     | 0.10  | 1.5                         | 0.55 | 2.55                                    | -0.13                        | 2.72                       | 1                        |
| HD 111464               | 4160                 | 1.36     | 0.15  | 1.6                         | 0.58 | 2.45                                    | -0.08                        | 2.75                       | 4                        |
| HD 119971               | 4093                 | 1.36     | -0.40 | 1.8                         | 0.49 | 2.05                                    | 0.05                         | 2.45                       | 7                        |
| HD 121416               | 4576                 | 2.07     | 0.35  | 1.7                         | 0.53 | 2.80                                    | -0.12                        | 2.85                       | 1                        |
| HD 124186               | 4290                 | 2.50     | 0.45  | 1.5                         | 0.54 | 2.87                                    | -0.14                        | ...                        | 1                        |
| HD 128688               | 4083                 | 1.30     | 0.17  | 1.4                         | 0.50 | 2.60                                    | -0.12                        | 2.80                       | 1                        |
| HD 132345               | 4400                 | 2.49     | 0.39  | 1.7                         | 0.55 | 2.77                                    | -0.12                        | 2.92                       | 1                        |
| HD 138716               | 4700                 | 2.50     | 0.20  | 1.7                         | 0.47 | 2.63                                    | -0.03                        | 2.75                       | 1                        |
| HD 140573               | 4540                 | 2.50     | 0.30  | 1.8                         | 0.57 | 2.55                                    | -0.01                        | 2.90                       | 6                        |
| HD 143107               | 4283                 | 1.93     | -0.03 | 1.7                         | 0.54 | 2.40                                    | -0.02                        | 2.60                       | 1                        |
| HD 145206               | 4020                 | 1.43     | 0.18  | 1.6                         | 0.67 | 2.35                                    | 0.03                         | 2.70                       | 1                        |
| HD 146051               | 3850                 | 1.20     | 0.10  | 1.9                         | 0.53 | 2.35                                    | -0.08                        | <2.60                      | 1                        |
| HD 148291               | 4545                 | 1.64     | -0.10 | 1.6                         | 0.49 | <2.30                                   | -0.01                        | 2.60                       | 8                        |
| HD 148513               | 4000                 | 0.80     | 0.20  | 1.7                         | 0.67 | 2.65                                    | -0.14                        | 2.70                       | 6                        |
| HD 149161               | 3848                 | 1.00     | -0.21 | 1.9                         | 0.53 | 2.23                                    | -0.02                        | 2.30                       | 1                        |
| HD 149447               | 3808                 | 0.72     | -0.12 | 1.9                         | 0.42 | 2.40                                    | -0.03                        | 2.57                       | 1                        |
| HD 152786               | 3813                 | 0.13     | -0.15 | 2.0                         | 0.57 | 2.15                                    | 0.08                         | 2.45                       | 1                        |
| HD 157244               | 4233                 | 1.17     | 0.02  | 2.2                         | 0.58 | 2.25                                    | 0.12                         | 2.50                       | 1                        |
| HD 167006               | 3600                 | 1.00     | -0.10 | 2.0                         | 0.53 | 2.15                                    | 0.02                         | <2.60                      | 3                        |
| HD 167818               | 4001                 | 0.50     | -0.25 | 1.7                         | 0.58 | 2.17                                    | 0.04                         | 2.50                       | 4                        |
| HD 169191               | 4283                 | 1.88     | -0.03 | 1.4                         | 0.57 | 2.35                                    | -0.01                        | 2.68                       | 1                        |
| HD 169916               | 4750                 | 2.50     | 0.10  | 1.8                         | 0.69 | 2.32                                    | -0.05                        | 2.80                       | 9                        |
| HD 190421               | 3619                 | 0.00     | -0.10 | 1.7                         | 0.58 | 2.35                                    | -0.03                        | 2.40                       | 5                        |
| HD 198357               | 4041                 | 1.06     | -0.12 | 2.0                         | 0.45 | 2.45                                    | 0.01                         | 2.55                       | 1                        |
| HD 199642               | 3912                 | 0.71     | 0.00  | 1.6                         | 0.50 | 2.43                                    | -0.10                        | 2.50                       | 1                        |
| HD 202320               | 4472                 | 1.74     | 0.04  | 1.5                         | 0.58 | 2.53                                    | -0.08                        | 2.52                       | 1                        |
| HD 203638               | 4532                 | 1.90     | 0.15  | 1.6                         | 0.69 | 2.70                                    | -0.07                        | 2.64                       | 10                       |
| HD 210066               | 4118                 | 1.43     | 0.30  | 2.0                         | 0.62 | 2.75                                    | -0.11                        | 2.90                       | 1                        |
| HD 219215               | 3700                 | 1.00     | 0.25  | 1.8                         | 0.57 | 2.80                                    | -0.15                        | 2.65                       | 1                        |
| HD 320868               | 4080                 | 1.77     | 0.05  | 1.6                         | 0.59 | 2.30                                    | -0.08                        | 2.65                       | 1                        |
| 2MASS J15023844-4156105 | 4202                 | 2.13     | -0.18 | 1.6                         | 0.47 | 2.35                                    | -0.11                        | 2.56                       | 1                        |

**Notes.** Abundances of Rb and Zr are given on the scale  $\log N(\text{H}) \equiv 12$ . <sup>(a)</sup>Reference for the stellar parameters: (1) AMBRE Worley et al. (2016); (2) Heiter et al. (2015a); (3) Koleva & Vazdekis (2012); (4) Luck (2015); (5) McDonald et al. (2012); (6) Jönsson et al. (2017); (7) Meléndez et al. (2008); (8) Park et al. (2013); (9) Alves et al. (2015); (10) Kordopatis et al. (2013).